

# Quantum Phase Estimation

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June 2025

## 1 Introduction

Suppose we are given a unitary matrix  $U$  and its eigenstate  $|\psi\rangle$ , then we can write this as

$$U |\psi\rangle = e^{i\lambda} |\phi\rangle.$$

$\phi$  is the phase of the eigenvalue  $e^{i\phi}$ . Our goal is to estimate  $\phi$ , and this is the job of quantum phase estimation algorithm.

### 1.1 Quantum Fourier Transform

This is the goal of QFT:

$$\text{QFT } |\theta\rangle = \frac{1}{\sqrt{2^n}} \sum_{k=0} e^{i2\pi\theta k} |k\rangle$$

$\theta$  here is in the binary representation,  $\theta \in \{0, 1\}^n$ .  $k$  is in the decimal representation,  $k \in [1, 2^n]$ .

Quantum Fourier Transform(QFT) is one of the most important building blocks in quantum algorithms. The QFT is quantum analog of discrete Fourier transform - the main tool of digital signal processing - which is used to analyze periodic function by mapping between time and frequency representation.

Phase Gate is defined as the following:

$$S(\theta) = \begin{pmatrix} 1 & 0 \\ 0 & e^{i2\pi/2^\theta} \end{pmatrix}$$

Example:

$$S(\theta)(|0\rangle + |1\rangle) = |0\rangle + e^{i2\pi/2^\theta} |1\rangle$$

,and control-phase gate is the following:

$$\begin{aligned} CS(\theta) &= |0\rangle \langle 0| \otimes I + |1\rangle \langle 1| \otimes S(\theta) \\ &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e^{i2\pi/2^\theta} \end{pmatrix} \end{aligned}$$

Defined  $\omega_N = e^{i2\pi/N}$  and  $\omega_N^N = 1$ , this basically divides the unit circle into  $N$  equal sections. Example, if  $N = 3$ , then  $\omega = e^{i2\pi/3}$  because  $(e^{i2\pi/3})^3 = 1$ , the phase  $\phi$  of  $\omega$  is  $2\pi/3$ . Now, we can define the discrete Fourier Transform (DFT) matrix:

$$F_N := \begin{pmatrix} \omega_N^0 & \omega_N^0 & \dots & \omega_N^0 \\ \omega_N^0 & \omega_N^1 & \dots & \omega_N^{N-1} \\ \omega_N^0 & \omega_N^2 & \dots & \omega_N^{2(N-1)} \\ \vdots & \vdots & \ddots & \vdots \\ \omega_N^0 & \omega_N^{N-1} & \dots & \omega_N^{(N-1)(N-1)} \end{pmatrix}$$

QFT results in a uniform superposition, where each basis state has an additional phase. If we can prepare that uniform distribution state, then apply the inverse QFT this will give  $|\theta\rangle$  in the estimation register. However, the phase now is like  $\theta k$ . This can be solved by applying the Unitary  $U$ ,  $k$  number of times.

$$U^k |\psi\rangle = e^{i2\pi\theta k} |\psi\rangle$$

## 1.2 Quantum Phase Estimation(QPE)

1. Start with the state  $|\psi\rangle|0\rangle$ . Apply a Hadamard gate to all estimation qubits to implement the transformation

$$|\psi\rangle|0\rangle^{\otimes K} \rightarrow |\psi\rangle \frac{1}{\sqrt{2^K}} \sum_{k=0}^K |k\rangle$$

We have  $K$  number of estimation qubits.

2. Apply a ControlledSequence operation, i.e.,  $U^{2^m}$  controlled on the m-th estimation qubit. This gives

$$|\psi\rangle \frac{1}{\sqrt{2^K}} \sum_{k=0}^K |k\rangle \rightarrow |\psi\rangle \frac{1}{\sqrt{2^K}} \sum_{k=0}^K e^{i2\pi k\theta} |k\rangle$$

$k$  is in decimal representation, this tells us how many time that  $U$  should be apply to  $|\psi\rangle$ . For example, suppose  $K = 2$ , we have this state:

$$|\psi\rangle|1\rangle|1\rangle.$$

Since the estimation qubit is in 11, this translates to 3 in the decimal representation. We have to apply  $U^3$  on  $|\psi\rangle$ , this can be model as control-U gate. If estimation qubit 0 is in 1, then apply U. If estimation qubit 1 is in 1, then apply  $U^2$ . Following this logical, if  $m$ th qubit is in 1, then we apply  $U^{2^m}$  on  $|\psi\rangle$ .

3. Apply the inverse quantum Fourier transform to the estimation qubits

$$|\psi\rangle \frac{1}{\sqrt{2^K}} \sum_{k=0}^K e^{i2\pi k\theta} |k\rangle \rightarrow |\psi\rangle |\theta\rangle$$

$$\theta \in \{0, 1\}^K.$$

4. Measure the estimation qubits to recover  $\theta$ .

$U$  is the unitary that we are interested in finding the eigenvalues. From knowing the value of  $\theta$ , then we can reconstruct the eigenvalue by the following step:

We know that  $e^{i2\pi\theta}$  is the eigenvalue of  $U$ , and  $\theta$  has to be in between  $[0, 1]$ , then bitstring that we measured for  $\theta$  can be used to find the eigenvalue as the following:

$$e^{i2\pi\phi} = e^{i2\pi \cdot 0 \cdot \theta}$$

$$0.\theta = \theta_0 \cdot 2^{-1} + \theta_1 \cdot 2^{-2} + \dots + \theta_{n-1} \cdot 2^{-n}.$$

Note, QPE founded the eigenvalue of  $U$  without diagonalizing  $U$ . However, there exists quantum algorithm by diagonalize  $U$ .

QPE algorithm also works, if the input state  $|\psi\rangle$  is in the eigenstate of  $U$ . Suppose  $|\psi\rangle$  is in the representation of the eigenbasis of  $U$ :

$$|\psi\rangle = \sum_i c_i |\psi_i\rangle$$

then QPE outputs the eigenphase  $\theta_i$  with probability  $|c_i|^2$ .