

AUG - 5 2014

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

Period covered: January 1, 2013 - July 15, 2014

FORM B
For use by candidates and new employees

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
2014 AUG - 8 PM 12:26

Name: Earl Leroy Carter

Daytime Telephone:

CL

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: GA	Date of Election: 1
	<input type="checkbox"/> New officer or employee	Employing Office:	

In all sections, please type or print clearly in blue or black ink.

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	<input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

Yes No

SCHEDULE I – EARNED INCOME (INCLUDING HONORARIA)

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

SCHEDULE II – ASSETS AND “UNEARNED” INCOME

Asset and/or Income Source

Value of Asset

Type of Income

Amount of Income

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement plans (such as 401(K) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, e.g., "Central Avenue" and the city and state.

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

"This column is for assets solely held by your spouse or dependent child.

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-free income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains**, even if reinvested, must be **disregarded as income**. Check "None" if the asset generated no income during the reporting period.

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

SCHEDULE II – ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

SCHEDULE III – LIABILITIES

Name Earl L. E. R. - Carter

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SCHEDULE IV – POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

<p>Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.</p> <p>Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.</p>	
Position	Name of Organization
President	Carter's Pharmacy, Inc
Director	The Coastal Bank
Trustee	Young Harris College
Employee	Omnicare, Inc.

Use additional sheets if more space is required.

SCHEDULE V – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Name	Earl LEROY Carter
Page	6 of 6

SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

recognized by law. Do not repeat information listed on Schedule I.

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 02-00000000
Taxpayer ID Number: XXX-XX-3657
Account Number: 0000000000

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
ABBOTT LABORATORIES								
			CUSIP: 002824100	Symbol (Box 1d): ABT				
	114,000	01/28/13	08/16/13	\$3,964.87	\$3,766.15	\$0.00	\$198.72	\$0.00
	110,000	01/30/13	08/16/13	\$3,825.75	\$3,656.13	\$0.00	\$169.62	\$0.00
	6,000	02/08/13	08/16/13	\$208.68	\$206.10	\$0.00	\$2.58	\$0.00
	10,000	02/19/13	08/16/13	\$347.80	\$347.90	\$0.00	(\$0.10)	\$0.00
	50,000	03/25/13	08/16/13	\$1,738.98	\$1,686.53	\$0.00	\$52.45	\$0.00
	30,000	05/15/13	08/16/13	\$1,043.38	\$1,122.65	\$0.00	(\$79.27)	\$0.00
ADTRAN INC	320,000			\$11,129.46		\$0.00	\$344.00	\$0.00
			CUSIP: 00738A106	Symbol (Box 1d): ADTN				
	150,000	01/28/13	08/09/13	\$3,919.42	\$3,070.26	\$0.00	\$849.16	\$0.00
	135,000	01/28/13	09/04/13	\$3,514.99	\$2,763.23	\$0.00	\$751.76	\$0.00
	5,000	02/08/13	09/04/13	\$130.18	\$104.93	\$0.00	\$25.25	\$0.00
	10,000	02/19/13	09/04/13	\$260.37	\$236.10	\$0.00	\$24.27	\$0.00
LEXION PHARM INC	300,000			\$7,824.96		\$0.00	\$1,650.44	\$0.00
			CUSIP: 015351109	Symbol (Box 1d): ALXN				
	6,000	11/05/12	03/15/13	\$559.54	\$547.86	\$0.00	\$11.68	\$0.00
	4,000	01/07/13	03/15/13	\$373.03	\$388.84	\$0.00	(\$15.81)	\$0.00
	13,000	01/22/13	03/15/13	\$1,212.34	\$1,267.13	\$0.00	(\$54.79)	\$0.00
	7,000	02/08/13	03/15/13	\$652.80	\$656.18	\$0.00	(\$3.38)	\$0.00
ALLERGAN INC	30,000			\$2,797.71		\$0.00	(\$62.30)	\$0.00
			CUSIP: 018490102	Symbol (Box 1d): AGN				
	2,000	02/02/12	01/31/13	\$210.55	\$169.71	\$0.00	\$40.84	\$0.00
	30,000	06/05/12	05/03/13	\$3,141.10	\$2,679.91	\$0.00	\$461.19	\$0.00
	1,000	09/06/12	05/03/13	\$104.70	\$88.75	\$0.00	\$15.95	\$0.00



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1099-B

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1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
 200-500

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Taxpayer ID Number: XXX-XX-3657
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLERGAN INC	8.000	09/06/12	05/10/13	\$826.76	\$710.03	\$0.00	\$116.73	\$0.00
	8.000	09/06/12	05/15/13	\$830.57	\$710.03	\$0.00	\$120.54	\$0.00
	5.000	01/17/13	05/15/13	\$519.10	\$525.30	\$0.00	(\$6.20)	\$0.00
Security Subtotal	54.000			\$5,632.78	\$4,883.73	\$0.00	\$749.05	\$0.00
AMERICAN TOWER REIT COM	CUSIP: 03027X100	Symbol (Box 1d): AMT						
	5.000	01/07/13	07/17/13	\$363.74	\$385.55	\$0.00	(\$21.81)	\$0.00
	17.000	01/07/13	07/17/13	\$1,236.71	\$1,309.91	\$0.00	(\$73.20)	\$0.00
	3.000	02/19/13	07/17/13	\$218.24	\$222.57	\$0.00	(\$4.33)	\$0.00
	2.000	01/17/13	08/12/13	\$139.91	\$157.69	\$0.00	(\$17.78)	\$0.00
	6.000	01/17/13	08/12/13	\$419.74	\$472.86	\$0.00	(\$53.12)	\$0.00
	3.000	02/08/13	08/12/13	\$209.87	\$230.76	\$0.00	(\$20.89)	\$0.00
	9.000	02/08/13	08/12/13	\$629.61	\$692.28	\$0.00	(\$62.67)	\$0.00
	27.000	02/14/13	08/12/13	\$1,888.83	\$1,991.62	\$0.00	(\$102.79)	\$0.00
	3.000	02/14/13	08/12/13	\$209.87	\$221.29	\$0.00	(\$11.42)	\$0.00
	3.000	02/19/13	08/12/13	\$209.87	\$222.66	\$0.00	(\$12.79)	\$0.00
	4.000	02/19/13	08/12/13	\$279.84	\$296.76	\$0.00	(\$16.92)	\$0.00
Security Subtotal	82.000			\$5,806.23	\$6,203.95	\$0.00	(\$397.72)	\$0.00
AMERIPRIZE FINCL INC	CUSIP: 03076C106	Symbol (Box 1d): AMP						
	17.000	12/03/12	07/12/13	\$1,470.34	\$1,038.09	\$0.00	\$432.25	\$0.00
	2.000	01/07/13	07/12/13	\$172.98	\$130.59	\$0.00	\$42.39	\$0.00
	2.000	01/17/13	07/12/13	\$172.98	\$131.06	\$0.00	\$41.92	\$0.00
	3.000	02/08/13	07/12/13	\$259.47	\$199.53	\$0.00	\$59.94	\$0.00
	5.000	02/19/13	07/12/13	\$432.45	\$344.70	\$0.00	\$87.75	\$0.00
Security Subtotal	29.000			\$2,508.22	\$1,843.97	\$0.00	\$664.25	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
Taxpayer ID Number: XXX-XX-3657
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERISOURCEBERGEN CORP	CUSIP: 03073E105	Symbol (Box 1d): ABC						
32,000	01/28/13	05/15/13	\$1,755.33	\$1,442.94	\$0.00	\$312.39	\$0.00	
20,000	01/28/13	07/12/13	\$1,162.44	\$901.83	\$0.00	\$260.61	\$0.00	
3,000	02/19/13	07/12/13	\$174.37	\$141.45	\$0.00	\$32.92	\$0.00	
29,000	03/25/13	05/13/13	\$1,688.71	\$1,468.73	\$0.00	\$219.98	\$0.00	
30,000	04/19/13	08/13/13	\$1,746.94	\$1,667.44	\$0.00	\$79.50	\$0.00	
Security Subtotal	114,000		\$6,527.79	\$5,622.39	\$0.00	\$905.40	\$0.00	
ANHEUSER BUSCH INBEV SA SPON	CUSIP: 03524A108	Symbol (Box 1d): BUD						
11,000	01/07/13	02/07/13	\$957.10	\$962.06	\$0.00	(\$4.96)	\$0.00	
2,000	01/17/13	02/07/13	\$174.02	\$177.76	\$0.00	(\$3.74)	\$0.00	
Security Subtotal	13,000		\$1,131.12	\$1,139.82	\$0.00	(\$8.70)	\$0.00	
ARUBA NETWORKS INC	CUSIP: 043176106	Symbol (Box 1d): ARUN						
61,000	02/13/13	05/07/13	\$1,028.52	\$1,290.02	\$0.00	(\$261.50)	\$0.00	
55,000	02/13/13	05/07/13	\$927.32	\$1,163.09	\$285.77	(\$235.77)	\$0.00	
4,000	02/19/13	05/07/13	\$67.44	\$91.08	\$0.00	(\$23.64)	\$0.00	
20,000	03/12/13	05/07/13	\$337.21	\$516.62	\$0.00	(\$179.41)	\$0.00	
7,000	03/14/13	05/07/13	\$118.03	\$181.70	\$0.00	(\$63.67)	\$0.00	
28,000	03/14/13	05/13/13	\$486.15	\$726.81	\$0.00	(\$240.66)	\$0.00	
7,000	03/14/13	05/14/13	\$122.26	\$181.70	\$0.00	(\$59.44)	\$0.00	
19,000	03/25/13	05/14/13	\$331.85	\$484.65	\$0.00	(\$152.80)	\$0.00	
55,000	02/13/13	05/17/13	\$703.98	\$1,423.40	\$0.00	(\$719.42)	\$0.00	
49,000	03/25/13	05/17/13	\$627.19	\$1,249.89	\$0.00	(\$622.70)	\$0.00	
Security Subtotal	305,000		\$4,749.95	\$7,308.96	\$235.77	(\$2,559.01)	\$0.00	

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1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
XXX-XX-3657

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Taxpayer ID Number:
Account Number:

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV REG NEW								
CUSIP: N07059Z10			Symbol (Box 1d): ASML					
40,000	01/28/13	06/13/13	\$3,148.12	\$3,006.00	\$0.00	\$142.12	\$0.00	\$0.00
3,000	01/28/13	11/14/13	\$265.59	\$225.45	\$0.00	\$40.14	\$0.00	\$0.00
25,000	01/28/13	11/14/13	\$2,213.31	\$1,878.75	\$0.00	\$334.56	\$0.00	\$0.00
1,000	02/08/13	11/14/13	\$88.53	\$75.11	\$0.00	\$13.42	\$0.00	\$0.00
3,000	02/08/13	11/19/13	\$269.59	\$225.33	\$0.00	\$44.26	\$0.00	\$0.00
3,000	02/08/13	11/19/13	\$269.59	\$225.33	\$0.00	\$44.26	\$0.00	\$0.00
3,000	03/13/13	11/19/13	\$269.58	\$209.85	\$0.00	\$59.73	\$0.00	\$0.00
19,000	03/13/13	11/19/13	\$1,707.39	\$1,332.70	\$0.00	\$374.69	\$0.00	\$0.00
1,000	10/29/13	11/19/13	\$89.88	\$94.08	\$4.23	(\$4.23)	\$0.00	\$0.00
Security Subtotal	98,000		\$8,321.56	\$7,272.61	\$4.23	\$1,048.95	\$0.00	\$0.00
ASSURANT INC			Symbol (Box 1d): ALZ					
	2,000	02/08/13	07/10/13	\$104.55	\$77.12	\$0.00	\$27.43	\$0.00
	10,000	02/19/13	07/10/13	\$522.76	\$422.49	\$0.00	\$100.36	\$0.00
Security Subtotal	12,000		\$627.31	\$499.52	\$0.00	\$127.79	\$0.00	\$0.00
AUTOLIV INC			Symbol (Box 1d): ALV					
	41,000	07/27/12	06/24/13	\$3,031.38	\$2,308.94	\$0.00	\$722.44	\$0.00
	4,000	01/07/13	06/24/13	\$295.74	\$266.72	\$0.00	\$29.02	\$0.00
	5,000	02/07/13	06/24/13	\$369.69	\$324.80	\$0.00	\$44.89	\$0.00
Security Subtotal	50,000		\$3,696.81	\$2,900.46	\$0.00	\$796.35	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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Identification Number:
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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
AUTOZONE INC		CUSIP: 053332102	Symbol (Box 1d): AZO					
	1,000	06/28/12	05/16/13	\$413.62	\$365.95	\$0.00	\$47.67	\$0.00
	9,000	01/28/13	05/16/13	\$3,722.56	\$3,332.16	\$0.00	\$390.40	\$0.00
	Security Subtotal	10,000		\$4,136.18	\$3,698.11	\$0.00	\$438.07	\$0.00
BG GROUP PLC		CUSIP: 055434203	Symbol (Box 1d): BRGY					
	132,000	01/28/13	07/05/13	\$2,240.20	\$2,376.00	\$0.00	(\$135.80)	\$0.00
	8,000	02/08/13	07/05/13	\$135.77	\$140.64	\$0.00	(\$4.87)	\$0.00
	10,000	02/19/13	07/05/13	\$168.71	\$177.70	\$0.00	(\$7.99)	\$0.00
	Security Subtotal	150,000		\$2,545.68	\$2,694.34	\$0.00	(\$148.66)	\$0.00
BHP BILLITON PLC SPONS ADR		CUSIP: 05545E209	Symbol (Box 1d): BBL					
	19,000	07/18/12	06/18/13	\$1,079.95	\$1,073.65	\$0.00	\$6.30	\$0.00
	2,000	01/17/13	06/18/13	\$113.68	\$132.92	\$0.00	(\$19.24)	\$0.00
	8,000	02/08/13	06/18/13	\$454.72	\$545.60	\$0.00	(\$90.88)	\$0.00
	Security Subtotal	29,000		\$1,648.35	\$1,752.17	\$0.00	(\$103.82)	\$0.00
BIOGEN IDEC INC		CUSIP: 09062X103	Symbol (Box 1d): BIIB					
	5,000	05/01/12	02/14/13	\$825.10	\$657.27	\$0.00	\$167.83	\$0.00
	4,000	05/01/12	04/22/13	\$846.20	\$525.81	\$0.00	\$320.39	\$0.00
	Security Subtotal	9,000		\$1,671.30	\$1,183.08	\$0.00	\$488.22	\$0.00
BOSTON PROPERTIES INC		CUSIP: 101121101	Symbol (Box 1d): BXP					
	2,000	01/17/13	10/16/13	\$216.39	\$214.68	\$0.00	\$1.71	\$0.00
	2,000	02/08/13	10/16/13	\$216.40	\$209.30	\$0.00	\$7.10	\$0.00
	Security Subtotal	4,000		\$432.79	\$423.98	\$0.00	\$8.81	\$0.00



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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BROADCOM CORP CL A	CUSIP: 111320107	Symbol (Box 1d); BRCM						
	25.000	06/13/12	03/08/13	\$836.98	\$842.44	\$0.00	(\$5.46)	\$0.00
	24.000	07/17/12	03/08/13	\$803.50	\$711.06	\$0.00	\$92.44	\$0.00
	4.000	01/07/13	03/08/13	\$133.92	\$137.36	\$0.00	(\$3.44)	\$0.00
	10.000	01/17/13	03/08/13	\$334.79	\$350.50	\$0.00	(\$15.71)	\$0.00
	10.000	02/08/13	03/08/13	\$334.78	\$330.70	\$0.00	\$4.08	\$0.00
<u>Security Subtotal</u>	<u>73.000</u>			<u>\$2,443.97</u>	<u>\$2,372.06</u>	<u>\$0.00</u>	<u>\$71.91</u>	<u>\$0.00</u>
CA INCORPORATED	CUSIP: 12673P105	Symbol (Box 1d); CA						
	54.000	01/28/13	06/04/13	\$1,488.44	\$1,355.40	\$0.00	\$133.04	\$0.00
	10.000	02/19/13	06/04/13	\$275.64	\$249.70	\$0.00	\$25.94	\$0.00
<u>Security Subtotal</u>	<u>64.000</u>			<u>\$1,764.08</u>	<u>\$1,605.10</u>	<u>\$0.00</u>	<u>\$158.98</u>	<u>\$0.00</u>
CABOT OIL & GAS CORP A	CUSIP: 127097103	Symbol (Box 1d); COG						
	64.000	08/14/12	03/06/13	\$3,974.20	\$2,705.03	\$0.00	\$1,269.17	\$0.00
	22.000	01/07/13	03/06/13	\$1,366.13	\$1,095.82	\$0.00	\$270.31	\$0.00
	1.000	01/17/13	03/06/13	\$62.10	\$50.49	\$0.00	\$11.61	\$0.00
	9.000	02/08/13	03/06/13	\$558.87	\$494.37	\$0.00	\$64.50	\$0.00
	10.000	02/19/13	03/06/13	\$620.97	\$554.30	\$0.00	\$66.67	\$0.00
<u>Security Subtotal</u>	<u>106.000</u>			<u>\$6,582.27</u>	<u>\$4,900.01</u>	<u>\$0.00</u>	<u>\$1,682.26</u>	<u>\$0.00</u>
CAIRN ENERGY PLC ADR NEW	CUSIP: 12776P200	Symbol (Box 1d); CRNCY						
	275.000	01/28/13	03/26/13	\$2,341.02	\$2,538.25	\$0.00	(\$197.23)	\$0.00
	15.000	02/08/13	03/26/13	\$127.69	\$137.10	\$0.00	(\$9.41)	\$0.00
	20.000	02/19/13	03/26/13	\$170.26	\$178.80	\$0.00	(\$8.54)	\$0.00
<u>Security Subtotal</u>	<u>310.000</u>			<u>\$2,638.97</u>	<u>\$2,854.15</u>	<u>\$0.00</u>	<u>(\$215.18)</u>	<u>\$0.00</u>

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza


EARL L CARTER
406 PURPLE FINCH
POOL FB GA 31322-1712

New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

GLOSS PROCEEDS LESS COMMISSIONS AND UPON BENCHMARKS, STOCKS, BONDS, ETC.

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST DISALLOWED (Box 3)	WASH SALE LOSS (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
CATERPILLAR INC								
	7.000	03/07/13	06/27/13	\$580.36	\$627.17	\$0.00	(\$46.81)	\$0.00
	3.000	03/25/13	06/27/13	\$248.73	\$259.27	\$0.00	(\$10.54)	\$0.00
	2.000	04/19/13	06/27/13	\$165.82	\$160.94	\$0.00	\$4.88	\$0.00
	1.000	03/07/13	11/20/13	\$82.75	\$89.60	\$0.00	(\$6.85)	\$0.00
	17.000	03/25/13	11/20/13	\$1,406.83	\$1,469.18	\$0.00	(\$62.35)	\$0.00
	83.000			\$6,881.07	\$7,743.54	\$271.03	(\$862.58)	\$0.00
CBRE GROUP INC								
		CUSIP: 125041-109	Symbol (Box 1d): CBG					
	35.000	01/07/13	07/30/13	\$833.05	\$731.50	\$0.00	\$101.55	\$0.00
	30.000	01/17/13	07/30/13	\$714.04	\$621.60	\$0.00	\$92.44	\$0.00
	30.000	02/08/13	07/30/13	\$714.04	\$724.80	\$0.00	(\$10.76)	\$0.00
	5.000	02/19/13	07/30/13	\$119.01	\$122.00	\$2.99	(\$2.99)	\$0.00
	100.000			\$2,380.14	\$2,199.90	\$13.75	\$180.24	\$0.00
CELGENE CORP								
		CUSIP: 151020104	Symbol (Box 1d): CELG					
	10.000	06/28/12	01/28/13	\$986.14	\$615.43	\$0.00	\$370.71	\$0.00
	29.000	07/12/12	01/28/13	\$2,859.81	\$1,830.35	\$0.00	\$1,029.46	\$0.00
	1.000	01/07/13	01/28/13	\$98.61	\$85.34	\$0.00	\$13.27	\$0.00
	1.000	01/17/13	01/28/13	\$98.62	\$98.69	\$0.00	(\$0.07)	\$0.00
	41.000			\$4,043.18	\$2,629.81	\$0.00	\$1,413.37	\$0.00
CENTURYLINK INC								
		CUSIP: 156700106	Symbol (Box 1d): CTL					
	6.000	01/07/13	03/25/13	\$210.36	\$240.54	\$0.00	(\$30.18)	\$0.00
	5.000	02/08/13	03/25/13	\$175.30	\$206.65	\$0.00	(\$31.35)	\$0.00
	11.000			\$385.56	\$447.19	\$0.00	(\$61.53)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER,
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:

Taxpayer ID Number: VV.VV 9657
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CAMERON INTNL CORP	50,000	11/04/13	12/26/13	\$2,975.31	\$2,753.62	\$0.00	\$221.69	\$0.00
CUSIP: 13342B105			Symbol (Box 1d): CAM					
CAPITAL ONE FINANCIAL CORP	94,000	01/28/13	03/25/13	\$5,080.66	\$5,265.93	\$0.00	(\$185.27)	\$0.00
	1,000	02/08/13	03/25/13	\$54.05	\$57.12	\$0.00	(\$3.07)	\$0.00
	5,000	02/19/13	03/25/13	\$270.25	\$265.50	\$0.00	\$4.75	\$0.00
Security Subtotal	100,000			\$5,404.96	\$5,588.55	\$0.00	(\$183.59)	\$0.00
CATAMARAN CORP COM	58,000	01/04/13	09/24/13	\$2,763.65	\$2,857.91	\$0.00	(\$94.26)	\$0.00
	2,000	01/17/13	09/24/13	\$95.30	\$104.74	\$0.00	(\$9.44)	\$0.00
	10,000	02/08/13	09/24/13	\$476.49	\$516.50	\$0.00	(\$40.01)	\$0.00
	28,000	03/07/13	09/24/13	\$1,334.18	\$1,574.13	\$0.00	(\$239.95)	\$0.00
	20,000	03/14/13	09/24/13	\$952.98	\$1,056.06	\$0.00	(\$103.08)	\$0.00
	24,000	07/03/13	09/24/13	\$1,143.58	\$1,136.05	\$0.00	\$7.53	\$0.00
Security Subtotal	142,000			\$6,766.18	\$7,245.39	\$0.00	(\$479.21)	\$0.00
CATERPILLAR INC	CUSIP: 149123101		Symbol (Box 1d): CAT					
	8,000	01/28/13	06/20/13	\$665.68	\$778.40	\$112.73	(\$112.73)	\$0.00
	21,000	01/28/13	06/27/13	\$1,741.10	\$2,043.31	\$0.00	(\$302.21)	\$0.00
	10,000	01/28/13	06/27/13	\$829.09	\$973.00	\$143.91	(\$143.91)	\$0.00
	1,000	01/28/13	06/27/13	\$82.90	\$97.29	\$14.39	(\$14.39)	\$0.00
	1,000	01/28/13	06/27/13	\$82.90	\$99.12	\$0.00	(\$16.22)	\$0.00
	2,000	02/08/13	06/27/13	\$165.82	\$193.66	\$0.00	(\$27.84)	\$0.00
	10,000	02/19/13	06/27/13	\$829.09	\$952.70	\$0.00	(\$123.61)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Organ Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
Account Number:

Taxpayer ID Number: XYXY
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
COBALT INT'L ENERGY	2.000	01/17/13	12/03/13	\$35.20	\$49.62	\$0.00	(\$14.42)	\$0.00
	13.000	02/08/13	12/03/13	\$228.78	\$325.78	\$0.00	(\$97.00)	\$0.00
	46.000	06/13/13	12/03/13	\$809.53	\$1,169.38	\$0.00	(\$359.85)	\$0.00
	30.000	07/02/13	12/03/13	\$527.96	\$842.82	\$0.00	(\$314.86)	\$0.00
	21.000	10/01/13	12/03/13	\$369.56	\$521.98	\$0.00	(\$152.42)	\$0.00
Security Subtotal	129.000			\$2,275.62	\$3,349.71	\$2.36	(\$1,074.09)	\$0.00
COCA COLA CO			CUSIP: 191216100 Symbol (Box 1d):KO					
	38.000	12/18/12	03/08/13	\$1,491.75	\$1,428.37	\$0.00	\$63.38	\$0.00
	20.000	01/07/13	03/08/13	\$785.13	\$746.40	\$0.00	\$38.73	\$0.00
	15.000	01/17/13	03/08/13	\$588.85	\$564.00	\$0.00	\$24.85	\$0.00
	35.000	02/08/13	03/08/13	\$1,373.98	\$1,353.45	\$0.00	\$20.53	\$0.00
	5.000	02/19/13	03/08/13	\$196.29	\$188.05	\$0.00	\$8.24	\$0.00
Security Subtotal	113.000			\$4,436.00	\$4,280.27	\$0.00	\$155.73	\$0.00
COGNIZANT TECH SOLUTIONS CL A			CUSIP: 192446102 Symbol (Box 1d):CTSH					
	21.000	10/18/12	05/13/13	\$1,332.28	\$1,456.76	\$0.00	(\$124.48)	\$0.00
	2.000	01/07/13	05/13/13	\$126.88	\$151.00	\$0.00	(\$24.12)	\$0.00
	2.000	01/17/13	05/13/13	\$126.88	\$157.14	\$0.00	(\$30.26)	\$0.00
	3.000	02/08/13	05/13/13	\$190.33	\$235.95	\$0.00	(\$45.62)	\$0.00
Security Subtotal	28.000			\$1,776.37	\$2,000.85	\$0.00	(\$224.48)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

New York, NY 10004
Identification Number:

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CISCO SYS INC	CUSIP: 17275R102	Symbol (Box 1d):CSCO						
	195,000	05/16/13	10/11/13	\$4,494.67	\$4,678.05	\$0.00	(\$183.38)	\$0.00
	36,000	05/16/13	10/25/13	\$805.30	\$863.64	\$0.00	(\$58.34)	\$0.00
	11,000	05/16/13	10/25/13	\$246.06	\$263.89	\$0.00	(\$17.83)	\$0.00
	68,000	06/20/13	10/25/13	\$1,521.13	\$1,664.64	\$0.00	(\$143.51)	\$0.00
	4,000	06/20/13	10/29/13	\$90.89	\$97.92	\$0.00	(\$7.03)	\$0.00
	26,000	06/20/13	10/29/13	\$590.86	\$636.48	\$0.00	(\$45.62)	\$0.00
	20,000	06/20/13	11/06/13	\$465.59	\$489.60	\$0.00	(\$24.01)	\$0.00
	66,000	07/10/13	11/06/13	\$1,536.45	\$1,674.62	\$0.00	(\$138.17)	\$0.00
	4,000	07/12/13	11/06/13	\$93.12	\$103.12	\$0.00	(\$10.00)	\$0.00
	27,000	07/10/13	12/04/13	\$571.34	\$685.07	\$0.00	(\$113.73)	\$0.00
	14,000	07/10/13	12/04/13	\$298.25	\$355.22	\$0.00	(\$58.97)	\$0.00
	3,000	07/10/13	12/04/13	\$63.48	\$76.12	\$0.00	(\$12.64)	\$0.00
	181,000	07/12/13	12/04/13	\$3,830.09	\$4,666.05	\$0.00	(\$835.96)	\$0.00
	50,000	08/13/13	12/04/13	\$1,058.03	\$1,313.47	\$0.00	(\$255.44)	\$0.00
	Security Subtotal	705,000		\$15,663.26	\$17,567.89	\$0.00	(\$1,904.63)	\$0.00
COACH INC	CUSIP: 189754104	Symbol (Box 1d):COH						
	31,000	03/25/13	12/04/13	\$1,739.10	\$1,543.28	\$0.00	\$195.82	\$0.00
COBALT INT'L ENERGY	CUSIP: 19075F106	Symbol (Box 1d):CIE						
	1,000	12/12/12	10/29/13	\$23.01	\$25.37	\$2.36	(\$2.36)	\$0.00
	5,000	12/12/12	12/03/13	\$87.99	\$126.84	\$0.00	(\$38.85)	\$0.00
	1,000	12/12/12	12/03/13	\$17.60	\$27.22	\$0.00	(\$9.62)	\$0.00
	10,000	01/07/13	12/03/13	\$175.99	\$260.70	\$0.00	(\$84.71)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: - - - - -

Taxpayer ID Number: - - - - -
Account Number: - - - - -

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	ACQUIRED (Box 1b)	DATE OF PURCHASE (Box 1a)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
CUMMINS INC	5,000	02/04/13	05/10/13		\$569.07	\$580.23	\$0.00	(\$11.16)	\$0.00
	3,000	02/08/13	05/10/13		\$341.44	\$357.12	\$0.00	(\$15.68)	\$0.00
	12,000	02/21/13	05/10/13		\$1,365.76	\$1,345.88	\$0.00	\$19.88	\$0.00
	92,000				\$10,291.25	\$9,650.25	\$0.00	\$641.00	\$0.00
DEERE & CO	3,000	CUSIP: 244199105	Symbol (Box 1d): DE						
DEUTSCHE TELEKOM AG 1 ORD 1ADS	72,000	CUSIP: 251566105	Symbol (Box 1d): DTEGY						
	37,000	12/03/12	02/21/13		\$755.34	\$805.50	\$0.00	(\$50.16)	\$0.00
	101,000	12/03/12	02/21/13		\$388.17	\$413.94	\$0.00	(\$25.77)	\$0.00
	15,000	01/07/13	03/25/13		\$1,095.20	\$1,129.94	\$0.00	(\$34.74)	\$0.00
	10,000	01/07/13	03/25/13		\$162.65	\$172.50	\$0.00	(\$9.85)	\$0.00
	3,000	01/17/13	03/25/13		\$108.44	\$115.10	\$0.00	(\$6.66)	\$0.00
	21,000	01/17/13	03/25/13		\$32.53	\$36.09	\$0.00	(\$3.56)	\$0.00
	259,000				\$227.72	\$252.63	\$0.00	(\$24.91)	\$0.00
DU PONT E.I. DE NEMOURS & CO	49,000	CUSIP: 263534109	Symbol (Box 1d): DD		\$2,770.05	\$2,925.70	\$0.00	(\$155.65)	\$0.00
	1,000	02/08/13	09/09/13		\$2,819.70	\$2,351.80	\$0.00	\$467.90	\$0.00
	5,000	02/19/13	09/09/13		\$57.55	\$47.40	\$0.00	\$10.15	\$0.00
	23,000	03/25/13	09/09/13		\$287.72	\$236.05	\$0.00	\$51.67	\$0.00
	78,000				\$1,323.54	\$1,125.85	\$0.00	\$197.69	\$0.00
		Security Subtotal			\$4,488.51	\$3,761.10	\$0.00	\$727.41	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE OF ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COMPUTER SCIENCES CP	CUSIP: 205363104	Symbol (Box 1d): CSC						
	40,000	01/28/13	02/12/13	\$1,860.76	\$1,704.00	\$0.00	\$156.76	\$0.00
	44,000	01/28/13	02/13/13	\$2,059.38	\$1,874.40	\$0.00	\$184.98	\$0.00
	1,000	02/08/13	02/13/13	\$46.80	\$45.70	\$0.00	\$1.10	\$0.00
Security Subtotal	85,000			\$3,966.94	\$3,624.10	\$0.00	\$342.84	\$0.00
CREDIT SUISSE GROUP	CUSIP: 225401108	Symbol (Box 1d): CS						
	0.317	01/28/13	05/20/13	\$9.23	\$9.10	\$0.00	\$0.13	\$0.00
	93,000	01/28/13	08/06/13	\$2,807.84	\$2,696.62	\$0.00	\$111.22	\$0.00
	4,000	02/19/13	08/06/13	\$120.77	\$87.63	\$0.00	\$33.14	\$0.00
Security Subtotal	97,317			\$2,937.84	\$2,793.35	\$0.00	\$144.49	\$0.00
CSL LTD UNSPON ADR	CUSIP: 12637N105	Symbol (Box 1d): CMXHY						
	2,000	01/17/13	06/19/13	\$53.82	\$57.64	\$0.00	(\$3.82)	\$0.00
	12,000	02/08/13	06/19/13	\$322.90	\$356.40	\$0.00	(\$33.50)	\$0.00
Security Subtotal	14,000			\$376.72	\$414.04	\$0.00	(\$37.32)	\$0.00
CUMMINS INC	CUSIP: 231021106	Symbol (Box 1d): CMI						
	20,000	09/05/12	05/03/13	\$2,214.60	\$1,909.37	\$0.00	\$305.23	\$0.00
	8,000	09/05/12	05/06/13	\$888.60	\$763.75	\$0.00	\$124.85	\$0.00
	14,000	09/06/12	05/06/13	\$1,555.06	\$1,379.49	\$0.00	\$175.57	\$0.00
	6,000	12/06/12	05/06/13	\$666.45	\$602.04	\$0.00	\$64.41	\$0.00
	7,000	12/19/12	05/06/13	\$777.53	\$758.63	\$0.00	\$18.90	\$0.00
	3,000	01/07/13	05/06/13	\$333.23	\$336.72	\$0.00	(\$3.49)	\$0.00
	2,000	01/07/13	05/07/13	\$225.64	\$224.48	\$0.00	\$1.16	\$0.00
	12,000	02/04/13	05/07/13	\$1,353.87	\$1,392.54	\$0.00	(\$38.67)	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EBAY INC								
ECOPETROL S A SPONSORED ADS	150,000	CUSIP: 278642103	Symbol (Box 1d): EBAY					
Security Subtotal	90,000	03/12/13	08/16/13	\$4,779.30	\$4,671.25	\$0.00	\$108.05	\$0.00
	16,000	03/12/13	11/14/13	\$850.54	\$830.44	\$0.00	\$20.10	\$0.00
	44,000	03/13/13	11/14/13	\$2,338.97	\$2,255.14	\$0.00	\$83.83	\$0.00
				\$7,968.81	\$7,756.83	\$0.00	\$211.98	\$0.00
ERICSSON LM TEL ADR CL B NEW		CUSIP: 294821608	Symbol (Box 1d): ERIC					
Security Subtotal	80,000	01/28/13	11/14/13	\$1,969.03	\$1,745.22	\$0.00	\$223.81	\$0.00
	330,000	01/28/13	11/19/13	\$4,102.65	\$3,577.17	\$0.00	\$525.48	\$0.00
	45,000	02/08/13	11/19/13	\$559.45	\$547.04	\$0.00	\$12.41	\$0.00
	20,000	02/19/13	11/19/13	\$248.65	\$251.40	\$0.00	(\$2.75)	\$0.00
	50,000	07/02/13	11/19/13	\$621.61	\$567.40	\$0.00	\$54.21	\$0.00
				\$7,501.39	\$6,688.23	\$0.00	\$813.16	\$0.00
ESTEE LAUDER CO INC CL A		CUSIP: 518439104	Symbol (Box 1d): EL					
Security Subtotal	9,000	09/27/12	08/14/13	\$589.88	\$555.37	\$0.00	\$34.51	\$0.00

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
Taxpayer ID Number:
Account Number:



CONTINUED ON NEXT PAGE

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632
Taxpayer ID Number: XXX-XX-3657
Account Number: 632 096972 522

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Customer Service: 866-324-6088

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OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued)

Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EXPERIAN GP LTD ADR	CUSIP: 30215C101	Symbol (Box 1d): EXPGY						
	15,000	01/07/13	12/05/13	\$265.32	\$247.50	\$0.00	\$17.82	\$0.00
	6,000	01/17/13	12/05/13	\$106.13	\$103.80	\$0.00	\$2.33	\$0.00
	34,000	02/08/13	12/05/13	\$601.40	\$592.62	\$0.00	\$8.78	\$0.00
	10,000	02/19/13	12/05/13	\$176.88	\$168.90	\$0.00	\$7.98	\$0.00
Security Subtotal	65,000			\$1,149.73	\$1,112.82	\$0.00	\$36.91	\$0.00
EXXON MOBIL CORP	CUSIP: 30231G102	Symbol (Box 1d): XOM						
	10,000	06/20/12	05/15/13	\$909.14	\$842.67	\$0.00	\$66.47	\$0.00
	5,000	06/20/12	06/03/13	\$455.33	\$421.34	\$0.00	\$33.99	\$0.00
	8,000	12/03/12	06/03/13	\$728.52	\$705.53	\$0.00	\$22.99	\$0.00
	10,000	01/07/13	06/03/13	\$910.65	\$876.48	\$0.00	\$34.17	\$0.00
	10,000	02/08/13	06/03/13	\$910.65	\$883.40	\$0.00	\$27.25	\$0.00
	10,000	02/19/13	06/03/13	\$910.68	\$892.90	\$0.00	\$17.76	\$0.00
Security Subtotal	53,000			\$4,824.95	\$4,622.32	\$0.00	\$202.63	\$0.00
FACEBOOK INC CL-A	CUSIP: 30303M102	Symbol (Box 1d): FB						
	19,000	09/05/12	09/03/13	\$798.58	\$352.09	\$0.00	\$446.49	\$0.00
	9,000	11/13/12	09/27/13	\$456.82	\$78.09	\$0.00	\$278.73	\$0.00
	15,000	11/13/12	10/18/13	\$813.69	\$296.82	\$0.00	\$516.87	\$0.00
	55,000	11/16/12	10/18/13	\$2,983.54	\$1,289.92	\$0.00	\$1,693.62	\$0.00
Security Subtotal	98,000			\$5,052.63	\$2,116.92	\$0.00	\$2,935.71	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:

Taxpayer ID Number: _____
Account Number: _____

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I (with box A checked.)

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	CUSIP: 315616102	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
F5 NETWORKS INC		Symbol (Box 1d): F5IV							
	7.000	08/16/12	04/15/13	\$514.38	\$716.16	\$0.00	(\$201.78)	\$0.00	
	3.000	09/07/12	04/15/13	\$220.45	\$300.36	\$0.00	(\$79.91)	\$0.00	
	5.000	09/07/12	04/19/13	\$357.52	\$500.60	\$0.00	(\$143.08)	\$0.00	
	6.000	11/05/12	04/19/13	\$429.02	\$509.32	\$0.00	(\$80.30)	\$0.00	
	1.000	01/17/13	04/19/13	\$71.50	\$98.31	\$0.00	(\$26.81)	\$0.00	
	3.000	02/07/13	04/19/13	\$214.51	\$314.40	\$0.00	(\$99.89)	\$0.00	
Security Subtotal	25.000			\$1,807.38	\$2,439.15	\$0.00	(\$631.77)	\$0.00	
GNC HOLDINGS,INC COM CL A		CUSIP: 36191G107	Symbol (Box 1d): GNC						
	20.000	11/16/12	04/15/13	\$849.73	\$655.15	\$0.00	\$194.58	\$0.00	
	16.000	01/28/13	04/15/13	\$679.78	\$572.68	\$0.00	\$107.10	\$0.00	
	28.000	01/28/13	05/10/13	\$1,277.74	\$1,002.19	\$0.00	\$275.56	\$0.00	
	18.000	01/28/13	05/17/13	\$822.13	\$644.27	\$0.00	\$177.86	\$0.00	
	50.000	01/28/13	05/22/13	\$2,286.14	\$1,789.63	\$0.00	\$496.51	\$0.00	
	42.000	01/28/13	06/17/13	\$1,942.28	\$1,503.29	\$0.00	\$438.99	\$0.00	
	6.000	02/08/13	06/17/13	\$277.47	\$216.72	\$0.00	\$60.75	\$0.00	
	12.000	03/13/13	06/17/13	\$554.94	\$483.21	\$0.00	\$71.73	\$0.00	
Security Subtotal	192.000			\$8,690.21	\$6,867.14	\$0.00	\$1,823.07	\$0.00	
GOOGLE INC-C LA		CUSIP: 38259P508	Symbol (Box 1d): GOOG						
	1.000	01/29/13	03/25/13	\$807.42	\$756.51	\$0.00	\$50.91	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
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Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FAIR ISAAC & CO INC	2,000	01/07/13	02/20/13	\$90.73	\$86.20	\$0.00	\$4.53	\$0.00
	3,000	01/17/13	02/20/13	\$136.10	\$133.83	\$0.00	\$2.27	\$0.00
	1,000	02/19/13	02/20/13	\$45.37	\$45.10	\$0.00	\$0.27	\$0.00
Security Subtotal	6,000			\$272.20	\$265.13	\$0.00	\$7.07	\$0.00
FIFTH 3RD BANCORP OHIO	CUSIP: 316773100		Symbol (Box 1d): FITB					
	6,000	01/07/13	01/28/13	\$97.21	\$93.65	\$0.00	\$3.56	\$0.00
FREEPORT MCMORAN CP&GLD	CUSIP: 356671D857		Symbol (Box 1d): FCX					
	54,000	01/28/13	06/18/13	\$1,594.08	\$1,868.14	\$0.00	(\$274.06)	\$0.00
FRESENIUS MEDICAL CARE AG&CO	CUSIP: 358029106		Symbol (Box 1d): FMS					
	2,000	01/07/13	06/11/13	\$70.26	\$67.28	\$0.00	\$2.98	\$0.00
	4,000	01/17/13	06/11/13	\$140.52	\$134.84	\$0.00	\$5.68	\$0.00
	2,000	02/08/13	06/11/13	\$70.26	\$69.46	\$0.00	\$0.80	\$0.00
Security Subtotal	8,000			\$281.04	\$271.58	\$0.00	\$9.46	\$0.00
FUSION-10 INC	CUSIP: 36112J107		Symbol (Box 1d): FIO					
	52,000	02/13/13	05/08/13	\$685.53	\$868.55	\$0.00	(\$183.02)	\$0.00
	72,000	02/13/13	05/13/13	\$1,049.36	\$1,202.61	\$0.00	(\$153.25)	\$0.00
	6,000	02/19/13	05/13/13	\$87.45	\$100.86	\$0.00	(\$13.41)	\$0.00
	22,000	03/07/13	05/13/13	\$320.64	\$371.13	\$0.00	(\$50.49)	\$0.00
Security Subtotal	152,000			\$2,142.98	\$2,543.15	\$0.00	(\$400.17)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient

EARLL CARTER
 406 PURPLE FINCH
 POOLER GA 31322-1712

New York, NY 10004
 Identification Number:
 Taxpayer ID Number:
 Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
GRANITE REAL ESTATE INVESTMENT								
				CUSIP: 387437114	Symbol (Box 1d): GRRPU			
HCA HOLDINGS INC					CUSIP: 40412C101	Symbol (Box 1d): HCA		
	5,000	01/17/13	09/30/13	\$180.75	\$197.75	\$0.00	(\$17.00)	\$0.00
	4,000	02/08/13	09/30/13	\$144.61	\$157.40	\$0.00	(\$12.79)	\$0.00
	9,000			\$325.36	\$355.15	\$0.00	(\$29.79)	\$0.00
HILLENBRAND INC								
	60,000	01/15/13	07/08/13	\$2,188.45	\$2,092.13	\$0.00	\$96.32	\$0.00
	21,000	01/15/13	07/16/13	\$808.85	\$732.24	\$0.00	\$76.61	\$0.00
	9,000	01/29/13	07/16/13	\$346.65	\$330.03	\$0.00	\$16.62	\$0.00
	5,000	02/08/13	07/16/13	\$192.58	\$86.20	\$0.00	\$6.38	\$0.00
	53,000	02/12/13	07/16/13	\$2,041.39	\$1,914.15	\$0.00	\$127.24	\$0.00
	12,000	02/19/13	07/16/13	\$462.20	\$437.38	\$0.00	\$24.32	\$0.00
	34,000	03/08/13	07/16/13	\$1,309.57	\$1,271.78	\$0.00	\$37.79	\$0.00
	Security Subtotal			\$7,349.69	\$6,964.41	\$0.00	\$385.28	\$0.00
HUMANA INC								
				CUSIP: 431571108	Symbol (Box 1d): HU			
	1,000	01/17/13	08/20/13	\$24.25	\$23.80	\$0.00	\$0.45	\$0.00
	19,000	02/08/13	08/20/13	\$460.83	\$469.84	\$0.00	(\$9.01)	\$0.00
	10,000	02/19/13	08/20/13	\$242.55	\$249.20	\$0.00	(\$6.65)	\$0.00
	30,000			\$727.63	\$742.84	\$0.00	(\$15.21)	\$0.00
	Security Subtotal							
	31,000	01/28/13	04/19/13	\$2,253.69	\$2,321.01	\$0.00	(\$67.32)	\$0.00
	4,000	02/07/13	04/19/13	\$290.80	\$325.40	\$0.00	(\$34.60)	\$0.00
	Security Subtotal			\$35,000	\$2,544.49	\$0.00	(\$101.92)	\$0.00

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Morgan Stanley

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Identification Number: 26-4310632

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Account Number: 632 096972 522

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ICAP PLC SPON ADR	CUSIP: 450936109	Symbol (Box 1d); IAPLY						
	69.000	07/16/12	06/24/13	\$808.56	\$676.34	\$0.00	\$132.22	\$0.00
	67.000	01/28/13	06/24/13	\$785.13	\$709.53	\$0.00	\$75.60	\$0.00
	74.000	01/28/13	06/25/13	\$864.99	\$783.66	\$0.00	\$81.33	\$0.00
Security Subtotal	210.000			\$2,458.68	\$2,169.53	\$0.00	\$289.15	\$0.00
INDUSTRIAL & COML BK CHINA ADR	CUSIP: 455607107	Symbol (Box 1d); IDCBY						
	80.000	12/07/12	10/23/13	\$1,100.41	\$1,107.96	\$0.00	(\$7.55)	\$0.00
INFORMA PLC UNSPON ADR	CUSIP: 45672B107	Symbol (Box 1d); IFRY						
	26.000	01/07/13	04/29/13	\$386.15	\$388.70	\$0.00	(\$2.55)	\$0.00
	10.000	01/17/13	04/29/13	\$148.52	\$154.10	\$0.00	(\$5.58)	\$0.00
	20.000	02/08/13	04/29/13	\$297.04	\$307.00	\$0.00	(\$9.96)	\$0.00
	20.000	02/19/13	04/29/13	\$297.03	\$302.00	\$0.00	(\$4.97)	\$0.10
Security Subtotal	76.000			\$1,128.74	\$1,151.80	\$0.00	(\$23.06)	\$0.00
INTERCONTINENTALEXCHANGE, INC	CUSIP: 45885V100	Symbol (Box 1d);						
	4.000	06/25/13	07/02/13	\$698.49	\$702.65	\$4.16	(\$4.16)	\$0.00
INTL BUSINESS MACHINES CORP	CUSIP: 459201010	Symbol (Box 1d); IBM						
	5.000	03/25/13	10/16/13	\$327.93	\$1,050.66	\$0.00	(\$122.73)	\$0.00
INTUITIVE SURGICAL INC	CUSIP: 46120E602	Symbol (Box 1d); ISRG						
	5.000	01/28/13	04/29/13	\$2,444.54	\$2,895.15	\$0.00	(\$450.61)	\$0.00
	1.000	02/19/13	04/29/13	\$588.91	\$580.81	\$0.00	(\$91.90)	\$0.00
Security Subtotal	6.000			\$2,933.45	\$3,475.96	\$0.00	(\$542.51)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

EARL CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

EARL CARTER
406 PURPLE FINCH
POOLER GA 31322-171

New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Securities (Consider Box 60 (basis reportable to you) as being checked for this section. These transactions should be reported on Form 8399 Part I with Box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 4)	GAIN/(LOSS) AMOUNT (Box 5)	FEDERAL INCOME TAX WITHHELD (Box 6)
ISHARES RUSSELL MIDCAP V IN FD	CUSIP: 464287473	Symbol (Box 1d): IWS						

ISHARES RUSSELL 1000 GR INDEX	CUSIP: 464287614	Symbol (Box 1d): IWF	\$46,872.90	\$0.00	\$4,095.38	\$0.00
ISHARES RUSSELL 1000 VALUE IDX	CUSIP: 464287598	Symbol (Box 1d): IWD	\$55,997.88	\$0.00	\$3,364.37	\$0.00
ISHARES RUSSELL 2000 VALUE FD	CUSIP: 464287630	Symbol (Box 1d): IWN	\$36,755.46	\$0.00	\$2,904.19	\$0.00
JOHNSON & JOHNSON	419,000 12/28/12	01/28/13	\$33,587.12	\$31,092.31	\$0.00	\$2,494.81
JOHNSON & JOHNSON	CUSIP: 478160104	Symbol (Box 1d): JNJ				
	8,000 01/07/13	03/25/13	\$637.53	\$571.49	\$0.00	\$0.00
	10,000 02/08/13	03/25/13	\$796.92	\$754.50	\$0.00	\$0.00
JOHNSON & JOHNSON	18,000		\$1,434.45	\$1,325.99	\$0.00	\$108.46
JUPITER TELECOM ADR	CUSIP: 48206M102	Symbol (Box 1d):				
	88,000 08/31/12	04/02/13	\$1,158.43	\$902.06	\$0.00	\$256.37
	66,000 01/07/13	04/02/13	\$868.82	\$813.78	\$0.00	\$55.04
	21,000 01/17/13	04/02/13	\$276.44	\$256.83	\$0.00	\$19.61
	79,000 02/08/13	04/02/13	\$1,039.96	\$942.47	\$0.00	\$97.49
	40,000 02/19/13	04/02/13	\$526.56	\$475.60	\$0.00	\$50.96
Security Subtotal	294,000		\$3,870.21	\$3,390.74	\$0.00	\$479.47

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KEYCORP NEW	17,000	01/07/13	11/20/13	\$216.59	\$152.07	\$0.00	\$64.52	\$0.00
	1,000	01/17/13	11/20/13	\$12.74	\$9.33	\$0.00	\$3.41	\$0.00
	29,000	02/08/13	11/20/13	\$369.48	\$277.75	\$0.00	\$91.73	\$0.00
	10,000	02/19/13	11/20/13	\$127.40	\$95.90	\$0.00	\$31.50	\$0.00
<u>Security Subtotal</u>	<u>57,000</u>			<u>\$726.21</u>	<u>\$555.05</u>	<u>\$0.00</u>	<u>\$191.16</u>	<u>\$0.00</u>
KRAFT FOODS GROUP INC COM	CUSIP: 50076Q106	Symbol (Box 1d): KRFT						
	1,000	01/17/13	12/26/13	\$53.49	\$46.94	\$0.00	\$6.55	\$0.00
	4,000	02/08/13	12/26/13	\$213.98	\$187.60	\$0.00	\$26.38	\$0.00
	5,000	02/19/13	12/26/13	\$267.47	\$236.75	\$0.00	\$30.72	\$0.00
<u>Security Subtotal</u>	<u>10,000</u>			<u>\$534.94</u>	<u>\$471.29</u>	<u>\$0.00</u>	<u>\$63.65</u>	<u>\$0.00</u>
LEIDOS HLDGS INC	CUSIP: 52532T102	Symbol (Box 1d): LDOS						
	0.500	01/28/13	10/03/13	\$22.79	\$16.59	\$0.00	\$6.20	\$0.00
	44,000	01/28/13	12/13/13	\$1,903.19	\$1,459.57	\$0.00	\$43.62	\$0.00
	3,000	02/08/13	12/13/13	\$129.76	\$98.79	\$0.00	\$29.97	\$0.00
<u>Security Subtotal</u>	<u>47,500</u>			<u>\$2,055.74</u>	<u>\$1,575.95</u>	<u>\$0.00</u>	<u>\$479.79</u>	<u>\$0.00</u>
LENDER PROCESSING SERV	CUSIP: 52602E102	Symbol (Box 1d):						
	22,000	01/07/13	09/30/13	\$732.23	\$538.56	\$0.00	\$193.67	\$0.00
	10,000	01/17/13	09/30/13	\$332.83	\$231.70	\$0.00	\$101.13	\$0.00
	6,000	02/19/13	09/30/13	\$199.71	\$150.34	\$0.00	\$49.37	\$0.00
	40,000	02/19/13	10/08/13	\$1,317.68	\$1,002.27	\$0.00	\$315.41	\$0.00
	59,000	04/16/13	10/08/13	\$1,943.58	\$1,449.54	\$0.00	\$494.04	\$0.00
<u>Security Subtotal</u>	<u>137,000</u>			<u>\$4,526.03</u>	<u>\$3,372.41</u>	<u>\$0.00</u>	<u>\$1,153.62</u>	<u>\$0.00</u>

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

SEARCH MARK
RECORDS

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Taxpayer ID Number:
Identification Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box Bb (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
LEVEL 3 COMMUNICATIONS INC NEW		CUSIP: 52729N308	Symbol (Box 1d): LVLT					
	122,000	09/28/12	02/21/13	\$2,467.74	\$2,800.08	\$0.00	(\$332.34)	\$0.00
	18,000	01/07/13	02/21/13	\$364.09	\$443.16	\$0.00	(\$79.07)	\$0.00
	10,000	02/08/13	02/21/13	\$202.27	\$245.00	\$0.00	(\$42.73)	\$0.00
	70,000	02/13/13	02/21/13	\$1,415.92	\$1,442.09	\$0.00	(\$26.17)	\$0.00
Security Subtotal	220,000			\$4,450.02	\$4,930.33	\$0.00	(\$480.31)	\$0.00
LEXMARK INT'L INC NEW A		CUSIP: 529771107	Symbol (Box 1d): LXK					
	20,000	01/28/13	07/23/13	\$756.73	\$571.38	\$0.00	\$185.35	\$0.00
	36,000	01/28/13	07/29/13	\$1,320.99	\$1,028.48	\$0.00	\$292.51	\$0.00
	89,000	01/28/13	08/02/13	\$3,394.18	\$2,542.64	\$0.00	\$851.54	\$0.00
	5,000	01/28/13	08/09/13	\$200.69	\$142.85	\$0.00	\$57.84	\$0.00
	38,000	01/29/13	08/09/13	\$1,525.26	\$894.14	\$0.00	\$631.12	\$0.00
Security Subtotal	188,000			\$1,197.85	\$5,179.49	\$0.00	\$2,018.36	\$0.00
LI & FUNG LTD UNSPON ADR		CUSIP: 501687102	Symbol (Box 1d): LFUGY					
	96,000	12/17/12	02/06/13	\$253.46	\$335.48	\$82.02	(\$82.02)	\$0.00
	63,000	12/17/12	02/06/13	\$166.34	\$220.17	\$0.00	(\$53.83)	\$0.00
	60,000	12/17/12	02/06/13	\$158.41	\$209.67	\$51.26	(\$51.26)	\$0.00
	721,000	12/17/12	02/07/13	\$1,880.54	\$2,519.61	\$0.00	(\$669.07)	\$0.00
	96,000	12/17/12	02/07/13	\$246.40	\$376.74	\$0.00	(\$130.34)	\$0.00
	60,000	12/17/12	02/07/13	\$154.00	\$277.46	\$0.00	(\$123.46)	\$0.00
Security Subtotal	1,096,000			\$2,689.15	\$3,930.13	\$133.28	(\$1,109.98)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: -----
Taxpayer ID Number: -----
Account Number: -----

