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**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A**

Page 0 of 0

For use by Members, officers, and employees

Vernon G Buchanan  
(Full Name)

303-325-5015  
(Daytime Telephone)

(Office Use Only)

*HC*

**HAND DELIVERED**

Filler Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: FL	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.	
Report Type	<input type="checkbox"/> Annual (May 15) <input checked="" type="checkbox"/> Amendment		<input type="checkbox"/> Termination		Termination Date:	

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$235 and not otherwise exempt)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.		
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursement for travel in the reporting period (worth more than \$235 from one source)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.		
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.		
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset (in a transaction exceeding \$1,000 during the reporting period)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.		
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" responses.		
If yes, complete and attach Schedule V.				

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

- Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes  No
- Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes  No

**SCHEDULE I - EARNED INCOME**

Name: Vernon G. Buchanan

List the source, type, and amount of earned income from any source (other than your current employment by the U.S. Government) totaling \$200 or more during preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

**For payments to charity in lieu of honoraria, use Schedule II.**

**SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA** Name: Vernon G. Buchanan

Name: Vernon G. Buchanan

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

### Schedule III - ASSETS AND "UNEARNED" INCOME

Block A

Block B

Value of Assets

Name Vernon G. Buchanan

Block C

Amount of Income

Block D

Transaction

Block E

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income during the year generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement accounts (such as 401(k) plans) that are self directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental property or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income or is jointly held (JT). In the optional column on the far left,

Block F

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Block H

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### Schedule III - ASSETS AND "UNEARNED" INCOME

Name	Vernon G. Buchanan	Block A	Block B	Block C	Block D	Block E	
		<b>Value of Assets</b>		<b>Type of Income</b>		<b>Amount of Income</b>	
		<b>Block A</b>	<b>Block B</b>	<b>Block C</b>	<b>Block D</b>	<b>Block E</b>	
		S	E	I	P	P	
		R	C	D	F	F	
		K	L	G	H	H	
		M	N	J	K	I	
		O	P	L	R	X	
		Q	R	S	T	U	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
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		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
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		J	K	J	K	J	
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		N	O	N	O	N	
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		Z	A	Z	A	Z	
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		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z	A	Z	A	Z	
		B	C	B	C	B	
		D	E	D	E	D	
		G	H	G	H	G	
		J	K	J	K	J	
		L	M	L	M	L	
		N	O	N	O	N	
		P	Q	P	Q	P	
		R	S	R	S	R	
		T	U	T	U	T	
		V	W	V	W	V	
		X	Y	X	Y	X	
		Z					

### Schedule III - ASSETS AND "UNEARNED" INCOME

**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

	Value of Assets											Name Vernon G. Buchanan															
	Block A					Block B					Block C					Block D					Block E						
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	V	W	X	Y	Z	Block D	Block E
If all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For an retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.																											
For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.																											
For rental property, or other real property held for investment, provide a complete address.																											
For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.																											
Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.																											
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.																											
<b>Block D: Unemployment</b>																											
Block D: Short-term																											
BP PLC Open ADR	X																										
Cohen and Steers Quality	X																										
DEUS US Dollar Inv Berlitz	X																										
DEUS US Dollar Inv British	X																										
Eden Variable Equity Income	X																										
Eden Variable Real Estate	X																										
Eden Variable Real Estate FLS RT	X																										
Edwards Rogers Aggr TR	X																										
Edgewater Financial Corp	X																										
Goldman Sachs Group Inc	X																										
Goldman Sachs High Yield	X																										
Goldman Sachs Muni CLA	X																										
Home Depot Co	X																										
Indra Health Fac.	X																										
Intertech Bonds 7-10 Yr	X																										
Intertech Bonds Inv TR	X																										
Intertech Bonds 1-3 Year	X																										
Intertech Bonds High Yield	X																										
Intertech Inc.	X																										
Intertech MSCI Australia	X																										
Intertech MSCI Emerging	X																										
Intertech Silver TR	X																										
Intertech TR Dow Jones US	X																										
Johnson & Johnson Com	X																										

For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For an retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.

For rental property, or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.

For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.

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For an ownership interest in a privately-held business that is not publicly traded; state the name of the business, the nature of the business, and its geographic location in Block A.

**Value of Assets**
**Type of Income**
**Amount of Income**
**Transaction**
**Block D**
**Block E**
**Block F**
**Block G**
**Block H**
**Block I**
**Block J**
**Block K**
**Block L**
**Block M**
**Block N**
**Block O**
**Block P**
**Block Q**
**Block R**
**Block S**
**Block T**
**Block U**
**Block V**
**Block W**
**Block X**
**Block Y**
**Block Z**
**Name**
**Vernon G. Buchanan**
**Block C**
**Block D**
**Block E**
**Block F**
**Block G**
**Block H**
**Block I**
**Block J**
**Block K**
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**Block Y**
**Block Z**
**Name**
**Vernon G. Buchanan**
**Block C**
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**Block V**
**Block W**
**Block X**
**Block Y**
**Block Z**
**Name**
**Vernon G. Buchanan**
**Block C**
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**Block E**
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**Block G**
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**Block I**
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**Block Q**
**Block R**
**Block S**
**Block T**
**Block U**
**Block V**
**Block W**
**Block X**
**Block Y**
**Block Z**
**Name**
**Vernon G. Buchanan**
**Block C**
**Block D**
**Block E**
**Block F**
**Block G**
**Block H**
**Block I</b**

### Schedule III - ASSETS AND "UNEARNED" INCOME

### Schedule III - ASSETS AND "UNEARNED" INCOME

Schedule III - ASSETS AND "UNEARNED" INCOME											
Block A						Block B					
Asset and/or Income Source			Value of Assets			Type of Income			Amount of Income		
(Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.)											
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For rental property or other real property held for investment, provide a complete address.											
For an ownership interest in a privately-held business that is publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.											
Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.											
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or its jointly held (J), in the optional column on the far left.											
Value Stmt Sector											
Investment Asset Managed											
Merrill Lynch - Sarasota, FL 731-17780											
Wachovia Emerging Market											
Merrill Lynch Bank Deposit											
Home Depot, Inc.											
HLLB Corp., 1184 40th Street											
1099, LC, CDA, Vehicle Nissan Dodge											
UB Acquisition & Leasing LLC											
Jamey Realty, Inc. - Sarasota, FL - Real Estate Sales											
Spreading Investments Co., - Apartment Building - W. Bloomfield, MI - (notified on 2008 disclosure, sad 2009)											
Loan to Georgetown Properties, LLC (loan to buyer)											
Creekside Hotel, LLC - Hotel, Bradenton, FL											
International Marina - Club Ltd. - Club Ctr, Bradenton 82%											
M&B, Real Estate Development, Tampa, FL											
Gamble Oaks, LLC, Real Estate, Ellenton, FL - Loan											
Ellenton Edge, LLC, Real Estate, Ellenton, FL - Loan											
Centex Land Corp., LLC											
Ziffert's Homes, Bradenton, FL											
Loan to Habitat of Humanity for sale of Cooley property											
Loan to Sarasota 500, LLC - Sarasota, FL											
Buchanan Investment Co., LLC - Real Estate & Stocks											
UBS Financial Services											
Auto Central Services, Inc. - Sarasota, FL - Management Co.											
SDI Orlando, LLC											
Name Vernon G. Buchanan											
Block C						Block D					
Block E											
Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401k plans or IRAs), you may check the "None" column. For all others, indicate the category of income by checking the appropriate box below.			Check all columns that apply. For retirement plans that do not allow you to choose specific investments or that generate tax-deferred income (such as 401k plans or IRAs), you may check the "None" column. For all others, indicate the category of income by checking the appropriate box below.			Check all columns that apply. For retirement plans that do not allow you to choose specific investments or that generate tax-deferred income (such as 401k plans or IRAs), you may check the "None" column. For all others, indicate the category of income by checking the appropriate box below.			Check all columns that apply. For retirement plans that do not allow you to choose specific investments or that generate tax-deferred income (such as 401k plans or IRAs), you may check the "None" column. For all others, indicate the category of income by checking the appropriate box below.		
Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.			Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.			Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.			Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.		
If an asset was sold and is included only because it generated income, the value should be "None".			If an asset was sold and is included only because it generated income, the value should be "None".			If an asset was sold and is included only because it generated income, the value should be "None".			If an asset was sold and is included only because it generated income, the value should be "None".		
X \$1 - \$1,000			X \$1 - \$1,000			X \$1 - \$1,000			X \$1 - \$1,000		
X \$1,001-\$10,000			X \$1,001-\$10,000			X \$1,001-\$10,000			X \$1,001-\$10,000		
X \$10,001-\$50,000			X \$10,001-\$50,000			X \$10,001-\$50,000			X \$10,001-\$50,000		
X \$50,001-\$100,000			X \$50,001-\$100,000			X \$50,001-\$100,000			X \$50,001-\$100,000		
X \$100,001-\$250,000			X \$100,001-\$250,000			X \$100,001-\$250,000			X \$100,001-\$250,000		
X \$250,001-\$500,000			X \$250,001-\$500,000			X \$250,001-\$500,000			X \$250,001-\$500,000		
X \$500,001-\$1,000,000			X \$500,001-\$1,000,000			X \$500,001-\$1,000,000			X \$500,001-\$1,000,000		
X \$1,000,001-\$5,000,000			X \$1,000,001-\$5,000,000			X \$1,000,001-\$5,000,000			X \$1,000,001-\$5,000,000		
X \$5,000,001-\$25,000,000			X \$5,000,001-\$25,000,000			X \$5,000,001-\$25,000,000			X \$5,000,001-\$25,000,000		
X \$25,000,001-\$50,000,000			X \$25,000,001-\$50,000,000			X \$25,000,001-\$50,000,000			X \$25,000,001-\$50,000,000		
Over \$50,000,000			Over \$50,000,000			Over \$50,000,000			Over \$50,000,000		
NONE			NONE			NONE			NONE		
Dividends			Dividends			Dividends			Dividends		
Rent			Rent			Rent			Rent		
Capital Gains			Capital Gains			Capital Gains			Capital Gains		
Exempted/Bond/Tax			Exempted/Bond/Tax			Exempted/Bond/Tax			Exempted/Bond/Tax		
Other Type of Income (Specify: For Example, Partnership Income or Farm Income)											
None			None			None			None		
\$1 - \$200			\$1 - \$200			\$1 - \$200			\$1 - \$200		
\$201-\$1,000			\$201-\$1,000			\$201-\$1,000			\$201-\$1,000		
\$1,001-\$2,500			\$1,001-\$2,500			\$1,001-\$2,500			\$1,001-\$2,500		
X \$2,501 - \$5,000			X \$2,501 - \$5,000			X \$2,501 - \$5,000			X \$2,501 - \$5,000		
\$5,001-\$10,000			\$5,001-\$10,000			\$5,001-\$10,000			\$5,001-\$10,000		
\$15,001 - \$50,000			\$15,001 - \$50,000			\$15,001 - \$50,000			\$15,001 - \$50,000		
\$50,001-\$100,000			\$50,001-\$100,000			\$50,001-\$100,000			\$50,001-\$100,000		
\$100,001 - \$1,000,000			\$100,001 - \$1,000,000			\$100,001 - \$1,000,000			\$100,001 - \$1,000,000		
\$1,000,001-\$5,000,000			\$1,000,001-\$5,000,000			\$1,000,001-\$5,000,000			\$1,000,001-\$5,000,000		
Over \$5,000,000			Over \$5,000,000			Over \$5,000,000			Over \$5,000,000		
P, S, E			P, S, E			P, S, E			P, S, E		
S			S			S			S		
P, S			P, S			P, S			P, S		
P, S			P, S			P, S			P, S		
If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.			If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.			If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.			If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.		
Trans ID 737-17789 E. Traded 10/7-17789			Trans ID 737-17789 E. Traded 10/7-17789			Trans ID 737-17789 E. Traded 10/7-17789			Trans ID 737-17789 E. Traded 10/7-17789		
S (gaming)			S (gaming)			S (gaming)			S (gaming)		
P			P			P			P		

### Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan												Block A	Block B	Block C	Block D	Block E						
Asset and/or Income Source												Value of Assets	Type of Income	Amount of Income	Transaction							
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.												Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments OR that generate tax-deferred income (such as 401k plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	For retirement plans that do not allow you to choose specific investments OR that generate tax-deferred income (such as 401k plans or IRAs), indicate the category of income by checking the appropriate box below. For all other assets, indicate the category of income by checking the appropriate box below exchanges (S), or purchases (P).								
Provide complete names of stocks and mutual funds (do not use ticker symbols).												If an asset was sold and is included only because it generated income, the value should be "None".	None	Indicate if the asset had been sold.								
For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.												If an asset was sold and is included only because it generated income, the value should be "None".	None	Indicate if the asset had been sold.								
For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.												If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (J). In the optional column on the far left.	Excluded/Other Income	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	P. S. E.							
Exclude Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.												None	None	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.								
Block C includes the following categories:												I	II	III	IV	V	VI	VII	VIII	IX	X	XI
Grand Total Estate Interest												X	X	X	X	X	X	X	X	X	X	
Condo Lemon Bay Englewood, FL - 1112 S McCall Rd												X	X	X	X	X	X	X	X	X	X	
House Eden Dr Englewood, FL - 546 Edan Dr												X	X	X	X	X	X	X	X	X	X	
Mortgage on Mt Creek, Tampa and Dunbar, FL properties												X	X	X	X	X	X	X	X	X	X	
Morgan Keegan - 6367301												X	X	X	X	X	X	X	X	X	X	
One Friends TX Con Inv Dept												X	X	X	X	X	X	X	X	X	X	
Lebanon, LA Uts Rev MBLA Esc L & Perf Imps												X	X	X	X	X	X	X	X	X	X	
LA Loc Govt Envir FRC												X	X	X	X	X	X	X	X	X	X	
Mississippi Dev Bank pol Obj												X	X	X	X	X	X	X	X	X	X	
Kemper HSG Corp HSG Rev Blck												X	X	X	X	X	X	X	X	X	X	
Cash Account												X	X	X	X	X	X	X	X	X	X	
High Alpine Ranch Propety Holdings, LLC - Land in Colorado												X	X	X	X	X	X	X	X	X	X	
High Aspen Florida, LLC - Investment Land, La 12 & 25												X	X	X	X	X	X	X	X	X	X	
Loan to Black Mountain Corp, LLC & Black Mountain Chevrolet, LLC												X	X	X	X	X	X	X	X	X	X	
Loan to Sam Martin, Plaintiff (see written off)												X	X	X	X	X	X	X	X	X	X	
Kemper Keegan 6375524												X	X	X	X	X	X	X	X	X	X	
Pioneer Tax Free Fund												X	X	X	X	X	X	X	X	X	X	
Massachusetts St Rep Polisian/Abate												X	X	X	X	X	X	X	X	X	X	
Upper Trinity Regt Wtr Dist Tax Wtr Rev												X	X	X	X	X	X	X	X	X	X	
Frost Bull, Ms Sen Dist RECDC												X	X	X	X	X	X	X	X	X	X	
Kentucky HSG Corp HSG Rev Blcks												X	X	X	X	X	X	X	X	X	X	
Health Care Authority for Water												X	X	X	X	X	X	X	X	X	X	
Mississippi Dev Bank sp												X	X	X	X	X	X	X	X	X	X	
Composting Abortion WAG (69)												X	X	X	X	X	X	X	X	X	X	
AMA US Equity Op Fund Op L												X	X	X	X	X	X	X	X	X	X	

### Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan												Block D	Block E												
Value of Assets												Block B	Block C												
<p><b>Asset and/or Income Source</b></p> <p>(Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental property or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.</p> <p>Exclude Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (J), in the optional column on the far left.</p>												Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be listed as income. Check "None" if reinvested, must be listed as income. Check "None" if no income was earned or generated during the reporting year.	Amount of Income											
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
												Indicate if the asset had hard purchases (P) or sales (S), or exchanges (E)	Transaction												
												If only a portion of an asset is sold, please indicate as follows: (S) (partial). See below for example.	Indicate if the asset had hard purchases (P) or sales (S), or exchanges (E)												
												P, S, E	Transaction												
												S (partial)	Indicate if the asset had hard purchases (P) or sales (S), or exchanges (E)												
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												S (partial)	Indicate if the asset had hard purchases (P) or sales (S), or exchanges (E)												
												S (partial)													

**Schedule III - ASSETS AND "UNEARNED" INCOME**

Block A

Asset and / or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement accounts (such as 401(k) plans) that are self directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental property or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or jointly held (JT), in the optional column on the far left.

Value of Assets

Block B

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None".

If an asset was sold and is included only because it generated income, the value should be "None".

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If an asset was sold and is included only because it generated income, the value should be "None".

If an asset was sold and is included only because it generated income, the value should be "None".

Name

Vernon G. Buchanan

Block C

Block D

Block E

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax deferred income (such as 401k plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be listed as income. Check "None" if no income was earned or generated during the reporting period.

If only a portion of an asset is sold, please indicate as follows: (S) (partial). See below for example.

P.

