



Filing ID #10037094

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Sean Casten  
**Status:** Member  
**State/District:** IL06

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 08/12/2020  
**Period Covered:** 01/01/2017– 12/31/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Casten Shared Investments ⇒ Cash [BA]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Casten Shared Investments Income [OT] <small>DESCRIPTION: LLC income</small>		None	Dividends, Interest	Not Applicable	\$201 - \$1,000
Casten Shared Investments ⇒ DFA COMMODITY STRATEGY PORT INST [MF]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ DFA EMERGING MKTS SMALL CAP PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA GLBL REAL ESTATE SEC PORT INST [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA INTL CORE EQTY PORT INSTL [MF]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Casten Shared Investments ⇒ DFA INTL SMALL CAP VALUE PORT INSTL [MF]		\$1,001 - \$15,000	None		
Casten Shared Investments ⇒ DFA INTL SMALL CO PORT INSTL [MF]		\$1,001 - \$15,000	None		
Casten Shared Investments ⇒ DFA US SMALL CAP PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA US TARGETED VALUE PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Greenleaf Power LLC [OL]  LOCATION: Sacramento, CA, US DESCRIPTION: Bioenergy company		\$250,001 - \$500,000	None		
Casten Shared Investments ⇒ HARTFORD SCHRODERS US SM CAP OPPTY I [MF]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ IVY INTERNATIONAL CORE EQUITY CL I [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Rebel Industries RCP Fund XII, LP [HE]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ Siguler Guff Capital Partners (Linx II), LLC [HE]  DESCRIPTION: Fund manager letter on file with the Committee on Ethics.		\$15,001 - \$50,000	partnership income	Not Applicable	\$1,001 - \$2,500
Casten Shared Investments ⇒ TWEEDY BROWNE GLOBAL VALUE FUND [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL [MF]		\$250,001 - \$500,000	None		
Casten Shared Investments ⇒ VANGUARD TOTAL STOCK MKT INDEX FD ADMIRAL SHARE [MF]		\$15,001 - \$50,000	None		
Fidelity 401k ⇒ ABF SM Cap Val INV [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 401k ⇒ FID Blue Chip GR [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Mid Cap Value [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ FID Real Estate Invs [RE]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ GS International Equity Insights Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ Invesco Divrs Divd R5 [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Invesco Int'l Growth R5 [MF]		\$15,001 - \$50,000	None		
Fidelity 401k ⇒ J H Enterprise A [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vang Lg Cap Idx ADM [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vang MidCap Idx ADM [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vanguard Tot Int'l Stock AD [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ WF SM Co Growth ADM [MF]		\$1,001 - \$15,000	Tax-Deferred		
Personal loan to campaign [DO]		\$500,001 - \$1,000,000	Interest	Not Applicable	\$1 - \$200
Schwab Acct ...373917 ⇒ Cash in checking acct [BA]	JT	\$100,001 - \$250,000	None		
Schwab Acct ...373917 ⇒	JT	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Division Street Capital DSC I Series 6 [RP]  LOCATION: Chicago, IL, US DESCRIPTION: Multi-family residential property, Chicago, IL.					
Schwab Acct ...837263 ⇒ Cash in checking acct [BA]	JT	\$1,001 - \$15,000	None		
Schwab Acct 1625-3673 ⇒ AMERICAN FD GROWTH FD OF [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Schwab Acct 1625-3673 ⇒ Cash in brokerage account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Acct 1625-3673 ⇒ INVESCO FTSE RAFI US [EF]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDA EMG MKTS [EF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INL [EF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INTL [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL US [EF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ BJBHX (bond fund) [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Cash in brokerage account [BA]		\$50,001 - \$100,000	Interest	Not Applicable	\$1 - \$200
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Division St. Capital DSC LLC 1 Series 3 [RP]  LOCATION: Chicago, IL, US DESCRIPTION: Multi-family residential property, Chicago, IL		\$1,001 - \$15,000	Rent	Not Applicable	\$100,001 - \$1,000,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ GLENMEDE SMALL CAP EQTY [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ INVESCO FTSE RAFI US [EF]		\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ SCHWAB FUNDAMENTAL EMER [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ SCHWAB FUNDAMENTAL INTL [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
Vanguard 401K ⇒ Vanguard Target Retire 2035 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Zurich North America	spouse salary	N/A	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	June 2007	Mortgage on personal residence	\$500,001 - \$1,000,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

### SCHEDULE A ASSET CLASS DETAILS

- Casten Shared Investments  
LOCATION: US  
DESCRIPTION: Family LLC investment vehicle. Values shown reflect filer's share. Casten Family LLC, identified on prior reports, was subsumed into CSI at the end of 2017.
- Fidelity 401k  
DESCRIPTION: Sean Casten 401K
- Schwab Acct ...373917 (100% Interest) (Owner: JT)  
LOCATION: US
- Schwab Acct ...837263 (100% Interest) (Owner: JT)  
LOCATION: US
- Schwab Acct 1625-3673 (100% Interest) (Owner: JT)  
LOCATION: US
- Sean Casten 12/28/95 Trust (Schwab Acct ...1477) (100% Interest)
- Vanguard 401K (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Sean Casten , 08/12/2020