

# Tutorial 10 Key-Value Stores

Consider a leveling LSM-tree with a size ratio 4. The memory buffer (Level 0) can store 5000 key-value pairs. Initially the LSM-tree is empty. After inserting 70000 key-value pairs with distinct keys continuously, how many levels are formed?

## **QUESTION 1-SOLUTION**

This question is related to understanding the capacity.

The capacity of a level is defined as "the maximum number of key-value pairs that can be stored in the level".

Size Ratio = 4

Level 0 capacity = 5000

Level 1 capacity = 5000x4=20000

Level 2 capacity = 5000x4x4=80000

70000 > Level 0 capacity + Level 1 capacity (=25000)

70000 < Level 0 capacity + Level 1 capacity + Level 2 capacity (= 105000)

So there are at least 3 levels (Level 0, Level 1, Level 2)

## **QUESTION 1-SOLUTION**

We further verify that Level 3 is not created. (why?)

If level 2's actual size is larger than Level 2 capacity – Level 1 capacity, it can already trigger the merge

Before creating Level 3, it must trigger the sort-merge of Level 2. Since the 70000 keys are distinct, the actual size of Level 2 must be a multiple of the capacity of Level 1 (i.e., 20000). So only when the actual size of Level 2 reaches 80000 it can trigger a merge, (note that 0, 20000, 40000, 60000 are not larger than {Level 2 capacity - Level 1 capacity, and hence will not trigger a merge). However, since there are only 70000 keys, it is impossible for Level 2 to reach a size of 80000. Hence, Level 3 will not be created. So finally there are 3 levels (Levels 0, 1, 2).

Consider a leveling LSM-tree with a size ratio 4. The LSM-tree has 5 levels (excluding the memory buffer level), and it is incorporated with **both** fence pointers and Bloom Filters. Assume that a key-value pair is always entirely stored within a disk page. Consider the procedure of Get(K) for a key K, which of the followings sequence are possible to be the I/O costs from Level-0 to Level-5? (can select multiple answers)

- (a) 1, 1, 1, 1, 1, 1
- (b) 0, 1, 1, 1, 1, 1
- (c) 0, 0, 1, 0, 0, 1
- (d) 0, 0, 0, 0, 0, 1
- (e) 1, 0, 0, 0, 0, 0

#### SOLUTION

- (a) and (e) are not possible because Level 0 is memory buffer, and hence no I/O costs.
- (b) (c) (d) are possible because if *K* is not in the LSM-tree, then each level's I/O cost fully depends on the accuracy of the Bloom filter (We consider Fence Pointer will incur 1 I/O when Bloom filter returns true).
- (b) is possible: BFs in Levels 1-5 all generate false-positives
- (c) is possible: BFs in Levels 2 and 5 generate false-positives
- (d) is possible: BF in Level 5 generates a false-positive

Consider a leveling LSM-tree with a size ratio 4. The LSM-tree has L levels (excluding the memory buffer level), and it is **only** incorporated with fence pointers (without Bloom Filters). Assume that a key-value pair is always entirely stored within a disk page. Consider the procedure of Get(K) for a key K,

- (1) What is the possible I/O cost of accessing Level-*i* (*i* is in [1, *L*])?
- (2) If K exists in the LSM-tree, what is the expected I/O cost at Level-i (i is in [1, L])? (hint: divide the cases based on the first-appearing location of the key K)

# **SOLUTION FOR Q3(1)**

The possible I/O cost at Level-i can be 0 or 1.

#### 0 is possible:

if *K* first appears in a level smaller than Level-*i*, then the search is terminated before Level-*i*. Hence, no I/O cost at Level-*i*.

#### 1 is possible:

if *K* first appears in Level-*i* or larger levels, then fence pointer is used and can incur 1 page read, or 1 I/O. (Note: We assume that using fence pointers always incurs 1 I/O.)

# **SOLUTION FOR Q3(2)**

Let *j* be the first level that contains key *K*.

#### Divided into two cases:

• If *i>j*, then the (expected) I/O cost is 0, because the search ends at Level *j*.

• If *i*<=*j*, then the (expected) I/O cost is 1, because at level *i* fence pointer is used and incurs 1 I/O.

Consider a leveling LSM-tree with a size ratio 4. The LSM-tree has *L* levels (excluding the memory buffer level), and it is incorporated with **both** fence pointers and Bloom Filters. Assume that a key-value pair is always entirely stored within a disk page. Consider the procedure of Get(*K*) for a key *K*,

- (1) What is the possible I/O cost of accessing Level-*i* (*i* is in [1, *L*])?
- (2) If K exists in the LSM-tree and the FPR of the Bloom filter at Level-i is P (P is in [0, 1]), what is the expected I/O cost at Level-i (i is in [1, L])? (hint: divide the cases based on the first-appearing location of the key K)

# **SOLUTION FOR Q4(1)**

The possible I/O cost at Level-*i* can be 0 or 1.

0 is possible: if *K* is not in the LSM-tree, and the BF in level-*i* returns FALSE. Then, the search within the disk for this level is skipped.

1 is possible: if *K* is not in the LSM-tree, and the BF in level-*i* returns TRUE. Then, fence pointer is used and incurs 1 page read, or 1 I/O. (Note: We assume that when using fence pointers always incurs 1 I/O.)

# **SOLUTION FOR Q4(2)**

Since K exists in the LSM-tree. The cases is divided based on the first-appearing level of key K.

Let Level-*j* be the level that first contains *K*.

Case 1: If i>j, the search is up to Level-j, and terminates before reaching Level-i, and hence the I/O cost at Level-i is 0;

Case 2: If j=i, the I/O cost at level-i must be 1 because the key first appears at Level-i.

Case 3: If i < j, the I/O cost depends on the FPR of the Bloom filter:

- Since the key K does not exist in Level-i, then with probability P the Bloom filter returns TRUE, and later the fence pointer incurs 1 I/O.
- Since the key *K* does not exist in Level-*i*, then with probability 1-*P* the Bloom filter returns FALSE, and no I/O cost is incurred.
- To summarize, the expected I/O cost is :  $P^*1+(1-P)^*0=P$ .