S.

E.

P

S. (partial)

P

P. (partial)

P. S. (partial)

Block A

Block B

Block C

Block D

Block E

Merrill Lynch Tax Free MAF

Jones & Lamont 2008-0503 (Money Empire Fin Grp)

Cod Cos Ind Stock

Morgan Stanley Plus 1000611 & 1000647

Morgan Stanley Bank

Morgan Stanley Trust Bank

Merrill Lynch Tax Free MAF

Jones & Lamont 2008-0503 (Money Empire Fin Grp)

Cod Cos Ind Stock

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Morgan Stanley Bank

### Schedule III - ASSETS AND "UNEARNED" INCOME

## Schedule III - ASSETS AND "UNEARNED" INCOME

### Schedule III - ASSETS AND "UNEARNED" INCOME

Name	Vernon G. Buchanan	Block C	Block D	Block E
		Value of Assets Block B	Type of Income Block C	Amount of Income Block D
<p><b>Block A</b></p> <p>Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income during the year which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental property or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.</p> <p>Exclude your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JH), in the optional column on the far left.</p>				
\$1 - \$1,000	Dividends	\$1,000-\$10,000	P	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401k plans or RAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.
\$15,001-\$50,000	Interest	\$50,001-\$100,000	S	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$100,001-\$250,000	Capital Gains	\$250,001-\$500,000	P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$500,001-\$1,000,000		\$1,000,001-\$5,000,000	P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$5,000,001-\$25,000,000		\$25,000,001-\$50,000,000	P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$50,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Other Type of Income (Specify: For Example, Partnership Income or Farm Income)				
None	Dividends	\$100-\$200	P	If only a portion of an asset is sold, please indicate as follows: (S) (partial). See below for example.
\$201-\$1,000	Interest	\$2,001-\$2,500	S	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$2,501 - \$5,000	Capital Gains	\$5,001-\$10,000	P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$10,001 - \$100,000		\$100,001-\$1,000,000	S	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
\$100,001-\$50,000,000		Over \$5,000,000	P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$50,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$100,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$500,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$10,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$20,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$40,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$80,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,280,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,560,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,120,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$10,240,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$20,480,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$40,960,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$81,920,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$163,840,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$327,680,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$655,360,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,310,720,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,621,440,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,242,880,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$10,485,760,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$20,971,520,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$41,943,040,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$83,886,080,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$167,772,160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$335,544,320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$671,088,640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,342,176,160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,684,352,320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,368,704,640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$10,737,409,280,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$21,474,818,560,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$42,949,637,120,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$85,899,274,240,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$171,798,548,480,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$343,597,096,960,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$687,194,193,920,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,374,388,387,840,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,748,776,775,680,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,497,553,551,360,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$10,995,106,702,720,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$21,990,213,405,440,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$43,980,426,808,880,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$87,960,853,617,760,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$175,921,707,235,520,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$351,843,414,471,040,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$703,686,828,942,080,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,407,373,657,884,160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,814,747,315,768,320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,629,494,631,536,640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$11,258,989,263,072,880,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$22,517,978,526,145,760,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$45,035,957,052,291,520,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$90,071,914,104,583,040,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$180,143,828,208,166,080,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$360,287,656,416,332,160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$720,575,312,832,664,320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,441,150,625,665,328,640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,882,301,251,330,657,280,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,764,602,502,661,314,560,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$11,529,205,005,322,629,120,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$23,058,410,001,645,258,240,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$46,116,820,003,290,516,480,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$92,233,640,006,581,032,960,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$184,467,280,001,162,065,920,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$368,934,560,002,324,131,840,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$737,869,120,004,648,263,680,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,475,738,240,009,296,526,360,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$2,951,476,480,009,593,052,720,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$5,902,952,960,019,186,105,440,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$11,805,905,920,038,372,210,880,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$23,611,811,840,076,744,421,760,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$47,223,623,680,013,488,843,520,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$94,447,247,360,026,977,687,040,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$188,894,494,720,053,955,374,080,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$377,788,989,440,087,910,748,160,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$755,577,978,880,175,821,496,320,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$1,511,155,957,760,351,642,992,640,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$3,022,311,915,520,703,285,985,280,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$6,044,623,831,041,406,571,970,560,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$12,089,247,662,082,812,743,941,120,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$24,178,495,324,165,625,487,882,240,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$48,356,990,648,331,251,975,764,480,000,000,000			P	Indicates if the asset has been sold, exchanged (S), or disposed as income. Check "None" if no income was earned or generated.
Over \$96,713,981,29				

### Schedule III - ASSETS AND "UNEARNED" INCOME

### Schedule III - ASSETS AND "UNEARNED" INCOME

Schedule III - ASSETS AND "UNEARNED" INCOME												Name	Vernon G. Buchanan	Block D	Block E
Block A												Block C			
Asset and/or Income Source												Value of Assets	Type of Income	Amount of Income	Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.															
Provide complete names of stocks and mutual funds (do not use ticker symbols).															
For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.															
For rental property or other real property held for investment, provide a complete address.															
For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.															
Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.															
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.															
Petco Bea VFG SP ADR	X	X	X	X	X	X	X	X	X	X	X	\$1 - \$1,000			
Petro-Canada	X	X	X	X	X	X	X	X	X	X	X	\$1,001-\$15,000			
Phone Rec.	X	X	X	X	X	X	X	X	X	X	X	\$15,001-\$50,000			
Pharmacia & Upjohn Dep Trsp (less than \$200)	X	X	X	X	X	X	X	X	X	X	X	\$50,001-\$100,000			
Primo Global Mkt.	X	X	X	X	X	X	X	X	X	X	X	\$100,001-\$250,000			
PowerShares QQQ Trust	X	X	X	X	X	X	X	X	X	X	X	\$250,001-\$800,000			
PowerShares Gold American Bond ETF	X	X	X	X	X	X	X	X	X	X	X	\$500,001-\$1,000,000			
Proshares UltraShort MSCI	X	X	X	X	X	X	X	X	X	X	X	\$11,000,001-\$50,000,000			
Prudential Short Term Presidential Total Return Fund	X	X	X	X	X	X	X	X	X	X	X	\$5,000,001-\$25,000,000			
Raymond James Fins Inc	X	X	X	X	X	X	X	X	X	X	X	Over \$50,000,000			
Royal Dutch Shell Plc	X	X	X	X	X	X	X	X	X	X	X	NONE			
Safeway COM Inc	X	X	X	X	X	X	X	X	X	X	X	Rent			
Sector SPDR Energy	X	X	X	X	X	X	X	X	X	X	X	Business Income			
Sector SPDR Financial	X	X	X	X	X	X	X	X	X	X	X	Capital Gains			
Sector SPDR Industrial	X	X	X	X	X	X	X	X	X	X	X	Unemployment / Discharge Income			
Shaw Group	X	X	X	X	X	X	X	X	X	X	X	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)			
Steve's Wholesaler Corp	X	X	X	X	X	X	X	X	X	X	X	None			
Sun Corp ADR New	X	X	X	X	X	X	X	X	X	X	X	None			
SPDR Gold Trust	X	X	X	X	X	X	X	X	X	X	X	\$201-\$1,000			
SPDR New Bank ETF	X	X	X	X	X	X	X	X	X	X	X	\$1,001-\$2,500			
SPDR S and P Emerging Mkts	X	X	X	X	X	X	X	X	X	X	X	\$2,501 - \$5,000			
SPDR S P Homebuilders	X	X	X	X	X	X	X	X	X	X	X	\$5,001 - \$15,000			
Sprint Nextel Corp	X	X	X	X	X	X	X	X	X	X	X	\$15,001 - \$50,000			
Starwood & Provo Dog Regt	X	X	X	X	X	X	X	X	X	X	X	\$50,001-\$100,000			
State Street Corp	X	X	X	X	X	X	X	X	X	X	X	\$100,001 - \$1,000,000			
Sumitomo BausCo Inc	X	X	X	X	X	X	X	X	X	X	X	\$1,000,001-\$5,000,000			
Suncor Energy Inc NEW	X	X	X	X	X	X	X	X	X	X	X	Over \$5,000,000			

### Schedule III - ASSETS AND "UNEARNED" INCOME

Name <b>Vernon G. Buchanan</b>												Block E																															
Value of Assets <b>Block B</b>												Block C																															
Type of Income <b>Block D</b>												Amount of Income <b>Block D</b>																															
<p><b>Block A</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement accounts (such as 401(k) plans) that are self directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the values for each asset held in the account that exceeds the reporting threshold. For an retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental property or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.</p> <p>Exclude your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>												Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.	Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.																														
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X	Y	B	W	C	D	E	F	G	H	I	J	K	L																														
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X	Y	M	N	V	W	U	X	Y	Z	S	T	R	Q	P																													
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X	Y	M	N	V	W	U	X	Y	Z	S	T	R	Q	P																													
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**Schedule III - ASSETS AND "UNEARNED" INCOME**

Name Vernon G. Buchanan											
Block A						Block B					
Value of Assets						Type of Income					
Block C	Block D	Block E	Block F	Block G	Block H	Block I	Block J	Block K	Block L	Block M	Block N
<b>Asset and/or Income Source</b>											
<b>Identity</b> (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.											
<b>Provide complete names of stocks and mutual funds (do not use ticker symbols).</b>											
<b>For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</b>											
<b>For rental property or other real property held for investment, provide a complete address.</b>											
<b>Exclude</b> Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial program, including the Thrift Savings Plan.											
<b>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</b>											
<b>Check all columns that apply.</b> For retirement plans that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.											
<b>Amount of Income</b>											
<b>Check all columns that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs),</b> indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.											
<b>Transaction</b>											
<b>If an asset was sold and is included only because it generated income, the value should be "None".</b>											
<b>Indicate if the asset generated no income during the reporting period.</b>											
<b>For retirement plans that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs),</b> indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be listed as income. Check "None" if no income was earned or generated.											
<b>Indicate if the asset is sold, please indicate as follows:</b> (S) sales (S), or (P) purchases (P)											
<b>If only a portion of an asset is sold, indicate as follows:</b> (S) sales (S), or (P) purchases (P)											
<b>(partial) See below for example.</b>											
<b>P. S. E.</b>											
<b>Other Type of Income</b> <b>(Specify, For Example, Partnership Income or Farm Income)</b>											
<b>Excluded/Below Threshold</b>											
<b>Capital Gains</b>											
<b>Over \$5,000,000</b>											
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<b>\$1,001-\$1,500</b>											
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<b>\$1,001-\$1,500</b>											
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### **Schedule III - ASSETS AND "UNEARNED" INCOME**

Schedule III - ASSETS AND "UNEARNED" INCOME												
Block A			Name Vernon G. Buchanan			Block C			Block D			Block E
Asset and/or Income Source			Value of Assets			Type of Income			Amount of Income			Transaction
Block B												
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income or which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For an retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental property or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>												
<input checked="" type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D <input type="checkbox"/> E <input type="checkbox"/> F <input type="checkbox"/> G <input type="checkbox"/> H <input type="checkbox"/> I <input type="checkbox"/> J <input type="checkbox"/> K <input type="checkbox"/> L			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			
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### **Schedule III - ASSETS AND "UNEARNED" INCOME**

### Schedule III - ASSETS AND "UNEARNED" INCOME

Block A

Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement accounts (such as 401(k) plans) that are self directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental property or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.

Exclude your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits, totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or jointly held (JT), in the optional column on the far left.

	Value of Assets										Name Vernon G. Buchanan										Block E					
	Block B					Block C					Block D					Block E					Block F					
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
SP	Lagard Master Plans Mngt CL A																									
SP	Lebara Master Plans Western Asset																									
SP	Leisure Media Corp																									
SP	Leica Technologies Corp																									
SP	Leomin Sisters Bond FD																									
SP	Leomin Sisters' Tax Free Bond Inc FD Y																									
SP	Lord Abbett Ind TR Flg Rate Fd F																									
SP	Lord Abbett Fund Invest High Mkt Bd F																									
SP	Lynd Management Co Inc Fd A																									
SP	Market Vectors Agribusiness																									
SP	Market Vectors Agribusiness																									
SP	Market Vectors ETR Tr Gold Miners Etf																									
SP	Market Vector Rts																									
SP	Matthews Asia Fds Asia Pac Eq Fd																									
SP	Matthews India Fund																									
SP	McDonalds Corp																									
SP	Medtronic Inc																									
SP	MERS Diversified Income																									
SP	MetLife Corp																									
SP	Midwest Industries Ltd																									
SP	Midwest All American																									
SP	Midwest Floating Rtg Inv Com (Purchase less than \$1K)																									
SP	Midwest High Yield Wert																									
SP	Midwest Print Inc Mkt																									
SP	Midwest Qual Inv Mkt																									
SP	Midwest Senior Income																									
SP	Permavest Portfolio Com																									
SP	Prudential Brethren Stk Sponsored Adr																									
SP	Prudential Hldrs Dsp Reg																									

If only a portion of an asset is sold, please indicate as follows: (S) (partial). See below for example.

If a transaction indicates if the asset was held for more than one year, indicate as follows: (P) (partial) or (E) (exchanges). See below for example.

Indicates if the asset was held for less than one year.

Indicates if the asset was held for more than one year.

Indicates if the asset was held for less than one year.

Indicates if the asset was held for more than one year.

Indicates if the asset was held for less than one year.

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### Schedule III - ASSETS AND "UNEARNED" INCOME

Block A

**Asset and/or Income Source**

(a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income during the year which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement accounts (such as 401(k) plans) that are self-directed (i.e., one where you have the power to select the specific investments, even if not exercised), provide the value for each asset held in the account that exceeds the reporting threshold. For all retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental property or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the business, and its geographic location in Block A.

Exclude Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (J), in the optional column on the far left.

SP Marco Fox CIO IM FP

SP PowerShares Bldg America Bond ETF

SP PowerShares Etfn Traded

SP Proshares Ultrashort MSCI

SP Prudential Short Term

SP Sector SPDR Financial

SP Sector SPDR Industrial

SP Saver Mission Corp

SP Saver Corp ADR New

SP SPDR Gold Trust

SP SPDR S&P Emerging

SP Sprint Nbdl Corp

SP Standard & Poor's Dsp Rpt

SP TROW Total Return

SP Texas Instruments

SP Time Warner Cable Inc

SP United SGS Gasoline FDL

SP Vanguard Total Bond Inv

SP Verizon Communications

SP Vertex Med Sctdr

SP Westar Energy

SP Whole Foods Mkt Inc COM

SP Zimmerman Emerging Market

SP Zimmer Holdings Inc COM

Value of Assets

Block B

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS										
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.										
Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.										
<b>Capital Gains</b> - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.										
SP DC, JT	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction						
SP				B	C	D	E	F	G	H
				I	J	K				
Example: Mega Corporation Common Stock		X								
Graham Construction (wrapup final, accounts closed 2010)		X	10/12/2006	X						\$1,001 - \$15,000
1099 Management Co., LLC			12/31/2010	X						\$15,001 - \$50,000
10-2002, LLC - Fort Richey, FL - Auto Dealer (sold 11/2010 at loss)		X								\$50,001 - \$100,000
10-3003, LLC - Fort Richey, FL - Auto Dealer (sold 11/2010 at loss)		X	11/29/2010							\$100,001-250,000
Merrill Lynch 737-17789			11/29/2010	X						\$250,001-\$600,000
Ace Limited		X								\$500,001-\$1,000,000
Aca Limited		X								\$1,000,001-\$5,000,000
Ace Limited		X								\$5,000,001-\$25,000,000
Ace Limited		X								\$25,000,001-\$50,000,000
Affac Inc Com		X								Over \$50,000,000
Affra Group Inc		X	03/22/10	X						
Affra Group Inc		X	03/23/10	X						
Affra Group Inc		X	05/12/10	X						
Affra Group Inc		X	03/29/10	X						
Amer Express Company		X	04/27/10	X						
Amer Express Company		X	02/11/10	X						
Amex Technology Select Spdr		X	03/02/10	X						
Amex Technology Select Spdr		X	03/04/10	X						
Amex Technology Select Spdr		X	04/22/10	X						
Amex Technology Select Spdr		X	05/07/10	X						
Amex Technology Select Spdr		X	01/06/10	X						
Amex Technology Select Spdr		X	01/22/10	X						
Anheuser-Busch Int'l Inv ADR		X	03/02/10	X						
Anheuser-Busch Int'l Inv ADR		X	03/10/10	X						
Anheuser-Busch Int'l Inv ADR		X	05/05/10	X						
Anheuser-Busch Int'l Inv ADR		X	05/18/10	X						
Anheuser-Busch Int'l Inv ADR		X	06/28/10	X						
Anheuser-Busch Int'l Inv ADR		X	07/02/10	X						
Apple Inc		X	02/11/10	X						
Apple Inc		X	03/02/10	X						
Apple Inc		X	03/10/10	X						
Apple Inc		X	04/27/10	X						
Apple Inc		X	05/14/10	X						
Apple Inc		X	06/16/10	X						
AT&T Inc		X	01/15/10	X						
AT&T Inc		X	01/22/10	X						

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the**

reporting period or any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "Capital gains" box and disclose the income on Schedule D.

