



Filing ID #10050746

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal  
**Status:** Former Member  
**State/District:** CA47

## FILING INFORMATION

**Filing Type:** Terminated Filer Report  
**Filing Year:** 2023  
**Filing Date:** 04/19/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz 403(b) ⇒ AZL Russell 1000 Growth Idx C2 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Allianz 403(b) ⇒ AZL Russell 1000 Value Index C2 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Allianz 403(b) ⇒ AZL S&P 500 Index Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Allianz 403(b) ⇒ AZL Small Cap Stock Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Allianz 403(b) ⇒ Franklin Rising Dividends Sec [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Allianz 403(b) ⇒ PIMCO EqS Pathfinder [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
American General 403(b) ⇒ Fixed Annuity [FN]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
CA Resources Long Beach, Inc. [OT]	JT	Undetermined	Royalties	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: This is the mineral rights on the Congressman's primary residence in Long Beach, CA.					
California Public Retirement System [DB]		Undetermined	Tax-Deferred		<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Contrafund (FCNTX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	None	None		<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mid Cap (FMCSX) [MF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Short Term Treasury Bond Index (FUMBX) [MF]	JT	None	None		<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Total Bond (FTBFX) [MF]	JT	None	None		<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity U.S. Bond Index Fund (FXNAX) [MF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Individually Owned ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Individually Owned ⇒ Walt Disney Company (DIS) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
JP Morgan Chase - Individual ⇒ Trans Century Limited (TCL:KN) [PS]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: This is a stock traded on the Kenyan Stock Exchange.					
Long Beach Schools Federal Credit Union [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ American Tower Corporation (AMT) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Autodesk, Inc. (ADSK) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Bank of America Corp Note [CS] DESCRIPTION: Maturity date is 4/27/2025	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ BlackRock, Inc. (BLK) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ CIT GROUP INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 08/15/22; Percentage Yield: 5%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Crown Castle International Corp. (CCI) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Deckers Outdoor Corporation (DECK) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ DREYUS TREASURY SEC MGMT ADMIN (DARXX) [BA] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ EXPEDIA GROUP INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 08/15/24; Percentage Yield: 4.5%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Hyatt Hotels [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Interest is 1.3%, maturity date is 10/01/23.					
Neuberger Berman - Beneficiary IRA ⇒ IHS MARKIT LTD NOTE CALL MAKE WHOLE [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation Date: 05/01/24; Percentage Yield: 3.625%					
Neuberger Berman - Beneficiary IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017. COMMENTS: Sale less than \$1,000					
Neuberger Berman - Beneficiary IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Neuberger Berman - Beneficiary IRA ⇒ iShares Russell 2000 Value (IWN) [EF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Neuberger Berman - Beneficiary IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ Kraft Heinz Foods Co Ser B Note 3%; Due 6/1/26 [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Beneficiary IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Neuberger Berman - Beneficiary IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT) [ST] DESCRIPTION: This asset was inherited in 2017.					<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NETFLIX INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 12/15/22; Percentage Yield: 5.5%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Salesforce.com Inc (CRM) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Service Corporation International (SCI) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Sunrun Inc. (RUN) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ The Blackstone Group Inc. (BX) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ TJX Companies, Inc. (TJX) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Uber Technologies, Inc. (UBER) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Activision Blizzard, Inc (ATVI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Autodesk, Inc. (ADSK) [ST] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Blackstone Inc. (BX) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Boeing Company (BA) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Boston Scientific Corporation (BSX) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Brookfield Infrastructure Partners LP Limited Partnership Units (BIP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Chart Industries, Inc. (GTLS) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Charter Communications Oper 4.5%; Due 2/1/24 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Citigroup Inc. Note 4.05%; Due 7/30/22 [CS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Crown Castle International Corp. (CCI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Deckers Outdoor Corporation (DECK) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ DREYUS TREASURY SEC MGMT ADMIN (DARXX) [BA]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Ecolab Inc. (ECL) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase less than \$1,000; gain was less than \$200					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Elanco Animal Health Inc Ser B Note 5.272%; Due 8/28/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Home Depot, Inc. (HD) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ IHS Markit Ltd Note Call Make Whole 3.625%; Due 5/1/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ iShares Russell 2000 Value (IWN) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Janus International Group, Inc. (JBI) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Chase & Co. (JPM) [ST]					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Netflix Inc. [CS] DESCRIPTION: Maturity date is 03/01/2024, interest rate is 5.750%.	