



Filing ID #10036767

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Donna Shalala  
**Status:** Member  
**State/District:** FL27

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 07/21/2020  
**Period Covered:** 01/01/2017– 12/31/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year   |
|--|-------|-----------------------|----------------|-------------------------------|-------------------------|
| Citibank Accounts [BA]   |       | \$50,001 - \$100,000  | Interest       | Not Applicable                | \$1 - \$200             |
| Lennar Corporation Class A (LEN) [ST]  |       | \$250,001 - \$500,000 | Dividends      | Not Applicable                | \$1,001 - \$2,500       |
| Lennar Corporation Class B (LEN.B) [ST]  |       | \$1,001 - \$15,000    | Dividends      | Not Applicable                | \$1 - \$200             |
| Mednax Inc. [OP]   |       | None                  | Capital Gains  | Not Applicable                | \$100,001 - \$1,000,000 |
| DESCRIPTION: Options exercised in June 2018.                                     |       |                       |                |                               |                         |
| Miami Rental Property [RP]   |       | \$250,001 - \$500,000 | Rent           | Not Applicable                | \$15,001 - \$50,000     |
| LOCATION: Miami, FL, US  |       |                       |                |                               |                         |
| Morgan Stanley Active Assets Account (1) ⇒ Blackstone Alternative Alpha Adv [HE] |       | \$100,001 - \$250,000 | Dividends      | Not Applicable                | None                    |

| <b>Asset</b>  | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b>    | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|---|--------------|-----------------------|--------------------------|--------------------------------------|------------------------------|
| Morgan Stanley Active Assets Account (2) ⇒ Algerian MLP ETF (AMLPE) [EF]              |              | \$15,001 - \$50,000   | None                     |                                      |                              |
| Morgan Stanley Active Assets Account (2) ⇒ FT North American Energy INFRA (EMLP) [EF] |              | None                  | Dividends                | Not Applicable                       | \$201 - \$1,000              |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES CORE MSCI EUROPE (IEUR) [EF]       |              | \$50,001 - \$100,000  | None                     |                                      |                              |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES EDGE MSCI MIN VOL EAFE (EFAV) [EF] |              | \$15,001 - \$50,000   | Dividends                | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES EDGE MSCI VOL EMER (EEMV) [EF]     |              | \$15,001 - \$50,000   | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES INC MSCI JAPAN ETF (EWJ) [EF]      |              | \$1,001 - \$15,000    | Dividends                | Not Applicable                       | \$1 - \$200                  |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 1000 GRW ETF (IWF) [EF]    |              | \$50,001 - \$100,000  | Capital Gains, Dividends | Not Applicable                       | \$2,501 - \$5,000            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 1000 VALUE ETF (IWD) [EF]  |              | \$50,001 - \$100,000  | Dividends                | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 2000 GRWTH ETF (IWO) [EF]  |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF]  |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL MIDCAP G ETF (IWP) [EF]    |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$2,501 - \$5,000            |
| Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL MIDCAP V ETF (IWS) [EF]    |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (2) ⇒ Morgan Stanley Private Bank Account [BA]   |              | \$1,001 - \$15,000    | Interest                 | Not Applicable                       | \$1 - \$200                  |
| Morgan Stanley Active Assets Account (2) ⇒ Vanguard Dividend Appreciation (VIG) [EF]  |              | \$1,001 - \$15,000    | Dividends                | Not Applicable                       | \$1 - \$200                  |
| Morgan Stanley Active Assets Account (2) ⇒ VANGUARD FTSE EUROPE ETF (VGK) [EF]        |              | None                  | Dividends                | Not Applicable                       | \$1,001 - \$2,500            |

| Asset  | Owner | Value of Asset      | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| Morgan Stanley Active Assets Account (2) ⇒ WISDOMTREE TRUST JAPN HEDGE EQ [EF]           |       | \$15,001 - \$50,000 | Capital Gains, Dividends | Not Applicable                | \$5,001 - \$15,000    |
| Morgan Stanley Active Assets Account (3) ⇒ Amgen Inc. (AMGN) [ST]                        |       | None                | Capital Gains, Dividends | Not Applicable                | \$1,001 - \$2,500     |
| Morgan Stanley Active Assets Account (3) ⇒ Analog Devices, Inc. (ADI) [ST]               |       | None                | Capital Gains, Dividends | Not Applicable                | \$1,001 - \$2,500     |
| Morgan Stanley Active Assets Account (3) ⇒ Apple Inc. (AAPL) [ST]                        |       | \$1,001 - \$15,000  | Capital Gains, Dividends | Not Applicable                | \$2,501 - \$5,000     |
| Morgan Stanley Active Assets Account (3) ⇒ AT&T Inc. (T) [ST]                            |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ Bank of New York Mellon Corporation (BK) [ST] |       | None                | Dividends                | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ BlackRock, Inc. (BLK) [ST]                    |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ Boeing Company (BA) [ST]                      |       | None                | Capital Gains, Dividends | Not Applicable                | \$5,001 - \$15,000    |
| Morgan Stanley Active Assets Account (3) ⇒ Chevron Corporation (CVX) [ST]                |       | \$1,001 - \$15,000  | Capital Gains, Dividends | Not Applicable                | \$5,001 - \$15,000    |
| Morgan Stanley Active Assets Account (3) ⇒ Chubb Limited (CB) [ST]                       |       | \$1,001 - \$15,000  | Capital Gains, Dividends | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Cisco Systems, Inc. (CSCO) [ST]               |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Colgate-Palmolive Company (CL) [ST]           |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Comcast Corporation - Class A (CMCSA) [ST]    |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | None                  |
| Morgan Stanley Active Assets Account (3) ⇒ ConocoPhillips (COP) [ST]                     |       | \$1,001 - \$15,000  | Dividends                | Not Applicable                | \$1 - \$200           |