## SCHEDULE IV - TRANSACTIONS

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the**

Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS																					
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.00											Name: Vernon G. Buchanan										
Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.																					
Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.																					
											Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction							
											PURCHASE	SALE	EXCHANGE	(MONTH/YR)							
											B	C	D	E	F	G	H	I	J	K	
DB US Dollar Bullish		X										01/04/10		\$1,001 - \$15,000							
DB US Dollar Bullish		X										01/07/10		\$16,001 - \$50,000							
DB US Dollar Bullish			X									01/12/10		\$50,001 - \$100,000							
DB US Dollar Bullish			X									01/27/10		\$100,001-250,000							
DB US Dollar Bullish			X									01/28/10		\$250,001-\$500,000							
DB US Dollar Bullish			X									01/29/10		\$500,001-\$1,000,000							
DB US Dollar Bullish			X									02/05/10		\$1,000,001-\$5,000,000							
DB US Dollar Bullish			X									02/17/10		\$5,000,001-\$25,000,000							
DB US Dollar Bullish			X									02/17/10		\$25,000,001-\$50,000,000							
DB US Dollar Bullish			X									02/19/10		Over \$50,000,000							
DB US Dollar Bullish			X									02/28/10									
DB US Dollar Bullish			X									03/02/10									
DB US Dollar Bullish			X									03/04/10									
DB US Dollar Bullish			X									03/08/10									
DB US Dollar Bullish			X									03/12/10									
DB US Dollar Bullish			X									03/15/10									
DB US Dollar Bullish			X									03/17/10									
DB US Dollar Bullish			X									03/18/10									
DB US Dollar Bullish			X									03/25/10									
DB US Dollar Bullish			X									04/06/10									
DB US Dollar Bullish			X									04/12/10									
DB US Dollar Bullish			X									04/15/10									
DB US Dollar Bullish			X									04/28/10									
DB US Dollar Bullish			X									04/29/10									
DB US Dollar Bullish			X									05/19/10									
DB US Dollar Bullish			X									05/21/10									
DB US Dollar Bullish			X									05/30/10									
DB US Dollar Bullish			X									05/30/10									
DB US Dollar Bullish			X									06/15/10									
DB US Dollar Bullish			X									06/15/10									
DB US Dollar Bullish			X									06/23/10									
DB US Dollar Bullish			X									06/24/10									
DB US Dollar Bullish			X									06/28/10									
DB US Dollar Bullish			X									06/24/10									

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction									
			Purchase					Sale				
			B	C	D	E	F	G	H	I	J	K
Eaton Vance ENH Income		X										
Eaton Vance ENH Income		X										
Eaton Vance ENH Income												
Eaton Vance Natl MuniP		X										
Eaton Vance Natl MuniP		X										
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## **SCHEDULE IV - TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the

reporting period of any security or real property held for investment that exceeded \$1,000.

**Include transactions that resulted in a loss.** Provide a brief description of any exchanges transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check there.

## SCHEDULE IV - TRANSACTIONS

**Report** any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. **Include** transactions that resulted in a loss. Provide a brief description of any exchange transaction. **Exclude** transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

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reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and describe this income on Schedule C-1.

SCHEDULE IV - TRANSACTIONS										
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.										
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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule II.						Name: Vernon G. Buchanan	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
PURCHASE	SALE	EXCHANGE								
			(MO/DAY/YR)							
									B C D E F G H I J K	
JP Morgan Chase & Co	X						05/06/10		\$1,001 - \$15,000	
JP Morgan Chase & Co	X						06/08/10	X	X \$15,001 - \$50,000	
JP Morgan Chase & Co	X						11/23/10	X	\$50,001 - \$100,000	
JP Morgan Chase & Co	X						11/23/10	X	\$100,001-250,000	
JP Morgan Chase & Co	X						11/23/10	X	\$250,001-\$500,000	
JP Morgan Highbridge	X						03/02/10		\$500,001-\$1,000,000	
JP Morgan Strategic	X						06/24/10		\$1,000,001-\$5,000,000	
JP Morgan Tax Aware Real	X						06/24/10		\$5,000,001-\$25,000,000	
JP Morgan US Large Cap	X						03/02/10	X	\$25,000,001- \$50,000,000	
JP Morgan US Large Cap	X						03/02/10	X	\$50,000,001- Over \$50,000,000	
JP Morgan US Large Cap	X						04/28/10			
JP Morgan US Large Cap	X						06/10/10	X		
Kraft Foods Inc Va CIA	X						06/24/10	X		
Kraft Foods Inc Va CIA	X						02/03/10	X		
Kraft Foods Inc Va CIA	X						02/16/10	X		
Kraft Foods Inc Va CIA	X						02/23/10	X		
Kraft Foods Inc Va CIA	X						02/25/10	X		
Kraft Foods Inc Va CIA	X						03/02/10	X		
Kraft Foods Inc Va CIA	X						03/17/10	X		
Kraft Foods Inc Va CIA	X						04/06/10	X		
Kraft Foods Inc Va CIA	X						05/03/10	X		
Kraft Foods Inc Va CIA	X						05/07/10	X		
Kraft Foods Inc Va CIA	X						05/12/10	X		
Kraft Foods Inc Va CIA	X						05/24/10	X		
Kraft Foods Inc Va CIA	X						06/24/10	X		
Kraft Foods Inc Va CIA	X						06/24/10	X		
Kraft Foods Inc Va CIA	X						06/24/10	X		
Kraft Foods Inc Va CIA	X						06/24/10	X		
Lorn Abbot Floating	X						01/22/10	X		
Lorn Abbot Floating	X						03/02/10	X		
Lorn Abbot High Yield M	X						04/26/10	X		

**SCHEDULE IV - TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Name: Vernon G. Buchanan		Amount of Transaction	
	Type of Transaction	Capital Gain in Excess of \$200?		
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)
Lord Abbett High Yield M		X		03/23/10
Lord Abbett High Yield M		X		06/24/10
Lord Abbett Intermediate		X		11/23/10
Lord Abbett Intermediate		X		03/02/10
Lowe's Companies Inc		X		03/24/10
Lowe's Companies Inc		X		03/20/10
Manpower Inc		X		04/12/10
Manpower Inc		X		04/15/10
Market Vectors ETF TR		X		05/04/10
Market Vectors ETF TR		X		01/21/10
Market Vectors ETF TR		X		01/21/10
Market Vectors ETF TR		X		01/25/10
Market Vectors ETF TR		X		02/01/10
Market Vectors ETF TR		X		02/05/10
Market Vectors ETF TR		X		02/09/10
Market Vectors ETF TR		X		04/20/10
Market Vectors ETF TR		X		05/11/10
Market Vectors ETF TR		X		07/02/10
Matthews Asia Pacific		X		04/28/10
Matthews Asia Pacific		X		05/28/10
Matthews Asia Pacific		X		08/24/10
McDonalds Corp		X		04/01/10
McDonalds Corp		X		06/01/10
FFI Institutional Fund		X		11/23/10
Microchip Corp		X		01/12/10
Microchip Corp		X		04/09/10
Micromax Corp		X		04/18/10
Monsanto Co New Del Com		X		06/21/10
Monsanto Co New Del Com		X		06/24/10
Nstar Com		X		03/18/10
Nstar Com		X		04/07/10
Nstar Com		X		05/05/10
Nstar Com		X		06/19/10
Nuveen All Amer		X		
Nuveen Fleet Rate Inc.		X		02/05/10
Nuveen High Yield Muni		X		06/24/10
Nuveen High Yield Muni		X		07/02/10

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS											
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<b>Capital Gains</b> - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.											
PURCHASE		SALE		EXCHANGE		(MO/DAY/YR)		Date		Amount of Transaction	
Type of Transaction	Capital Gain in Excess of \$200?	B	C	D	E	F	G	H	I	J	K
Proctor & Gamble Co		x			x			04/21/10	x	\$1,001 - \$15,000	
Prudential Short Term		x			x			04/01/10	x	\$15,001 - \$50,000	
Regions Finl Corp		x			x			08/24/10	x	\$50,001 - \$100,000	
Regions Finl Corp		x			x			03/11/10	x	\$100,001-250,000	
Rydex ETF Tr Index Fund		x			x			04/01/10	x	\$250,001-\$500,000	
Rydex ETF Tr Index Fund		x			x			05/04/10	x	\$500,001-\$1,000,000	
Santoff Aventis Spon Adr		x			x			05/07/10	x	\$1,000,001-\$5,000,000	
Santoff Aventis Spon Adr		x			x			05/26/10	x	\$5,000,001-\$25,000,000	
Sector SPDR Consumer Stpl		x			x			06/07/10	x	\$25,000,001-\$50,000,000	
Sector SPDR Consumer Stpl		x			x			05/14/10	x	\$50,000,001-\$100,000,000	
Sector SPDR Financial		x			x			05/16/10	x	\$100,0001-250,000,000	
Sector SPDR Industrial		x			x			05/14/10	x	\$250,0001-\$500,000,000	
Sector SPDR Industrial		x			x			04/23/10	x	\$500,0001-\$1,000,000,000	
Sector SPDR Utilities		x			x			05/04/10	x	\$1,000,001-\$5,000,000,000	
Sector SPDR Utilities		x			x			01/05/10	x	\$5,000,001-\$25,000,000,000	
Sector SPDR Utilities		x			x			01/05/10	x	\$25,000,001-\$50,000,000,000	
Sector SPDR Utilities		x			x			01/19/10	x	Over \$50,000,000,000	
Sector SPDR Utilities		x			x			01/21/10	x		
Sector SPDR Utilities		x			x			01/22/10	x		
Sector SPDR Utilities		x			x			02/19/10	x		
Sector SPDR Utilities		x			x			03/22/10	x		
Sector SPDR Utilities		x			x			03/22/10	x		
SPDR Barch Capl High		x			x			03/22/10	x		
SPDR Gold Trust		x			x			05/14/10	x		
SPDR Gold Trust		x			x			01/06/10	x		
SPDR Gold Trust		x			x			01/22/10	x		
SPDR Gold Trust		x			x			02/12/10	x		
SPDR Gold Trust		x			x			02/17/10	x		
SPDR Gold Trust		x			x			02/19/10	x		
SPDR Gold Trust		x			x			02/26/10	x		
SPDR Gold Trust		x			x			03/10/10	x		
SPDR Gold Trust		x			x			03/31/10	x		
SPDR Gold Trust		x			x			04/07/10	x		

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "Capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction									
				PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)						
SPDR Gold Trust			X				04/09/10						
SPDR Gold Trust			X				05/04/10						
SPDR Gold Trust			X				05/07/10						
SPDR Gold Trust			X				05/13/10						
SPDR Gold Trust			X				05/20/10						
SPDR Gold Trust			X				06/02/10						
SPDR Gold Trust			X				06/10/10						
SPDR Gold Trust			X				08/15/10						
SPDR Gold Trust			X				11/23/10						
SPDR Gold Trust			X				11/23/10						
SPDR Kew Regional Bankin			X				04/27/10						
SPDR SP Homebuilders			X				04/28/10						
State Street Corp			X				05/08/10						
State Street Corp			X				04/20/10						
Target Corp Com			X				04/22/10						
Target Corp Com			X				03/10/10						
TCW Total Return			X				05/21/10						
Tesoro Corp			X				02/25/10						
Tesoro Corp			X				03/15/10						
Tesoro Corp			X				03/18/10						
Tesoro Corp			X				03/19/10						
Tesoro Corp			X				03/22/10						
Tesoro Corp			X				04/23/10						
Tesoro Corp			X				04/28/10						
Tesoro Corp			X				05/05/10						
Tupperware Brands Corp			X				05/10/10						
Tupperware Brand Corp			X				05/25/10						
Tupperware Brands Corp			X				05/25/10						
United Sis Gasoline F&L			X				11/29/10						
United Sis Sis Corp New			X				04/21/10						
United Sis Sis Corp New			X				04/23/10						
Verizon Communications COM			X				01/22/10						

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "Capital gains" box and disclose this income on Schedule III.

	Name: Vernon G. Buchanan		Amount of Transaction	
	Type of Transaction	Capital gain in excess of \$1,000		
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)
Verizon Communications COM		X		02/11/10
Verizon Communications COM		X		02/18/10
Verizon Communications COM		X		03/02/10
Verizon Communications COM		X		03/08/10
Verizon Communications COM		X		04/08/10
Verizon Communications COM		X		04/19/10
Verizon Communications COM		X		05/18/10
Verizon Communications COM		X		06/18/10
Virtus Multi Sector		X		03/04/10
Virtus Multi Sector		X		06/24/10
Vista Inc CL A Shrs		X		04/29/10
Vista Inc CL A Shrs		X		05/03/10
Wal-Mart Stores Inc		X		01/28/10
Wal-Mart Stores Inc		X		02/17/10
Wal-Mart Stores Inc		X		04/14/10
Wal-Mart Stores Inc		X		04/21/10
Wal-Mart Stores Inc		X		06/04/10
Wal-Mart Stores Inc		X		06/07/10
Weatherford Int'l Ltd		X		05/12/10
Weatherford Int'l Ltd		X		06/24/10
West Fargo Capital XIV		X		03/15/10
West Fargo Capital XIV		X		06/24/10
Western Asset Managed		X		1/12/10
Western Asset Managed		X		02/04/10
Western Asset Managed		X		03/05/10
Western Asset Managed		X		03/22/10
Western Asset Managed		X		04/19/10
Western Asset Managed		X		05/26/10
Western Asset Managed		X		05/27/10
Weyerhaeuser Co		X		05/27/10
Weyerhaeuser Co		X		06/24/10
Weyerhaeuser Co		X		02/04/10
Wisdomtree Emerging Mkts		X		03/24/10
Wisdomtree Emerging Mkts		X		04/08/10

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

## **SCHEDULE IV - TRANSACTIONS**

reporting period of any security or real property held for investment that exceeded \$1,000.00. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the

reporting period of any security or real property held for investment that exceeded \$1,000.

**Indicate transactions that resulted in a loss.** Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Capital Gain in Excess of \$200?	Date		Amount of Transaction									
			(MM/DD/YYR)		B					C				
			PURCHASE	SALE	E	F	G	H	I	J	K			
AMAG Pharmaceuticals Inc			X	X										\$1,001 - \$15,000
Amer Express Company			X											\$15,001 - \$50,000
Amer Express Company				X										\$50,001 - \$100,000
Amer Express Company					X									\$100,001-250,000
Amer Express Company						X								\$250,001-\$500,000
Amer Express Company							X							\$500,001-\$1,000,000
Amer Express Company								X						\$1,000,001-\$5,000,000
Amer Express Company									X					\$5,000,001-\$25,000,000
Amer Express Company										X				\$25,000,001-
Amer Express Company											X			\$50,000,000
Amer Express Company												X		Over \$50,000,000
Amer Express Company													X	
Amer Express Company														
American Axle&Mfg Holdings			X	X										
American Tower Corp CL A				X										
American Tower Corp CL A					X									
Amerex Tower Corp CL A						X								
Amerex Technology Select SPDR						X								
Amerex Technology Select SPDR							X							
Amerex Technology Select SPDR								X						
Amerex Technology Select SPDR									X					
Amer Technology Select SPDR										X				
Angen, Inc Com			X	X										
Angen Inc Com				X										
Angstarko Pest Corp					X									
AngloGold Ashanti Ltd						X								
Anheuser-Busch Inbev ADR							X							
Anheuser-Busch Inbev ADR								X						
Anheuser-Busch Inbev ADR									X					
Anheuser-Busch Inbev ADR										X				
Anheuser-Busch Inbev ADR											X			
Anheuser-Busch Inbev ADR												X		