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

OMB NO. 1545-0715

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LIFE TECHNOLOGIES CORP	CUSIP: 53277V109	Symbol (Box 1d): LIFE						
	8,000	06/01/12	05/08/13	\$588.38	\$319.56	\$0.00	\$268.83	\$0.00
	14,000	06/01/12	05/10/13	\$1,029.82	\$559.24	\$0.00	\$470.58	\$0.00
	10,000	01/07/13	05/10/13	\$735.59	\$517.20	\$0.00	\$218.39	\$0.00
	10,000	02/08/13	05/10/13	\$735.59	\$630.60	\$0.00	\$104.99	\$0.00
Security Subtotal	42,000			\$3,089.39	\$2,026.60	\$0.00	\$1,022.79	\$0.00
LOWES COMPANIES INC	CUSIP: 548661107	Symbol (Box 1d): LOW						
	7,000	01/07/13	11/20/13	\$337.78	\$242.97	\$0.00	\$94.81	\$0.00
	1,000	01/17/13	11/20/13	\$48.25	\$36.85	\$0.00	\$11.40	\$0.00
	9,000	02/08/13	11/20/13	\$434.28	\$350.10	\$0.00	\$84.18	\$0.00
Security Subtotal	17,000			\$820.31	\$629.92	\$0.00	\$190.39	\$0.00
LULULEMON ATHLETICA INC	CUSIP: 550021109	Symbol (Box 1d): LULU						
	4,000	05/18/12	03/19/13	\$254.21	\$272.27	\$0.00	(\$18.06)	\$0.00
	6,000	01/07/13	03/19/13	\$381.32	\$424.62	\$0.00	(\$43.30)	\$0.00
	4,000	01/17/13	03/19/13	\$254.21	\$273.20	\$0.00	(\$18.99)	\$0.00
	6,000	01/29/13	03/19/13	\$381.31	\$418.98	\$0.00	(\$37.67)	\$0.00
Security Subtotal	20,000			\$1,271.05	\$1,389.07	\$0.00	(\$118.02)	\$0.00
MEAD JOHNSON NUTRITION COMPANY	CUSIP: 582839106	Symbol (Box 1d): MJN						
	3,000	01/31/13	03/13/13	\$211.80	\$230.20	\$18.40	(\$18.40)	\$0.00
	14,000	01/31/13	03/13/13	\$988.31	\$1,074.19	\$85.88	(\$85.88)	\$0.00
	3,000	02/08/13	03/13/13	\$211.78	\$231.42	\$19.64	(\$19.64)	\$0.00
	2,000	01/31/13	03/14/13	\$144.22	\$168.29	\$0.00	(\$24.07)	\$0.00
	11,000	02/13/13	03/14/13	\$793.18	\$853.85	\$0.00	(\$60.67)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
PETROFAC LTD LONDON UNSPON ADR	CUSIP: 716473103	Symbol (Box 1d): POFCY						
	107,000	09/25/12	07/12/13	\$1,051.03	\$1,415.58	\$0.00	(\$364.55)	\$0.00
	5,000	01/07/13	07/12/13	\$49.11	\$66.50	\$0.00	(\$17.39)	\$0.00
	36,000	01/17/13	07/12/13	\$353.62	\$483.84	\$0.00	(\$130.22)	\$0.00
	24,000	02/08/13	07/12/13	\$235.75	\$311.28	\$0.00	(\$75.53)	\$0.00
	20,000	02/19/13	07/12/13	\$196.45	\$249.00	\$0.00	(\$52.55)	\$0.00
	185,000	03/15/13	07/12/13	\$1,817.20	\$2,157.78	\$0.00	(\$340.58)	\$0.00
Security Subtotal	377,000			\$3,703.16		\$0.00	(\$980.82)	\$0.00
PHARMACYCLICS INC	CUSIP: 7169833106	Symbol (Box 1d): PCYC						
	7,000	07/03/13	10/01/13	\$969.01	\$594.51	\$0.00	\$374.50	\$0.00
PHILLIP MORRIS INTL INC	CUSIP: 718172109	Symbol (Box 1d): PM						
	64,000	01/28/13	05/15/13	\$6,144.11	\$5,700.86	\$0.00	\$443.25	\$0.00
PNC FINL SVCS GP	CUSIP: 693475105	Symbol (Box 1d): PNC						
	3,000	01/28/13	11/20/13	\$226.38	\$186.64	\$0.00	\$39.74	\$0.00
	34,000	01/28/13	11/20/13	\$2,565.67	\$2,115.24	\$0.00	\$450.43	\$0.00
Security Subtotal	37,000			\$2,792.05		\$0.00	\$480.17	\$0.00
PUBLICIS GROUPE SA ADS	CUSIP: 74463M106	Symbol (Box 1d): PUBGY						
	60,000	04/05/13	07/31/13	\$1,130.11	\$1,052.28	\$0.00	\$77.83	\$0.00
QUALCOMM INC	CUSIP: 747525103	Symbol (Box 1d): QCOM						
	34,000	12/19/12	07/02/13	\$2,063.92	\$2,135.63	\$71.71	(\$71.71)	\$0.00
	7,000	01/07/13	07/02/13	\$424.93	\$447.51	\$22.58	(\$22.58)	\$0.00
	1,000	01/07/13	07/02/13	\$60.70	\$63.93	\$3.23	(\$3.23)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:

Taxpayer ID Number:
Account Number:

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NOVO NORDISK A/S ADR	CUSIP: 670100205	Symbol (Box 1d): NVO	-1,000 2,000	01/17/13 02/08/13	03/21/13 03/21/13	\$161.91 \$485.71	\$78.01 \$577.86	\$0.00 (\$392.15)
<u>Security Subtotal</u>	<u>4,000</u>					<u>\$755.87</u>	<u>\$0.00</u>	<u>(\$108.25)</u>
OCCIDENTAL PETROLEUM CORP DE	CUSIP: 674599105	Symbol (Box 1d): OXY	40,000	01/28/13	04/19/13	\$3,172.35	\$3,356.40	\$184.05
	CUSIP: 683889X105	Symbol (Box 1d): ORCL	8,000 6,000 14,000	01/07/13 01/17/13 02/08/13	10/16/13 10/16/13 10/16/13	\$263.76 \$197.82 \$461.58	\$274.72 \$208.26 \$488.55	\$0.00 \$0.00 \$0.00
<u>Security Subtotal</u>	<u>86,000</u>					<u>\$1,912.24</u>	<u>\$1,815.34</u>	<u>\$26.97</u>
PACCAR INC	CUSIP: 683718108	Symbol (Box 1d): PCAR	3,000 5,000	01/07/13 02/07/13	03/25/13 03/25/13	\$147.75 \$246.24	\$138.99 \$235.30	\$0.00 \$0.00
<u>Security Subtotal</u>	<u>8,000</u>					<u>\$393.99</u>	<u>\$374.29</u>	<u>\$48.53</u>
PALO ALTO NETWORKS INC	CUSIP: 697435105	Symbol (Box 1d): PANW	5,000 53,000 2,000 5,000 6,000 71,000	11/21/12 01/28/13 02/08/13 02/19/13 03/25/13	06/25/13 06/25/13 06/25/13 06/25/13 06/25/13	\$203.80 \$2,160.30 \$81.52 \$203.80 \$244.57 \$2,893.99	\$274.96 \$3,038.49 \$108.70 \$278.35 \$323.20 \$4,023.70	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 (\$1,129.71)
<u>Security Subtotal</u>								

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Page 57 of 108

Organ Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: -
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
MONDELEZ INT'L INC COM	9,000	01/17/13	12/04/13	\$307.08	\$252.09	\$0.00	\$54.99	\$0.00
	11,000	02/08/13	12/04/13	\$375.33	\$306.24	\$0.00	\$69.09	\$0.00
Security Subtotal	20,000			\$682.41	\$558.33	\$0.00	\$124.08	\$0.00
MONSANTO CORP	CUSIP: 61166W101	Symbol (Box 1d): MON						
	16,000	02/10/12	01/22/13	\$1,640.90	\$1,236.27	\$0.00	\$404.63	\$0.00
NATIONAL OILWELL VARCO INC	CUSIP: 637071101	Symbol (Box 1d): NOV						
	27,000	06/20/12	04/19/13	\$1,731.53	\$1,805.18	\$0.00	(\$73.65)	\$0.00
	16,000	07/12/12	07/12/13	\$1,162.76	\$1,072.30	\$0.00	\$90.46	\$0.00
	16,000	08/07/12	07/12/13	\$1,162.76	\$1,241.24	\$0.00	(\$78.48)	\$0.00
	3,000	12/03/12	07/12/13	\$218.02	\$204.13	\$0.00	\$13.89	\$0.00
	19,000	12/03/12	11/04/13	\$1,535.54	\$1,292.82	\$0.00	\$242.72	\$0.00
	4,000	01/07/13	11/04/13	\$323.27	\$283.88	\$0.00	\$39.39	\$0.00
	3,000	01/17/13	11/04/13	\$242.45	\$215.40	\$0.00	\$27.05	\$0.00
	12,000	02/08/13	11/04/13	\$969.81	\$829.44	\$0.00	\$140.37	\$0.00
Security Subtotal	100,000			\$7,346.14	\$6,944.39	\$0.00	\$401.75	\$0.00
NATL GRID TRANSCO PLC ADS	CUSIP: 636274300	Symbol (Box 1d): NGG						
	3,000	01/07/13	04/19/13	\$181.20	\$168.34	\$0.00	\$12.86	\$0.00
	7,000	01/17/13	04/19/13	\$422.81	\$384.23	\$0.00	\$38.58	\$0.00
	8,000	02/08/13	04/19/13	\$483.21	\$437.04	\$0.00	\$46.17	\$0.00
Security Subtotal	18,000			\$1,087.22	\$989.61	\$0.00	\$97.61	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 4-12437

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis) Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MEAD JOHNSON NUTRITION	3,000	01/31/13	03/25/13	\$229.01	\$243.39	\$0.00	(\$14.38)	\$0.00
	12,000	01/31/13	03/25/13	\$916.05	\$1,009.72	\$0.00	(\$93.67)	\$0.00
	3,000	02/08/13	03/25/13	\$229.01	\$244.62	\$0.00	(\$15.61)	\$0.00
Security Subtotal	51,000			\$3,723.36	\$4,055.68	\$123.92	(\$32.32)	\$0.00
METHANEX CORPORATION	24,000	02/14/13	11/25/13	\$1,486.22	\$838.75	\$0.00	\$647.47	\$0.00
	CUSIP: 59151K108			Symbol (Box 1d); MEOH				
MICHAEL KORS HOLDINGS LTD	24,000	02/14/13	11/25/13	\$1,486.22	\$838.75	\$0.00	\$647.47	\$0.00
	CUSIP: G60754101			Symbol (Box 1d); KORS				
	17,000	11/15/12	10/22/13	\$1,304.64	\$810.46	\$0.00	\$494.18	\$0.00
	8,000	11/21/12	10/22/13	\$613.95	\$411.91	\$0.00	\$202.04	\$0.00
	31,000	01/28/13	10/22/13	\$2,379.05	\$1,791.49	\$0.00	\$587.56	\$0.00
Security Subtotal	56,000			\$4,297.64	\$2,013.85	\$0.00	\$1,283.78	\$0.00
MICROSOFT CORP	89,000	01/28/13	08/13/13	\$2,874.66	\$2,492.57	\$0.00	\$382.09	\$0.00
	101,000	01/28/13	10/16/13	\$3,502.32	\$2,828.65	\$0.00	\$673.67	\$0.00
	10,000	02/19/13	10/16/13	\$346.76	\$280.10	\$0.00	\$66.66	\$0.00
	95,000	03/25/13	10/16/13	\$3,294.27	\$2,670.45	\$0.00	\$623.82	\$0.00
Security Subtotal	295,000			\$10,018.01	\$8,271.77	\$0.00	\$1,746.24	\$0.00
MINDRAY MEDICAL INT'L LTD	112,000	03/08/13	11/20/13	\$4,423.82	\$4,225.74	\$0.00	\$198.08	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6c (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 9)	FEDERAL INCOME TAX WITHHELD (Box 4)
QUALCOMM INC								
	2,000	01/17/13	07/02/13	\$121.40	\$134.74	\$0.00	(\$13.34)	\$0.00
	4,000	01/17/13	07/02/13	\$222.82	\$260.68	\$0.00	(\$17.86)	\$0.00
	2,000	01/17/13	07/02/13	\$121.40	\$130.34	\$8.94	(\$8.94)	\$0.00
	1,000	01/17/13	07/02/13	\$60.70	\$65.17	\$4.47	(\$4.47)	\$0.00
	23,000	02/08/13	07/02/13	\$1,386.18	\$1,542.84	\$0.00	(\$16.66)	\$0.00
	6,000	02/19/13	07/02/13	\$364.22	\$393.06	\$0.00	(\$28.84)	\$0.00
	10,000	04/19/13	07/02/13	\$607.03	\$630.25	\$0.00	(\$22.22)	\$0.00
Security Subtotal	90,000			\$5,463.30	\$5,813.15	\$10.83	(\$349.85)	\$0.00
QUINTILES TRANSNATIONAL HLDGS		CUSIP: 74876Y101	Symbol (Box 1d): Q					
	124,000	05/10/13	07/03/13	\$5,291.50	\$5,292.88	\$0.00	(\$1.38)	\$0.00
	34,000	05/15/13	07/03/13	\$1,450.89	\$1,550.57	\$0.00	(\$99.68)	\$0.00
	158,000			\$6,742.39	\$6,842.45	\$0.00	(\$101.06)	\$0.00
RAVONIER INCORPORATED		CUSIP: 754907103	Symbol (Box 1d): RYN					
	2,000	01/07/13	11/20/13	\$88.04	\$106.57	\$0.00	(\$18.53)	\$0.00
	3,000	01/17/13	11/20/13	\$132.06	\$163.68	\$0.00	(\$31.62)	\$0.00
	2,000	02/08/13	11/20/13	\$308.15	\$381.33	\$0.00	(\$73.18)	\$0.00
Security Subtotal	12,000			\$582.25	\$651.58	\$0.00	(\$123.33)	\$0.00
REX ENERGY CORP		CUSIP: 761565100	Symbol (Box 1d): REXX					
	6,000	01/07/13	06/11/13	\$101.42	\$79.98	\$0.00	\$21.44	\$0.00
	5,000	01/17/13	06/11/13	\$84.52	\$68.90	\$0.00	\$15.62	\$0.00
	15,000	01/29/13	06/11/13	\$253.55	\$202.80	\$0.00	\$50.75	\$0.00
Security Subtotal	26,000			\$439.49	\$351.68	\$0.00	\$87.81	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RIO TINTO PLC SPON ADR	CUSIP: 767204100	Symbol (Box 1d): RIO						
	56,000	12/18/12	06/04/13	\$2,464.44	\$3,250.31	\$0.00	(\$785.87)	\$0.00
	4,000	01/07/13	06/04/13	\$176.03	\$230.84	\$0.00	(\$54.81)	\$0.00
	1,000	01/17/13	06/04/13	\$44.01	\$54.78	\$0.00	(\$10.77)	\$0.00
	4,000	02/08/13	06/04/13	\$176.03	\$230.60	\$0.00	(\$54.57)	\$0.00
	5,000	02/19/13	06/04/13	\$220.04	\$286.60	\$0.00	(\$66.56)	\$0.00
Security Subtotal	70,000			\$3,080.55	\$4,053.13	\$0.00	(\$972.58)	\$0.00
ROGERS COMM INC CL B (BC)	CUSIP: 775109200	Symbol (Box 1d): RCI						
	47,000	08/07/12	03/25/13	\$2,321.59	\$1,899.90	\$0.00	\$421.69	\$0.00
	48,000	10/31/12	03/25/13	\$2,370.98	\$2,111.81	\$0.00	\$259.17	\$0.00
	5,000	01/07/13	03/25/13	\$246.98	\$227.23	\$0.00	\$19.75	\$0.00
	4,000	01/17/13	03/25/13	\$197.58	\$184.64	\$0.00	\$12.94	\$0.00
	6,000	02/08/13	03/25/13	\$296.37	\$274.08	\$0.00	\$22.29	\$0.00
	10,000	02/19/13	03/25/13	\$493.96	\$477.90	\$0.00	\$16.06	\$0.00
Security Subtotal	120,000			\$5,927.46	\$5,175.56	\$0.00	\$751.90	\$0.00
SCHLUMBERGER LTD	CUSIP: 808857108	Symbol (Box 1d): SLB						
	6,000	01/28/13	12/26/13	\$534.40	\$476.08	\$0.00	\$58.32	\$0.00
	30,000	01/28/13	12/26/13	\$2,672.02	\$2,380.20	\$0.00	\$291.82	\$0.00
Security Subtotal	36,000			\$3,206.42	\$2,856.28	\$0.00	\$350.14	\$0.00
SHINHAN FINANCIAL GROUP CO LTD	CUSIP: 824596100	Symbol (Box 1d): SHG						
	96,000	01/28/13	05/01/13	\$3,237.45	\$3,706.56	\$0.00	(\$469.11)	\$0.00
	6,000	02/08/13	05/01/13	\$202.34	\$219.60	\$0.00	(\$17.26)	\$0.00
Security Subtotal	102,000			\$3,439.79	\$3,926.16	\$0.00	(\$486.37)	\$0.00

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

New York, NY 10004
8th Floor
Identification Number: ---
Taxpayer ID Number: ---
Account Number: ---

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 5)	FEDERAL INCOME TAX WITHHELD (Box 4)
SOCIEDAD QUIMICA Y MINERA ADS		CUSIP: 833635105	Symbol (Box 1d): SQM					
	2,000	01/07/13	05/01/13	\$97.21	\$115.74	\$0.00	(\$18.53)	\$0.00
	3,000	01/11/13	05/01/13	\$145.82	\$172.53	\$0.00	(\$26.71)	\$0.00
	2,000	02/08/13	05/01/13	\$340.26	\$404.39	\$0.00	(\$64.13)	\$0.00
Security Subtotal	12,000			\$583.29	\$692.66	\$0.00	(\$109.37)	\$0.00
SOFTBANK CORP UNSPONS ADR	72,000	04/03/12	03/11/13	\$1,410.53	\$1,055.23	\$0.00	\$355.30	\$0.00
STANCORP FINCL GP INC		CUSIP: 852891100	Symbol (Box 1d): SFG					
	22,000	01/28/13	04/22/13	\$962.71	\$872.74	\$0.00	\$29.97	\$0.00
	35,000	01/28/13	05/03/13	\$1,522.83	\$1,388.45	\$0.00	\$134.38	\$0.00
	2,000	02/08/13	05/03/13	\$87.02	\$78.98	\$0.00	\$10.04	\$0.00
Security Subtotal	59,000			\$2,512.56	\$2,398.17	\$0.00	\$174.39	\$0.00
STARWOOD HTLS & RSTS WW INC		CUSIP: 85590A401	Symbol (Box 1d): HOT					
	8,000	01/07/13	06/27/13	\$51.30	\$473.16	\$0.00	\$33.14	\$0.00
	1,000	01/11/13	06/27/13	\$63.91	\$60.26	\$0.00	\$3.65	\$0.00
	9,000	02/08/13	06/27/13	\$575.21	\$562.23	\$0.00	\$12.98	\$0.00
	10,000	02/19/13	06/27/13	\$659.14	\$614.70	\$0.00	\$24.44	\$0.00
Security Subtotal	28,000			\$1,799.56	\$1,715.35	\$0.00	\$74.21	\$0.00
STATE STREET CORP		CUSIP: 857477103	Symbol (Box 1d): STT					
	27,000	08/29/12	07/12/13	\$1,863.26	\$1,116.35	\$0.00	\$746.91	\$0.00
	1,000	01/07/13	07/12/13	\$69.01	\$49.31	\$0.00	\$19.70	\$0.00
	3,000	01/11/13	07/12/13	\$207.03	\$151.41	\$0.00	\$55.62	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: XXX-XX-3657
Account Number: 632 096972 522.

Customer Service: 866-324-6088

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OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued)
(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
STATE STREET CORP	7,000	01/28/13	07/12/13	\$483.07	\$392.63	\$0.00	\$90.44	\$0.00
	5,000	02/19/13	07/12/13	\$345.05	\$287.30	\$0.00	\$57.75	\$0.00
Security Subtotal	43,000			\$2,967.42	\$1,997.00	\$0.00	\$970.42	\$0.00
STMICROELECTRONICS NV	CUSIP: 861012102	Symbol (Box 1d): STM						
	380,000	02/04/13	04/22/13	\$2,768.24	\$3,343.62	\$0.00	(\$575.38)	\$0.00
	10,000	02/08/13	04/22/13	\$72.85	\$85.30	\$0.00	(\$12.45)	\$0.00
	140,000	02/11/13	04/22/13	\$1,019.87	\$1,185.52	\$0.00	(\$165.65)	\$0.00
Security Subtotal	530,000			\$3,860.96	\$4,614.44	\$0.00	(\$753.48)	\$0.00
STRATASYS LTD SHS	CUSIP: M85548101	Symbol (Box 1d): SSYS						
	11,000	09/12/12	01/02/13	\$899.23	\$803.11	\$0.00	\$96.12	\$0.00
	14,000	09/12/12	05/10/13	\$1,168.03	\$1,022.14	\$0.00	\$145.89	\$0.00
	2,000	09/19/12	05/10/13	\$166.86	\$146.02	\$0.00	\$20.84	\$0.00
Security Subtotal	27,000			\$2,234.12	\$1,971.27	\$0.00	\$262.85	\$0.00
SUBSEA 7 S.A. SPONSORED ADR	CUSIP: 864323100	Symbol (Box 1d): SUBCY						
	2,000	01/07/13	07/11/13	\$36.51	\$48.44	\$0.00	(\$11.93)	\$0.00
	15,000	01/17/13	07/11/13	\$273.80	\$369.60	\$0.00	(\$95.80)	\$0.00
	15,000	02/08/13	07/11/13	\$273.80	\$359.40	\$0.00	(\$85.60)	\$0.00
Security Subtotal	32,000			\$584.11	\$777.44	\$0.00	(\$193.33)	\$0.00
SUNTRUST BKS	CUSIP: 867914103	Symbol (Box 1d): STI						
	38,000	06/03/13	11/20/13	\$1,358.22	\$1,223.32	\$0.00	\$134.90	\$0.00
SYMANTEC CORP	CUSIP: 871503108	Symbol (Box 1d): SYMC						
	70,000	06/20/12	01/10/13	\$1,389.46	\$1,043.75	\$0.00	\$345.71	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number: [REDACTED]

Taxpayer ID Number: [REDACTED]
Account Number: [REDACTED]

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
TARGET CORPORATION								
				CUSIP: 87612E106	Symbol (Box 1d): TSCDY			
Security Subtotal	9,000	4,000 5,000	01/07/13 02/08/13	06/18/13 06/18/13	\$280.41 \$350.51	\$245.55 \$312.25	\$0.00 \$0.00	\$34.86 \$38.26
TESCO PLC SPONSORED ADR								
		92,000	01/28/13	03/13/13	\$1,541.18	\$1,552.96	\$0.00 (\$11.78)	\$0.00
		2,000	02/08/13	03/13/13	\$33.50	\$34.48	\$0.00 (\$0.98)	\$0.00
		10,000	02/19/13	03/13/13	\$167.53	\$171.20	\$0.00 (\$3.67)	\$0.00
Security Subtotal	104,000				\$1,742.21	\$1,758.64	\$0.00 (\$16.43)	\$0.00
TIME WARNER INC NEW				CUSIP: 887317303	Symbol (Box 1d): TWX			
		1,000	01/17/13	01/28/13	\$50.18	\$49.50	\$0.00 \$0.68	\$0.00
TRIMBLE NAV LTD				CUSIP: 896239100	Symbol (Box 1d): TRMB			
		53,000	04/22/13	07/12/13	\$1,459.52	\$1,448.98	\$0.00 (\$51.29)	\$0.00
		44,000	04/25/13	07/12/13	\$1,211.67	\$1,262.96	\$0.00 \$58.26	\$0.00
		51,000	05/01/13	07/12/13	\$1,404.44	\$1,346.18	\$0.00 \$58.26	\$0.00
Security Subtotal	148,000				\$4,075.63	\$4,058.12	\$0.00 \$17.51	\$0.00
U S BANCORP COM NEW				CUSIP: 902973304	Symbol (Box 1d): USB			
		5,000	01/17/13	06/03/13	\$175.91	\$165.00	\$0.00 \$10.91	\$0.00
		12,000	02/08/13	06/03/13	\$422.20	\$403.80	\$0.00 \$18.40	\$0.00
Security Subtotal	17,000				\$598.11	\$568.80	\$0.00 \$29.31	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
[REDACTED]

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
[REDACTED]

Taxpayer ID Number:
[REDACTED]

Account Number:
[REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued) (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
UNITEDHEALTH GP INC	CUSIP: 91324P102	Symbol (Box 1d): UNH			\$417.18	\$0.00	\$33.22	\$0.00
	8.000	01/07/13	01/28/13	\$450.40	\$217.96	\$0.00	\$7.23	\$0.00
	4.000	01/17/13	01/28/13	\$225.19	\$635.14	\$0.00	\$40.45	\$0.00
Security Subtotal	12.000			\$675.58				
VANGUARD MSCI EAFE ETF	CUSIP: 921943858	Symbol (Box 1d): VEA						
	1,163.000	12/28/12	01/28/13	\$42,347.95	\$40,483.91	\$0.00	\$1,864.04	\$0.00
VANGUARD REIT ETF	CUSIP: 922908553	Symbol (Box 1d): VNQ						
	90.000	12/28/12	01/28/13	\$6,230.79	\$5,868.00	\$0.00	\$362.79	\$0.00
VERIZON COMMUNICATIONS	CUSIP: 92343V104	Symbol (Box 1d): VZ						
	54.000	03/25/13	08/13/13	\$2,660.16	\$2,653.13	\$0.00	\$7.03	\$0.00
VMWARE INC CLASS A	CUSIP: 928563402	Symbol (Box 1d): VMW						
	17.000	06/29/12	01/31/13	\$1,332.66	\$1,531.36	\$0.00	(\$198.70)	\$0.00
VODAFONE GP PLC ADS NEW	CUSIP: 928577W209	Symbol (Box 1d): VOD						
	140.000	01/28/13	02/14/13	\$3,648.33	\$3,789.79	\$0.00	(\$141.46)	\$0.00
	6.000	02/08/13	02/14/13	\$156.35	\$165.24	\$0.00	(\$8.89)	\$0.00
Security Subtotal	146.000			\$3,804.68	\$3,955.03	\$0.00	(\$50.35)	\$0.00
WELLS FARGO & CO NEW	CUSIP: 949746101	Symbol (Box 1d): WFC						
	10.000	10/31/12	01/04/13	\$345.40	\$337.51	\$0.00	\$7.89	\$0.00
WILEY JOHN & SON CLA	CUSIP: 968223206	Symbol (Box 1d): JW A						
	93.000	01/28/13	08/05/13	\$4,252.61	\$3,565.46	\$0.00	\$687.15	\$0.00
	12.000	01/28/13	08/06/13	\$548.68	\$460.06	\$0.00	\$88.62	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: [REDACTED]
Taxpayer ID Number: [REDACTED]
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
WILEY JOHN & SON CLA	108.000	01/28/13	08/29/13	\$4,774.85	\$4,140.54	\$0.00	\$634.31	\$0.00
	10.000	01/28/13	08/30/13	\$440.48	\$388.38	\$0.00	\$57.10	\$0.00
	4.000	02/08/13	08/30/13	\$176.19	\$156.29	\$0.00	\$19.90	\$0.00
	10.000	02/19/13	08/30/13	\$440.47	\$396.90	\$0.00	\$43.57	\$0.00
Security Subtotal	237.000			\$10,633.28	\$9,102.63	\$0.00	\$1,530.55	\$0.00
WILLIS GROUP HOLDINGS PLC	CUSIP: G96666105	Symbol (Box 1d): WSH						
	1,000	02/08/13	05/11/13	\$39.07	\$36.26	\$0.00	\$2.81	\$0.00
	14,000	02/19/13	08/11/13	\$548.92	\$514.08	\$0.00	\$32.84	\$0.00
YUM BRANDS INC Security Subtotal	15,000			\$585.99	\$550.34	\$0.00	\$35.65	\$0.00
		CUSIP: 998498101	Symbol (Box 1d): YUM					
	21,000	04/19/12	02/12/13	\$1,347.90	\$1,504.27	\$0.00	(\$156.37)	\$0.00
	21,000	06/08/12	02/12/13	\$1,347.90	\$1,348.82	\$0.00	(\$0.92)	\$0.00
	29,000	12/05/12	02/12/13	\$1,861.38	\$1,916.76	\$0.00	(\$55.38)	\$0.00
	4,000	01/07/13	02/12/13	\$256.74	\$271.36	\$0.00	(\$14.62)	\$0.00
	4,000	01/17/13	02/12/13	\$256.74	\$261.60	\$0.00	(\$4.86)	\$0.00
	1,000	02/08/13	02/12/13	\$64.19	\$65.33	\$0.00	(\$1.14)	\$0.00
Security Subtotal	80,000			\$5,134.85	\$5,386.14	\$0.00	(\$233.29)	\$0.00
Total Short Term Covered Securities				\$635,862.89	\$602,652.13	\$1,083.48	\$33,210.75	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number: [REDACTED]
Taxpayer ID Number: XXX-XX-3657
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW	0.000	03/25/13	03/25/13	\$1.21	\$0.00	\$0.00	\$1.21	\$0.00
CRIMSON WINE GROUP LTD COM	CUSIP: 22662X100	Symbol (Box 1d): CWGL						
	4.000	05/18/12	03/07/13	\$32.85	\$24.10	\$0.00	\$8.75	\$0.00
	4.000	05/23/12	03/07/13	\$32.85	\$20.86	\$0.00	\$11.99	\$0.00
	5.000	06/04/12	03/07/13	\$41.07	\$25.39	\$0.00	\$15.68	\$0.00
	10.000	01/28/13	03/07/13	\$82.14	\$93.97	\$0.00	(\$11.83)	\$0.00
	3.000	02/08/13	03/07/13	\$24.64	\$19.09	\$0.00	\$5.55	\$0.00
	1.000	02/19/13	03/07/13	\$8.22	\$7.76	\$0.00	\$0.46	\$0.00
Security Subtotal	27.000			\$221.77	\$191.17	\$0.00	\$30.60	\$0.00
SCIENCE APPLICATIONS INT'L CP	CUSIP: 808625107	Symbol (Box 1d): SAIC						
	0.142	01/28/13	09/27/13	\$4.60	\$3.81	\$0.00	\$0.79	\$0.00
	25.000	01/28/13	10/22/13	\$853.95	\$669.68	\$0.00	\$184.27	\$0.00
	2.000	02/08/13	10/22/13	\$68.32	\$45.53	\$0.00	\$22.79	\$0.00
Security Subtotal	27.142			\$926.87	\$719.02	\$0.00	\$207.85	\$0.00
Total Short Term Noncovered Securities				\$1,149.85	\$910.19	\$0.00	\$239.66	\$0.00
Total Short Term Covered and Noncovered Securities				\$637,012.74	\$603,562.32	\$1,083.48	\$33,450.41	\$0.00

Morgan Stanley

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XXX-XX-3657
Account Number: 632 096972 522

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
ACE LTD								
AERCAP HOLDINGS N.V.	CUSIP: H0023R105	Symbol (Box 1d): ACE						
	1,000	05/05/11	07/12/13	\$92.55	\$67.85	\$0.00	\$24.70	\$0.00
	1,000	05/17/11	07/12/13	\$92.55	\$67.96	\$0.00	\$24.59	\$0.00
	13,000	05/17/11	07/12/13	\$1,203.17	\$887.73	\$0.00	\$315.44	\$0.00
	15,000			\$1,368.27	\$1,022.54	\$0.00	\$364.73	\$0.00
Security Subtotal								
AFFILIATED MGRS GROUP INC	CUSIP: N00985106	Symbol (Box 1d): AER						
	55,000	07/27/12	12/23/13	\$2,113.37	\$621.17	\$0.00	\$1,492.20	\$0.00
	9,000	08/02/12	12/23/13	\$245.82	\$100.42	\$0.00	\$245.40	\$0.00
	64,000			\$2,459.19	\$721.59	\$0.00	\$1,737.60	\$0.00
Security Subtotal								
ALCATEL-LUCENT ADS	CUSIP: 013904305	Symbol (Box 1d): ALU						
	12,000	05/25/11	01/22/13	\$1,707.43	\$1,236.15	\$0.00	\$471.28	\$0.00
ALCATEL-LUCENT ADS								
ALEXION PHARM INC	CUSIP: 015351109	Symbol (Box 1d): ALXN						
	19,000	12/23/11	03/15/13	\$1,771.88	\$1,303.93	\$0.00	\$467.95	\$0.00
ALEXION PHARM INC								
ALLERGAN INC	CUSIP: 018490102	Symbol (Box 1d): AGN						
	5,000	03/22/11	01/31/13	\$526.35	\$349.98	\$0.00	\$176.37	\$0.00
	5,000	12/09/11	01/31/13	\$526.35	\$417.56	\$0.00	\$55.98	\$0.00
	3,000	02/02/12	05/03/13	\$314.11	\$254.56	\$0.00	\$59.55	\$0.00
	13,000			\$1,366.81	\$1,022.10	\$0.00	\$344.71	\$0.00
Security Subtotal								

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: [REDACTED]

EARL CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Taxpayer ID Number:
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN ELECTRIC POWER CO	42,000	01/27/12	03/25/13	\$1,997.31	\$1,692.65	\$0.00	\$304.66	\$0.00
	39,000	01/27/12	10/16/13	\$1,689.36	\$1,571.74	\$0.00	\$117.62	\$0.00
	3,000	06/01/12	10/16/13	\$129.95	\$115.87	\$0.00	\$14.08	\$0.00
Security Subtotal	84,000			\$3,816.62	\$3,380.26	\$0.00	\$436.36	\$0.00
AMERICAN TOWER REIT COM	44,000	03/16/12	07/17/13	\$3,200.91	\$2,793.50	\$0.00	\$407.41	\$0.00
	12,000	04/12/12	07/17/13	\$872.98	\$761.57	\$0.00	\$111.41	\$0.00
	36,000	06/22/12	07/17/13	\$2,618.93	\$2,437.88	\$0.00	\$181.05	\$0.00
	1,000	07/12/12	07/17/13	\$72.75	\$70.84	\$0.00	\$1.91	\$0.00
	1,000	07/12/12	07/17/13	\$72.75	\$70.84	\$0.00	\$1.91	\$0.00
	24,000	07/12/12	07/17/13	\$1,745.95	\$1,700.16	\$0.00	\$45.79	\$0.00
Security Subtotal	118,000			\$8,584.27	\$7,834.79	\$0.00	\$749.48	\$0.00
AMERIPRISE FINCL INC	9,000	01/27/12	07/12/13	\$778.41	\$483.94	\$0.00	\$294.47	\$0.00
	27,000	02/13/12	07/12/13	\$2,335.24	\$1,482.28	\$0.00	\$852.96	\$0.00
Security Subtotal	36,000			\$3,113.65	\$1,966.22	\$0.00	\$1,147.43	\$0.00
BHP BILLITON PLC SPONS ADR	51,000	08/31/11	06/18/13	\$2,898.82	\$3,502.73	\$0.00	(\$603.91)	\$0.00
BOSTON PROPERTIES INC	1,000	08/12/11	10/16/13	\$108.20	\$100.13	\$0.00	\$8.07	\$0.00
	5,000	08/12/11	10/16/13	\$540.98	\$554.41	\$0.00	(\$13.43)	\$0.00
	12,000	08/12/11	10/16/13	\$1,298.36	\$1,201.59	\$0.00	\$96.77	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BOSTON PROPERTIES INC	6,000	01/27/12	10/6/13	\$649.17	\$623.21	\$0.00	\$25.96	\$0.00
	16,000	01/27/12	10/6/13	\$1,731.14	\$1,661.90	\$0.00	\$69.24	\$0.00
	40,000			\$4,327.85	\$4,141.24	\$0.00	\$186.61	\$0.00
BROADCOM CORP CL A		CUSIP: 111320107	Symbol (Box 1d): BRCM					
CABOT OIL & GAS CORP A	17,000	01/27/12	03/08/13	\$569.15	\$596.52	\$0.00	(\$27.37)	\$0.00
		CUSIP: 127097103	Symbol (Box 1d): COG					
	22,000	09/27/11	03/06/13	\$1,366.13	\$748.60	\$0.00	\$617.53	\$0.00
	2,000	12/15/11	03/06/13	\$124.19	\$73.22	\$0.00	\$50.97	\$0.00
	30,000	12/19/11	03/06/13	\$1,862.91	\$1,096.90	\$0.00	\$766.01	\$0.00
	10,000	01/04/12	03/06/13	\$620.97	\$403.31	\$0.00	\$217.66	\$0.00
	64,000			\$3,974.20	\$2,322.03	\$0.00	\$1,652.17	\$0.00
CATERPILLAR INC		CUSIP: 149123101	Symbol (Box 1d): CAT					
CBRE GROUP INC	9,000	11/28/11	06/20/13	\$748.88	\$824.20	\$75.32	(\$75.32)	\$0.00
		CUSIP: 12504L109	Symbol (Box 1d): CBG					
	115,000	11/07/11	02/14/13	\$2,832.08	\$1,911.93	\$0.00	\$920.15	\$0.00
	81,000	11/07/11	07/30/13	\$1,927.90	\$1,346.67	\$0.00	\$581.23	\$0.00
	42,000	11/11/11	07/30/13	\$999.65	\$684.44	\$0.00	\$315.21	\$0.00
	87,000	11/12/11	07/30/13	\$2,070.71	\$1,273.66	\$0.00	\$807.05	\$0.00
	40,000	04/10/12	07/30/13	\$952.05	\$711.08	\$0.00	\$240.97	\$0.00
	365,000			\$8,782.39	\$5,927.78	\$0.00	\$2,854.61	\$0.00
CENTURYLINK INC	79,000	02/13/12	03/25/13	\$2,769.74	\$3,009.11	\$0.00	(\$239.37)	\$0.00

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Organ Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

[REDACTED]

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

OMB NO. 1545-0715

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CERNER CORP	CUSIP: 156782104	Symbol (Box 1d): CERN						
	2,000	05/12/11	01/16/13	\$159.46	\$120.03	\$0.00	\$39.43	\$0.00
	22,000	06/03/11	01/16/13	\$1,754.09	\$1,287.72	\$0.00	\$466.37	\$0.00
Security Subtotal	24,000			\$1,913.55	\$1,407.75	\$0.00	\$505.80	\$0.00
COBALT INT'L ENERGY	CUSIP: 19075F106	Symbol (Box 1d): CIE						
	79,000	10/24/12	10/29/13	\$1,817.82	\$1,596.76	\$0.00	\$221.06	\$0.00
COCA COLA CO	CUSIP: 191216100	Symbol (Box 1d): KO						
	40,000	07/19/11	01/22/13	\$1,483.56	\$1,374.97	\$0.00	\$108.59	\$0.00
	48,000	07/19/11	02/11/13	\$1,845.22	\$1,649.96	\$0.00	\$195.26	\$0.00
	37,000	08/15/11	02/11/13	\$1,422.36	\$1,262.12	\$0.00	\$160.24	\$0.00
	43,000	08/15/11	03/05/13	\$1,659.30	\$1,466.78	\$0.00	\$192.52	\$0.00
	76,000	08/16/11	03/05/13	\$2,932.72	\$2,584.31	\$0.00	\$348.41	\$0.00
	24,000	10/18/11	03/05/13	\$926.12	\$798.53	\$0.00	\$127.59	\$0.00
	44,000	12/20/11	03/05/13	\$1,697.89	\$1,503.58	\$0.00	\$194.31	\$0.00
	5,000	02/08/12	03/05/13	\$192.95	\$171.67	\$0.00	\$21.28	\$0.00
	31,000	02/08/12	03/08/13	\$1,216.96	\$1,064.37	\$0.00	\$152.59	\$0.00
	28,000	02/21/12	03/08/13	\$1,098.19	\$966.61	\$0.00	\$132.58	\$0.00
	16,000	02/22/12	03/08/13	\$628.11	\$53.16	\$0.00	\$77.06	\$0.00
Security Subtotal	392,000			\$15,104.38	\$13,396.06	\$0.00	\$1,708.32	\$0.00
COGNIZANT TECH SOLUTIONS CL A	CUSIP: 192446102	Symbol (Box 1d): CTSH						
	27,000	05/07/12	05/13/13	\$1,712.93	\$1,587.92	\$0.00	\$125.01	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
DEERE & CO	3,000	08/15/12	09/09/13	\$252.11	\$222.12	\$0.00	\$29.99	\$0.00
Security Subtotal	37,000	9,000	08/15/12	\$756.32	\$686.35	\$0.00	\$89.97	\$0.00
DEUTSCHE TELEKOM AG 1 ORD 1ADS	CUSIP: 251566105	Symbol (Box 1d): DTEGY		\$3,109.31	\$2,917.39	\$0.00	\$191.92	\$0.00
	29,000	04/13/11	02/21/13	\$304.24	\$468.24	\$164.00	(\$164.00)	\$0.00
	37,000	04/13/11	02/21/13	\$388.16	\$597.40	\$209.24	(\$209.24)	\$0.00
	76,000	04/13/11	02/21/13	\$797.31	\$1,227.11	\$0.00	(\$429.80)	\$0.00
	143,000	04/28/11	02/21/13	\$1,500.21	\$2,370.37	\$0.00	(\$870.16)	\$0.00
	37,000	04/13/11	03/25/13	\$401.22	\$655.48	\$0.00	(\$234.26)	\$0.00
	29,000	04/13/11	03/25/13	\$314.46	\$488.08	\$0.00	(\$183.62)	\$0.00
EOG RESOURCES INC	Security Subtotal	351,000		\$3,705.60	\$5,796.68	\$373.24	(\$2,091.08)	\$0.00
			CUSIP: 26875P101	Symbol (Box 1d): EOG				
	14,000	07/18/12	08/13/13	\$2,197.71	\$1,357.72	\$0.00	\$839.99	\$0.00
	20,000	07/18/12	11/04/13	\$3,643.14	\$1,939.60	\$0.00	\$1,703.54	\$0.00
	3,000	07/18/12	12/26/13	\$504.21	\$20.94	\$0.00	\$213.27	\$0.00
	4,000	07/18/12	12/26/13	\$672.29	\$387.92	\$0.00	\$284.37	\$0.00
	1,000	08/29/12	12/26/13	\$168.07	\$108.50	\$0.00	\$59.57	\$0.00
	7,000	08/29/12	12/26/13	\$1,176.50	\$759.47	\$0.00	\$417.03	\$0.00
	15,000	08/29/12	12/26/13	\$2,521.07	\$1,627.43	\$0.00	\$953.64	\$0.00
	7,000	08/29/12	12/26/13	\$1,176.49	\$759.46	\$0.00	\$417.03	\$0.00
ERICSSON LM TEL ADR CL B NEW	Security Subtotal	71,000		\$12,059.48	\$7,231.04	\$0.00	\$4,828.44	\$0.00
			CUSIP: 294921608	Symbol (Box 1d): ERIC				
	74,000	01/27/12	11/14/13	\$905.02	\$608.80	\$0.00	\$236.22	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
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Identification Number:
[REDACTED]

Taxpayer ID Number:
[REDACTED]
Account Number:
[REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COMCAST CORP (NEW) CLASS A	CUSIP: 20030N101	Symbol (Box 1d): CMCSA						
	2,000	06/03/11	06/18/13	\$81.07	\$48.82	\$0.00	\$32.25	\$0.00
	2,000	06/03/11	06/18/13	\$81.07	\$48.82	\$0.00	\$32.25	\$0.00
	5,000	06/03/11	06/18/13	\$202.68	\$122.04	\$0.00	\$80.64	\$0.00
	76,000	06/29/11	06/18/13	\$3,080.71	\$1,897.48	\$0.00	\$1,183.23	\$0.00
	15,000	08/04/11	06/18/13	\$608.03	\$330.58	\$0.00	\$277.45	\$0.00
Security Subtotal	100,000			\$1,033.56	\$2,447.74	\$0.00	\$1,605.82	\$0.00
CONOCOPHILLIPS	CUSIP: 20825C104	Symbol (Box 1d): COP						
	23,000	01/27/12	01/28/13	\$1,392.41	\$1,222.12	\$0.00	\$170.29	\$0.00
		CUSIP: 12637N105	Symbol (Box 1d): CMXHY					
	62,000	07/06/11	03/19/13	\$1,905.04	\$1,082.70	\$0.00	\$822.34	\$0.00
	26,000	07/06/11	05/08/13	\$787.78	\$454.03	\$0.00	\$333.75	\$0.00
	43,000	07/06/11	06/19/13	\$1,157.06	\$750.90	\$0.00	\$406.16	\$0.00
	55,000	08/16/11	06/19/13	\$1,479.96	\$887.37	\$0.00	\$592.59	\$0.00
Security Subtotal	186,000			\$5,329.84	\$3,175.00	\$0.00	\$2,154.84	\$0.00
CVS CAREMARK CORP	CUSIP: 126650100	Symbol (Box 1d): CVS						
	42,000	01/04/12	05/15/13	\$2,524.34	\$1,744.92	\$0.00	\$779.42	\$0.00
	2,000	01/11/12	05/15/13	\$120.21	\$83.87	\$0.00	\$36.34	\$0.00
Security Subtotal	44,000			\$2,644.55	\$1,826.79	\$0.00	\$815.78	\$0.00
DEERE & CO	CUSIP: 244199105	Symbol (Box 1d): DE						
	2,000	02/25/12	09/09/13	\$168.07	\$182.94	\$0.00	(-\$14.87)	\$0.00
	23,000	08/14/12	09/09/13	\$1,932.81	\$1,845.98	\$0.00	\$86.83	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley

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EARL L CARTER
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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
[REDACTED]
Taxpayer ID Number:
[REDACTED]
Account Number:
[REDACTED] 92

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GENERAL ELECTRIC CO	65.000	01/27/12	08/13/13	\$1,566.93	\$1,235.29	\$0.00	\$331.64	\$0.00
GOOGLE INC-CL A	CUSIP: 369604103	Symbol (Box 1d): GE						
	CUSIP: 38259P508	Symbol (Box 1d): GOOG						
	3.000	03/22/11	03/25/13	\$2,422.28	\$1,829.51	\$0.00	\$592.77	\$0.00
	2.000	07/29/11	03/25/13	\$1,614.85	\$1,276.53	\$0.00	\$338.32	\$0.00
	4.000	01/20/12	03/25/13	\$3,229.70	\$2,483.89	\$0.00	\$745.81	\$0.00
Security Subtotal	9.000			\$7,266.83	\$5,589.93	\$0.00	\$1,576.90	\$0.00
HILLENBRAND INC	CUSIP: 431571108	Symbol (Box 1d): HI						
	86.000	06/01/11	05/22/13	\$2,127.30	\$1,941.82	\$0.00	\$185.48	\$0.00
	47.000	06/01/11	05/23/13	\$1,133.42	\$1,061.23	\$0.00	\$72.19	\$0.00
	119.000	06/01/11	08/20/13	\$2,886.28	\$2,686.94	\$0.00	\$199.34	\$0.00
	21.000	06/08/11	08/20/13	\$2,207.16	\$2,074.02	\$0.00	\$133.14	\$0.00
Security Subtotal	343.000			\$8,354.16	\$7,764.01	\$0.00	\$590.15	\$0.00
INFORMA PLC UNSPON ADR	CUSIP: 45672B107	Symbol (Box 1d): IFFJY						
	110.000	11/10/11	03/21/13	\$1,694.81	\$1,280.32	\$0.00	\$414.49	\$0.00
	204.000	11/10/11	04/29/13	\$3,029.78	\$2,374.42	\$0.00	\$655.36	\$0.00
Security Subtotal	314.000	CUSIP: 460690100	Symbol (Box 1d): IPG	\$4,724.59	\$3,654.74	\$0.00	\$1,069.85	\$0.00
INTERPUBLIC GROUP OF COS INC	4.000	04/14/11	08/29/13	\$64.09	\$47.58	\$0.00		

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:

Taxpayer ID Number:
Account Number:

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

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LENDER PROCESSING SERV	CUSIP: 52602E102	Symbol (Box 1d):						
	93,000	08/09/11	09/24/13	\$3,057.30	\$1,532.72	\$0.00	\$1,524.58	\$0.00
	12,000	08/10/11	09/24/13	\$394.49	\$200.99	\$0.00	\$193.50	\$0.00
	63,000	08/10/11	09/30/13	\$2,096.85	\$1,055.19	\$0.00	\$1,041.66	\$0.00
Security Subtotal	158,000			\$5,548.64	\$2,788.90	\$0.00	\$2,759.74	\$0.00
LEXMARK INT'L INC NEW A	CUSIP: 529771107	Symbol (Box 1d): LKX						
	14,000	06/20/11	07/23/13	\$529.71	\$395.72	\$0.00	\$133.99	\$0.00
LIFE TECHNOLOGIES CORP	CUSIP: 53217V109	Symbol (Box 1d): LIFE						
	25,000	09/13/11	01/22/13	\$1,538.34	\$968.87	\$0.00	\$569.47	\$0.00
	15,000	09/15/11	01/22/13	\$923.00	\$597.75	\$0.00	\$325.25	\$0.00
	10,000	09/15/11	04/19/13	\$735.68	\$398.50	\$0.00	\$337.18	\$0.00
	20,000	09/21/11	04/19/13	\$1,471.37	\$787.62	\$0.00	\$683.75	\$0.00
	5,000	09/21/11	04/22/13	\$367.75	\$1,96.91	\$0.00	\$170.84	\$0.00
	22,000	09/22/11	04/22/13	\$1,618.09	\$810.64	\$0.00	\$807.45	\$0.00
	5,000	09/22/11	05/03/13	\$368.06	\$184.24	\$0.00	\$183.82	\$0.00
	25,000	10/10/11	05/03/13	\$1,840.31	\$921.06	\$0.00	\$919.25	\$0.00
	3,000	10/10/11	05/08/13	\$220.64	\$110.53	\$0.00	\$110.11	\$0.00
	18,000	04/26/12	05/08/13	\$1,323.87	\$829.61	\$0.00	\$494.26	\$0.00
Security Subtotal	148,000			\$10,407.11	\$5,805.73	\$0.00		
LINCOLN NTL CORP IND	CUSIP: 534187109	Symbol (Box 1d): LNC						
	45,000	06/23/11	07/09/13	\$1,774.28	\$1,201.45	\$0.00		\$0.00
	17,000	06/24/11	07/09/13	\$670.29	\$453.62	\$0.00	\$216.67	\$0.00
Security Subtotal	62,000			\$2,444.57	\$1,655.07	\$0.00	\$789.50	\$0.00

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTUITIVE SURGICAL INC	CUSIP: 46120EE02	Symbol (Box 1d): ISRG						
	6,000	12/22/11	04/29/13	\$2,933.45	\$2,685.15	\$0.00	\$248.30	\$0.00
	3,000	01/20/12	04/29/13	\$1,466.73	\$1,313.90	\$0.00	\$152.83	\$0.00
Security Subtotal	9,000			\$4,400.18	\$3,998.05	\$0.00	\$401.13	\$0.00
JUPITER TELECOM ADR	CUSIP: 48206M102	Symbol (Box 1d):						
	106,000	03/01/11	04/02/13	\$1,395.38	\$1,149.73	\$0.00	\$245.65	\$0.00
	89,000	09/28/11	04/02/13	\$1,171.60	\$936.00	\$0.00	\$235.60	\$0.00
Security Subtotal	195,000			\$2,566.98	\$2,085.73	\$0.00	\$481.25	\$0.00
KEYCORP NEW	CUSIP: 493267108	Symbol (Box 1d): KEY						
	343,000	01/27/12	11/20/13	\$4,370.02	\$2,693.41	\$0.00	\$1,676.61	\$0.00
L'OREAL CO ADR	CUSIP: 502117203	Symbol (Box 1d): LRLCY						
	54,000	09/08/11	10/11/13	\$1,831.32	\$1,117.28	\$0.00	\$714.04	\$0.00
LAS VEGAS SANDS CORPORATION	CUSIP: 517834107	Symbol (Box 1d): LVS						
	5,000	02/07/11	02/04/13	\$269.70	\$231.04	\$0.00	\$38.66	\$0.00
	5,000	05/05/11	02/04/13	\$269.69	\$212.88	\$0.00	\$56.81	\$0.00
	7,000	05/05/11	10/11/13	\$468.92	\$294.57	\$0.00	\$174.35	\$0.00
	5,000	09/21/11	10/11/13	\$334.94	\$246.64	\$0.00	\$88.30	\$0.00
	15,000	09/21/11	10/18/13	\$1,087.04	\$739.91	\$0.00	\$347.13	\$0.00
	21,000	05/18/12	10/18/13	\$1,521.85	\$983.77	\$0.00	\$528.08	\$0.00
Security Subtotal	58,000			\$2,952.14	\$2,718.81	\$0.00	\$1,233.33	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
LOWES COMPANIES INC	73,000	03/29/12	11/20/13	\$3,522.52	\$2,085.25	\$0.00	\$1,437.27	\$0.00

LULULEMON ATHLETICA INC	CUSIP: 550021109	Symbol (Box 1d): LULU						
	18,000	08/04/11	03/08/13	\$1,246.65	\$1,011.57	\$0.00	\$235.08	\$0.00
	4,000	08/04/11	03/19/13	\$254.21	\$224.79	\$0.00	\$29.42	\$0.00
	13,000	12/09/11	03/19/13	\$826.19	\$617.35	\$0.00	\$208.84	\$0.00
Security Subtotal	35,000			\$2,327.05	\$1,853.71	\$0.00	\$473.34	\$0.00

MERCK & CO INC NEW COM	CUSIP: 58933Y105	Symbol (Box 1d): MRK						
	55,000	01/27/12	05/15/13	\$2,570.60	\$2,118.17	\$0.00	\$452.43	\$0.00
	59,000	01/27/12	11/04/13	\$2,220.45	\$2,272.22	\$0.00	\$448.23	\$0.00
Security Subtotal	114,000			\$5,291.05	\$4,390.39	\$0.00	\$900.66	\$0.00

MONDELEZ INT'L INC COM	CUSIP: 609207105	Symbol (Box 1d): MDLZ						
	52,000	01/27/12	06/18/13	\$1,583.70	\$1,299.40	\$0.00	\$284.30	\$0.00
	37,000	01/27/12	12/04/13	\$1,262.46	\$924.58	\$0.00	\$337.88	\$0.00
	35,000	03/20/12	12/04/13	\$1,194.21	\$873.53	\$0.00	\$320.68	\$0.00
	26,000	06/28/12	12/04/13	\$887.13	\$629.83	\$0.00	\$257.30	\$0.00
Security Subtotal	150,000			\$4,927.50	\$3,727.34	\$0.00	\$1,200.16	\$0.00

NATL GRID TRANSCO PLC ADS	CUSIP: 636274300	Symbol (Box 1d): NGG						
	92,000	01/27/12	04/19/13	\$5,556.91	\$4,432.07	\$0.00	\$124.84	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:

Taxpayer ID Number:
Account Number:


Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ORACLE CORP	56,000	04/13/11	10/16/13	\$1,846.32	\$1,886.07	\$0.00	(\$39.75)	\$0.00
	55,000	06/29/11	10/16/13	\$1,813.35	\$1,789.83	\$0.00	\$23.52	\$0.00
	39,000	02/23/12	10/16/13	\$1,285.83	\$1,114.48	\$0.00	\$171.35	\$0.00
Security Subtotal	150,000	CUSIP: 68389X105	Symbol (Box 1d): ORCL	\$4,945.50	\$4,790.38	\$0.00	\$155.12	\$0.00
PACCAR INC	20,000	02/13/12	03/25/13	\$984.97	\$873.50	\$0.00	\$111.47	\$0.00
	27,000	02/23/12	03/25/13	\$1,329.72	\$1,232.46	\$0.00	\$97.26	\$0.00
Security Subtotal	47,000	CUSIP: 683718108	Symbol (Box 1d): PCAR	\$2,314.69	\$2,105.96	\$0.00	\$208.73	\$0.00
PETROFAC LTD LONDON UNSPON ADR	268,000	10/31/11	07/12/13	\$2,632.49	\$3,101.16	\$0.00	(\$468.67)	\$0.00
PHILLIPS 66 COM	CUSIP: 718546104	Symbol (Box 1d): PSX						
	23,000	05/11/12	12/26/13	\$1,724.98	\$732.68	\$0.00	\$992.30	\$0.00
	45,000	06/20/12	12/26/13	\$3,374.97	\$1,552.05	\$0.00	\$1,822.92	\$0.00
	4,000	08/07/12	12/26/13	\$300.00	\$162.13	\$0.00	\$137.87	\$0.00
Security Subtotal	72,000			\$5,399.95	\$2,446.86	\$0.00	\$2,953.09	\$0.00
PNC FINL SVCS GP	CUSIP: 683475105	Symbol (Box 1d): PNC						
	17,000	05/11/11	08/13/13	\$1,285.45	\$1,081.16	\$0.00	\$204.29	\$0.00
	25,000	08/31/11	08/13/13	\$1,890.36	\$1,262.05	\$0.00	\$628.31	\$0.00
	16,000	08/31/11	11/20/13	\$1,207.37	\$807.71	\$0.00	\$399.66	\$0.00
Security Subtotal	58,000			\$4,383.18	\$3,150.92	\$0.00	\$1,232.26	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Taxpayer ID Number:
Account Number:

