# Calibration Observation Model for Parallel Magnetic Resonance Imaging

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## 1 Introduction

SENSE and GRAPPA are widely used in clinical application, but these two models have some shortcomings. For the SENSE method, the coil sensitivity can be estimated, but it is still not accuracy. For the another method, the interpolation kernel can be estimated by the auto calibraion signal(ACS) while it's not accuracy when the number of the ACS line is far from enough.

Therefore, we propose the calibration observation model incoporating the advantage of the SENSE and GRAPPA. We exploit the SENSE model to remove artifacts in image domain and use GRAPPA kernel to regularize the SENSE model in K-space domain.

## 2 SENSE model

The coil data from the jth coil can modeled as follows:

$$g_l = PFS_l u + \eta \tag{1}$$

where u denotes the desired reconstruced image,  $\eta$  is gaussian white noisy, F is dicrete Fourier transform matrix, and P denotes the sampling matrix.

Combining equations from p coils, we have

$$g = \mathcal{P}Mu + \eta \tag{2}$$

where,

$$g = \begin{bmatrix} g_1 \\ \vdots \\ g_p \end{bmatrix}, \mathcal{P} = \begin{bmatrix} P & & \\ & \ddots & \\ & & P \end{bmatrix}, M = \begin{bmatrix} FS_1 \\ \vdots \\ FS_p \end{bmatrix}, \eta = \begin{bmatrix} \eta_1 \\ \vdots \\ \eta_p \end{bmatrix}$$

#### 3 GRAPPA model

GRAPPA utilizes the interpolation kernel to estimate the missing point, assuming we use G to represent the interpolation kernel, then we have

$$\mathcal{P}GMu \approx g$$
 (3)

# 4 Regularization with two level tight framelet systems

#### **4.1 DHF**

The tight framelets in the first level is the directional Haar framelet (DHF) system, the filters associated with the DHF are

$$\tau_{0} = \frac{1}{4} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}, \tau_{1} = \frac{1}{4} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \tau_{2} = \frac{1}{4} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \tau_{3} = \frac{1}{4} \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix},$$
$$\tau_{4} = \frac{1}{4} \begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}, \tau_{5} = \frac{1}{4} \begin{bmatrix} 0 & 0 \\ 1 & -1 \end{bmatrix}, \tau_{6} = \frac{1}{4} \begin{bmatrix} 0 & 1 \\ 0 & -1 \end{bmatrix}.$$

Let  $M_k$  denotes the associated matrix representation of the filters  $\tau_k$ , therefore

$$B_{1l} = M_0, B_{1h} = [M_1^T, \cdots, M_6^T]^T$$

Let  $\Phi_{1\Lambda}:R^{6n}\to R$  can be defined through a function  $\varphi_1:R^6\to R$  and a non-negative parameter vector  $\Lambda=[\lambda_1,\lambda_2,\cdots,\lambda_n]$  as follows

$$\Phi_{1\Lambda}(v) = \sum_{i=1}^{n} \lambda_i \varphi_1(v_i, v_{i+n}, \cdots, v_{i+5n})$$

In this model,  $\varphi_1(x_1, x_2, x_3, x_4, x_5, x_6) = \sqrt{|x_1|^2 + |x_2|^2} + \sqrt{|x_3|^2 + |x_4|^2}$ 

### 4.2 DCT-based tight framelet

The tight framelet in the second level is generated from the standard  $3 \times 3$  DCT-II orthogonal matrix, the filters are

$$\tau_{0} = \frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}, \tau_{1} = \frac{\sqrt{6}}{18} \begin{bmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{bmatrix}, \tau_{2} = \frac{\sqrt{2}}{18} \begin{bmatrix} 1 & -2 & 1 \\ 1 & -2 & 1 \\ 1 & -2 & 1 \end{bmatrix},$$

$$\tau_{3} = \frac{\sqrt{6}}{18} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{bmatrix}, \tau_{4} = \frac{1}{6} \begin{bmatrix} 1 & 0 & -1 \\ 0 & 0 & 0 \\ -1 & 0 & 1 \end{bmatrix}, \tau_{5} = \frac{\sqrt{3}}{18} \begin{bmatrix} 1 & -2 & 1 \\ 0 & 0 & 0 \\ -1 & 2 & -1 \end{bmatrix},$$

