



Filing ID #10056340

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Anita S. Chen
Status: Congressional Candidate
State/District: CA17

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2023
Filing Date: 02/3/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bitcoin [OT]		\$1,001 - \$15,000	Bitcoin	\$1 - \$200	\$1,001 - \$2,500
DESCRIPTION: Held by Paypal.					
California Condo [RP]		\$250,001 - \$500,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
LOCATION: San Jose, CA, US					
Chase Business 352 [BA]		\$15,001 - \$50,000	None		
Chase Personal 333 [BA]		\$1 - \$1,000	None		
Chase Personal 618 [BA]		\$1,001 - \$15,000	None		
Chase Personal 814 [BA]		\$1,001 - \$15,000	None		
Emergency Fund 253 ⇒ Schwab Value Advantage Money Fund [OT]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Increase due to market value increase.					
Individual 401(k) 992 ⇒ SCHWAB S&P 500 INDEX [MF]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Increase due to market value increase.					
Individual 401(k) 992 ⇒ SPDR S&P 500 ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase.					
Individual Brokerage 036 ⇒ SCHWAB S&P 500 INDEX [OP]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1,001 - \$2,500
DESCRIPTION: Value of asset due to sale and repurchase of the same mutual fund.					
Individual Brokerage 036 ⇒ SPDR S&P 500 ETF [EF]		\$1 - \$1,000	Dividends	None	\$201 - \$1,000
DESCRIPTION: Market value decrease due to market value loss. Asset was sold in Feb 2023.					
Individual Brokerage 036 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		\$1 - \$1,000	Dividends	None	\$1 - \$200
DESCRIPTION: Loss in value because stock was sold and amount withdrawn.					
Individual Brokerage 236 ⇒ SCHWAB S&P 500 INDEX [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	\$201 - \$1,000
DESCRIPTION: Increase due to additional purchase of shares.					
Individual Brokerage 236 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$5,001 - \$15,000
DESCRIPTION: Loss due to loss of market value and sale of stocks.					
LearningQuest Education Saving Program 302 ⇒ American Century Focused Global Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Global Bond Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Global Real Estate Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LearningQuest Education Saving Program 302 ⇒ American Century Heritage Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Mid Cap Value Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Short Duration Inflation Protection Bond Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Small Cap Growth Fund [MF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Small Cap Value Fund [MF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ American Century Value Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ Avantis U.S. Equity Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ Baird Core Plus Bond Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ Schwab S&P 500 Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ Vanguard Total Bond Market Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					
LearningQuest Education Saving Program 302 ⇒ Vanguard Total International Stock Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Value increase due to market value increase.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Nevada House [RP]	JT	\$250,001 - \$500,000	Rent	\$201 - \$1,000	\$5,001 - \$15,000
LOCATION: Henderson, CA, US DESCRIPTION: Property is held jointly with the candidate holding 25% ownership.					
Partnership Holding 100, 80% Interest [OL]		None	Rent	None	\$50,001 - \$100,000
LOCATION: San Jose, CA, US DESCRIPTION: Partnership is a property management company and was closed in December 2023.					
Paypal Account [BA]		\$1,001 - \$15,000	None		
Roth Contributory IRA 109 ⇒ INVSC QQQ TRUST SRS 1 [EF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase.					
Roth Contributory IRA 109 ⇒ SCHWAB INTERNATIONAL IND [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This mutual fund was sold to purchase another with no withdrawal of funds from the account.					
Roth Contributory IRA 109 ⇒ SCHWAB S&P 500 INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Value increase due to addition mutual fund purchases and market value increase.					
Roth Contributory IRA 109 ⇒ SCHWAB SMALL CAP INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This mutual fund was sold to purchase another with no withdrawal of funds from the account.					
Roth Contributory IRA 109 ⇒ SCHWAB TOTAL STOCK MARKET INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Value increase due to additional purchase of shares and market value increase.					
Roth Contributory IRA 109 ⇒ SCHWAB VALUE ADVANTAGE MONEY INVESTMENT [BA]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase.					
Roth Contributory IRA 109 ⇒ SPDR S AND P 500 ESG [EF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase and additional shares purchased.					
Roth Contributory IRA 109 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Value increase due to market value increase.					
Simplified Employee Plan 933 ⇒ QQQ [EF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase.					
Simplified Employee Plan 933 ⇒ SCHWAB S&P 500 INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase and mutual fund purchases.					
Simplified Employee Plan 933 ⇒ SCHWAB SMALL CAP INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase and additional mutual fund purchases.					
Simplified Employee Plan 933 ⇒ SCHWAB TOTAL STOCK MARKET [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase and additional mutual stock purchases.					
Simplified Employee Plan 933 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Increase due to market value increase.					
Vanguard Individual Brokerage 241 ⇒ VANGUARD GROWTH INDEX ADMIRAL [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$15,001 - \$50,000
DESCRIPTION: Market value increase due to market value increase. Funds withdrawn from account April 2023.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Courageous Communications	English Tutoring	\$20,890.00	\$95,221.00
Global Trimension Impact	Property Management	N/A	\$51,874.73

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Founder	Courageous Communications
Partner	Global Trimension Impact

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2017	Anita Chen and Courageous Communications	I am self-employed as a sole proprietor and I have participation in employment-related accounts. This submission is for the Simplified Employee Plan.
May 2022	Anita Chen and Courageous Communications	I am self-employed as a sole proprietor and I have participation in employment-related accounts. This submission is for the individual 401k.
April 2017	Anita Chen and Courageous Communications	I am self-employed as a sole proprietor and I have participation in employment-related accounts. This submission is for the Roth 401k.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
USA Prancer Development Inc (San Jose, CA, US)	I provided English writing services to an afterschool.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> Emergency Fund 253 LOCATION: US DESCRIPTION: Held by Charles Schwab. Individual 401(k) 992 DESCRIPTION: Held by Charles Schwab. Individual Brokerage 036 LOCATION: US DESCRIPTION: Held with Charles Schwab Individual Brokerage 236 LOCATION: US DESCRIPTION: Held by Charles Schwab. LearningQuest Education Saving Program 302 LOCATION: KS DESCRIPTION: Held by LearningQuest 70% Equity Portfolio. Roth Contributory IRA 109 DESCRIPTION: Held by Charles Schwab. Simplified Employee Plan 933 DESCRIPTION: Held by Charles Schwab. Vanguard Individual Brokerage 241 LOCATION: US
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DESCRIPTION: Held by Vanguard.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Anita S. Chen , 02/3/2024