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 22-02222222

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	ACQUIRED (Box 1b)	DATE SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 5)	FEDERAL INCOME TAX WITHHELD (Box 4)
SCHLUMBERGER LTD			CUSIP: 806857108 Symbol (Box 1d): SLB					
	4,000	03/01/12	12/26/13	\$396.27	\$314.60	\$0.00	\$41.67	\$0.00
	12,000	06/28/12	12/26/13	\$1,068.81	\$742.68	\$0.00	\$326.13	\$0.00
	4,000	06/28/12	12/26/13	\$396.27	\$247.56	\$0.00	\$108.71	\$0.00
Security Subtotal	20,000			\$1,781.35	\$1,304.84	\$0.00	\$476.51	\$0.00
SHINHAN FINANCIAL GROUP CO LTD			CUSIP: 824596100 Symbol (Box 1d): SHG					
	8,000	01/27/12	05/01/13	\$269.79	\$320.29	\$0.00	(\$50.50)	\$0.00
SIMON PPTY GROUP INC			CUSIP: 828806109 Symbol (Box 1d): SPG					
	1,000	01/27/12	06/20/13	\$158.24	\$136.63	\$0.00	\$21.61	\$0.00
	4,000	01/27/12	06/20/13	\$632.98	\$546.52	\$0.00	\$86.46	\$0.00
	5,000	01/27/12	06/20/13	\$791.22	\$683.15	\$0.00	\$108.07	\$0.00
	6,000	01/27/12	06/20/13	\$949.47	\$819.77	\$0.00	\$129.70	\$0.00
	6,000	01/27/12	06/20/13	\$949.47	\$819.77	\$0.00	\$129.70	\$0.00
	7,000	01/27/12	06/20/13	\$1,107.71	\$956.40	\$0.00	\$151.31	\$0.00
	5,000	01/27/12	06/25/13	\$782.76	\$683.14	\$0.00	\$99.62	\$0.00
	2,000	03/12/12	06/25/13	\$313.11	\$275.83	\$0.00	\$37.28	\$0.00
	7,000	03/12/12	06/27/13	\$1,119.85	\$965.39	\$0.00	\$154.46	\$0.00
	3,000	03/12/12	06/27/13	\$479.94	\$413.74	\$0.00	\$66.20	\$0.00
	10,000	03/12/12	06/27/13	\$159.98	\$137.91	\$0.00	\$22.07	\$0.00
	10,000	03/12/12	11/20/13	\$1,524.50	\$1,379.13	\$0.00	\$145.37	\$0.00
Security Subtotal	57,000			\$8,969.23	\$7,817.38	\$0.00	\$1,151.85	\$0.00
SOCIEDAD QUIMICA Y MINERA ADS			CUSIP: 833635105 Symbol (Box 1d): SQM					
	8,000	01/27/12	05/01/13	\$388.86	\$468.72	\$0.00	(\$79.86)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number: [REDACTED]
Taxpayer ID Number: [REDACTED]
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
QUALCOMM INC	CUSIP: 747525103	Symbol (Box 1d): QCOM						
	14,000	06/03/11	06/18/13	\$870.78	\$809.12	\$0.00	\$61.84	\$0.00
	15,000	06/03/11	07/02/13	\$910.55	\$866.91	\$0.00	\$43.64	\$0.00
	24,000	01/27/12	07/02/13	\$1,456.88	\$1,389.25	\$0.00	\$67.63	\$0.00
Security Subtotal	53,000			\$3,288.19	\$3,065.28	\$0.00	\$172.91	\$0.00
RALPH LAUREN CORP CL A	CUSIP: 751212101	Symbol (Box 1d): RL						
	9,000	09/27/11	10/15/13	\$1,428.17	\$1,354.01	\$0.00	\$74.16	\$0.00
	3,000	11/07/11	10/15/13	\$476.06	\$471.66	\$0.00	\$4.40	\$0.00
Security Subtotal	12,000			\$1,904.23	\$1,825.67	\$0.00	\$78.56	\$0.00
RAYONIER INCORPORATED	CUSIP: 754907103	Symbol (Box 1d): RYN						
	53,000	12/12/11	11/20/13	\$2,353.10	\$2,173.14	\$0.00	\$159.96	\$0.00
REGIONS FINANCIAL CORP NEW	CUSIP: 7591EP100	Symbol (Box 1d): RF						
	170,000	02/23/12	11/20/13	\$1,628.69	\$977.03	\$0.00	\$651.66	\$0.00
REX ENERGY CORP	CUSIP: 761565100	Symbol (Box 1d): REXX						
	18,000	06/03/11	05/30/13	\$303.69	\$214.88	\$0.00	\$88.81	\$0.00
	52,000	06/10/11	05/30/13	\$877.31	\$606.83	\$0.00	\$270.48	\$0.00
	54,000	06/13/11	06/11/13	\$912.78	\$613.33	\$0.00	\$299.45	\$0.00
Security Subtotal	124,000			\$2,083.78	\$1,435.04	\$0.00	\$658.74	\$0.00

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued)

(Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
STARWOOD HTLS & RSTS WW INC	CUSIP: 85590A401	Symbol (Box 1d): HOT						
	6.000	05/03/11	06/06/13	\$394.17	\$349.38	\$0.00	\$44.79	\$0.00
	3.000	05/05/11	06/06/13	\$197.08	\$175.31	\$0.00	\$21.77	\$0.00
	8.000	05/05/11	06/19/13	\$532.17	\$467.49	\$0.00	\$64.68	\$0.00
	10.000	09/14/11	06/19/13	\$665.21	\$426.24	\$0.00	\$238.97	\$0.00
	17.000	12/20/11	06/19/13	\$1,130.85	\$793.06	\$0.00	\$337.79	\$0.00
	5.000	04/10/12	06/19/13	\$332.60	\$268.34	\$0.00	\$64.26	\$0.00
	16.000	04/10/12	06/25/13	\$1,001.96	\$858.69	\$0.00	\$143.27	\$0.00
	4.000	04/10/12	06/27/13	\$255.55	\$214.67	\$0.00	\$40.98	\$0.00
	26.000	05/12/12	06/27/13	\$1,661.73	\$1,313.43	\$0.00	\$348.30	\$0.00
	95.000		\$6,171.42		\$4,886.61	\$0.00	\$1,304.81	\$0.00
STATE STREET CORP	CUSIP: 857477103	Symbol (Box 1d): STT						
	25.000	01/27/12	06/18/13	\$1,583.88	\$966.80	\$0.00	\$717.08	\$0.00
	17.000	01/27/12	07/12/13	\$1,173.16	\$657.42	\$0.00	\$515.74	\$0.00
	42.000		\$2,857.04		\$1,624.22	\$0.00	\$1,232.82	\$0.00
STRATASYS LTD SHS	CUSIP: M85548101	Symbol (Box 1d): SYS						
	4,000	09/19/12	11/25/13	\$471.04	\$292.04	\$0.00	\$179.00	\$0.00
	5,000	09/25/12	11/25/13	\$558.80	\$365.05	\$0.00	\$223.75	\$0.00
	1,000	11/05/12	11/25/13	\$117.76	\$73.01	\$0.00	\$44.75	\$0.00
	10,000		\$1,177.60		\$730.10	\$0.00	\$377.50	\$0.00
SUBSEA 7 S.A. SPONSORED ADR	CUSIP: 864323100	Symbol (Box 1d): SUBCY						
	90,000	05/23/11	07/11/13	\$1,642.82	\$2,214.49	\$0.00	(\$571.67)	\$0.00
	27,000	06/30/11	07/11/13	\$492.85	\$696.20	\$0.00	(\$203.35)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
[REDACTED]
Taxpayer ID Number:
[REDACTED]
Account Number:
[REDACTED] 22

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUBSEA 7 S.A. SPONSORED ADR	52,000	08/09/11	07/11/13	\$949.19	\$1,072.39	\$0.00	(\$123.20)	\$0.00
	19,000	01/27/12	07/11/13	\$346.82	\$391.97	\$0.00	(\$45.15)	\$0.00
Security Subtotal	188,000			\$3,431.68	\$4,375.05	\$0.00	(\$943.37)	\$0.00
TARGET CORPORATION	CUSIP: 87612E106	Symbol (Box 1d): TGT						
	23,000	11/02/11	06/03/13	\$1,629.75	\$1,228.56	\$0.00	\$401.19	\$0.00
	11,000	11/02/11	06/18/13	\$771.12	\$587.57	\$0.00	\$183.55	\$0.00
	21,000	02/28/12	06/18/13	\$1,472.14	\$1,168.26	\$0.00	\$303.88	\$0.00
	16,000	06/01/12	06/18/13	\$1,121.63	\$917.76	\$0.00	\$203.87	\$0.00
Security Subtotal	71,000			\$4,994.64	\$3,902.15	\$0.00	\$1,092.49	\$0.00
TIME WARNER INC NEW	CUSIP: 887317303	Symbol (Box 1d): TWX						
	47,000	01/27/12	01/28/13	\$2,358.40	\$1,773.48	\$0.00	\$584.92	\$0.00
U S BANCORP COM NEW	CUSIP: 902973304	Symbol (Box 1d): USB						
	113,000	01/27/12	06/03/13	\$3,975.67	\$3,161.25	\$0.00	\$814.42	\$0.00
UNION PACIFIC CORP	CUSIP: 90781B108	Symbol (Box 1d): UNP						
	12,000	01/27/12	11/04/13	\$1,841.49	\$1,369.00	\$0.00	\$472.49	\$0.00
UNITEDHEALTH GP INC	CUSIP: 91324P102	Symbol (Box 1d): UNH						
	132,000	01/27/12	01/28/13	\$7,431.52	\$6,673.98	\$0.00	(\$757.54)	\$0.00
UTD OVERSEAS BK LTD SPON ADR	CUSIP: 911271302	Symbol (Box 1d): UOVEY						
	34,000	01/20/12	04/22/13	\$1,132.41	\$911.32	\$0.00	(\$21.09)	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 5)	FEDERAL INCOME TAX WITHHELD (Box 4)
VMWARE INC CLASS A				CUSIP: 928563402 Symbol (Box 1d): VMW				
	7,000	08/15/11	01/29/13	\$554.53	\$661.20	\$106.67	(\$106.67)	\$0.00
	10,000	08/15/11	01/29/13	\$792.19	\$944.58	\$0.00	(\$152.39)	\$0.00
	7,000	08/15/11	01/31/13	\$548.74	\$748.08	\$0.00	(\$199.34)	\$0.00
	9,000	08/15/11	01/31/13	\$705.53	\$850.12	\$0.00	(\$144.59)	\$0.00
	10,000	08/29/11	01/31/13	\$783.92	\$877.86	\$0.00	(\$93.94)	\$0.00
	5,000	09/20/11	01/31/13	\$391.96	\$453.61	\$0.00	(\$61.65)	\$0.00
Security Subtotal	48,000			\$3,776.87	\$4,535.45	\$106.67	(\$758.58)	\$0.00
WALT DISNEY CO HLDG CO				CUSIP: 254687706 Symbol (Box 1d): DIS				
	23,000	10/12/11	06/18/13	\$1,504.17	\$788.33	\$0.00	\$715.84	\$0.00
	2,000	01/27/12	06/18/13	\$1130.80	\$78.53	\$0.00	\$52.27	\$0.00
	5,000	10/12/11	07/12/13	\$332.49	\$171.38	\$0.00	\$161.11	\$0.00
	5,000	01/27/12	07/12/13	\$332.49	\$196.32	\$0.00	\$136.17	\$0.00
Security Subtotal	35,000			\$2,299.95	\$1,234.56	\$0.00	\$1,065.39	\$0.00
WELLS FARGO & CO NEW				CUSIP: 949746101 Symbol (Box 1d): WFC				
	45,000	01/27/12	07/12/13	\$1,918.40	\$1,315.71	\$0.00	\$602.69	\$0.00
WHOLE FOODS MARKETS INC				CUSIP: 966837106 Symbol (Box 1d): WFM				
	16,000	01/12/11	04/04/13	\$1,321.95	\$802.87	\$0.00	\$519.08	\$0.00
	11,000	01/12/11	04/15/13	\$950.82	\$551.97	\$0.00	\$408.85	\$0.00
Security Subtotal	27,000			\$2,282.77	\$1,354.84	\$0.00	\$927.93	\$0.00
Total Long Term Covered Securities				\$282,522.16	\$223,109.94	\$555.23	\$59,412.22	\$0.00

Morgan Stanley

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1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: **X-644480**

Taxpayer ID Number: **644480**
Account Number: **644480**
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
APPLE INC	3,000	07/11/08	02/01/13	\$1,387.45	\$523.61	\$0.00	\$833.84	\$0.00
	3,000	07/11/08	08/16/13	\$1,504.06	\$523.61	\$0.00	\$980.45	\$0.00
	5,000	09/11/08	09/03/13	\$2,477.31	\$746.80	\$0.00	\$1,730.51	\$0.00
ASSURANT INC	25,000			\$1,673.59		\$0.00	\$1,644.80	\$0.00
Security Subtotal				CUSIP: 04621X108 Symbol (Box 1d): AIZ				
		50,000	05/27/09	07/01/13	\$2,574.13	\$1,134.99	\$0.00	\$1,439.14
		13,000	05/28/09	07/01/13	\$669.27	\$295.96	\$0.00	\$373.31
		35,000	05/28/09	07/01/13	\$1,823.67	\$796.81	\$0.00	\$1,032.86
		98,000		\$5,073.07		\$2,227.76		\$0.00
BG GROUP PLC				CUSIP: 05543A203 Symbol (Box 1d): BRGGY				
		55,000	04/30/10	07/05/13	\$933.42	\$954.92	\$0.00	(\$21.50)
		25,000	11/03/10	07/05/13	\$424.28	\$503.56	\$0.00	(\$79.28)
		80,000		\$1,357.70		\$1,458.48		\$0.00
BROADCOM CORP CL A				CUSIP: 111320107 Symbol (Box 1d): BRCM				
		30,000	04/25/08	02/11/13	\$988.02	\$799.96	\$0.00	\$198.06
		2,000	06/03/08	02/11/13	\$66.53	\$54.03	\$0.00	\$12.50
		17,000	07/09/08	02/11/13	\$565.54	\$461.68	\$0.00	\$103.86
		15,000	07/18/08	02/11/13	\$499.02	\$418.95	\$0.00	\$80.07
		3,000	07/18/08	03/08/13	\$100.44	\$83.46	\$0.00	\$16.98
		25,000	07/30/08	03/08/13	\$836.98	\$590.11	\$0.00	\$26.87
		18,000	01/14/10	03/08/13	\$602.63	\$533.92	\$0.00	\$68.71
		110,000		\$3,669.16		\$2,942.11		\$0.00
Security Subtotal								

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004
Identification Number:

Taxpayer ID Number:
Account Number:

[REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACCENTURE PLC IRELAND CL A	14,000	09/15/10 5,000	06/27/13 06/27/13	\$1,124.95 \$401.77	\$552.59 \$226.87	\$0.00 \$0.00	\$572.36 \$174.90	\$0.00 \$0.00
Security Subtotal	19,000			\$1,526.72	\$779.46	\$0.00	\$747.26	\$0.00
ALEXION PHARM INC	6,000	05/18/09	02/15/13	Symbol (Box 1d): ACN \$519.62	\$100.18	\$0.00	\$419.44	\$0.00
	12,000	03/19/10	02/15/13	\$1,039.23	\$321.55	\$0.00	\$717.68	\$0.00
	14,000	06/17/10	02/15/13	\$1,212.43	\$373.68	\$0.00	\$838.75	\$0.00
	4,000	06/17/10	03/15/13	\$373.03	\$106.77	\$0.00	\$266.26	\$0.00
Security Subtotal	36,000			\$3,144.31	\$902.18	\$0.00	\$2,242.13	\$0.00
AMERICAN CAMPUS CMMTYS INC	74,000	07/11/07	08/12/13	Symbol (Box 1d): ACC \$2,730.23	\$1,866.90	\$0.00	\$863.33	\$0.00
	19,000	07/11/07	08/13/13	\$681.81	\$479.34	\$0.00	\$202.47	\$0.00
	2,000	07/12/07	08/13/13	\$71.77	\$49.68	\$0.00	\$22.09	\$0.00
	2,000	02/14/08	08/13/13	\$71.77	\$46.04	\$0.00	\$25.73	\$0.00
	7,000	02/19/08	08/13/13	\$251.19	\$157.39	\$0.00	\$93.80	\$0.00
	8,000	12/14/10	08/13/13	\$287.08	\$236.55	\$0.00	\$50.53	\$0.00
Security Subtotal	112,000			\$4,093.85	\$2,835.90	\$0.00	\$1,257.95	\$0.00
ANHEUSER BUSCH INBEV SA SPON	54,000	07/06/09	02/07/13	Symbol (Box 1d): BUD \$4,698.50	\$2,064.26	\$0.00	\$2,634.24	\$0.00
APPLE INC	2,000	08/17/07	02/01/13	Symbol (Box 1d): AAPL \$904.97	\$243.59	\$0.00	\$661.38	\$0.00
	3,000	09/10/07	02/01/13	\$1,357.45	\$406.98	\$0.00	\$950.47	\$0.00
	9,000	07/08/08	02/01/13	\$4,072.35	\$1,584.20	\$0.00	\$2,488.15	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:


Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CRIMSON WINE GROUP LTD COM	1,000	10/21/09	03/07/13	\$8.21	\$7.70	\$0.00	\$0.51	\$0.00
	2,000	02/05/10	03/07/13	\$16.43	\$10.49	\$0.00	\$5.94	\$0.00
	4,000	02/16/10	03/07/13	\$32.85	\$21.99	\$0.00	\$10.86	\$0.00
	5,000	06/03/10	03/07/13	\$41.07	\$27.58	\$0.00	\$13.49	\$0.00
	2,000	12/16/11	03/07/13	\$16.43	\$11.97	\$0.00	\$4.46	\$0.00
Security Subtotal	24,000			\$197.12	\$122.48	\$0.00	\$74.64	\$0.00
DST SYSTEMS INC		CUSIP: 233326107	Symbol (Box 1d): DST					
	10,000	05/20/10	01/15/13	\$629.60	\$373.15	\$0.00	\$256.45	\$0.00
	11,000	06/03/10	01/15/13	\$692.55	\$417.85	\$0.00	\$274.70	\$0.00
	24,000	06/03/10	02/27/13	\$1,634.00	\$911.67	\$0.00	\$722.33	\$0.00
	4,000	06/03/10	11/20/13	\$350.49	\$151.95	\$0.00	\$198.54	\$0.00
	23,000	06/08/10	11/20/13	\$2,015.32	\$836.30	\$0.00	\$1,179.02	\$0.00
Security Subtotal	72,000			\$5,321.96	\$2,690.92	\$0.00	\$2,631.04	\$0.00
EQUIFAX INC		CUSIP: 294429105	Symbol (Box 1d): EFX					
	109,000	12/30/08	01/22/13	\$6,323.80	\$2,806.77	\$0.00	\$3,517.03	\$0.00
	15,000	09/10/09	01/22/13	\$870.25	\$403.55	\$0.00	\$466.70	\$0.00
Security Subtotal	124,000			\$7,194.05	\$3,210.32	\$0.00	\$3,983.73	\$0.00
EXPERIAN GPF LTD ADR		CUSIP: 30215C101	Symbol (Box 1d): EXPGY					
	85,000	11/19/07	03/21/13	\$1,452.62	\$742.31	\$0.00		\$0.00
	5,000	11/19/07	12/05/13	\$88.44	\$43.67	\$0.00		\$0.00
	87,000	05/14/08	12/05/13	\$1,538.87	\$67.10	\$0.00	\$1,471.77	\$0.00
	100,000	06/23/09	12/05/13	\$1,768.82	\$766.23	\$0.00	\$831.77	\$0.00
Security Subtotal	277,000			\$4,848.75	\$2,259.31	\$0.00	\$2,589.44	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:
[REDACTED]
Taxpayer ID Number:
[REDACTED]
Account Number:
[REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CA INCORPORATED	CUSIP: 12673P105	Symbol (Box 1d): CA						
	7,000	01/10/08	05/24/13	\$191.38	\$160.16	\$0.00	\$31.22	\$0.00
	29,000	08/01/08	05/24/13	\$792.87	\$657.87	\$0.00	\$135.00	\$0.00
	51,000	06/15/09	05/24/13	\$1,394.35	\$882.53	\$0.00	\$511.82	\$0.00
	1,000	10/23/09	05/24/13	\$27.34	\$21.35	\$0.00	\$5.99	\$0.00
	41,000	10/23/09	05/28/13	\$1,126.44	\$875.26	\$0.00	\$251.18	\$0.00
	22,000	04/06/10	05/28/13	\$604.43	\$513.24	\$0.00	\$91.19	\$0.00
	19,000	08/12/10	05/28/13	\$522.00	\$347.02	\$0.00	\$174.98	\$0.00
	26,000	08/12/10	06/04/13	\$716.66	\$474.86	\$0.00	\$241.80	\$0.00
Security Subtotal	196,000			\$5,375.47	\$3,932.29	\$0.00	\$1,443.18	\$0.00
CATERPILLAR INC	CUSIP: 149123101	Symbol (Box 1d): CAT						
	16,000	08/05/09	05/17/13	\$1,399.42	\$764.21	\$0.00	\$635.21	\$0.00
	10,000	08/05/09	06/20/13	\$832.09	\$477.63	\$0.00	\$354.46	\$0.00
	16,000	02/23/10	06/20/13	\$1,331.35	\$922.73	\$0.00	\$408.62	\$0.00
	7,000	05/10/10	06/20/13	\$562.46	\$464.41	\$0.00	\$118.05	\$0.00
Security Subtotal	49,000			\$4,145.32	\$2,628.98	\$0.00	\$1,516.34	\$0.00
CONCHO RES INC	CUSIP: 20605P101	Symbol (Box 1d): CXO						
	5,000	12/16/10	10/01/13	\$565.69	\$417.89	\$0.00	\$147.80	\$0.00
	4,000	12/20/10	10/01/13	\$452.56	\$344.23	\$0.00	\$108.33	\$0.00
Security Subtotal	9,000			\$1,018.25	\$762.12	\$0.00	\$206.13	\$0.00
CRIMSON WINE GROUP LTD COM	CUSIP: 22662X100	Symbol (Box 1d): CWGL						
	4,000	11/12/08	03/07/13	\$32.85	\$20.76	\$0.00	\$12.09	\$0.00
	3,000	02/19/09	03/07/13	\$24.64	\$12.50	\$0.00	\$12.14	\$0.00
	3,000	03/05/09	03/07/13	\$24.64	\$9.49	\$0.00	\$15.15	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

New York, NY 10004
8th Floor
Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
EXXON MOBIL CORP		CUSIP: 30231G102	Symbol (Box 1d): XOM					
	44,000	03/25/13	\$3,903.30		NOT ON FILE		\$0.00	\$0.00
	22,000	04/19/13	\$1,912.23		NOT ON FILE		\$0.00	\$0.00
	1,000	07/12/07	\$86.92		\$88.88	\$0.00	(\$1.96)	\$0.00
	24,000	12/08/10	\$2,181.94		\$1,724.03	\$0.00	\$457.91	\$0.00
	81,000	05/15/13	\$8,084.39		\$1,812.91	\$0.00	\$455.85	\$0.00
FAIR ISAAC & CO INC		CUSIP: 31942D107	Symbol (Box 1d): FCFS					
	39,000	01/17/08	02/05/13	\$1,756.44	\$880.73	\$0.00	\$875.71	\$0.00
	1,000	01/17/08	02/20/13	\$45.37	\$22.58	\$0.00	\$22.79	\$0.00
	38,000	01/29/09	02/20/13	\$1,723.94	\$517.42	\$0.00	\$1,206.52	\$0.00
	78,000			\$3,525.75	\$1,420.73	\$0.00	\$2,105.02	\$0.00
FIRST CASH FINL SVCS INC								
	46,000	10/01/10	1/1/813	\$2,871.17	\$1,255.38	\$0.00	\$1,614.79	\$0.00
	23,000	10/01/10	1/1/913	\$1,420.75	\$628.19	\$0.00	\$792.56	\$0.00
	25,000	10/01/10	1/1/2013	\$1,541.80	\$582.82	\$0.00	\$858.98	\$0.00
	94,000			\$5,832.72	\$2,567.39	\$0.00	\$3,266.33	\$0.00
FRESENIUS MEDICAL CARE AG&CO		CUSIP: 358029106	Symbol (Box 1d): FMS					
	46,000	02/15/08	02/07/13	\$1,594.95	\$1,167.14	\$0.00	\$427.81	\$0.00
	8,000	02/15/08	06/11/13	\$281.04	\$202.88	\$0.00	\$78.06	\$0.00
	54,000			\$1,675.99	\$1,370.12	\$0.00	\$307.87	\$0.00
GENL DYNAMICS CORP		CUSIP: 369550108	Symbol (Box 1d): GD					
	6,000	03/26/09	01/25/13	\$424.21	\$255.27	\$0.00	\$168.94	\$0.00
	23,000	03/27/09	01/25/13	\$1,626.12	\$990.35	\$0.00	\$635.77	\$0.00
	29,000			\$2,050.33	\$1,245.62	\$0.00	\$804.71	\$0.00



Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a. (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
GRACO INC	CUSIP: 384109104	Symbol (Box 1d): GGG						
	42,000	03/16/09	11/18/13	\$3,292.18	\$707.84	\$0.00	\$2,584.34	\$0.00
	1,000	03/20/09	11/19/13	\$77.48	\$15.79	\$0.00	\$61.69	\$0.00
	17,000	03/24/09	11/19/13	\$1,317.14	\$289.58	\$0.00	\$1,027.56	\$0.00
Security Subtotal	60,000			\$4,686.80	\$1,013.21	\$0.00	\$3,673.59	\$0.00
GRANITE REAL ESTATE INVESTMET	CUSIP: 387437114	Symbol (Box 1d): GRP.U						
	36,000	04/08/13		\$1,379.25	NOT ON FILE			
	25,000	09/30/13		\$903.77	NOT ON FILE			
Security Subtotal	61,000			\$2,283.02	\$0.00			
HILLENBRAND INC	CUSIP: 431571108	Symbol (Box 1d): HI						
	17,000	11/30/10	05/22/13	\$420.51	\$328.14	\$0.00	\$92.37	\$0.00
INTERPUBLIC GROUP OF COS INC	CUSIP: 460690100	Symbol (Box 1d): IPG						
	103,000	10/10/07	01/02/13	\$1,189.62	\$1,058.21	\$0.00	\$131.41	\$0.00
	27,000	11/08/07	01/02/13	\$311.84	\$236.66	\$0.00	\$75.18	\$0.00
	10,000	11/08/07	08/13/13	\$161.37	\$87.65	\$0.00	\$73.72	\$0.00
	47,000	01/04/08	08/13/13	\$758.46	\$366.02	\$0.00	\$392.44	\$0.00
	93,000	10/06/08	08/13/13	\$1,500.79	\$600.57	\$0.00	\$900.22	\$0.00
	79,000	10/06/08	08/29/13	\$1,265.64	\$510.17	\$0.00	\$755.47	\$0.00
Security Subtotal	436,000			\$1,233.60	\$502.57	\$0.00	\$731.03	\$0.00
INTL BUSINESS MACHINES CORP	CUSIP: 459200101	Symbol (Box 1d): IBM			\$3,361.85	\$0.00	\$3,059.47	\$0.00
	6,000	12/19/07	06/18/13	\$1,229.99	\$643.63	\$0.00	\$586.36	\$0.00
	7,000	06/23/10	06/18/13	\$1,434.99	\$911.30	\$0.00	\$523.69	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 92 of 108

Security Work

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient

EARL L CARTER
 406 PURPLE FINCH
 POOLER GA 31322-1712

Identification Number: **[REDACTED]**
 Account Number: **[REDACTED]**

Morgan Stanley Smith Barney Holdings LLC
 1 New York Plaza
 8th Floor
 New York, NY 10004
 Taxpayer ID Number: **[REDACTED]**
 Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH DISALLOWED (Box 5)	SALE LOSS GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
INT'L BUSINESS MACHINES CORP	6,000	05/23/10	08/13/13	\$1,127.07	\$781.12	\$0.00	\$345.95	\$0.00
	1,000	06/24/10	08/13/13	\$187.84	\$129.23	\$0.00	\$58.61	\$0.00
	7,000	07/28/10	08/13/13	\$1,314.91	\$904.72	\$0.00	\$410.19	\$0.00
	5,000	07/28/10	10/16/13	\$927.93	\$646.23	\$0.00	\$281.70	\$0.00
	11,000	11/03/10	10/16/13	\$2,041.44	\$1,579.14	\$0.00	\$462.30	\$0.00
Security Subtotal	43,000			\$8,264.17	\$5,595.37	\$0.00	\$2,668.80	\$0.00
JOHNSON & JOHNSON				CUSIP: 478160104 Symbol (Box 1d): JNJ				
	6,000		03/25/13	\$478.15	NOT ON FILE	\$0.00	\$33.18	\$0.00
	2,000	07/12/07	03/25/13	\$159.38	\$26.20	\$0.00	\$670.57	\$0.00
	24,000	04/22/09	03/25/13	\$1,912.59	\$1,242.02	\$0.00	\$516.04	\$0.00
	26,000	10/28/09	03/25/13	\$2,071.97	\$1,555.93	\$0.00	\$468.86	\$0.00
	28,000	02/10/10	03/25/13	\$2,231.35	\$1,762.49	\$0.00	\$468.86	\$0.00
	26,000	10/06/10	03/25/13	\$2,021.97	\$1,632.44	\$0.00	\$399.53	\$0.00
Security Subtotal	112,000			\$8,925.41	\$6,319.08	\$0.00	\$2,128.18	\$0.00
JPMORGAN CHASE & CO				CUSIP: 46625H100 Symbol (Box 1d): JPM				
	6,000	12/17/08	03/25/13	\$289.43	\$190.53	\$0.00	\$98.90	\$0.00
	19,000	01/07/09	03/25/13	\$916.54	\$550.43	\$0.00	\$366.11	\$0.00
Security Subtotal	25,000			\$1,205.97	\$740.96	\$0.00	\$465.01	\$0.00
JUPITER TELECOM ADR				CUSIP: 48206M102 Symbol (Box 1d):				
	259,000	06/23/09	04/02/13	\$3,409.48	\$2,104.35	\$0.00	\$205.12	\$0.00
	159,000	07/01/09	04/02/13	\$2,093.08	\$1,220.85	\$0.00	\$872.23	\$0.00
	93,000	12/24/09	04/02/13	\$1,224.25	\$970.72	\$0.00	\$253.53	\$0.00
Security Subtotal	511,000			\$4,295.92		\$0.00	\$2,430.89	\$0.00

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Organ Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number: [REDACTED]

Taxpayer ID Number:
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KRAFT FOODS GROUP INC COM	29,000	01/27/12	12/26/13	\$1,551.35	\$1,202.23	\$0.00	\$349.12	\$0.00
	12,000	03/20/12	12/26/13	\$641.94	\$472.22	\$0.00	\$169.72	\$0.00
	9,000	06/28/12	12/26/13	\$481.45	\$340.48	\$0.00	\$140.97	\$0.00
Security Subtotal	50,000			\$2,674.74	\$2,014.93	\$0.00	\$659.81	\$0.00
LEUCADIA NAT CP	37,000	11/12/08	05/07/13	\$1,188.18	\$703.71	\$0.00	\$484.47	\$0.00
	30,000	02/19/09	05/07/13	\$963.39	\$423.75	\$0.00	\$539.64	\$0.00
	8,000	03/05/09	05/07/13	\$256.91	\$88.71	\$0.00	\$168.20	\$0.00
Security Subtotal	75,000			\$2,408.48	\$1,216.17	\$0.00	\$1,192.31	\$0.00
LEXMARK INTL INC NEW A	58,000	08/13/09	07/23/13	\$2,194.51	\$1,030.81	\$0.00	\$1,163.70	\$0.00
LINCOLN ELEC HLDGS INC	CUSIP: 533990106	Symbol (Box 1d): LECO						
	63,000	07/11/07	10/28/13	\$4,227.19	\$2,355.52	\$0.00	\$1,871.67	\$0.00
	14,000	07/11/07	10/29/13	\$941.20	\$223.45	\$0.00	\$417.75	\$0.00
Security Subtotal	77,000			\$5,168.39	\$2,878.97	\$0.00	\$2,289.42	\$0.00
MALLINCKRODT PUBLIC LTD CO	CUSIP: G5785G107	Symbol (Box 1d): MNK						
	0.000	11/02/10	06/28/13	\$10.51	\$0.00	\$0.00	\$10.51	\$0.00
	0.000	11/02/10	06/28/13	\$31.55	\$0.00	\$0.00	\$31.55	\$0.00
	1.000	11/02/10	07/12/13	\$41.97	\$17.41	\$0.00	\$24.56	\$0.00
	3.000	11/02/10	07/12/13	\$125.90	\$90.52	\$0.00	\$35.38	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
MALLINCKRODT PUBLIC LTD CO	2,000	03/03/11	07/12/13	\$83.94	\$83.17	\$0.00	\$0.77	\$0.00
Security Subtotal	8,000	2,000	03/16/11	\$83.93	\$57.61	\$0.00	\$26.32	\$0.00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol (Box 1d): NSRGY					
	21,000	10/10/07	09/20/13	\$1,464.76	\$866.24	\$0.00	\$598.52	\$0.00
	19,000	05/20/08	09/20/13	\$1,325.26	\$861.50	\$0.00	\$463.76	\$0.00
	30,000	07/31/08	09/20/13	\$2,092.51	\$1,325.76	\$0.00	\$766.75	\$0.00
	20,000	10/08/08	09/20/13	\$1,395.01	\$793.37	\$0.00	\$601.64	\$0.00
	2,000	10/08/08	09/20/13	\$1139.49	\$79.34	\$0.00	\$601.15	\$0.00
Security Subtotal	92,000			\$6,417.03	\$3,926.21	\$0.00	\$2,490.82	\$0.00
NOVO NORDISK A/S ADR		CUSIP: 670100205	Symbol (Box 1d): NVO					
	15,000	03/02/09	03/21/13	\$2,428.59	\$701.46	\$0.00	\$1,727.13	\$0.00
	11,000	04/03/09	03/21/13	\$1,780.97	\$488.43	\$0.00	\$1,292.54	\$0.00
Security Subtotal	26,000			\$4,209.56	\$1,189.89	\$0.00	\$3,019.67	\$0.00
OMNICARE INC		CUSIP: 681904108	Symbol (Box 1d): OCR					
	32,000	11/16/10	08/20/13	\$1,734.55	\$774.52	\$0.00	\$960.03	\$0.00
	12,000	11/19/10	08/20/13	\$650.45	\$287.95	\$0.00	\$362.50	\$0.00
	20,000	11/19/10	08/23/13	\$1,118.40	\$479.92	\$0.00	\$638.48	\$0.00
	14,000	11/23/10	08/23/13	\$782.88	\$328.37	\$0.00	\$454.51	\$0.00
ORACLE CORP	78,000			\$4,286.28	\$1,870.76	\$0.00	\$2,415.52	\$0.00
Security Subtotal	2,000	10/28/10	10/16/13	\$65.94	\$57.55	\$0.00		

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number: [REDACTED]
Taxpayer ID Number: [REDACTED]
Account Number: [REDACTED]

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PFIZER INC	CUSIP: 717081103	Symbol (Box 1d): PFE	04/08/09	04/19/13	\$2,224.22	\$979.73	\$0.00	\$1,244.49
	13,000	08/05/09	04/19/13	\$401.60	\$204.19	\$0.00	\$197.41	\$0.00
	60,000	08/05/09	05/15/13	\$1,768.11	\$942.41	\$0.00	\$825.70	\$0.00
Security Subtotal	145,000			\$4,393.93	\$2,126.33	\$0.00	\$2,267.60	\$0.00
QUALCOMM INC	CUSIP: 747525103	Symbol (Box 1d): QCOM	09/30/10	02/12/13	\$3,678.68	\$2,536.00	\$0.00	\$1,142.68
	56,000	09/30/10	06/18/13	\$4,913.59	\$3,577.57	\$0.00	\$1,336.02	\$0.00
Security Subtotal	135,000			\$8,592.27	\$6,113.57	\$0.00	\$2,478.70	\$0.00
SALESFORCE.COM,INC.	CUSIP: 79466L302	Symbol (Box 1d): CRM	01/14/10	10/11/13	\$1,127.60	\$382.53	\$0.00	\$745.07
SCHLUMBERGER LTD	CUSIP: 806857108	Symbol (Box 1d): SLB	10/28/10	03/08/13	\$2,327.05	\$2,083.39	\$0.00	\$243.66
	19,000	10/28/10	11/04/13	\$1,772.32	\$1,319.48	\$0.00	\$452.84	\$0.00
	3,000	10/28/10	11/04/13	\$279.84	\$208.34	\$0.00	\$71.50	\$0.00
Security Subtotal	52,000			\$4,379.21	\$3,611.21	\$0.00	\$768.00	\$0.00
SIMON PTY GROUP INC	CUSIP: 828806109	Symbol (Box 1d): SPG	07/11/07	06/20/13	\$158.24	\$102.77	\$0.00	\$55.47
	1,000	07/11/07	06/20/13	\$474.73	\$308.31	\$0.00	\$166.22	\$0.00
	3,000	07/11/07	06/20/13	\$632.98	\$411.08	\$0.00	\$221.90	\$0.00
	4,000	07/11/07	06/20/13	\$158.24	\$86.20	\$0.00	\$72.04	\$0.00
	1,000	02/05/08	06/20/13	\$158.24	\$33.78	\$0.00	\$72.46	\$0.00
	1,000	03/17/09	06/20/13	\$158.24	\$56.48	\$0.00	\$101.76	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.
Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)**

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
SIMON PPTY GROUP INC	3,000	08/06/09	06/20/13	\$474.73	\$170.35	\$0.00	\$304.38	\$0.00
Security Subtotal	2,000	08/07/09	06/20/13	\$2,164.49	\$131.91	\$0.00	\$184.58	\$0.00
SOCIEDAD QUIMICA Y MINERA ADS	16,000			\$2,531.89	\$1,300.88	\$0.00	\$1,231.01	\$0.00
Security Subtotal	16,000							
STANCORP FINCL GP INC		CUSIP: 852891100	Symbol (Box 1d): SFG					
9,000	08/09/07	04/03/13	\$380.44	\$384.29	\$0.00	(\$3.85)	\$0.00	
23,000	02/06/09	04/03/13	\$972.24	\$583.56	\$0.00	\$383.68	\$0.00	
7,000	03/06/09	04/03/13	\$265.90	\$101.64	\$0.00	\$194.26	\$0.00	
12,000	03/06/09	04/22/13	\$482.38	\$174.25	\$0.00	\$318.13	\$0.00	
Security Subtotal	51,000			\$2,140.96	\$1,248.74	\$0.00	\$892.22	\$0.00
STARBUCKS CORP WASHINGTON	6,000	06/29/10	05/16/13	\$381.96	\$152.15	\$0.00	\$229.81	\$0.00
STARWOOD HTLS & RSTS MM INC	7,000	07/23/10	06/06/13	\$459.87	\$331.63	\$0.00	\$128.24	\$0.00
TESCO PLC SPONSORED ADR		CUSIP: 881575302	Symbol (Box 1d): TSCDY					
22,000	02/25/09	03/13/13	\$368.54	\$317.24	\$0.00	\$51.30	\$0.00	
24,000	07/13/09	03/13/13	\$402.05	\$418.59	\$0.00	(\$16.54)	\$0.00	
Security Subtotal	46,000			\$770.59	\$735.83	\$0.00	\$34.76	\$0.00



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Organ Stanley

1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EARL CARTER
406 PURPLE FINCH
POOLER GA 31322-1712

Identification Number:

Taxpayer ID Number:
Account Number: 6C

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TRAVELERS COMPANIES INC COM	24,000	11/04/09 02/10/10	08/13/13 08/13/13	\$1,953.56 \$651.19	\$1,228.63 \$396.83	\$0.00 \$0.00	\$724.93 \$234.36	\$0.00 \$0.00
Security Subtotal	32,000			\$2,604.75	\$1,625.46	\$0.00	\$979.29	\$0.00
UNILEVER NV NY SH NEW	41,000	08/27/08 12/10/08 02/06/09	03/25/13 03/25/13 03/25/13	\$1,671.50 \$1,386.12 \$1,019.20	\$1,133.27 \$780.61 \$543.52	\$0.00 \$0.00 \$0.00	\$538.23 \$605.51 \$475.68	\$0.00 \$0.00 \$0.00
Security Subtotal	100,000	CUSIP: 904784709	Symbol (Box 1d): UN	\$4,076.82	\$2,457.40	\$0.00	\$1,619.42	\$0.00
VIACOM INC NEW CLASS B	24,000	08/21/08 08/21/08 11/05/08 10/22/08 01/16/09	01/10/13 08/13/13 \$877.39 \$1,914.30 \$1,834.53	\$1,380.01 \$1,386.12 \$436.22 \$375.70	\$682.07 \$312.62 \$0.00 \$0.00	\$0.00 \$0.00 \$1,478.08 \$1,458.83	\$697.94 \$564.77 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00
Security Subtotal	82,000	CUSIP: 92553P201	Symbol (Box 1d): VIAB	\$6,006.23	\$1,806.61	\$0.00	\$4,199.62	\$0.00
VODAFONE GP PLC ADS NEW	3,000	11/05/08 11/05/08 28,000 34,000	02/14/13 02/14/13 02/14/13 02/14/13	\$78.18 \$78.18 \$729.67 \$886.03	\$56.72 \$56.72 \$553.32 \$666.76	\$0.00 \$0.00 \$0.00 \$0.00	\$21.46 \$21.46 \$176.35 \$219.27	\$0.00 \$0.00 \$0.00 \$0.00
WD 40 COMPANY	17,000 19,000 24,000	CUSIP: 929236107	Symbol (Box 1d): WDFC	\$1,242.53 \$1,387.32 \$1,752.41	\$582.78 \$651.35 \$822.75	\$0.00 \$0.00 \$0.00	\$659.75 \$735.97 \$929.66	\$0.00 \$0.00 \$0.00

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

EARL L CARTER
406 PURPLE FINCH
BOONE GA 31322-1712

FOOTER! OR 31322-112

New York, NY 10004
Identification Number:
Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)
WD 40 COMPANY								
WHOLE FOODS MARKETS INC								
Security Subtotal	104.000	CUSIP: 966837106	Symbol (Box 1d): WFM	\$7,641.70	\$3,565.25	\$0.00	\$1,028.17	\$0.00
WILEY JOHN & SON CL A								
Security Subtotal	26.000	23.000 02/16/10 02/14/13	\$2,031.02	\$664.92	\$0.00	\$1,336.10	\$0.00	
WILLIS GROUP HOLDINGS PLC								
Security Subtotal	13.000	13.000 CUSIP: 968223206	Symbol (Box 1d): JWA	\$594.45	\$598.31	\$0.00	(\$3.86)	\$0.00
Security Subtotal	225.000	225.000 CUSIP: G96666105	Symbol (Box 1d): WSH	\$194.81	\$218.00	\$0.00	(\$23.19)	\$0.00
	5.000 07/11/07 05/31/13	\$1,012.99	\$810.71	\$0.00	\$202.28	\$0.00		
	26.000 02/12/08 05/31/13	\$233.77	\$187.09	\$0.00	\$46.68	\$0.00		
	6.000 02/12/08 05/31/13	\$974.03	\$787.50	\$0.00	\$186.53	\$0.00		
	25.000 07/09/08 05/31/13	\$116.89	\$91.05	\$0.00	\$25.84	\$0.00		
	3.000 07/15/08 05/31/13	\$857.14	\$667.67	\$0.00	\$189.47	\$0.00		
	22.000 07/15/08 05/31/13	\$506.49	\$401.58	\$0.00	\$105.91	\$0.00		
	13.000 09/16/08 06/1/13	\$468.80	\$369.77	\$0.00	\$99.03	\$0.00		
	12.000 09/16/08 06/1/13	\$1,640.79	\$1,300.23	\$0.00	\$340.56	\$0.00		
	42.000 09/23/08 06/1/13	\$1,054.79	\$750.76	\$0.00	\$304.03	\$0.00		
	27.000 10/08/08 06/1/13	\$1,054.79	\$626.89	\$0.00	\$427.90	\$0.00		
	27.000 12/16/08 06/1/13	\$664.13	\$394.71	\$0.00	\$269.42	\$0.00		
Security Subtotal	8,779.42	\$6,604.96	\$0.00	\$2,174.46	\$0.00			

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number:

Taxpayer ID Number:
Account Number:
box 24B / / :

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WORLD FUEL SERVICES CORP	154,000	07/11/07	08/20/13	\$5,852.71	\$3,288.67	\$0.00	\$2,564.04	\$0.00
YOUNG INNOVATIONS INC	77,000	07/11/07	02/01/13	\$3,041.50	\$2,233.38	\$0.00	\$808.12	\$0.00
	20,000	03/20/09	02/01/13	\$790.00	\$309.17	\$0.00	\$480.83	\$0.00
Security Subtotal	97,000			\$3,831.50	\$2,542.55	\$0.00	\$1,288.95	\$0.00
Total Long Term Noncovered Securities				\$221,448.90	\$118,693.74	\$0.00	\$94,178.46	\$0.00
Total Long Term Covered and Noncovered Securities				\$503,971.06	\$341,803.68	\$555.23	\$153,590.68	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$1,140,983.80	\$945,366.00	\$1,638.71	\$187,041.09	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$1,140,983.80				
Total Cost or Other Basis (Box 3)					\$825,762.07			
Total Wash Sale Loss Disallowed (Box 5)						\$1,638.71		
Total Fed Tax Withheld (Box 4)							\$0.00	

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

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Account Detail

Select OWN ACTIVE ASSETS ACCOUNT EARN CASHIER

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Dividend	Dividend Yield %
<u>Share Price: \$566.600; Next Dividend Payable 07/29/14</u>									
BARCLAYS PLC ADR (BCS)									
	12/23/13	355,000	17.427	6,186.55	5,186.55	(1,000.00) ST	955.60 LT	165.00	3.53
	4/24/14	95,000	16.887	1,604.30	1,387.95	(216.35) ST			
Total		450,000		7,790.85	6,574.50	(1,216.35) ST	189.00	2.87	
<u>Share Price: \$14.610</u>									
BASF SE SP ADR (BASFY)									
	2/23/09	3,000	28.693	86.08	349.50	263.42 LT			
	1/28/10	17,000	56.694	963.79	1,980.50	1,016.71 LT			
	3/17/11	9,000	77.616	698.54	1,048.50	349.96 LT			
	7/29/11	6,000	90.760	544.56	699.00	154.44 LT			
	3/12/12	13,000	85.600	1,112.80	1,514.50	401.70 LT			
	2/8/13	7,000	96.390	674.73	815.50	140.77 LT			
Total		55,000		4,080.50	6,407.50	2,327.00 LT	150.00	2.34	
<u>Share Price: \$116.500</u>									
BAVIER AG SPON ADR (BAYRY)									
	6/4/13	31,000	107.591	3,335.33	4,379.37	1,044.04 LT			
	6/12/13	7,000	110.620	774.34	988.89	214.55 LT			
Total		38,000		4,109.67	5,368.26	1,258.59 LT			
						80.00	1.49		
<u>Share Price: \$141.270</u>									
BECTON DICKINSON & CO (BDX)									
	3/25/11	3,000	78.822	236.47	354.90	118.43 LT			
	3/25/11	6,000	78.822	472.93	709.80	236.87 LT			
	3/28/11	15,000	78.413	1,176.19	1,774.50	598.31 LT			
	4/1/11	16,000	81.368	1,301.88	1,892.80	590.92 LT			
	1/28/13	36,000	84.050	3,025.80	4,258.80	1,233.00 LT			
	2/8/13	4,000	88.200	352.80	473.20	120.40 LT			
Total		80,000		6,566.07	9,464.00	2,897.93 LT	174.00	1.83	
<u>Share Price: \$118.300; Next Dividend Payable 09/2014</u>									
BIOGEN IDEC INC (BIIB)									
	5/1/12	5,000	131.454	657.27	1,576.55	919.28 LT			
	5/14/12	5,000	137.196	685.98	1,576.55	890.57 LT			
	5/18/12	4,000	133.125	532.50	1,261.24	728.74 LT			
	6/4/12	18,000	138.650	2,495.70	5,675.58	3,179.88 LT			
	1/7/13	3,000	146.430	439.29	945.93	506.54 LT			
	4/11/13	7,000	200.804	1,405.63	2,207.17	801.54 LT			
	5/10/13	6,000	212.115	1,272.69	1,891.86	619.17 LT			
Total		48,000		7,489.06	15,134.88	7,645.82 LT			

Account Detail

Select UMA Active Assets Account

EARL L CARTER

Morgan Stanley

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Dividend Yield %
ASSURED GUARANTY LTD (AGG)	8/12/11	50,000	11.557	577,86	1,225.00	647.14 LT	
1/28/13	205,000	16.758	3,435.49	5,022.50	1,587.01	LT	
2/8/13	15,000	19.390	290.85	367.50	76.65	LT	
Total	270,000		4,304.20	6,615.00	2,310.80 LT	119.00	1.79

Share Price: \$24.500; Next Dividend Payable 09/2014

AVALONBAY COMM INC (AVB)	1/27/12	19,000	134.396	2,553.51	2,701.61	148.10 LT	
1/23/12	4,000	132.890	531.56	568.76	37.20	LT	
1/17/13	1,000	138.660	138.66	142.19	3.53	LT	
2/7/13	1,000	127.310	127.31	142.19	14.88	LT	
Total	25,000		3,351.04	3,554.75	203.71 LT	116.00	3.26

Share Price: \$142.190; Next Dividend Payable 07/15/14

BADGER METER INC (BMI)	8/3/11	56,000	36.625	2,051.01	2,948.40	897.39 LT	
8/4/11	19,000	36.589	695.19	1,000.35	305.16	LT	
8/5/11	19,000	35.477	674.07	1,000.35	326.28	LT	
8/8/11	12,000	34.623	415.47	631.80	216.33	LT	
9/28/12	68,000	36.671	2,493.63	3,580.20	1,086.57	LT	
1/17/13	3,000	49.520	148.56	157.95	9.39	LT	
2/8/13	23,000	48.421	1,113.68	1,210.95	97.27	LT	
Total	200,000		7,591.61	10,530.00	2,938.39 LT	144.00	1.36

Share Price: \$52.650; Next Dividend Payable 09/2014

BALCHEM CP (BCPC)	7/11/07	93,000	12.046	1,120.25	4,981.08	3,860.83 LT	
1/7/13	7,000	36.967	258.77	374.92	116.15	LT	
1/17/13	5,000	37.350	186.75	267.80	81.05	LT	
2/8/13	5,000	38.800	194.00	267.80	73.80	LT	
2/19/13	10,000	37.990	379.90	535.60	155.70	LT	
Total	120,000		2,139.67	6,427.20	4,287.53 LT	31.00	0.48

Share Price: \$53.550; Next Dividend Payable 01/2015

BANK OF AMERICA CORP (BAC)	11/20/13	790,000	15.180	11,992.36	12,142.30	149.94 ST	
1/22/14	250,000	17.050	4,262.48	3,842.50	(419.98)	ST	
Total	1,040,000		16,254.84	15,984.80	(270.04) ST		42.00

Share Price: \$15.370; Next Dividend Payable 09/2014

BANK OF HAWAII CORP (BOH)	5/16/14	91,000	54.320	4,943.13	5,340.79	397.66 ST	
Share Price: \$58.690; Next Dividend Payable 09/2014							
BANK OF NOVA SCOTIA (BNS)	8/2/12	44,000	51.038	2,245.68	2,930.40	684.72 LT	
10/15/12	16,000	54.672	874.75	1,065.60	190.85	LT	
1/17/13	3,000	58.310	174.93	199.80	24.87	LT	



Morgan Stanley

Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Income	Dividend Yield %
<i>Share Price: \$30.090; Next Dividend Payable 08/20/2014</i>								
APARTMENT INV'T & MGMT CO A (AIN)	10/15/12	196.000		13,997.90	17,657.64	2,143.52 LT	196.00	1.11
	1/7/13	7.000	27.540	192.78	225.89	33.11 LT		
	1/17/13	3.000	27.597	83.09	96.81	13.72 LT		
	2/7/13	7.000	27.587	193.11	225.89	32.78 LT		
Total		80.000		2,086.78	2,581.60		90.00	3.48
<i>Share Price: \$32.270; Next Dividend Payable 08/20/2014</i>								
APPLE INC (AAPL)	9/2/11	14.000	57.132	799.85	1,301.02	501.17 LT		
	1/27/12	7.000	63.690	445.83	650.51	204.68 LT		
	1/27/12	119.000	63.690	7,579.15	11,058.67	3,479.52 LT		
	1/27/12	7.000	63.690	445.83	650.51	204.68 LT		
	1/27/12	14.000	63.690	891.67	1,301.02	409.35 LT		
	1/28/13	105.000	64.398	6,761.81	9,757.65	2,995.84 LT		
	1/28/13	14.000	64.398	901.57	1,301.02	399.45 LT		
	2/19/13	14.000	65.496	916.94	1,301.02	384.08 LT		
	10/16/13	147.000	71.596	10,524.56	13,660.71	3,136.15 ST		
Total		441.000		29,267.21	40,982.13	8,578.77 LT	829.00	2.02
<i>Share Price: \$92.930; Next Dividend Payable 08/20/2014</i>								
ARKEMA SPONS ADR (ARKAN)	5/2/12	27.000	89.921	2,427.86	2,633.31	205.45 LT		
	6/19/12	19.000	67.916	1,290.40	1,853.07	562.67 LT		
	6/21/12	10.000	67.050	670.50	975.30	304.80 LT		
	1/17/13	2.000	109.115	218.23	195.06	(23.17) LT		
	2/8/13	7.000	114.257	799.80	682.71	(117.09) LT		
Total		65.000		5,406.79	6,339.45	932.66 LT	138.00	2.17
<i>Share Price: \$97.530</i>								
ASCENA RETAIL GROUP INC COM (ASNA)	1/28/13	46.000	16.847	774.94	786.60	11.66 LT		
	2/13/13	124.000	17.008	2,109.02	2,120.40	11.38 LT		
	2/26/13	90.000	16.534	1,488.04	1,539.00	50.96 LT		
	3/1/13	95.000	16.485	1,566.04	1,624.50	58.46 LT		
	8/20/13	105.000	17.166	1,802.42	1,795.50	(6.92) ST		
	3/24/14	75.000	17.946	1,345.96	1,282.50	(63.46) ST		
	3/25/14	75.000	17.460	1,309.49	1,282.50	(26.99) ST		

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STOCKS
COMMON STOCKS (CONTINUED)

Select UMA Active Assets Account

EARL L CARTER

STOCKS
COMMON STOCKS (CONTINUED)

Security Description

Trade Date

Quantity

Unit Cost

Total Cost

Market Value

Unrealized Gain/(Loss)

Estimated Annual Income

Dividend Yield %

Share Price: \$17.100

Total

10,395.91

10,431.00

(97.37) ST

132.46 LT

652.94 LT

23.32 LT

333.19 LT

462.39 LT

(2.47) ST

(5.05) ST H

(0.82) ST

45.49 ST

204.11 ST

76.00

0.76

Share Price: \$93.270; Basis Adjustment Due to Wash Sale: \$4.23

ASPN INSURANCE HLDGS LTD (AHL)