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ NextEra Energy, Inc. (NEE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Sales less than \$1,000	JT	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ ProShares Short QQQ (PSQ) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Salesforce, Inc. (CRM) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Service Corporation International (SCI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Sunrun Inc. (RUN) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ TJX Companies, Inc. (TJX) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Uber Technologies, Inc. (UBER) [ST] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ American Express Co Note 3.7%; Due 8/3/23 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ CDW LLC / CDW Fin Corp Note 5.5%; Due 12/1/24 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Charter Communications Oper 4.5%; Due 2/1/24 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Dreyfus Treasury Sec Cash Mgmt Admin (DARXX) [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Elanco Animal Health Inc Ser B Note 5.272%; Due 8/28/23 [CS] DESCRIPTION: Corporate Bond	JT	None	Capital Gains, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Equinix Inc Note Call Make Whole 2.625%; Due 11/18/24 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Expedia Group Inc Note Call Make Whole 4.5%; Due 8/15/24 [CS] DESCRIPTION: Corporate Bond	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Global Payments Inc. Note 2.65%; Due 2/15/2025 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS] DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Netflix Inc. Note 5.5%; Due 2/15/2022 [CS] DESCRIPTION: Corporate Bond	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Nextera Energy Cap Holdings [CS] DESCRIPTION: Interest is 4.20%, maturity date is 06/20/2024	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ San Francisco Calif City [GS] DESCRIPTION: Interest rate is 5.00%, maturity date is 06/15/2023	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Service Corp Intl Note Call Make Whole 4.624%; Due 12/15/27 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Sherwin Williams Co Note 3.45%; Due 8/1/2025 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ T Mobile USA Inc Note Call Make Whole 2.25%; Due 2/15/26 [CS] DESCRIPTION: Corporate Bond	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neuberger Berman - Roth IRA ⇒ American Tower Corporation (AMT) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Roth IRA ⇒ Dreyfus Treas. Prime Cash Management INV & SHS [BA]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Roth IRA ⇒ SPDR S&P 500 ETF TRUST UNIT SER 1 S&P (SPY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ American Express Co Note [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation Date: 08/3/2023; Percentage Yield: 3.7%					
Neuberger Berman - Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Amphenol Corp Note 3.2%; Due 4/1/2024 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Ares Capital Corp Note 3.5%; Due 2/10/2023 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ BANK AMER CORP MTN [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Interest rate is 4%, maturity date is 1/22/2025					
Neuberger Berman - Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boeing Company (BA) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Brookfield Infrastructure Partners LP Class A Subordinate Voting Shares (BIPC) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ CDW LLC/CDW FIN CORP [CS]  DESCRIPTION: Maturity date is 12/1/2024, interest rate is 5.5%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Chart Industries, Inc. (GTLS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ CHARTER COMMUNICATIONS OPER [CS]  DESCRIPTION: Maturity date is 2/1/2024, interest rate is 4.5%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ CIT Group Inc Note Call Make Whole [CS]  DESCRIPTION: Maturation Date: 08/01/23; Percentage Yield: 5%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Constellation Brands Inc [CS]  DESCRIPTION: Interest rate is 3.60%, maturity date is 05/09/2024.	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Crown Castle International Corp. 1.35%; Due 7/15/2025 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Dreyfus Treas. Prime Cash Management INV & SHS [BA]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ ELANCO ANIMAL HEALTH INC NOTE [CS]  DESCRIPTION: Maturation Date: 8/28/23; Percentage Yield: 5.272%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Global Payments Inc. Note 2.625%; Due 2/15/2025 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ HCA, Inc. [CS]  DESCRIPTION: Interest rate is 5.3750%, maturity date is 02/01/2025	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ IHS MARKIT LTD NOTE CALL MAKE WHOLE [CS]  DESCRIPTION: Maturity date is 5/1/2024, interest rate is 3.625%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ iShares Russell 2000 Value (IWN) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kraft Heinz Foods Co Ser B Note 3%; Due 6/1/26 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Lennar Corp Note Call 4.5%; Due 4/30/2024 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ NETFLIX INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Interest rate is 5.5%, maturity date is 2/15/2022	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Netflix Inc. [CS] DESCRIPTION: Maturity date is 03/01/2024, interest rate is 5.750%.	