



Filing ID #10037811

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Neal Patrick Dunn MD, FACS  
**Status:** Member  
**State/District:** FL02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 08/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #1 ⇒ AB High Income Fund Advisor Share N/L (AGDYX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ American Outdoor Brands Corporation (AOBC) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Delaware small cap core fund institutional class N/L (DCCIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Delaware Value Fund Institutional Class N/L [MF]					
IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Raymond James Bank [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Summit Financial Enterprises Incorporated [PS]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL					
IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #1 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Tweedy Browne Global Value Fund N/L [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ Raymond James Bank [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ Southern Company (SO) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ Summit Financial Enterprises Incorporated [PS] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Raymond James Deposit [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Southern Company (SO) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Summit Financial Enterprises Incorporated [PS] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Columbia Seligman Global Technology FD Inst CL N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Delaware value fund institutional class N/L [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ Hartford MidCap Fund (HFMIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ MSIF International Advantage Fund Class I N/L (MFAIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]					
IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L(PRNHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Leah O Dunn IRA ⇒ Southern Company (SO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ USAA Whole Life Insurance Policy [WU]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Options to purchase shares of Summit Financial Enterprises [OP]  DESCRIPTION: Options to purchase shares of Summit Financial Enterprises; strike price \$13.72, exp. date January 26, 2026.		\$15,001 - \$50,000	None		<input type="checkbox"/>
Options to purchase shares of Summit Financial Enterprises [OP]  DESCRIPTION: Options to purchase shares of Summit Financial Enterprises; strike price \$15.00, exp. date September 1, 2027.		\$1,001 - \$15,000	None		<input type="checkbox"/>
SUMMIT BANK ACCOUNT [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Summit Bank Checking Account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ SUMMIT BANK ACCOUNT [BA]	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]  LOCATION: Panama City/ Bay, FL, US	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Small Strip Mall [RP]  LOCATION: Panama City/ Bay, FL, US	SP	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Summit Bank Checking Account [BA]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC ⇒ Miniature Golf Course [RP]  LOCATION: Panama City/ Bay, FL, US	SP	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Delaware Small Cap Core I (DCCIX) [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY Emerging Markets Equity Fund Class I N/L(IPOIX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012		None	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Pimco Corporate & Income OPP F (PTY) [MF]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Summit Financial Enterprises Inc. [PS]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC ⇒ Patient Practitioners LLC [OL]  LOCATION: Panama City, FL, US DESCRIPTION: Private limited liability company		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Jenks Ave Commercial Rental Property [RP]  LOCATION: Panama City/ Bay, FL, US		None	Rent	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Rental Residential Property [RP]  LOCATION: Washington, DC, US		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Wilson Ave Commercial Rental Property [RP]  LOCATION: Panama City/ Bay, FL, US		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Commercial Rental Building [RP]  LOCATION: Panama City/ Bay, FL, US		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad		\$1,001 - \$15,000	None		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/19/2012-Gulf Resources LLC ⇒ SUMMIT BANK ACCOUNT [BA]					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ American Outdoor Brands Corporation (AOBC) [ST]		02/26/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		01/2/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		01/2/2018	P	\$50,001 - \$100,000	
IRA #1 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		12/21/2018	P	\$1,001 - \$15,000	
IRA #1 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		03/13/2018	P	\$50,001 - \$100,000	
IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		01/3/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Tweedy Browne Global Value Fund N/L (TBGVX) [MF]		03/13/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		08/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Delaware value fund institutional class N/L (DDVIX) [MF]		01/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Hartford MidCap Fund (HFMIX) [MF]		06/21/2018	P	\$1,001 - \$15,000	
IRA #4 ⇒ Hartford MidCap Fund (HFMIX) [MF]		12/18/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		01/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		06/21/2018	P	\$1,001 - \$15,000	
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L (EIHMX) [MF]	SP	05/4/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]	SP	05/4/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]  LOCATION: Panama City, FL, US	SP	05/30/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		12/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		11/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		10/23/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		09/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Delaware Small Cap Core I (DCCIX) [MF]		06/21/2018	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Delaware Small Cap Core I (DCCIX) [MF]		12/24/2018	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		04/17/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [EF]		04/17/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		03/13/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Morgan Stanley Inst Global Opp I (MGGIX) [MF]		03/13/2018	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]		06/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [EF]		06/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012- Dunn Properties LLC ⇒ Jenks Ave Commercial Property [RP] LOCATION: Panama City, FL, US		05/25/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012- Dunn Properties LLC ⇒ Residential Real Estate [RP] LOCATION: Washington, DC, US		12/19/2018	P	\$250,001 - \$500,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Dunn Properties LLC	Spouse Director Fee	N/A
Neal Dunn Beneficiary IRA	IRA Distribution	\$9,413.00
Summit Financial Enterprises, Inc. COMMENTS: Disgorged	Director Fee	\$6,000.00
Summit Financial Enterprises COMMENTS: For services prior to entering Congress	Stock award	\$5,564.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Summit Bank	May 2018	Revolving Line of Credit	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Dunn Properties LLC
Partner	Shipwreck Golf, LLC
Partner	Gulf Resources, LLC
Chairman, Board of Directors	Summit Bank
Chairman, Board of Directors	Summit Financial Enterprises, LLC
Principal	Neal P. Dunn Consulting
Partner	Global CT & PET Imaging, LLC
Partner	Patient Practitioners, LLC
Partner	Worldwide Equine Imaging, LLC

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2015	Summit Financial Enterprises, Inc.; filer	Stock award agreement for distribution of shares of Summit Financial Enterprises pursuant to defined schedule.
January 2016	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
September 2017	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- IRA #1  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #2  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #3 (Owner: SP)  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #4  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- Leah O Dunn IRA (Owner: SP)
- Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest)  
DESCRIPTION: OWNS 100% of USAA Life Insurance Policy.
- The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC (20% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a vacant industrial lot & a Raymond James investment account
- The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC (50% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds a strip mall with multiple tenants
- The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC (16% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a miniature golf course. Owned 1/6th by Trust
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 (100% Interest)
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds shares of interest in other LLC's - Worldwide Equine Imaging, Global PET Imaging, Patient Practitioners
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS 2 commercial rental properties
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC (50% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a commercial retail building

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

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☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Neal Patrick Dunn MD, FACS , 08/13/2020