9/1/09

34.000

25.623

871.18

1,544.28

673.10 LT

9/3/09

32.000

25.571

818.27

1,453.44

635.17 LT

9/22/09

42.000

26.121

1,097.08

1,907.64

810.56 LT

10/28/09

31.000

26.383

817.88

1,408.02

590.14 LT

11/30/09

42.000

25.795

1,083.37

1,907.64

824.27 LT

12/17/09

44.000

25.793

1,134.88

1,998.48

863.60 LT

4/5/10

35.000

28.597

1,000.91

1,589.70

588.79 LT

2/23/11

39.000

29.176

1,137.88

1,771.38

633.50 LT

4/15/11

18.000

28.109

505.96

817.56

311.60 LT

2/19/13

13.000

36.060

468.78

590.46

121.68 LT

Total

330.000

8,936.19

14,988.60

6,052.41 LT

264.00

1.76

Share Price: \$45.420; Next Dividend Payable 08/2014

ASSOC ESTATES REALTY (AEC)

12/18/09

3.000

9,567

28.70

54.06

25.36 LT R

12/30/09

5.000

10,282

51.41

90.10

38.69 LT R

1/14/10

15.000

10,361

155.42

270.30

114.88 LT R

1/14/10

72.000

10,454

752.71

1,297.44

544.73 LT R

1/7/13

15.000

16,081

241.21

270.30

29.09 LT R

1/17/13

4.000

16,120

64.48

72.08

7.60 LT R

2/8/13

6.000

16,633

99.80

108.12

8.32 LT R

Total

120.000

1,393.73

2,162.40

768.67 LT

91.00

4.20

Share Price: \$18.020; Next Dividend Payable 08/2014

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Account Detail

Select UMA Active Assets Account
[REDACTED]

EARL L CARTER

Morgan Stanley

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STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Yield %	Dividend Yield %
A G C O CORP (AGCO)	3/25/14	33,000	\$53.296	\$1,758.78	\$1,855.26	\$96.48 ST			
	5/16/14	32,000	53.952	1,726.46	1,799.04	72.58 ST			
	5/28/14	34,000	54.124	1,840.20	1,911.48	71.28 ST			
Total		99,000		5,325.44	5,565.78	240.34 ST		44.00	0.79

*Share Price: \$56.220; Next Dividend Payable 09/20/2014**ACE LTD (ACE)*

5/17/11	17,000	67.956	1,155.24	1,762.90	607.66 LT				
2/13/12	14,000	73.892	1,034.49	1,451.80	417.31 LT				
10/31/12	15,000	78.717	1,180.76	1,555.50	374.74 LT				
1/7/13	4,000	81.195	324.78	414.80	90.02 LT				
1/17/13	2,000	83.410	166.82	207.40	40.58 LT				
2/8/13	3,000	86.030	258.09	311.10	53.01 LT				
2/19/13	5,000	86.710	433.55	518.50	84.95 LT				
3/25/13	11,000	88.335	971.59	1,140.70	169.01 LT				
Total		71,000		5,525.42	7,362.70	1,837.28 LT		185.00	2.51

*Share Price: \$103.700; Next Dividend Payable 07/2014**ADDOBE SYSTEMS (ADBE)*

4/17/14	39,000	63.975	2,495.03	2,822.04	327.01 ST				
5/6/14	19,000	60.479	1,149.11	1,374.84	225.73 ST				
Total		58,000		3,644.14	4,196.88	562.74 ST		—	—

*Share Price: \$72.360**AFILIATED MGRS GROUP INC (AMGI)*

5/25/11	19,000	103.012	1,957.23	3,902.60	1,945.37 LT				
6/16/11	4,000	103.012	412.05	821.60	409.55 LT				
12,000	94,613	1,135.36	2,464.80	1,329.44 LT					
9/30/11	22,000	79,706	1,753.53	4,518.80	2,765.27 LT				
1/7/13	6,000	137.720	826.32	1,232.40	406.08 LT				
1/17/13	3,000	141.670	425.01	616.20	191.19 LT				
2/8/13	9,000	146,420	1,317.78	1,848.60	530.82 LT				
4/30/14	20,000	196,144	3,922.88	4,108.00	185.12 ST				
Total		95,000		11,750.16	19,513.00	7,577.72 LT		—	—
						185.12 ST			

*Share Price: \$205.400**AGRIUM INC (AGU)*

1/28/13	25,000	113,320	2,833.00	2,290.75	(542.25) LT				
2/19/13	5,000	108,270	541.35	458.15	(83.20) LT				
4/25/14	23,000	93,885	2,159.35	2,107.49	(51.86) ST				

Total	53,000		5,533.70	4,856.39	(625.45) LT				
					(51.86) ST				

Share Price: \$91.630; Next Dividend Payable 07/17/14

CLIENT STATEMENT | For the Period June 1-30, 2014

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Account Detail

Select UMA Active Assets Account EARL L CARTER

Select UMA Active Assets Account
632-096972-522

Morgan Stanley

Select UMA Active Assets Account EARL L CARTER

Select UMA Active Assets Account
632-096972-522

COMMON STOCKS (CONTINUED)						
Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)
<u>AKZO NOBEL NV ADR (AKZOY)</u>	3/3/10	105,000	18.241	1,915,32	2,619,75	704,43 LT
	3/9/10	33,000	18.254	602,37	823,35	220,98 LT
	3/18/10	30,000	18.916	567,47	748,50	181,03 LT
	4/14/10	30,000	19.686	590,57	748,50	157,93 LT
	10/11/10	30,000	20.965	628,96	748,50	119,54 LT
	1/27/12	23,000	17.860	410,78	573,85	163,07 LT
	1/7/13	19,000	21.670	411,73	474,05	62,32 LT
	1/17/13	10,000	23.340	233,40	249,50	16,10 LT
	2/8/13	20,000	22.760	455,20	499,00	43,80 LT
	2/19/13	10,000	23.180	231,80	249,50	17,70 LT
Total		310,000		6,047,60	7,734,50	1,686,90 LT
						167,00
						2.15
<u>Share Price: \$24.950</u>						
<u>ALEXANDRIA REAL ESTATE EQ INC (ARE)</u>	1/4/08	3,000	96.260	288,78	232,92	(55,86) LT R
	7/31/09	23,000	37.531	863,22	1,785,72	922,50 LT R
	1/28/13	20,000	73.065	1,461,29	1,552,80	91,51 LT R
	2/8/13	4,000	72.233	288,93	310,56	21,63 LT R
Total		50,000		2,902,22	3,882,00	979,78 LT
						144,00
						3.70
<u>Share Price: \$77.640; Next Dividend Payable 07/15/14</u>						
<u>ALEXION PHARM INC (ALXN)</u>	6/30/14	23,000	156.484	3,599,13	3,593,75	(5.38) ST
<u>Share Price: \$156.250</u>						—
<u>ALLIANCE DATA SYSTEMS CORP (ADS)</u>	8/16/13	32,000	202.343	6,474.97	9,000.00	2,525,03 ST
<u>Share Price: \$281.250</u>						—
<u>ALLSTATE CORP (ALL)</u>	1/22/14	58,000	52.379	3,037.97	3,405.76	367.79 ST
	3/31/14	64,000	56.448	3,612.65	3,758.08	145.43 ST
Total		122,000		6,650,62	7,163.84	513.22 ST
						137.00
						1.91
<u>Share Price: \$58.720; Next Dividend Payable 07/01/14</u>						
<u>ALTISOURCE RESIDENTIAL CORP B (RESI)</u>	1/20/13	26,000	27.635	718,52	676,78	(41.74) ST
<u>Share Price: \$26.030; Next Dividend Payable 09/2014</u>						47.00
<u>AMERICAN EXPRESS CO (AXP)</u>	2/15/12	4,000	51.563	206,21	379,48	173,27 LT
	2/15/12	33,000	51.553	1,701.24	3,130.71	1,429,47 LT
	4/18/12	15,000	58.429	876.44	1,423.05	546,61 LT
	1/7/13	7,000	59.967	419.77	664.09	244,32 LT
	1/17/13	1,000	60.800	60.80	94.87	34,07 LT
	2/8/13	9,000	61.700	555.30	853.83	298,53 LT
Total		69,000		3,819.76	6,546.03	2,726.27 LT
						72.00
						1.09



Morgan Stanley

Select UMA Active Assets Account EARL L CARTER

Account Detail

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN HOMES 4 RENT (AMH)								
Share Price: \$17.760; Next Dividend Payable 09/2014	11/20/13	44.000	16.703	734.95	781.44	46.49 ST	9.00	1.15
AMETEK INC NEW (AME)	1/18/11	60.000	26.972	1,618.32	3,136.80	1,518.48 LT		
	2/14/11	10.000	32.846	328.46	522.80	194.34 LT H		
	3/1/11	12.000	27.556	330.67	627.36	296.69 LT		
	10/24/11	39.000	26.477	1,032.59	2,038.92	1,006.33 LT		
	11/8/11	21.000	27.233	571.89	1,097.88	525.99 LT		
	12/9/11	14.000	27.439	384.14	731.92	347.78 LT		
	1/7/13	14.000	38.650	541.10	731.92	190.82 LT		
	1/17/13	6.000	39.060	234.36	313.68	79.32 LT		
	2/8/13	14.000	41.510	581.14	731.92	150.78 LT		
	2/19/13	10.000	42.310	423.10	522.80	99.70 LT		
	5/15/13	42.000	42.872	1,800.61	2,195.76	395.15 LT		
	7/16/13	6.000	45.293	271.76	313.68	41.92 ST		
	Total	248.000	8,118.14	12,965.44	4,805.38 LT	89.00	0.68	
Share Price: \$52.280; Next Dividend Payable 09/2014; Basis Adjustment Due to Wash Sale: \$50.46								
AMPHENOL CORP NEW CL A (APH)								
4/25/13	20.000	75.713	1,514.26	1,926.80	412.54 LT			
4/29/13	11.000	74.925	824.17	1,059.74	235.57 LT			
7/10/13	22.000	82.469	1,814.32	2,119.48	305.16 ST			
	Total	53.000	4,152.75	5,106.02	648.11 LT	42.00	0.82	
Share Price: \$96.340; Next Dividend Payable 07/02/14								
AMREIT INC CL B (AMREI)								
9/27/13	14.000	17.029	238.40	256.20	17.80 ST			
9/27/13	15.000	17.053	255.80	274.50	18.70 ST			
10/1/13	40.000	17.482	699.27	732.00	32.73 ST			
10/3/13	8.000	16.988	135.90	146.40	10.50 ST			
10/7/13	43.000	16.709	718.48	786.90	68.42 ST			
10/10/13	14.000	16.891	236.48	256.20	19.72 ST			
	Total	134.000	2,284.33	2,452.20	167.87 ST	107.00	4.36	
Share Price: \$18.300; Next Dividend Payable 09/2014								
ANADARKO PETE (APC)								
5/17/11	12.000	72.294	867.53	1,313.64	446.11 LT			
5/17/11	1.000	72.380	72.38	109.47	37.09 LT			
11/3/11	16.000	82.628	1,322.04	1,751.52	429.48 LT			
1/27/12	3.000	79.517	238.55	328.41	89.86 LT			
1/27/12	31.000	79.549	2,466.02	3,393.57	927.55 LT			

Account Detail

Select UMA Active Assets Account

EARL L. CARTER

Morgan Stanley

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/27/12	4,000	79.549	318.20	437.88	119.68 LT		
	1/27/12	6,000	79.549	477.29	656.82	179.53 LT		
	1/27/12	3,000	79.549	238.65	328.41	89.76 LT		
	9/5/12	24,000	68.630	1,547.12	2,627.28	980.16 LT		
	12/3/12	12,000	74.319	891.83	1,313.64	421.81 LT		
	1/7/13	5,000	77.870	389.35	547.35	158.00 LT		
	1/7/13	7,000	77.910	545.37	766.29	220.92 LT		
	1/17/13	4,000	77.540	310.16	437.88	127.72 LT		
	1/17/13	2,000	77.530	155.06	218.94	63.88 LT		
	2/8/13	9,000	84.420	759.78	985.23	225.45 LT		
	2/8/13	5,000	84.440	422.20	547.35	125.15 LT		
	6/3/13	14,000	87.982	1,231.75	1,532.58	300.83 LT		
	6/3/13	6,000	87.982	527.89	656.82	128.93 LT		
	4/30/14	22,000	98.700	2,171.40	2,408.34	236.94 ST		
Total		186,000		15,052.57	20,361.42	5,071.91 LT	201.00	0.99
						236.94 ST		
<i>Share Price: \$109.470; Next Dividend Payable 09/2014</i>								
ANNALY CAPITAL MNGMT INC (NLY)	3/30/10	26,000	17.070	443.82	297.18	(146.64) LT		
	11/19/12	68,000	14.750	1,002.99	777.24	(225.75) LT		
	1/28/13	144,000	14.816	2,133.55	1,645.92	(487.63) LT		
	2/8/13	12,000	14.710	176.52	137.16	(39.36) LT		
	2/13/13	50,000	15.150	757.50	571.50	(186.00) LT		
	2/19/13	10,000	15.170	151.70	114.30	(37.40) LT		
	4/12/13	125,000	15.869	1,982.43	1,428.75	(553.68) LT		
	5/13/13	95,000	14.670	1,393.65	1,085.85	(307.80) LT		
	5/15/13	110,000	14.790	1,626.90	1,257.30	(369.60) LT		
	5/31/13	45,000	13.620	612.90	514.35	(98.55) LT		
	10/4/13	170,000	11.503	1,955.43	1,943.10	(12.33) ST		
Total		855,000		12,237.39	9,772.65	(2,452.41) LT	1,026.00	10.49
						(12.33) ST		
<i>Share Price: \$11.430; Next Dividend Payable 07/31/14</i>								
AON PLC SHS CLA (AON)	6/10/13	86,000	65.165	5,604.22	7,747.74	2,143.52 LT		
	10/18/13	4,000	75.428	301.71	360.36	58.65 ST		
	10/18/13	54,000	75.427	4,073.07	4,864.86	791.79 ST		
	10/25/13	52,000	77.287	4,018.90	4,684.68	665.78 ST		

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EARL L CARTER

Account Detail

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BNP PARIBAS SP ADR REPSTG (BNPQY)	4/24/14	198,000	38.331	7,589.59	6,736.95	(852.64) ST	142.00	2.10
Share Price: \$34.025								
BOEING CO (BA)								
	10/17/11	2,000	61.990	123.98	254.46	130.48 LT		
	12/7/12	3,000	74.760	224.28	381.69	157.41 LT		
	12/7/12	50,000	74.759	3,737.95	6,361.50	2,623.55 LT		
	1/7/13	2,000	75.180	150.36	254.46	104.10 LT		
	2/8/13	8,000	76.620	612.96	1,017.84	404.88 LT		
	1/14/13	9,000	133.821	1,204.39	1,145.07	(59.32) ST		
	2/19/14	16,000	129.341	2,069.46	2,035.68	(33.78) ST		
	3/31/14	9,000	125.731	1,131.58	1,145.07	13.49 ST		
Total		99,000	9,254.96	12,595.77	3,420.42 LT	(79.61) ST	289.00	2.29
Share Price: \$127.230; Next Dividend Payable 09/2014								
BORG WARNER INC (BWA)	4/11/14	57,000	59.348	3,382.86	3,715.83	332.97 ST	29.00	0.78
Share Price: \$55.190; Next Dividend Payable 08/2014								
BOSTON PROPERTIES INC (BXP)	1/27/12	26,000	103.869	2,700.59	3,072.68	372.09 LT		
	1/17/13	3,000	107.340	322.02	354.54	32.52 LT		
	2/8/13	2,000	104.660	209.32	236.36	27.04 LT		
Total		31,000	3,231.93	3,663.58	431.65 LT		81.00	2.21
Share Price: \$118.180; Next Dividend Payable 07/13/2014								
BRAMBLES LTD UNSPON ADR (BMBLY)	5/2/12	216,000	15.448	3,336.75	3,726.00	389.25 LT		
	1/7/13	4,000	16.070	64.28	69.00	4.72 LT		
	1/17/13	8,000	16.390	131.12	138.00	6.88 LT		
	2/8/13	22,000	16.600	365.20	379.50	14.30 LT		
	6/19/13	90,000	17.120	1,540.80	1,552.50	11.70 LT		
Total		340,000	5,438.15	5,865.00	426.85 LT		156.00	2.65
Share Price: \$17.250								
BRANDYWINE REALTY TR SBI NEW (BDN)	12/12/11	170,000	8.328	1,415.69	2,652.00	1,236.31 LT R	102.00	3.84
Share Price: \$15.600; Next Dividend Payable 07/2014								
BUNZL PLC NEW (BZLFY)	7/11/07	150,000	14.220	2,133.00	4,189.50	2,055.50 LT		
	1/12/108	35,000	9.080	317.81	977.55	659.74 LT		
	4/13/10	70,000	11.849	829.43	1,955.10	1,125.67 LT		
	3/31/11	85,000	12.141	1,032.02	2,374.05	1,342.03 LT		
	1/27/12	40,000	13.544	541.76	1,117.20	575.44 LT		
	1/17/13	10,000	17.630	176.30	279.30	103.00 LT		
	2/8/13	35,000	18.088	633.08	977.55	344.47 LT		

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Account Detail

EARL L CARTER

Select UMA Active Assets Account

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Dividend Yield %
STOCKS								
COMMON STOCKS (CONTINUED)								
CARBOT MICROELECTRONICS CORP (CCMP)	9/7/12	80,000	34.780	2,782.41	3,572.00	789.59 LT		
1/28/13	130,000	37.350	4,855.50	5,804.50	949.00 LT			
2/8/13	10,000	35.813	358.13	445.50	88.37 LT			
3/22/13	26,000	33.890	881.15	1,160.90	279.75 LT			
Total	246,000		8,877.19	10,983.90	2,106.71 LT			
Share Price: \$27.930; Next Dividend Payable 07/07/14								
CAMDEN PROPERTY TRUST (CPT)	7/1/07	36,000	65.391	2,354.08	2,561.40	207.32 LT R		
2/8/13	4,000	68.878	275.51	284.60	9.09 LT			
Total	40,000		2,629.59	2,846.00	216.41 LT	106.00		3.72
Share Price: \$44.650; Next Dividend Payable 07/17/14								
CARBO CERAMICS INC (CRR)	3/16/09	12,000	34.380	412.56	1,849.44	1,436.88 LT		
3/24/09	30,000	30.025	900.75	4,623.50	3,722.86 LT			
1/28/13	8,000	77.770	622.16	1,232.96	610.80 LT			
1/29/13	5,000	77.050	385.25	770.60	385.35 LT			
5/17/13	33,000	69.585	2,296.29	5,085.96	2,789.67 LT			
5/22/13	38,000	70.293	2,671.13	5,856.56	3,185.43 LT			
Total	126,000		7,288.14	19,419.12	12,130.98 LT	151.00	0.77	
Share Price: \$154.120; Next Dividend Payable 08/20/14								
CATERPILLAR INC (CAT)	1/1/28/11	9,000	93.106	837.95	978.03	140.08 LT H		
1/28/13	8,000	98.829	790.63	869.36	78.73 LT H			
1/28/13	10,000	99.128	991.28	1,086.70	95.42 LT H			
3/25/13	32,000	86.422	2,765.51	3,477.44	711.93 LT			
4/19/13	18,000	80.468	1,448.42	1,956.06	507.64 LT			
6/4/14	43,000	104.590	4,497.35	4,672.81	175.46 ST			
Total	120,000		11,331.14	13,040.40	1,533.80 LT	336.00	2.57	
Share Price: \$108.670; Next Dividend Payable 08/20/14; Basis Adjustment Due to Wash Sale: \$331.96								
CBL & ASSOC PPRTYS INC (CBL)	12/1/21/1	108,000	15.013	1,621.44	2,052.00	430.56 LT	106.00	5.16
Share Price: \$19,000; Next Dividend Payable 07/15/14								
CDN PACIFIC RY LTD NEW (CP)	5/3/13	20,000	126.368	2,527.35	3,622.80	1,095.45 LT		
5/6/13	9,000	127.054	1,143.49	1,630.26	486.77 LT			
5/7/13	15,000	129.475	1,942.13	2,717.10	774.97 LT			
7/10/13	13,000	122.812	1,596.56	2,354.82	758.26 ST			
7/12/13	7,000	126.863	887.97	1,267.98	380.01 ST			



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CLIENT STATEMENT | For the Period June 1-30, 2014

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS
COMMON STOCKS (CONTINUED)

<u>Security Description</u>	<u>Trade Date</u>	<u>Quantity</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Market Value</u>	<u>Unrealized Gain/(Loss)</u>	<u>Estimated Annual Income</u>	<u>Dividend Yield %</u>
CENOVUS ENERGY INC COM (CVE)	9/25/13	12,000	125.568	1,506.81	2,173.68	665.87 ST		
Total		76,000		9,604.31	13,766.64	2,357.19 LT 1,805.14 ST	97.00	0.70
<u>Share Price: \$1181.140; Next Dividend Payable 07/28/14</u>								
CH ROBINSON WORLDWIDE INC NEW (CHRW)	4/27/10	10,000	29.262	292.62	323.70	31.08 LT		
	4/30/10	42,000	29.492	1,238.66	1,359.54	120.88 LT		
	1/28/13	48,000	33.500	1,608.00	1,553.76	(54.24) LT		
	2/8/13	10,000	32.700	327.00	323.70	(3.30) LT		
	6/2/14	66,000	29.535	1,949.28	2,136.42	187.14 ST		
Total		176,000		5,415.56	5,697.12	94.42 LT 187.14 ST	171.00	3.00
<u>Share Price: \$32.370; Next Dividend Payable 09/20/14</u>								
CH ROBINSON WORLDWIDE INC NEW (CHRW)	6/12/14	126,000	61.897	7,798.98	8,037.54	238.56 ST		
	6/18/14	22,000	63.243	1,391.34	1,403.38	12.04 ST		
Total		148,000		9,190.32	9,440.92	250.60 ST	207.00	2.19
<u>Share Price: \$63.790; Next Dividend Payable 09/20/14</u>								
CHECK POINT SOFTWARE TECH LTD (CHKP)	10/18/12	56,000	41.133	2,303.43	3,753.68	1,450.25 LT		
	1/29/13	80,000	49.436	3,954.90	5,362.40	1,407.50 LT		
	2/8/13	4,000	51.720	206.88	268.12	61.24 LT		
	5/14/13	30,000	48.721	1,461.64	2,010.90	549.26 LT		
	4/30/14	30,000	63.408	1,902.23	2,010.90	108.67 ST		
Total		200,000		9,829.08	13,406.00	3,468.25 LT 108.67 ST	—	—
<u>Share Price: \$67.030</u>								
CHEVRON CORP (CVX)	7/1/07	10,000	90.280	902.80	1,305.50	402.70 LT		
	7/13/07	1,000	93.470	93.47	130.55	37.08 LT		
	7/18/07	1,000	92.940	92.94	130.55	37.61 LT		
	4/30/08	20,000	95.536	1,910.71	2,611.00	700.29 LT		
	8/5/08	21,000	82.939	1,741.72	2,741.55	999.83 LT		
	10/28/09	22,000	76.763	1,688.78	2,872.10	1,183.32 LT		
	1/6/10	19,000	79.369	1,508.01	2,480.45	972.44 LT		
	1/7/10	1,000	79.350	79.35	130.55	51.20 LT		
	12/3/12	11,000	105.205	1,157.26	1,436.05	278.79 LT		
	1/7/13	4,000	109.518	438.07	522.20	84.13 LT		
	1/17/13	2,000	115.670	231.34	261.10	29.76 LT		
	2/8/13	8,000	115.420	923.36	1,044.40	121.04 LT		

CLIENT STATEMENT | For the Period June 1 - 30, 2011

Select UMA Active Assets Account

EARL L CARTER

Morgan Stanley

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Account Detail

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Yield %	Dividend Yield %
	2/19/13	10,000	116.040	1,160.40	1,305.50	145.10 LT			
	12/26/13	8,000	124.290	994.32	1,044.40	50.08 ST			
Total		138,000	12,922.53	18,015.90	5,043.29 LT		591.00	3.28	
					50.08 ST				

CHINA MOBILE LTD (CML) 1/17/13 5,000 56,460 282.30 243.05 (39.25) LT
Share Price: \$10.300; Next Dividend R payable 3/9/2014

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2/19/13	5,000	55,250	276.25	-243.05	(33.20)
3/20/13	65,000	52,895	3,438.17	3,159.65	(278.52)
Total	80,000	4,273.02	3,888.80	(384.22)	154.00
					3.96

Share Price: \$48.610; **Next Dividend Payable** 07/03/14.

Share Price: \$48.610; Next Dividend Payable 07/03/11

Share Price: \$592.510

CIELO SA SPONSORED ADR NEW (C10XY) 12/17/

1/17/13	6,000	13,680	82.08	124.28	42.20	LT
2/8/13	38,000	11,270	428.25	787.16	358.91	LT
4/24/14	101,000	17,428	1,760.27	2,092.21	331.94	ST
Total	365,000	4,718.79	7,560.97	2,510.21	LT	170.00
						2.24

Share Price: \$20.715

CIGNA CORPORATION COM (CI)	5/13/14	51.000	88.796	4,528.61	4,690.47	161.86 ST
	5/19/14	17.000	88.232	1,499.95	1,563.49	63.54 ST
	5/20/14	34.000	88.301	3,002.25	3,126.98	124.73 ST
Total		102.000		9,030.81	9,380.94	350.13 ST
<i>Share Price: \$91.970; Next Dividend Payable 04/2015</i>						4.00
CINEMARK HOLDINGS INC. (CNK)	2/28/14	198.000	29.615	5,863.79	7.001.28	1,137.49 ST
	3/31/14	142.000	29.371	4,170.67	5,021.12	850.45 ST
						0.04

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EARL L CARTER

Account Detail

STOCKS COMMON STOCKS (CONTINUED)

<u>Security Description</u>	<u>Trade Date</u>	<u>Quantity</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Market Value</u>	<u>Unrealized Gain/(Loss)</u>	<u>Estimated Annual Income</u>	<u>Dividend Yield %</u>
<u>Share Price: \$35.360; Next Dividend Payable 09/2014</u>								
<u>CITIGROUP INC NEW (C)</u>	<u>Total</u>	<u>340,000</u>		<u>10,034.46</u>	<u>12,022.40</u>	<u>1,987.94 ST</u>	<u>340.00</u>	<u>2.82</u>
1/03/12		6,000	37.448	224.69	282.60	57.91 LT		
1/4/13		6,000	41.948	251.69	282.60	30.91 LT		
1/4/13		163,000	41.949	6,837.64	7,677.30	839.66 LT		
1/7/13		16,000	42.280	676.48	753.60	77.12 LT		
1/7/13		9,000	42.300	380.70	423.90	43.20 LT		
1/7/13		13,000	41.190	535.47	612.30	76.83 LT		
1/17/13		14,000	41.190	576.66	659.40	82.74 LT		
1/28/13		3,000	42.430	127.29	141.30	14.01 LT		
1/28/13		40,000	42.430	1,697.19	1,884.00	186.81 LT		
2/8/13		9,000	42.660	383.94	423.90	39.96 LT		
2/8/13		11,000	42.660	469.26	518.10	48.84 LT		
2/14/13		35,000	44.150	1,545.25	1,648.50	103.25 LT		
2/19/13		7,000	44.350	310.45	329.70	19.25 LT		
2/19/13		3,000	44.330	132.99	141.30	8.31 LT		
8/24/13		20,000	50.338	1,006.76	942.00	(64.76) ST H		
	<u>Total</u>	<u>355,000</u>		<u>15,156.46</u>	<u>16,720.50</u>	<u>1,628.80 LT</u> <u>(64.76) ST</u>	<u>14.00</u>	<u>0.08</u>
<u>Share Price: \$47.100; Next Dividend Payable 08/2014; Basis Adjustment Due to Wash Sale: \$52.78</u>								
<u>CLARCOR INC (CLC)</u>	<u>7/11/07</u>	<u>170,000</u>	<u>37.936</u>	<u>6,449.08</u>	<u>10,514.50</u>	<u>4,055.42 LT</u>		
	<u>2/8/13</u>	<u>10,000</u>	<u>51.190</u>	<u>511.90</u>	<u>618.50</u>	<u>106.60 LT</u>		
	<u>Total</u>	<u>180,000</u>		<u>6,960.98</u>	<u>11,133.00</u>	<u>4,172.02 LT</u>	<u>122.00</u>	<u>1.09</u>
<u>Share Price: \$61.850; Next Dividend Payable 07/2014</u>								
<u>COGNEX CORP (CGNX)</u>	<u>1/28/13</u>	<u>170,000</u>	<u>19.945</u>	<u>3,390.68</u>	<u>6,528.00</u>	<u>3,137.32 LT</u>		
	<u>2/8/13</u>	<u>4,000</u>	<u>21.125</u>	<u>84.50</u>	<u>153.60</u>	<u>69.10 LT</u>		
	<u>Total</u>	<u>174,000</u>		<u>3,475.18</u>	<u>6,681.60</u>	<u>3,206.42 LT</u>		
<u>Share Price: \$38.400</u>								
<u>COGNIZANT TECH SOLUTIONS CL A (CTSH)</u>	<u>4/4/14</u>	<u>120,000</u>	<u>51.168</u>	<u>6,140.12</u>	<u>5,869.20</u>	<u>(270.92) ST</u>		
	<u>4/11/14</u>	<u>36,000</u>	<u>47.350</u>	<u>1,704.60</u>	<u>1,760.76</u>	<u>56.16 ST</u>		
	<u>Total</u>	<u>156,000</u>		<u>7,844.72</u>	<u>7,629.96</u>	<u>(214.76) ST</u>		
<u>Share Price: \$48.910</u>								
<u>COLGATE PALMOLIVE CO (CL)</u>	<u>9/23/11</u>	<u>78,000</u>	<u>44.436</u>	<u>3,465.98</u>	<u>5,318.04</u>	<u>1,852.06 LT</u>		
	<u>1/7/13</u>	<u>12,000</u>	<u>53.015</u>	<u>636.18</u>	<u>818.16</u>	<u>181.98 LT</u>		
	<u>1/7/13</u>	<u>4,000</u>	<u>54.530</u>	<u>218.12</u>	<u>272.72</u>	<u>54.60 LT</u>		
	<u>2/8/13</u>	<u>6,000</u>	<u>55.380</u>	<u>332.28</u>	<u>409.08</u>	<u>76.80 LT</u>		

Account Detail

Select UMA Active Account

EARL L CARTER

Morgan Stanley

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/13/13	56.000	53.874	3,016.93	3,818.08	801.15 LT		
	2/19/13	4.000	55.880	223.52	272.72	49.20 LT		
	3/5/13	8.000	57.874	462.99	545.44	82.45 LT		
	3/8/13	52.000	57.757	3,003.37	3,545.36	541.99 LT		
	3/14/13	24.000	57.230	1,373.51	1,636.32	262.81 LT		
Total		244.000		12,732.88	16,635.92	3,903.04 LT	351.00	2.10

Share Price: \$68.180; Next Dividend Payable 08/2014

COMCAST CORP (NEW) CLASS A (CMCSA)	8/4/11	69.000	22.038	1,520.65	3,703.92	2,183.27 LT		
	1/27/12	3.000	26.397	79.19	161.04	81.85 LT		
	1/27/12	2.000	26.397	52.79	107.36	54.57 LT		
	1/27/12	2.000	26.397	52.79	107.36	54.57 LT		
	1/27/12	104.000	26.397	2,745.26	5,582.72	2,837.46 LT		
	8/29/12	33.000	34.139	1,126.58	1,771.44	644.86 LT		
	8/29/12	2.000	34.139	68.28	107.36	39.08 LT		
	9/5/12	51.000	33.669	1,717.14	2,737.68	1,020.54 LT		
	9/5/12	4.000	33.670	134.68	214.72	80.04 LT		
	1/7/13	6.000	37.968	227.75	322.08	94.33 LT		
	1/7/13	14.000	37.950	531.30	751.52	220.22 LT		
	1/17/13	6.000	39.840	239.04	322.08	83.04 LT		
	1/17/13	11.000	39.840	438.24	590.48	152.24 LT		
	1/28/13	84.000	39.713	3,335.88	4,509.12	1,173.24 LT		
	1/28/13	14.000	39.713	555.98	751.52	195.54 LT		
	2/8/13	16.000	38.640	618.24	858.88	240.64 LT		
	2/8/13	9.000	38.637	347.73	483.12	135.39 LT		
	2/19/13	3.000	41.360	124.08	161.04	36.96 LT		
	2/19/13	7.000	41.400	289.80	375.75	85.96 LT		
	3/14/13	25.000	40.780	1,019.50	1,342.00	322.50 LT		
	6/6/14	45.000	52.456	2,360.54	2,415.60	55.06 ST		
Total		510.000		17,585.44	27,376.80	9,736.30 LT	459.00	1.68

Share Price: \$53.680; Next Dividend Payable 07/23/14

COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	8/14/13	450.000	10.155	4,569.84	4,716.00	146.16 ST	28.00	0.59
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Morgan Stanley



Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CONCHO RES INC (CXO)								
	1/28/13	52.000	91.000	4,732.00	7,514.00	2,782.00 LT		
	2/19/13	4.000	97.640	390.56	578.00	187.44 LT		
	7/17/13	14.000	86.374	1,209.23	2,023.00	813.77 ST		
Total		70.000		6,331.79	10,115.00	2,969.44 LT		
<i>Share Price: \$144.500</i>								
CONOCOPHILLIPS (COP)								
	1/27/12	35.000	53.136	1,859.75	3,000.55	1,140.80 LT		
	6/21/12	108.000	54.226	5,856.36	9,258.84	3,402.48 LT		
	1/17/13	7.000	59.280	414.96	600.11	185.15 LT		
	4/3/14	86.000	74.479	6,405.23	7,372.78	967.55 ST		
Total		236.000		14,536.30	20,232.28	4,728.43 LT		
						967.55 ST	651.00	3.21
<i>Share Price: \$85.730; Next Dividend Payable 09/2014</i>								
CONSTELLATION BRANDS INC CL A (STZ)								
	4/4/14	72.000	85.249	6,137.96	6,345.36	207.40 ST		
	5/21/14	20.000	82.083	1,641.66	1,762.60	120.94 ST		
Total		92.000		7,779.62	8,107.96	328.34 ST		
<i>Share Price: \$88.130</i>								
CONT'L AG SPONS ADR (CTTAY)								
	5/29/14	162.000	47.159	7,639.68	7,542.72	(96.96) ST		
<i>Share Price: \$46.560</i>							150.00	1.98
CORNING INC (GLW)								
	8/13/13	182.000	15.176	2,762.03	3,994.90	1,232.87 ST		
	12/4/13	123.000	16.747	2,059.83	2,699.85	640.02 ST		
	1/2/14	95.000	18.887	1,794.30	2,085.25	290.95 ST		
	3/13/14	85.000	19.392	1,648.31	1,865.75	217.44 ST		
	6/18/14	80.000	21.281	1,702.48	1,756.00	53.52 ST		
Total		565.000		9,966.95	12,401.75	2,434.80 ST		
							226.00	1.82
<i>Share Price: \$21.950; Next Dividend Payable 09/2014</i>								
CORPORATE EXEC BOARD CO (CEB)								
	6/20/11	28.000	40.542	1,135.16	1,910.16	775.00 LT		
	6/23/11	64.000	41.073	2,628.67	4,366.08	1,737.41 LT		
	6/12/12	90.000	38.273	3,444.54	6,139.80	2,695.26 LT		
	1/17/13	13.000	49.250	640.25	886.86	245.61 LT		
	2/19/13	15.000	54.220	813.30	1,023.30	210.00 LT		
Total		210.000		8,661.92	14,326.20	5,664.28 LT		
							221.00	1.54
<i>Share Price: \$68.220; Next Dividend Payable 09/2014</i>								
CORUS ENTMT CL B N/W/TG (CJREF)								
	1/8/10	102.000	19.146	1,952.91	2,380.37	427.46 LT		
	2/16/10	38.000	17.634	670.10	886.80	216.70 LT		
	1/7/13	30.000	24.507	735.20	700.10	(35.10) LT		

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CLIENT STATEMENT | For the Period June 1-30, 2014

Account Detail

Select HIMA Active Assets Account

Select LIMA Active Assets Account

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Dividend Yield %
-	1/17/13	3,000	24.720	74.16	70.01	(4.15) LT		
	2/8/13	17,000	24.735	420.50	396.72	(23.78) LT		
	6/9/14	85,000	23.602	2,006.16	1,983.64	(22.52) ST		
Total		275,000		5,859.03	6,417.67		581.13 LT	4.30
							276.00	
								(22.52) ST

Share Price: \$23.337; Next Dividend Payable 07/2014

COVIDIEN PLC (COV)	3/16/11	13,000	46.257	601.34	1,172.34	571.00	LT
	4/28/11	28,000	51.322	1,437.02	2,525.04	1,088.02	LT
	5/5/11	20,000	49.721	994.41	1,803.60	809.19	LT
	1/27/11	16,000	47.450	759.19	1,442.88	683.69	LT
	1/27/12	31,000	47.450	1,470.94	2,795.58	1,324.64	LT
	1/7/13	5,000	53.980	269.90	450.90	181.00	LT
	1/7/13	5,000	54.014	270.07	450.90	180.83	LT
	1/17/13	3,000	55.267	165.80	270.54	104.74	LT
	1/17/13	4,000	55.265	221.06	360.72	139.66	LT
	2/8/13	5,000	57.730	288.65	450.90	162.25	LT
	2/8/13	8,000	57.730	461.84	721.44	259.60	LT
	5/9/14	32,000	71.915	2,301.27	2,885.76	584.49	ST
Total	170,000		9,241.49	15,330.60		5,504.62	LT
						584.49	ST
						218.00	1.42

Share Price: \$90.180; **Next Dividend Payable** 08/2014

CUBIST PHARMACEUTICALS INC (CBST)	4/8/14	89.000	67.846	6,038.25	6,213.98	175.73 ST	—	—
Share Price: \$69.820								
CVS CAREMARK CORP (CVS)	1/11/12	31.000	41.933	1,299.92	2,336.47	1,036.55 LT		
	1/27/12	74.000	42.069	3,113.08	5,577.38	2,464.30 LT		
	5/11/12	43.000	45.8886	1,973.11	3,240.91	1,267.80 LT		
	1/7/13	8.000	49.900	399.20	602.96	203.76 LT		
	1/17/13	2.000	52.300	104.60	150.74	46.14 LT		
	2/8/13	18.000	51.187	921.36	1,356.66	435.30 LT		
	2/19/14	28.000	70.680	1,979.05	2,110.36	131.31 ST		
Total		204.000		9,790.32	15,375.48	5,453.85 LT	224.00	1.45
						131.31 ST		
Share Price: \$75.370; Next Dividend Payable 08/2014								
DEUTSCHE BOERSE AG UNSPON ADR (DBOEY)	5/1/12	169.000	6.397	1,081.11	1,306.37	225.26 LT		
	1/28/13	546.000	6.580	3,592.68	4,220.58	627.90 LT		
	2/8/13	35.000	6.310	220.85	270.55	49.70 LT		

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS

COMMON STOCKS (NONVOTING)

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

Morgan Stanley

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
DOLLAR GEN CORP NEW COM (DG)	6/18/13	51,000	52.129	2,658.58	2,925.36	266.78 LT		
<i>Share Price: \$57.360</i>								
DOUGLAS EMMETT INC (DEI)	5/8/09	50,000	7.352	367.58	1,411.00	1,043.42 LT R		
	5/12/09	55,000	7.454	409.95	1,552.10	1,142.15 LT R		
	11/10/10	3,000	15.893	47.68	84.66	36.98 LT R		
	4/1/11	3,000	17.623	52.87	84.66	31.79 LT R		
Total		111,000		878.08	3,132.42	2,264.34 LT		
<i>Share Price: \$28.220; Next Dividend Payable 07/15/14</i>								
DST SYSTEMS INC (DST)	6/8/10	3,000	36.361	109.08	276.51	167.43 LT		
	6/14/10	27,000	37.742	1,019.03	2,488.59	1,469.56 LT		
	8/8/11	18,000	46.611	839.00	1,659.06	820.06 LT		
	1/17/13	4,000	63.140	252.56	368.68	116.12 LT		
	2/8/13	7,000	67,600	473.20	645.19	171.99 LT		
Total		59,000		2,692.87	5,438.03	2,745.16 LT		
<i>Share Price: \$92.170; Next Dividend Payable 09/20/14</i>								
E*TRADE FINANCIAL CORP NEW COM (ETFC)	11/16/11	29,000	8.460	245.33	616.54	371.21 LT		
	12/15/11	80,000	7.940	635.20	1,700.80	1,065.60 LT		
	1/27/12	35,000	8.190	286.65	744.10	457.45 LT		
	1/28/13	541,000	10.590	5,729.19	11,501.66	5,772.47 LT		
	2/8/13	25,000	10,968	274.19	531.50	257.31 LT		
Total		710,000		7,170.56	15,094.60	7,924.04 LT		
<i>Share Price: \$21.260</i>								
EARTHLINK HLDGS CORP (ELNK)	2/16/11	45,000	8.435	379.57	167.40	(212.17) LT R		
	1/28/13	400,000	6.718	2,687.20	1,488.00	(1,199.20) LT		
	2/8/13	25,000	7.048	176.21	93.00	(83.21) LT		
	2/19/13	10,000	6.220	62.20	37.20	(25.00) LT		
Total		480,000		3,305.18	1,785.60	(1,519.58) LT		
<i>Share Price: \$3.720; Next Dividend Payable 07/02/14</i>								
EASTGROUP PROPERTIES INC (EGP)	7/11/07	42,000	42.092	1,767.86	2,697.66	929.80 LT R		
	2/19/08	4,000	40.255	161.02	256.92	95.90 LT R		
	5/28/09	1,000	31.870	31.87	64.23	32.36 LT R		
Total		47,000		1,960.75	3,018.81	1,058.06 LT		
<i>Share Price: \$64.230; Next Dividend Payable 09/20/14</i>								
EATON CORP PLC SHS (ETN)	12/3/12	42,000	51.029	2,143.20	3,241.56	1,098.36 LT R		
	1/7/13	3,000	55.200	165.60	231.54	65.94 LT R		
	1/17/13	1,000	55.720	55.72	77.18	21.46 LT R		
Total							102.00	3.37

CLIENT STATEMENT | For the Period June 1-30, 2014

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Select UMA Active Assets Account

EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EATON VANCE CP (EV)	2/8/13	4,000	58.378	233.51	308.72	75.21 LT R		
	1/2/13	1,000	72.930	72.93	77.18	4.25 ST		
	1/25/13				4,399.26	242.18 ST		
	1/25/13	57.000	72.931	4,157.08	1,143.79	1,234.88	91.09 ST	
	1/23/13	16,000	71.487		999.17	1,080.52	81.35 ST	
	1/2/13	14,000	71.369		1,775.14	43.33 ST		
	3/31/14	23,000	75.296	1,731.81	385.90	9.42 ST		
	3/31/14	5,000	75.296	376.48				
Total		166,000		11,079.29	12,811.88	1,260.97 LT	325.00	2.53

Share Price: \$77.180; Next Dividend Payable 08/2014

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EDISON INTERNATIONAL (EI)	6/19/12	275,000	26.248	7,218.26	10,392.25	3,173.99 LT		
	1/7/13	5,000	32.940	164.70	188.95	24.25 LT		
	1/17/13	4,000	34.000	136.00	151.16	15.16 LT		
	2/8/13	26,000	37.629	978.35	982.54	4.19 LT		
	2/19/13	10,000	40.780	407.80	337.90	(29.90) LT		
Total		320,000		8,905.11	12,092.80	3,187.69 LT	282.00	2.33

Share Price: \$37.790; Next Dividend Payable 08/2014

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EMERSON ELECTRIC CO (EMR)	1/28/13	46,000	46.720	2,149.12	2,673.06	523.94 LT		
	2/8/13	4,000	47.710	190.84	232.44	41.60 LT		
	3/25/13	38,000	50.635	1,924.14	2,208.18	284.04 LT		
Total		88,000		4,264.10	5,113.68	849.58 LT	125.00	2.44

Share Price: \$58.110; Next Dividend Payable 07/31/14

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ENBRIDGE INC (ENB)	3/13/14	47,000	63.938	3,005.10	3,118.92	113.82 ST		
	3/31/14	25,000	66.947	1,673.68	1,659.00	(14.68) ST		
	5/9/14	21,000	66.943	1,405.80	1,393.56	(12.24) ST		
	6/4/14	27,000	66.988	1,808.57	1,791.72	(16.95) ST		
Total		120,000		7,893.25	7,963.20	69.95 ST	206.00	2.58

Share Price: \$66.360; Next Dividend Payable 09/2014

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ENBRIDGE INC (ENB)	2/8/13	10,000	44.390	443.90	474.70	30.80 LT		
	3/21/13	55,000	45.940	2,526.68	2,610.85	84.17 LT		
	6/2/14	43,000	47.617	2,047.51	2,041.21	(6.30) ST		
Total		108,000		5,018.09	5,126.76	114.97 LT	139.00	2.71

Share Price: \$47.470; Next Dividend Payable 09/2014

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CLIENT STATEMENT | For the Period June 1-30, 2014

Account Detail

Select UMA Active Assets Account **632-096972-522**

Select UMA Active Assets Account
632-096972-522

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Dividend Yield %
ENDURANCE SPCLTY HLDGS LTD (ENH)								
	5/2/08	35,000	35.323	1,236.29	1,805.65	569.36 LT		
	5/14/08	20,000	35.116	702.32	1,031.80	329.48 LT		
	5/21/08	15,000	34.048	510.72	773.85	263.13 LT		
	10/13/08	5,000	23.138	115.69	257.95	142.26 LT		
	5/1/09	15,000	25.691	385.36	773.85	388.49 LT		
	2/3/10	15,000	35.842	537.63	773.85	236.22 LT		
	3/19/10	15,000	36.916	553.74	773.85	220.11 LT		
	5/19/11	24,000	41.420	994.07	1,238.16	244.09 LT		
	1/28/13	86,000	42.530	3,657.58	4,436.74	779.16 LT		
	2/8/13	10,000	43.900	439.00	515.90	76.90 LT		
Total		240,000		9,132.40	12,381.60		3,249.20 LT	2.63
Share Price: \$51.590; Next Dividend Payable 09/20/14								
ENSTAR GROUP LTD (ESGR)								
	4/1/11	12,000	99.453	1,193.43	1,808.76	615.33 LT		
	4/20/12	12,000	93.284	1,119.41	1,808.76	689.35 LT		
	6/4/12	10,000	91.838	918.38	1,507.30	588.92 LT		
	1/17/13	2,000	117.930	235.86	301.46	65.60 LT		
	2/19/13	4,000	127.380	509.52	602.92	93.40 LT		
	1/23/14	7,000	131.966	923.76	1,055.11	131.35 ST		
Total		47,000		4,900.36	7,084.31		2,052.60 LT	131.35 ST

Share Price: \$150,730

EOG RESOURCES INC (EOG)			
8/29/12	2,000	54.248	108.50
9/5/12	2,000	54.350	108.70
9/5/12	36,000	54.351	1,956.65
12/31/12	12,000	59.413	712.95
1/7/13	6,000	62.995	377.97
1/17/13	12,000	63.125	757.50
1/17/13	6,000	63.125	378.75
2/8/13	14,000	66.550	931.70
2/8/13	8,000	66.560	532.48
4/19/13	38,000	56.746	2,156.34
4/19/13	6,000	56.745	340.47
Total	142,000		8,362.01
			16,594.12
			8,232.11 LT
			71.00
			0.42

Morgan Stanley

Account Detail

Select UMA Active Assets Account EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ESSEX PROPERTY TRUST INC (ESS)	1/1/9/10	13.000	106.924	1,390.01	2,403.83	1,013.82 LT R		
	12/28/10	1.000	113.780	113.78	184.91	71.13 LT R		
	12/12/11	3.000	131.893	395.68	554.73	159.05 LT R		
Total		17.000		1,899.47	3,143.47	1,244.00 LT	88.00	2.79
<i>Share Price: \$184.910; Next Dividend Payable 07/15/14</i>								
ESTEE LAUDER CO INC CL A (EL)	12/5/12	12.000	59.567	714.80	891.12	176.32 LT		
	1/7/13	5.000	61.780	308.90	371.30	62.40 LT		
	1/17/13	3.000	64.500	193.50	222.78	29.28 LT		
	2/8/13	7.000	62.980	440.86	519.82	78.96 LT		
	2/1/13	27.000	61.730	1,666.72	2,005.02	338.30 LT		
	2/19/13	8.000	63.540	508.32	594.08	85.76 LT		
	3/8/13	16.000	65.949	1,055.18	1,188.16	132.98 LT		
	1/21/14	12.000	72.178	866.13	891.12	24.99 ST		
	2/6/14	12.000	66.668	800.02	891.12	91.10 ST		
	5/21/14	20.000	73.908	1,478.16	1,485.20	7.04 ST		
Total		122.000		8,032.59	9,059.72	904.00 LT	98.00	1.08
<i>Share Price: \$74.260; Next Dividend Payable 09/20/14</i>						123.13 ST		
EXXON MOBIL CORP (XOM)	6/27/14	110.000	101.140	11,125.37	11,074.80	(50.57) ST		
<i>Share Price: \$100.680; Next Dividend Payable 09/20/14</i>							304.00	2.74
FACEBOOK INC CL-A (FB)	1/28/13	129.000	32.326	4,170.11	8,680.41	4,510.30 LT		
	2/8/13	10.000	28.860	288.60	672.90	384.30 LT		
	2/19/13	10.000	28.870	288.70	672.90	384.20 LT		
	4/25/13	65.000	26.330	1,711.44	4,373.85	2,662.41 LT		
Total		214.000		6,458.85	14,400.06	7,941.21 LT		
<i>Share Price: \$67.290</i>								
FANUC CORPORATION UNSP ADR (FANUW)	2/14/12	110.000	28.824	3,170.64	3,169.10	(1.54) LT		
	5/2/12	45.000	28.682	1,290.69	1,296.45	5.76 LT		
	1/7/13	5.000	30.250	151.25	144.05	(7.20) LT		
	1/17/13	7.000	27.850	194.95	201.67	6.72 LT		
	2/8/13	23.000	26.450	608.35	662.63	54.28 LT		
	2/15/13	40.000	26.930	1,077.20	1,152.40	75.20 LT		
	2/19/13	10.000	26.200	262.00	288.10	26.10 LT		
	5/1/13	105.000	24.790	2,602.97	3,025.05	422.08 LT		
Total		345.000		9,358.05	9,939.45	581.40 LT	71.00	0.71
<i>Share Price: \$28.810</i>								

Select UMA Active Assets Account EARL L CARTER



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Select UMA Active Assets Account
632-096972-522
EARL L CARTER

Account Detail

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<i>Share Price: \$78.080; Next Dividend Payable 08/2014</i>								
GRUPO FINANCIERO BANORTO SAB (GBOOV)	3/21/13	82,000	38.837	3,184.61	2,929.86	(254.75) LT		
	4/1/13	24,000	40.031	960.74	857.52	(103.22) LT		
	4/24/14	80,000	32.504	2,600.29	2,858.40	258.11 ST		
Total		186,000		6,745.64	6,645.78	(357.97) LT	72.00	1.08
<i>Share Price: \$35.730</i>								
HALLIBURTON CO (HAL)	4/8/14	104,000	58.355	6,068.89	7,385.04	1,316.15 ST		
	4/17/14	20,000	60.949	1,218.98	1,420.20	201.22 ST		
	4/25/14	28,000	62.945	1,762.46	1,988.28	225.82 ST		
	5/6/14	22,000	63.997	1,407.93	1,562.22	154.29 ST		
	5/22/14	16,000	63.813	1,021.00	1,136.16	115.16 ST		
	6/20/14	14,000	69.940	979.16	994.14	14.98 ST		
Total		204,000		12,458.42	14,486.04	2,027.62 ST	122.00	0.84
<i>Share Price: \$71.010; Next Dividend Payable 09/2014</i>								
HCP INCORPORATED (HCP)	12/18/09	5,000	28.620	143.10	206.90	63.80 LT R		
	12/18/09	17,000	28.598	486.17	703.46	217.29 LT R		
	12/30/09	10,000	26.934	269.34	413.80	124.46 LT R		
	12/31/09	5,000	29.298	146.49	206.90	60.41 LT R		
	1/20/10	12,000	28.702	344.42	496.56	152.14 LT R		
	11/10/10	2,000	33.070	66.14	82.76	16.62 LT R		
Total		51,000		1,475.66	2,110.38	634.72 LT	111.00	5.25
<i>Share Price: \$41.380; Next Dividend Payable 08/2014</i>								
HEALTH CARE REIT INC (HCN)	7/1/07	47,000	34.109	1,603.14	2,945.49	1,342.35 LT R		
	5/11/09	3,000	27.263	81.79	188.01	106.22 LT R		
	4/1/11	5,000	48.570	242.85	313.35	70.50 LT R		
Total		55,000		1,927.78	3,446.85	1,519.07 LT	175.00	5.07
<i>Share Price: \$62.670; Next Dividend Payable 08/2014</i>								
HERTZ GLOBAL HOLDINGS, INC (HTZ)	11/6/13	158,000	21.650	3,420.64	4,428.74	1,008.10 ST		
	11/8/13	80,000	21.253	1,700.24	2,242.40	542.16 ST		
Total		238,000		5,120.88	6,671.14	1,550.26 ST	—	—
<i>Share Price: \$28.030</i>								
HOME DEPOT INC (HD)	6/7/12	22,000	51.291	1,128.41	1,781.12	652.71 LT		
	6/11/12	23,000	51.453	1,183.43	1,862.08	678.65 LT		
	7/5/12	25,000	52.228	1,305.69	2,024.00	718.31 LT		

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Account Detail

Select UMA Active Assets Account
632-0996972-522

EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Dividend Yield %
HONEYWELL INTERNATIONAL INC (HON)	8/14/12	10,000	54.706	547.06	809.60	262.54 LT		
	1/7/13	6,000	62.920	377.52	485.76	108.24 LT		
	1/17/13	6,000	65.180	391.08	485.76	94.68 LT		
	1/29/13	14,000	67.200	940.80	1,133.44	192.64 LT		
	2/19/13	10,000	67.400	674.00	809.60	135.60 LT		
	6/12/14	98,000	78.988	7,740.80	7,934.08	193.28 ST		
Total		214,000		14,288.79	17,325.44		2,843.37 LT	402.00
								2.32

Share Price: \$80.960; Next Dividend Payable 09/2014

HONEYWELL INTERNATIONAL INC (HON)	2/13/12	17,000	59.936	1,018.91	1,580.15	561.24 LT		
	6/1/12	28,000	54.280	1,519.83	2,602.60	1,082.77 LT		
	1/17/13	1,000	67.430	67.43	92.95	25.52 LT		
	2/8/13	4,000	70.570	282.28	371.80	89.52 LT		
	2/19/13	5,000	70.730	353.65	464.75	111.10 LT		
	7/24/13	54,000	83.087	4,486.71	5,019.30	532.59 ST		
	7/24/13	3,000	83.087	249.26	278.85	29.59 ST		
	7/31/13	10,000	83.316	833.16	929.50	96.34 ST		
	8/16/13	58,000	81.665	4,736.59	5,391.10	654.51 ST		
	10/1/13	16,000	83.212	1,331.39	1,487.20	155.81 ST		
Total		196,000		14,879.21	18,218.20		1,870.15 LT	352.00
								1.93

Share Price: \$92.950; Next Dividend Payable 09/2014

HOSPITALITY PPTYS TR COM SBI (HPT)	7/12/07	1,000	41.230	41.23	30.40	(10.83) LT R		
	10/8/08	3,000	13.160	39.48	91.20	51.72 LT R		
	10/9/08	2,000	12.595	25.19	60.80	35.61 LT R		
	11/10/10	2,000	22.190	44.38	60.80	16.42 LT R		
	12/12/11	14,000	21.843	305.80	425.60	119.80 LT R		
	1/28/13	92,000	25.893	2,382.12	2,796.80	414.68 LT R		
	2/8/13	6,000	25.947	155.68	182.40	26.72 LT R		
	11/20/13	64,000	28.122	1,799.81	1,945.60	145.79 ST		
Total		184,000		4,793.69	5,593.60		654.12 LT	361.00
								6.45