| Asset   | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Morgan Stanley Active Assets Account (3) ⇒ Crown Castle International Corp. (CCI) [ST]                                  |       | None                  | Capital Gains, Dividends | Not Applicable                | \$1,001 - \$2,500     |
| Morgan Stanley Active Assets Account (3) ⇒ DowDuPont Inc. (DWDP) [ST]   |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ FT NORTH AMERICAN ENERGY INFRA (EMLP) [EF]                                   |       | None                  | Dividends                | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Honeywell International Inc. (HON) [ST]                                      |       | None                  | Capital Gains, Dividends | Not Applicable                | \$5,001 - \$15,000    |
| Morgan Stanley Active Assets Account (3) ⇒ International Business Machines Corporation (IBM) [ST]                       |       | None                  | Capital Gains, Dividends | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Johnson & Johnson (JNJ) [ST]   |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$2,501 - \$5,000     |
| Morgan Stanley Active Assets Account (3) ⇒ JP Morgan Chase & Co. (JPM) [ST]   |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$5,001 - \$15,000    |
| Morgan Stanley Active Assets Account (3) ⇒ L Brands, Inc. (LB) [ST]   |       | None                  | Capital Gains, Dividends | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ Lockheed Martin Corporation (LMT) [ST]                                       |       | None                  | Dividends                | Not Applicable                | \$201 - \$1,000       |
| Morgan Stanley Active Assets Account (3) ⇒ Lowe's Companies, Inc. (LOW) [ST]  |       | \$1,001 - \$15,000    | Dividends                | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ McDonald's Corporation (MCD) [ST]  |       | \$1,001 - \$15,000    | Dividends                | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ Microsoft Corporation (MSFT) [ST]  |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$2,501 - \$5,000     |
| Morgan Stanley Active Assets Account (3) ⇒ Morgan Stanley Bank Account [BA]   |       | \$100,001 - \$250,000 | Interest                 | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Active Assets Account (3) ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$201 - \$1,000       |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b>    | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|--------------------------|--------------------------------------|------------------------------|
| Morgan Stanley Active Assets Account (3) ⇒ NextEra Energy, Inc. (NEE) [ST]                   |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ Occidental Petroleum Corporation (OXY) [ST]       |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$201 - \$1,000              |
| Morgan Stanley Active Assets Account (3) ⇒ Pepsico, Inc. (PEP) [ST]                          |              | None                  | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ Pfizer, Inc. (PFE) [ST]                           |              | \$15,001 - \$50,000   | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ Schlumberger N.V. (SLB) [ST]                      |              | None                  | Capital Gains, Dividends | Not Applicable                       | \$1 - \$200                  |
| Morgan Stanley Active Assets Account (3) ⇒ Sempra Energy (SRE) [ST]                          |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ The Kraft Heinz Company (KHC) [ST]                |              | None                  | Dividends                | Not Applicable                       | \$201 - \$1,000              |
| Morgan Stanley Active Assets Account (3) ⇒ VANGUARD INT DIV APP INDX FD (VIGI) [EF]          |              | None                  | Dividends                | Not Applicable                       | \$201 - \$1,000              |
| Morgan Stanley Active Assets Account (3) ⇒ Verizon Communications Inc. (VZ) [ST]             |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$2,501 - \$5,000            |
| Morgan Stanley Active Assets Account (3) ⇒ Walmart Inc. (WMT) [ST]                           |              | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ Wells Fargo & Company (WFC) [ST]                  |              | None                  | Dividends                | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ WISDOMTREE TR ITL HDG QTLY DIV (IHDG) [EF]        |              | None                  | Dividends                | Not Applicable                       | \$1 - \$200                  |
| Morgan Stanley Active Assets Account (3) ⇒ WISDOMTREE TR US S CAP DIV GRW (DGRS) [EF]        |              | None                  | Dividends                | Not Applicable                       | \$201 - \$1,000              |
| Morgan Stanley Active Assets Account (3) ⇒ WisdomTree U.S. LargeCap Dividend Fund (DLN) [ST] |              | None                  | Capital Gains, Dividends | Not Applicable                       | \$1,001 - \$2,500            |
| Morgan Stanley Active Assets Account (3) ⇒ WISDOMTREE US MIDCAP DIV FND (DON) [EF]           |              | None                  | Dividends                | Not Applicable                       | \$201 - \$1,000              |

