

THE UNIVERSITY OF SYDNEY

SCHOOL OF INFORMATION TECHNOLOGY

INFO5993 RESEARCH METHODS - ASSIGNMENT I

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# Report on Database Searching Result, Annotated Bibliography, and Proposing methodologies

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# 1 Introduction

Blockchain is the one of the most cutting edge technologies in the world nowadays. Not limited to digital currencies like Bitcoin, Ethereum, and etc., blockchain enables innovations in wide range of fields, such as distributed cloud storage, digital identity, and smart contracts.

This report is trying to give a overview of the research base about blockchain and zero knowledge contingent proof, namely famous conferences and journals, research groups and people. Also, a list of possible unaddressed problems will be given to show possible research directions for my research project. In addition, five core articles or books in this area will be identified along with their annotated bibliography. At the end of this report, a summary of potential research methods will be given on the addressed questions.

## 2 Research Base

### 2.1 List of A\*, A Conferences and Journals

A\* conferences and journals:

1. ACM Symposium on Principles of Distributed Computing - PODC
2. ACM Symposium on Operating Systems Principles - SOSP
3. IEEE Transactions on Parallel and Distributed Systems - TPDS

A conferences and journals:

1. IEEE International Symposium on Network Computing and Applications - NCA
2. EuroSys
3. IEEE/IFIP International Conference on Dependable Systems - DSN

### 2.2 Research Groups

1. Initiative for Cryptocurrency and Contracts (IC3)

2. University of Sydney Concurrent Systems Research Group
3. Cornell University Systems and Networking Research Group

### 3 Research Problems

Based on the research and the current progress of RedBelly Blockchain by CSRG, there are three potential problems identified to research which can enrich current RedBelly Blockchain:

1. Is it possible to make use of an existing zero knowledge proof library in a blockchain system that does not require delay between block creation?
2. Is it possible to constraint the library in the number of participants to which messages should be broadcast so that the transaction remains consistent?
3. Is it possible to make the content of a transaction private (by using for example public key cryptosystems) so that only the payer and the payee can benefit from the transaction?

The above three problems mainly focuses on the zero knowledge contingent proof in blockchain, in detail, the possibility of its integration with RedBelly Blockchain and mobile devices.

## 4 Core Articles and Books

### 4.1 Efficient Zero-Knowledge Contingent Payments in Cryptocurrencies Without Scripts[1]

In this paper, the authors stated the possibility and method to create non-trivial efficient smart contracts using the standard transactions only by constructing efficient Zero-Knowledge Contingent Payment protocol for a large class of NP-relations. In particular, their protocol can be used to sell a factorization  $(p, q)$  of an RSA modulus

$$n = pq$$

The paper shows that their techniques can be used to implement the contract called trading across chains. Though their experiment is limited to theory construction, it still stated a constructive way to implement Zero-Knowledge contingent payments in Cryptocurrencies without Scripts. For my own research problems, their method may be a solution to RedBelly Blockchain whose scripts is still quite a draft now.

#### **4.2 On the Danger of Private Blockchains[5]**

#### **4.3 The Balance Attack or Why Forkable Blockchains Are Ill-Suited for Consortium[8]**

#### **4.4 The Blockchain Anomaly[7]**

#### **4.5 (Leader/Randomization/Signature)-free Byzantine Consensus for Consortium Blockchains[4]**

#### **4.6 Zerocash: Decentralized anonymous payments from bitcoin[9]**

This paper gives out the way to construct a full-fledged ledger-based digital currency with strong privacy guarantees using *zero-knowledge Succinct Non-interactive Arguments of Knowledge*(zk-SNARKs). In summary, they firstly formulated and construct *decentralized anonymous payments schemes*(DAP). And then, they build the Zerocash, a instantiation of the DAP scheme. In addition to its privacy features, their implementation gives out a relatively great performance - transaction less than 1kB and under 6ms to verify - compared to Zerocoin and is competitive with plain Bitcoin. The paper shows a constructive way to integrate zero-knowledge proof into cryptocurrencies. Also, the SNARKs lib can be an choice used in my own project.

#### **4.7 The electronic cash system based on non-interactive zero-knowledge proofs[11]**

The authors propose a electronic cash system utilized by zero-knowledge proof featuring CCA anonymity, unforgeability, traceability and no double-spending. The paper also gives out the proof on allowing multiple bank

enrolling and user dynamically joining. The limitation of this paper obviously is that it targets at electronic cash system. But the methods it takes to make a transaction anonymous, but traceable inspires how I can adopt zero knowledge proof into RedBelly Blockchain.

#### 4.8 Succinct non-interactive zero-knowledge proofs with preprocessing for LOGSNP[6]

In this paper, the authors show that if the prover and the verifier interacted in a (poly-logarithmic size) preamble phase, then some statements can be proved by relatively short noninteractive zero-knowledge arguments. In particular, the paper shows that in this model the satisfiability of a CNF formula

$$\Psi(w_1, \dots, w_m)$$

of any size can be proved by a noninteractive zero-knowledge argument of  $\text{poly}(m)$  size, and the authors prove that for any language  $L \in \text{LOGSNP}$  the membership  $x \in L$  can be proved by a non-interactive zero-knowledge argument of size  $\text{polylog } n$ . This is only a theory-proof paper illustrating a way using non-interactive zero-knowledge proof, but it can be considered as one of the alternatives integrated into RedBelly Blockchain. In this way, the traffic loads can be deduced during transactions. As a reference, Zerocash adopts this method.

#### 4.9 Secure Sampling of Public Parameters for Succinct Zero Knowledge Proofs[2]

This paper shows how public parameters for a class of non-interactive zero-knowledge proofs(NIZKs) can be generated by a multi-party protocol, such that if at least one of the parties is honest, then the result is secure (in both aforementioned senses) and can be subsequently used for generating and verifying numerous proofs without any further trust. The authors design and implement such a protocol, tailored to efficiently support the state-of-the-art NIZK constructions with short and easy-to-verify proofs. The applying assumption of this paper highly aligns with the Byzantine problems addressed by RedBelly Blockchain in which malicious parties exists. This paper may provide the solution to build zero knowledge contingent payment in RedBelly Blockchain.

#### **4.10 Zero Knowledge Contingent Payment[10]**

This wiki gives a brief introduction on how to make payments using Bitcoin which are released if and only if some knowledge is disclosed by the payee and to do this in a trustless manner where neither the payer or payee can cheat. In detail, a combination of a hash-locked transaction and a bitcoin-external protocol to set things up so that the data revealed in the hashlock release is the data they need is used in this process. Comparing to Bitcoin, RedBelly Blockchain's Scripts is still less function, however the way Bitcoin integrate Zero Knowledge Contingent Payment is a good reference for my project.

#### **4.11 Elliptic Curve Based Zero Knowledge Proofs and Their Applicability on Resource Constrained Devices[3]**

In this paper, the authors focus on the application of ZKIP protocols on resource constrained devices. They study well-established ZKIP protocols based on the discrete logarithm problem and transform them under the ECC setting. Then, they implement the proposed protocols on Wiselib and present a thorough evaluation of the protocols on two popular hardware platforms equipped with low end microcontrollers (Jennic JN5139, TI MSP430) and 802.15.4 RF transceivers, in terms of code size, execution time, message size and energy requirements. The limitation is that this paper is relatively old compared to the speed of hardware development. However, this work's results can be used as a good reference for me to integrate zero-knowledge proof into mobile wallet of RedBelly Blockchain.

### **5 Summary of Potential Research Method**

## References

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