Share Price: \$30.400; Next Dividend Payable 08/2014

HOST HOTEL & RESORTS INC (HST)	12/18/09	34,000	11.220	381.48	748.34	366.86 LT		
	12/30/09	35,000	11.828	413.99	770.35	356.36 LT		
	12/31/09	50,000	11.704	585.19	1,100.50	515.31 LT		

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EARL L CARTER
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STOCKS

State / Issue: 582.310

GIVAUDAN SA AU'R (GVDN)						
6/25/09	153.000	13.479	2,062.24	5,099.49	3,037.25	LT
4/14/10	96.000	18.554	1,781.18	3,199.68	1,418.50	LT
4/12/11	21.000	20.633	433.29	699.93	266.64	LT
1/27/12	43.000	18.890	812.27	1,433.19	620.92	LT
1/7/13	17.000	20.980	356.66	566.61	209.95	LT
1/17/13	11.000	21.930	241.23	366.63	125.40	LT
2/8/13	39.000	23.850	930.15	1,299.87	369.72	LT
Total	380.000	6,617.02	12,665.40	6,048.38	385.00	3.03

GLOBAL PAYMENT INSTITUTIONS

GLOBAL INVESTMENT INC (GLIV)	3/4/12	24.000	44,373	1,035.74	1,146.40	602,330 LT
	5/14/12	51.000	43,250	2,205.74	3,715.35	1,509.61 LT
	5/15/12	27.000	42,926	1,159.01	1,966.95	807.94 LT
	5/17/12	22.000	41,883	921.42	1,602.70	681.28 LT
	6/21/12	26.000	42,052	1,093.34	1,894.10	800.76 LT
	1/17/13	10.000	49,840	498.40	728.50	230.10 LT
	2/8/13	10.000	49,830	498.30	728.50	230.20 LT
Total		170.000	7,454.95	12,384.50	4,929.55 LT	14.00 0.11
Share Price: \$72.850; Next Dividend Payable 08/2014						
GOOGLE INC-CL A (GOOGL)	1/28/14	15.000	561.557	8,423.36	8,770.05	346.69 ST
	4/29/14	19.000	532.705	10,121.40	11,108.73	987.33 ST
Total		34.000	18,544.76	19,878.78	1,334.02 ST	— —
Share Price: \$584.670						
GRACO INC (GGG)	3/24/09	60.000	17.034	1,022.05	4,684.80	3,662.75 LT
	3/25/09	16.000	17.779	284.47	1,249.28	964.81 LT
	5/25/10	110.000	30.692	3,376.14	8,588.80	5,212.66 LT

CLIENT STATEMENT | For the Period June 1-30, 2014

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EARL L CARTER

Select UMA Active Assets Account
2014-06-30 592

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FEDL RLTY INV'T TRUST S B I (FRT)	2/18/09	2,000	41.115	82.23	241.84	159.61	LT R	
	3/2/09	5,000	38.406	192.03	604.60	412.57	LT R	
	3/4/09	10,000	39.839	398.39	1,209.20	810.81	LT R	
	7/31/09	12,000	56.674	680.09	1,451.04	770.95	LT R	
Total		29,000		1,352.74	3,506.68	2,153.94	LT	90.00
<hr/>								
<i>Share Price: \$120.920; Next Dividend Payable 07/15/14</i>								
FIDELITY NAT'L INFORMATION SE (FIS)	7/1/07	40,000	31.659	1,266.35	2,189.60	923.25	LT	
	1/23/08	9,000	22.671	204.04	492.66	288.62	LT	
	4/29/08	16,000	20.890	334.24	875.84	541.60	LT	
	7/10/08	19,000	20.874	396.60	1,040.06	643.46	LT	
	7/14/08	17,000	20.582	349.90	930.58	580.68	LT	
	10/6/08	30,000	15.953	478.58	1,642.20	1,163.62	LT	
	4/7/10	13,000	24.078	313.02	711.62	398.60	LT	
	11/18/11	24,000	23.750	570.00	1,313.76	743.76	LT	
	6/22/12	2,000	33.340	66.68	109.48	42.80	LT	
	6/22/12	155,000	33.339	5,167.53	8,484.70	3,317.17	LT	
	1/7/13	8,000	35.660	285.28	437.92	152.64	LT	
	1/7/13	7,000	35.660	249.62	383.18	133.56	LT	
	1/17/13	8,000	37.080	296.64	437.92	141.28	LT	
	1/17/13	8,000	37.100	296.80	437.92	141.12	LT	
	2/8/13	7,000	37.510	262.57	383.18	120.61	LT	
	2/8/13	17,000	37.510	637.67	930.58	292.91	LT	
Total		380,000		11,175.52	20,801.20	9,625.68	LT	1.75
<hr/>								
<i>Share Price: \$54.740; Next Dividend Payable 09/20/14</i>								
FIRST CASH FINL SVCS INC (FCFS)	10/1/10	116,000	27.313	3,168.27	6,680.44	3,512.17	LT	
	12/12/11	50,000	36.717	1,835.84	2,879.50	1,043.66	LT	
	1/7/13	20,000	48.916	978.32	1,151.80	173.48	LT	
	2/8/13	10,000	54.230	542.30	575.90	33.60	LT	
	2/19/13	10,000	55.640	556.40	575.90	19.50	LT	
Total		206,000		7,081.13	11,863.54	4,782.41	LT	—
<hr/>								
<i>Share Price: \$57.590</i>								
GEO GROUP INC COM NEW (GEO)	6/30/14	57,000	— Pending Corp. Action	2,036.61	N/A	130.00	6.38	
<i>Share Price: \$35.730</i>								
GILEAD SCIENCE (GILD)	2/8/13	9,000	40.910	368.19	746.19	378.00	LT	
	2/8/13	2,000	40.910	81.82	165.82	84.00	LT	
	2/8/13	11,000	40.910	450.01	912.01	462.00	LT	

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS

II VI INC (IVI) Share Price: \$22.010; Next Dividend Payable 01/15/14 2/5/14

Share Price: \$14.460					
INTEL CORP (INTC)					
3/9/14	84.000	14.890	1,250.79	1,214.64	
4/2/14	35.000	15.583	545.42	506.10	(36.15) ST
Total	345.000		5,231.48	4,988.70	(242.78) ST
7/12/13	200.000	23.874	4,774.86	6,180.00	1,405.14 ST

Total

INTERPUBLIC GROUP OF COS INC (IPG) 7/28/11 55.000 10.129 557.11 1,073.05 515.94 LT

003925 MSDDT223 010024

Share Price: \$19.510; Next Dividend Payable 09/2014						
INTERVAL LEISURE GROUP INC (ILG)	8/20/13	92,000	21,030	1,934.75	2,018.48	83.73 ST
8/21/13	44,000	21,587	949.82	965.36	15.54 ST	
8/22/13	62,000	22,043	1,366.69	1,360.28	(6.41) ST	
8/23/13	67,000	22,359	1,498.05	1,469.98	(28.07) ST	
10/24/13	120,000	24,499	2,939.86	2,632.80	(307.06) ST	
10/25/13	70,000	24,495	1,714.62	1,535.80	(178.82) ST	
Total	455,000	10,403.79	9,982.70	(421.09) ST	200.00	2.00

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CLIENT STATEMENT | For the Period June 1-30, 2014

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
INTL FLAVORS & FRAGRANCES (IFF)	4/1/14	48,000	91.783	4,405.60	5,005.44	599.84 ST		
	5/7/14	13,000	98.962	1,286.51	1,355.64	69.13 ST		
Total		61,000		5,692.11	6,361.08	668.97 ST	95.00	1.49
<i>Share Price: \$104.280; Next Dividend Payable 07/08/14</i>								
INVESCO LTD (IVZ)	5/15/13	78,000	34.543	2,694.32	2,944.50	250.18 LT		
	6/18/13	76,000	34.510	2,622.79	2,869.00	246.21 LT		
	7/12/13	58,000	31.876	1,848.81	2,189.50	340.69 ST		
	12/26/13	58,000	36.660	2,126.29	2,189.50	63.21 ST		
	3/13/14	45,000	35.384	1,592.30	1,698.75	106.45 ST		
Total		315,000		10,884.51	11,891.25	496.39 LT	315.00	2.64
<i>Share Price: \$37.750; Next Dividend Payable 09/2014</i>								
JACK HENRY & ASSOC INC (JKHY)	6/25/10	137,000	24.522	3,359.52	8,141.91	4,782.39 LT		
	1/7/13	53,000	41.142	2,180.50	3,149.79	969.29 LT		
	1/17/13	15,000	41.250	618.75	891.45	272.70 LT		
	2/8/13	5,000	43.708	218.54	297.15	78.61 LT		
	2/19/13	20,000	43.720	874.40	1,188.60	314.20 LT		
Total		230,000		7,251.71	13,668.90	6,417.19 LT	202.00	1.47
<i>Share Price: \$59.430; Next Dividend Payable 09/2014</i>								
JARDINE MATHESON HLDGS LTD ADR (IMHLY)	1/30/13	62,000	64.643	4,007.85	3,687.14	(320.71) LT		
	2/8/13	3,000	62.020	186.06	178.41	(7.65) LT		
	3/8/13	31,000	66.766	2,069.76	1,843.57	(226.19) LT		
	4/8/13	32,000	66.942	2,142.13	1,903.04	(239.09) LT		
Total		128,000		8,405.80	7,612.16	(793.64) LT	168.00	2.20
<i>Share Price: \$59.470</i>								
JPMORGAN CHASE & CO (JPM)	1/7/09	5,000	28.970	144.85	288.10	143.25 LT		
	6/2/09	65,000	34.940	2,271.13	3,745.30	1,474.17 LT		
	2/2/11	34,000	45.789	1,556.82	1,959.08	402.26 LT		
	8/3/11	79,000	39.739	3,139.40	4,551.98	1,412.58 LT		
	6/1/12	32,000	32.272	1,032.70	1,843.84	811.14 LT		
	1/28/13	60,000	46.710	2,802.60	3,457.20	654.60 LT		
	2/8/13	10,000	48.646	486.46	576.20	89.74 LT		
	2/19/13	10,000	49.370	493.70	576.20	82.50 LT		
Total		295,000		11,927.66	16,997.90	5,070.24 LT	472.00	2.77
<i>Share Price: \$57.620; Next Dividend Payable 07/2014</i>								

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Account Detail

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$18.780								
L'OREAL CO ADR (LRLCY)	9/8/11	86,000	20.690	1,779.38	2,964.42	1,185.04 LT	265.00	2.88
	1/9/12	50,000	25.121	1,256.07	1,723.50	467.43 LT		
	1/7/13	10,000	27.394	273.94	344.70	70.76 LT		
	1/17/13	5,000	29.138	145.69	172.35	26.66 LT		
	2/8/13	25,000	29.108	727.70	861.75	134.05 LT		
	Total	176,000	4,182.78	6,066.72	1,883.94 LT	93.00	1.53	
Share Price: \$34.470								
LABORATORY CP AMER HLDGS NEW (LH)	1/4/13	27,000	87.885	2,372.90	2,764.80	391.90 LT		
	1/7/13	2,000	87.490	174.98	204.80	29.82 LT		
	1/7/13	13,000	87.488	1,137.35	1,331.20	193.85 LT		
	1/8/13	14,000	86.461	1,210.45	1,433.60	223.15 LT		
	1/17/13	3,000	88.380	265.14	307.20	42.06 LT		
	2/8/13	6,000	90.180	541.08	614.40	73.32 LT		
	2/20/13	16,000	89.751	1,436.01	1,638.40	202.39 LT		
	Total	81,000	7,137.91	8,294.40	1,156.49 LT	—	—	
Share Price: \$102.400								
LANDSTAR SYSTEMS INC (LSTR)	7/11/07	220,000	49.686	10,930.88	14,080.00	3,149.12 LT		
	9/6/07	7,000	42.600	298.20	448.00	149.80 LT		
	9/17/10	25,000	38.633	965.82	1,600.00	634.18 LT		
	Total	252,000	12,194.90	16,128.00	3,933.10 LT	60.00	0.37	
Share Price: \$64.000; Next Dividend Payable 08/20/2014								
LAS VEGAS SANDS CORPORATION (LVS)	1/28/13	38,000	52.163	1,982.18	2,896.36	914.18 LT R		
	2/19/13	6,000	50.530	303.18	457.32	154.14 LT R		
	6/25/13	20,000	50.987	1,019.74	1,524.40	504.66 LT R		
	7/10/13	44,000	52.560	2,312.64	3,353.68	1,041.04 ST R		
	8/1/13	8,000	56.635	453.08	609.76	156.68 ST R		
	Total	116,000	6,070.82	8,841.52	1,572.98 LT	232.00	2.62	
Share Price: \$76.220; Next Dividend Payable 09/20/2014								
LEUCADIA NAT CP (LUK)	3/5/09	21,000	11.089	232.88	550.62	317.74 LT		
	10/21/09	11,000	23.738	261.12	288.42	27.30 LT		
	2/5/10	17,000	20.916	355.57	445.74	90.17 LT		
	2/16/10	35,000	21.301	745.53	917.70	172.17 LT		
	6/3/10	46,000	20.328	935.09	1,206.12	271.03 LT		

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
JULIUS BAER GROUP LTD UN ADR (JBAXY)	8/8/13	242,000	9.328	2,257.35	1,981.98	(275.37) ST		
10/29/13	123,000	9.794	1,204.69	1,007.37	(197.32) ST			
2/26/14	325,000	9.254	3,007.42	2,661.75	(345.67) ST			
4/24/14	140,000	9.190	1,286.53	1,146.60	(139.93) ST			
Total		830,000		7,755.99	6,797.70	(958.29) ST		
Share Price: \$8.190								
KIRBY CP (KEX)	10/23/13	45,000	90.034	4,051.51	5,271.30	1,219.79 ST		
12/3/13	12,000	94.201	1,130.41	1,405.68	275.27 ST			
12/5/13	10,000	94.096	940.96	1,171.40	230.44 ST			
Total		67,000		6,122.88	7,848.38	1,725.50 ST		
Share Price: \$117.140								
KNOWLES CORPORATION (KN)	3/7/14	60,000	29.760	1,785.59	1,844.40	58.81 ST		
3/10/14	128,000	28.717	3,675.72	3,934.72	259.00 ST			
3/11/14	60,000	28.739	1,724.34	1,844.40	120.06 ST			
5/6/14	50,000	29.199	1,459.94	1,537.00	77.06 ST			
Total		298,000		8,645.59	9,160.52	514.93 ST		
Share Price: \$30.740								
KOHL'S CORPORATION WISC (KSS)	4/18/13	49,000	46.648	2,285.74	2,581.32	295.58 LT		
5/8/13	51,000	47.070	2,400.57	2,686.68	286.11 LT			
10/9/13	44,000	50.883	2,238.83	2,317.92	79.09 ST			
1/21/14	28,000	52.585	1,472.39	1,475.04	2.65 ST			
2/11/14	32,000	51.029	1,632.93	1,685.76	52.83 ST			
Total		204,000		10,030.46	10,746.72	581.69 LT		
Share Price: \$52.680; Next Dividend Payable 09/2014						134.57 ST		

KONINKLIJKE AHOLD NV (AHONY)	11/11/08	129,000	11.875	1,531.82	2,422.62	890.80 LT		
11/21/08	18,000	12.143	218.57	338.04	119.47 LT			
2/10/09	84,000	12.555	1,054.58	1,577.52	522.94 LT			
6/16/09	51,000	12.684	646.88	957.78	310.90 LT			
7/31/09	38,000	12.484	474.38	713.64	239.26 LT			
8/21/09	47,000	13.159	618.45	882.66	264.21 LT			
1/27/12	36,000	14.911	536.80	676.08	139.28 LT			
1/7/13	17,000	15.301	260.11	319.26	59.15 LT			
1/17/13	14,000	16.114	225.60	262.92	37.32 LT			
2/8/13	40,000	15.598	623.92	751.20	127.28 LT			
2/19/13	15,000	9.787	146.80	281.70	134.90 LT			



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EARL L CARTER

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LEXINGTON REALTY TRUST (LXP)	12/16/11	19,000	21.365	405,94	498.18	92.24 LT		
	5/18/12	40,000	20.430	817.21	1,048.80	231.59 LT		
	5/23/12	35,000	20.203	707.10	917.70	210.60 LT		
LIBERTY GLOBAL PLC CL A NEW (LBRYA)	6/4/12	45,000	19.127	860.70	1,179.90	319.20 LT		
	1/28/13	131,000	24.319	3,185.84	3,434.82	248.98 LT		
	2/8/13	25,000	25.884	647.09	655.50	8.41 LT		
	2/19/13	10,000	26.324	263.24	262.20	(1.04) LT		
	6/26/13	40,000	24.994	999.75	1,048.80	49.05 LT		
	4/7/14	50,000	26.555	1,327.73	1,311.00	(16.73) ST		
	5/15/14	80,000	25.208	2,016.67	2,097.60	80.93 ST		
Total		605,000		13,761.46	15,863.10	2,037.44 LT	151.00	0.95
<i>Share Price: \$26.220; Next Dividend Payable 09/12/2014</i>								
LINCOLN NTL CORP IND (LNC)	12/12/11	200,000	7.000	1,399.93	2,202.00	802.07 LT R		
	1/29/13	20,000	10.706	214.11	220.20	6.09 LT R		
	2/8/13	10,000	10.498	104.98	110.10	5.12 LT R		
Total		230,000		1,719.02	2,532.30	813.28 LT	156.00	6.16
<i>Share Price: \$11.010; Next Dividend Payable 07/15/14</i>								
LINCOLN NTL CORP IND (LNC)	6/19/13	67,000	36.795	2,465.25	2,962.74	497.49 LT		
	6/25/13	83,000	36.275	3,010.82	3,670.26	659.44 LT		
	4/17/14	40,000	39.490	1,579.58	1,768.80	189.22 ST		
Total		190,000		7,055.65	8,401.80	1,156.93 LT	—	—
<i>Share Price: \$44.220</i>								
LINCOLN NTL CORP IND (LNC)	5/24/11	30,000	26.683	800.50	1,543.20	742.70 LT		
	7/27/11	75,000	26.697	2,002.29	3,858.00	1,855.71 LT		
	8/25/11	45,000	19.111	859.98	2,314.80	1,454.82 LT		
	2/8/13	8,000	29.340	234.72	411.52	176.80 LT		
Total		158,000		3,897.49	8,127.52	4,230.03 LT	101.00	1.24
<i>Share Price: \$51.440; Next Dividend Payable 08/20/14</i>								
LINDE AG SPONSORED ADR (LNEG.V)	1/13/10	216,000	12.159	2,626.45	4,587.84	1,961.39 LT		
	1/29/10	66,000	10.996	725.73	1,401.84	676.11 LT		
	3/17/11	45,000	14.975	673.89	955.80	281.91 LT		
	1/27/12	48,000	15.660	751.68	1,019.52	267.84 LT		
	1/7/13	35,000	17.380	608.30	743.40	135.10 LT		
	1/17/13	10,000	17.750	212.40	34.90 LT			

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Select UMA Active Assets Account

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	40,000	17.510	700.40	849.60	149.20 LT		
	2/19/13	10,000	17.920	179.20	212.40	33.20 LT		
	4/5/13	100,000	18.656	1,865.59	2,124.00	258.41 LT		
Total		570,000		8,308.74	12,106.80	3,798.06 LT	161.00	1.32

Share Price: \$21.240

MARKEL CORP (HOLDING CO) (MKL)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	25,000	17.140	428.51	976.00	547.49 LT R		
	5/12/09	25,000	17.682	442.04	976.00	533.96 LT R		
	5/28/09	1,000	19.240	19.24	39.04	19.80 LT R		
	11/10/10	1,000	26.980	26.98	39.04	12.06 LT R		
	4/1/11	4,000	27.708	110.83	156.16	45.33 LT R		
Total		56,000		1,027.60	2,186.24	1,158.64 LT	114.00	5.21

Share Price: \$39.040; Next Dividend Payable 07/2014

LYONDELLBASELL NV CL-A (LYB)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	7/12/12	15,000	38.733	580.99	1,464.75	883.76 LT R		
	10/31/12	17,000	52.588	893.99	1,660.05	766.06 LT R		
	1/7/13	5,000	58.948	294.74	488.25	193.51 LT		
	1/17/13	4,000	61.830	247.32	390.60	143.28 LT		
	2/7/13	11,000	61.207	673.28	1,074.15	400.87 LT		
	2/19/13	10,000	61.090	610.90	976.50	365.60 LT		
	3/25/13	14,000	63.316	886.43	1,367.10	480.67 LT		
Total		76,000		4,187.65	7,421.40	3,233.75 LT	213.00	2.87

Share Price: \$97.650; Next Dividend Payable 08/2014

MARATHON OIL CO (MRO)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/28/13	76,000	33.594	2,553.11	3,033.92	480.81 LT		
	2/19/13	9,000	35.660	320.94	359.28	38.34 LT		
	3/25/13	105,000	33.636	3,531.77	4,191.60	659.83 LT		
	4/19/13	44,000	29.786	1,310.57	1,756.48	445.91 LT		
	5/15/13	41,000	34.948	1,432.86	1,636.72	203.86 LT		
	4/30/14	30,000	36.398	1,091.93	1,197.60	105.67 ST		
Total		305,000		10,241.18	12,175.60	1,828.75 LT	105.67 ST	232.00

Share Price: \$39.920; Next Dividend Payable 09/2014

MARKEL CORP (HOLDING CO) (MKL)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/19/12	5,000	446.850	2,234.25	3,278.20	1,043.95 LT		
	12/20/12	1,000	431.830	431.83	655.64	223.81 LT		
	1/7/13	4,000	444.450	1,777.80	2,622.56	844.76 LT		
	8/8/13	5,000	530.270	2,651.35	3,278.20	626.85 ST		
	8/13/13	3,000	523.463	1,570.39	1,966.92	396.53 ST		
	9/30/13	7,000	518.999	3,632.99	4,589.48	956.49 ST		

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STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MONOTYPE IMAGING HLDGS INC (TYPE)	10/9/13	112,000	27.098	3,035.01	3,155.04	120.03 ST		
	2/1/014	37,000	28.312	1,047.56	1,042.29	(5.27) ST		
	2/1/14	46,000	28.511	1,311.52	1,295.82	(15.70) ST		
	2/12/14	33,000	28.269	932.89	929.61	(3.28) ST		
Total		228,000		6,326.98	6,422.76	95.78 ST	73.00	1.13
<i>Share Price: \$28.170; Next Dividend Payable 07/2/14</i>								
MONSANTO CO)(NEW (MON)	2/24/12	14,000	79.496	1,112.94	1,746.36	633.42 LT		
	2/29/12	22,000	77.787	1,711.32	2,744.28	1,032.96 LT		
	3/1/12	5,000	79.988	399.94	623.70	223.76 LT		
	4/3/12	18,000	81.383	1,464.90	2,245.32	780.42 LT		
	1/7/13	7,000	95.840	670.88	873.18	202.30 LT		
	1/17/13	8,000	101.540	812.32	997.92	185.60 LT		
	2/8/13	8,000	100.740	805.92	997.92	192.00 LT		
	7/3/13	22,000	99.312	2,184.87	2,744.28	559.41 ST		
Total		104,000		9,163.09	12,972.96	3,250.46 LT	179.00	1.37
<i>Share Price: \$124.740; Next Dividend Payable 07/2/14</i>								
MONSTER BEVERAGE CORP COM (MNST)	1/2/14	61,000	69.536	4,241.72	4,332.83	91.11 ST		
	3/26/14	27,000	68.823	1,858.23	1,917.81	59.58 ST		
Total		88,000		6,099.95	6,250.64	150.69 ST	—	—
<i>Share Price: \$71.030</i>								
MORGAN STANLEY (MS)	5/15/13	249,000	24.613	6,128.59	8,050.17	1,921.58 LT		
	5/15/13	4,000	24.613	98.45	129.32	30.87 LT		
	5/15/13	12,000	24.613	295.35	387.96	92.61 LT		
	6/18/13	140,000	26.346	3,688.38	4,526.20	837.82 LT		
	1/2/14	60,000	32.564	1,953.82	1,939.80	(14.02) ST		
	3/7/14	135,000	32.398	4,373.73	4,364.55	(9.18) ST		
	3/20/14	35,000	32.276	1,129.66	1,131.55	1.89 ST		
	4/8/14	80,000	29.435	2,354.81	2,586.40	231.59 ST		
	6/20/14	25,000	32.440	811.00	808.25	(2.75) ST		
Total		740,000		20,833.79	23,924.20	2,882.88 LT	296.00	1.23
<i>Share Price: \$32.330; Next Dividend Payable 08/2014</i>								
MYLAN INC (MYL)	4/4/14	118,000	52.530	6,198.52	6,084.08	(114.44) ST		
	4/7/14	30,000	51.297	1,538.91	1,546.80	7.89 ST		
	4/17/14	20,000	46.637	932.74	1,031.20	98.46 ST		

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Select UMA Active Assets Account EARL L CARTER

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/22/14	16,000	49.642	794.27	824.96	30.69 ST		
	6/6/14	26,000	49.777	1,294.19	1,340.56	46.37 ST		
Total		210,000		10,758.63	10,827.60		68.97 ST	

Share Price: \$51.560		NATIONAL RETAIL PROPERTIES I (NNN)		NATIONAL RETAIL PROPERTIES I (NNN)	
12/29/09	27.000	19.671	531.13	1.004.13	473.00 LT R
1/14/10	35.000	19.615	686.51	1.301.65	615.14 LT R
1/17/13	1.000	32.050	32.05	37.19	5.14 LT R
2/8/13	7.000	32.509	227.56	260.33	32.77 LT R
Total	70.000		1.477.25	2.603.30	1.126.05 LT
					113.00
					4.34

Share Price: \$37.190; Next Dividend Payable 08/2014							
NCR CORPORATION (NCR)		8/13/13	26,000	39,338	1,022,79	912.34	(110.45) ST H
1/24/13	4,000	32,568	130,27		140,36	10,09 ST	
1/24/14	1,000	38,390	38,39		35,09	(3,30) ST H	
1/24/14	1,000	37,960	37,96		35,09	(2,87) ST H	
1/24/14	20,000	35,832	716,64		701,80	(14,84) ST	
1/28/14	75,000	35,399	2,654,96		2,631,75	(23,21) ST	
1/30/14	71,000	35,564	2,525,04		2,491,39	(33,65) ST	
Total	198,000		7,126,05		6,947,82	(178,23) ST	

Share Price: \$35,090; Basis Adjustment Due to Wash Sale: \$95.85						
NESTLE SPON ADR REP REG SHR (NSRGY)						
10/8/08	1,000	39,669	39.67	77.67	38.00	LJ
8/19/09	35,000	40,181	1,406.35	2,718.45	1,312.10	LJ
10/26/10	18,000	54,180	975.24	1,398.06	422.52	LJ
2/8/13	4,000	69,730	278.92	310.68	31.76	LJ
6/18/13	1,000	67,600	67.60	77.67	10.07	LJ
6/18/13	21,000	67,600	1,419.60	1,631.07	211.47	LJ
Total	80,000	4,187.38	6,213.60	2,026.22	LJ	
				162.00		2.60

Share Price: \$1.575; Next Dividend Payment 05/20/13					
NETFLIX INC (NFLX)					
5/1/14	10,000	334,685	3,346.85	4,405.00	1,059.15 ST
5/20/14	10,000	367,592	3,675.92	4,405.00	730.08 ST
Total	20,000		7,022.77	8,812.00	1,789.23 ST
 Share Price: \$440.600					
NEUSTAR CLA A COM STK (NSR)					
4/14/14	62,000	27,702	1,717.63	1,613.24	(104.29) ST
4/17/14	58,000	29,292	1,698.94	1,509.16	(189.78) ST
Total	120,000		3,416.47	3,122.40	(294.07) ST

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Account Detail

Select "Main" Ar-Hue Assets Account

EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
NEWS CORPORATION CL B (NWS)	7/2/13	202,000	15.049	3,039.92	3,524.90	484.98 ST		
	7/11/13	203,000	15.456	3,137.49	3,542.35	404.86 ST		
Total		405,000		6,177.41	7,067.25	889.84 ST		
Share Price: \$17.450								
NEXTERA ENERGY INC COM (NEE)	4/18/12	59,000	62.972	3,715.34	6,046.32	2,330.98 LT		
	7/12/12	21,000	68.585	1,440.28	2,152.08	711.80 LT		
	1/17/13	6,000	71.200	427.20	614.88	187.68 LT		
	2/8/13	4,000	72.020	288.08	409.92	121.84 LT		
Total		90,000		5,870.90	9,223.20	3,352.30 LT	261.00	2.82
Share Price: \$102.480; Next Dividend Payable 09/2014								
NIELSEN HOLDINGS NV (NLSN)	9/17/13	91,000	35.923	3,268.95	4,405.31	1,136.36 ST		
	9/17/13	7,000	35.923	251.46	338.87	87.41 ST		
	11/14/13	24,000	39.975	959.41	1,161.84	202.43 ST		
	11/22/13	16,000	41.293	660.68	774.56	113.88 ST		
	1/13/14	34,000	43.861	1,491.27	1,645.94	154.67 ST		
	2/5/14	28,000	42.343	1,185.59	1,355.48	169.89 ST		
	5/1/14	70,000	47.135	3,299.47	3,388.70	89.23 ST		
Total		270,000		11,116.83	13,070.70	1,953.87 ST	270.00	2.06
Share Price: \$48.410; Next Dividend Payable 09/2014								
NIKE INC B (NKE)	10/11/13	54,000	73.563	3,972.38	4,187.70	215.32 ST		
	10/18/13	16,000	75.759	1,212.14	1,240.80	28.66 ST		
	11/14/13	26,000	78.507	2,041.19	2,016.30	(24.89) ST		
Total		96,000		7,225.71	7,444.80	219.09 ST	92.00	1.23
Share Price: \$77.550; Next Dividend Payable 07/07/14								
NORDEA NK SWEDEN AB (PUBL) ADR (NRBAV)	7/6/11	76,000	10.849	824.53	1,065.52	240.99 LT		
	7/18/11	100,000	9.781	978.09	1,402.00	423.91 LT		
	1/27/12	48,000	8.700	417.60	672.96	255.36 LT		
	1/28/13	361,000	10.430	3,765.23	5,061.22	1,295.99 LT		
	2/8/13	25,000	11.430	285.75	350.50	64.75 LT		
	2/19/13	20,000	11.790	235.80	280.40	44.60 LT		
	6/21/13	110,000	11.529	1,268.23	1,542.20	273.97 LT		
Total		740,000		7,775.23	10,374.80	2,599.57 LT	292.00	2.81
Share Price: \$14.020								
NOVARTIS AG ADR (NVS)	7/11/07	15,000	55.270	829.05	1,357.95	528.90 LT		
	2/8/11	25,000	56.342	1,464.88	2,353.78	888.90 LT		
	3/22/11	16,000	54.922	878.75	1,448.48	569.73 LT		

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Account Detail

Morgan Stanley

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Select UMA Accounts Account

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/2/20/11	25,000	56,399	1,409,97	2,263,25	853,28 LT		
	1/7/13	3,000	64,210	192,63	271,59	78,96 LT		
	1/17/13	2,000	66,060	132,12	181,06	48,94 LT		
	2/8/13	8,000	68,310	546,48	724,24	177,76 LT		
	6/17/13	25,000	73,051	1,826,27	2,263,25	436,98 LT		
Total		120,000		7,280,15	10,863,60	3,583,45 LT	281,00	2.58
Share Price: \$90.530								
NXP SEMICONDUCTORS NV (NXP)	6/15/12	58,000	20,458	1,186,56	3,838,44	2,651,88 LT		
	6/22/12	32,000	21,418	685,36	2,117,76	1,432,40 LT		
	6/29/12	54,000	23,130	1,249,03	3,573,72	2,324,69 LT		
	1/7/13	14,000	27,220	381,08	926,52	545,44 LT		
	2/8/13	10,000	31,910	319,10	661,80	342,70 LT		
	2/19/13	10,000	32,750	327,50	661,80	334,30 LT		
Total		178,000		4,148,63	11,780,04	7,631,41 LT	—	—
Share Price: \$66.180								
Occidental Petroleum Corp DE (OXY)	1/28/13	92,000	83,910	7,719,71	9,441,96	1,722,25 LT		
	2/8/13	8,000	88,310	706,48	821,04	114,56 LT		
	2/19/13	10,000	85,910	859,10	1,026,30	167,20 LT		
	2/23/13	40,000	95,281	3,811,22	4,105,20	293,98 LT H		
	6/12/14	20,000	101,551	2,031,01	2,052,60	21,59 ST		
Total		170,000		15,127,52	17,447,10	2,297,99 LT	—	—
Share Price: \$102.630; Next Dividend Payable 07/15/14; Basis Adjustment Due to Wash Sale: \$184.05								
Omnicom Group (OMC)	1/22/09	26,000	25,087	652,26	1,851,72	1,199,46 LT		
	2/19/09	23,000	25,219	580,04	1,638,06	1,058,02 LT		
	2/19/09	24,000	25,254	606,10	1,709,28	1,103,18 LT		
	5/25/10	57,000	36,621	2,087,37	4,059,54	1,972,17 LT		
	9/9/11	24,000	37,974	911,38	1,709,28	797,90 LT		
	9/30/11	22,000	37,378	822,32	1,566,84	744,52 LT		
	10/19/12	26,000	48,943	1,272,53	1,851,72	579,19 LT		
	1/7/13	8,000	51,200	409,60	569,76	160,16 LT		
	1/17/13	3,000	53,290	159,87	213,66	53,79 LT		
	2/8/13	7,000	54,930	384,51	498,54	114,03 LT		
	2/19/13	10,000	57,270	572,70	712,20	139,50 LT		
Total		230,000		8,458,68	16,380,60	7,921,92 LT	460,00	2.80
Share Price: \$71.220; Next Dividend Payable 07/10/14								

Share Price: \$102.630; Next Dividend Payable 07/15/14; Basis Adjustment Due to Wash Sale: \$184.05

OMNICOM GROUP (OMC)	1/22/09	26,000	25,087	652,26	1,851,72	1,199,46 LT		
	2/19/09	23,000	25,219	580,04	1,638,06	1,058,02 LT		
	2/19/09	24,000	25,254	606,10	1,709,28	1,103,18 LT		
	5/25/10	57,000	36,621	2,087,37	4,059,54	1,972,17 LT		
	9/9/11	24,000	37,974	911,38	1,709,28	797,90 LT		
	9/30/11	22,000	37,378	822,32	1,566,84	744,52 LT		
	10/19/12	26,000	48,943	1,272,53	1,851,72	579,19 LT		
	1/7/13	8,000	51,200	409,60	569,76	160,16 LT		
	1/17/13	3,000	53,290	159,87	213,66	53,79 LT		
	2/8/13	7,000	54,930	384,51	498,54	114,03 LT		
	2/19/13	10,000	57,270	572,70	712,20	139,50 LT		
Total		230,000		8,458,68	16,380,60	7,921,92 LT	460,00	2.80

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Select UMA Active Assets Account

EARL L CARTER

Account Detail

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
OWENS & MINOR INC NEW (OMI)	7/11/07	167,000	23.562	3,951.53	5,674.66	1,723.13 LT		
9/16/10	40,000	26.555	1,062.20	1,359.20	297.00 LT			
9/17/10	68,000	26.759	1,819.63	2,310.64	491.01 LT			
Total	275,000		6,833.36	9,344.50		2,511.14 LT	275.00	2.94
<i>Share Price: \$333.980; Next Dividend Payable 09/2014</i>								
PARTNER COMM CO LTD ADR (PTNR)	9/1/912	520,000	5.132	2,668.59	4,061.20	1,392.61 LT		
17/13	130,000	6.018	782.37	1,015.30	232.93 LT			
2/8/13	90,000	5.600	504.00	702.90	198.90 LT			
Total	740,000		3,954.96	5,779.40		1,824.44 LT	—	—
<i>Share Price: \$7.810</i>								
PATTERSON COMPANIES INC (PDCO)	11/18/13	222,000	43.352	9,624.06	8,771.22	(852.84) ST	178.00	2.02
<i>Share Price: \$39.510; Next Dividend Payable 07/2014</i>								
PENTAIR PLC (PNR)	6/27/13	35,000	58.317	2,041.08	2,548.11	507.03 LT		
6/27/13	35,000	58.317	2,041.08	2,548.11	507.03 LT			
7/12/13	18,000	60.851	1,095.31	1,310.46	215.15 ST			
7/12/13	18,000	60.851	1,095.31	1,310.46	215.15 ST			
10/1/13	16,000	65.808	1,052.93	1,164.85	111.92 ST			
10/1/13	16,000	65.808	1,052.93	1,164.85	111.92 ST			
Total	138,000		8,378.64	10,046.84		1,014.06 LT	138.00	1.37
<i>Share Price: \$72.803</i>								
PERNOD RICARD SA UNISONS ADR (PDRDY)	6/21/12	210,000	20.335	4,270.25	5,050.50	780.25 LT		
1/17/13	3,000	24.697	74.09	72.15	(1.94) LT			
2/8/13	27,000	24.559	663.09	649.35	(13.74) LT			
Total	240,000		5,007.43	5,772.00		764.57 LT	81.00	1.40
<i>Share Price: \$24.050</i>								
PFIZER INC (PFE)	8/5/09	17,000	15.707	267.02	504.56	237.54 LT		
10/7/09	88,000	16.690	1,468.72	2,611.84	1,143.12 LT			
11/11/09	93,000	17.690	1,645.16	2,760.24	1,115.08 LT			
9/29/10	70,000	17.446	1,221.24	2,077.60	856.36 LT			
1/5/11	100,000	17.961	1,796.08	2,968.00	1,171.92 LT			
2/8/13	27,000	26.836	724.57	801.36	76.79 LT			
2/19/13	10,000	27.630	276.30	295.80	20.50 LT			
6/18/13	50,000	29.249	1,462.47	1,484.00	21.53 LT			
11/4/13	65,000	31.250	2,031.25	1,929.20	(102.05) ST			

Morgan Stanley

Account Detail

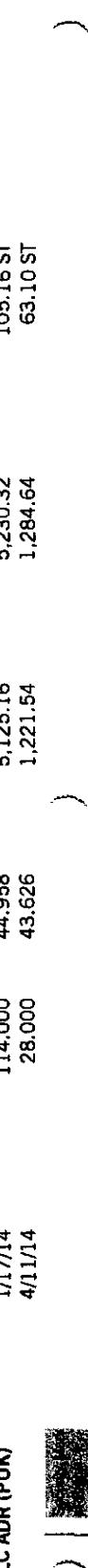
Select U/M/ Assets Account

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	520,000		10,892.81	15,433.60	4,642.84 LT (102.05) ST	541.00	3.50
Share Price: \$29.680; Next Dividend Payable 09/2014								
PHILLIPS 66 COM (PSX)	8/7/12	18,000	40.531	729.56	1,447.74	718.18 LT		
	1/7/13	4,000	51.498	205.99	321.72	115.73 LT		
	1/17/13	4,000	55.100	220.40	321.72	101.32 LT		
	1/28/13	34,000	57.494	1,954.79	2,734.62	779.83 LT		
	2/8/13	2,000	63.950	127.90	160.86	32.96 LT		
	8/13/13	28,000	58.289	1,632.09	2,252.04	619.95 ST		
Total		90,000		4,870.73	7,238.70	1,748.02 LT	180.00	2.48
Share Price: \$80.430; Next Dividend Payable 09/2014								
PNC FINL SVCS GP (PNC)	1/28/13	69,000	62.213	4,292.69	6,144.45	1,861.76 LT		
	2/8/13	6,000	63.437	380.62	534.30	153.68 LT		
	3/31/14	39,000	87.093	3,396.61	3,472.95	76.34 ST		
Total		114,000		8,069.92	10,151.70	2,005.44 LT	219.00	2.15
Share Price: \$89.050; Next Dividend Payable 08/2014								
PRECISION CASTPARTS CORP (PCP)	9/30/11	2,000	156.203	312.41	504.80	192.39 LT		
	1/1/21/11	6,000	158.247	949.48	1,514.40	564.92 LT		
	1/2/15/11	7,000	155.317	1,087.22	1,766.80	679.58 LT		
	6/29/12	5,000	165.302	826.51	1,262.00	435.49 LT		
	8/15/12	5,000	160.256	801.28	1,262.00	460.72 LT		
	1/17/13	3,000	185.690	557.07	757.20	200.13 LT		
	2/8/13	2,000	190.400	380.80	504.80	124.00 LT		
	4/8/13	11,000	182.822	2,011.04	2,776.40	765.36 LT		
	5/8/14	6,000	255.113	1,530.68	1,514.40	(16.28) ST		
Total		47,000		8,456.49	11,862.80	3,422.59 LT	6.00	0.05
Share Price: \$252.400; Next Dividend Payable 09/2014								
PRIMERICA INC (PRI)	4/28/14	110,000	45.038	4,954.21	5,263.50	309.29 ST		
	5/20/14	33,000	43.228	1,426.54	1,579.05	152.51 ST		
	5/21/14	83,000	43.613	3,619.85	3,971.55	351.70 ST		
Total		226,000		10,000.60	10,814.10	813.50 ST	108.00	0.99
Share Price: \$47.850; Next Dividend Payable 09/2014								
PRUDENTIAL PLC ADR (PUK)	1/17/14	114,000	44.958	5,125.16	5,230.32	105.16 ST		
	4/11/14	28,000	43.626	1,221.54	1,284.64	63.10 ST		



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Account Detail

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Income	Dividend Yield %
Share Price: \$45.880								
PUBLIC STORAGE (PSA)	8/12/09	2,000	73.838	147.68	342.70	195.02 LT		
	8/17/09	1,000	67.870	67.87	171.35	103.48 LT		
	8/19/09	1,000	66.818	66.82	171.35	104.53 LT		
	8/19/09	20,000	66.818	1,336.35	3,427.00	2,090.65 LT		
	8/19/09	1,000	66.818	66.82	171.35	104.53 LT		
	8/19/09	1,000	66.818	66.82	171.35	104.53 LT		
	12/10/10	7,000	99.771	698.40	1,199.45	501.05 LT		
	7/12/12	9,000	145.533	1,309.80	1,542.15	232.35 LT		
	8/7/12	1,000	145.910	145.91	171.35	25.44 LT		
	8/7/12	12,000	145.906	1,750.87	2,056.20	305.33 LT		
	1/7/13	1,000	146.230	146.23	171.35	25.12 LT		
	1/7/13	4,000	146.105	584.42	685.40	100.98 LT		
	1/17/13	3,000	148.670	446.01	514.05	68.04 LT		
	1/17/13	1,000	148.610	148.61	171.35	22.74 LT		
	2/8/13	4,000	156.920	627.68	685.40	57.72 LT		
	2/8/13	2,000	156.920	313.84	342.70	28.86 LT		
	10/16/13	12,000	170.486	2,045.83	2,056.20	10.37 ST		
	10/16/13	9,000	170.486	1,534.37	1,542.15	7.78 ST		
	Total	91,000	11,504.33	15,592.85	4,070.37 LT	510.00	3.27	
Share Price: \$171.350; Next Dividend Payable 09/20/2014					18.15 ST			
PUBLICIS GROUPE SA ADS (PUBGNY)	4/5/13	180,000	17.538	3,156.85	3,808.80	651.95 LT		
	6/3/13	125,000	18.028	2,253.48	2,645.00	391.52 LT		
	5/29/14	110,000	21.375	2,351.21	2,327.60	(23.61) ST		
	Total	415,000	7,761.54	8,781.40	1,043.47 LT			
Share Price: \$21.760					(23.61) ST	101.00	1.15	
QUALCOMM INC (QCOM)	12/19/12	34,000	65.007	2,210.23	2,692.80	482.57 LT H		
	1/7/13	7,000	66.123	462.86	554.40	91.54 LT H		
	1/7/13	1,000	66.130	66.13	79.20	13.07 LT H		
	1/17/13	1,000	67.370	67.37	79.20	11.83 LT H		
	10/16/13	61,000	68.853	4,200.05	4,831.20	631.15 ST		
	3/13/14	22,000	76.623	1,685.71	1,742.40	56.69 ST		
	4/11/14	54,000	77.776	4,199.93	4,276.80	76.87 ST		

Morgan Stanley**Account Detail**

Select UMA Active Assets Account

EARL L CARTER

STOCKS**COMMON STOCKS (CONTINUED)**

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
QUESTAR CORP (STR)	Total	180,000		12,892.28	14,256.00		599,01 LT	303.00

*Share Price: \$79.200; Next Dividend Payable 09/2014; Basis Adjustment Due to Wash Sale: \$101.99***QUESTAR CORP (STR)**

7/29/11	4,000	18.568	74.27	99.20	24.93 LT	
1/24/12	36,000	19.478	701.20	892.80	191.60 LT	
8/20/13	320,000	22.842	7,309.28	7,936.00	626.72 ST	
1/28/14	140,000	23.197	3,247.58	3,472.00	224.42 ST	
Total	500,000		11,332.33	12,400.00	216.53 LT	
					380.00	3.06

*Share Price: \$24.800; Next Dividend Payable 09/2014***REALTY INCOME CORP (O)***Share Price: \$44.420; Next Dividend Payable 07/15/14***RED HAT INC (RHT)**

7/11/07	66,000	22.472	1,483.12	2,931.72	1,448.60 LT R
1/21/14	72,000	59.098	4,255.09	3,979.44	(275.65) ST
					145.00

*Share Price: \$55.270***REED ELSEVIER PLC - SPONS ADR (RUK)**

1/16/09	26,000	32.070	833.81	1,681.94	848.13 LT
3/25/10	18,000	31.361	564.49	1,164.42	599.93 LT
8/8/11	42,000	31.084	1,305.54	2,716.98	1,411.44 LT
1/7/13	4,000	41.890	167.56	258.76	91.20 LT
2/8/13	10,000	43.920	439.20	646.90	207.70 LT
4/2/13	78,000	47.953	3,740.30	5,045.82	1,305.52 LT
Total	178,000		7,050.90	11,514.82	4,463.92 LT
					289.00

*Share Price: \$64.690***REGIONS FINANCIAL CORP NEW (RF)**

2/23/12	220,000	5.747	1,264.39	2,336.40	1,072.01 LT
3/20/12	170,000	6.420	1,091.38	1,805.40	714.02 LT
10/31/12	150,000	6.513	976.92	1,593.00	616.08 LT
1/7/13	70,000	7.458	522.04	743.40	221.36 LT
1/17/13	22,000	7.539	165.85	233.64	67.79 LT
2/8/13	58,000	7.928	459.82	615.96	156.14 LT
3/31/14	160,000	11.075	1,772.00	1,699.20	(72.80) ST
Total	850,000		6,252.40	9,027.00	2,847.40 LT
					170.00

Share Price: \$10.620; Next Dividend Payable 07/01/14	REYNOLDS AMERICAN INC (RAI)	12/4/13	41,000	50.641	2,076.30	2,474.35	398.05 ST
		12/26/13	61,000	49.499	3,019.42	3,681.35	661.93 ST

*Share Price: \$10.620; Next Dividend Payable 07/01/14***REYNOLDS AMERICAN INC (RAI)****6/12/14****30,000****59.426****1,782.79****1,810.50****27.71 ST**



Account Detail

STOCKS
COMMON STOCKS (CONTINUED)
Select UMA Active Assets Account
632-096972-522
EARL L CARTER

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$60.350; Next Dividend Payable 07/01/14								
RLI CORP (RLI)	8/3/09	40,000	24.828	993.10	1,831.20	838.10 LT		
	9/14/09	17,000	25.991	4,418.48	7,782.60	3,364.12 LT		
	6/17/11	64,000	29.986	1,919.10	2,929.92	1,010.82 LT		
	6/23/11	68,000	29.931	2,035.29	3,113.04	1,077.75 LT		
Total		342,000		9,365.97	15,656.76		246.00	1.57
Share Price: \$45.780; Next Dividend Payable 09/12/14								
ROCHE HOLDINGS ADR (RHHBY)	10/21/08	104,000	18.847	1,960.04	3,879.20	1,919.16 LT		
	11/21/08	56,000	15.957	893.60	2,088.80	1,195.20 LT		
	11/25/08	60,000	17.156	1,029.36	2,238.00	1,208.64 LT		
	4/26/10	70,000	20.139	1,409.70	2,611.00	1,201.30 LT		
	7/23/10	54,000	16.122	870.57	2,014.20	1,143.63 LT		
	1/27/12	36,000	21.565	776.34	1,342.80	566.46 LT		
	1/7/13	20,000	25.795	515.90	746.00	230.10 LT		
	1/17/13	12,000	27.110	325.32	447.60	122.28 LT		
	1/28/13	32,000	27.321	874.28	1,193.60	319.32 LT		
	1/28/13	146,000	27.321	3,988.88	5,445.80	1,456.92 LT		
	2/8/13	2,000	27.525	55.05	74.60	19.55 LT		
	2/8/13	8,000	27.495	219.96	298.40	78.44 LT		
	2/19/13	6,000	28.615	171.69	223.80	52.11 LT		
	2/19/13	14,000	28.615	400.61	522.20	121.59 LT		
	4/19/13	24,000	30.637	735.28	895.20	159.92 LT		
	4/19/13	126,000	30.637	3,860.24	4,699.80	839.56 LT		
	1/22/14	20,000	34.274	685.47	746.00	60.53 ST		
	1/22/14	50,000	34.273	1,713.67	1,865.00	151.33 ST		
	4/8/14	164,000	36.505	5,986.85	6,117.20	130.35 ST		
	4/8/14	1,000	36.510	36.51	37.30	0.79 ST		
Total		1,005,000		26,509.32	37,486.50		920.00	2.45
Share Price: \$37.300								
ROCKWELL AUTOMATION INC (ROK)	1/29/14	50,000	115.236	5,761.81	6,258.00		496.19 ST	
	Share Price: \$125.160; Next Dividend Payable 09/20/14							
RYMAN HOSPITALITY PTYS INC (RHP)	8/7/13	91,000	34.748	3,162.09	4,381.65	1,219.56 ST R		
	8/16/13	47,000	34.509	1,621.92	2,263.05	641.13 ST R		
	8/29/13	50,000	33.396	1,669.80	2,407.50	737.70 ST R		

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Account Detail

Select UMA Active Assets Account

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Dividend Yield %
Share Price: \$48,150; Next Dividend Payable 07/15/14								
SABMILLER PLC SPONS ADR (SBMRY)								
2/7/13	118,000	50.310	5,936.56	6,907.72	971.16 LT			
2/8/13	2,000	50.530	101.06	117.08	16.02 LT			
2/19/13	10,000	50.330	503.30	585.40	82.10 LT			
Total	130,000		6,540.92	7,610.20		1,069.28 LT	230.00	3.02
Share Price: \$58,540								
SAFRAN SA (SAFRY)								
10/15/12	256,000	9.624	2,463.62	4,169.73	1,706.11 LT			
1/7/13	4,000	10.828	43.31	65.15	21.84 LT			
1/17/13	20,000	11.323	226.45	325.76	99.31 LT			
Total	280,000		2,733.38	4,560.64		1,827.26 LT	80.00	1.75
Share Price: \$16,288								
SALESFORCE.COM,INC. (CRM)								
1/14/10	6,000	17.388	104.33	348.48	244.15 LT			
10/12/10	40,000	25.650	1,025.98	2,323.20	1,297.22 LT			
11/23/11	28,000	26.561	743.72	1,626.24	882.52 LT			
3/19/12	8,000	37.551	300.41	464.64	164.23 LT			
1/17/13	4,000	43.063	172.25	232.32	60.07 LT			
1/29/13	12,000	43.718	524.61	696.96	172.35 LT			
7/30/13	130,000	44.314	5,760.85	7,550.40	1,789.55 ST			
4/11/14	22,000	53.066	1,167.46	1,277.76	110.30 ST			
Total	250,000		9,799.61	14,520.00		2,820.54 LT	—	—
Share Price: \$58,080								
SANOFI ADR (SNY)								
2/11/13	61,000	48.151	2,937.21	3,243.37	306.16 LT			
2/14/13	24,000	48.497	1,163.92	1,276.08	112.16 LT			
3/11/13	42,000	49.366	2,073.36	2,233.14	159.78 LT			
3/25/13	111,000	50.110	5,562.16	5,901.87	339.71 LT			
3/25/13	2,000	50.110	100.22	106.34	6.12 LT			
3/25/13	35,000	50.110	1,753.84	1,860.95	107.11 LT			
4/19/13	28,000	53.026	1,484.74	1,488.76	4.02 LT			
4/19/13	2,000	53.025	106.05	106.34	0.29 LT			
6/11/13	29,000	53.135	1,540.91	1,541.93	1.02 LT			
6/11/13	1,000	53.130	53.13	53.17	0.04 LT			
10/31/13	2,000	53.535	107.07	106.34	(0.73) ST			
10/31/13	18,000	53.535	963.63	957.06	(6.57) ST			
2/10/14	10,000	49.237	492.37	531.70	39.33 ST			

CLIENT STATEMENT | For the Period June 1-30, 2014

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Account Detail

Select UMA Article Award Recipient
EARL CARTER

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Dividend Yield %
	1/27/12	10,000	72.560	725,60	1,077.30	351.70 LT		
	12/13/12	4,000	84.218	336.87	430.92	94.05 LT		
	1/7/13	2,000	84.665	169.33	215.46	46.13 LT		
	1/7/13	4,000	86.170	344.68	430.92	86.24 LT		
	2/8/13	11,000	90.681	997.49	1,185.03	187.54 LT		
Total		95,000	6,089.74	10,234.35		4,144.61 LT	175.00	1.70

SILVERLINE

SONOWA HLDG AG UH

	6/18/13	125,000	23,159	2,894.91	3,813.75	918.84 LT	
Total		190,000		4,407.02	5,796.90	1,389.88 LT	47.00
STARBUCKS CORP WASHINGTON (SBUX)							
8/15/11	36,000	38,423	1,383.23	2,785.68	1,402.45 LT		
5/14/12	24,000	54,152	1,299.64	1,857.12	557.48 LT		
5/18/12	14,000	52,384	733.38	1,083.32	349.94 LT		
8/14/12	22,000	46,660	1,026.52	1,702.36	675.84 LT		
1/7/13	10,000	55,580	555.80	773.80	218.00 LT		
2/8/13	10,000	56,320	563.20	773.80	210.60 LT		
2/19/13	10,000	54,450	544.60	773.80	229.20 LT		
5/23/14	22,000	72,028	1,584.61	1,702.36	117.75 ST		
Total	148,000		7,690.98	11,452.24	3,643.51 LT		
					117.75 ST	154.00	1.34

Share Price: \$77.380; Next Dividend Payable 08/2014

STRYKER CORP (SYK)

<u>4/19/13</u>	29,000	64,233	1,862.77	2,445.28	582.51 LT		
Total	88,000		5,672.90	7,420.16	1,747.26 LT	107.00	1.44