**SCHEDULE IV - TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Name: Vernon G. Buchanan										
	Type of Transaction	Capital Gain in Excess of \$200?		Date		Amount of Transaction					
		B	C	D	E	F	G	H	I	J	
PURCHASE											
	SALE										
	EXCHANGE										
		(MONTH/DAY/YR)									
Anheuser-Busch Inbev ADR		X	X	X	09/01/10						\$1,001 - \$15,000
Anheuser-Busch Inbev ADR		X	X	X	09/02/10						\$15,001 - \$50,000
Anheuser-Busch Inbev ADR		X	X	X	10/28/10						\$50,001 - \$100,000
Anheuser-Busch Inbev ADR		X	X	X	11/09/10						\$100,001-250,000
Apollo Group Inc Cl A		X	X	X	12/03/10						\$250,001-\$500,000
Apollo Group Inc Cl A		X	X	X	10/18/10						\$500,001-\$1,000,000
Apple Inc		X	X	X	10/20/10						\$1,000,001-\$5,000,000
Apple Inc		X	X	X	01/26/10						\$5,000,001-\$25,000,000
Apple Inc		X	X	X	02/19/10						\$25,000,001-\$50,000,000
Apple Inc		X	X	X	03/10/10						Over \$50,000,000
Apple Inc		X	X	X	04/27/10						
Apple Inc		X	X	X	05/05/10						
Apple Inc		X	X	X	05/07/10						
Apple Inc		X	X	X	06/16/10						
Apple Inc		X	X	X	07/06/10						
Apple Inc		X	X	X	07/13/10						
Apple Inc		X	X	X	07/21/10						
Apple Inc		X	X	X	07/28/10						
Apple Inc		X	X	X	07/30/10						
Apple Inc		X	X	X	08/06/10						
Apple Inc		X	X	X	08/11/10						
Apple Inc		X	X	X	08/30/10						
Apple Inc		X	X	X	10/18/10						
Apple Inc		X	X	X	11/17/10						
Applied Material Inc		X	X	X	08/25/10						
Applied Material Inc		X	X	X	09/13/10						
Arclormatik SA		X	X	X	10/26/10						
Arclormatik SA		X	X	X	11/10/10						
Archer Daniels Mid		X	X	X	09/24/10						
Archer Daniels Mid		X	X	X	09/26/10						
Armstrong World Inds Inc		X	X	X	12/14/10						
Armstrong World Inds Inc		X	X	X	12/15/10						
Armstrong World Inds Inc		X	X	X	12/17/10						
Armstrong World Inds Inc		X	X	X	12/22/10						
Armstrong World Inds Inc		X	X	X	12/23/10						
Armstrong World Inds Inc		X	X	X	12/27/10						

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Date	Amount of Transaction									
			B	C	D	E	F	G	H	I	J	K
Assured Guaranty Ltd		X										
Assured Guaranty Ltd		X										
Assured Guaranty Ltd		X										
Assured Guaranty Ltd		X										
AT&T Inc		X										
AT&T Inc		X										
AT&T Inc		X										
AT&T Inc		X										
Atheros Communications		X										
Atheros Communications		X										
Atheros Communications		X										
Atheros Communications		X										
Atheros Communications		X										
Autonetrix Inc		X										
Autonetrix Inc		X										
Autozone Inc Nevada Com		X										
Autozone Inc Nevada Com		X										
Avon Prod Inc		X										
Avon Prod Inc		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baidu Inc Spon ADR		X										
Baker Hughes Inc		X										
Baker Hughes Inc		X										
Bancorpba SA Spds Adr		X										

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date		Amount of Transaction							
			PURCHASE	SALE	(MO/DAY/YR)							
			B	C	D	E	F	G	H	I	J	K
Banick Gold Corporation	X				1005/10					\$1,001 - \$15,000		
Baxter Int'l Inc	X				05/24/10					\$15,001 - \$50,000		
Baxter Int'l Inc	X				06/09/10					\$50,001 - \$100,000		
Best Buy Co Inc	X				02/24/10	X	X	X	X	\$100,001-250,000		
Best Buy Co Inc	X				03/11/10	X				\$250,001-\$500,000		
Best Buy Co Inc	X				03/18/10	X				\$500,001-\$1,000,000		
Best Buy Co Inc	X				03/16/10	X				\$1,000,001-\$5,000,000		
Best Buy Co Inc	X				03/25/10	X				\$5,000,001-\$25,000,000		
Best Buy Co Inc	X				04/07/10	X				\$25,000,001-		
Best Buy Co Inc	X				04/09/10	X				\$50,000,000		
Best Buy Co Inc	X				04/12/10	X				Over \$50,000,000		
Best Buy Co Inc	X				04/14/10	X						
Best Buy Co Inc	X				04/23/10	X						
Best Buy Co Inc	X				04/29/10	X						
Best Buy Co Inc	X				05/05/10	X						
Best Buy Co Inc	X				05/07/10	X						
Best Buy Co Inc	X				05/10/10	X						
Best Buy Co Inc	X				05/11/10	X						
Best Buy Co Inc	X				06/02/10	X						
Best Buy Co Inc	X				06/24/10	X						
Best Buy Co Inc	X				07/06/10	X						
BP&P Biltion Ltd Adm	X				07/12/10	X						
BlackRock Cap & Inv Strat	X				12/15/10	X						
BlackRock Capital Strat	X				02/01/10	X						
BlackRock Capital Strat	X				02/02/10	X						
BlackRock Capital Strat	X				02/09/10	X						
BlackRock Div Actvrs	X				09/09/10	X						
BlackRock F/R Income	X				05/19/10	X						
BlackRock F/R Income	X				05/20/10	X						
BlackRock Global	X				05/19/10	X						
Blackstone Group LP	X				05/11/10	X						
Blackstone Group LP	X				05/09/10	X						
Blue Coast Sys Inc	X				05/19/10	X						
Blue Coast Sys Inc	X				05/16/10	X						
Boston PPTVS Inc	X				08/23/10	X						
Boston PPTVS Inc	X				10/05/10	X						
Boston PPTVS Inc	X				10/22/10	X						

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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date							Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)				B	C	D	E	F	G	H	I	J	K
Boston Scientific Corp	X					08/03/10													
Boston Scientific Corp	X					08/11/10													
Boston Scientific Corp	X					09/08/10													
BP PLC Spon ADR	X					01/02/10													
BP PLC Spon ADR	X					01/27/10													
BP PLC Spon ADR	X					04/06/10													
BP PLC Spon ADR	X					04/29/10													
BP PLC Spon ADR	X					05/04/10													
BP PLC Spon ADR	X					05/27/10													
BP PLC Spon ADR	X					05/28/10													
BP PLC Spon ADR	X					06/04/10													
BP PLC Spon ADR	X					06/07/10													
BP PLC Spon ADR	X					06/25/10													
BP PLC Spon ADR	X					06/29/10													
BP PLC Spon ADR	X					08/19/10													
BP PLC Spon ADR	X					09/07/10													
BP PLC Spon ADR	X					09/14/10													
BP PLC Spon ADR	X					09/22/10													
BP PLC Spon ADR	X					10/04/10													
BP PLC Spon ADR	X					11/29/10													
Breitburn Energy Partners	X					12/14/10													
Bristol-Myers Squibb Co	X					11/30/10													
Bristol-Myers Squibb Co	X					11/15/10													
Broadcom Corp Calif CLA	X					11/22/10													
Broadcom Corp Calif CLA	X					02/19/10													
Bunge Limited	X					08/15/10													
Bunge Limited	X					08/26/10													
Bunge Limited	X					10/08/10													
Bunge Limited	X					10/19/10													
Bunge Limited	X					08/02/10													
Bway Hdg Co	X					03/03/10													
Bway Hdg Co	X					03/16/10													
Canadian Natural Res Ltd	X					09/14/10													

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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain or Loss \$200+	Date							Amount of Transaction								
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)				B	C	D	E	F	G	H	I	J
Canadian Natural Res Ltd	X	X				12/01/10				\$1,001 - \$15,000								
Canadian Natural Res Ltd		X				12/23/10				\$15,001 - \$50,000								
Canadian Pacific Railway		X				09/15/10				\$50,001 - \$100,000								
Canadian Pacific Railway		X				09/14/10				\$100,001-250,000								
CapitaSource Inc		X				12/01/10				\$250,001-\$500,000								
CapitaSource Inc		X				12/06/10				\$500,001-\$1,000,000								
Career Education		X				11/01/10				\$1,000,001-\$6,000,000								
Career Education		X				11/03/10				\$6,000,001-\$25,000,000								
Career Holdings Inc		X				11/23/10				\$25,000,001-\$50,000,000								
Career Holdings Inc		X				12/20/10				Over \$50,000,000								
Carnival Corp SHS		X				08/29/10												
Carnival Corp Paired SHS		X				07/08/10												
Carter Holdings Inc		X				10/27/10												
Carter Holdings Inc		X				10/28/10												
Calgene Corp Com		X				02/25/10												
Calgene Corp Com		X				04/22/10												
Camex Sabs De Cv Spnd Act		X				06/22/10												
CF Heds Hedge Inc		X				11/08/10												
CF Heds Hedge Inc		X				11/15/10												
Check Point Software Tech		X				12/02/10												
Check Point Software Tech		X				12/15/10												
Chesapeake Energy OKLA		X				03/19/10												
Chesapeake Energy OKLA		X				03/25/10												
Chesapeake Energy OKLA		X				04/19/10												
Chesapeake Energy OKLA		X				10/26/10												
Chesapeake Energy OKLA		X				11/08/10												
Chicos Fas Inc COM		X				12/28/10												
Chicos Fas Inc COM		X				11/18/10												
Chicos Fas Inc COM		X				11/23/10												
Chicos Fas Inc COM		X				11/30/10												
Chicos Fas Inc COM		X				12/17/10												
China Real Estate Infirm		X				12/23/10												
China Real Estate Infirm		X				10/12/10												
China Unicorn Hong Kong		X				10/21/10												
China Unicorn Hong Kong		X				10/25/10												
China Unicorn Hong Kong		X				03/17/10												
China Unicorn Hong Kong		X				03/24/10												

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

\*capital gains\* box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction									
				B	C	D	E	F	G	H	I	J	K
Cisco Systems Inc Com	X		08/16/10										
Cisco Systems Inc Com	X		08/27/10										
Cisco Systems Inc Com	X		09/15/10										
Cisco Systems Inc Com	X		09/21/10										
Cisco Systems Inc Com	X		10/14/10										
Cisco Systems Inc Com	X		10/14/10										
Cisco Systems Inc Com	X		10/15/10										
Cisco Systems Inc Com	X		11/11/10										
Cisco Systems Inc Com	X		11/11/10										
Cisco Systems Inc Com	X		11/11/10										
Cisco Systems Inc Com	X		11/26/10										
Cisco Systems Inc Com	X		11/30/10										
Citigroup Inc	X		12/27/10										
Citigroup Inc	X		03/09/10										
Citigroup Inc	X		03/17/10										
Citigroup Inc	X		03/19/10										
Citigroup Inc	X		04/15/10										
Citigroup Inc	X		04/19/10										
Citigroup Inc	X		04/22/10										
Citigroup Inc	X		04/28/10										
Citigroup Inc	X		05/06/10										
Citigroup Inc	X		05/09/10										
Citigroup Inc	X		05/17/10										
Citigroup Inc	X		05/27/10										
Citigroup Inc	X		07/15/10										
Citigroup Inc	X		08/05/10										
Citigroup Inc	X		09/17/10										
Citigroup Inc	X		09/27/10										
Citigroup Inc	X		10/01/10										
Citigroup Inc	X		10/11/10										
Citigroup Inc	X		10/14/10										
Citigroup Inc	X		10/18/10										
Citigroup Inc	X		10/21/10										
Citigroup Inc	X		10/25/10										
Citigroup Inc	X		11/05/10										
Citigroup Inc	X		11/19/10										

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule II.

SCHEDULE IV - TRANSACTIONS										
Name: Vernon G. Buchanan										
										Amount of Transaction
	Type of Transaction	Capital Gain in Excess of \$200								
	PURCHASE		SALE		EXCHANGE					
						(MM/DD/YYR)				
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.										
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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.										
Companhia D Shimmo BSCO		X				08/23/10				\$1,001 - \$15,000
Conagra Foods Inc		X				01/26/10				\$15,001 - \$50,000
Conagra Foods Inc		X				02/17/10				\$50,001 - \$100,000
Conagra Foods Inc		X				08/09/10				\$100,001-250,000
Conagra Foods Inc		X				08/17/10				\$250,001-\$500,000
Conagra Foods Inc		X				10/15/10				\$500,001-\$1,000,000
ConocoPhillips		X				10/27/10				\$1,000,001-\$5,000,000
ConocoPhillips		X				02/22/10				\$5,000,001-\$25,000,000
Consumer Discretionary		X				08/31/10				\$25,000,001-
Consumer Discretionary		X				09/01/10				\$50,000,000
Consumer Discretionary		X				04/14/10				Over \$50,000,000
Consumer Discretionary		X				04/19/10				
Consumer Discretionary		X				04/23/10				
Consumer Discretionary		X				04/26/10				
Consumer Discretionary		X				04/27/10				
Consumer Discretionary		X				05/03/10				
Consumer Discretionary		X				05/04/10				
Consumer Discretionary		X				05/07/10				
Continental Ants Cl B		X				03/24/10				
Continental Ants Cl B		X				03/31/10				
Copei Panama Adt Pref B		X				03/02/10				
Copei Panama Adt Pref B		X				04/07/10				
Core Lab NY COM		X				10/28/10				
Core Lab NY COM		X				10/29/10				
Cort C Corporation		X				11/12/10				
Corporate Exec Board Co		X				12/07/10				
Corporate Exec Board Co		X				12/17/10				
Costco Wholesale CRP Del		X				10/20/10				
Costco Wholesale CRP Del		X				10/27/10				
Cott Corporation		X				03/05/10				
Covanta Holdings Corp		X				03/19/10				
Coviden Pct Shs		X				07/21/10				
Coviden Pct Shs		X				07/23/10				
Coviden Pct Shs		X				01/21/10				
Coviden Pct Shs		X				03/01/10				

## SCHEDULE IV - TRANSACTIONS

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**Capital Gains** - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

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	Type of Transaction	Capital Gain in Excess of \$200?	Date (MM/DD/YYR)	Amount of Transaction																			
				PURCHASE					SALE					EXCHANGE									
				B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S		
DB US Dollar Ind Bullish		X	03/17/10											\$1,001 - \$15,000									
DB US Dollar Ind Bullish		X	03/18/10											X	\$15,001 - \$50,000								
DB US Dollar Ind Bullish		X	03/25/10											X	\$50,001 - \$100,000								
DB US Dollar Ind Bullish		X	04/06/10											X	\$100,001-250,000								
DB US Dollar Ind Bullish		X	04/17/10											X	\$250,001-\$500,000								
DB US Dollar Ind Bullish		X	04/18/10											X	\$500,001-\$1,000,000								
DB US Dollar Ind Bullish		X	04/28/10											X	\$1,000,001-\$5,000,000								
DB US Dollar Ind Bullish		X	05/04/10											X	\$5,000,001-\$25,000,000								
DB US Dollar Ind Bullish		X	05/07/10											X	\$25,000,001-								
DB US Dollar Ind Bullish		X	05/10/10											X	\$50,000,000								
DB US Dollar Ind Bullish		X	05/19/10											X	Over \$50,000,000								
DB US Dollar Ind Bullish		X	05/21/10											X									
DB US Dollar Ind Bullish		X	05/11/10											X									
DB US Dollar Ind Bullish		X	06/17/10											X									
DB US Dollar Ind Bullish		X	06/18/10											X									
DB US Dollar Ind Bullish		X	06/19/10											X									
DB US Dollar Ind Bullish		X	06/23/10											X									
DB US Dollar Ind Bullish		X	06/24/10											X									
DB US Dollar Ind Bullish		X	07/02/10											X									
DB US Dollar Ind Bullish		X	07/08/10											X									
DB US Dollar Ind Bullish		X	07/15/10											X									
DB US Dollar Ind Bullish		X	08/02/10											X									
DB US Dollar Ind Bullish		X	08/03/10											X									
DB US Dollar Ind Bullish		X	08/04/10											X									
DB US Dollar Ind Bullish		X	08/12/10											X									
DB US Dollar Ind Bullish		X	08/20/10											X									
DB US Dollar Ind Bullish		X	09/21/10											X									
DB US Dollar Ind Bullish		X	10/01/10											X									
DB US Dollar Ind Bullish		X	10/20/10											X									
DB US Dollar Ind Bullish		X	10/20/10											X									
DB US Dollar Ind Bullish		X	11/09/10											X									
DB US Dollar Ind Bullish		X	11/11/10											X									
DB US Dollar Ind Bullish		X	11/19/10											X									
DB US Dollar Ind Bullish		X	12/01/10											X									

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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## SCHEDULE IV - TRANSACTIONS

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## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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SCHEDULE IV - TRANSACTIONS														
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<b>Capital Gains</b> - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.														
Name: Vernon G. Buchanan		Type of Transaction	Capital Gain in Excess of \$200?		Date		Amount of Transaction							
PURCHASE	SALE	EXCHANGE	(MM/DD/YY)		B	C	D	E	F	G	H	I	J	K
			X	X								\$1,001 - \$15,000		
EMC Corporation Mass												\$15,001 - \$50,000		
EMC Corporation Mass			X	X								\$50,001 - \$100,000		
Emerging Global Shares			X	X								\$100,001-250,000		
Emerging Global Shares			X	X								\$250,001-\$600,000		
Endo Pharmaceuticals Hldgs			X	X								\$500,001-\$1,000,000		
Endo Pharmaceuticals Hldgs				X								\$1,000,001-\$5,000,000		
Energy Transfer Partners LP			X	X								\$5,000,001-\$25,000,000		
Energy Transfer Partners LP				X								\$25,000,001-\$50,000,000		
Energy Transfer Partners LP			X	X								\$50,000,001 Over \$50,000,000		
Energy Transfer Partners LP				X								Over \$50,000,000		
Energy Transfer Partners LP			X	X										
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## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.**

**Include transactions that resulted in a loss.** Provide a brief description of any exchange or transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