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Nextera Energy Corp Holdings [CS] DESCRIPTION: Interest rate is 4.20%, maturity date is 06/20/2024.	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Oracle Corp Note 2.625%; Due 2/15/2023 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Paypal Holdings Inc. Note 2.4%; Due 10/01/2024 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corp Intl [CS] DESCRIPTION: Maturation Date: 12/15/27; Percentage Yield: 4.625% COMMENTS: Purchased 12/30/21 but settled in 2022; therefore, added to Sch A in 2022	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Sunrun Inc. (RUN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ The Blackstone Group Inc. (BX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ Thermo Fisher Scientific Inc Note 1.215% Due 10/18/24 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ TJX Companies, Inc. (TJX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile USA Inc Note Call Make Whole 2.25%; Due 2/15/26 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Toll Bros Fin Corp Note Call Make Whole 4.375%; Due 4/15/23 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ United States Treas Bond [GS]  DESCRIPTION: Maturity date is 05/04/2023, 4.569% interest rate	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ VMWare Inc Note Call Make Whole 1.4%; Due 8/15/26 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Wells Fargo CO [CS]  DESCRIPTION: Maturation: 2/13/23; Yield: 3.45%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ Zoetis Inc. Note 3.25%; Due 2/1/2023 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus California State Employee 401(K) ⇒ Bond Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Savings Plus California State Employee 401(K) ⇒ Large Cap Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus California State Employee 457 ⇒ Bond Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Savings Plus California State Employee 457 ⇒ Large Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]  DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Contrafund (FCNTX) [MF]  DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Low Priced Stock (FLPSX) [MF]  DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Mid Cap Stock (FMCSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Biotechnology (FBIOX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Communication Services (FBMPX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Discretion (FSCPX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Healthcare (FSPHX) [MF] DESCRIPTION: Inherited IRA	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Industrials (FCYIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Allianz 403(b) ⇒ AZL Russell 1000 Growth Idx C2 [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Allianz 403(b) ⇒ AZL Russell 1000 Value Index C2 [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Allianz 403(b) ⇒ AZL S&P 500 Index Fund [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Allianz 403(b) ⇒ AZL Small Cap Stock Index [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Allianz 403(b) ⇒ Franklin Rising Dividends Sec [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Allianz 403(b) ⇒ PIMCO EqS Pathfinder [MF]		09/13/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Intermediate Bond Fund (FTHRXX) [MF]	JT	05/26/2022	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Intermediate Bond Fund (FTHRXX) [MF]	JT	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Core Enhanced Index (FLCEX) [MF]	JT	07/21/2022	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Core Enhanced Index (FLCEX) [MF]	JT	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	07/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	10/21/2022	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mid Cap (FMCSX) [MF]	JT	10/21/2022	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Short Term Treasury Bond Index (FUMBX) [MF]	JT	05/26/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Total Bond (FTBFX) [MF]	JT	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity U.S. Bond Index Fund (FXNAX) [MF]	JT	10/21/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ Bk of America Corp Note [CS] DESCRIPTION: Maturity date is 4/27/2025	SP	04/21/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ CIT Group [CS] DESCRIPTION: Redeemed, interest rate is 5.0%, maturity date is 08/15/22.	SP	02/24/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Expedia Inc [CS]	SP	06/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Interest rate is 4.5%, maturity date is 8/15/24.					
Neuberger Berman - Beneficiary IRA ⇒ HCA Inc [CS]	SP	03/7/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 05/01/2023, interest rate is 5.8750%					
Neuberger Berman - Beneficiary IRA ⇒ HCA Inc [CS]	SP	05/7/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Interest rate is 5.875%, maturity date is 5/1/23.					
Neuberger Berman - Beneficiary IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	01/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Hyatt Hotels [CS]	SP	03/3/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Interest is 1.3%, maturity date is 10/01/23.					
Neuberger Berman - Beneficiary IRA ⇒ Netflix [CS]	SP	02/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed, interest rate is 5.50%, maturity date is 12/15/22.					
