



Filing ID #10043639

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Roger W. Marshall  
**Status:** Former Member  
**State/District:** KS01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 09/24/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#1	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ Capital Income Builder [5P] LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition.	DC	None	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ Capital Income Builder [5P] LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition.	DC	None	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ Capital World Growth and Income [5P] LOCATION: VA	DC	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#2 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ College 2021 Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#1					
529 college savings plan ⇒ American Funds ⇒ New Perspective Fund [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ New Perspective Fund [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ New World Fund [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ New World Fund [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#1					
529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#2					
529 college savings plan ⇒ American Funds ⇒ The Bond Fund of America [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition.					
529 college savings plan ⇒ American Funds ⇒ The Growth Fund of America [5P]	DC	None	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 college savings plan ⇒ American Funds ⇒ The Growth Fund of America [5P]  LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition.	DC	None	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portfolio A [5P]  LOCATION: AZ DESCRIPTION: DC#1	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portolio A [5P]  LOCATION: AZ DESCRIPTION: DC#2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
American Funds - IRA ⇒ EuroPacific Growth Fund A [MF]  DESCRIPTION: IRA	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
American Funds - IRA ⇒ EuroPacific Growth Fund A [OT]  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF]  DESCRIPTION: IRA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF]  DESCRIPTION: IRA	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Business Related Entity ⇒ Club West of Great Bend, LLC ⇒ Club West of Great Bend LLC, 4% Interest [OT]  DESCRIPTION: Management of Swimming Pool Location: Great Bend, KS	SP	\$1,001 - \$15,000	Partnership	None	<input type="checkbox"/>
Business Related Entity ⇒ Jacam Chemical Company, Inc. ⇒ Canadian Energy Services & Technology Corp., 1% Interest [OT]  DESCRIPTION: Parent company of Jacam Chemical LLC Location: Sterling, KS	SP	\$1,001 - \$15,000	Dividends, S-Corp	\$50,001 - \$100,000	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cash Balances ⇒ Farmers Bank & Trust [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA] DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA] DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA] DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA] DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Farmers Enterprises, Inc., 1% Interest [OT] DESCRIPTION: Holding company for bank stock - Location: Great Bend, KS	SP	\$1,000,001 - \$5,000,000	S - Corp	\$15,001 - \$50,000	<input type="checkbox"/>
GBRH Properties 2009, LLC, 14% Interest [RP] LOCATION: Great Bend, KS, US DESCRIPTION: Building, helicopter pad, intangible assets, cash	SP	\$50,001 - \$100,000	Partnership	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Investment holding entities ⇒ Healthcare Ventures Fund IV, LP [OT] DESCRIPTION: Subscription Agreement in Healthcare Ventures Fund IV, LP	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Investment holding entities ⇒ Healthcare Properties Fund	SP	\$15,001 - \$50,000	Partnership	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1, LLC ⇒ HPH Building, 2% Interest [OT]				\$100,000	
DESCRIPTION: Investment – Venture Capital in hospitals Location: Houston, TX					
Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Methodist McKinney Hospital Property, 2% Interest [OT]	SP	\$15,001 - \$50,000	Partnership	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Investment – Venture Capital in hospitals Location: McKinney, TX					
Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Wichita NW Medical Property, 2% Interest [OT]	SP	\$1,001 - \$15,000	Partnership	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Investment – Venture Capital in hospitals Location: Wichita, KS					
Investment holding entities ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [OT]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: DC 1					
Investment holding entities ⇒ NCM Bioscience Partners, LLC ⇒ Investment in Open Prairie Ventures II, LP, 3% Interest [OT]	SP	\$50,001 - \$100,000	Partnership	None	<input type="checkbox"/>
DESCRIPTION: Venture Capital Investments - Location: Leawood, KS					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Cash, 20% Interest [OT]	SP	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Land - 720 Acres, Fencing & Equipment Rental, 20% Interest [OT]	SP	\$250,001 - \$500,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Royalty Interest, 20% Interest [OT]	SP	\$100,001 - \$250,000	S-Corp - Royalty Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Royalty Interest, 20% Interest [OT]	SP	\$100,001 - \$250,000	S-Corp - Royalty Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Working Interest and Equipment, 20% Interest [OT]	SP	\$1,001 - \$15,000	S-Corp - Working Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Rattlesnake Ranch, Inc. ⇒ Land 75 acres, equipment and building rental, 20%		\$15,001 - \$50,000	S-Corp	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Interest [OT]					