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**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date										Amount of Transaction										
			PURCHASE					SALE					EXCHANGE					(MO/DAY/YR)					
			B	C	D	E	F	G	H	I	J	K	B	C	D	E	F	G	H	I	J	K	
Health Care Seized SPDR		X											12/27/10										
Health Mgmt Assoc Inc A		X											07/23/10										
Health Mgmt Assoc Inc A		X											07/26/10										
HeraLife Ltd		X											04/08/10										
HeraLife Ltd		X											04/13/10										
HeraLife Ltd		X											05/12/10										
Hershey Company		X											05/13/10										
Hershey Company		X											08/28/10										
Hewlett Packard Co Del		X											01/20/10										
Hewlett Packard Co Del		X											01/27/10										
Hewlett Packard Co Del		X											05/06/10										
Hewlett Packard Co Del		X											05/26/10										
Hewlett Packard Co Del		X											08/09/10										
Home Depot Inc		X											08/20/10										
Home Depot Inc		X											08/15/10										
Home Depot Inc		X											08/22/10										
Home Depot Inc		X											07/13/10										
Home Depot Inc		X											07/15/10										
Home Depot Inc		X											10/19/10										
Home Depot Inc		X											10/21/10										
Home Depot Inc		X											10/22/10										
Home Depot Inc		X											10/27/10										
Home Inn & Hotels Mgmt		X											09/24/10										
Home Inn & Hotels Mgmt		X											09/29/10										
Human Genome Sciences Inc		X											10/04/10										
Huntington Bancshares Inc MD		X											10/18/10										
Huntington Bancshares Inc MD		X											10/20/10										
Huntsman Corp		X											12/14/10										
Huntsman Corp		X											12/16/10										
Icon PLC Sponsored Adr		X											10/05/10										
Icon PLC Sponsored Adr		X											10/18/10										
Illumina Inc COM		X											11/30/10										
Illumina Inc COM		X											12/01/10										
Illumina Inc COM		X											12/02/10										
Illumina Inc COM		X											12/15/10										

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**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date (MM/DD/YYR)	Amount of Transaction						
				B	C	D	E	F	G	H
Infoys Tech Ltd Adr	X		04/13/10	X						
Infoys Tech Ltd Adr	X		04/13/10	X						
Int Clarion Global Reel	X		04/28/10	X						
Ingersoll Rand Plc	X		05/07/10	X						
Imphos Holdings Inc	X		12/17/10	X						
Imphos Holdings Inc	X		11/04/10	X						
Intel Corp	X		11/09/10	X						
Intel Corp	X		03/25/10	X						
Intel Corp	X		04/12/10	X						
Intel Corp	X		04/21/10	X						
Intel Corp	X		04/22/10	X						
Intel Corp	X		05/03/10	X						
Intel Corp	X		06/15/10	X						
Intel Corp	X		07/02/10	X						
Intel Corp	X		07/14/10	X						
Intel Corp	X		07/15/10	X						
Intel Corp	X		07/29/10	X						
Intel Corp	X		08/11/10	X						
Intel Corp	X		08/17/10	X						
Intel Corp	X		08/31/10	X						
Intel Corp	X		09/23/10	X						
Intel Corp	X		12/23/10	X						
Intl Business Machines	X		05/06/10	X						
Intl Business Machines	X		05/13/10	X						
Int'l Business Machines	X		05/17/10	X						
Int'l Business Machines	X		06/22/10	X						
Int'l Business Machines	X		07/20/10	X						
Int'l Business Machines	X		07/27/10	X						
Int'l Business Machines	X		08/24/10	X						
Int'l Business Machines	X		08/27/10	X						
Int'l Business Machines	X		09/21/10	X						
Int'l Business Machines	X		10/01/10	X						
Int'l Business Machines	X		10/05/10	X						
Int'l Business Machines	X		10/08/10	X						
Int'l Business Machines	X		10/18/10	X						
Int'l Business Machines	X		11/16/10	X						

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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Name: Vernon G. Duthie alias													
Reporting Period: October 1, 2009 - December 31, 2010													
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.													
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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.													
	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction									
	PURCHASE			B	C	D	E	F	G	H	I	J	K
	SALE												
	EXCHANGE												
	(MM/DD/YY)												
ishares Barclay 1-3 Yr													
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**SCHEDULE IV - TRANSACTIONS**

	Name: Vernon G. Buchanan	Type of Transaction	Capital Gain in Excess of \$2,000?	Date (MONTH/YR)	Amount of Transaction						
					B	C	D	E	F	G	H
<b>Capital Gains</b> - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.											
Ishares Barclays 20+ yr		X	X	08/27/10							\$1,001 - \$15,000
Ishares Barclays 20+ yr		X	X	09/02/10							\$15,001 - \$50,000
Ishares Barclays 20+ yr		X	X	09/09/10							\$50,001 - \$100,000
Ishares Barclays 20+ yr		X	X	09/27/10							\$100,001-250,000
Ishares Barclays 20+ yr		X	X	10/15/10							\$250,001-\$500,000
Ishares Barclays 20+ yr		X	X	10/29/10							\$500,001-\$1,000,000
Ishares Dow Jones US		X	X	11/03/10							\$1,000,001-\$5,000,000
Ishares Dow Jones US		X	X	01/18/10							\$5,000,001-\$25,000,000
Ishares Dow Jones US		X	X	04/06/10							\$25,000,001-\$50,000,000
Ishares Dow Jones US		X	X	04/08/10							Over \$50,000,000
Ishares Dow Jones US		X	X	04/14/10							
Ishares Dow Jones US		X	X	04/15/10							
Ishares Dow Jones US		X	X	04/19/10							
Ishares Dow Jones US		X	X	04/28/10							
Ishares Dow Jones US		X	X	05/17/10							
Ishares Dow Jones US		X	X	05/19/10							
Ishares Dow Jones US		X	X	07/05/10							
Ishares Dow Jones US		X	X	08/16/10							
Ishares Dow Jones US		X	X	08/30/10							
Ishares Dow Jones US		X	X	09/09/10							
Ishares Dow Jones US		X	X	10/01/10							
Ishares Dow Jones US		X	X	11/09/10							
Ishares Dow Jones US		X	X	11/15/10							
Ishares Dow Jones US		X	X	11/15/10							
Ishares Dow Jones US		X	X	11/19/10							
Ishares Dow Jones US		X	X	12/09/10							
Ishares Dow Jones US		X	X	12/17/10							
Ishares Dow Jones US		X	X	12/21/10							
Ishares FTSE HK		X	X	03/28/10							
Ishares FTSE HK		X	X	04/28/10							
Ishares FTSE HK		X	X	09/14/10							
Ishares FTSE HK		X	X	10/13/10							
Ishares Iboxx \$		X	X	12/27/10							
Ishares Iboxx \$		X	X	02/28/10							
Ishares Iboxx \$		X	X	06/28/10							
Ishares Iboxx \$		X	X	07/28/10							
Ishares Iboxx \$		X	X	10/03/10							

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

**Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "Capital gains" box and calculate the amount of the gain.

## SCHEDULE IV - TRANSACTIONS

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child during the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of new securities or real property held for investment purposes.**

Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the

**Capital Gains** - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SCHEDULE IV - TRANSACTIONS										
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.										
Capital Gains - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.										
Name: Vernon G. Buchanan						Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction	
Jabil Circuit Inc			X					07/01/10	X	\$1,001 - \$15,000
Jacobs Engin Grp Inc Delta			X					11/08/10	X	\$15,001 - \$50,000
Janus Triton Fund Cl-1			X					11/24/10	X	\$50,001 - \$100,000
Johnson & Johnson COM			X					10/11/10	X	\$100,001-250,000
Johnson & Johnson COM			X					06/01/10	X	\$250,001-\$500,000
Johnson & Johnson COM			X					07/23/10	X	\$600,001-\$1,000,000
Johnson & Johnson COM			X					08/03/10	X	\$1,000,001-\$5,000,000
Johnson & Johnson COM			X					09/01/10	X	\$5,000,001-\$25,000,000
Johnson & Johnson COM			X					09/13/10	X	\$25,000,001- \$50,000,000
Johnson & Johnson COM			X					09/14/10	X	Over \$50,000,000
Johnson Controls Inc			X					04/26/10	X	
Johnson Controls Inc			X					05/17/10	X	
Johnson Controls Inc			X					10/06/10	X	
Johnson Controls Inc			X					10/13/10	X	
Johnson Controls Inc			X					10/22/10	X	
Johnson Controls Inc			X					12/09/10	X	
JP Morgan Chase & Co			X					12/16/10	X	
JP Morgan Chase & Co			X					01/06/11	X	
JP Morgan Chase & Co			X					01/22/10	X	
JP Morgan Chase & Co			X					01/27/10	X	
JP Morgan Chase & Co			X					03/3/110	X	
JP Morgan Chase & Co			X					03/31/10	X	
JP Morgan Chase & Co			X					04/06/10	X	
JP Morgan Chase & Co			X					04/26/10	X	
JP Morgan Chase & Co			X					04/29/10	X	
JP Morgan Chase & Co			X					05/05/10	X	
JP Morgan Chase & Co			X					05/21/10	X	
JP Morgan Chase & Co			X					06/01/10	X	
JP Morgan Chase & Co			X					06/04/10	X	
JP Morgan Chase & Co			X					06/05/10	X	
JP Morgan Chase & Co			X					06/16/10	X	
JP Morgan Chase & Co			X					06/25/10	X	
JP Morgan Chase & Co			X					09/30/10	X	
JP Morgan Chase & Co			X					11/02/10	X	
JP Morgan Chase & Co			X					11/05/10	X	
JP Morgan Chase & Co			X					12/14/10	X	
			X					12/22/10	X	

**SCHEDULE IV - TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See examples below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Name: Vernon G. Buchanan										
	Type of Transaction		Capital Gain in Excess of \$200?	Date	Amount of Transaction						
	PURCHASE	SALE			(MONTH/DAY/YR)						
JP Morgan Chase & Co		x		12/28/10							\$1,001 - \$15,000
JP Morgan Highbridge		x		04/15/10							\$15,001 - \$50,000
JP Morgan Highbridge		x		11/22/10							\$50,001 - \$100,000
JP Morgan Strategic		x		04/19/10							\$100,001-250,000
JP Morgan US Large Cap		x		02/08/10							\$250,001-\$500,000
JP Morgan US Large Cap		x		03/10/10							\$500,001-\$1,000,000
JP Morgan US Large Cap		x		04/19/10							\$1,000,001-\$5,000,000
JP Morgan US Large Cap		x		05/06/10							\$5,000,001-\$25,000,000
JP Morgan US Large Cap		x		06/10/10							\$25,000,001-\$50,000,000
JP Morgan US Large Cap		x		06/25/10							\$50,000,001-\$100,000,000
Juniper Networks Inc		x		09/10/10							Over \$50,000,000
Juniper Networks Inc		x		11/15/10							
Juniper Networks Inc		x		12/09/10							
KB Home		x		12/23/10							
KB Home		x		06/28/10							
KBR Inc		x		07/02/10							
KBR Inc		x		10/12/10							
KBR Inc		x		10/28/10							
Kinder Morgan Management		x		08/18/10							
Kinder Morgan Management		x		09/24/10							
Kohl's Corp Wisc PV 1CT		x		10/11/10							
Kohl's Corp Wisc PV 1CT		x		07/20/10							
Kohl's Corp Wisc PV 1CT		x		07/21/10							
Kohl's Corp Wisc PV 1CT		x		12/13/10							
Kraft Foods Inc Va CL A		x		12/17/10							
Kraft Foods Inc Va CL A		x		02/03/10							
Kraft Foods Inc Va CL A		x		02/15/10							
Kraft Foods Inc Va CL A		x		02/17/10							
Kraft Foods Inc Va CL A		x		03/17/10							
Kraft Foods Inc Va CL A		x		03/30/10							
Kraft Foods Inc Va CL A		x		04/06/10							
Kraft Foods Inc Va CL A		x		05/03/10							
Kraft Foods Inc Va CL A		x		05/07/10							
Kraft Foods Inc Va CL A		x		05/12/10							
Kraft Foods Inc Va CL A		x		07/14/10							

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date (MO/DAY/YR)	Amount of Transaction								
				B	C	D	E	F	G	H	I	J
Kraft Foods Inc Va CL A			X 1/18/10									\$1,001 - \$15,000
Kulite&Softe Indust			X 12/10/10									\$15,001 - \$50,000
Kulite&Softe Indust			X 04/23/10									\$50,001 - \$100,000
L-3 Communincs Holdings			X 04/30/10									\$100,001-250,000
L-3 Communincs Holdings			X 05/10/10									\$250,001-\$500,000
LDK Solar Co Ltd ADR			X 07/14/10									\$500,001-\$1,000,000
LDK Solar Co Ltd ADR			X 07/20/10									\$1,000,001-\$5,000,000
Leap Wireless Int'l Inc.			X 08/04/10									\$5,000,001-\$25,000,000
Leap Wireless Int'l Inc.			X 08/18/10									\$25,000,001-\$50,000,000
Leaping Enterprises A			X 10/27/10									Over \$50,000,000
Leaping Enterprises A			X 10/28/10									
Leaping Enterprises A			X 11/02/10									
Leaping Enterprises A			X 11/04/10									
Leaping Enterprises A			X 11/30/10									
LeggettPlatt Inc			X 12/23/10									
LeggettPlatt Inc			X 10/27/10									
Lennar Corp			X 11/01/10									
Lennar Corp			X 03/11/10									
Lennar Corp			X 03/24/10									
Lennar Corp			X 03/26/10									
Lennar Corp			X 04/01/10									
Lennar Corp			X 05/07/10									
Lennar Corp			X 07/13/10									
Lennar Corp			X 07/14/10									
Lennar Corp			X 07/15/10									
Liberty Global Incr A			X 12/06/10									
Liberty Global Incr A			X 12/21/10									
Liberty Media Corp			X 11/30/10									
Liberty Media Corp			X 12/02/10									
Life Technologies Corp			X 12/08/10									

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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

### SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction							
				B	C	D	E	F	G	H	I
Market Vector ETF TR		X									J
Market Vector ETF TR		X		09/29/10							K
Market Vector Jr Gld ETF		X		12/06/10	X						
Market Vector Vietnam ETF		X		12/03/10	X						
Market Vector Vietnam ETF		X		04/23/10	X						
Market Vector Vietnam ETF		X		06/01/10	X						
Market Vectors Agribusiness		X		12/21/10	X						
Market Vectors Agribusiness		X		01/05/10	X						
Market Vectors Agribusiness		X		01/28/10	X						
Market Vectors Agribusiness		X		08/12/10	X						
Market Vectors Agribusiness		X		08/17/10	X						
Market Vectors ETF TR		X		12/27/10	X						
Market Vectors ETF TR		X		01/21/10	X						
Market Vectors ETF TR		X		02/01/10	X						
Market Vectors ETF TR		X		02/05/10	X						
Market Vectors ETF TR		X		02/09/10	X						
Market Vectors ETF TR		X		04/30/10	X						
Market Vectors ETF TR		X		05/10/10	X						
Market Vectors ETF TR		X		05/11/10	X						
Market Vectors ETF TR		X		07/26/10	X						
Market Vectors ETF TR		X		08/20/10	X						
Market Vectors ETF TR		X		09/07/10	X						
Market Vectors ETF TR		X		09/10/10	X						
Market Vectors ETF TR		X		09/16/10	X						
Market Vectors ETF TR		X		09/20/10	X						
Market Vectors ETF TR		X		11/12/10	X						
Market Vectors ETF TR		X		11/26/10	X						
Market Vectors Russia		X		12/26/10	X						
Market Vectors Russia		X		11/24/10	X						
Market Vector Russia		X		12/02/10	X						
Market Vector Russia		X		12/02/10	X						
Market Vect JR Gld ETF		X		12/14/10	X						
Market Vect JR Gld ETF		X		06/28/10	X						
Market Vect JR Gld ETF		X		07/19/10	X						
Market Vect JR Gld ETF		X		10/08/10	X						