$$\tau_{6} = \frac{\sqrt{2}}{18} \begin{bmatrix} 1 & 1 & 1 \\ -2 & -2 & -2 \\ 1 & 1 & 1 \end{bmatrix}, \tau_{7} = \frac{\sqrt{3}}{18} \begin{bmatrix} 1 & 0 & -1 \\ -2 & 0 & 2 \\ 1 & 0 & -1 \end{bmatrix}, \tau_{7} = \frac{1}{18} \begin{bmatrix} 1 & -2 & 1 \\ -2 & 4 & -2 \\ 1 & -2 & 1 \end{bmatrix},$$

Let  $P_k$  denotes the associated matrix representation of the filters  $\tau_k$ , therefore

$$B_{1l} = P_0, B_{1h} = [P_1^T, \cdots, P_8^T]^T$$

Let  $\Phi_{2\Theta}: R^{8n} \to R$  be defined through a nonnegative parameter sequence  $\Theta = \{\theta_i = (\theta_{i1}, \theta_{i2}, \cdots, \theta_{i8}) \in R^{8n}: 1 \leq i \leq n\}$  non-negative elements as follows

$$\Phi_{2\Theta}(v) = \sum_{i=1}^{n} \| [\theta_{i1}v_i, \theta_{i2}v_{i+n}, \cdots, \theta_{i8}v_{i+7n}] \|_1$$

## 5 Calibration Observation Model

#### 5.1 Model

According to the model (2) and (3), we propose the following optimization model as

$$\min_{u} \left\{ \frac{1}{2} \| \mathcal{P}Mu - g \|_{2}^{2} + \frac{\lambda}{2} \| \mathcal{P}GMu - g \|_{2}^{2} + \Phi_{1\Lambda}(B_{1h}u) + \Phi_{2\Theta}(B_{2h}B_{1l}u) \right\}$$
(4)

where  $\lambda$  is equal to 1 by default. Define

$$f(u) = \frac{1}{2} \| \mathcal{P}Mu - g \|_2^2 + \frac{\lambda}{2} \| \mathcal{P}GMu - g \|_2^2, \quad h(s) = \Phi_{1\Lambda}(B_{1h}s_1) + \Phi_{2\Theta}(B_{2h}B_{1l}s_2), \quad A = \begin{bmatrix} B_{1h} \\ B_{2h}B_{1l} \end{bmatrix}$$

where  $s = (s_1, s_2)$ . Therefore, the model(4) can be rewriten as

$$\min_{u} \left\{ f(u) + h(Au) \right\} \tag{5}$$

 $f(u) = \frac{1}{2} \|\mathcal{P}Mu - g\|_2^2 + \frac{\lambda}{2} \|\mathcal{P}GMu - g\|_2^2$  can be rewritten as:

$$f(u) = \frac{1}{2} \left\| \begin{bmatrix} \mathcal{P}M \\ \sqrt{\lambda}\mathcal{P}GM \end{bmatrix} u - \begin{bmatrix} g \\ \sqrt{\lambda}g \end{bmatrix} \right\|_{2}^{2}$$
 (6)

Equation (6) can be written in a more concise form:

$$f(u) = \frac{1}{2} ||Ku - y||_2^2 \tag{7}$$

where

$$K = \begin{bmatrix} \mathcal{P}M \\ \sqrt{\lambda}\mathcal{P}GM \end{bmatrix}, y = \begin{bmatrix} g \\ \sqrt{\lambda}g \end{bmatrix}$$

According to the function f, we have that  $\nabla f(u) = K^T(Ku - y) = M^T \mathcal{P}^T(\mathcal{P}Mu - g) + \lambda M^T G^T \mathcal{P}^T(\mathcal{P}GMu - g)$ , and we can find that the gradient of f is  $||K||^2$ -Lipschitz continuous.

Choosing  $\gamma$  and  $\delta$  such that  $\gamma < 2/\|K\|^2$  and  $\gamma \delta < 1$ . The PD3O has the following iteration:

$$\begin{aligned} u^k &= real(v^k) \\ s^{k+1} &= prox_{\delta h^*}((I - \gamma \delta A A^T)s^k + \delta A(2u^k - v^k - \gamma \nabla f(u^k))) \\ v^{k+1} &= u^k - \gamma \nabla f(u^k) - \gamma A^T s^{k+1} \end{aligned}$$

where  $\nabla f(u) = M^T \mathcal{P}^T (\mathcal{P} M u - g) + \lambda M^T G^T \mathcal{P}^T (\mathcal{P} G M u - g)$ .