Neuberger Berman - Beneficiary IRA ⇒ ProShares Short QQQ (PSQ) [ST]	SP	01/14/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ ProShares Short QQQ (PSQ) [ET]	SP	02/24/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	01/14/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Elanco Animal Health Inc [CS]	JT	04/13/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Tendered, Maturity date 08/28/2023, interest rate 5.272% COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Expedia Inc [CS]	JT	06/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Interest rate is 4.5%, maturity date is 8/15/24 COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ General Mtrs Co Note [CS]	JT	11/4/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 10/01/2025, interest rate is 6.125%					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ HCA inc [CS]	JT	05/7/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Interest rate is 5.875%, maturity date is 5/1/23 Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ HCA Inc [CS]	JT	03/7/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 05/01/2023, interest rate is 5.8750% COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Home Depot, Inc. (HD) [ST]	JT	01/21/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	JT	04/6/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Netflix [CS]	JT	02/15/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Redeemed, interest rate 5.5%, maturity date 02/15/22. COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Netflix Inc [CS]	JT	03/29/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 03/01/2024, interest rate is 5.750%.					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Nextera Energy Cap Hldgs [CS]	JT	06/21/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Interest is 4.20%, maturity date is 06/20/2024 COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ ProShares Short QQQ (PSQ) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ ProShares Short QQQ (PSQ) [ET]	JT	02/24/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ San Francisco Calif City [CS]	JT	05/18/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Interest rate is 5.0%, maturity date is 6/15/23. COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ San Francisco Calif City & City Bonds [CS]	JT	04/27/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Interest rate is 5.00%, maturity date is 06/15/2023 COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Sherwin Williams Co Note [CS]  DESCRIPTION: Maturity date is 08/01/2025, interest rate is 3.45% COMMENTS: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust #2	JT	11/4/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Sunrun Inc. (RUN) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Activision Blizzard, Inc (ATVI) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	12/12/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Blackstone Inc. (BX) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	11/14/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Boeing Company (BA) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	06/15/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Boston Scientific Corporation (BSX) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Chart Industries, Inc. (GTLS) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	12/9/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Citigroup Inc [CS]  DESCRIPTION: Redeemed Interest rate was 4.050%, maturity date was 7/30/2022. COMMENTS: Sub holding Neuberger Berman - Malumed Lowenthal Rev Trust	JT	08/1/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Crown Holdings, Inc. (CCK) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	10/21/2022	S	\$999.64	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Ecolab Inc. (ECL) [ST]  DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust	JT	11/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ iShares Russell 2000 Value ETF (IWN) [ET]	JT	06/16/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ S&P Global Inc. (SPGI) [ST]	JT	06/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub holding of Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ S&P Global Inc. (SPGI) [ST]	JT	07/19/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Salesforce, Inc. (CRM) [ST]	JT	09/22/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Salesforce, Inc. (CRM) [ST]	JT	12/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Service Corporation International (SCI) [ST]	JT	09/23/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Service Corporation International (SCI) [ST]	JT	09/22/2022	P	\$1,001 - \$15,000	
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST]	JT	11/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	11/3/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sub holding of Neuberger Berman - Malumed Lowenthal Rev Trust					
Neuberger Berman - Traditional IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	12/12/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	12/14/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	01/14/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒	SP	06/7/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Amazon.com, Inc. (AMZN) [ST]					
Neuberger Berman - Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	02/25/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	02/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	03/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Blackstone Inc. (BX) [ST]	SP	11/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boeing Company (BA) [ST]	SP	06/15/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	10/24/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Chart Industries, Inc. (GTLS) [ST]	SP	12/9/2022	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ CIT Group [CS]	SP	02/24/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Redeemed, interest rate is 5.0%, maturity date is 08/01/23.					
Neuberger Berman - Traditional IRA ⇒ Constellation Brands Inc [CS]	SP	05/2/2022	P	\$15,001 - \$50,000	
DESCRIPTION: Interest rate is 3.60%, maturity date is 05/09/2024.					
Neuberger Berman - Traditional IRA ⇒ Crown Holdings, Inc. (CCK) [ST]	SP	03/4/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Crown Holdings, Inc. (CCK) [ST]	SP	03/7/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Crown Holdings, Inc. (CCK) [ST]	SP	08/10/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Traditional IRA ⇒ Crown Holdings, Inc. (CCK) [ST]	SP	10/21/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	03/7/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Ecolab Inc. (ECL) [ST]	SP	07/19/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Ecolab Inc. (ECL) [ST]	SP	07/20/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Ecolab Inc. (ECL) [ST]	SP	11/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ HCA Inc [CS] DESCRIPTION: Interest rate is 5.3750%, maturity date is 02/01/2025	SP	05/25/2022	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	01/21/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	05/5/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	05/6/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	04/4/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	04/5/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	04/6/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	04/7/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ iShares Russell 2000 Value ETF (IWN) [ET]	SP	06/16/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	01/7/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	03/16/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	03/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	03/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	05/17/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	07/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Netflix [CS] DESCRIPTION: Redeemed, interest rate is 5.5%, maturity date is 02/15/22.	