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS										
Name: Vernon G. Buchanan										
	Type of Transaction	Capital Gain in Excess of \$1,000?								Amount of Transaction
	PURCHASE		SALE		EXCHANGE		(MO/DAY/YR)			
Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.										
Market Vect Jr Gld ETF	X		X				10/18/10		X	\$1,001 - \$15,000
Market Vect Jr Gld ETF			X				10/21/10		X	\$15,001 - \$50,000
Market Vect Jr Gld ETF			X				11/12/10		X	\$50,001 - \$100,000
Market Vect Jr Gld ETF			X				11/17/10		X	\$100,001-250,000
Marshall and Isley Corp	X						01/20/10		X	\$250,001-\$500,000
Marshall and Isley Corp			X				02/01/10		X	\$500,001-\$1,000,000
Marshall and Isley Corp			X				03/18/10		X	\$1,000,001-\$5,000,000
Marshall and Isley Corp			X				03/30/10		X	\$5,000,001-\$25,000,000
Marshall and Isley Corp			X				05/21/10		X	\$25,000,001-\$50,000,000
Marshall and Isley Corp			X				05/24/10		X	Over \$50,000,000
Marshall and Isley Corp			X				08/16/10		X	
Marshall and Isley Corp			X				08/25/10		X	
Marshall and Isley Corp			X				10/23/10		X	
Marshall and Isley Corp			X				10/28/10		X	
Marvell Technology Group	X		X				12/17/10		X	
Massey Energy Co Com			X				04/08/10		X	
Massey Energy Co Com			X				04/15/10		X	
Materials Select Sector	X						07/23/10		X	
Materials Select Sector			X				07/23/10		X	
Materials Select Sector			X				07/30/10		X	
Materials Select Sector			X				08/11/10		X	
Materials Select Sector			X				11/11/10		X	
Matthews Asia Pacific Div			X				12/22/10		X	
Matthews India Fund			X				05/26/10		X	
Matthews India Fund			X				01/20/10		X	
Maxim Integrated Prods	X						07/21/10		X	
Maxim Integrated Prods			X				09/01/10		X	
Maxim Integrated Prods			X				09/03/10		X	
Maxim Integrated Prods			X				09/10/10		X	
McAfee Inc	X						08/17/10		X	
McCorrlich Non Vtg	X		X				08/19/10		X	
McDonald's Corp COM	X		X				12/23/10		X	
McDonald's Corp COM			X				04/01/10		X	
McDonald's Corp COM			X				06/02/10		X	
McDonald's Corp COM			X				06/11/10		X	
McDonald's Corp COM			X				09/08/10		X	

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date							Amount of Transaction	
			B	C	D	E	F	G	H	I	
McDonald's Corp COM			X	X							\$9/09/10
McDonald's Corp COM			X								09/10/10
McDonald's Corp COM			X								09/14/10
McDonald's Corp COM			X								09/18/10
McDonald's Corp COM			X								10/22/10
McDonald's Corp COM			X								10/27/10
McDonald's Corp COM			X								11/17/10
McDonald's Corp COM			X								11/29/10
McDonald's Corp COM			X								12/06/10
McDonald's Corp COM			X								12/11/10
McKesson Corporation Com			X								12/23/10
McKesson Corporation Com			X								09/14/10
McKesson Corporation Com			X								09/15/10
McKesson Corporation Com			X								09/20/10
Medco Health Solutions			X								09/23/10
Medco Health Solutions			X								07/26/10
Medco Health Solutions			X								07/26/10
Medco Health Solutions			X								07/26/10
Medco Health Solutions			X								08/08/10
Medco Health Solutions			X								08/19/10
Medco Health Solutions			X								08/31/10
Medco Health Solutions			X								09/20/10
Medco Health Solutions			X								09/28/10
Medco Health Solutions			X								11/02/10
Medco Health Solutions			X								11/03/10
Medco Health Solutions			X								11/03/10
Medco Health Solutions			X								10/18/10
Medco Health Solutions			X								11/01/10
Medco Health Solutions			X								11/02/10
Medtronic Inc COM			X								12/28/10
Metco Crown Environm Ltd			X								11/05/10
Metco Crown Environm Ltd			X								11/10/10
Metlife Inc Com			X								08/23/10
Metlife Inc Com			X								08/26/10
Micron Technology Inc			X								03/08/10
Micron Technology Inc			X								03/23/10
Micron Technology Inc			X								10/29/10
Micron Technology Inc			X								11/08/10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.



## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange or transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SCHEDULE IV - TRANSACTIONS									
Name: Vernon G. Buchanan									
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.									
Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule II.									
PURCHASE	SALE	EXCHANGE	Type of Transaction	Capital Gain in Excess of \$200?	Date	(MM/DD/YYR)	Amount of Transaction		
			OAO Gazprom Spon ADR		X	10/21/10	B	C	D
			OAO Gazprom Spon ADR		X	10/22/10	E	F	G
			Occidental Petroleum Corp Cl A		X	09/28/10	H	I	J
			Occidental Petroleum Corp Cl A		X	10/01/10	K		
			OpenTable Inc		X	07/30/10			
			OpenTable Inc		X	08/03/10			
			OpenTable Inc		X	08/05/10			
			Oracle Corp \$0.01		X	01/28/10			
			Oshkosh Corporation		X	08/12/10			
			Oshkosh Corporation		X	08/23/10			
			Pan American Silver Corp		X	08/16/10			
			Pan American Silver Corp		X	08/27/10			
			Pan American Silver Corp		X	09/10/10			
			Paragon Shipping Inc		X	09/23/10			
			PepsiCo Inc		X	12/10/10			
			PepsiCo Inc		X	03/22/10			
			PepsiCo Inc		X	04/22/10			
			PepsiCo Inc		X	05/26/10			
			PepsiCo Inc		X	10/07/10			
			PepsiCo Inc		X	11/19/10			
			PepsiCo Inc		X	08/02/10			
			Pengo Co		X	07/28/10			
			Pengo Co		X	08/12/10			
			Pengo Co		X	10/13/10			
			Pengo Co		X	11/09/10			
			Pengo Co		X	11/18/10			
			Pengo Co		X	12/08/10			
			Pengo Co		X	12/22/10			
			Petro-Canada		X	01/12/10			
			Petrobras Vrg SPD ADR		X	04/01/10			
			Petrobras Vrg SPD ADR		X	04/23/10			
			Petrobras Vrg SPD ADR		X	05/10/10			
			Petrobras Vrg SPD ADR		X	05/11/10			
			Petrobras Vrg SPD ADR		X	05/25/10			
			Petrobras Vrg SPD ADR		X	05/25/10			
			Petrobras Vrg SPD ADR		X	09/30/10			
			Petrobras Vrg SPD ADR		X	10/08/10			

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

**Include** transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the**

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

**transaction. Exclude transactions between you, your spouse, or your dependent child, or the**

**purchase or sale of your personal residence, unless it generates rental income. If portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and attach this income on Schedule D.

## SCHEDULE IV - TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

Include transactions that resulted in a loss. Provide a brief description of any exchanges transaction. Exclude transactions between you, your spouse, or your dependent child, or these

portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - If a sales transaction resulted in a capital gain in excess of \$200, check there.

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

#### SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and describe the income in Schedule D.

SCHEDULE IV - TRANSACTIONS										
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.										Name: Vernon G. Buchanan
										Type of Transaction
										Capital gain in excess of \$20?
										Date
Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.										(MM/DD/YY/RR)
										PURCHASE
										SALE
										EXCHANGE
Sandisk Corp Inc	X	X				07/19/10				B
Sandisk Corp Inc	X	X				08/02/10				C
Sandridge Energy Inc	X					08/28/10				D
Sandridge Energy Inc	X					07/30/10				E
Sandridge Energy Inc	X					08/02/10				F
Sandridge Energy Inc	X					08/04/10				G
Sandridge Energy Inc	X					08/10/10				H
Sanofi Aventis Spon Adr	X					05/26/10				I
Sanofi Aventis Spon Adr	X					06/07/10				J
Schlumberger Ltd	X					09/15/10				K
Schlumberger Ltd	X					10/01/10				
Sector SPDR Consumer Stpl	X					05/14/10				
Sector SPDR Consumers Stpl	X					05/18/10				
Sector SPDR Energy	X					01/07/10				
Sector SPDR Financial	X					01/05/10				
Sector SPDR Financial	X					01/21/10				
Sector SPDR Financial	X					03/18/10				
Sector SPDR Financial	X					03/24/10				
Sector SPDR Financial	X					03/28/10				
Sector SPDR Financial	X					04/15/10				
Sector SPDR Financial	X					04/20/10				
Sector SPDR Financial	X					04/21/10				
Sector SPDR Financial	X					05/14/10				
Sector SPDR Financial	X					05/17/10				
Sector SPDR Financial	X					08/05/10				
Sector SPDR Financial	X					08/31/10				
Sector SPDR Financial	X					09/09/10				
Sector SPDR Financial	X					09/13/10				
Sector SPDR Financial	X					09/30/10				
Sector SPDR Financial	X					10/01/10				
Sector SPDR Financial	X					11/08/10				
Sector SPDR Financial	X					12/22/10				
Sector SPDR Industrial	X					01/12/10				
Sector SPDR Industrial	X					04/23/10				
Sector SPDR Industrial	X					04/27/10				
Sector SPDR Industrial	X					05/04/10				
Sector SPDR Industrial	X					08/22/10				

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check these

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

### SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.		Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.		Capital Gain in Excess of \$200?	Date (MM/DD/YR)	Amount of Transaction
	PURCHASE	SALE	EXCHANGE				
SPDR S P Homebuilders				X		12/23/10	\$1,001 - \$15,000
SPDR S P Retail				X		09/24/10	\$15,001 - \$50,000
Spectra Energy Partners				X		12/13/10	\$50,001 - \$100,000
Spectra Energy Partners				X		12/15/10	\$100,001-250,000
Sprint Nextel Corp				X		12/08/10	\$250,001-\$500,000
Sprint Nextel Corp				X		12/06/10	\$500,001-\$1,000,000
St. Jude Medical Inc				X		09/01/10	\$1,000,001-\$5,000,000
St. Jude Medical Inc				X		09/02/10	\$5,000,001-\$25,000,000
St. Jude Medical Inc				X		09/03/10	\$25,000,001- \$50,000,000
Standards & Poor Dep Rpt				X		05/06/10	Over \$50,000,000
Standards & Poor Dep Rpt				X		05/07/10	
Standards & Poor Dep Rpt				X		05/27/10	
Standards & Poor Dep Rpt				X		06/07/10	
Standards & Poor Dep Rpt				X		07/09/10	
Standards & Poor Dep Rpt				X		07/14/10	
Standards & Poor Dep Rpt				X		07/19/10	
Standards & Poor Dep Rpt				X		07/22/10	
Standards & Poor Dep Rpt				X		07/23/10	
Standards & Poor Dep Rpt				X		08/09/10	
Standards & Poor Dep Rpt				X		08/13/10	
Standards & Poor Dep Rpt				X		09/20/10	
Standards & Poor Dep Rpt				X		09/29/10	
Standards & Poor Dep Rpt				X		10/07/10	
Standards & Poor Dep Rpt				X		10/14/10	
Standards & Poor Dep Rpt				X		11/16/10	
Standards & Poor Dep Rpt				X		12/02/10	
Standards & Poor Dep Rpt				X		12/14/10	
Standards & Poor Dep Rpt				X		12/22/10	
Standards & Poor Dep Rpt				X		07/19/10	
Starbucks Corp				X		04/30/10	
Starbucks Corp				X		05/11/10	
Sturm Ruger&Co Inc				X		01/04/10	
Sturm Ruger&Co Inc				X		01/27/10	
Sturm Ruger&Co Inc				X		03/15/10	
Sturm Ruger&Co Inc				X		03/22/10	

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the**

repudiating period or any security or real property held for investment that exceeded \$1,000 include transactions that resulted in a loss. Provide a brief description of any exchangees transaction. Exclude transactions between you, your spouse, or your dependent child, or these

**purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

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## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a

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## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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## SCHEDULE IV - TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange.

**transaction.** Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SCHEDULE IV - TRANSACTIONS										
Name: Vernon G. Buchanan										
	Type of Transaction	Capital gain in excess of \$200?								Amount of Transaction
	PURCHASE		SALE		EXCHANGE		(MO/DAY/YR)			
Virus Multi Sector			X		X		02/22/10			\$1,001 - \$15,000
Virus Inc CL A Shrs			X		X		04/15/10			\$15,001 - \$50,000
Visa Inc CL A Shrs			X		X		04/28/10			> \$50,001 - \$100,000
Visa Inc CL A Shrs			X		X		05/03/10			\$100,001-250,000
Visa Inc CL A Shrs			X		X		08/03/10			\$250,001-\$500,000
Vishay InterTechnology			X		X		08/01/10			\$500,001-\$1,000,000
Vishay InterTechnology			X		X		09/02/10			\$1,000,001-\$5,000,000
Vornado Realty Trust COM			X		X		09/13/10			\$5,000,001-\$25,000,000
Vornado Realty Trust COM			X		X		11/22/10			\$25,000,001- \$50,000,000
Vulcan Materials Co			X		X		12/02/10			Over \$50,000,000
Vulcan Materials Co			X		X		09/23/10			
Walgreen Co			X		X		10/07/10			
Walgreen Co			X		X		03/26/10			
Walgreen Co			X		X		04/07/10			
Walgreen Co			X		X		06/22/10			
Wal-Mart Stores, Inc			X		X		06/25/10			
Wal-Mart Stores, Inc			X		X		01/28/10			
Wal-Mart Stores, Inc			X		X		04/14/10			
Wal-Mart Stores, Inc			X		X		04/21/10			
Wal-Mart Stores, Inc			X		X		06/04/10			
Wal-Mart Stores, Inc			X		X		08/07/10			
Wal-Mart Stores, Inc			X		X		12/17/10			
Wausau Paper Corp			X		X		08/05/10			
Wausau Paper Corp			X		X		08/08/10			
Westpoint Int'l Ltd			X		X		05/12/10			
Weatherford Int'l Ltd			X		X		05/26/10			
Weatherford Int'l Ltd			X		X		08/26/10			
Westpoint Int'l			X		X		05/28/10			
Wells Fargo & Co New Del			X		X		06/30/10			
Wells Fargo & Co New Del			X		X		07/27/10			
Wells Fargo & Co New Del			X		X		10/12/10			
Wells Fargo Advantage			X		X		10/14/10			
Wells Fargo Advantage CL A			X		X		11/10/10			
Wells Fargo Advantage FD Acml			X		X		12/06/10			
Weyerhaeuser Co			X		X		04/30/10			

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

**Report only purchases, sales, or exchanges of your, your spouse's, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the**

**purchase or sale of your personal residence, unless it generates rental income. If only portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - If a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

		Type of Transaction	Capital Gain in Excess of \$200?	Date	(MM/DD/YY/R)	Amount of Transaction
		PURCHASE	SALE	EXCHANGE		B C D E F G H I J K
Zimmer Holdings Inc	Corn		X		12/22/10	
Merill Lynch	737-14381		X		12/23/10	X X
SP	Ace Limited		X			03/05/10 X X
SP	Ace Limited		X			03/10/10 X X
SP	Ace Limited		X			03/22/10 X X
SP	Ace Limited		X			03/26/10 X X
SP	Ace Limited		X			03/31/10 X X
SP	Ace Limited		X			05/12/10 X X
SP	Ace Limited		X			07/22/10 X X
SP	Atac Inc		X			08/17/10 X X
SP	Atac Inc		X			03/29/10 X X
SP	Agrium Inc		X			04/27/10 X X
SP	Agrium Inc		X			11/12/10 X X
SP	Alcoa Inc		X			11/15/10 X X
SP	Alcoa Inc		X			12/07/10 X X
SP	Alpine Total Dynamic		X			07/22/10 X X
SP	Alpine Total Dynamic		X			08/02/10 X X
SP	Alpine Total Dynamic		X			10/14/10 X X
SP	Altria Group Inc		X			02/11/10 X X
SP	Altria Group Inc		X			03/04/10 X X
SP	Amer Express Company		X			04/22/10 X X
SP	Amer Express Company		X			05/07/10 X X
SP	Amer Express Company		X			10/13/10 X X
SP	Amer Technology Select SPDR		X			11/03/10 X X
SP	Amer Technology Select SPDR		X			01/06/10 X X
SP	Amer Technology Select SPDR		X			01/22/10 X X
SP	Amer Technology Select SPDR		X			02/24/10 X X
SP	Amer Technology Select SPDR		X			03/10/10 X X
SP	Aptex Technology Select SPDR		X			11/06/10 X X
SP	Aptex Technology Select SPDR		X			12/10/10 X X
SP	Athens Busch Inbev ADR		X			05/05/10 X X
SP	Athens Busch Inbev ADR		X			06/18/10 X X
	Athens Busch Inbev ADR		X			06/28/10 X X

### SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date (MO/DAY/YR)	Amount of Transaction						
				B	C	D	E	F	G	H
SP	Anheuser-Busch Inbev ADR	X	X							
SP	Anheuser-Busch Inbev ADR	X	X							
SP	Annaly Cap Mgmt Inc	X	X							
SP	Annaly Cap Mgmt Inc	X	X							
SP	Apple Inc	X	X							
SP	Apple Inc	X	X							
SP	Apple Inc	X	X							
SP	Apple Inc	X	X							
SP	Apple Inc	X	X							
SP	Assured Guaranty Ltd	X	X							
SP	Assured Guaranty Ltd	X	X							
SP	AT&T Inc	X	X							
SP	AT&T Inc	X	X							
SP	AT&T Inc	X	X							
SP	AT&T Inc	X	X							
SP	AT&T Inc	X	X							
SP	AT&T Inc	X	X							
SP	Autonation Inc	X	X							
SP	Autonation Inc	X	X							
SP	Avon Prod Inc	X	X							
SP	Avon Prod Inc	X	X							
SP	Avon Prod Inc	X	X							
SP	Baidu Inc Spond Adr	X	X							
SP	Baidu Inc Spond Adr	X	X							
SP	Barrick Gold Corporation	X	X							
SP	Barrick Gold Corporation	X	X							
SP	Best Buy Co Inc	X	X							
SP	Best Buy Co Inc	X	X							
SP	Best Buy Co Inc	X	X							
SP	Best Buy Co Inc	X	X							
SP	Best Buy Co Inc	X	X							
SP	Best Buy Co Inc	X	X							
SP	BlackRock Cap&Inc Strat	X	X							
SP	BlackRock Cap&Inc Strat	X	X							

