



Filing ID #10056047

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Derek Tran
Status: Congressional Candidate
State/District: CA45

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2023
Filing Date: 01/26/2024
Period Covered: 01/01/2022– 12/31/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America bank account [BA]		\$1,001 - \$15,000	None		
Binance cryptocurrency wallet ⇒ Bitcoin [OT] DESCRIPTION: cryptocurrency		\$15,001 - \$50,000	None		
Binance cryptocurrency wallet ⇒ Ethereum [OT] DESCRIPTION: cryptocurrency		\$1,001 - \$15,000	None		
Binance cryptocurrency wallet ⇒ Shiba Inu [OT] DESCRIPTION: cryptocurrency		\$1,001 - \$15,000	None		
Chase bank account [BA]		\$15,001 - \$50,000	None		
Coinbase cryptocurrency wallet ⇒ Bitcoin [OT] DESCRIPTION: cryptocurrency		\$1,001 - \$15,000	None		
Coinbase cryptocurrency wallet ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ethereum [OT]					
DESCRIPTION: cryptocurrency					
E*Trade Brokerage 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ American Express Company (AXP) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Heico Corporation (HEI) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	None		
E*Trade Brokerage 2 ⇒ CVS Health Corporation (CVS) [ST]	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
E*Trade Brokerage 2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	None		
LPL Financial Rollover IRA ⇒ Citibank deposit [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Principal Spectrum Preferred and Capital Securities Income Fund (PPSIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Nguyen Win, Inc. [OL]	JT	\$1,000,001 - \$5,000,000	Partnership income	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Anaheim, CA, US					
DESCRIPTION: Operates True Care Pharmacy. 2023 figure is estimate only; K1 not yet available.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Pacific Life (fixed annuity) [FN]		\$50,001 - \$100,000	Tax-Deferred		
Residential real property [RP]		\$500,001 - \$1,000,000	None		
LOCATION: Stanton, CA, US					
The Tran Firm, Inc. [OL]		\$1,001 - \$15,000	None		
LOCATION: Huntington Beach, CA, US					
DESCRIPTION: Law firm					
The Tran Firm, Inc. 401(k) (Principal) ⇒ AB/Brown/Emerald SmallCap Growth I SA [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ American Funds 2040 Target Date Retirement Fund Class R-4 (RDGTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Diversified International SA [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Equity Income SA [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal LargeCap S&P 500 Index SA [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal SmallCap S&P 600 Index SA [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ T. Rowe Price Large-Cap Growth I SA [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Vaughan Nelson/H&W SmallCap Value II SA [MF]		\$15,001 - \$50,000	Tax-Deferred		
USAA 529 Education Savings Plan - DC1 ⇒ USAA 529 Age-Based Option 7-8: Moderately Aggressive Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
USAA 529 Education Savings Plan - DC2 ⇒ USAA 529 Moderate Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
USAA 529 Education Savings Plan - DC2 ⇒ USAA 529 Very Aggressive Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
USAA 529 Education Savings Plan - DC3 ⇒ USAA 529 Age-Based Option o-2: Very Aggressive Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
USAA bank account [BA]		\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Tran Firm Inc.	Salary	\$274,504.00	\$38,278.00
Nguyen Win, Inc.	Spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase	April 2021	Mortgage on Stanton, CA residential property	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chief Executive Officer/Director	The Tran Firm, Inc.
Secretary	Nguyen Win, Inc.
Member, Board of Governors	Consumer Attorneys Association of Los Angeles
Director	Consumer Attorneys of California

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Alida Escudero (Rialto, CA, US)	Legal services
Edward Bister (Orangevale, CA, US)	Legal services
Victor Gonzalez (Elk Grove, CA, US)	Legal services
Juan Rios (Palmdale, CA, US)	Legal services
Carlo Cesar (Pittsburg, CA, US)	Legal services
Barcie Waite (Los Angeles, CA, US)	Legal services
Roldulfo Ambriz (Santa Ana, CA, US)	Legal services
Kaveh Naderi (Woodland Hills, CA, US)	Legal services
Sonia Espinoza Garcia (Vallejo, CA, US)	Legal services
Cecile Sabater (La Palma, CA, US)	Legal services
Adiel Zhang (Westminster, CA, US)	Legal services
Janet Van Ham (Los Angeles, CA, US)	Legal services
Romero Sanchez (Los Angeles, CA, US)	Legal services
Jonathan Arriola (Garden Grove, CA, US)	Legal services
Danielle Mosley (Los Angeles, CA, US)	Legal services
Jennifer Davis (Sacramento, CA, US)	Legal services
Hiep Nguyen (San Jose, CA, US)	Legal services
Summer Roth (California City, CA, US)	Legal services
Jessica Rodriguez (Montebello, CA, US)	Legal services

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Binance cryptocurrency wallet LOCATION: US◦ Coinbase cryptocurrency wallet LOCATION: US◦ E*Trade Brokerage 1 LOCATION: US◦ E*Trade Brokerage 2 (Owner: SP)

- LPL Financial Rollover IRA (Owner: SP)
- The Tran Firm, Inc. 401(k) (Principal)
- USAA 529 Education Savings Plan - DC1 (Owner: DC)
LOCATION: NV
- USAA 529 Education Savings Plan - DC2 (Owner: DC)
LOCATION: NV
- USAA 529 Education Savings Plan - DC3 (Owner: DC)
LOCATION: NV

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Derek Tran , 01/26/2024