According to the Moreau decomposition, we can get

$$s^{k+1} = x^k - \delta prox_{\delta^{-1}h}(\delta^{-1}x^k) \tag{8}$$

where  $x^k = (I - \gamma \delta A A^T) s^k + \delta A (2u^k - v^k - \gamma \nabla f(u^k))$ 

#### **Algorithm 1 Double Domain Reconstruction Algorithm**

**Require:** g is acquired k-space data; A is tight frame operator; k := 0;  $u^0 := sos(F^{-1}g)$ ;  $v^0 = u^0$ ;  $s^0 = Au^0$ ;  $\epsilon := 1e - 5$ 

**Ensure:**  $\gamma < 2/\|K\|^2$  and  $\gamma \delta < 1$ 

1: while  $k \leq k_{max}$  and  $||u^{k+1} - u^k|| \geqslant \epsilon$  do

2:  $u^k = real(v^k)$ 

3:  $x^k = (I - \gamma \delta A A^T) s^k + \delta A (2u^k - v^k - \gamma \nabla f(u^k))$ 

4:  $s^{k+1} = x^k - \delta prox_{\delta^{-1}h}(\delta^{-1}x^k)$ 

5:  $v^{k+1} = u^k - \gamma \nabla f(u^k) - \gamma A^T s^{k+1}$ 

6: end while

## 5.2 The calculation of the Lipschitz constant of the gradient of f

To solve the model (4), we need to estimate the Lipschitz constant of the f. Note that  $\nabla f(u) = K^T(Ku - y)$ , then the gradient of f is  $||K||_2^2$ -Lipschitz continuous,

$$\begin{aligned} \|K\|_{2}^{2} &= \|K^{T}K\|_{2} = \left\| \begin{bmatrix} \mathcal{P}M \\ \mathcal{P}GM \end{bmatrix}_{2}^{T} \begin{bmatrix} \mathcal{P}M \\ \mathcal{P}GM \end{bmatrix} \right\| \\ &= \left\| \begin{bmatrix} M^{T}\mathcal{P}^{T} & M^{T}G^{T}\mathcal{P}^{T} \end{bmatrix} \begin{bmatrix} \mathcal{P}M \\ \mathcal{P}GM \end{bmatrix} \right\|_{2} \\ &= \left\| \begin{bmatrix} M^{T}\mathcal{P}^{T}\mathcal{P}M + M^{T}G^{T}\mathcal{P}^{T}\mathcal{P}GM \end{bmatrix} \right\|_{2} \\ &\leq \left\| M^{T}\mathcal{P}^{T}\mathcal{P}M \right\|_{2} + \left\| M^{T}G^{T}\mathcal{P}^{T}\mathcal{P}GM \right\|_{2} \\ &= \left\| M^{T}\mathcal{P}^{T}\mathcal{P}M \right\|_{2} + \left\| S^{T}F^{T}G^{T}\mathcal{P}^{T}\mathcal{P}GFS \right\|_{2} \\ &= \left\| S^{T}F^{T}\mathcal{P}FS \right\|_{2} + \left\| S^{T}F^{T}G^{T}\mathcal{P}GFS \right\|_{2} \end{aligned}$$

Based on the previous work, we have known a constant k related to the sensitivity  $S_i$  which is defined as

$$k = \max_{j} \sum_{i}^{p} |s_{j}^{i}|^{2} \tag{9}$$

where  $s_j^i$  the kth diagonal element of the sensitivity matrix  $S_i$ , is the sensitivity coefficient of the ith coil at the kth pixel. And we have

$$||K||_{2}^{2} \leq ||S^{T}F^{T}\mathcal{P}FS||_{2} + ||S^{T}F^{T}G^{T}\mathcal{P}GFS||_{2}$$

$$\leq k(||F^{T}\mathcal{P}F||_{2} + ||F^{T}G^{T}\mathcal{P}GF||_{2})$$

$$\leq k(1+C)$$

$$(10)$$