DESCRIPTION: Real Estate Investment Property Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [OT]	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: DC 2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunites A), 25% Interest [OT]	DC	None	Capital Gains, Dividends, S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunites A), 25% Interest [OT]	DC	None	Capital Gains, Dividends, S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT]	DC	\$1 - \$1,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2 Exchanged, not required to be reported due to transaction under reporting threshold.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT]	DC	\$1 - \$1,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1 Exchanged, not required to be reported due to transaction under reporting threshold.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [MF]	DC	None	S-Corp	None	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [MF]	DC	None	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF] DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Mid Cap Growth A (formerly WR Advisors New Concepts A), 25% Interest [OT] DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Mid Cap Growth A (formerly WR Advisors New Concepts A), 25% Interest [OT] DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A (formerly WR Advisors Tax-Managed Equity A), 25% Interest [OT] DESCRIPTION: DC#2	DC	None	S-Corp	None	<input checked="" type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A (formerly WR Advisors Tax-Managed Equity A), 25% Interest [OT] DESCRIPTION: DC#1	DC	None	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Value A (formerly WR Advisors Value A), 25% Interest [OT] DESCRIPTION: DC#2 Exchanged, not required to be reported due to transaction under reporting threshold.	DC	None	None		<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Value A (formerly WR Advisors Value A), 25% Interest [OT] DESCRIPTION: DC#1 Exchanged, not required to be reported due to transaction under reporting threshold.	DC	None	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County, 25% Interest [OT] DESCRIPTION: DC#1 - CRP payment. Location: Great Bend, KS	DC	\$250,001 - \$500,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County, 25% Interest [OT] DESCRIPTION: DC#2 - CRP payment. Location: Great Bend, KS	DC	\$250,001 - \$500,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#1; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Inc Policy - Metlife Asset Allocation 100 Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - BlackRock Capital Apprec Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - Loomis Sayles Small Cap Core Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OT]	DC	None	S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1; Surrender of policy.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2 Held by Farmers Bank & Trust, Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1 Held by Farmers Bank & Trust, Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OT]	DC	None	Capital Gains, S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#1 - Location: Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OT]	DC	None	Capital Gains, S-Corp	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2 - Location: Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT]	DC	\$15,001 - \$50,000	S-Corp	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: DC#2 - Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT]	DC	\$15,001 - \$50,000	S-Corp	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: DC#1 - Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Washington DC Condo [RP]	DC	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Washington, DC, US					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Accumulative A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1 - Exchanged April 2016					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Accumulative A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2 - Exchanged April 2016					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Science and Technology A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Science and Technology A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Small Cap A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Small Cap A, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Ionics Life Sciences [PS]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Subscription agreement in Ionics Life Sciences.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds Cap Wild Growth Inc - R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds GR FD of Amer R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Fixed Account [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Growth A [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Mutual Global Disc A [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Investco Small Cap Growth A [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Government Securities R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Value R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Oppenheimer Developing Markets A [MF] DESCRIPTION: Rollover to Questar Capital IRA account		None	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pimco Real Return A [MF]					