Share Price: \$84.320; **Next Dividend Payable** 07/31/14

Account Detail

Morgan Stanley

Select UMA - Asset Account

EARL L CARTER

STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	43,000		4,756.37	10,126.07	3,959.04 LT 1,410.66 ST	26.00	0.25
Share Price: \$235.490								
SIGNATURE BANK (SBNY)	5/21/14	40,000	113.447	4,537.88	5,047.20	509.32 ST		
Share Price: \$126.180							4.00	0.79
SILVER BAY REALTY TRUST CP (SBY)	1/1/2013	31,000	15.645	485.01	505.92	20.91 ST		
Share Price: \$16.320; Next Dividend Payable 07/11/14	Total							
SILVER WHEATON CORP (SLW)	3/23/10	29,000	15.744	456.58	761.83	305.25 LT		
1/28/13	67,000	34.018	2,279.19	1,760.09	(519.10) LT			
2/8/13	14,000	37.037	518.52	367.78	(150.74) LT			
2/19/13	10,000	34.570	345.70	262.70	(83.00) LT			
Total	120,000		3,599.99	3,152.40	(447.59) LT			
Share Price: \$26.270; Next Dividend Payable 08/2014							34.00	1.07
SIMON PPTY GROUP INC (SPG)	3/12/12	13,000	129.660	1,685.58	2,161.64	476.06 LT		
3/16/12	2,000	133.000	266.00	332.56	66.56 LT			
3/16/12	4,000	133.003	532.01	665.12	133.11 LT			
3/16/12	12,000	133.002	1,596.02	1,995.36	399.34 LT			
1/7/13	1,000	150.120	150.12	166.28	16.16 LT			
1/7/13	2,000	150.125	300.25	332.56	32.31 LT			
1/7/13	3,000	150.070	450.21	498.84	48.63 LT			
1/17/13	3,000	150.670	452.01	498.84	46.83 LT			
1/17/13	4,000	150.670	602.68	665.12	62.44 LT			
2/8/13	6,000	152.560	915.36	997.68	82.32 LT			
2/8/13	7,000	152.541	1,067.79	1,163.96	96.17 LT			
10/16/13	6,000	147.408	884.45	997.68	113.23 ST			
10/16/13	41,000	147.408	6,043.73	6,817.48	773.75 ST			
3/31/14	12,000	154.721	1,856.65	1,995.36	138.71 ST			
Total	116,000		16,802.86	19,288.48	1,459.93 LT 1,025.69 ST			
Share Price: \$166.280; Next Dividend Payable 08/2014							604.00	3.13
SMC CORP JAPAN SPONSORED ADR (SMCAY)	6/3/14	380,000	13.644	5,184.61	5,065.40	(119.21) ST		
Share Price: \$13.330								
SODEXO (SDXAY)	5/26/09	35,000	50.367	1,762.86	3,770.55	2,007.69 LT		
11/13/09	23,000	58.313	1,341.21	2,477.79	1,1136.58 LT			
3/18/11	6,000	68.617	411.70	646.38	234.68 LT			

Morgan Stanley

Select UMA Active Assets Account EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Income	Dividend Yield %
Share Price: \$18.800								
SEMPRA ENERGY (SRE)								
	Total	715.000		9,021.75	13,442.00		4,420.25 LT	215.00 1.59
	1/27/12	75.000	57.784	4,333.79	7,853.25	3,519.46 LT		
	1/7/13	5,000	72.000	360.00	523.55	163.55 LT		
	1/17/13	1,000	72.830	72.83	104.71	31.88 LT		
	2/8/13	9,000	75.920	683.28	942.39	259.11 LT		
	Total	90,000	5,449.90	9,423.90	3,974.00 LT		238.00	2.52
Share Price: \$104.710; Next Dividend Payable 07/15/14								
SENIOR HSG PPTY TR SBI (SNH)								
	1/2/12/11	68.000	20.423	1,388.79	1,651.72	262.93 LT R		
	1/7/13	2,000	24.130	48.26	48.58	0.32 LT R		
	1/17/13	6,000	24.062	144.37	145.74	1.37 LT R		
	2/8/13	9,000	24.176	217.58	218.61	1.03 LT R		
	Total	85,000	1,799.00	2,064.65	265.65 LT		133.00	6.44
Share Price: \$24.290; Next Dividend Payable 08/2/2014								
SENSATA TECHNOLOGIES (ST)								
	1/14/14	130,000	38.099	4,952.92	6,081.40	1,128.48 ST		
	5/23/14	48,000	42.750	2,052.00	2,245.44	193.44 ST		
	Total	178,000	7,004.92	8,326.84	1,321.92 ST		—	—
Share Price: \$46.780								
SERVICENOW INC (NOW)								
	4/10/14	58,000	50.899	2,952.13	3,593.68	641.55 ST		
	5/22/14	23,000	50,035	1,150.81	1,425.08	274.27 ST		
	Total	81,000	4,102.94	5,018.76	915.82 ST		—	—
Share Price: \$61.960								
SGS SA ADR (SGSVA)								
	8/19/09	95,000	12.122	1,151.59	2,274.30	1,122.71 LT		
	9/1/09	50,000	12.511	625.56	1,197.00	571.44 LT		
	1/1/20/09	62,000	12.767	791.56	1,484.28	692.72 LT		
	12/16/09	106,000	12.846	1,361.69	2,537.64	1,175.95 LT		
	1/7/13	57,000	22.390	1,276.23	1,364.58	88.35 LT		
	1/17/13	20,000	22.450	449.00	478.80	29.80 LT		
	2/8/13	20,000	24.690	493.80	478.80	(15.00) LT		
	2/19/13	20,000	25.250	505.00	478.80	(26.20) LT		
	Total	430,000	6,654.43	10,294.20	3,639.77 LT		185.00	1.79
Share Price: \$23.940								
SHIRE PLC ADR (SHPG)								
	6/3/13	26,000	99.029	2,574.76	6,122.74	3,547.98 LT		
	6/4/13	3,000	98.470	295.41	706.47	411.06 LT		
	11/4/13	14,000	134,729	1,886.20	3,296.86	1,410.66 ST		

Account Detail

Morgan Stanley

Select UMA Active Assets Account

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	365.000		18,338.61	19,407.05	1,036.41 LT	481.00	2.47
Share Price: \$53.170						32.03 ST		
SANTEN PHARMACEUTICAL CO UNSP (SNPHY)	6/3/14	184.000	28.988	5,333.79	5,164.88	(168.91) ST		
Share Price: \$28.070						72.00		1.39
SAP AG (SAP)	7/1/07 10/16/08 1/7/13 1/17/13 2/8/13 2/19/13 Total	50.000 12.000 3.000 7.000 3.000 5.000 80.000	50.207 34.963 79.750 77.540 79.960 80.380 401.90	2,510.36 419.56 239.25 542.78 239.88 401.90 4,353.73	3,850.00 924.00 231.00 539.00 231.00 385.00 6,160.00	1,339.64 LT 504.44 LT (8.25) LT (3.78) LT (8.88) LT (16.90) LT 1,806.27 LT	1,339.64 LT 504.44 LT (8.25) LT (3.78) LT (8.88) LT (16.90) LT 143.00	2.32
Share Price: \$77.000								
SBA COMMUNICATIONS CORP (SBAC)	4/29/14 5/6/14 Total	50.000 12.000 62.000	90.081 96.774 1,161.29	4,504.04 1,227.60	5,115.00 1,227.60	610.96 ST 66.31 ST		
Share Price: \$102.300						677.27 ST		
SCHLUMBERGER LTD (\$SLB)	1/28/13 2/8/13 2/8/13 2/19/13 2/19/13 4/19/13 4/19/13 Total	96.000 4.000 2.000 8.000 2.000 18.000 2.000 132.000	79.347 78.920 78.940 79.970 80.000 69.605 69.605 10.511	7,617.31 315.68 157.88 639.76 160.00 1,252.89 139.21 1,366.49	11,323.20 471.80 235.90 943.60 235.90 2,123.10 235.90 1,034.00	3,705.89 LT 156.12 LT 78.02 LT 303.84 LT 75.90 LT 870.21 LT 96.69 LT 1,077.51 LT		
Share Price: \$117.950, Next Dividend Payable 07/11/14								
SCHNEIDER ELEC SA UNSP ADR (SBGSY)	9/16/09 2/1/10 4/13/10 9/24/10 9/16/11 1/17/13 2/8/13 2/19/13 4/5/13	130.000 55.000 94.000 59.000 112.000 20.000 50.000 10.000 185.000	10.503 11.949 12.550 11.650 14.729 14.649 14.919 14.773	577.67 1,123.17 740.45 1,304.80 294.58 732.46 149.19 2,732.94	1,034.00 1,767.20 1,109.20 2,105.60 376.00 940.00 188.00 3,478.00	456.33 LT 644.03 LT 368.75 LT 800.80 LT 81.42 LT 207.54 LT 38.81 LT 745.06 LT		

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Morgan Stanley

Select Ultra Mutual Fund Accounts

EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Income	Dividend Yield %
SUN HYDRAULICS CORP (SNHY)	10/18/13	84,000	36.865	3,096.64	3,410.40	313.76 ST	30.00	0.87
Share Price: \$40.600; Next Dividend Payable 07/15/14								
SUNCOR ENERGY INC NEW COM (SU)	4/25/14	136,000	36.884	5,016.16	5,797.68	781.52 ST	117.00	2.01
Share Price: \$42.630; Next Dividend Payable 09/20/14								
SUNTRUST BKS (STI)	6/3/13	92,000	32.193	2,961.71	3,685.52	723.81 LT		
	6/18/13	46,000	31.828	1,464.08	1,842.76	378.68 LT		
	8/13/13	62,000	34.710	2,151.99	2,483.72	331.73 ST		
Total		200,000		6,577.78	8,012.00	1,102.49 LT	160.00	1.99
Share Price: \$40.060; Next Dividend Payable 09/20/14								
SVENSKA CELLULOSA B SP ADR (SVCBV)	10/15/12	136,000	17.883	2,432.09	3,534.64	1,102.55 LT		
	1/7/13	4,000	21.650	86.60	103.96	17.36 LT		
	1/17/13	6,000	23.060	138.36	155.94	17.58 LT		
	1/29/13	14,000	24.140	337.96	363.86	25.90 LT		
	4/24/14	66,000	28.114	1,855.54	1,715.34	(140.20) ST		
Total		226,000		4,850.55	5,873.74	1,163.39 LT	134.00	2.28
Share Price: \$25.990								
SYMANTEC CORP (SYMC)	6/20/12	76,000	14.911	1,133.21	1,740.40	607.19 LT		
	6/25/12	154,000	14.045	2,162.99	3,526.60	1,363.61 LT		
	1/7/13	20,000	19.570	391.40	458.00	66.60 LT		
	1/17/13	7,000	20.960	146.72	160.30	13.58 LT		
	2/8/13	13,000	22.660	294.58	297.70	3.12 LT		
	2/19/13	10,000	22.600	226.00	229.00	3.00 LT		
Total		280,000		4,354.90	6,412.00	2,057.10 LT	168.00	2.62
Share Price: \$22.900; Next Dividend Payable 09/20/14								
SYNTEL INC (SYNT)	7/11/07	84,000	30.106	2,528.87	7,220.64	4,691.77 LT R		
	3/7/08	66,000	23.104	1,524.86	5,673.36	4,148.50 LT R		
Total		150,000		4,053.73	12,894.00	8,840.27 LT		
Share Price: \$85.960								
TANGER FACTORY OUTLET CENTERS (SKT)	8/12/09	10,000	18.111	181.11	349.70	168.59 LT R		
	8/14/09	10,000	17.457	174.57	349.70	175.13 LT R		
	8/17/09	2,000	16.725	33.45	69.94	36.49 LT R		
	8/19/09	34,000	16.814	571.66	1,188.98	617.32 LT R		
	1/21/11	21,000	27.923	586.38	734.37	147.99 LT R		
	2/8/13	8,000	35.884	287.07	279.76	(7.31) LT R		

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CLIENT STATEMENT | For the Period June 1-30, 2014

Account Detail

Select UMA Active Assets Account

Select UMA Active Assets Account

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<i>Share Price: \$34.970; Next Dividend Payable 08/2014</i>								
THERMOM FISHER SCIENTIFIC (TMO)	8/17/11	18.000	54.428	979.70	2.124.00	1.144.30 LT		
	9/7/11	27.000	53.909	1.455.54	3.186.00	1.730.46 LT		
	1/28/13	24.000	70.846	1.700.31	2.832.00	1.131.69 LT		
	2/19/13	1.000	74.860	74.86	118.00	43.14 LT		
	5/9/14	32.000	116.730	3.735.36	3.776.00	40.64 ST		
Total		102.000		7.945.77	12.036.00	4.049.59 LT	61.00	0.50

Share Price: \$118.000; Next Dividend Payable 07/15/14

THOR INDUSTRIES INC (THO)	1/28/13	98,000	43,488	4,261.87	5,573.26	1,311.39 LT
	2/7/13	12,000	37,930	455.16	682.44	227.28 LT
	2/19/13	10,000	37,980	379.80	568.70	188.90 LT
Total		120,000		5,096.83	6,824.40	1,727.57 LT
						110.00 1.61
TJX COS INC NEW (TJX)	5/15/14	120,000	58,336	7,000.31	6,378.00	(622.31) ST
	6/12/14	36,000	55,149	1,985.35	1,913.40	(71.95) ST
Share Price: \$56.870; Next Dividend Payable 09/2014						

Total

Share Price: \$53.150; Next Dividend Payable 09/2014			
TOYOTA MOTOR CP ADR NEW (TM)	2/7/12	33.000	79.543
2/24/12	19.000	84.637	2,624.93
1/17/13	1.000	96.840	1,608.11
			2,273.54
			119.66
			1,323.85 LT
			665.43 LT
			22.82 LT
2/8/13	7.000	104.450	731.15
5/1/13	16.000	113.786	1,820.57
6/17/13	16.000	120.345	1,925.52
Total	92.000	8,807.12	11,008.72
			2,201.60 LT
			270.00
			2.45

TRIPADVISOR INC COM

Share Price: \$108,660			
UBS AG NEW (UBS)			
8/7/13	114,000	20,246	2,308.01
10/22/13	56,000	21,541	1,206.28
4/11/14	76,000	20,212	1,536.13
5/27/14	69,000	20,210	1,394.50
Total	315,000	6,444.92	5,770.80
			89.00
			1.54



Morgan Stanley

Select UMA Active Assets Account

EARL L CARTER

Account Detail

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
UNILEVER NV NY SH NEW (UN)								
2/6/09	5,000	21,741	108.70	218,80	110,10	LT		
3/24/09	26,000	19,653	51.98	1,137.76	626,78	LT		
1/27/12	90,000	33,315	2,998.31	3,938.40	940,09	LT		
1/27/12	17,000	33,315	566.35	743.92	177.57	LT		
1/7/13	5,000	38,180	190.90	218.80	27.90	LT		
1/7/13	9,000	38,190	343.71	393.84	50.13	LT		
1/17/13	5,000	38,780	193.90	218.80	24.90	LT		
1/17/13	13,000	38,780	504.14	568.88	64.74	LT		
2/8/13	10,000	39,090	390.90	437.60	46.70	LT		
2/8/13	12,000	39,098	469.18	525.12	55.94	LT		
Total	192,000		6,277.07	8,401.92	2,124.85	LT	242.00	2.88
<i>Share Price: \$43.760</i>								
UNION PACIFIC CORP (UNP)								
1/27/12	16,000	57,041	912.66	1,596.00	683.34	LT		
1/17/13	4,000	65,815	263.26	399.00	135.74	LT		
2/8/13	4,000	66,360	265.44	399.00	133.56	LT		
2/19/13	10,000	68,675	686.75	997.50	310.75	LT		
6/18/13	14,000	78,953	1,105.34	1,396.50	291.16	LT		
Total	48,000		3,233.45	4,788.00	1,554.55	LT	87.00	1.81
<i>Share Price: \$99.750; Next Dividend Payable 07/01/14</i>								
UNITED TECHNOLOGIES CORP (UTX)								
—	10,000	—	Please Provide	1,154.50	N/A			
7/12/07	1,000	73,520	73.52	115.45	41.93	LT		
12/12/07	1,000	77,110	77.11	115.45	38.34	LT		
4/7/08	1,000	72,430	72.43	115.45	43.02	LT		
12/15/10	23,000	79,200	1,821.59	2,655.35	833.76	LT		
4/18/12	13,000	81,349	1,057.54	1,500.85	443.31	LT		
1/7/13	2,000	86,490	172.98	230.90	57.92	LT		
2/8/13	4,000	90,070	360.28	461.80	101.52	LT		
3/25/13	16,000	92,533	1,480.53	1,847.20	366.67	LT		
Total	71,000		5,115.98	8,196.95	1,926.47	LT	168.00	2.04
<i>Share Price: \$115.450; Next Dividend Payable 09/2014</i>								
UNIVERSAL TECHNICAL INSTIT (UTI)								
5/21/08	27,000	11,999	323.98	327.78	3.80	LT		
2/12/09	38,000	11,716	445.19	461.32	16.13	LT		
2/19/09	36,000	11,576	416.73	437.04	20.31	LT		
2/20/09	24,000	11,636	279.27	291.36	12.09	LT		
1/28/13	33,000	11,140	367.62	400.62	33.00	LT		
2/8/13	2,000	11,550	23.10	24.28	1.18	LT		

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CLIENT STATEMENT | For the Period June 1-30, 2014

Account Detail

COMMON STOCKS (CONTINUED)								
Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Dividend Yield %
Share Price: \$12.140; Next Dividend Payable 09/20/14	Total	160,000		1,855.89	1,942.40	86.51 LT	64.00	3.29
UTD OVERSEAS BK LTD SPON ADR (UWEY)	1/20/12	51,000	26.804	1,366.99	1,845.69	478.70 LT		
	4/18/12	50,000	29.786	1,489.28	1,809.50	320.22 LT		
	1/7/13	5,000	31.950	159.75	180.95	21.20 LT		
	1/17/13	4,000	31.090	124.36	144.76	20.40 LT		
	2/8/13	6,000	30.980	185.88	217.14	31.26 LT		
	2/19/13	10,000	31.500	315.00	361.90	46.90 LT		
	Total	126,000		3,641.26	4,559.94	918.68 LT	140.00	3.07
Share Price: \$36.190								
UTI WORLDWIDE INC (UTIW)	10/16/12	84,000	13.548	1,138.07	868.56	(269.51) LT		
	11/8/12	80,000	13.754	1,100.32	827.20	(273.12) LT		
	11/14/12	48,000	13.222	634.65	496.32	(138.33) LT		
	11/15/12	36,000	13.068	470.45	372.24	(98.21) LT		
	12/7/12	77,000	12.530	964.80	796.18	(168.62) LT		
	1/7/13	15,000	13.570	203.55	155.10	(48.45) LT		
	1/17/13	13,000	14.720	191.36	134.42	(56.94) LT		
	2/8/13	17,000	15.055	255.94	175.78	(80.16) LT		
	2/19/13	10,000	15.750	157.50	103.40	(54.10) LT		
	Total	380,000		5,116.64	3,929.20	(1,187.44) LT	23.00	0.58
Share Price: \$10.340; Next Dividend Payable 08/20/14								
VENTAS INC (VTR)	7/11/07	36,000	36.750	1,323.00	2,307.60	984.60 LT		
	10/29/10	1,000	53.250	53.25	64.10	10.85 LT		
	4/1/11	4,000	54.490	217.96	255.40	38.44 LT		
	Total	41,000		1,594.21	2,628.10	1,033.89 LT	119.00	4.52
Share Price: \$64.100; Next Dividend Payable 09/20/14								
VERIZON COMMUNICATIONS (VZ)	3/25/13	102,000	49.132	5,011.48	4,990.86	(20.62) LT		
	3/13/14	146,000	46.337	6,765.26	7,143.78	378.52 ST		
	Total	248,000		11,776.74	12,134.64	(20.62) LT	526.00	4.33
Share Price: \$48.930; Next Dividend Payable 08/20/14								
VIACOM INC NEW CLASS B (VIAB)	6/1/11	33,000	50.864	1,678.50	2,862.09	1,183.59 LT		
	9/28/11	29,000	41.669	1,208.41	2,515.17	1,306.76 LT		
	1/7/13	7,000	56.100	392.70	607.11	214.41 LT		
	1/17/13	4,000	57.930	231.72	346.92	115.20 LT		
	2/8/13	10,000	59.370	593.70	867.30	273.60 LT		


Morgan Stanley
Account Detail

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STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<u>Share Price: \$86.730; Next Dividend Payable 07/01/14</u>								
VISA INC CL A (V)								
7/16/12	5,000	126.923	634.61	1,053.55	418.94 LT			
9/24/12	20,000	133.825	2,676.49	4,214.20	1,537.71 LT			
1/7/13	4,000	158.200	632.80	842.84	210.04 LT			
1/7/13	4,000	159.980	639.92	842.84	202.92 LT			
2/8/13	6,000	157.980	947.88	1,264.26	316.38 LT			
2/19/13	5,000	157.280	786.40	1,053.55	267.15 LT			
6/19/13	9,000	184.053	1,656.48	1,896.39	239.91 LT			
Total	53,000	7,974.58	11,167.63	3,193.05 LT			85.00	0.76
<u>Share Price: \$210.710; Next Dividend Payable 09/20/14</u>								
VOLKSWAGEN AG SPON ADR (VLKAY)								
8/13/13	87,000	47.573	4,138.85	4,510.95	372.10 ST			
6/27/14	31,000	51.799	1,605.76	1,607.35	1.59 ST			
Total	118,000	5,744.61	6,118.30	373.69 ST			95.00	1.55
<u>Share Price: \$51.850</u>								
VOLKSWAGEN AG SPONS ADR (VLKPY)								
1/23/13	76,000	48.943	3,719.68	3,986.20	266.52 LT			
2/8/13	4,000	48.350	193.40	209.80	16.40 LT			
2/19/13	5,000	48.620	243.10	262.25	19.15 LT			
6/7/13	67,000	43.610	2,921.86	3,514.15	592.29 LT			
Total	152,000	7,078.04	7,972.40	894.36 LT			124.00	1.55
<u>Share Price: \$52.450; Next Dividend Payable 05/20/15</u>								
VORNADO REALTY TRUST (VNO)								
11/20/13	21,000	89.614	1,881.89	2,241.33	359.44 ST			
<u>Share Price: \$106.730; Next Dividend Payable 08/20/14</u>								
WALT DISNEY CO HLDG CO (DIS)								
1/27/12	90,000	39.264	3,533.76	7,716.60	4,182.84 LT			
5/11/12	27,000	45.070	1,216.88	2,314.98	1,098.10 LT			
1/7/13	8,000	50.996	407.97	685.92	277.95 LT			
1/31/13	9,000	54.009	486.08	771.66	285.58 LT			
1/31/13	61,000	54.009	3,294.55	5,230.14	1,935.58 LT			
2/6/13	30,000	54.852	1,645.55	2,572.20	926.65 LT			
2/8/13	5,000	54.550	272.75	428.70	155.95 LT			
2/8/13	5,000	54.556	272.78	428.70	155.92 LT			
2/19/13	4,000	55.610	222.44	342.96	120.52 LT			
2/19/13	6,000	55.610	333.66	514.44	180.78 LT			
3/25/13	54,000	56.167	3,033.03	4,629.96	1,596.93 LT			
3/25/13	1,000	56.170	56.17	85.74	29.57 LT			
6/4/14	10,000	83.595	835.95	857.40	21.45 ST			

Account Detail

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STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Dividend Yield %
Total		310,000		15,611.58	26,579.40	10,946.37 LT	266.00	1.00
Share Price: \$85.740; Next Dividend Payable 01/2015								
WASHINGTON PRIME GROUP INC (WPG)	1/7/13	1,000	19.110	19.11	18.74	(0.37) LT		
1/17/13	1,000	28.770	28.77	18.74	(10.03) LT			
1/17/13	2,000	19.180	38.36	37.48	(0.88) LT			
2/8/13	3,000	19.420	58.26	56.22	(2.04) LT			
2/8/13	3,000	22.653	67.96	56.22	(11.74) LT			
10/16/13	3,000	18.763	56.29	56.22	(0.07) ST			
10/16/13	22,000	17.485	384.68	412.28	27.60 ST			
3/31/14	6,000	19.695	118.17	112.44	(5.73) ST			
Total	41,000		771.60	768.34	(25.06) LT			
Share Price: \$18.740								
WD 40 COMPANY (WDFC)	7/12/07	19,000	34.281	651.35	1,429.18	777.83 LT		
7/13/07	67,000	34.280	2,296.73	5,039.74	2,743.01 LT			
8/16/10	80,000	34.746	2,779.66	6,017.60	3,237.94 LT			
3/11/11	30,000	40.575	1,217.24	2,256.60	1,039.36 LT			
Total	196,000		6,944.98	14,743.12	7,798.14 LT			
Share Price: \$75.220; Next Dividend Payable 07/2014								
WEINGARTEN REALTY INV SBI (WRI)	7/31/09	47,000	15.455	726.38	1,543.48	817.10 LT		
1/28/13	23,000	28.618	658.21	755.32	97.11 LT			
2/8/13	5,000	29.648	148.24	164.20	15.96 LT			
Total	75,000		1,532.83	2,463.00	930.17 LT			
Share Price: \$32.840; Next Dividend Payable 09/2014								
WELLPOINT INC (WLP)	2/27/09	6,000	34.080	204.48	645.66	441.18 LT		
4/27/09	19,000	39.995	759.91	2,044.59	1,284.68 LT			
10/8/09	27,000	45.167	1,219.52	2,905.47	1,685.95 LT			
4/23/10	15,000	57.909	868.63	1,614.15	745.52 LT			
1/27/12	7,000	65.114	455.80	753.27	297.47 LT			
3/13/12	10,000	64.505	645.05	1,076.10	431.05 LT			
7/26/12	16,000	53.376	854.02	1,721.76	867.74 LT			
8/6/12	20,000	54.652	1,093.03	2,152.20	1,059.17 LT			
1/17/13	8,000	62.510	500.08	860.88	360.80 LT			
2/8/13	12,000	66.370	796.44	1,291.32	494.88 LT			

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Account Detail

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credit/(Debits)
6/16	6/16 Qualified Dividend	ESTEE LAUDER CO INC CLA			24.40	
6/16	6/16 Qualified Dividend	PRIMERICA INC			13.20	
6/16	6/16 Qualified Dividend	A G C O CORP			3.63	
6/17	6/17 Dividend	TOSHIBA CORP UNSPONS ADR			0.00	
6/17	6/17 Qualified Dividend	ADJ GROSS DIV AMOUNT 5.60	FOREIGN TAX PAID IS 5.60			50.35
6/17	6/17 Qualified Dividend	TOSHIBA CORP UNSPONS ADR			31.02	
6/17	6/17 Service Fee	TOSHIBA CORP UNSPONS ADR	AGENT CUSTODY FEE \$0.0220/SH			(3.43)
6/18	6/18 Dividend	UNICHARM CORP UNSPON ADR			0.00	
6/18	6/18 Qualified Dividend	ADJ GROSS DIV AMOUNT 2.08	FOREIGN TAX PAID IS 2.08			50.05
6/18	6/18 Qualified Dividend	INTERVAL LEISURE GROUP INC			11.55	
6/18	6/18 Qualified Dividend	UNICHARM CORP UNSPON ADR			5.567.73	
6/18	6/23 Sold	COVIDIEN PLC	ACTED AS AGENT	62.000	89.8042	
6/18	6/23 Sold	FREEPORT-MCMORAN CP&GOLD	ACTED AS AGENT		106.000	34.4723
6/18	6/23 Bought	ERICSSON LM TEL ADR CL B NEW	ACTED AS AGENT		260.000	12.1396
6/18	6/23 Bought	CORNING INC	ACTED AS AGENT		80.000	(3.156.30)
6/18	6/23 Bought	CH ROBINSON WORLDWIDE INC NEW	ACTED AS AGENT		21.2810	(1.702.48)
6/18	6/18 Service Fee	UNICHARM CORP UNSPON ADR	AGENT CUSTODY FEE \$0.0040/SH		22.000	(1.391.34)
6/19	6/19 Dividend	NIELSEN HOLDINGS NV	ADJ GROSS DIV AMOUNT 7.24			(1.40)
6/19	6/19 Dividend	FOREIGN TAX PAID IS 7.24				0.00
6/19	6/19 Dividend	NIELSEN HOLDINGS NV	ADJ GROSS DIV AMOUNT 2.89			54.52
6/19	6/19 Qualified Dividend	HOME DEPOT INC	FOREIGN TAX PAID IS 2.89			41.01
6/19	6/19 Qualified Dividend	NIELSEN HOLDINGS NV				19.08
6/19	6/19 Qualified Dividend	PRUDENTIAL FINANCIAL INC				16.36
6/19	6/19 Qualified Dividend	NIELSEN HOLDINGS NV				85.00
6/20	6/20 Qualified Dividend	CINEMARK HOLDINGS INC.				61.56
6/20	6/20 Qualified Dividend	RLL CORP				61.56
6/20	6/25 Sold	TOWERS WATSON & CO CLA	ACTED AS AGENT	58.000	106.2328	6,161.36
6/20	6/25 Bought	HALLIBURTON CO	ACTED AS AGENT	14.000	69.9400	(979.16)
6/20	6/25 Bought	MORGAN STANLEY	ACTED AS AGENT	25.000	32.4400	(811.00)
6/23	6/23 Qualified Dividend	QUESTAR CORP				102.60
6/23	6/23 Qualified Dividend	QUESTAR CORP				42.75
6/23	6/23 Qualified Dividend	BARCLAYS PLC ADR				30.53
6/23	6/23 Qualified Dividend	ALTISOURCE RESIDENTIAL CORP B				11.70
6/23	6/23 Service Fee	BARCLAYS PLC ADR	AGENT CUSTODY FEE \$0.0016/SH		450.000	(0.75)
6/24	5/27 Bought	CHICOS FAS INC	ACTED AS AGENT	118.000	16.4200	(1.937.56)

Account Detail

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CASH FLOW ACTIVITY BY DATE (CONTINUED)

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
6/10	Qualified Dividend	ROCKWELL AUTOMATION INC			29.00	
6/10	Qualified Dividend	HONEYWELL INTERNATIONAL INC			26.10	
6/10	Sold	CHINA MOBILE LTD	ACTED AS AGENT			1,283.16
6/11	Dividend	UNILEVER NV NY SH NEW		26,000	49.3535	0.00
6/11	6/11	ADJ GROSS DIV AMOUNT 11.34				
6/11	6/11	FOREIGN TAX PAID IS 11.34				
6/12	6/12	Qualified Dividend	UNILEVER NV NY SH NEW			64.27
6/12	6/12	Dividend	ARKEMA SPONS ADR			0.00
		ADJ GROSS DIV AMOUNT 49.19				
		FOREIGN TAX PAID IS 49.19				
6/12	6/12	Dividend	BNP PARIBAS SP ADR REPSTG			0.00
		ADJ GROSS DIV AMOUNT 60.65				
		FOREIGN TAX PAID IS 60.65				
6/12	6/12	Qualified Dividend	BNP PARIBAS SP ADR REPSTG			141.53
6/12	6/12	Qualified Dividend	ARKEMA SPONS ADR			114.78
6/12	6/17	Sold	EMC CORP MASS		26.5728	8,768.82
6/12	6/17	Sold	DELTA AIR LINES INC NEW		38.4855	6,234.51
6/12	6/17	Sold	CIF INDUSTRIES HOLDINGS INC		236.2513	5,433.65
6/12	6/17	Sold	GILEAD SCIENCE		34,000	2,758.18
6/12	6/17	Bought	CH ROBINSON WORLDWIDE INC NEW		61,8967	(7,798.98)
6/12	6/17	Bought	HOME DEPOT INC		78,9878	(7,740.80)
6/12	6/17	Bought	Occidental Petroleum Corp DE		101.5507	(2,031.01)
6/12	6/17	Bought	TIX COS INC NEW		36,000	55,1486
6/12	6/17	Bought	REYNOLDS AMERICAN INC		30,000	59,4263
6/12	6/17	Bought	ERICSSON LM TEL ADR CL B NEW		140,000	(1,729.00)
6/12	6/12	Service Fee	ARKEMA SPONS ADR			(1.30)
6/13	6/13	Dividend	SCHNEIDER ELEC SA UNSP ADR			0.00
		ADJ GROSS DIV AMOUNT 85.21				
		FOREIGN TAX PAID IS 85.21				
6/13	6/13	Dividend	WEINGARTEN REALTY INV SBI			24.38
6/13	6/13	Qualified Dividend	SCHNEIDER ELEC SA UNSP ADR			198.85
6/13	6/13	Qualified Dividend	METLIFE INCORPORATED			79.10
6/13	6/13	Qualified Dividend	EQUIFAX INC			62.50
6/13	6/13	Qualified Dividend	BANK OF HAWAII CORP			40.95
6/13	6/13	Qualified Dividend	BADGER METER INC			36.00
6/13	6/13	Qualified Dividend	DST SYSTEMS INC			17.70
6/13	6/13	Service Fee	SCHNEIDER ELEC SA UNSP ADR			(21.46)
6/16	6/16	Dividend	EPR PPTYS COM			21.38
6/16	6/16	Dividend	MONMOUTH RLL EST INVT CP A			15.00
6/16	6/16	Dividend	REALTY INCOME CORP			12.04
6/16	6/16	Qualified Dividend	NEXTERA ENERGY INC COM			65.25
6/16	6/16	Qualified Dividend	SUNTRUST BKS			40.00

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Account Detail

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/Debits
6/4	6/4	Dividend	AKZO NOBEL NV ADR ADJ GROSS DIV AMOUNT 23.61 FOREIGN TAX PAID IS 23.61				0.00
6/4	6/4	Qualified Dividend	ASSURED GUARANTY LTD				133.78
6/4	6/4	Qualified Dividend	UNITED CONTINENTAL HLDGS INC	ACTED AS AGENT	112.000	47.3166	5,299.34
6/4	6/9	Sold	CAMERON INT'L CORP	ACTED AS AGENT	56.000	64.0514	3,586.80
6/4	6/9	Sold	PRUDENTIAL FINANCIAL INC	ACTED AS AGENT	36.000	87.9615	3,166.54
6/4	6/9	Sold	DELTA AIR LINES INC NEW	ACTED AS AGENT	68.000	41.5809	2,827.43
6/4	6/9	Sold	WASHINGTON PRIME GROUP INC	ACTED AS AGENT	16.000	19.3035	308.85
6/4	6/9	Bought	CATERPILLAR INC	ACTED AS AGENT	43.000	104.5896	(4,497.35)
6/4	6/9	Bought	DEVON ENERGY CORP NEW	ACTED AS AGENT	44.000	74.8758	(3,294.54)
6/4	6/9	Bought	ERICSSON LM TELAIRD CL B NEW	ACTED AS AGENT	150.000	12.3263	(1,848.95)
6/4	6/9	Bought	EMERSON ELECTRIC CO	ACTED AS AGENT	27.000	66.9877	(1,808.67)
6/4	6/9	Bought	MOHAWK INDUSTRIES INC	ACTED AS AGENT	10.000	134.7675	(1,347.68)
6/4	6/9	Bought	WALT DISNEY CO HLDG CO	ACTED AS AGENT	10.000	83.5954	(83.5954)
6/4	6/9	Service Fee	AKZO NOBEL NV ADR	AGENT CUSTODY FEE \$0.0100/SH			0.10
6/5	6/5	Dividend	SANOFI ADR	ADJ GROSS DIV AMOUNT 127.76 FOREIGN TAX PAID IS 127.76			0.00
6/5	6/5	Dividend	SANOFI ADR	ADJ GROSS DIV AMOUNT 81.35 FOREIGN TAX PAID IS 81.35			298.11
6/5	6/5	Qualified Dividend	SANOFI ADR				189.83
6/5	6/5	Qualified Dividend	BRISTOL MYERS SQUIBB CO	ACTED AS AGENT	156.000	46.7804	7,297.57
6/5	6/10	Sold	NETFLIX INC	ACTED AS AGENT	3.000	419.2000	1,257.57
6/5	6/5	Service Fee	SANOFI ADR	AGENT CUSTODY FEE \$0.0200/SH			(4.46)
6/5	6/5	Service Fee	SANOFI ADR	AGENT CUSTODY FEE \$0.0200/SH			(2.84)
6/6	6/6	Qualified Dividend	INVESTOPEDIA				78.75
6/6	6/6	Qualified Dividend	BOEING CO				72.27
6/6	6/11	Sold	QUESTAR CORP	ACTED AS AGENT	265.000	24.0038	6,360.86
6/6	6/11	Sold	MONSANTO CO/NEW	ACTED AS AGENT	16.000	121.6911	2,190.39
6/6	6/11	Bought	TRIPADVISOR INC COM	ACTED AS AGENT	35.000	103.0345	(3,606.21)
6/6	6/11	Bought	COMCAST CORP (NEW) CLASS A	ACTED AS AGENT	45.000	52.4665	(2,360.54)
6/6	6/11	Bought	MYLAN INC	ACTED AS AGENT	26.000	49.7767	(1,294.19)
6/9	6/12	Bought	CORUS ENTMT CL B NAVG	ACTED AS AGENT	85.000	23.5019	(2,006.16)
6/10	6/10	Qualified Dividend	CHEVRON CORP				147.66
6/10	6/10	Qualified Dividend	HONEYWELL INTERNATIONAL INC				62.10
6/10	6/10	Qualified Dividend	MARATHON OIL CO				57.95
6/10	6/10	Qualified Dividend	UNITED TECHNOLOGIES CORP				41.89
6/10	6/10	Qualified Dividend	EMERSON ELECTRIC CO				39.99

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Account Detail

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632-096972-522

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income Accrued	Estimated Interest %	Yield %
TOTAL MARKET VALUE	100.0%	\$1,916,922.50	\$2,645,134.12	\$542,276.83 LT	\$42,305.30	\$0.00	1.60%
				\$68,876.14 ST			

TOTAL MARKET VALUE (Includes accrued interest)

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credit/(Debits)
5/28	Sold	WASHINGTON PRIME GROUP INC	CASH IN LIEU FRACTIONAL SHARE		\$9.75	
5/28	Sold	WASHINGTON PRIME GROUP INC	CASH IN LIEU FRACTIONAL SHARE		9.75	
6/1	Qualified Dividend	INTEL CORP				
6/2	Dividend	DEUTSCHE BOERSE AG UNSPON ADR				
		ADJ GROSS DIV AMOUNT 58.41				
		FOREIGN TAX PAID IS 58.41				
6/2	Dividend	ENBRIDGE INC				
		ADJ GROSS DIV AMOUNT 3.13				
		FOREIGN TAX PAID IS 3.13				
6/2	Qualified Dividend	DEUTSCHE BOERSE AG UNSPON ADR				
6/2	Qualified Dividend	CONOCOPHILLIPS				
6/2	Qualified Dividend	PRUDENTIAL PLC ADR				
6/2	Qualified Dividend	PHILLIPS 66 COM				
6/2	Qualified Dividend	ENBRIDGE INC				
6/2	Bought	ENBRIDGE INC	ACTED AS AGENT			
6/2	Bought	CENGOVUS ENERGY INC COM	ACTED AS AGENT			
6/2	Service Fee	DEUTSCHE BOERSE AG UNSPON ADR	AGENT CUSTODY FEE \$0.0200/SH			
6/3	Qualified Dividend	Pfizer Inc				
6/3	Qualified Dividend	SCHNEIDER ELEC SA UNSP ADR				
6/3	Qualified Dividend	MICROCHIP TECHNOLOGY INC				
6/3	Qualified Dividend	JACK HENRY & ASSOC INC				
6/3	Qualified Dividend	VISA INC CLA				
6/3	Sold	UNICHARM CORP UNSPON ADR	ACTED AS AGENT			
6/3	Bought	SANTEN PHARMACEUTICAL CO UNSP	ACTED AS AGENT			
6/3	Bought	SMC CORP JAPAN SPONSORED ADR	ACTED AS AGENT			
6/3	Service Fee	SCHNEIDER ELEC SA UNSP ADR	AGENT CUSTODY FEE \$0.0100/SH			

CLIENT STATEMENT | For the Period June 1-30, 2014

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STOCKS

COMMON STOCKS (CONTINUED)

Select UMA Active Assets Account **EARL L CARTER**

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STOCKS
COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	140,000		7,396.96	15,065.40	7,668.44 LT	245.00	1.62
<u>Share Price: \$107.610; Next Dividend Payable 09/2014</u>								
<u>WESTAMERICA BANCORPORATION (WABC)</u>	1/28/13	174,000	44.503	7,743.45	9,096.72	1,353.27 LT		
	2/8/13	6,000	44.302	265.81	313.68	47.87 LT		
	Total	180,000		8,009.26	9,410.40	1,401.14 LT	274.00	2.91
<u>Share Price: \$52.280; Next Dividend Payable 08/2014</u>								
<u>WESTERN DIGITAL CORPORATION (WDC)</u>	4/25/14	39,000	89.066	3,473.57	3,599.70	126.13 ST	62.00	1.72
<u>Share Price: \$92.300; Next Dividend Payable 07/15/14</u>								
<u>WESTERN UNION CO (WU)</u>	1/25/12	3,000	19.487	58.46	52.02	(6.44) LT		
	4/30/12	2,000	18.335	36.67	34.68	(1.99) LT		
	1/28/13	370,000	13.965	5,167.09	6,415.80	1,248.71 LT		
	2/8/13	15,000	14.687	220.30	260.10	39.80 LT		
	2/19/13	10,000	14.240	142.40	173.40	31.00 LT		
	Total	400,000		5,624.92	6,936.00	1,311.08 LT	200.00	2.88
<u>Share Price: \$17.340; Next Dividend Payable 09/2014</u>								
<u>WEYERHAEUSER CO (WY)</u>	1/17/13	116,000	29.666	3,441.28	3,838.44	397.16 ST	102.00	2.65
<u>Share Price: \$33.090; Next Dividend Payable 08/2014</u>								
<u>WILLIS GROUP HOLDINGS PLC (WSH)</u>	2/19/09	20,000	23.968	479.35	866.00	386.65 LT		
	8/13/09	24,000	26.087	626.09	1,039.20	413.11 LT		
	10/21/09	28,000	27.272	763.61	1,212.40	448.79 LT		
	10/6/10	46,000	31.223	1,436.26	1,991.80	555.54 LT		
	9/28/11	32,000	34.314	1,098.05	1,385.60	287.55 LT		
	10/24/12	4,000	33.440	133.76	173.20	39.44 LT		
	10/24/12	31,000	33.440	1,036.64	1,342.30	305.66 LT		
	1/7/13	1,000	34.550	34.55	43.30	8.75 LT		
	1/7/13	19,000	34.530	656.07	822.70	166.63 LT		
	1/17/13	5,000	34.690	173.45	216.50	43.05 LT		
	1/17/13	11,000	34.690	381.59	476.30	94.71 LT		
	2/8/13	15,000	36.260	543.90	649.50	105.60 LT		
	2/8/13	18,000	36.257	652.63	779.40	126.77 LT		
	2/19/13	16,000	36.720	587.52	692.80	105.28 LT		
	1/3/14	30,000	43.862	1,315.87	1,299.00	(16.87) ST		
	1/24/14	30,000	44.158	1,324.74	1,299.00	(25.74) ST		
	Total	330,000		11,244.08	14,289.00	3,087.53 LT	396.00	2.77
						(42.61) ST		

Share Price: \$43.300; Next Dividend Payable 07/15/14

Account Detail

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CASH FLOW ACTIVITY BY DATE (CONTINUED)

Transaction Settlement Date	Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
6/30	6/30	Dividend	DIGITAL REALTY TRUST INC			37.35	
6/30	6/30	Dividend	AMREIT INC CL B			26.80	
6/30	6/30	Dividend	EAST GROUP PROPERTIES INC			25.38	
6/30	6/30	Dividend	EQUITY ONE INC			11.66	
6/30	6/30	Dividend	LTC PROPERTIES INC			9.52	
6/30	6/30	Dividend	AMERICAN HOMES 4 RENT			2.20	
6/30	6/30	Qualified Dividend	TOYOTA MOTOR GP ADR NEW			162.36	
6/30	6/30	Qualified Dividend	ENDURANCE SPECIALTY HOLDGS LTD			81.60	
6/30	6/30	Qualified Dividend	OWENS & MINOR INC NEW			68.75	
6/30	6/30	Qualified Dividend	LAS VEGAS SANDS CORPORATION			58.00	
6/30	6/30	Qualified Dividend	WESTERN LIN CO			50.00	
6/30	6/30	Qualified Dividend	CORNING INC			48.50	
6/30	6/30	Qualified Dividend	FIDELITY NATL INFORMATION SE			46.32	
6/30	6/30	Qualified Dividend	FIDELITY NATL INFORMATION SE			44.88	
6/30	6/30	Qualified Dividend	BECTON DICKINSON & CO			43.60	
6/30	6/30	Qualified Dividend	DEVON ENERGY CORP NEW			39.84	
6/30	6/30	Qualified Dividend	CENOVOUS ENERGY INC COM			36.64	
6/30	6/30	Qualified Dividend	AMETEK INC NEW			22.32	
6/30	6/30	Qualified Dividend	CORUS ENTMT CL B N/NTG			19.87	
6/30	6/30	Qualified Dividend	METHANEX CORPORATION			19.55	
6/30	6/30	Qualified Dividend	UNIVERSAL TECHNICAL INSTIT			16.00	
6/30	6/30	Qualified Dividend	BANK OF AMERICA CORP			10.40	
6/30	6/30	Qualified Dividend	CHICOS FAS INC			8.10	
6/30	6/30	Qualified Dividend	PRECISION CASTPARTS CORP			1.41	
6/30	7/3	Sold	ACCENTURE PLC IRELAND CL A	ACTED AS AGENT		3,335.05	
6/30	7/3	Bought	ALEXION PHARM INC	ACTED AS AGENT		(3,599.13)	
NET CREDITS/(DEBITS)						\$11,151.08	

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

UNSETTLED PURCHASES/SALES ACTIVITY

Transaction Settlement Date	Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
6/26	7/1	Bought	CHICOS FAS INC	UNSETTLED PURCHASE	114,000	\$16,291.4	\$1(1,857.22)
6/27	7/2	Sold	AMERICAN TOWER REIT COM	UNSETTLED SALE	58,000	88,936.9	5,168.22
6/27	7/2	Sold	LIONS GATE ENTERTAINMENT CORP	UNSETTLED SALE	116,000	28,556.8	3,313.44
6/27	7/2	Sold	LYONDELLBASELL NV CL-A	UNSETTLED SALE	58,000	96,987.2	5,625.13
6/27	7/2	Sold	UNION PACIFIC CORP	UNSETTLED SALE	32,000	99,365.6	3,179.62
6/27	7/2	Bought	EXXON MOBIL CORP	UNSETTLED PURCHASE	110,000	101,139.7	(11,125.37)
6/27	7/2	Bought	MERCK & CO INC NEW COM	UNSETTLED PURCHASE	44,000	58,213.4	(2,561.39)



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CASH FLOW ACTIVITY BY DATE (CONTINUED)

Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
6/25	6/25	Dividend	SUNCOR ENERGY INC NEW COM ADJ GROSS DIV AMOUNT 4.37 FOREIGN TAX PAID IS 4.37	KOHL'S CORPORATION WISC		79.56	79.56
6/25	6/25	Qualified Dividend	WELLPOINT INC			61.25	61.25
6/25	6/25	Qualified Dividend	QUALCOMM INC			52.92	52.92
6/25	6/25	Qualified Dividend	SYMANTEC CORP			42.00	42.00
6/25	6/25	Qualified Dividend	HALLIBURTON CO			28.50	28.50
6/25	6/25	Qualified Dividend	ANADARKO PETE			25.65	25.65
6/25	6/25	Qualified Dividend	SUNCOR ENERGY INC NEW COM			24.78	24.78
6/25	6/25	Qualified Dividend	ANADARKO PETE			24.57	24.57
6/25	6/25	Qualified Dividend	QUALCOMM INC			22.68	22.68
6/26	6/26	Dividend	UTD OVERSEAS BK LTD SPON ADR			110.63	110.63
6/26	7/1	Bought	CHICOS FAS INC		114.000	16.2914	(1,857.22)
6/27	6/27	Tax Exempt Dividend	MS ACTIVE ASSETS TAX FR TRUST DIV PAYMENT	ACTED AS AGENT		0.86	0.86
6/27	6/27	Dividend	VENTAS INC			29.73	29.73
6/27	6/27	Qualified Dividend	STARWOOD HTLS & RSTS WW INC			77.00	77.00
6/27	6/27	Qualified Dividend	CORPORATE EXEC BOARD CO			55.13	55.13
6/27	6/27	Qualified Dividend	LEUCADIA NAT CP			37.81	37.81
6/27	6/27	Qualified Dividend	THOR INDUSTRIES INC			27.60	27.60
6/27	7/2	Sold	LYONDELLBASELL NV CL-A	ACTED AS AGENT	58.000	96.9872	5,625.13
6/27	7/2	Sold	AMERICAN TOWER REIT COM	ACTED AS AGENT	58.000	88.9369	5,158.22
6/27	7/2	Sold	LIONS GATE ENTERTAINMENT CORP	ACTED AS AGENT	116.000	28.5648	3,313.44
6/27	7/2	Sold	UNION PACIFIC CORP	ACTED AS AGENT	32.000	99.3655	3,179.62
6/27	7/2	Bought	EXXON MOBIL CORP	ACTED AS AGENT	110.000	101.397	(1,125.37)
6/27	7/2	Bought	MERCK & CO INC NEW COM	ACTED AS AGENT	44.000	58.2134	(2,561.39)
6/27	7/2	Bought	VOLKSWAGEN AG SPON ADR	ACTED AS AGENT	31.000	51.7988	(1,605.76)
6/30	6/30	Dividend	CORUS ENTMT CL B NNTG ADJ GROSS DIV AMOUNT 3.51 FOREIGN TAX PAID IS 3.51			0.00	0.00
6/30	6/30	Dividend	TOYOTA MOTOR CP ADR NEW ADJ GROSS DIV AMOUNT 27.56 FOREIGN TAX PAID IS 27.56			0.00	0.00
6/30	6/30	Dividend	CENOVUS ENERGY INC COM ADJ GROSS DIV AMOUNT 6.47 FOREIGN TAX PAID IS 6.47			0.00	0.00
6/30	6/30	Dividend	METHANEX CORPORATION ADJ GROSS DIV AMOUNT 3.45 FOREIGN TAX PAID IS 3.45			67.20	67.20
6/30	6/30	Dividend	PUBLIC STORAGE PUBLIC STORAGE			0.00	0.00

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TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
5/28	Stock Dividend	WASHINGTON PRIME GROUP INC	DISTRIBUTION FROM SPG	31,000
5/28	Stock Dividend	WASHINGTON PRIME GROUP INC	DISTRIBUTION FROM SPG	26,000
6/3	Exchange Delivered Out	PENTAIR LTD	EXCHANGE	138,000
6/3	Exchange Received In	PENTAIR PLC	EXCHANGE	138,000
6/6	Stock Dividend	APPLE INC		240,000
6/6	Stock Dividend	APPLE INC		138,000
6/6	Stock Dividend	UNION PACIFIC CORP		40,000
6/30	Exchange Delivered Out	GEO GROUP INC	EXCHANGE	57,000
6/30	Exchange Received In	GEO GROUP INC COM NEW	EXCHANGE	57,000

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Ad Total Cost	Realized Gain/(Loss)	Comments
ACCENTURE PLC IRELAND CL A	11/10/13	06/30/14	30,000	\$2,440.28	\$1,361.25	\$1,079.03	
	01/07/13	06/30/14	1,000	81.34	68.73	12.61	
	01/17/13	06/30/14	3,000	244.03	210.09	33.94	
	02/08/13	06/30/14	7,000	569.40	512.54	56.86	
BRISTOL MYERS SQUIBB CO	05/17/13	06/05/14	46,000	2,151.85	1,973.34	178.51	
	05/20/13	06/05/14	60,000	2,806.76	2,639.84	166.92	
	05/22/13	06/05/14	50,000	2,338.96	2,317.47	21.49	
CHINA MOBILE LTD	10/13/08	06/10/14	2,000	98.70	94.65	4.05	
	07/17/09	06/10/14	13,000	641.58	647.71	(6.13)	
	01/27/12	06/10/14	9,000	444.17	455.16	(10.99)	
	01/07/13	06/10/14	2,000	98.71	115.16	(16.45)	
COVIDIEN PLC	11/02/10	06/18/14	18,000	1,616.44	654.21	962.23	
	11/02/10	06/18/14	8,000	718.42	290.76	427.66	
	11/02/10	06/18/14	5,000	449.01	181.72	267.29	
	03/03/11	06/18/14	18,000	1,616.44	868.17	748.27	
	01/27/12	06/18/14	13,000	1,167.42	616.85	550.57	
EMC CORP MASS	12/03/12	06/12/14	91,000	2,418.07	2,266.12	151.95	
	01/07/13	06/12/14	9,000	239.15	217.33	21.82	
	01/17/13	06/12/14	1,000	26.57	24.04	2.53	
	02/08/13	06/12/14	9,000	239.15	223.83	15.32	
	03/25/13	06/12/14	96,000	2,550.93	2,281.00	269.93	
FREEPORT MCMORAN CP&GLD	01/28/13	06/18/14	92,000	3,171.37	3,182.76	(11.39)	



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UNSETTLED PURCHASES/SALES ACTIVITY (CONTINUED)

Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
6/27 7/2	Bought	VOLKSWAGEN AG SPON ADR	UNSETTLED PURCHASE	31.000	51.7988	(1,605.76)
6/30 7/3	Sold	ACCENTURE PLC IRELAND CLA	UNSETTLED SALE	41.000	81.3447	3,335.05
	Bought	ALEXION PHARM INC	UNSETTLED PURCHASE	23.000	156.4840	(3,599.13)

NET UNSETTLED PURCHASES/SALES

This section displays transactions that have not settled during this statement period. The Holdings section includes any positions purchased and omits any positions sold or sold short as of the trade-date. The unit/share price for unsettled fixed income new issues in the Holdings section may be approximate, in advance of active market pricing or pricing from third party pricing services.