SP	02/15/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Netflix Inc [CS] DESCRIPTION: Maturity date is 03/01/2024, interest rate is 5.750%.	SP	03/24/2022	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Nextera Energy Cap Hldgs [CS] DESCRIPTION: Interest rate is 4.20%, maturity date is 06/20/2024.	SP	06/21/2022	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	04/6/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ ProShares Short QQQ (PSQ) [ST]	SP	01/14/2022	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ ProShares Short QQQ (PSQ) [ET]	SP	02/24/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒	SP	02/9/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
S&P Global Inc. (SPGI) [ST]					
Neuberger Berman - Traditional IRA ⇒ Salesforce, Inc. (CRM) [ST]	SP	12/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	09/26/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	09/23/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	09/27/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ TJX Companies, Inc. (TJX) [ST]	SP	04/6/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ TJX Companies, Inc. (TJX) [ST]	SP	11/4/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	05/17/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	11/3/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	11/4/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	06/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ United States Treas Bond [CS]	SP	11/8/2022	P	\$100,001 - \$250,000	
DESCRIPTION: Maturity date is 05/04/2023, 4.569% interest rate					
Neuberger Berman - Traditional IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	06/16/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	06/17/2022	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒	SP	01/26/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo & Co [CS]					
DESCRIPTION: Maturity date is 02/13/2023, interest rate is 3.45%					
Neuberger Berman - Traditional IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	08/26/2022	P	\$15,001 - \$50,000	
Savings Plus California State Employee 401(K) ⇒ Bond Fund [MF]		06/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Savings Plus California State Employee 457 ⇒ Bond Fund [MF]		06/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	01/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Contrafund (FCNTX) [MF]	SP	12/9/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Contrafund (FCNTX) [MF]	SP	01/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	01/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Core Enhanced Index (FLCEX) [MF]	SP	07/22/2022	P	\$15,001 - \$50,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Core Enhanced Index (FLCEX) [MF]	SP	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	SP	01/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	SP	07/22/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	01/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒	SP	10/21/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Mid Cap Stock (FMCSX) [MF]					
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Biotechnology (FBIOX) [MF]	SP	03/30/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Biotechnology (FBIOX) [MF]	SP	04/14/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Biotechnology (FBIOX) [MF]	SP	07/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX) [MF]	SP	01/12/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX) [MF]	SP	04/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX) [MF]	SP	10/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Discretion (FSCPX) [MF]	SP	01/10/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Discretion (FSCPX) [MF]	SP	05/20/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Staples (FDFAX) [MF]	SP	01/10/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Staples (FDFAX) [MF]	SP	05/20/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	10/21/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Healthcare (FSPHX) [MF]	SP	01/10/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Industrials (FCYIX) [MF]	SP	10/21/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Pharmaceutical (FPHAX) [MF]	SP	01/10/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Pharmaceutical (FPHAX) [MF]	SP	03/30/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	12/20/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	01/12/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	07/21/2022	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	12/20/2022	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Retirement System	University Pension	\$57,183.16
American General	Required Minimum Distribution from 403(b) Account	\$1,078.27
Savings Plus 457 (AON Hewitt BPS)	Required Minimum Distribution from 457 Account	\$4,444.27
Savings Plus 401(k) (AON Hewitt BPS)	Required Minimum Distribution from 401(k) Account	\$9,004.92
Allianz 403(b)	Required Minimum Distribution from 403(b) Account	\$19,125.08
Mid-Atlantic Permanente Medical Group	Spouse Salary	N/A

National Financial Services LLC	Spouse RMD & IRA Distro (Inheritance)	N/A
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SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	LBS Financial Credit Union	December 2020	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2012	Filer and State of California Savings Plus Program - 457(b) Plan	Defined contribution plan that provides service retirement benefits.
December 2012	Filer and State of California Savings Plus Program - 401 (k) Plan	Defined contribution plan that provides service retirement benefits.
December 1998	California Public Retirement System	Defined benefit plan that provides service retirement benefits.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ Allianz 403(b)</li><li>◦ American General 403(b)</li><li>◦ Fidelity - Malumed Lowenthal Rev Trust #3 (Owner: JT)</li><li>◦ Individually Owned (Owner: SP) LOCATION: US DESCRIPTION: Individually owned stocks of Spouse</li><li>◦ JP Morgan Chase - Individual (Owner: SP) LOCATION: US</li><li>◦ Neuberger Berman - Beneficiary IRA (Owner: SP)</li></ul>
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- Neuberger Berman - Malumed Lowenthal Rev Trust (Owner: JT)
- Neuberger Berman - Malumed Lowenthal Rev Trust #2 (Owner: JT)
- Neuberger Berman - Roth IRA (Owner: SP)
- Neuberger Berman - Traditional IRA (Owner: SP)
- Savings Plus California State Employee 401(K)
- Savings Plus California State Employee 457
- Weber Asset Management- Beneficiary IRA, Mutual Funds (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 04/19/2023