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**SCHEDULE IV - TRANSACTIONS**
**Name:** Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Date	Amount of Transaction								
			B	C	D	E	F	G	H	I	J
SP	Chubb Corp	X									
SP	Cisco Systems Inc Conn	X	06/17/10	X							
SP	Cisco Systems Inc Conn	X	03/17/10	X							
SP	Cisco Systems Inc Conn	X	04/12/10	X							
SP	Cisco Systems Inc Conn	X	06/21/10	X							
SP	Cisco Systems Inc Conn	X	06/25/10	X							
SP	Cisco Systems Inc Conn	X	09/15/10	X							
SP	Cisco Systems Inc Conn	X	09/21/10	X							
SP	Cisco Systems Inc Conn	X	10/15/10	X							
SP	Cisco Systems Inc Conn	X	10/15/10	X							
SP	Citigroup Inc	X	04/16/10	X							
SP	Citigroup Inc	X	04/28/10	X							
SP	Citigroup Inc	X	05/08/10	X							
SP	Citigroup Inc	X	05/17/10	X							
SP	Citigroup Inc	X	10/15/10	X							
SP	Citigroup Inc	X	10/18/10	X							
SP	Citigroup Inc	X	10/21/10	X							
SP	Citigroup Inc	X	10/26/10	X							
SP	Citigroup Inc	X	11/10/10	X							
SP	Citigroup Inc	X	12/13/10	X							
SP	Citigroup Co Del Conn	X	11/02/10	X							
SP	Citigroup Co Del Conn	X	12/08/10	X							
SP	Cognizant Tech Solutions A	X	12/20/10	X							
SP	Cohen and Steers Quality	X	04/23/10	X							
SP	Cohen and Steers Quality	X	04/26/10	X							
SP	Cohen and Steers Quality	X	05/05/10	X							
SP	Cohen and Steers Quality	X	07/14/10	X							
SP	Cohen and Steers Quality	X	09/16/10	X							
SP	Cohen and Steers Quality	X	12/08/10	X							
SP	Cohen and Steers Quality	X	12/14/10	X							
SP	Congate Palmolive	X	08/03/10	X							
SP	Congate Palmolive	X	08/22/10	X							
SP	Companhia D Summa Bsc	X	07/15/10	X							
SP	Companhia D Summa Bsc	X	07/29/10	X							
SP	Companhia D Summa Bsc	X	07/30/10	X							
SP	Conagra Foods Inc	X	08/23/10	X							
SP	Conagra Foods Inc	X	09/25/10	X							

## SCHEDULE IV - TRANSACTIONS

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction						
				B	C	D	E	F	G	H
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	DB US Dollar Bullish		X							
SP	Deere Co		X							
SP	Deere Co		X							
SP	Discovery Communicatn		X							
SP	Eaton Vance ENH Income		X							
SP	Eaton Vance ENH Income		X							
SP	Eaton Vance Natl Municipal		X							
			01/22/10							

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.00. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule II.

	Name: Vernon G. Buchanan		Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction	Capital Gain in Excess of \$200?							
	PURCHASE	SALE				B	C	D	E	F	G	H	I
SP	Eaton Vance Natl Municipal			X	02/04/10								
SP	Eaton Vance Natl Municipal			X	06/03/10								
SP	Eaton Vance Natl Municipal			X	06/04/10								
SP	Eaton Vance Natl Municipal			X	07/22/10								
SP	Eaton Vance Natl Municipal			X	12/01/10								
SP	Eaton Vance Natl Municipal			X	12/20/10								
SP	Eaton Vance Risk Managed			X	01/21/10								
SP	Eaton Vance Risk Managed			X	03/08/10								
SP	Eaton Vance Risk Managed			X	05/21/10								
SP	Eaton Vance Risk Managed			X	06/22/10								
SP	Eaton Vance Risk Managed			X	06/23/10								
SP	Eaton Vance Sen Ftr-Rt			X	06/27/10								
SP	Eaton Vance Sen Ftr-Rt			X	06/29/10								
SP	Eaton Vance Sen Ftr-Rt			X	07/18/10								
SP	Ecolab Inc			X	03/05/10								
SP	Ecolab Inc			X	03/19/10								
SP	Edison Int'l Calif			X	12/07/10								
SP	Eldorado Gold Corp New			X	02/24/10								
SP	Eldorado Gold Corp New			X	04/13/10								
SP	Elements Rogers Agenc Tr			X	02/03/10								
SP	Elements Rogers Agenc Tr			X	02/11/10								
SP	Elements Rogers Agenc Tr			X	03/16/10								
SP	Elements Rogers Agenc Tr			X	06/11/10								
SP	Emerging Global Shares			X	11/04/10								
SP	Emerging Global Shares			X	12/20/10								
SP	Energy Transfer Ptnrs LP			X	12/28/10								
SP	Energy Transfer Ptnrs LP			X	01/06/11								
SP	Energy Transfer Ptnrs LP			X	02/23/10								
SP	Energy Transfer Ptnrs LP			X	04/12/10								
SP	Express Scripts Inc Com			X	12/13/10								
SP	Exxon Mobile Corp			X	03/08/10								
SP	Exxon Mobile Corp			X	03/10/10								
SP	Exxon Mobile Corp			X	03/17/10								
SP	Exxon Mobile Corp			X	05/03/10								
SP	Exxon Mobile Corp			X	05/12/10								
SP	Exxon Mobile Corp			X	05/20/10								

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000 in value. Include transactions that resulted in a loss. Provide a brief description of any exchange or transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Rapport any purchase, sale, or exchange by you, your spouse, or dependent child during the

repelling period or any security or real property held for investment that exceeded \$1,000.00. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence unless it concerns rental income or costs.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SCHEDULE IV - TRANSACTIONS										
Name: Vernon G. Buchanan Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.										
	Type of Transaction	Capital Gain in Excess of \$200?	Date		Amount of Transaction					
			PURCHASE	SALE	(MOD/DAY/YR)					
SP	Ishares Iboxx		X	X	04/12/10	X	\$1,001 - \$15,000			
SP	Ishares Iboxx		X	X	09/01/10	X	\$15,001 - \$50,000			
SP	Ishares Iboxx		X	X	11/12/10	X	\$50,001 - \$100,000			
SP	Ishares Iboxx		X	X	11/16/10	X	\$100,001-250,000			
SP	Ishares Inc		X	X	01/16/10	X	\$250,001-\$500,000			
SP	Ishares Inc		X	X	01/15/10	X	\$500,001-\$1,000,000			
SP	Ishares Inc		X	X	03/02/10	X	\$1,000,001-\$5,000,000			
SP	Ishares Inc		X	X	03/10/10	X	\$5,000,001-\$25,000,000			
SP	Ishares Inc		X	X	03/17/10	X	\$25,000,001-\$50,000,000			
SP	Ishares Inc		X	X	03/29/10	X	Over \$50,000,000			
SP	Ishares MSCI Australia		X	X	12/23/10	X				
SP	Ishares MSCI Australia		X	X	01/23/10	X				
SP	Ishares MSCI Australia		X	X	02/01/10	X				
SP	Ishares MSCI Australia		X	X	02/08/10	X				
SP	Ishares MSCI Australia		X	X	02/12/10	X				
SP	Ishares MSCI Australia		X	X	02/19/10	X				
SP	Ishares MSCI Australia		X	X	03/08/10	X				
SP	Ishares MSCI Australia		X	X	04/23/10	X				
SP	Ishares MSCI Emerging		X	X	01/20/10	X				
SP	Ishares MSCI Emerging		X	X	01/26/10	X				
SP	Ishares MSCI Emerging		X	X	01/27/10	X				
SP	Ishares MSCI Emerging		X	X	04/14/10	X				
SP	Ishares MSCI Emerging		X	X	05/04/10	X				
SP	Ishares MSCI Singapore		X	X	07/30/10	X				
SP	Ishares MSCI Singapore		X	X	08/16/10	X				
SP	Ishares MSCI Singapore		X	X	12/22/10	X				
SP	Ishares MSCI Singapore		X	X	03/22/10	X				
SP	Ishares MSCI Singapore		X	X	04/12/10	X				
SP	Ishares MSCI Singapore		X	X	08/05/10	X				
SP	Ishares MSCI Singapore		X	X	08/10/10	X				
SP	Ishares MSCI Singapore		X	X	08/18/10	X				
SP	Ishares MSCI Singapore		X	X	08/24/10	X				
SP	Ishares MSCI Singapore		X	X	11/30/10	X				
SP	Ishares MSCI Singapore		X	X	12/07/10	X				
SP	Ishares MSCI South Korea		X	X	12/21/10	X				
					11/10/10					

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## **SCHEDULE IV - TRANSACTIONS**

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reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it constitutes a short-term gain or loss.

portions of said or your personal residence, unless it generates rental income. If only portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check these

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

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## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

	Type of Transaction	Capital Gain in Excess of \$200?	Amount of Transaction								
			B	C	D	E	F	G	H	I	J
SP	PURCHASE										
SP	SALE										
SP	EXCHANGE										
		(MO/DD/YY/R)									
SP	Market Vect TR GLD ETF		x	x	x	x	1/17/10	x	\$1,001 - \$15,000		
SP	Materials Select Sector		x				12/03/10	x	\$15,001 - \$50,000		
SP	Materials Select Sector		x				07/23/10	x	\$50,001 - \$100,000		
SP	Matthews Asia Dividend		x	x	x	x	08/11/10	x	\$100,001-250,000		
SP	Matthews Asia Dividend		x	x	x	x	04/28/10	x	\$250,001-\$500,000		
SP	Matthews India Fund		x	x	x	x	05/26/10	x	\$500,001-\$1,000,000		
SP	McDonalds Corp		x	x	x	x	10/01/10	x	\$1,000,001-\$5,000,000		
SP	McDonalds Corp		x	x	x	x	06/01/10	x	\$5,000,001-\$25,000,000		
SP	McDonalds Corp		x	x	x	x	10/22/10	x	\$25,000,001-\$50,000,000		
SP	McDonalds Corp		x	x	x	x	10/27/10	x	\$50,000,001-\$100,000,000		
SP	McDonalds Corp		x	x	x	x	11/17/10	x	\$100,000,001-\$250,000,000		
SP	Medco Health Solutions		x	x	x	x	12/22/10	x	\$250,000,001-\$500,000,000		
SP	Medco Health Solutions		x	x	x	x	07/28/10	x	\$500,000,001-\$1,000,000,000		
SP	Medco Health Solutions		x	x	x	x	07/29/10	x	\$1,000,000,001-\$5,000,000,000		
SP	Medco Health Solutions		x	x	x	x	08/05/10	x	\$5,000,000,001-\$25,000,000,000		
SP	Medco Health Solutions		x	x	x	x	09/20/10	x	\$25,000,000,001-\$50,000,000,000		
SP	Medco Health Solutions		x	x	x	x	09/28/10	x	\$50,000,000,001-\$100,000,000,000		
SP	Medco Health Solutions		x	x	x	x	11/02/10	x	\$100,000,000,001-\$250,000,000,000		
SP	Medtronics Inc Com		x	x	x	x	11/03/10	x	\$250,000,000,001-\$500,000,000,000		
SP	MFS Diversified Income		x	x	x	x	12/28/10	x	\$500,000,000,001-\$1,000,000,000,000		
SP	Micron Technology Inc		x	x	x	x	09/24/10	x	\$1,000,000,000,001-\$5,000,000,000,000		
SP	Micron Technology Inc		x	x	x	x	10/28/10	x	\$5,000,000,000,001-\$25,000,000,000,000		
SP	Micron Technology Inc		x	x	x	x	11/15/10	x	\$25,000,000,001-\$50,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	12/01/10	x	\$50,000,000,001-\$100,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	01/12/10	x	\$100,000,000,001-\$250,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	04/09/10	x	\$250,000,000,001-\$500,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	04/19/10	x	\$500,000,000,001-\$1,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	07/21/10	x	\$1,000,000,000,001-\$5,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	07/30/10	x	\$5,000,000,000,001-\$25,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	08/16/10	x	\$25,000,000,001-\$50,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	09/01/10	x	\$50,000,000,001-\$100,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	09/13/10	x	\$100,000,000,001-\$250,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	10/25/10	x	\$250,000,000,001-\$500,000,000,000,000,000		
SP	Microsoft Corp		x	x	x	x	10/29/10	x	\$500,000,000,001-\$1,000,000,000,000,000,000		

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Capital Gains - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000.

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

	Type of Transaction	Capital Gain in Excess of \$200?	Date (MM/DD/YR)	Amount of Transaction						
				B	C	D	E	F	G	H
SP	Microsoft Corp		X							
SP	Microsoft Corp		X							
SP	Microsoft Corp		X							
SP	Microsoft Corp		X							
SP	Microsoft Corp		X							
SP	Monsanto Co New Deli COM		X							
SP	Morgan Stanley		X							
SP	Nationwide Life Ins Co		X							
SP	Nationwide Life Ins Co		X							
SP	Nestle Corn		X							
SP	Nestle Corn		X							
SP	Nestle Corn		X							
SP	Nuveen Alt Amer		X							
SP	Nuveen Float Rate Inc		X							
SP	Nuveen High Yield Muni		X							
SP	Nuveen Prem Muni Inc		X							
SP	Nuveen Prem Muni Inc		X							
SP	Nuveen Qua! Inc Muni		X							
SP	Nuveen Qua! Inc Muni		X							
SP	Nuveen Senior Income		X							
SP	Nuveen Senior Income		X							
SP	Nuveen Senior Income		X							
SP	Nuveen Senior Income		X							
SP	Nuveen Senior Income		X							
SP	Nuveen Senior Income		X							
SP	PepsiCo Inc		X							
SP	PepsiCo Inc		X							
SP	PepsiCo Inc		X							
SP	Permanent Portfolio Fund		X							

## **SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS										Name: Vernon G. Buchanan
										Type of Transaction
										Capital Gain in Excess of \$200?
										Date
										B C D E F G H I J K
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.										
"capital gains" box and disclose this income on Schedule III.										
SP	Pentigo Co				X					09/28/10
SP	Pentigo Co				X					10/13/10
SP	Pentico Bras Vtg Spcl Adr				X					11/09/10
SP	Pentico Bras Vtg Spcl Adr				X					01/12/10
SP	Pentico Bras Vtg Spcl Adr				X					04/01/10
SP	Pentico Bras Vtg Spcl Adr				X					04/28/10
SP	Pentico Bras Vtg Spcl Adr				X					08/20/10
SP	Pentico Bras Vtg Spcl Adr				X					10/07/10
SP	Pfizer Inc				X					03/10/10
SP	Pfizer Inc				X					03/24/10
SP	Pfizer Inc				X					04/19/10
SP	Pfizer Inc				X					04/28/10
SP	Pfizer Inc				X					04/28/10
SP	Pfizer Inc				X					05/17/10
SP	Pfizer Inc				X					06/07/10
SP	Pfizer Inc				X					11/11/10
SP	Pfizer Inc				X					11/23/10
SP	Plum Creek Timber Co Inc				X					03/17/10
SP	Plum Creek Timber Co Inc				X					03/25/10
SP	Plum Creek Timber Co Inc				X					06/21/10
SP	Plum Creek Timber Co Inc				X					08/24/10
SP	Plum Creek Timber Co Inc				X					08/26/10
SP	Powershares Build Americ				X					05/25/10
SP	Powershares Build Americ				X					06/21/10
SP	Powershares Build Americ				X					08/23/10
SP	Powershares Build Americ				X					09/22/10
SP	Powershares Build Americ				X					10/19/10
SP	Powershares Bulk Americ				X					11/10/10
SP	Powershares Build Americ				X					11/16/10
SP	Powershares Build Americ				X					12/15/10
SP	Powershares Exch Traded				X					08/20/10
SP	Powershares Tr Units				X					12/01/10
SP	Powershares Tr Units				X					12/13/10
SP	Powershares Water				X					10/13/10
SP	Powershares Water				X					11/05/10
SP	PPL Corporation				X					03/03/10
SP	PPL Corporation				X					03/12/10

**SCHEDULE IV - TRANSACTIONS**

Name: Vernon G. Buchanan

	Type of Transaction	Date	Amount of Transaction									
			B	C	D	E	F	G	H	I	J	K
SP	PPL Corporation	X										\$1,001 - \$15,000
SP	PPL Corporation	X	08/20/10									\$15,001 - \$50,000
SP	Priceline Com Inc	X	11/24/10									\$50,001 - \$100,000
SP	Priceline Com Inc	X	04/22/10									\$100,001-250,000
SP	Priceline Com Inc	X	05/03/10									\$250,001-\$500,000
SP	Priceline Com Inc	X	05/14/10									\$500,001-\$1,000,000
SP	Principia Financial Grp	X	05/17/10									\$1,000,001-\$5,000,000
SP	Principia Financial Grp	X	06/13/10									\$5,000,001-\$25,000,000
SP	Principia Financial Grp	X	06/31/10									\$25,000,001-\$60,000,000
SP	Procter and Gamble Co	X	04/08/10									Over \$60,000,000
SP	Procter and Gamble Co	X	04/21/10									
SP	Proctor and Gamble Co	X	06/03/10									
SP	Proctor and Gamble Co	X	10/14/10									
SP	Procter and Gamble Co	X	11/02/10									
SP	Proshares UltraShort MSCI	X	12/26/10									
SP	Proshares UltraShort MSCI	X	01/29/10									
SP	Prudential Short Term	X	02/04/10									
SP	Prudential Short Term	X	02/22/10									
SP	Pulte Group	X	04/01/10									
SP	Pulte Group	X	11/24/10									
SP	Regions Finl Corp	X	12/13/10									
SP	Regions Finl Corp	X	03/11/10									
SP	Rydex Etf Tr Index Fund	X	04/01/10									
SP	Rydex Etf Tr Index Fund	X	04/22/10									
SP	Rydex Etf Tr Index Fund	X	04/23/10									
SP	Rydex Etf Tr Index Fund	X	05/04/10									
SP	S&P US Prd Slk Index Fd	X	05/07/10									
SP	S&P US Prd Slk Index Fd	X	11/23/10									
SP	S&P US Prd Slk Index Fd	X	12/15/10									
SP	Sandoff Aventis Spn Adr	X	05/26/10									
SP	Sector SPDR Consumers Sto	X	05/14/10									
SP	Sector SPDR Consumers Sto	X	05/18/10									
SP	Sector SPDR Financial	X	05/14/10									
SP	Sector SPDR Financial	X	05/17/10									
SP	Sector SPDR Financial	X	05/30/10									

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## **SCHEDULE IV - TRANSACTIONS**

**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the**

reporting period or any security or real property held for investment that exceeded \$1,000.  
**Include transactions that resulted in a loss.** Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the box.