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Total Return A [MF] [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Templeton Global Bond A [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Global Cap App R4 [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Midcap R4 [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Established Val A [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Small Co Opportunity A [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ LPL Financial ⇒ Cash [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Alternative Return Strategy ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphas ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Exchange Traded FD III Long Short EQTY ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mutual Funds ⇒ LPL Financial ⇒ First Trust Financials Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Healthcare Alphadex ETF [EF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Japan Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Low Duration Opportunities ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Mid Cap Core Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Small Cap Core Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Technology Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Insured Cash Account [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Apple, Inc. [MF] DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF] DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#1					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ McDonalds Corp [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Pfizer Inc Com [MF]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co. [MF]	DC	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid-Cap Fund Class A [HFM CX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunities Fund Class A [SCUVX] [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Intermediate Bond Fund of America Class A [AIBAX] [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New Perspective Fund Class A [ANWPX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New World Fund Class A [NEWFX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ The Growth Fund of America Class A [AGTHX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund Class A [AWSHX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: DC#2	DC	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2	DC	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Waddell & Reed ⇒ IVY Municipal Bond A (formerly WR Advisors Municipal Bond A) [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Mutual Funds ⇒ Waddell & Reed ⇒ WR Advisors Municipal High Income A [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oil Working Interest ⇒ MV Purchasing, 5% Interest [OT] DESCRIPTION: Location: Stafford County	SP	\$50,001 - \$100,000	Oil Working Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Privately Owned Corporation ⇒ Heartland Regional OBGYN, PA, 55% Interest [OT] DESCRIPTION: Medical Services Location: Great Bend, KS		None	Capital Gains, Corporation	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Rental Real Estate ⇒ Rental Real Estate, 100% Interest [RP] LOCATION: Santa Cruz, CA, US	JT	\$500,001 - \$1,000,000	Rent	None	<input type="checkbox"/>
Stock in privately owned corporation ⇒ Great Bend Coop Assn. ⇒ Great Bend Coop Assn. [OT] DESCRIPTION: Location: Great Bend, KS		\$1,001 - \$15,000	Patronage Dividends	\$201 - \$1,000	<input type="checkbox"/>
Universal Life Policy ⇒ Pacific Life [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Variable Life Policy ⇒ Union Security Insurance Company ⇒ General Account [OT] DESCRIPTION: Union Security Insurance Co.	SP	None	Distribution	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Variable Life Policy ⇒ Union Security Insurance Company	SP	None	Distribution	\$15,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
⇒ Global Growth Fund [OT] DESCRIPTION: Union Security Ins Co.				\$50,000	
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Growth Opps Fund [OT] DESCRIPTION: Union Security Ins. Co.	SP	None	Distribution	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Midcap Value [OT] DESCRIPTION: Union Security Ins. Co.	SP	None	Distribution	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Small Cap Growth Fund [OT] DESCRIPTION: Union Security Ins. Co.	SP	None	Distribution	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2	DC	08/27/2018	P	\$1,001 - \$15,000	
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2	DC	09/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2	DC	10/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: DC#2	DC	08/3/2018	P	\$1,001 - \$15,000	
Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: DC#2	DC	08/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL) [ST]	DC	08/7/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: DC#2					
Apple Inc. (AAPL) [ST]	DC	08/21/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: DC#2					
GBRH Properties 2009, LLC, 14% Interest [RP] [OI]	SP	12/31/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Sale of holding investment.					