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
6/2	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	\$104.91
6/3	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	(3,137.60)
6/4	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	350.90
6/5	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	(3,947.59)
6/6	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	(5,668.43)
6/9	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	151.02
6/10	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	1,555.82
6/11	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	8,959.83
6/12	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	(6,515.58)
6/13	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	255.01
6/16	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	1,721.19
6/17	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	194.90
6/18	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	205.17
6/19	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	60.20
6/20	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	130.97
6/23	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	146.56
6/24	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	3,159.16
6/26	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	4,732.36
6/27	Automatic Redemption	MS ACTIVE ASSETS TAX FRR TRUST	(1,826.93)
6/30	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	227.27
	Automatic Investment	MS ACTIVE ASSETS TAX FRR TRUST	0.86
			\$6,514.18
			NET ACTIVITY FOR PERIOD

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REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
GILEAD SCIENCE	02/08/13	06/18/14	14,000	482,60	501,20	(18,60)	
GILEAD SCIENCE	01/07/13	06/12/14	4,000	324,49	153,84	170,65	
GILEAD SCIENCE	01/07/13	06/12/14	2,000	162,25	76,98	85,27	
GILEAD SCIENCE	01/17/13	06/12/14	12,000	973,48	466,50	506,98	
GILEAD SCIENCE	01/17/13	06/12/14	10,000	811,23	388,70	422,53	
LYONDELLBASELL NV CL-A	02/08/13	06/12/14	5,000	405,61	204,55	201,06	
LYONDELLBASELL NV CL-A	02/08/13	06/12/14	1,000	81,12	40,91	40,21	
LYONDELLBASELL NV CL-A	06/20/12	06/27/14	45,000	4,364,33	1,821,13	2,543,20	R
LYONDELLBASELL NV CL-A	07/12/12	06/27/14	13,000	1,260,80	503,52	757,28	R
MONSANTO CO NEW	02/10/12	06/06/14	12,000	1,460,26	927,21	533,05	
MONSANTO CO NEW	02/24/12	06/06/14	6,000	730,13	476,98	263,15	
QUESTAR CORP	09/20/10	06/06/14	40,000	960,12	693,54	266,58	
QUESTAR CORP	01/24/12	06/06/14	70,000	1,680,23	1,363,45	316,78	
QUESTAR CORP	01/28/13	06/06/14	125,000	3,000,41	2,888,46	111,95	
QUESTAR CORP	02/08/13	06/06/14	20,000	480,07	472,20	7,87	
UNICHARM CORP UNSPON ADR	02/19/13	06/06/14	10,000	240,03	236,50	3,53	
UNION PACIFIC CORP	04/30/13	06/03/14	350,000	4,288,65	4,548,67	(310,02)	
WASHINGTON PRIME GROUP INC	01/27/12	06/27/14	32,000	3,179,62	1,825,33	1,354,29	
WASHINGTON PRIME GROUP INC	03/12/12	05/28/14	9,75	0,00	9,75	Cash In Lieu	
WASHINGTON PRIME GROUP INC	01/07/13	05/28/14	9,75	0,00	9,75	Cash In Lieu	
WASHINGTON PRIME GROUP INC	03/12/12	06/04/14	6,000	115,82	107,28	8,54	
WASHINGTON PRIME GROUP INC	03/16/12	06/04/14	3,000	57,91	50,79	7,12	
WASHINGTON PRIME GROUP INC	03/16/12	06/04/14	3,000	57,91	50,79	7,12	
WASHINGTON PRIME GROUP INC	03/16/12	06/04/14	2,000	38,61	-	33,86	4,75
WASHINGTON PRIME GROUP INC	03/16/12	06/04/14	1,000	19,30	16,93	2,37	
WASHINGTON PRIME GROUP INC	01/07/13	06/04/14	1,000	19,30	28,65	(9,35)	
Long-Term This Period			\$51,273.03	\$39,080.70	\$12,192.33		
Long-Term Year to Date			\$387,032.19	\$277,643.73	\$109,388.46		
SHORT-TERM GAIN/(LOSS)	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN TOWER REIT COM	01/22/14	06/27/14	50,000	4,446,74	4,223,34	223,40	
AMERICAN TOWER REIT COM	03/31/14	06/27/14	8,000	711,48	659,00	52,48	
CAMERON INT'L CORP	11/04/13	06/04/14	56,000	3,586,80	3,084,05	502,75	
CF INDUSTRIES HOLDINGS, INC	09/09/13	06/12/14	23,000	5,433,65	4,505,21	928,44	
DELTA AIR LINES INC NEW	09/09/13	06/04/14	68,000	2,827,43	1,477,42	1,350,01	
DELTA AIR LINES INC NEW	09/09/13	06/12/14	80,000	3,078,77	1,738,15	1,340,62	

Morgan Stanley

Account Detail

Select UMA Active Assets Account
EARL L CARTER
5:

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
EMC CORP MASS	07/12/13	06/12/14	59,000	1,567.76	1,466.14	101.62	
LIONS GATE ENTERTAINMENT CORP	08/13/13	06/12/14	65,000	1,727.19	1,752.30	(25.11)	
NETFLIX INC	04/30/14	06/27/14	116,000	3,313.44	3,057.02	256.42	
PRUDENTIAL FINANCIAL INC	05/01/14	06/05/14	3,000	1,257.57	1,004.06	253.51	
TOWERS WATSON & CO CL A	03/31/14	06/04/14	36,000	3,166.54	3,052.43	114.11	
	04/04/14	06/20/14	44,000	4,674.14	5,059.72	(385.58)	
	04/04/14	06/20/14	9,000	956.07	1,094.35	(138.28) H	
	04/08/14	06/20/14	5,000	531.15	557.22	(26.07)	
<i>Basis Adjustment Due To Wash Sale: \$91.36</i>							
UNITED CONTINENTAL HDGS INC	01/22/14	06/04/14	78,000	3,690.61	3,807.26	(116.65)	
	03/13/14	06/04/14	34,000	1,608.73	1,558.75	49.98	
Short-Term This Period				\$45,733.81	\$40,342.51	\$5,391.30	
Short-Term Year to Date				\$198,689.67	\$190,304.80	\$8,384.87	
Net Realized Gain/(Loss) This Period				\$97,006.84	\$79,423.21	\$17,583.63	
<i>Disallowed Loss Based On Wash Sale Year to Date: \$239.99</i>							
				\$585,721.86	\$467,948.53	\$117,773.33	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.
H, W, > - The wash sale rule applies to this tax lot. For lots noted with an "H," the cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. For lots noted with a "W," the disallowed loss on covered securities will be reported on Form 1099-B for the current tax year. The aggregate basis adjustment and the disallowed loss amount are identified in italics under the Security Description. Net Realized Gain/(Loss) for "This Period" and "Year to Date" includes disallowed loss. For lots noted with a ">," both "H" and "W" apply".

MESSAGES

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Would you like to receive your Statements and other documents faster, more securely and with the added benefit of reducing paper mail? Simply visit www.morganstanley.com/delivery to set your eDelivery preferences today. Please note, if you have not already, you will first need to register for Morgan Stanley Online to make your eDelivery selections.

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Account Detail

Consulting Group Minimum Fee

We recently notified you* that on or about July 1, 2014, a minimum Morgan Stanley fee will apply to certain Consulting Group wrap fee program accounts. As an update to any previous communications a minimum annual Morgan Stanley fee (calculated quarterly), for each Consulting Group account that was opened after June 30, 2009 will be implemented. This minimum is the lesser of 2% or \$250 per year. This minimum will not apply to any account that (when added to any other Consulting Group accounts with which it is related for billing purposes) has a total of \$500,000 or more in assets as of the end of the previous billing quarter.

Your Financial Advisor is available to discuss this change, review your account and outline other potential investment strategies appropriate for you.

*Enclosed with your March 2014 account statement.

Important Tax Information Related to Your International Securities Holdings

You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact your Financial Advisor to determine qualification eligibility and requirements.

Important Information About Advisory Accounts

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These ADV Brochures contain important information about advisory programs.

Select UN - Account

EARL L CARTER

Morgan Stanley

Account Summary

Action Amount

EARL L CARTER

BALANCE SHEET (includes accrued interest)

	Last Period (as of 5/31/14)	This Period (as of 6/30/14)	CASH FLOW	This Period (6/1/14-6/30/14)	This Year (1/1/14-6/30/14)
Cash, BDP, MMFs	\$13,582.48	\$13,582.52	OPENING CASH, BDP, MMFs	\$13,582.48	\$13,582.19
Alternative Inv - Managed Futures	71,638.12	72,619.01	Income	0.04	0.33
Alternative Investments+	233,813.37	238,361.91	Total Investment Related Activity	\$0.04	\$0.33
Total Assets	\$319,033.97	\$324,563.44	Total Cash Related Activity	—	—
Total Assets Held At Morgan Stanley	\$85,220.60	\$86,201.53	Total Card/Check Activity	—	—
Total Assets Externally Held +	\$233,813.37	\$238,361.91	CLOSING CASH, BDP, MMFs	\$13,582.52	\$13,582.52
Total Liabilities (outstanding balance)	—	—			
TOTAL VALUE	\$319,033.97	\$324,563.44			

+Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.

INCOME SUMMARY

	This Period (6/1/14-6/30/14)	This Year (1/1/14-6/30/14)	GAIN/(LOSS) SUMMARY	Unrealized Inception to Date (as of 6/30/14)
Total Taxable Income	—	—	Realized This Period (6/1/14-6/30/14)	Realized This Year (1/1/14-6/30/14)
Dividends	0.04	0.26	Long-Term Gain	—
Total Tax-Exempt Income	\$0.04	\$0.26	Long-Term (Loss)	—
TOTAL INCOME	\$0.04	\$0.26	Total Long-Term	—

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.
 Gain/(Loss) Summary information is provided for informational purposes only and should not be used for tax preparation. This information may change due to basis adjustments.
 Refer to the Gain/(Loss) Information in the Expanded Disclosures for additional information.

CLIENT STATEMENT | For the Period June 1-30, 2014

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Morgan Stanley

EARL L CARTER

Account Summary

CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (6/1/14-6/30/14)	This Year (1/1/14-6/30/14)
TOTAL BEGINNING VALUE	\$319,033.97	\$314,227.67
Credits	—	—
Debits	—	—
Security Transfers	—	—
Net Credits/Debits/Transfers	—	—
Change in Value	5,529.47	10,335.77
TOTAL ENDING VALUE	\$324,563.44	\$324,563.44

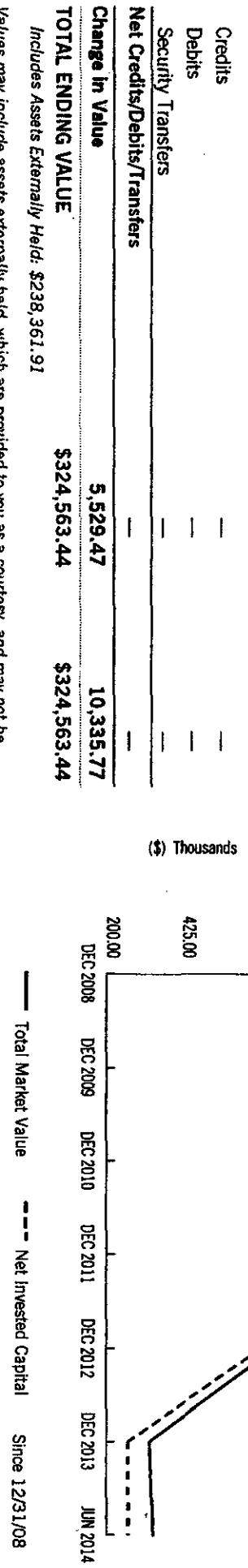
Change in Value

Includes Assets Externally Held: \$238,361.91

Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.

CHANGE IN VALUE OVER TIME

The display of market value (total account value) and net invested capital (total amount invested minus total withdrawn) demonstrates the impact of deposits and withdrawals.



This graph does not reflect corrections to Net Invested Capital or Market Value made subsequent to the dates depicted. It may also exclude transactions in Annuities or where we are not the custodian, which could delay the reporting of Market Value or affect the Net Invested Capital.

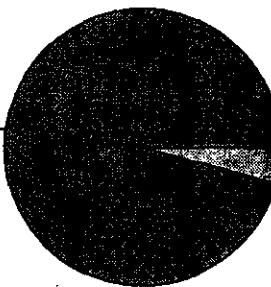
ALLOCATION OF HOLDINGS

Includes Assets Externally Held: \$238,361.91

	Market Value	Percentage %
Cash, BDP, MMFs*	\$13,582.52	4.2
Alternative Investments+	310,980.92	95.8
TOTAL VALUE	\$324,563.44	100.0%

Cash, BDP, MMFs

Alternative Investments



Alternative Investments

This allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications are not intended to serve as a suitability analysis. * FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. + Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.

CONSOLIDATED PERSONAL ACCOUNTS

RETIREMENT ACCOUNTS

EDUCATION ACCOUNTS

TRUST ACCOUNTS

BUSINESS ACCOUNTS

CLIENT STATEMENT | For the Period June 1-30, 2014

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Morgan Stanley

EARL L CARTER

Investment Objectives †: Capital Appreciation, Income, Aggressive Income, Speculation

† See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

Brokerage Account

Account Detail

HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. Accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. New Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MS ACTIVE ASSETS TAX FR TRUST	\$13,532.52	\$1.36	0.010	
CASH, BDP, AND MMFs	Percentage of Assets %	Market Value	Estimated Annual Income %	Accrued Interest %

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

Account Detail

Active Assets Account

EARL L CARTER

ALTERNATIVE INVESTMENTS

Your interests in Alternative Investments may not be held at Morgan Stanley Smith Barney LLC, but may have been purchased through Morgan Stanley Smith Barney LLC, and are not covered by SIPC. The information provided to you: 1) is included solely as a service to you and certain transactions may not be reported; 2) is derived from you or another external source for which we are not responsible, and may have been modified to take into consideration capital calls or distributions to the extent applicable; 3) may not reflect actual shares, share prices or values; 4) may include invested or distributed amounts in addition to a fair value estimate; and 5) should not be relied upon for tax reporting purposes. Alternative investments are illiquid and may not be valued daily, therefore the estimated valuation provided will be as of the most recent date available for presentation and will be included in summaries of your assets. Such valuation may not be the most recent valuation delivered by the fund in which you are invested. No representation is made that the valuation is a market value or that the interest could be liquidated at this value. We are not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

For Managed Futures and Alternative Investments there are likely to be restrictions on redemptions; please see applicable offering document. The "Commitment/Aggregate" investment reflected in the "Hedge Funds" category is equal to the total investment to date. "Redemptions" as reflected in the "Hedge Funds" category are equal to any past redemptions/sales that were reported to us. "Estimated Value" is the value reported to us as of the most recent date available. "Commitment" in the "Private Equity" and "Real Estate" categories is equal to the obligation of an investor to provide funding to the Partnership from time to time in accordance with the Limited Partnership Agreement. The "Contributions" field reflected in the "Private Equity" and "Real Estate" categories is equal to the total investor funding to date in accordance with the Limited Partnership Agreement. "Distributions" in the "Hedge Funds," "Private Equity" and "Real Estate" categories consist of distributed prior income or return of capital from the fund. This is presented for informational purposes only and should not be used for tax reporting purposes and would not be included in any tax reporting that we provide.

The service on those investments designated "RPTG ONLY" is limited to performance reporting only. No investment advice or research is provided. If you have questions, please contact your Financial Advisor.

MANAGED FUTURES

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Estimated Value	Unrealized Gain/(Loss)	Valuation Date
MS SPCTRM SELECT	8/1/07	1,027,397	\$29.200	\$30,000.00	\$25,931.50	\$(-4,068.50)	F
Estimated NAV: \$25.24							6/27/14
MS SPCTRM STRATEGIC	8/1/07	1,699,717	17.650	30,000.00	24,968.84	(5,031.16)	F
Estimated NAV: \$14.69							6/27/14
MS SPCTRM TECH	8/1/07	1,318,681	22.750	30,000.00	21,718.67	(8,281.33)	F
Estimated NAV: \$16.47							6/27/14
MANAGED FUTURES				\$90,000.00	\$72,619.01	\$(-17,380.99)	

HEDGE FUNDS - SHARES

"Trade Date" as reported in the "Hedge Funds - Shares" category may reflect the date on which the positions were transferred into the current account. "Total Purchases vs Estimated Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of Hedge Fund positions in your account as reflected in the Hedge Funds - Shares category. "Net Value Increase/(Decrease)" reflects the difference between your total purchases and the estimated value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Estimated Value	Unrealized Gain/(Loss)	Valuation Date
AIP ABSLT RTN	8/1/07	165,582	\$1,207.860	\$200,000.00	\$238,361.91	\$38,361.91	F
Estimated NAV: \$1,439.54							5/31/14
ALTERNATIVE INVESTMENTS				Percentage of Assets %	Estimated Value		
				95.8%	\$310,980.92		

\$0.00
\$0.00

Expanded Disclosures (CONTINUED)

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Money Market Fund (MMF) Pricing

An investment in a MMF is neither insured nor guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although MMFs seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. In some circumstances MMFs may cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Notice Regarding Investment Advisor Research

Morgan Stanley's Consulting Group Investment Advisor Research conducts research on various mutual funds and exchange-traded funds for clients holding those funds in certain investment advisory programs. If you have invested in any of these funds in another type of account, such as a brokerage account, you will not receive the same research materials and status updates on the funds as we provide to investment advisory clients (including instructions on selling fund shares).

Pricing of Securities

The prices of securities are derived from various sources, and do not necessarily represent the prices at which those securities could have been bought or sold. Although we attempt to use reliable sources of information, we can offer no assurance as to their accuracy. Prices of securities not actively traded may not be available, and are indicated by N/A (not available). For additional information on how we price securities, go to www.morganstanley.com/wealth/disclosures/disclosures.asp.

Important Information About Auction Rate Securities

For certain Auction Rate Securities there is no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction, and is not an indication of any offer to purchase at such price. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities in most cases reflect par value, but may be derived from various sources. These prices may differ from: prices provided to us or our affiliates by outside

pricing services; our affiliates' own internal bookkeeping valuations; prices of transactions executed in any secondary market that exists or may develop; and/or the prices at which issuer repurchases or redemptions may occur.

Special Considerations Regarding Structured Investments

Structured Investments are complex products and may be subject to special risks, which may include, but are not limited to: loss of initial investment; issuer credit risk and price volatility resulting from any actual or anticipated changes to issuer's and/or guarantor's credit ratings or credit spreads; limited or no appreciation and limits on participation in any appreciation of underlying asset(s); risks associated with the underlying reference asset(s); no periodic payments; call prior to maturity; early redemption fees for market linked deposits; lower interest rates and/or yield compared to conventional debt with comparable maturity; unique tax implications; limited or no secondary market; and conflicts of interest due to affiliation, compensation or other factors which could adversely affect market value or payout to investors. Investors also should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Investments may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category.

Accrued Interest, estimated annual income and estimated yield for Range Accrual Notes and Contingent Income Notes, assume optimal performance of the underlying asset(s) and payment in full of all contingent interest. However, contingent interest is only paid if the specified accrual conditions are met during the relevant observation period. Actual accrued interest, annual income and yield will be dependent upon the performance of the underlying asset(s) and may be significantly lower than the estimates shown. For more information about the risks specific to your Structured Investments, you should contact your Financial Advisor.

Transaction Dates and Conditions
Transactions display trade date and settlement date. Transactions are included on this statement on trade date basis (excluding BDP and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchases/Sales Activity" section. Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

SIPC Protection

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered

by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

Certain Assets Not Held at Morgan Stanley Smith Barney LLC

You may purchase certain assets through us that may be held at another financial institution. Assets not held with us may not be covered by SIPC protection. We may include information about certain of these assets on this statement solely as a service to you and are not responsible for any information provided by external sources. Generally any financial institution that holds securities is responsible for year-end reporting (1099s) and separate periodic statements, which may vary from our information due to different tax reporting periods. In the case of networked mutual funds, we perform all year-end tax reporting. Under certain circumstances, such as IRA accounts, we perform all tax reporting.

Total Income
Total income, as used in the income summaries, represents dividends and/or interest on securities we receive on your behalf and credit to your account(s) during the calendar year. We report dividend distributions and taxable bond interest credited to your account to the Internal Revenue Service. The totals we report may differ from those indicated as "This Year" figures on the last statement for the calendar year. In the case of Real Estate Investment Trusts (REITs), Master Limited Partnerships, Regulated Investment Companies and Unit Investment Trusts, some sponsors may reclassify the distribution to a different tax type for year-end reporting.

Transaction Dates and Conditions
Transactions display trade date and settlement date. Transactions are included on this statement on trade date basis (excluding BDP and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchases/Sales Activity" section. Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

U.S. Treasury Circular 230 Disclosure
We do not render advice on tax and tax accounting matters to clients. The information provided herein was not intended or written to be used, and it cannot be used, by any taxpayer, for the purpose of avoiding penalties that may be imposed on the taxpayer under U.S. federal tax laws.

Expanded Disclosures

Smith Barney LLC (we/us) sends to all statements Morgan Stanley Statement and thereafter twice a year. Questions?

Questions regarding your account may be directed to either your Financial Advisor or the Branch Manager for the branch office where you maintain your account. If you require further assistance, call the Client Service Center at (800) 869-3326 or for account-related Errors and Inquiries mail at P.O. Box 95002, South Jordan, Utah 84095.

Immediate clarification about entries that you do not understand or believe were made in error by contacting the Branch Manager of the office where you maintain your account. Oral communications under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding transfers, including a description of the transfers covered.

Account Valuation
Account values are computed by adding (1) the market value of all priced positions and (2) market values provided by outside custodians, as applicable by pricing services. Market Funds and/or Deposit balance. Cash, Deposits and Money Market Funds are displayed on a settlement date basis, and other values of fixed income positions in your account on a trade date basis. The interest in the totals. In the "Holdings" section, fixed income market funds are also displayed in separate columns. Accrued interest is the interest earned but not yet paid on the bond closing date of the statement. Foreign Currency Deposits from the Yield (APY) for deposits as of the statement end date. The Annual Percentage Yield (APY) that was in effect during the statement period. For current Bank Deposit or Money Market Fund yields, go to www.morganstanleyindividual.com/AccountOptions/ActiveAssets/investmentFeatures.

Additional IRA Information

For current transaction information on Form 1099-B for the

year of the transaction for reportable (i.e. non-retirement) accounts. For additional transaction information on Form 1099-B for the month of January 1, 2011 we are required to report on or after January 1, 2011 we are required to report additional transaction information on Form 1099-B. The information reported on your Form 1099-B for the

month. For current margin loan interest rates, go to www.morganstanley.com/online/MIRates.

Morgan Stanley

IRA contributions are subject to IRS eligibility rules and regulations. The "Max. Individual Contributions Allowed (by SSN)" reflects the annual limit on contributions that you can make to IRAs (Traditional, Roth, SEP, and SARSEP). The limit applies on a per person basis, not pertaining to a particular account, without reference to any other IRA.

You cannot make an individual contribution to a Traditional IRA for the year in which you reach age 70 1/2 or later. Other special rules may apply; e.g., in conversions or recharacterizations of Traditional to Roth, Roth to Traditional IRAs. Check with your tax advisor to verify how much you can contribute, whether or not the contribution will be tax deductible, and whether other special rules may apply. The Advisor if this information is based upon information provided Required Minimum Distribution is incorrect. The account provided prior December 31st Account calculation is based on your information is not intended to constitute tax, legal or accounting advice. Under the customer protection rules and Financial Statements carried for customer accounts here, provided that these funds are set-off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available upon your written request.

Gain/(Loss) Information
Gain/(Loss) is provided for informational purposes. It is not a substitute basis for covered securities (IRS Form 1099 (on which we report cost Intended to be, nor should it be used for tax preparation. It is not own independent legal or tax advisor to determine the appropriate use of the Gain/(Loss) information on this statement. For more information go to www.morganstanley.com/wealth/disclosures/disclosures.asp, or call Client Service Center.

Tax Reporting
Under Federal Income Tax law, we are required to report the gross

proceeds of sales transactions (including entering into short sales) to the sale of certain securities acquired on or after January 1, 2011 we are required to report additional transaction information on Form 1099-B. The information reported on your Form 1099-B for the

month. For current margin loan interest rates, go to www.morganstanley.com/online/MIRates. The information regarding Special Memorandum Account

Morgan Stanley

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Morgan Stanley

Account Detail

Active Assets Account

EARL L CARTER

	Percentage of Assets %	Total Cost.	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$290,000.00	\$324,563.44	\$20,980.92 LT	\$1.36	—

TOTAL VALUE (includes accrued interest)

F - You will receive either a Schedule K-1, 1099 or such other documentation from the fund each year for use in preparing your annual tax return.
 Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
6/27	Tax Exempt Dividend	MS ACTIVE ASSETS TAX FR TRUST				\$0.04
		DIV PAYMENT				
		NET CREDITS/(DEBITS)				\$0.04

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
6/30	Automatic Investment	MS ACTIVE ASSETS TAX FR TRUST	\$0.04

MESSAGES

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 Would you like to receive your Statements and other documents faster, more securely and with the added benefit of reducing paper mail? Simply visit www.morganstanley.com/edelivery to set your eDelivery preferences today. Please note, if you have not already, you will first need to register for Morgan Stanley Online to make your eDelivery selections.

Original Issue Discount**Original Issue Discount**

Bonds & Notes

7. Description: DE KALB GA DEV0.0 040121

CUSIP number: 240463BK4

1. Original issue discount for 2013

2. Other periodic interest

3. Early withdrawal penalty

4. Federal income tax withheld

5. Foreign tax paid

6. Foreign country or US possession

8. Original issue discount on US Treasury obligations

9. Investment expenses

10. State

11. State ID number

12. State tax withheld

443.11

Lines 1 & 8: This may not be the correct figure to report on your income tax return. See instructions.

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.***SUPPLEMENTAL SUMMARY INFORMATION (Not reported to the IRS)**

Taxable accrued interest paid on OID bonds

0.00
0.00
0.00

Non qualified interest

0.00
0.00
0.00

Taxable non qualified interest paid

0.00
0.00
0.00

Projected interest shortfall on contingent payment debt

DETAILS OF INCOME AND PREMIUM ACCRUAL

Begin date	Lot	Days	Original Face	Original Cost	OID Amount
01/01/13	1	80	20,000	0.00	211.85
04/01/13	1	94	20,000	0.00	231.16
Totals:					443.11

Proceeds from Broker and Dealer Exchange Transactions

Date: 03/19/13

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol
1a - Date of Sale or exchange **1e - Quantity** **2a - Proceeds of stocks, bonds, etc.**

FT DOW TGT 10 MAR 12 RE / CUSIP: 302798E316 / Symbol:

11 tax lots for 04/05/13. Total proceeds (and cost when required) reported to the IRS.			
12 tax lots for 04/05/13. Total proceeds (and cost when required) reported to the IRS.			
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Note
3.897	43.98	05/07/12	38.42
8.480	95.71	05/25/12	82.84
8.214	92.71	07/05/12	82.88
6.169	69.63	07/25/12	63.42
7.936	89.57	08/27/12	82.38
7.865	88.77	09/26/12	83.88
5.931	66.94	10/25/12	62.74
8.415	94.99	11/29/12	84.10
8.318	93.89	12/26/12	85.13
6.456	72.87	01/25/13	67.58
7.971	89.99	02/25/13	85.89
04/05/13	899.05	VARIOUS	819.26
Totals:		899.05	819.26
			79.79

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol
1a - Date of Sale or exchange **1e - Quantity** **2a - Proceeds of stocks, bonds, etc.**

ATLANTA GA 5.0 110138 / CUSIP: 047870DK3 / Symbol: 09/13/13 5,000.000

11 tax lots for 04/05/13. Total proceeds (and cost when required) reported to the IRS.			
12 tax lots for 04/05/13. Total proceeds (and cost when required) reported to the IRS.			
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Note
07/01/13	5,000.000	09/05/02	5,000.00
			...
			0.00

BLECKLEY GA 3.1 070113 / CUSIP: 08349AAD0 / Symbol: 07/01/13 5,000.000

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Note
03/19/13	20,000.000	05/13/11	5,000.00
			...

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Note
03/19/13	20,000.000	06/17/11	21,630.50
			20,753.32

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return; a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).
 **For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
 # Less commissions.

SIEBEL AGREE & LEITCH INC.

Proceeds from Brokerage and Dealer Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol**1a - Date of Sale****1e - Quantity****2a - Proceeds of #****Distribution****acquisition****original basis****last distribution****date of sale****additional information**

COBB GA AUTH. 4.5 071528 / CUSIP: 190785AZ8 / Symbol: 25,000.000 **03/27/13** **25,902.25** **07/02/10** **25,003.47** **...** **898.78** **Sale**
Note: AA
Original basis: \$25,004.50

DE KALB GA DEV0.0 040121 / CUSIP: 240463BK4 / Symbol: 20,000.000 **07/01/13** **13,471.50** **03/18/03** **12,092.98** **...** **1,378.52** **Sale**
Note: AA
Original basis: \$7,202.50

DOWNTOWN GA 3.5 080114 / CUSIP: 261172HG8 / Symbol: 5,000.000 **12/30/13** **5,000.00** **06/02/10** **5,000.00** **...** **0.00** **Redemption**
Note: AA
Original basis: \$5,310.85

DOWNTOWN GA 4.0 080118 / CUSIP: 261172HL7 / Symbol: 10,000.000 **12/30/13** **10,000.00** **10/31/06** **10,000.00** **...** **0.00** **Redemption**
Note: AA
Original basis: \$10,002.50

FT DOW TGT 10 MAR 12 RE / CUSIP: 30279E316 / Symbol: 2,447.000 **04/05/13** **27,619.78** **03/20/12** **25,006.48** **...** **2,613.30** **Redemption**

FULTON GA 3.0 / CUSIP: 359900V87 / Symbol: 25,000.000 **04/19/13** **26,245.50** **12/06/11** **25,003.98** **...** **1,241.54** **Sale**
Note: AA
Original basis: \$25,004.50

GA ST LSG 3.5 120113 / CUSIP: 373293BU2 / Symbol: 25,000.000 **12/02/13** **25,000.00** **12/02/09** **25,000.00** **...** **0.00** **Redemption**
Note: AA
Original basis: \$25,470.25

GRIFFIN GA PUB5.0 010125 / CUSIP: 398192DN1 / Symbol: 20,000.000 **01/02/13** **20,400.00** **04/30/10** **20,400.00** **...** **0.00** **Redemption**
Note: AA
Original basis: \$20,845.30

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
Loss commissions.

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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - CASH OR CASH ALTERNATIVES

Statement Period: 06/01/14 to 06/30/14



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PORTFOLIO HOLDINGS - EQUITIES

Amount	Description	Yield
(24,998.00)		
32,886.37	Cash Account Balance	
7,888.37	TREASURY CASH TRUST	
Total Cash or Cash Alternatives		

Percentage Of Total Invested Assets

Bartesia Holdings Europe

71,062 COASTAL BANKSHARES INC.	6.39	454,086.18	05/11/11	64,935 Available	Unavailable	Unavailable
RESTRICTED			05/28/13	6,127 Available	Available	Unavailable
				TOTAL:	Unavailable	Unavailable
500 FIRST TRUST ENERGY INFRASTRUCTURE FUND	RIF	23.77	11,885.00	500	21.048	10,524.45
			560.00 03/07/14			1,360.55

71	062	COASTAL BANKSHARES INC.	6.39	454,086.18	05/11/11	64,935 Unavailable	Unavailable	Unavailable	TOTAL:	100,000.00	(58,465.00)
		RESTRICTED			05/26/13	6,127 Unavailable	Unavailable	Unavailable			
165	000	FIRST TRUST ENERGY	RIF	23.77	11,885.00	660.00 03/07/14	500	21.048	10,524.45	1,360.55	
		INFRASTRUCTURE FUND									
165	000	OMNICARE INC	OCR	66.57	10,984.05	132.00 09/16/05	31	Unavailable	Unavailable	Unavailable	
						04/20/06	7	Unavailable	Unavailable	Unavailable	
						05/01/05	20	Unavailable	Unavailable	Unavailable	
						05/02/07	45	Unavailable	Unavailable	Unavailable	

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INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - EQUITIES

Common Stocks							Unrealized Gain/Loss	
Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Trade Date	Unit Cost	Cost Basis
65,09/09 Q1/25/10						44 Unavailable	Unavailable	Unavailable
						19 Unavailable	Unavailable	Unavailable
						TOTAL	Unavailable	Unavailable

Total Common Stocks

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PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
25,000	ATLANTA GA DEV AUTH STDNT HSG REV RFDG FACS PIEDMONT B/E 01D @ \$5.602 CPN 3.000% DUE 09/01/28 DTD 05/14/13 FC 09/01/13 CALL 09/01/23 @ 100.0000 CUSIP# 047771D12	93.503	23,375.75	750.00	04/19/13	25,000	98.205	24,577.39	(1,201.64)	250.00
10,000	ATLANTA GA DEV AUTH REV TUFF ATDCP SER C B/E 01D @ \$8.398 4.123% CPN 4.000% DUE 01/01/16 DTD 09/01/05 FC 01/01/06	101.94	10,194.00	400.00	04/13/10	10,000	103.447	10,057.47	156.53	200.00

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EARL L CARTER

INVESTMENT ACCOUNT



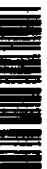
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ACCOUNT NUMBER 21645305

Statement Period: 06/01/14 to 06/30/14

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
25,000	BIBB CNTY GA DEV AUTH REV MACON ST CLLG FRNDTN REAL AGM B/E OID @97.641 CPN 3.250% DUE 07/01/30 DTD 12/18/12 FC 01/01/13 CALL 07/01/22 @ 100.0000 CUSIP# 08869FEV7	91.224	22,806.00	802.50	2014-02-25	25,000	100.018	25,003.91	(2,197.91)	406.25
15,000	BLECKLEY BODGE CNTY GA J DEV AUTH STUDENT HSG REV B/E OID @97.547 5.29% CPN 5.125% DUE 07/01/37 DTD 02/20/08 FC 07/01/08 CALL 07/01/18 @ 100.0000 CUSIP# 08349AA6	103.317	15,497.55	768.75	2014-02-20	15,000	103.125	15,259.91	237.64	384.38
20,000	CAIRO GA COMB PUB UTHL REV RPDG & IMPRT AGM B/E CPN 4.500% DUE 01/01/19 DTD 12/01/04 FC 07/01/05 CALL 01/01/15 @ 100.0000 CUSIP# 127796AP5	101.498	20,299.60	900.00	2014-05-09	20,000	100.015	19,999.83	299.77	450.00
30,000	CARROLLTON GA PAYROLL DEV AUTH REV UNG PHASE II PJAGNB/E OID @99.622 CPN 3.000% DUE 06/16/23 DTD 07/17/12 FC 12/15/12 CALL 06/15/22 @ 100.0000 CUSIP# 145339KB2	99.75	29,925.00	900.00	2014-02-12	30,000	100.015	30,003.72	(78.72)	40.00
20,000	CARROLLTON GA PAYROLL DEV REV ANTIC CTF UNG ATHLT AGM B/E OID @97.159	100.704	20,140.80	650.00	2014-03-30-12	20,000	99.554	19,925.99	214.81	28.89



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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
	CPN 3.250% DUE 06/15/23 DTD 04/12/12 FC 06/15/12 CALL 06/15/18 @ 100.0000 CUSIP# 145339IG3									
20,000	CARROLLTON GA PATROL DEV AUTH REV STNTN HSG A OID #995.134 SINCLAIR B/E CPN 4.000% DUE 09/01/18 DTD 10/02/04 FC 03/01/05 CALL 09/01/14 @ 100.0000 CUSIP# 145339CH8	100.334	20,066.80	900.00	06/30/18	20,000	103.759	20,033.58	33.22	286.67
25,000	COBB CNTY GA DEV STNT REC & ACTIVITIES REV B/E KSU SRAC OID #97.268 CPN 3.250% DUE 07/15/29 DTD 03/07/13 FC 07/15/13 CALL 07/15/22 @ 100.0000 CUSIP# 190777CAP6	90.941	22,735.25	812.50	03/01/13	25,000	100.018	25,004.00	(2,268.75)	374.65
15,000	COBB CNTY GA DEV AUTH STNTN HSG REV RDG SR KENNESAW B/E OID #97.351 OPN 4.125% DUE 07/15/24 DTD 11/20/13 FC 01/15/14 CALL 07/15/23 @ 100.0000 CUSIP# 190778BS8	103.826	15,543.90	618.751	04/13	15,000	100.03	15,004.31	539.59	285.31
25,000	COBB CNTY GA DEV STNT REC ACTIVITY CTR REV REAL EST B/E OID @98.270 CPN 3.000% DUE 07/15/25 DTD 03/07/13 FC 07/15/13 CALL 07/15/22 @ 100.0000 CUSIP# 19077CAK7	96.748	24,187.00	750.00	02/22/13	25,000	100.018	25,003.97	(816.97)	345.83

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Statement Period: 06/01/14 to 06/30/14

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EARL L. CARTER

INVESTMENT ACCOUNT

NAME / 14

ACCOUNT NUMBER 316AF-1

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CITY OF SAN FRANCISCO - DEPARTMENT OF FINANCE

Statement Period: 06/01/01

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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Statement Period: 06/01/14 to 06/30/14

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ACCOUNT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
25,000	GEOGRAPHY SER A/B/E OID @997.685 3.2% CPN 3.000% DUE 02/01/29 DTD 07/10/14 FC 02/01/15 CALL 02/01/24 @ 100.0000 CUSIP# 3435751A7	99.999	24,999.75	750.00	06/18/14	25,000	100.016	25,004.00	(4.25)	0.00
25,000	FALCON CITY GA DEV AUTH REV/FDG GA TECH/FACT A B/E OID @99.018 3.35% CPN 3.250% DUE 05/01/26 DTD 05/01/14 FC 11/01/14 CALL 05/01/24 @ 100.0000 CUSIP# 373384SA49	102.189	25,547.25	812.50	03/20/14	25,000	100.018	25,004.44	542.81	135.42
15,000	GEORGIA 5TH HSG & FIN AUTH REV SGNL FAM B/E CPN 3.125% DUE 12/01/27 DTD 02/28/13 FC 06/01/13 CALL 12/01/22 @ 100.0000 CUSIP# 373539K53	100.944	15,141.60	468.75	04/25/14	15,000	100.968	15,142.97	(1.37)	39.06
15,000	GRIFFIN GA COMB PUB UTIL REV/FDG AGM B/E OID @98.295 3.15% CPN 3.000% DUE 01/01/27 DTD 1/07/12 FC 01/01/13 CALL 01/01/23 @ 100.0000 CUSIP# 398192ED2	94.063	14,109.45	450.00	03/25/12	15,000	100.03	15,003.91	(894.46)	225.00
15,000	NEWTON CNTY GA WATER REV B/E NPF/G CPN 5.000% DUE 07/01/22 DTD 09/01/04 FC 01/01/05	100.00	15,000.00	750.00	07/26/11	15,000	107.763	15,001.14	(1.14)	375.00

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EARL L CARTER

INVESTMENT ACCOUNT



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Statement Period: 06/01/14 to 06/30/14

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
25,000	OCONEE CNTY GA DA REV RFDG OFF PI B/E OID #97.701 3.25%	99.298	24,824.50	750.00	06/12/12	25,000	99.081	24,808.40	16.10	375.00
	CPN 3.000% DUE 07/01/23 DTD 06/28/12 FC 01/01/13 CALL 07/01/22 @ 100.0000 CUSIP# 67556TC50									
25,000	PAULDING CNTY GA HOSP AUTH REV ANTIC CTF SER A B/E OID #97.498 3.48%	98.659	24,664.75	812.50	12/30/13	25,000	94.199	23,568.27	1,096.48	203.13
	CPN 3.250% DUE 04/01/26 DTD 06/28/12 FC 10/01/12 CALL 04/01/22 @ 100.0000 CUSIP# 703596DB9									
10,000	PRIVATE CLGS & UNIVS AUTH GA REV RFDG EMORY UNIV SER CB/E CPN 2.500% DUE 09/01/15 DTD 07/23/15 FC 03/01/15 CUSIP# 74265JWNG	102.278	10,227.80	250.00	02/03/11	10,000	100.045	10,001.20	226.60	83.33
35,000	PRIVATE CLGS & UNIVS FACS AUTH GA REV AGNES SCOTT CLLG B/E CPN 2.550% DUE 06/01/28 DTD 04/28/11 FC 12/01/11 PUT 08/01/15 @ 100.0000 CUSIP# 742649MA1	101.768	35,618.80	892.50	10/03/11	35,000	102.829	35,255.29	363.51	74.38
20,000	RICHMOND CNTY GA DEV AUTH EDL FACS REV MCG SER A/B/E AMBAG	100.381	20,076.20	1,000.00	12/04/11	20,000	102.841	20,090.58	(14.38)	44.44

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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Interest
	CPN 5.000% DUE 12/15/34 DTD 11/18/04 FC 12/15/04 CALL 12/15/11 @ 100.0000 CUSIP# 754586AU7									
10,000	SAVANNAH GA ECON DEV AUTH AASU STDNT REV C OID @97.959 SYNCORA B/E CPN 4.000% DUE 12/01/20 DTD 05/25/05 FC 12/01/05 CALL 12/01/15 @ 100.0000 CUSIP# 80483CENG	102.434	10,243.40		400.00 06/06/14		10,000	104.118	10,426.05	(182.65) 33.33
10,000	SOUTH REGJT DEV ATH GA RV VSU AUXILIARY SC STDNT AASRD GTY B/E OID 96.903 CPN 4.500% DUE 08/01/39 DTD 06/26/08 FC 08/01/08 CALL 08/01/18 @ 100.0000 CUSIP# 859636BT3	101.301	10,130.10		450.00 12/22/11		10,000	100.045	10,003.08	127.02 187.50
25,000	SPRINGFIELD GA WTR & SWR REV RFDG B/E B/Q OID @97.739 3.2% CPN 3.000% DUE 09/01/26 DTD 07/17/12 FC 09/01/12 CALL 09/01/18 @ 100.0000 CUSIP# 850501BE6	102.145	25,336.25		750.00 06/14/12		25,000	100.018	25,003.19	533.06 250.00
Total Fixed Income - Municipal Debt										
Percentage of Total Invested Assets										
45.12%										
Statement Period: 06/01/14 to 06/30/14										

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EARL L CARTER

INVESTMENT ACCOUNT



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ACCOUNT NUMBER 21645305

Statement Period: 06/01/14 to 06/30/14

PORTFOLIO HOLDINGS - UNIT INVESTMENT TRUSTS

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
2,514.749	FIRST TRUST R BERN ADV QUAL INCM 12		10.202	25,656.47	852.75	03/12/14	2,497	10.015	25,008.21	467.18
MO RE						04/29/14	2,553	9.810	28.97	1.15
						05/29/14	4.965	9.768	48.50	2.15
						06/30/14	9.831	10.064	98.94	1.35
									TOTAL:	25,184.62
										471.83
2,604.866	FIRST TRUST INTEREST RT HEDGE 68		9.952	25,924.14	1,203.44	06/20/14	2,492	10.094	24,853.05	(350.74)
MO RE						06/20/13	9.578	8.782	84.12	11.20
						07/31/13	10.774	9.131	98.38	8.84
						07/31/13	0.512	9.121	4.67	0.42
						08/30/13	11.425	8.652	98.85	14.85
						10/02/13	11.249	8.839	99.43	12.52
						10/29/13	11.479	8.846	102.70	11.54
						11/26/13	11.467	9.005	103.27	10.85
						12/03/13	11.514	9.027	103.85	10.64
						01/27/14	11.587	9.253	99.82	7.53
						01/31/14	0.879	9.249	8.13	0.61
						02/28/14	10.826	9.262	100.26	7.46
						03/25/14	10.839	9.291	100.71	7.16
						04/25/14	10.669	9.478	101.13	5.05
						05/27/14	10.855	9.621	101.58	3.49
						06/28/14	10.323	9.877	101.97	0.76
									TOTAL:	26,161.91
										(237.82)
3,541.061	FIRST TRUST DOW TARGET 10 MAY 2014		10.014	35,460.18	1,143.40	05/12/14	3,536	9.901	35,010.90	398.60
MO RE						06/30/14	5.061	9.980	50.56	0.12
							TOTAL:		35,061.46	398.72
										632.73
Total Unit Investment Trusts			87,040.79	3,199.59						
Percentage of Total Invested Assets			7.18%							

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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

SECURITY TRANSACTION ACTIVITY

Date	Transaction	Quantity	Description	Price	Amount
06/06/14	PURCHASE	10,000	SAVANNAH GA ECON DEV AUTH AASU STDTN REV C OID @97.959 SYNCORA B/E CPN 4.000% DUE 12/01/20 DID 05/25/05 FC 12/01/05 CALL 12/01/15 @ 100.0000	104.373	(10,452.91)
06/13/14	PURCHASE	25,000	GEORGIA ST SER A/B/E OID @97.885 3.2% CPN 3.000% DUE 02/01/29 DID 07/10/14 FC 02/01/15 CALL 02/01/24 @ 100.0000	99.998	(25,004.00)
06/25/14	REINVEST DIV	10.323	FIRST TRUST INTEREST RT HEDGE 68 MO RE REINVEST AT 9.877		(101.97)
06/30/14	REINVEST DIV	9.831	FIRST TRUST R BERN ADV QUAL INCM 12 MO RE REINVEST AT 10.084		(98.94)
06/30/14	REINVEST DIV	5.061	FIRST TRUST DOW TARGET 10 MAY 2014 MO RE REINVEST AT 9.989		(50.56)
Total Securities Purchased					(35,708.38)

PAGE 12 of 14

SIPC

Statement Period: 06/01/14 to 06/30/14

THE
STERNE
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ACCOUNT

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EARL L CARTER

INVESTMENT ACCOUNT

ACCOUNT NUMBER 21645305

Statement Period: 06/01/14 to 06/30/14



PAGE 13 of 14

MATURITIES, TENDERS, REDEMPTIONS

DIVIDENDS

Date	Transaction	Quantity	Description	Amount	Date	Transaction	Quantity	Description	Amount
06/13/14	REDEMPTION	(5,000)	COLUMBUS GA WTR & SWR REV UNREFUNDED RFDG NPGC	5,000.00	06/30/14	DIVIDEND	32,895	TREASURY CASH TRUST	0.12
		B/E						0633014	
	CPII 5.0000% DUE 05/01/22								
	DTD 04/01/05 FC 05/01/05								
	PRE 06/13/14 @ 100.000								
			Total Dividend Credits						
				345.59					

DIVIDENDS

Total Maturities, Tenders, Redemptions Credit

Date	Transaction	Quantity	Description	Amount	
06/16/14	DIVDEND	500	FIRST TRUST ENERGY INFRASTRUCTURE FUND	55.00	
06/25/14	DIVIDEND	2,594.543	FIRST TRUST INTEREST RT HEDGE 98 NO RE 062514	101.97	06/02/14 INTEREST
06/26/14	DIVIDEND	165	OMNICARE INC	33.00	062614 PRIVATE CLIGS & UNIVE FACS AUTH GA REV AGNCE SCOTT CLIG B/F CPN 2.550% DUE 06/01/28 DTD 04/28/11 FC 12/01/11 060114 35,000
06/30/14	DIVIDEND	600	BANK AMERICA CORP	6.00	06/13/14 INTEREST COLUMBUS GA WTR & SWR REV UNREFUNDED RFDG NPFG B/E CPN 5.000% DUE 05/01/22 DTD 04/01/05 FC 05/01/05 061314 5,000
06/30/14	DIVIDEND	2,504.918	FIRST TRUST R BERN ADV QUAL INCM 12 NO RE 062514	98.94	06/16/14 INTEREST CARRROLLTON GA PAYROLL 450.00

MR. EARL CARTER
CARTER'S PHARMACY
1017 EAST HIGHWAY 80
POOLER GA 31322-

Contact Information
For additional information:
1-800-743-5274
www.retiresmart.com

MB 02 009719 28190 H 47 A



EARL CARTER
406 PURPLE FINCH DRIVE
POOLER GA 31322-1712

10/01/2013 - 12/31/2013

Birth Year: 1957 Hire Date: 11/01/1988

Carter's Pharmacy Profit Sharing Plan Retirement Plan Statement

Account Summary :::::::::::::::::::::

Beginning Balance		\$1,607,844.84
Contributions	<i>Participant</i>	\$3,538.48
	<i>Employer</i>	\$961.52
Withdrawals		\$0.00
Expenses		-\$416.90
Gain/Loss		\$155,011.85
Ending Balance		\$1,766,939.79
Vested Balance		\$1,766,939.79
Change this Period		\$159,094.95

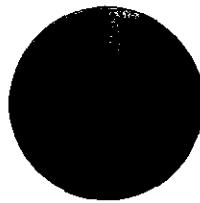
Your vested account balance is current as of 12/31/2013.

Rate of Return

This Period	9.63%
Year-to-Date	31.78%

Estimated dollar-weighted rates of return based on cash flow in account. The calculation assumes an evenly distributed cash flow throughout applicable periods. Returns could be distorted by non-periodic transactions and may differ from the investment option performance because of the level and timing of cash flows. Plan expenses are not reflected as part of this calculation.

Investment Mix by Asset Class



Cash	6%
Stock	94%
Total:	100%

Balances are rounded. Investments with less than 1% are not shown.



CONSOLIDATE This year, plan to de-clutter your financial life. Consolidate all of those old workplace retirement plans and IRAs into a couple of accounts. This simplifies things to make monitoring your financial situation as easy as possible.



RetireSMARTSM
Moves



ALLOCATE



CONSOLIDATE



PLAN



SAVE

RetireSmartSM: Will You Have Enough? :::::::::::::::::::::

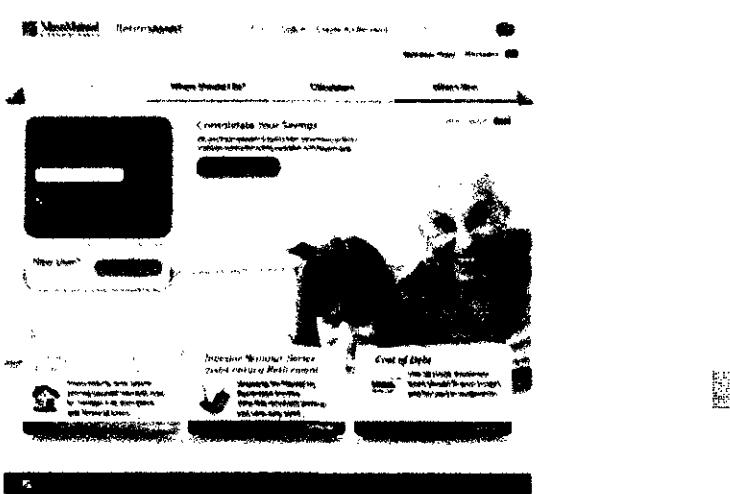
New Year + New Website = Better Retirement Planning

Want real-life help with retirement planning? MassMutual is here for you with website enhancements that put all the tools you'll need at your fingertips:

Improved Design – Our new look and feel make it easier to find the right planning information when you need it.

Life Stage Planning – The “Where Should I Be?” and “Calculators” tabs give you the resources you need whether you are just starting to save, planning for a home, kids or college, or getting ready to retire.

Get the Latest – Check out upcoming events, new tools, cool facts and more on the “What’s New?” tab.



All this (and more!) is coming to our website in early 2014.

 For quick updates on everything new, as well as great info, tips and tools, “Like” us on Facebook at www.facebook.com/retiresmart.

10/2014 - 11/15

10/2014 - 11/15

Beneficiary(ies) :::::::::::::::::::::

Amy C. Carter

Percentage
100%

Activity Summary by Source :::::::::::::::::::::

PARTICIPANT	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
Rollover	\$175,327.55	\$0.00	\$0.00	\$0.00	\$18,386.44	\$193,713.99

Activity Summary by Source (continued) :::::::::::::::::::::

	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
401(k) Contribution	\$480,773.49	\$3,538.48	\$0.00	-\$416.90	\$45,464.38	\$529,359.45
TOTAL PARTICIPANT	\$656,101.04	\$3,538.48	\$0.00	-\$416.90	\$63,850.82	\$723,073.44
EMPLOYER						
Company Profit Sharing	\$744,228.96	\$0.00	\$0.00	\$0.00	\$71,249.03	\$815,477.99
Company Match	\$199,143.13	\$961.52	\$0.00	\$0.00	\$19,176.97	\$219,281.62
Safe Harbor Non-elective	\$8,371.71	\$0.00	\$0.00	\$0.00	\$735.03	\$9,106.74
TOTAL EMPLOYER	\$951,743.80	\$961.52	\$0.00	\$0.00	\$91,161.03	\$1,043,866.35
TOTAL ALL SOURCES	\$1,607,844.84	\$4,500.00	\$0.00	-\$416.90	\$155,011.85	\$1,766,939.79



SAVE Families and college students, make note of 2013 tuition payments. The Higher Education Tuition Deduction has been extended this year, allowing deductions between \$2,000 and \$4,000 of qualified tuition costs.

Contribution Summary by Source :::::::::::::::::::::

Pay Period: 10/22/2013 - 11/19/2013

	This Period	Vested Balance	Vested Percent
PARTICIPANT			
Rollover	\$0.00	\$193,713.99	100%
401(k) Contribution	\$3,538.48	\$529,359.45	100%
TOTAL PARTICIPANT	\$3,538.48	\$723,073.44	
EMPLOYER			
Company Profit Sharing	\$0.00	\$815,477.99	100%
Company Match	\$961.52	\$219,281.62	100%
Safe Harbor Non-elective	\$0.00	\$9,106.74	100%
TOTAL EMPLOYER	\$961.52	\$1,043,866.35	
TOTAL CONTRIBUTIONS	\$4,500.00	\$1,766,939.79	

Expense Detail :::::::::::::::::::::

	Amount
Asset Charge	-\$416.90

In addition to the fees and expenses disclosed on the quarterly statement, some of the plan's administrative expenses for the preceding quarter were paid from the total annual operating expenses of one or more of the plan's designated investment alternatives (e.g. through revenue sharing arrangements, Rule 12b-1 fees, sub transfer agent fees).

Life Insurance as of 06/30/2012 :

Life Insurance Amount	Annual Premium	Cash Value
\$598,457.00	\$0.00	\$168,309.86

The fair market value of the policy may not equal, and may exceed, the cash surrender value of the policy at the time of transfer. Cash value is not included in statement ending balance.

Activity Summary by Investment :

	Beginning Balance	Deposits	Withdrawals	Expenses	Transfers	Gain/Loss	Ending Balance	% of Assets
CASH								
Guaranteed	\$101,153.45	\$675.00	\$0.00	-\$31.51	\$0.00	\$758.64	\$102,555.58	6%
TOTAL CASH	\$101,153.45	\$675.00	\$0.00	-\$31.51	\$0.00	\$758.64	\$102,555.58	6%
STOCK								
Comstock (Invesco)	\$1,039,500.86	\$1,575.00	\$0.00	-\$237.92	\$0.00	\$109,064.57	\$1,149,902.51	65%
MM S&P 500 Index(Northrn Trst)	\$306,709.70	\$1,575.00	\$0.00	-\$97.60	\$0.00	\$32,070.62	\$340,257.72	19%
MM S&P Md Cp Indx (Nrtm Tr)	\$160,480.83	\$675.00	\$0.00	-\$49.87	\$0.00	\$13,118.02	\$174,223.98	10%
TOTAL STOCK	\$1,506,691.39	\$3,825.00	\$0.00	-\$385.39	\$0.00	\$154,253.21	\$1,664,384.21	94%
TOTAL ALL INVESTMENTS	\$1,607,844.84	\$4,500.00	\$0.00	-\$416.90	\$0.00	\$155,011.85	\$1,766,939.79	100%

Percentages are rounded. Balances with less than 1% show as 0%. Expenses column does not include the investments' expenses.

Investment Performance as of 12/31/2013 :

Blue indicates options you are invested in. Balances rounded, investments with less than \$1 not shown.