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child during the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

SCHEDULE IV - TRANSACTIONS															
Name: Vernon G. Buchanan															
Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.															
Capital Gains - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.															
SP	SPDR Gold Trust	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction										
					PURCHASE	SALE	EXCHANGE	(MONDAY/YR)	B	C	D	E	F	G	H
SP	SPDR Gold Trust		X	04/09/10											\$1,001 - \$15,000
SP	SPDR Gold Trust		X	04/26/10											\$15,001 - \$50,000
SP	SPDR Gold Trust		X	05/04/10											\$50,001 - \$100,000
SP	SPDR Gold Trust		X	05/07/10											\$100,001-250,000
SP	SPDR Gold Trust		X	05/13/10											\$250,001-\$500,000
SP	SPDR Gold Trust		X	05/20/10											\$500,001-\$1,000,000
SP	SPDR Gold Trust		X	06/02/10											\$1,000,001-\$5,000,000
SP	SPDR Gold Trust		X	06/14/10											\$5,000,001-\$25,000,000
SP	SPDR Gold Trust		X	06/15/10											\$25,000,001-\$50,000,000
SP	SPDR Gold Trust		X	07/01/10											\$50,000,001-\$100,000,000
SP	SPDR Gold Trust		X	07/14/10											\$100,000,001-\$250,000,000
SP	SPDR Gold Trust		X	07/19/10											\$250,000,001-\$500,000,000
SP	SPDR Gold Trust		X	08/18/10											\$500,000,001-\$1,000,000,000
SP	SPDR Gold Trust		X	09/07/10											\$1,000,000,001-\$5,000,000,000
SP	SPDR Gold Trust		X	10/21/10											\$5,000,000,001-\$25,000,000,000
SP	SPDR Gold Trust		X	11/01/10											\$25,000,001-\$50,000,000
SP	SPDR Gold Trust		X	11/16/10											\$50,000,001-\$100,000,000
SP	SPDR Gold Trust		X	11/22/10											\$100,000,001-\$250,000,000
SP	SPDR KBW Region Bankin		X	12/17/10											\$250,000,001-\$500,000,000
SP	SPDR KBW Region Bankin		X	04/27/10											\$500,000,001-\$1,000,000,000
SP	SPDR S P Homebuilders		X	04/29/10											\$1,000,000,001-\$5,000,000,000
SP	SPDR S P Homebuilders		X	04/23/10											\$5,000,000,001-\$25,000,000,000
SP	SPDR S P Homebuilders		X	05/05/10											\$25,000,001-\$50,000,000
SP	SPDR S P Homebuilders		X	11/04/10											\$50,000,001-\$100,000,000
SP	SPDR S P Homebuilders		X	11/18/10											\$100,000,001-\$250,000,000
SP	SPDR S&P Emerging		X	10/27/10											\$250,000,001-\$500,000,000
SP	Sprint Nextel Corp		X	12/06/10											\$500,000,001-\$1,000,000,000
SP	Standards & Poor Dep Rept		X	07/09/10											\$1,000,000,001-\$5,000,000,000
SP	Standards & Poor Dep Rept		X	07/12/10											\$5,000,000,001-\$25,000,000,000
SP	Standards & Poor Dep Rept		X	07/22/10											\$25,000,001-\$50,000,000
SP	Standards & Poor Dep Rept		X	08/13/10											\$50,000,001-\$100,000,000
SP	Standards & Poor Dep Rept		X	12/02/10											\$100,000,001-\$250,000,000
SP	Standards & Poor Dep Rept		X	12/14/10											\$250,000,001-\$500,000,000
SP	Standards & Poor Dep Rept		X	12/22/10											\$500,000,001-\$1,000,000,000
SP	State Street Corp		X	04/20/10											\$1,000,000,001-\$5,000,000,000
SP	State Street Corp		X	04/22/10											\$5,000,000,001-\$25,000,000,000

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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**Capital Gains** - If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP	Name	Type of Transaction	Date	Amount of Transaction						
				B	C	D	E	F	G	H
SP	Target Corp		X	X						
SP	TCLW Total Return		X		05/21/10					
SP	Tescon Corp		X			03/15/10				
SP	Tescon Corp		X			03/18/10				
SP	Tescon Corp		X			03/19/10				
SP	Tescon Corp		X			03/22/10				
SP	Tescon Corp		X			04/23/10				
SP	Teva Pharmaceutical Inds Adr		X			05/05/10				
SP	Teva Pharmaceutical Inds Adr		X			07/20/10				
SP	Teva Pharmaceutical Inds Adr		X			03/30/10				
SP	Teva Pharmaceutical Inds Adr		X			04/14/10				
SP	Teva Pharmaceutical Inds Adr		X			04/28/10				
SP	Teva Pharmaceutical Inds Adr		X			05/05/10				
SP	Teva Pharmaceutical Inds Adr		X			10/29/10				
SP	Teva Pharmaceutical Inds Adr		X			11/01/10				
SP	Texas Instruments		X			11/24/10				
SP	Texas Instruments		X			12/13/10				
SP	Texas Instruments		X			12/16/10				
SP	Texas Instruments		X			12/22/10				
SP	Theratec Corp Com New		X			10/11/10				
SP	Thorotec Corp Com New		X			10/20/10				
SP	Time Warner Cable Inc		X			12/21/10				
SP	Transocean Ltd		X			10/15/10				
SP	Transocean Ltd		X			11/08/10				
SP	Tupperware Brands Corp		X			11/24/10				
SP	Tupperware Brands Corp		X			05/10/10				
SP	United Parcel Svc Cl B		X			11/02/10				
SP	United Parcel Svc Cl B		X			11/17/10				
SP	United Sta Gasoline Rd L		X			11/26/10				
SP	United Sta Si Corp New		X			04/21/10				
SP	United Sta Si Corp New		X			04/23/10				
SP	UnitedHealth Group Inc		X			11/03/10				
SP	UnitedHealth Group Inc		X			11/15/10				
SP	Vanguard Emerging Mkts		X			07/22/10				

## SCHEDULE IV - TRANSACTIONS

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the

## SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

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**Capital Gains** - if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP	Name of Security or Real Property	Type of Transaction	Date	Amount of Transaction							(MO/DAY/YR)	B	C	D	E	F	G	H	I	J	K
				PURCHASE	SALE	EXCHANGE															
SP	Wal-Mart Stores, Inc		X		X						06/04/10		X						\$1,001 - \$15,000		
SP	Weatherford Int'l Ltd			X							06/07/10		X						\$15,001 - \$50,000		
SP	Weatherford Int'l Ltd				X						05/12/10		X						\$50,001 - \$100,000		
SP	Wells Fargo Advantage		X								06/29/10		X						\$100,001-250,000		
SP	Wells Fargo Capital XIV			X							12/21/10		X						\$250,001-\$500,000		
SP	Western Asset Managed				X						03/15/10		X						\$500,001-\$1,000,000		
SP	Western Asset Managed					X					02/04/10		X						\$1,000,001-\$5,000,000		
SP	Western Asset Managed						X				03/05/10		X						\$5,000,001-\$25,000,000		
SP	Western Asset Managed							X			04/19/10		X						\$25,000,001-\$50,000,000		
SP	Western Asset Managed								X		05/26/10		X						Over \$50,000,000		
SP	Western Asset Managed									X	05/26/10		X								
SP	Western Asset Managed										11/24/10		X								
SP	Weyerhaeuser Co				X						04/30/10		X								
SP	Weyerhaeuser Co					X					05/21/10		X								
SP	Weyerhaeuser Co						X				05/27/10		X								
SP	Weyerhaeuser Co							X			06/23/10		X								
SP	Whole Foods Mkt Inc Com					X					12/02/10		X								
SP	Wisdomtree Emerging market						X				02/04/10		X								
SP	Wisdomtree Emerging market							X			03/24/10		X								
SP	Wisdomtree Emerging market								X		04/06/10		X								
SP	Wisdomtree Emerging market									X	04/12/10		X								
SP	Wisdomtree Emerging market										05/17/10		X								
SP	Wisdomtree India									X	10/18/10		X								
SP	Wisdomtree India										12/23/10		X								
SP	WynnDham Worldwide Corp										11/18/10		X								
SP	WynnDham Worldwide Corp										12/06/10		X								
SP	Yamana Gold Inc										04/27/10		X								
SP	Yamana Gold Inc										05/19/10		X								
SP	Yonergi Internat'l Inc										10/14/10		X								
SP	Yonergi Internat'l Inc										10/15/10		X								
SP	Yonergi Internat'l Inc										10/20/10		X								
SP	Yum Brands Inc										02/05/10		X								
SP	Zimmer Holdings Inc Com										03/08/10		X								
SP	Zimmer Holdings Inc Com										12/22/10		X								
	Zimmer Holdings Inc Com										12/23/10		X								

## SCHEDULE V - LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Name: Vernon G. Buchanan									
			B	C	D	E	F	G	H	I	J	K
Example: First Bank of Wilmington, Delaware		Mortgage on 123 Main St., Dover, Del.										
Regions Bank - Sarasota, FL		Mortgage on 130 N. Tamiami Trail, LLC										
Seaside Bank (Isenford Whitney Nat'l Bank) - Sarasota, FL		Mortgage on 6430 Hollywood Blvd., LLC										
Seaside Bank, FL (TRISRD FR SunTrust Bank)		Mortgage on 986, LLC - 435 N Washington, Sarasota, FL										
Marshall & Ilsley Bank - Sarasota, FL (1099 Mgmt Co)		Acquisition on 1099 Management, LLC - Sarasota & SunCoast	X									
Regions Bank - Sarasota, FL		Mortgage on Buchanan Automotive Holdings, Inc.										
SunTrust Bank, Sarasota, FL 91 (combined w/182)		Mortgage on Five Points Residential Investments, LLC										
Wachovia, Sarasota, FL		Acquisition of 600 LLC										
Regions Bank		Mortgage on Broward Yacht, V.B. Motor Yachts, LLC										
SunTrust Bank, Sarasota, FL (91)		Line of Credit - Various Projects										
Bank of America, Sarasota, FL		Line of Credit - Eliz City, SunCoast Ford, Real Estate										
Wachovia Bank, Sarasota, FL		Mortgage, Jannatt Properties, LLC										
SunTrust Leasing - Baltimore, MD		Purchase of King Air 350, Aircraft Holding & Leasing, LLC										
SunTrust Leasing - Baltimore, MD		Purchase of Lear Jet, Aircraft Holding & Leasing, LLC										
SunTrust Leasing - Baltimore, MD		Purchase of Embraer Phenom, Aircraft Holding & Leasing, LLC										
M&I Marshall & Ilsley Bank, Sarasota, FL		Line of Credit - Real Estate Projects / SunCoast Ford										
Regions Bank (1099 Management Co)		6430 Hollywood & Premier Kia										
Wachovia Bank, Sarasota, FL		HEL - 530 Bowspirit										

## SCHEDULE VI - GIFTS

Name: Vernon G. Buchanan

**Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.**

**Excluded:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards to \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Examples:	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)

**Use additional sheets if more space is required**

## **SCHEDULE VII - Travel Payments & Reimbursements**

Name: Vernon G. Buchanan

**Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.**

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

**NONE**

**Use additional sheets if more space is required**

**SCHEDULE VIII - POSITIONS****Name:** Vernon G. Buchanan

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Managing Member	1099 Management Co., LLC
Partner	1099, LLC d/b/a Venice Nissan Dodge (Ownership held by 1099 Management Co., LLC)
Partner	Sarasota 500, LLC dba Sarasota Ford (Ownership held by Buchanan Automotive Holdings, Inc)
Managing Member	10-2002, LLC dba SunCoast Ford (Ownership held by 1099 Mgmt Co., LLC) (Sold 2010)
Managing Member	10-3003, LLC dba SunCoast Mitsubishi (Ownership held by 1099 Mgmt Co., LLC) (Sold 2010)
Partner	600, LLC dba Space Coast Honda (Ownership held by 1099 Mgmt Co., LLC)
Managing Member	Bowling Green Dealership, LLC dba Bowling Green BMW - Mercedes Benz (Ownership held by 1099 Mgmt, Co., LLC, listed incorrectly on Secretary of State's site (SunBiz.org) as Vernon Buchanan personally) Sold in 2008, to be dissolved.
Partner	Elizabeth City, LLC
Director	Auto Central Services, Inc.
Partner	Buchanan Automotive Holdings, Inc.
Partner, President	First Capital Corporation, Inc.
Managing Member	Graham Construction, LLP
Partner, President, Director	Jamatt Realty, Inc.
Partner	Aircraft Holding and Leasing, LLC
Managing Member	Gulf Aircraft Sales, LLC (Ownership held by Aircraft Holding & Leasing, LLC)
Partner	Sarasota-Bradenton Aviation, LLC (not used, to be dissolved, changed to Inc below)
Managing Member	Sarasota-Bradenton Aviation, Inc.
Managing Member	V.B. Motor Yachts, LLC
Managing Member	V.B. Cruise Charters, LLC
President	Buchanan Reinsurance, Inc.
Partner	Speed-Wing Investment Co.
Managing Member	Buchanan Farms, Inc
Partner	V. B. Investments, Inc.
Managing Member	130 North Tamiami Trail, LLC
Partner	996, Inc.
Partner	Buchanan Investment Co., L.P. (entity never used, to be dissolved)
Partner	Georgetowne Properties, LLC
Managing Member	6430 Hollywood Blvd., LLC
Managing Member	Five Points Residential Investments, LLC
Managing Member	Jamatt Properties, LLC
Partner	Creekwood Hotel, LLC - Bradenton, FL (Ownership held by Jamatt Realty, Inc)
Partner, President	Orange Park, LLC
Partner	MB Acquisition and Leasing, LLC

**SCHEDULE VIII - POSITIONS****Name:** Vernon G. Buchanan

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	VB Pointe West Investments, LLC (Ownership held by VB Investments, Inc) (Formerly VB Investments of Delaware, LLC)
Partner	301 Oxford, LLC
Managing Member	Boca Creek Development Company, LLC (Ownership held by Orange Park, LLC) Was disclosed on Schedule III as Boca Grande Project.
President, Director	Vernon and Sandra JC Buchanan Family Foundation, Inc
Managing Member	Country Club Shores, LLC
Managing Member	Country Club Shores II, LLC
Managing Member	Jamat Moorings, LLC
Director	Regent Court Association, Inc (HOA)
Managing Member	Buchanan Automotive Group, LLC (entity never used, to be dissolved)
Managing Member	Buchanan Enterprises, LLC (entity never used, to be dissolved)
Managing Member	VB Investments of Ocala, LLC (Sold in 2006, to be dissolved, held by VB Investments, Inc)
Partner	Cortez Landings, LLC
Partner	High Aspen FL, LLC

Use additional sheets if more space is required

## **SCHEDULE IX - AGREEMENTS**

Name: **Vernon G. Buchanan**

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

**Use additional sheets if more space is required**