Healthcare Ventures Fund IV, LP [OI]		10/1/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Delaware Limited Partnership					
Heartland Regional OBGYN, PA, 55% Interest [OL]		12/31/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Great Bend, KS, US DESCRIPTION: Medical Services Location					
Investment holding entities ⇒ LVMC, Inc. ⇒ Washington DC Condo [RP]		01/4/2018	P	\$250,001 - \$500,000	
LOCATION: Washington, DC, US					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [HN]	DC	09/9/2018	P	\$1,001 - \$15,000	
DESCRIPTION: DC 1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [HN]	DC	09/9/2018	P	\$1,001 - \$15,000	
DESCRIPTION: DC 2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunities A), 25% Interest [EF]	DC	02/23/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunities A), 25% Interest [EF]	DC	02/23/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A [EF]	DC	08/15/2018	P	\$1,001 - \$15,000	
DESCRIPTION: DC#1					



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A [EF] DESCRIPTION: DC#2	DC	08/15/2018	P	\$1,001 - \$15,000	
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A, 25% [EF] DESCRIPTION: DC#1	DC	02/23/2018	P	\$1,001 - \$15,000	
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A, 25% Interest [EF] DESCRIPTION: DC#2	DC	02/23/2018	P	\$1,001 - \$15,000	
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [EF] DESCRIPTION: DC#1	DC	08/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [EF] DESCRIPTION: DC#2	DC	08/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [EF] DESCRIPTION: DC#1	DC	08/15/2018	P	\$1,001 - \$15,000	
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [EF] DESCRIPTION: DC#2	DC	08/15/2018	P	\$1,001 - \$15,000	
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A [EF] DESCRIPTION: DC#1	DC	08/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A [EF] DESCRIPTION: DC#2	DC	08/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio [OI] DESCRIPTION: DC#2; Surrender of policy.	DC	11/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OI] DESCRIPTION: DC#1 Location: Great Bend, KS	DC	08/28/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OI] DESCRIPTION: DC#2 - Location: Great Bend, KS	DC	08/28/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT) [ST] DESCRIPTION: DC#2	DC	08/7/2018	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT) [ST] DESCRIPTION: DC#2	DC	08/22/2018	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT) [ST]	DC	08/27/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: DC#2					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds Cap Wild Growth Inc [MF] [MF]		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds GR FD of Amer R3 [Mf} [MF]		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Fixed Account [MF] [MF]		08/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Growth A [MF]		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Mutual Global Disc A [MF] [MF]		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Investco Small Cap Growth A [MF] [MF]		08/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Government Securities R3 [MR] [MF]		08/6/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Value R3 [MF] [MF]		08/6/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Oppenheimer Developing Markets A [MF] [MF]		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Rollover to Questar Capital IRA account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Real Return [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Total Return A [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Templeton Global Bond A [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Global Cap PP R4 [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Midcap R4 [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Established Val A [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Small Co Opportunity A [MF] [MF]  DESCRIPTION: Rollover to Questar Capital IRA account		08/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Healthcare Alphas ETF [EF]	SP	06/29/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid-Cap Fund Class A [HFM CX] [MF]  DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account		08/10/2018	P	\$100,001 - \$250,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunities Fund Class A [SCUVX] [MF]		08/10/2018	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Intermediate Bond Fund of America Class A [AIBAX] [MF]		08/10/2018	P	\$250,001 - \$500,000	
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New Perspective Fund Class A [ANWPX] [MF]		08/10/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New World Fund Class A [NEWFX] [MF]		08/10/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ The Growth Fund of America Class A [AGTHX] [MF]		08/10/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund Class A [AWSHX] [MF]		08/10/2018	P	\$250,001 - \$500,000	
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
NVIDIA Corporation (NVDA) [ST]	DC	07/24/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Stock in privately owned corporation ⇒ Ionics Life Sciences [PS]		10/1/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Purchase of shares in private corporation					
Tesla, Inc. (TSLA) [ST]	DC	07/24/2018	P	\$1,001 - \$15,000	
DESCRIPTION: DC#2					
Tesla, Inc. (TSLA) [ST]	DC	08/3/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Tesla, Inc. (TSLA) [ST]	DC	08/7/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Tesla, Inc. (TSLA) [ST]	DC	11/5/2018	P	\$1,001 - \$15,000	
DESCRIPTION: DC#2					
Tesla, Inc. (TSLA) [ST]	DC	11/7/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: DC#2					
Variable Life Policy ⇒ Union Security Insurance Company ⇒ General Account [VI]	SP	08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Surrender of policy					
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Global Growth Fund [VI]	SP	08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Surrender of policy					
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Growth Opps Fund [VI]	SP	08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Surrender of policy					
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Midcap Value [VI]	SP	08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Surrender of policy					
Variable Life Policy ⇒ Union Security Insurance Company ⇒ Small Cap Growth Fund [VI]	SP	08/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Surrender of policy					
Yield10 Bioscience, Inc. (YTEN) [ST]	DC	03/14/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Asset purchased 3/14/2018, purchase did not meet reporting threshold.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	LVMC, Inc.	December 2015	personal loan	\$15,001 - \$50,000
JT	Farmers Bank & Trust, N.A.	May 2015	rental real estate	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
President, Director COMMENTS: Uncompensated position	LVMC, Inc.