The Future Contribution Investment Selection source group(s) in the chart below are defined as follows:

Source Group 1 - All Contributions

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
		Source Group 1				
CASH						
STABLE VALUE						
Guaranteed	\$102,555	15%	N/A	N/A	N/A	N/A
Effective Annual Interest Rate: 3.00%						
Benchmark: N/A						
BOND						
INTERMEDIATE TERM BOND						
Prm Core Bond (Babson)			-1.44%	3.91%	6.26%	4.81%
Expense Ratio as of 02/01/2013:						
Gross .77%, Net .59%						
Benchmark: Barclays US Agg Bond			-2.02%	3.28%	4.45%	4.55%

Investment Performance as of 12/31/2013 (continued) :::::::::::::::::::::

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	
Bond Index (Northern)		Source Group 1	-2.58%	2.74%	3.69%	4.23%
Expense Ratio as of 07/31/2013:						
Gross .86%, Net .86%						
Inception Date: 03/01/2007						
Benchmark: Barclays US Agg Bond			-2.02%	3.28%	4.45%	4.55%
STOCK						
LARGE CAP VALUE						
Comstock (Invesco)	\$1,149,902	35%	35.24%	16.38%	18.73%	7.75%
Expense Ratio as of 08/28/2013:						
Gross .86%, Net .86%						
Benchmark: Russell 1000® Value Idx			32.54%	16.06%	16.67%	7.58%
LARGE CAP CORE						
MM S&P 500 Index(Northrn Trst)	\$340,257	35%	31.86%	15.70%	17.49%	6.96%
Expense Ratio as of 04/01/2013:						
Gross .47%, Net .42%						
Benchmark: S&P 500® Index			32.41%	16.18%	17.94%	7.40%
Blended Research Core Eq (MFS)			35.98%	16.94%	18.45%	8.84%
Expense Ratio as of 01/28/2013:						
Gross 1.06%, Net .60%						
Benchmark: S&P 500® Index			32.41%	16.18%	17.94%	7.40%
LARGE CAP GROWTH						
Capital Appreciation (Alger)			34.89%	16.33%	21.71%	11.13%
Expense Ratio as of 03/01/2013:						
Gross 1.29%, Net 1.29%						
Benchmark: Russell 1000® Growth Index			33.49%	16.45%	20.39%	7.83%
MID CAP CORE						
MM S&P Md Cp Indx (Nrtn Tr)	\$174,223	15%	32.72%	N/A	N/A	29.85%
Expense Ratio as of 04/01/2013:						
Gross .65%, Net .55%						
Inception Date: 08/01/2012						
Benchmark: S&P MidCap 400			33.50%	15.65%	21.90%	10.36%
SMALL CAP VALUE						
Sm Cmpny Opportunity (Victory)			32.91%	14.67%	19.48%	10.63%
Expense Ratio as of 03/01/2013:						
Gross 1.38%, Net 1.38%						
Benchmark: Russell 2000® Idx			38.82%	15.67%	20.08%	9.07%
SMALL CAP CORE						
MM Rsl 2000 SmCp Idx (N Tr)			38.12%	N/A	N/A	33.14%
Expense Ratio as of 04/01/2013:						
Gross .74%, Net .63%						
Inception Date: 08/01/2012						
Benchmark: Russell 2000® Idx			38.82%	15.67%	20.08%	9.07%

Investment Performance as of 12/31/2013 (continued) :

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	
Small Cap Equity (Invesco) Expense Ratio as of 04/29/2013: Gross 1.34%, Net 1.34% Benchmark: Russell 2000® Iidx		Source Group 1	36.68%	15.61%	19.04%	8.89%
SMALL CAP GROWTH			38.82%	15.67%	20.08%	9.07%
New Horizons (T. Rowe Price) Expense Ratio as of 05/01/2013: Gross 1.20%, Net 1.20% Benchmark: Russell 2000® Growth Index			48.73%	22.40%	28.73%	12.40%
INTL/GLOBAL LARGE CORE			43.29%	16.82%	22.57%	9.40%
Sel Overseas (MFS/Hrs/JPMorgn) Expense Ratio as of 04/01/2013: Gross 1.45%, Net 1.14% Benchmark: MSCI® EAFE® Iidx			22.58%	9.55%	15.74%	8.41%
MM MSCI EAFE Intl Iidx(N Tr) Expense Ratio as of 04/01/2013: Gross .71%, Net .59% Inception Date: 08/01/2012 Benchmark: MSCI® EAFE® Iidx			22.79%	8.17%	12.44%	6.91%
ASSET ALLOCATION			21.60%	N/A	N/A	25.92%
ASSET ALLOCATION/LIFESTYLE						
Prm Balanced (Babson) Expense Ratio as of 02/01/2013: Gross .69%, Net .69% Benchmark: Premier Balanced Custom Index			17.27%	10.62%	13.00%	6.50%
ASSET ALLOCATION/LIFECYCLE						
Retirmnt Incm (T. Rowe Price) Expense Ratio as of 10/01/2012: Gross .82%, Net .82% Benchmark: S&P Target Date Ret Inc TR			16.77%	10.46%	12.32%	6.37%
Retirmnt 2005 (T. Rowe Price) Expense Ratio as of 10/01/2012: Gross .84%, Net .84% Inception Date: 03/01/2004 Benchmark: S&P Target Date Ret Inc TR			8.96%	6.54%	10.11%	5.57%
Retirmnt 2010 (T. Rowe Price) Expense Ratio as of 10/01/2013: Gross .85%, Net .85% Benchmark: S&P Target Date 2010 TR			6.28%	5.91%	7.62%	4.85%
			9.60%	7.14%	11.22%	5.91%
			6.28%	5.91%	7.62%	4.85%
			11.61%	7.87%	12.49%	6.36%
			9.42%	6.94%	9.16%	5.47%

Investment Performance as of 12/31/2013 (continued) :::::::::::::::::::::

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	
Retirmnt 2015 (T. Rowe Price)		Source Group 1	14.82%	9.02%	14.01%	6.59%
Expense Ratio as of 10/01/2013:						
Gross .90%, Net .90%						
Inception Date: 03/01/2004						
Benchmark: S&P Target Date 2015 TR			12.15%	7.90%	10.54%	5.92%
Retirmnt 2020 (T. Rowe Price)			17.75%	10.03%	15.32%	7.10%
Expense Ratio as of 10/01/2013:						
Gross .94%, Net .94%						
Benchmark: S&P Target Date 2020 TR			14.75%	8.76%	11.75%	6.26%
Retirmnt 2025 (T. Rowe Price)			20.39%	10.81%	16.33%	7.12%
Expense Ratio as of 10/01/2013:						
Gross .97%, Net .97%						
Inception Date: 03/01/2004						
Benchmark: S&P Target Date 2025 TR			17.04%	9.50%	12.77%	6.56%
Retirmnt 2030 (T. Rowe Price)			22.69%	11.55%	17.22%	7.61%
Expense Ratio as of 10/01/2012:						
Gross 1.00%, Net 1.00%						
Benchmark: S&P Target Date 2030 TR			19.14%	10.13%	13.60%	6.73%
Retirmnt 2035 (T. Rowe Price)			24.54%	12.04%	17.75%	7.43%
Expense Ratio as of 10/01/2012:						
Gross 1.02%, Net 1.02%						
Inception Date: 03/01/2004						
Benchmark: S&P Target Date 2035 TR			20.84%	10.67%	14.27%	6.84%
Retirmnt 2040 (T. Rowe Price)			25.61%	12.37%	17.99%	7.78%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Benchmark: S&P Target Date 2040 TR			22.09%	11.06%	14.77%	6.96%
Retirmnt 2045 (T. Rowe Price)			25.64%	12.37%	17.97%	7.66%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 06/01/2005						
Benchmark: S&P Target Date 2045 TR			23.14%	11.38%	15.09%	6.72%
Retirmnt 2050 (T. Rowe Price)			25.59%	12.34%	17.96%	5.81%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: S&P Target Date 2050+ TR			24.13%	11.67%	15.27%	6.26%

Investment Performance as of 12/31/2013 (continued) :::::::::::::::::::::

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	Inception
Retirmnt 2055 (T. Rowe Price)		Source Group 1	25.57%	12.38%	17.97%	5.80%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: S&P Target Date 2055+ TR			24.96%	12.02%	15.49%	4.14%

Performance shown since inception date for options that do not have full time periods. Inception date information may vary by investment option. Consult the applicable prospectus or fact sheet as noted for additional details.

Performance shown is historical and does not guarantee future results. Current performance may be higher or lower than that shown. For investment options other than the guaranteed or fixed interest accounts, investment return and principal values fluctuate so that your value at distribution may be more or less than the amount invested. There are no assurances investment objectives will be achieved. Performance shown is net of investment management fees and other investment fees and expenses and includes reinvestment of dividends and capital gains distributions, if any. Contract or plan charges, if applicable, have not been deducted from performance. Returns will be lower if they are deducted.

Money in the guaranteed or fixed interest account is subject to market value adjustment if your plan's contract is terminated (in whole or part) prior to distribution, in which case your account balance may increase or decrease.

Indexes and benchmarks are not actively managed, do not incur fees or expenses and are not available for direct investment.

For Current Performance (including most recent month end) or information on Investment Selections please go to www.retiresmart.com.

Please consider an investment option's objectives, risks, fees and expenses carefully before investing. This and other information about the investment option can be found in the applicable prospectuses or summary prospectuses, if any, or fact sheets for the investment options listed, which are available from your plan sponsor, the participant web site at www.retiresmart.com, or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 8:00 p.m. ET, Monday through Friday. Please read them carefully before investing.

Important Information :::::::::::::::::::::

Please review the information contained in this statement. Call **1-800-743-5274** and notify MassMutual in writing within 30 days if you think any of the data is incorrect. MassMutual is not responsible for losses that arise due to changes in the market or if you do not contact us in a timely manner.

Important Information (continued) :::::::::::::::::::::

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals.

For more information regarding individual investing and diversification, please visit the Department of Labor website at www.dol.gov/ebsa/investing.html.

Permitted Disparity If applicable, the Plan Administrator may allocate the plan sponsor's non-elective (Profit Sharing/Money Purchase) contributions to the Plan using a method known as "permitted disparity." Under this method, the plan administrator will allocate a greater amount (measured as a percentage of compensation) to those whose annual compensation exceeds a specified amount up to the Social Security Taxable Wage Base in effect for the year (excess contribution), and a lesser percentage to those whose compensation does not exceed the specified amount (base contribution). The permitted disparity between the allocation rate of the base contribution and the excess contribution is limited to a percentage that can not exceed 5.7%. The allocation is structured to take into account the lower contribution rate that the plan sponsor makes to Social Security on behalf of those participants who earn more than the Social Security Taxable Wage Base.

Excessive Trading Your plan is subject to controls on excessive trading which generally prohibit you from transferring in to most investment options if you have transferred in and out of the option within the previous 60 days. Certain stable value investment options are not subject to this restriction. A copy of the complete excessive trading control policy applicable to your plan is provided annually with your account statement and is also available on MassMutual's website, RetireSmartSM, at www.retiresmart.com.

Life Insurance If applicable, the cash value of your life insurance policy(ies) will be provided to you periodically in a separate report from your plan sponsor if the values have not been provided to MassMutual for reporting on this statement.

Accrued Benefit (vesting) Your account statement indicates the amount of your accrued benefit and, in most cases, the portion of your benefit which is vested (nonforfeitable). If your statement does not indicate the portion of your benefit which is vested or if the vested amount shown on your statement is zero, please refer to the vesting provisions in your Summary Plan Description or consult with your Plan Administrator for that information.

ELEPHANT

MR. EARL CARTER
CARTER'S PHARMACY
1017 EAST HIGHWAY 80
SUITE 10
POOLER GA 31322-

IB 03 001725 62549 H 16 A

EARL CARTER
406 PURPLE FINCH DRIVE
POOLER GA 31322-1712

Contact Information
For additional information:
1-800-743-5274
www.retiresmart.com

04/01/2014 - 06/30/2014

Birth Year: 1957 Hire Date: 11/01/1988

Pharmacy Profit Sharing Plan Statement

Account Summary

Ending Balance	\$1,834,878.12
Contributions	\$5,307.72
Employer	\$1,500.00
Withdrawals	-\$5,212.50
Interest	-\$462.96
SS	\$81,576.06
Balance	\$1,917,586.44
Beginning Balance	\$1,917,586.44
Contributions this Period	\$82,708.32

Estimated account balance is current as of 12/31/2013.

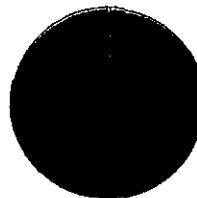
Return

Total	4.44%
Date	6.43%

Estimated dollar-weighted rates of return based on cash flow in account. Calculation assumes an evenly distributed cash flow throughout the periods. Returns could be distorted by non-periodic contributions and may differ from the investment option performance due to the level and timing of cash flows. Plan expenses are not included as part of this calculation.

account

Investment Mix by Asset Class



Cash	6%
Stock	94%
Total:	100%

Balances are rounded. Investments with less than 1% are not shown.



PLAN Can you tell fact from fiction when it comes to retirement planning? Check out our website for the RetireSmart Challenge, a fun way to test your knowledge about planning for the future. Click "Share on Facebook" when you're done so friends and family can play along!

MassMutual
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SAVE

RetireSmartSM: Will You Have Enough? :::::::::::::::::::::

Let's Make A DEAL!

Looking for ways to save a few extra dollars? Join our RetireSmart seminar series on August 6 as Farnoosh Torabi presents "The Art of Negotiating a Deal." She'll discuss how to negotiate savings on major purchases (like homes, cars, tuition and TVs), and help you find great ways to save on everyday expenses (car insurance, cable, general shopping, student loans, car loans, debt consolidation, etc.). Don't forget, you can ask Farnoosh your own questions during the live Q&A following her presentation.

Join us: Wednesday, August 6, 2014
 12:00 p.m. ET
 www.retiresmartseminars.com

Farnoosh has appeared [REDACTED] on NBC's Today Show, MSNBC, CNN, Fox News. She served as host of Wall Street Confidential with Jim Cramer for TheStreet.com and appeared on SoapNet's Bank of Mom and Dad and TLC's Real Simple, Real Life. She is an accomplished author and has also written for Glamour and Money Magazine. Her advice has been featured in People, The Wall Street Journal and The New York Times. Follow and learn more about our speaker at www.farnoosh.tv.

Ms. Torabi is not affiliated with MML Distributors, LLC.



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Beneficiary(ies) :::::::::::::::::::::

Amy C. Carter

Percentage
100%

Activity Summary by Source :::::::::::::::::::::

PARTICIPANT	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
Rollover	\$197,139.88	\$0.00	\$0.00	\$0.00	\$9,072.13	\$206,212.01

Life Insurance as of 06/30/2012 :::::::::::::::::::::

Life Insurance Amount	Annual Premium	Cash Value
\$598,457.00	\$0.00	\$168,309.86

The fair market value of the policy may not equal, and may exceed, the cash surrender value of the policy at the time of transfer. Cash value is not included in statement ending balance.

Activity Summary by Investment :::::::::::::::::::::

	Beginning Balance	Deposits	Withdrawals	Expenses	Transfers	Gain/Loss	Ending Balance	% of Assets
CASH								
Guaranteed	\$108,573.36	\$1,021.17	-\$322.03	-\$33.46	\$0.00	\$806.84	\$110,045.88	6%
TOTAL CASH	\$108,573.36	\$1,021.17	-\$322.03	-\$33.46	\$0.00	\$806.84	\$110,045.88	6%
STOCK								
Comstock (Invesco)	\$1,182,908.19	\$2,382.69	-\$3,216.53	-\$263.85	\$0.00	\$54,449.61	\$1,236,260.11	64%
MM S&P 500 Index(Northrn Trst)	\$358,814.24	\$2,382.69	-\$1,109.49	-\$110.36	\$0.00	\$18,488.20	\$378,465.28	20%
MM S&P Md Cp Indx (Nrtm Tr)	\$184,582.33	\$1,021.17	-\$564.45	-\$55.29	\$0.00	\$7,831.41	\$192,815.17	10%
TOTAL STOCK	\$1,726,304.76	\$5,786.55	-\$4,890.47	-\$429.50	\$0.00	\$80,769.22	\$1,807,540.56	94%
TOTAL ALL INVESTMENTS	\$1,834,878.12	\$6,807.72	-\$5,212.50	-\$462.96	\$0.00	\$81,576.06	\$1,917,586.44	100%

Percentages are rounded. Balances with less than 1% show as 0%. Expenses column does not include the investments' expenses.

Investment Performance as of 06/30/2014 :::::::::::::::::::::

Blue indicates options you are invested in. Balances rounded, investments with less than \$1 not shown.

The Future Contribution Investment Selection source group(s) in the chart below are defined as follows:

Source Group 1 - All Contributions

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	Inception
CASH		Source Group 1				
STABLE VALUE						
Guaranteed	\$110,045.88	15%	N/A	N/A	N/A	N/A
Effective Annual Interest Rate: 3.00%						
Benchmark: N/A						
BOND						
INTERMEDIATE TERM BOND						
Prm Core Bond (Babson)			5.56%	4.43%	5.97%	5.27%
Expense Ratio as of 02/03/2014:						
Gross .51%, Net .51%						
Benchmark: Barclays US Agg Bond			4.38%	3.67%	4.86%	4.94%

Investment Performance as of 06/30/2014 (continued) :::::::::::::::::::::

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
		Source Group 1				
Bond Index (Northern)			3.89%	3.22%	4.24%	4.46%
Expense Ratio as of 07/31/2013: Gross .76%, Net .47% Inception Date: 03/01/2007 Benchmark: Barclays US Agg Bond			4.38%	3.67%	4.86%	4.94%
STOCK						
LARGE CAP VALUE						
Comstock (Invesco) Expense Ratio as of 08/28/2013: Gross .86%, Net .86% Benchmark: Russell 1000® Value Idx	\$1,236,260	35%	22.65%	16.62%	19.43%	7.89%
LARGE CAP CORE						
MM S&P 500 Index(Northrn Trst) Expense Ratio as of 04/01/2014: Gross .38%, Net .38% Benchmark: S&P 500® Index	\$378,465	35%	24.12%	16.10%	18.34%	7.34%
LARGE CAP GROWTH						
Capital Appreciation (Alger) Expense Ratio as of 03/01/2014: Gross 1.26%, Net 1.26% Benchmark: Russell 1000® Growth Index			24.62%	16.58%	18.83%	7.78%
MID CAP CORE						
MM S&P Md Cp Indx (Nrtn Tr) Expense Ratio as of 04/01/2014: Gross .61%, Net .56% Inception Date: 08/01/2012 Benchmark: S&P MidCap 400	\$192,815	15%	24.50%	N/A	N/A	25.75%
SMALL CAP VALUE						
Sm Cmpny Opportunity (Victory) Expense Ratio as of 03/01/2014: Gross 1.35%, Net 1.35% Benchmark: Russell 2000® Idx			25.26%	15.28%	21.68%	10.51%
SMALL CAP CORE						
MM Rsl 2000 SmCp Idx (N Tr) Expense Ratio as of 04/01/2014: Gross .80%, Net .65% Inception Date: 08/01/2012 Benchmark: Russell 2000® Idx			24.55%	14.24%	19.65%	9.94%
			23.63%	14.57%	20.21%	8.70%
			22.90%	N/A	N/A	25.45%
			23.63%	14.57%	20.21%	8.70%

Investment Performance as of 06/30/2014 (continued) :

	Balance	Future Contribution Investment Selection	10 Years or Since Inception			
			1 Year	3 Years	5 Years	Inception
Retirmnt 2015 (T. Rowe Price)		Source Group 1	16.30%	9.31%	12.98%	7.03%
Expense Ratio as of 10/01/2013:						
Gross .90%, Net .90%						
Benchmark: S&P Target Date 2015 TR			13.24%	8.04%	11.10%	6.12%
Retirmnt 2020 (T. Rowe Price)			18.26%	10.26%	14.13%	7.33%
Expense Ratio as of 10/01/2013:						
Gross .94%, Net .94%						
Benchmark: S&P Target Date 2020 TR			15.02%	8.88%	12.29%	6.46%
Retirmnt 2025 (T. Rowe Price)			20.06%	11.08%	15.03%	7.54%
Expense Ratio as of 10/01/2013:						
Gross .97%, Net .97%						
Benchmark: S&P Target Date 2025 TR			16.53%	9.60%	13.28%	6.76%
Retirmnt 2030 (T. Rowe Price)			21.57%	11.79%	15.84%	7.82%
Expense Ratio as of 10/01/2013:						
Gross 1.00%, Net 1.00%						
Benchmark: S&P Target Date 2030 TR			17.90%	10.23%	14.08%	6.93%
Retirmnt 2035 (T. Rowe Price)			22.64%	12.23%	16.34%	7.87%
Expense Ratio as of 10/01/2013:						
Gross 1.02%, Net 1.02%						
Benchmark: S&P Target Date 2035 TR			19.11%	10.77%	14.71%	7.04%
Retirmnt 2040 (T. Rowe Price)			23.33%	12.58%	16.55%	7.99%
Expense Ratio as of 10/01/2013:						
Gross 1.03%, Net 1.03%						
Benchmark: S&P Target Date 2040 TR			20.00%	11.17%	15.15%	7.16%
Retirmnt 2045 (T. Rowe Price)			23.34%	12.60%	16.56%	7.91%
Expense Ratio as of 10/01/2013:						
Gross 1.03%, Net 1.03%						
Inception Date: 06/01/2005						
Benchmark: S&P Target Date 2045 TR			20.73%	11.50%	15.44%	7.01%
Retirmnt 2050 (T. Rowe Price)			23.37%	12.62%	16.55%	6.22%
Expense Ratio as of 10/01/2013:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: S&P Target Date 2050+ TR			21.53%	11.83%	15.64%	6.63%
Retirmnt 2055 (T. Rowe Price)			23.34%	12.59%	16.57%	6.21%
Expense Ratio as of 10/01/2013:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: S&P Target Date 2055+ TR			22.12%	12.19%	15.86%	4.71%

Investment Performance as of 06/30/2014 (continued) : :::::::::::::::::::::

	Balance	Future Contribution Investment Selection	10 Years or Since Inception		
			1 Year	3 Years	5 Years
Small Cap Equity (Invesco)		Source Group 1	19.23%	11.76%	18.19%
Expense Ratio as of 04/29/2013: Gross 1.34%, Net 1.34% Benchmark: Russell 2000® Idx			23.63%	14.57%	20.21%
SMALL CAP GROWTH			16.73%	10.75%	21.54%
New Discovery (MFS)			24.74%	14.49%	20.49%
Expense Ratio as of 12/29/2013: Gross 1.35%, Net 1.32% Benchmark: Russell 2000® Growth Index			20.70%	8.56%	13.87%
INTL/GLOBAL LARGE CORE			23.57%	8.10%	11.77%
Sel Overseas (MFS/Hrs/JPMorgan)			23.31%	N/A	N/A
Expense Ratio as of 04/01/2014: Gross 1.01%, Net 1.01% Benchmark: MSCI® EAFE® Idx					6.93%
MM MSCI EAFE Intl Idx(N Tr)					21.43%
Expense Ratio as of 04/01/2014: Gross .69%, Net .61%					
Inception Date: 08/01/2012 Benchmark: MSCI® EAFE® Idx			23.57%	8.10%	11.77%
ASSET ALLOCATION					6.93%
ASSET ALLOCATION/LIFESTYLE					
Prm Balanced (Babson)			16.28%	10.99%	13.24%
Expense Ratio as of 02/03/2014: Gross .79%, Net .75% Benchmark: Premier Balanced Custom Index			16.18%	10.83%	12.90%
ASSET ALLOCATION/LIFECYCLE					6.73%
Retirmnt Incm (T. Rowe Price)			11.57%	6.74%	9.39%
Expense Ratio as of 10/01/2013: Gross .82%, Net .82% Benchmark: S&P Target Date Ret Inc TR			9.11%	6.07%	8.17%
Retirmnt 2005 (T. Rowe Price)			12.62%	7.42%	10.51%
Expense Ratio as of 10/01/2013: Gross .84%, Net .84% Benchmark: S&P Target Date Ret Inc TR			9.11%	6.07%	8.17%
Retirmnt 2010 (T. Rowe Price)			14.05%	8.15%	11.62%
Expense Ratio as of 10/01/2013: Gross .85%, Net .85% Benchmark: S&P Target Date 2010 TR			11.24%	7.05%	9.70%
					5.66%

Investment Performance as of 06/30/2014 (continued) :::::::::::::::::::::

Performance shown since inception date for options that do not have full time periods. Inception date information may vary by investment option. Consult the applicable prospectus or fact sheet as noted for additional details.

Performance shown is historical and does not guarantee future results. Current performance may be higher or lower than that shown. For investment options other than the guaranteed or fixed interest accounts, investment return and principal values fluctuate so that your value at distribution may be more or less than the amount invested. There are no assurances investment objectives will be achieved.

Performance shown is net of investment management fees and other investment fees and expenses and includes reinvestment of dividends and capital gains distributions, if any. Contract or plan charges, if applicable, have not been deducted from performance. Returns will be lower if they are deducted.

Money in the guaranteed or fixed interest account is subject to market value adjustment if your plan's contract is terminated (in whole or part) prior to distribution, in which case your account balance may increase or decrease.

Indexes and benchmarks are not actively managed, do not incur fees or expenses and are not available for direct investment.

For Current Performance (including most recent month end) or information on Investment Selections please go to www.retiresmart.com.

Please consider an investment option's objectives, risks, fees and expenses carefully before investing. This and other information about the investment option can be found in the applicable prospectuses or summary prospectuses, if any, or fact sheets for the investment options listed, which are available from your plan sponsor, the participant web site at www.retiresmart.com, or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 9:00 p.m. ET, Monday through Friday. Please read them carefully before investing.

Important Information :::::::::::::::::::::

Please review the information contained in this statement. Call 1-800-743-5274 and notify MassMutual in writing within 30 days if you think any of the data is incorrect. MassMutual is not responsible for losses that arise due to changes in the market or if you do not contact us in a timely manner.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals.

For more information regarding individual investing and diversification, please visit the Department of Labor website at www.dol.gov/ebsa/investing.html.

Important Information (continued) ::::::::::::::::::::::::::::::::::::

Permitted Disparity If applicable, the Plan Administrator may allocate the plan sponsor's non-elective (Profit Sharing/Money Purchase) contributions to the Plan using a method known as "permitted disparity." Under this method, the plan administrator will allocate a greater amount (measured as a percentage of compensation) to those whose annual compensation exceeds a specified amount up to the Social Security Taxable Wage Base in effect for the year (excess contribution), and a lesser percentage to those whose compensation does not exceed the specified amount (base contribution). The permitted disparity between the allocation rate of the base contribution and the excess contribution is limited to a percentage that can not exceed 5.7%. The allocation is structured to take into account the lower contribution rate that the plan sponsor makes to Social Security on behalf of those participants who earn more than the Social Security Taxable Wage Base.

Excessive Trading Your plan is subject to controls on excessive trading which generally prohibit you from transferring in to most investment options if you have transferred in and out of the option within the previous 60 days. Certain stable value investment options are not subject to this restriction. A copy of the complete excessive trading control policy applicable to your plan is provided annually with your account statement and is also available on MassMutual's website, RetireSmartSM, at www.retiresmart.com.

Life Insurance If applicable, the cash value of your life insurance policy(ies) will be provided to you periodically in a separate report from your plan sponsor if the values have not been provided to MassMutual for reporting on this statement.

Accrued Benefit (vesting) Your account statement indicates the amount of your accrued benefit and, in most cases, the portion of your benefit which is vested (nonforfeitable). If your statement does not indicate the portion of your benefit which is vested or if the vested amount shown on your statement is zero, please refer to the vesting provisions in your Summary Plan Description or consult with your Plan Administrator for that information.

**Schedule K-1
(Form 1065)**

 Department of the Treasury
Internal Revenue Service
2013 Final K-1 Amended K-1**Part III**

1	Ordinary business income (loss) 1,368	15	Credits
2	Net rental real estate income (loss) 1		
3	Other net rental income (loss) See Statement	16	Foreign transactions See Statement
4	Guaranteed payments		
5	Interest income 793		
6a	Ordinary dividends 26,988		
6b	Qualified dividends 20,939		
7	Royalties		
8	Net short-term capital gain (loss) (39,355)		
8a	Net long-term capital gain (loss) 38,865	17	Alternative minimum tax (AMT) items See Statement
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss) 1	18	Tax-exempt income and nondeductible expenses
11	Other income (loss) See Statement		
12	Section 179 deduction	19	Distributions See Statement
13	Other deductions See Statement	20	Other information See Statement
14	Self-employment earnings (loss) See Statement		
*See attached statement for additional information.			
For IRS Use Only			

**Partner's Share of Income, Deductions,
Credits, etc.**

► See back of form and separate instructions.

Part I**A** Partnership's employer identification number**B** Partnership's name, address, city, state, and ZIP code
Guardian Point, L.P.
224 Court Square
Charlottesville, VA 22902**C** IRS Center where partnership filed return
Ogden, UT**D** Check if this is a publicly traded partnership (PTP)**Part II****E** Partner's identifying number 4**F** Partner's name, address, city, state, and ZIP code
Earl L. Carter
406 Purple Finch Drive
Pooler, GA 31322**G** General partner or LLC member-manager Limited partner or other LLC member**H** Domestic partner Foreign partner**I** What type of entity is this partner? **INDIVIDUAL****I2** If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here (see instructions) **J** Partner's share of profit, loss, and capital (see instructions):

	Beginning	Ending
Profit	0.91 %	2.36 %
Loss	0.91 %	2.36 %
Capital	0.91 %	2.36 %

K Partner's share of liabilities at year end:

Nonrecourse	\$	
Qualified nonrecourse financing	\$	
Recourse	\$	

L Partner's capital account analysis:

Beginning capital account	\$	562,430
Capital contributed during the year	\$	1,500,000
Current year increase (decrease)	\$	813,487
Withdrawals & distributions	\$	()
Ending capital account	\$	2,875,917

 Tax basis GAAP Section 704(b) book
 Other (explain) _____
M Did the partner contribute property with a built-in gain or loss?
 Yes No

If "Yes," attach statement (see instructions)

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

	Code	Report on
1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.		
Passive loss	Report on	
Passive income	See the Partner's instructions	
Nonpassive loss	Schedule E, line 28, column (g)	
Nonpassive income	Schedule E, line 28, column (h)	
2. Net rental real estate income (loss)	Schedule E, line 28, column (i)	
3. Other net rental income (loss)	See the Partner's instructions	
Net income	Schedule E, line 28, column (g)	
Net loss	See the Partner's instructions	
4. Guaranteed payments	Schedule E, line 28, column (j)	
5. Interest income	Form 1040, line 8a	
6a. Ordinary dividends	Form 1040, line 9a	
6b. Qualified dividends	Form 1040, line 9b	
7. Royalties	Schedule E, line 4	
8. Net short-term capital gain (loss)	Schedule D, line 5	
9a. Net long-term capital gain (loss)	Schedule D, line 12	
9b. Collectibles (28%) gain (loss)	28% Rate Gain Worksheet, line 4 (Schedule D instructions)	
9c. Unrecaptured section 1250 gain	See the Partner's instructions	
10. Net section 1231 gain (loss)	See the Partner's instructions	
11. Other income (loss)	See the Partner's instructions	
Code		
A Other portfolio income (loss)	See the Partner's instructions	
B Involuntary conversions	See the Partner's instructions	
C Sec. 1250 contracts & straddles	Form 6781, line 1	
D Mining exploration costs recapture	See Pub. 535	
E Cancellation of debt	Form 1040, line 21 or Form 982	
F Other income (loss)	See the Partner's instructions	
12. Section 179 deduction	See the Partner's instructions	
13. Other deductions	See the Partner's instructions	
A Cash contributions (50%)	Form 4952, line 1	
B Cash contributions (30%)	Schedule E, line 19	
C Noncash contributions (50%)	See the Partner's instructions	
D Noncash contributions (30%)	Schedule A, line 23	
E Capital gain property to a 50% organization (30%)	Schedule A, line 28	
F Capital gain property (20%)	See Form 8582 instructions	
G Contributions (100%)	Form 4952, line 1	
H Investment interest expense	Schedule E, line 19	
I Deductions—royalty income	See the Partner's instructions	
J Section 59(e)(2) expenditures	Schedule A, line 23	
K Deductions—portfolio (2% floor)	Schedule A, line 28	
L Deductions—portfolio (other)	See the Partner's instructions	
M Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29	
N Educational assistance benefits	See the Partner's instructions	
O Dependent care benefits	Form 2441, line 12	
P Preproductive period expenses	See the Partner's instructions	
Q Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions	
R Pensions and IRAs	See the Partner's instructions	
S Reforestation expense deduction	See the Partner's instructions	
T Domestic production activities information	See Form 8903 instructions	
U Qualified production activities income	Form 8903, line 7b	
V Employer's Form W-2 wages	Form 8903, line 17	
W Other deductions	See the Partner's instructions	
14. Self-employment earnings (loss)		
Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's instructions before completing Schedule SE.		
A Net earnings (loss) from self-employment	Schedule SE, Section A or B	
B Gross farming or fishing income	See the Partner's instructions	
C Gross non-farm income	See the Partner's instructions	
15. Credits	See the Partner's instructions	
A Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	Form 1040, line 71; check box a	
B Low-income housing credit (other) from pre-2008 buildings	See the Partner's instructions	
C Low-income housing credit (section 42(j)(5)) from post-2007 buildings	See the Partner's instructions	
D Low-income housing credit (other) from post-2007 buildings	See the Partner's instructions	
E Qualified rehabilitation expenditures (rental real estate)	Form 1040, line 71; check box a	
F Other rental real estate credits	See the Partner's instructions	
G Other rental credits	See the Partner's instructions	
H Undistributed capital gains credit	Form 1040, line 71; check box a	
I Biofuel producer credit	See the Partner's instructions	
J Work opportunity credit	See the Partner's instructions	
K Disabled access credit	See the Partner's instructions	
L Empowerment zone employment credit	See the Partner's instructions	
M Credit for increasing research activities	See the Partner's instructions	
N Credit for employer social security and Medicare taxes	See the Partner's instructions	
O Backup withholding	See the Partner's instructions	
P Other credits	See the Partner's instructions	
16. Foreign transactions		
A Name of country or U.S. possession	Form 1116, Part I	
B Gross income from all sources	Form 1116, Part I	
C Gross income sourced at partner level	Form 1116, Part I	
Foreign gross income sourced at partnership level		
D Passive category	Form 1116, Part I	
E General category	Form 1116, Part I	
F Other	Form 1116, Part I	
Deductions allocated and apportioned at partner level		
G Interest expense	Form 1116, Part I	
H Other	Form 1116, Part I	
Deductions allocated and apportioned at partnership level to foreign source income		
I Passive category	Form 1116, Part I	
J General category	Form 1116, Part I	
K Other	Form 1116, Part I	
Other information		
L Total foreign taxes paid	Form 1116, Part II	
M Total foreign taxes accrued	Form 1116, Part II	
N Reduction in taxes available for credit	Form 1116, line 12	
O Foreign trading gross receipts	Form 8873	
P Extraterritorial income exclusion	Form 8873	
Q Other foreign transactions	See the Partner's instructions	
17. Alternative minimum tax (AMT) items		
A Post-1986 depreciation adjustment	See the Partner's instructions and the instructions for Form 6251	
B Adjusted gain or loss	See the Partner's instructions and the instructions for Form 6251	
C Depletion (other than oil & gas)	See the Partner's instructions and the instructions for Form 6251	
D Oil, gas, & geothermal—gross income	See the Partner's instructions and the instructions for Form 6251	
E Oil, gas, & geothermal—deductions	See the Partner's instructions and the instructions for Form 6251	
F Other AMT items	See the Partner's instructions and the instructions for Form 6251	
18. Tax-exempt income and nondeductible expenses		
A Tax-exempt interest income	Form 1040, line 8b	
B Other tax-exempt income	See the Partner's instructions	
C Nondeductible expenses	See the Partner's instructions	
19. Distributions		
A Cash and marketable securities	See the Partner's instructions	
B Distribution subject to section 737	See the Partner's instructions	
C Other property	See the Partner's instructions	
20. Other information		
A Investment income	Form 4952, line 4a	
B Investment expenses	Form 4952, line 5	
C Fuel tax credit information	Form 4136	
D Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's instructions	
E Basis of energy property	See the Partner's instructions	
F Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8	
G Recapture of low-income housing credit (other)	Form 8611, line 8	
H Recapture of investment credit	See Form 4255	
I Recapture of other credits	See the Partner's instructions	
J Look-back interest—completed long-term contracts	See Form 8697	
K Look-back interest—income forecast method	See Form 8866	
L Dispositions of property with section 179 deductions		
M Recapture of section 179 deduction		
N Interest expense for corporate partners		
O Section 453(j)(3) information		
P Section 453A(c) information		
Q Section 1260(b) information		
R Interest allocable to production expenditures		
S CCF nonqualified withdrawals		
T Depletion information—oil and gas		
U Amortization of reforestation costs		
V Unrelated business taxable income		
W Precontribution gain (loss)		
X Section 108(j) information		
Y Net investment income		
Z Other information		

Guardian Point, L.P.

Schedule K-1 Supporting Schedules

Partner #4

Earl L. Carter

Item L - Reconciliation

Total income (loss) per Schedule K-1	21,066
Unrealized appreciation (depreciation) and timing differences	792,421
Guaranteed payments	NONE
U.S. taxes withheld and/or other credits	NONE
Transfer of interest in	NONE
Transfer of interest out	NONE

Total Current Year Increase (Decrease) Per Item L 813,487

Box 1 - Ordinary Business Income (Loss)

IRC. Section 475(f) mark to market ordinary income (loss)	1,368
Other ordinary income (loss)	NONE

Total Box 1 1,368

Box 5 and/or Box 11 Code F - Interest Income

Amount of foreign source income included in Box 5 and/or box 11 code F: NONE

Box 6 and/or Box 11 Code F - Dividend Income

Amount of foreign source income included in Box 6a and/or Box 11 code F: 17,111
Amount of foreign source income included in Box 6b and/or Box 11 code F: 17,087

Box 11 - Other Income (Loss)

A - Other Portfolio Income (Loss)

Other portfolio income (loss) 1

Total Box 11 Code A 1

C - Net section 1256 gain(loss) -1,807
E - Cancellation of debt NONE

F - Other Income (Loss)

Section 988 gain (loss) 33,961
Swap income (loss) NONE
Other income (loss) 1

Total Box 11 Code F 33,962

Box 13 - Other Deductions

H - Investment interest expense 8,935

The amount in Box 13 Code H is derived from two types of activities:

The amount derived from trade or business activities which are not passive activities is: 8,933

Guardian Point, L.P. 35-2446021
Schedule K-1 Supporting Schedules Partner #4 Earl L. Carter
=====

After application of the limitations of Form 4952, any resulting deduction pertaining to this amount should be entered on Schedule E, Part II, Column (h).

The amount derived from investment activities is: 2

After application of the limitations of Form 4952, any resulting deduction pertaining to this amount should be entered on Schedule A, Line 14.

You should consult your tax advisor regarding this issue.

J - Section 59(e)(2) expenditures . 1

K - Deductions - Portfolio (2% Floor)

Portfolio deductions 1

Total Box 13 Code K 1

W - Other Deductions

Other Deductions 26,870

Total Box 13 Code W 26,870

Box 14 - Self-Employment Earnings (Loss)

A - Net earnings (loss) from self-employment NONE

Box 16 - Foreign Transactions

A - Foreign country code OC

B - Gross income from all sources 101,976

C - Gross income sourced at partner level 74,195

Foreign Gross Income Sourced at Partnership Level:

D - Passive income 17,111

E - General categories NONE

Deductions Allocated and Apportioned at Partner Level

G - Interest expense 8,935

H - Other 26,871

Deductions Allocated and Apportioned at Partnership Level to Foreign Source Income:

I - Passive 1

Other Information:

L - Total foreign taxes paid 3,944

Box 17 - Alternative Minimum Tax (AMT) Items

Guardian Point, L.P. 35-2446021
Schedule K-1 Supporting Schedules Partner #4 Earl L. Carter

=====

A - Post-1986 depreciation adjustment	NONE
D - Oil, gas, & geothermal gross income	1
E - Oil, gas, & geothermal deductions	NONE
F - Other AMT items	NONE

Box 19 - Distributions

=====

A - Cash and marketable securities	NONE
------------------------------------	------

Box 20 - Other Information

=====

A - Investment income	27,781
B - Investment expenses	26,871

V - Unrelated Business Taxable Income

UBTI - Ordinary income (expense)	6,582
UBTI - Short term capital gain (loss)	16,696
UBTI - Long term capital gain (loss)	12,937
Your share of Qualified Dividends included in Ordinary income (loss) subject to UBTI	4,290

Total Box 20 Code V 36,215

Interest/Dividend Income From U.S. Obligations:

Income from U.S. obligations included in Box 5, Box 6a, and/or Box 11 Code F: 1

Your share of cost to carry U.S. obligations is as follows:

Interest expense 2

Dividends Received Deduction:

Dividends eligible for the 70% Dividends Received Deduction 3,135

Passive Activities:

The amounts included on Schedule K-1 Boxes 1 and 10 are derived from passive activities. Please consult your tax advisor.

Part III, Box 13 Other Deductions, Code H -- Investment Interest Expense:

Individual partners that do not materially participate in the operations of the Partnership must report interest expense as investment interest expense on Form 4952, line 1. After application of the limitations of Form 4952, any resulting deduction pertaining to this amount should be entered on Schedule E, Part II, column (h). Individual partners that materially participate in the operations of the Partnership enter the amount in Box 13 Code H directly on Schedule E, Part II, column (h).

Part III, Box 13 Other Deductions, Code W -- Other Deductions:

Professional fees, management fees, and other deductions which are related to the Partnership's activity as a trader in securities should be entered by an "individual" taxpayer on Schedule E, Part II as nonpassive.

Part III, Box 16 Foreign Transactions, Code A -- Country Code:

Foreign Country Code OC refers to "Various Countries."

Part III, Box 19 Distributions -- Gain or Loss on Distribution from the Partnership:

If you made a withdrawal from the Partnership, you may have a gain or loss to recognize outside the Partnership in the year you received the cash distribution from the Partnership. Please note that the withdrawal shown in Box 19 Code A of the K-1 may reflect distributions that may be received/paid in the following tax year. Please consult your tax advisor.

Part III, Box 20 Other Information, Codes A & B -- Investment Income and Expenses:

The amount reported in Box 20 Code A includes Interest and Dividends (including Qualified Dividends). The amount reported in Box 20 Code B includes the expenses in Box 13 Codes K and/or W. Amounts reported in Boxes 1, 8, 9a, and 11 should be considered in computing your Net Investment Income. Please consult your tax advisor.

Part III, Box 20 Other Information, Code Y - Net Investment Income:

The Partnership has taken the position that it is engaged in a trade or business of trading in financial instruments or commodities. Unless otherwise indicated (for example, items taken into account for self-employment tax purposes),

the amounts reported on your Schedule K-1 are subject to IRC Section 1411 and should be considered in computing your Net Investment Income Tax. Deductions reported on your Schedule K-1 may be subject to certain limitations in computing your Net Investment Income Tax.

Non-Passive Activity Disclosure:

Unless otherwise noted, income from the Partnership is not passive income for purposes of the Passive Activity Loss rules (Temp. Reg. Section 1.469-1T(e)(6)).

**SCHEDULE
NJK-1**
(Form NJ-1065)

STATE OF NEW JERSEY
PARTNER'S SHARE OF INCOME
2013

For Calendar Year 2013, or Fiscal Year Beginning _____, 2013 and ending _____, 20_____

PART I General Information		Partner Number	4	
Partner's SS # or Federal EIN 253-13-3657	Partnership's Federal EIN 35-2446021			
Partner's Name Earl L. Carter	Partnership's Name Guardian Point, L.P.			
Street Address 406 Purple Finch Drive	Partnership's Street Address 224 Court Square			
City Pooler	State GA	Zip Code 31322	City Charlottesville	
State VA	Zip Code 22902			
What type of entity is partner? (see instructions) _____ NR Code	Enter Partner's percentage of: (i) Before Decrease or Termination (ii) End of Year			
Date Partner's Interest in Partnership began: _____ Month Day Year	Profit Sharing	0.91 %	2.36 %	
<input type="checkbox"/> Final NJK-1 <input checked="" type="checkbox"/> Hedge Fund	Loss Sharing	0.91 %	2.36 %	
<input type="checkbox"/> Amended NJK-1 <input type="checkbox"/> Member of Composite Return	Capital Ownership	0.91 %	2.36 %	
PART II Income Information				
Income Classifications	A. Total Distribution	NJ-1040 Filers Enter Amounts on Line Shown Below	B. New Jersey Source Amounts	NJ-1040NR Filers
1. Partnership Income (loss)	25,011			
2. Net Guaranteed Payments				
3. Partner's 401(k) Contribution				
4. Distributive Share of Partnership Income (loss) (Line 1 plus Line 2 minus Line 3)	25,011	Line 20, Page 2		Line 22, Page 1
5. Pension		Line 19, Page 2		
6. Net Gain (loss) from Disposition of Assets as a Result of a Complete Liquidation		Line 18, Page 2		Line 18, Page 1
PART III Partner's Information				
1. Nonresident Partner's Share of NJ Tax	1.			Linc 19a, Page 1 CBT-100 Linc 10a, Page 1 CBT-100S Line 8, PART-100 Line 47, NJ-1040NR Linc 22, NJ-1080C Linc 32a, NJ-1041
2. Partner's HEZ Deduction	2.			
3. Partner's Sheltered Workshop Tax Credit	3.			
PART IV Supplemental Information (Attach Schedule)				

THIS FORM MAY BE REPRODUCED

State & Local Tax Information – New Jersey:

Attached is a copy of Schedule NJK-1. A non-resident's share of the Partnership's income is not New Jersey source income (N.J.S. 54A: 5-8). Therefore, New Jersey non-residents are not required to file a New Jersey income tax return for this income.



New York State Department of Taxation and Finance

New York Partner's Schedule K-1

Tax Law – Article 22 (Personal Income Tax)

IT-204-IP Final K-1 Amended K-1For calendar year 2013 or tax year beginning and ending **Partners:** Before completing your income tax return, see Form IT-204-IP-I, *Partner's Instructions for Form IT-204-IP* (available at www.tax.ny.gov).**Partnership's information (see instructions)**

Partnership's name (as shown on Form IT-204)	Partnership's EIN
Guardian Point, L.P.	35-2446021

A Mark an X in the box if either applies to your entity Publicly traded partnership Portfolio investment partnershipB Tax shelter registration number, if any B C Business allocation percentage..... C %**Partner's information (see instructions)**

Partner's name Earl L. Carter	Partner's identifying number 253-13-3657
Partner's address 406 Purple Finch Drive	
City Pooler, GA 31322	State ZIP code

D The partner is a (mark an X in the appropriate box) General partner or LLC member-manager Limited partner or other LLC memberE What is the tax filing status of the partner? (Mark an X in the appropriate box, if known.) Individual Estate/trust PartnershipF If the partner is a disregarded entity or grantor trust,
enter the tax ID of the entity or individual reporting the income, if known F G Did the partner sell its entire interest during the tax year? G Yes No

H Partner's share of profit, loss, and capital

	Beginning	Ending
H1	0.91 %	2.36 %
H2	0.91 %	2.36 %
H3	0.91 %	2.36 %

I Partner's share of liabilities at the end of the year

I1	0
I2	0
I3	0

J Partner's capital account analysis

J1	562,430
J2	1,500,000
J3	0
J4	813,487
J5	0
J6	0
J7	2,875,917

8) Method of accounting (mark an X in the appropriate box)
 Tax basis GAAP Book Other (submit explanation)

K Resident status (mark an X in all boxes that apply)

<input type="checkbox"/> NYS full-year resident	<input type="checkbox"/> Yonkers full-year resident	<input type="checkbox"/> NYC full-year resident
<input type="checkbox"/> NYS part-year resident	<input type="checkbox"/> Yonkers part-year resident	<input type="checkbox"/> NYC part-year resident
<input checked="" type="checkbox"/> NYS nonresident	<input checked="" type="checkbox"/> Yonkers nonresident	

L If the partner was included in a group return, enter the special NYS identification number, if known.... L

118001130094



- M 1) Was Form IT-2658-E filed with the partnership? M1 Yes No
 2) Was Form MTA-405-E filed with the partnership? M2 Yes No

N NYS estimated tax paid on behalf of partner (from Form IT-2658)

	Date	Amount
N1		0
N2		0
N3		0
N4		0

Total NYS estimated tax paid on behalf of partner (add lines N1 through N4) N

O Estimated MCTMT paid on behalf of partner (from Form MTA-405)

	Date	Amount
O1		
O2		
O3		
O4		

Total estimated MCTMT paid on behalf of partner (add lines O1 through O4) O

Partner's share of income, deductions, etc.

A - Partner's distributive share items	B - Federal K-1 amount	C - New York State amount
1 Ordinary business income (loss).....	1 1,368	1
2 Net rental real estate income (loss)	2 1	0
3 Other net rental income (loss).....	3	0
4 Guaranteed payments.....	4	0
5 Interest income.....	5 793	0
6 Ordinary dividends	6 26,988	0
7 Royalties	7	0
8 Net short-term capital gain (loss)	8 (39,355)	0
9 Net long-term capital gain (loss)	9 38,865	0
10 Net section 1231 gain (loss)	10 1	0
11 Other income (loss) <i>Identify:</i>	11 32,156	0
12 Section 179 deduction	12	0
13 Other deductions <i>Identify:</i>	13 35,807	0
14 Tax preference items for minimum tax	14 1	0
<i>Identify:</i>		
15 Net earnings (loss) from self-employment	15	0
16 Tax-exempt income and nondeductible expenses	16	0
17 Distributions – cash and marketable securities.....	17 0	0
18 Distributions – other property	18 0	0
19 Other items not included above that are required to be reported separately to partners	19	0
<i>Identify:</i>		

Partner's share of New York modifications (see instructions)

20 New York State additions

Number	A - Total amount	B - New York State allocated amount
20a EA - 5	2	
20b EA -		
20c EA -		
20d EA -		
20e EA -		
20f EA -		

21 Total addition modifications (total of column A, lines 20a through 20f) 21 2



Partner's share of New York modifications (continued)**22 New York State subtractions**

Number	A – Total amount	B – New York State allocated amount
22a	ES – 3	1
22b	ES –	
22c	ES –	
22d	ES –	
22e	ES –	
22f	ES –	

23 Total subtraction modifications (total of column A, lines 22a through 22f).....**23****1****24 Additions to federal itemized deductions**

Letter	Amount
24a	
24b	
24c	
24d	
24e	
24f	

25 Total additions to federal itemized deductions (add lines 24a through 24f).....**25****0****26 Subtractions from federal itemized deductions**

Letter	Amount
26a	
26b	
26c	
26d	
26e	
26f	

27 Total subtractions from federal itemized deductions (add lines 26a through 26f)**27****0****28 New York adjustments to tax preference items****28****0****Partner's other information****29a Partner's share of New York source gross income.....****29a****29b MCTD allocation percentage (see instructions).....****29b****%****Partner's credit information****Part 1 – Flow-through credit bases and factors****Brownfield redevelopment tax credit (Form IT-611 or IT-611.1)****30 Site preparation credit component.....****A – Form IT-611****30****31 Tangible property credit component****31****32 On-site groundwater remediation credit component****32****B – Form IT-611.1**

Partner's credit information (continued)**EZ capital tax credit (Form IT-602)**

33 Contributions of money to EZ community development projects	33	
34 Recapture of credit for investments in certified EZ businesses	34	
35 Recapture of credit for contributions of money to EZ community development projects	35	

QEZE tax reduction credit (Form IT-604)

36 QEZE employment increase factor	36	
37 QEZE zone allocation factor	37	
38 QEZE benefit period factor.....	38	

Excelsior jobs program tax credit (Form IT-607)

39 Excelsior jobs tax credit component.....	39	
40 Excelsior investment tax credit component	40	
41 Excelsior research and development tax credit component.....	41	
42 Excelsior real property tax credit component	42	

Farmers' school tax credit (Form IT-217)

43 Acres of qualified agricultural property.....	43	
44 Acres of qualified conservation property	44	
45 Eligible school district property taxes paid	45	
46 Acres of qualified agricultural property converted to nonqualified use.....	46	

Other flow-through credit bases and factors**Credit bases**

	Code	Amount		Code	Amount
47a			47d		
47b			47e		
47c			47f		

Credit factors

	Code	Factor		Code	Factor		Code	Factor
47g			47i			47k		
47h			47j			47l		

Part 2 – Flow-through credits, addbacks and recaptures

48 Long-term care insurance credit (Form IT-249)	48	
49 Investment credit (<i>including employment incentive credit and historic barn rehabilitation credit; Form IT-212</i>)	49	
50 Research and development – investment credit (Form IT-212)	50	
51 Other flow-through credits		

	Code	Amount		Code	Amount
51a			51e		
51b			51f		
51c			51g		
51d			51h		

52 Addbacks of credits and recaptures

	Code	Amount		Code	Amount
52a			52d		
52b			52e		
52c			52f		



Rider:

The Partnership is engaged in the purchase and sale of securities for the account of the Partnership and its partners - accordingly, under Section 631(d) of the New York State Law, non-resident partners are exempt from tax on their distributive share of the Partnership's income, since that income arises from the purchase and sale of property for the account of the Partnership and its partners.

Information for Corporate Partners:

For New York State purposes, the Partnership is treated as a portfolio investment partnership. Please contact the general partner if you require details for Lines 16-19 of Form IT-204-CP.

GUARDIAN POINT

C A P I T A L

D

July 29, 2014

Dear Partners,

For the first six months of 2014, the Partnership appreciated 2.9% compared to a gain of 7.1% for the S&P 500.

Below are the Partnership's net returns since inception compared to the S&P 500 including dividends.

Year	Partnership	S&P 500	Relative
2012 (Jul - Dec)	12.5%	6.0%	6.5%
2013	48.2%	32.4%	15.8%
2014	2.9%	7.1%	(4.2%)
CAGR	31.0%	22.6%	8.4%
Cumulative	71.5%	50.3%	21.2%

The Partnership's top five positions at quarter's end were Constellation Software, Taro Pharmaceuticals, Markit, Fleetcor Technologies, and Whistler Blackcomb Holdings. With the exception of Markit, these businesses have been owned by the Partnership since near inception. These positions represented 44% of equity while our top ten longs represented 61% of equity. We ended the quarter with long positions representing 113% of equity and short positions totaling 14% of equity, resulting in gross exposure of 127% and net exposure of 99%. The portfolio's Beta (a measurement reflecting the tendency of a security's return to respond to the overall market) stood at .79 at quarter's end. Assuming no value added from our investment efforts, if the market were to move down 10% tomorrow, we would expect Guardian Point to be down 7.9% based on our current positioning.

* * *

"The single most important decision in evaluating a business is pricing power. If you've got the power to raise prices without losing business to a competitor, you've got a very good business. And if you have to have a prayer session before raising the price by 10 percent, then you've got a terrible business."

-Warren Buffett

TAX YEAR 2013

COPY B FOR RECIPIENT

RECIPIENT'S name, street address [including apt. no.], city or town,
provinces or state, country, and Zip or foreign postal code.

N U V E E N
Investments
P.O. Box 8530
Boston, MA 02264-8530
www.nuveen.com
Customer Service 800 257-4767

This is important tax information and is being furnished
to the Internal Revenue Service [except as indicated]. If
you are required to file a return, a negligence penalty or
other sanction may be imposed on you if this income
is taxable and the IRS determines that it has not been
reported.

Corrected (if checked) (OMB No. 1545-0110)

(Keep for your Records)

FORM 1099-DIV

• DIVIDENDS AND DISTRIBUTIONS •

2013

Page 1 of 1

[1a] Total ordinary dividends	[1b] Qualified dividends	[2a] Total capital distributions	[2b] Unrecip. sec. 1250 gain	[2d] Collectibles (28%) gain	[3] Nondividend distributions	[4] Federal income tax withheld	[6] Foreign tax paid*	[8] Cash liquidation distributions	[10] Exempt- interest dividends	[11] Specified private activity bond interest dividends	[12] State identification no.	[13] State tax withheld	[14] State tax withheld
Fund: NUVEEN INTERMEDIATE DURATION MUNI BDI													
14.65	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2.179.08	22.88		

Form 1099-DIV

*Please see instructions for additional details.



NUVEEN
Investments

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Page 1 of 2

EARL L CARTER
AND AMY C CARTER
JT TEN
406 PURPLE FINCH DR
POOLER GA 31322-1712

Your Mutual Fund Investment Value on July 1, 2014

\$68,624.78

Mutual Fund Summary

Fund Name	Product Code	Account Number	Shares Owned	X	Share Price	=	Market Value 07/01/2014
Nuveen Intermediate Duration Muni Bd I	1670	1673408	7,457.332		\$9.19		\$68,624.78
Total Mutual Fund Investment Value							\$68,624.78

Year to Date Dividend Distribution Summary

Fund Name Account Number	Tax-Free Dividends	Taxable Dividends	Short Term Capital Gains	Long Term Capital Gains
Nuveen Intermediate Duration Muni Bd I	\$1,087.58	\$0.00	\$0.00	\$0.00
Total Distributions by Distribution Type	\$1,087.58	\$0.00	\$0.00	\$0.00
Total Distributions of Your Mutual Fund Accounts				\$1,087.58

Mutual Fund Account Activity

Nuveen Intermediate Duration Muni Bd I

Product Code 1670

Account Number

Account Type

Investment

Account Owner EARL L CARTER
AND AMY C CARTER
JT TEN

Cost Basis Election Method ACST - AVERAGE COST

Cost Basis

Average Cost per Share

Total Cost Basis

Trade Date	Description	Dollar Amount	÷ Share Price	=	Number of Shares Transacted	Total Shares Owned
	Beginning Balance	\$67,264.39				7,407.973

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Mutual Fund Account Statement

Page 2 of 2

April 2, 2014 - July 1, 2014

Go to www.nuveen.com or call 1 800 257-8787

Mutual Fund Account Activity (continued)

Nuveen Intermediate Duration Muni Bd I (continued)

Product Code	1670	Account Owner	EARL L CARTER AND AMY C CARTER JT TEN				
Trade Date	Description	Dollar Amount	÷ Share Price	=	Number of Shares Transacted	Total Shares Owned	
04/30/14	INCOME REINVEST	\$181.50	\$9.16		19.814	7,427.787	
05/30/14	INCOME REINVEST	\$181.97	\$9.23		19.715	7,447.502	
06/30/14	INCOME REINVEST	\$182.44	\$9.20		19.830	7,467.332	
	Ending Balance		\$68,624.78			7,467.332	

Nuveen News

For more information about Nuveen Investments, visit our website at www.nuveen.com.

With a simple click of the mouse you can access data on all of our funds, including a prospectus, dividend declarations, share prices, and the latest yields. Remember, it's www.nuveen.com for all you need to know about the world of Nuveen Investments.

PLEASE REVIEW THIS STATEMENT AND PROMPTLY CONTACT US IN WRITING IF THERE ARE ANY DISCREPANCIES.



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