Director COMMENTS: Uncompensated position	Rattlesnake Ranch, Inc.
President, Director COMMENTS: Uncompensated position	Quivira Ranch, Inc.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2015	University of Kansas as successor to Great Bend Regional Hospital	Participation in the 401K Plan successor plan from Great Bend Regional Hospital. As of 08/06/2018, 401k was rolled over to IRA account with Questar Capital.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Center for Democracy in Americas	01/24/2018	01/28/2018	New York City - Havana Cuba - Washington DC	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>529 college savings plan (Owner: DC) LOCATION: KS</li><li>529 college savings plan ⇒ American Funds (Owner: DC) LOCATION: VA</li><li>529 college savings plan ⇒ Waddell &amp; Reed (Owner: DC) LOCATION: AZ</li></ul>
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- American Funds - IRA (Owner: SP)
- American Funds - IRA (Owner: SP)
- American Funds - IRA
- Business Related Entity (Owner: SP)  
LOCATION: US
- Business Related Entity ⇒ Club West of Great Bend, LLC (Owner: SP)  
LOCATION: Great Bend/Barton, KS, US
- Business Related Entity ⇒ Jacam Chemical Company, Inc. (Owner: SP)  
LOCATION: Sterling, KS, US
- Cash Balances (100% Interest) (Owner: DC)  
LOCATION: Great Bend/Barton, KS, US  
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest) (Owner: JT)  
LOCATION: Great Bend/Barton, KS, US  
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest) (Owner: SP)  
LOCATION: Great Bend/Barton, KS, US  
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest)  
LOCATION: Great Bend/Barton, KS, US  
DESCRIPTION: Farmers Bank & Trust
- Investment holding entities (Owner: SP)  
LOCATION: US
- Investment holding entities  
LOCATION: US
- Investment holding entities ⇒ Healthcare Properties Fund 1, LLC (Owner: SP)  
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ LVMC, Inc.  
LOCATION: US
- Investment holding entities ⇒ NCM Bioscience Partners, LLC (Owner: SP)  
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ Quivira Ranch, Inc. (20% Interest) (Owner: SP)  
LOCATION: Stafford County, KS, US
- Investment holding entities ⇒ Rattlesnake Ranch, Inc. (20% Interest)  
LOCATION: Stafford County, KS, US
- Investment Holding Entity (Owner: DC)  
LOCATION: US
- Investment Holding Entity ⇒ LVMC, Inc. (Owner: DC)  
LOCATION: Great Bend/Barton, KS, US
- Mutual Funds (Owner: SP)  
LOCATION: US
- Mutual Funds (Owner: DC)  
LOCATION: US
- Mutual Funds  
LOCATION: US
- Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan



- Mutual Funds ⇒ LPL Financial (Owner: SP)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: DC)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: SP)
- Mutual Funds ⇒ Questar Capital IRA Account
- Mutual Funds ⇒ Scottrade (Owner: DC)
- Mutual Funds ⇒ Waddell & Reed (Owner: SP)
- Oil Working Interest (Owner: SP)  
LOCATION: US
- Privately Owned Corporation  
LOCATION: US
- Rental Real Estate (100% Interest) (Owner: JT)  
LOCATION: US
- Stock in privately owned corporation  
LOCATION: Great Bend/Barton, KS, US
- Stock in privately owned corporation ⇒ Great Bend Coop Assn.  
LOCATION: Great Bend/Barton, KS, US
- Universal Life Policy  
LOCATION: US
- Variable Life Policy (Owner: SP)
- Variable Life Policy ⇒ Union Security Insurance Company (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Roger W. Marshall , 09/24/2021