| Asset  | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year   |
|--|-------|-----------------------|--------------------------|-------------------------------|-------------------------|
| Morgan Stanley Active Assets Account (3) ⇒ WISDOMTREE US SMALLCAP DIV FND (DES) [EF]   |       | \$1,001 - \$15,000    | Capital Gains, Dividends | Not Applicable                | \$201 - \$1,000         |
| Morgan Stanley Active Assets Account (4) ⇒ Morgan Stanley Private Bank Account [BA]  |       | None                  | Interest                 | Not Applicable                | \$1 - \$200             |
| Morgan Stanley Basic Securities Account (1) ⇒ Cars.com Inc. (CARS) [ST]  |       | None                  | Capital Gains            | Not Applicable                | \$1 - \$200             |
| Morgan Stanley Basic Securities Account (1) ⇒ Gannett Co., Inc. (GCI) [ST]   |       | \$1,001 - \$15,000    | Dividends                | Not Applicable                | \$201 - \$1,000         |
| Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]  |       | \$15,001 - \$50,000   | Dividends                | Not Applicable                | \$201 - \$1,000         |
| Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]<br>DESCRIPTION: Stock Name: LENNAR CORPORATION A RST |       | \$100,001 - \$250,000 | Dividends                | Not Applicable                | None                    |
| Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class B (LEN.B) [ST]  |       | None                  | Capital Gains, Dividends | Not Applicable                | \$1 - \$200             |
| Morgan Stanley Basic Securities Account (1) ⇒ Mednax, Inc. (MD) [ST]   |       | \$50,001 - \$100,000  | Dividends                | Not Applicable                | None                    |
| Morgan Stanley Basic Securities Account (1) ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]  |       | None                  | Capital Gains, Dividends | Not Applicable                | \$2,501 - \$5,000       |
| Morgan Stanley Basic Securities Account (1) ⇒ Morgan Stanley Private Bank Account [BA]   |       | \$1,001 - \$15,000    | Interest                 | Not Applicable                | \$1 - \$200             |
| Morgan Stanley Basic Securities Account (1) ⇒ TEGNA Inc (TGNA) [ST]  |       | \$15,001 - \$50,000   | Dividends                | Not Applicable                | \$201 - \$1,000         |
| Morgan Stanley Basic Securities Account (1) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA) [ST]              |       | \$1,001 - \$15,000    | Dividends                | Not Applicable                | None                    |
| Morgan Stanley Basic Securities Account (1) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]                                    |       | \$250,001 - \$500,000 | Capital Gains, Dividends | Not Applicable                | \$100,001 - \$1,000,000 |
| Morgan Stanley Basic Securities Account (1) ⇒  |       | \$15,001 - \$50,000   | Dividends                | Not                           | \$201 -                 |

| Asset  | Owner | Value of Asset       | Income Type(s)     | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------|--------------------|-------------------------------|-----------------------|
| Watsco, Inc. (WSO) [ST]  |       |                      |                    | Applicable                    | \$1,000               |
| Morgan Stanley Basic Securities Account (2) ⇒ Morgan Stanley Private Bank Account [BA] |       | \$15,001 - \$50,000  | Interest           | Not Applicable                | \$1 - \$200           |
| Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]           |       | \$15,001 - \$50,000  | Partnership Income | Not Applicable                | None                  |
| Morgan Stanley Basic Securities Account (2) ⇒ PEP II Onshore Class A [HE]              |       | \$15,001 - \$50,000  | Partnership Income | Not Applicable                | None                  |
| Morgan Stanley Basic Securities Account (3) ⇒ CERES ORION LP CL A [HE]                 |       | \$50,001 - \$100,000 | None               |                               |                       |
| Morgan Stanley Basic Securities Account (3) ⇒ MF Premier Energy II LP [HE]             |       | \$50,001 - \$100,000 | Capital Gains      | Not Applicable                | \$50,001 - \$100,000  |
| Morgan Stanley IRA ⇒ Angel Oak Multi Strat Inc I (ANGIX) [MF]                          |       | \$15,001 - \$50,000  | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ Blackrock Strategic Inc Opp I (BSIIX) [MF]                        |       | None                 | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ Blackstone Mult-Strat Inst (BXMIX) [MF]                           |       | \$15,001 - \$50,000  | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ FT North American Energy Infra (EMLP) [EF]                        |       | \$1,001 - \$15,000   | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ Fuller & Thaler BHV SMOP EQINST (FTHSX) [MF]                      |       | \$1,001 - \$15,000   | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ Harding Loevner Intl Eqty Inst (HLMIX) [MF]                       |       | \$15,001 - \$50,000  | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ iShares Russell 1000 GRW ETF (IWF) [EF]                           |       | \$15,001 - \$50,000  | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ iShares Russell 2000 GRWTH ETF (IWO) [EF]                         |       | \$1,001 - \$15,000   | Tax-Deferred       |                               |                       |
| Morgan Stanley IRA ⇒ iShares Russell Micap V ETF (IWS) [EF]                            |       | \$1,001 - \$15,000   | Tax-Deferred       |                               |                       |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley IRA ⇒<br>iShares Russell Midcap G ETF (IWP) [EF]                     |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Lazard Emrg Mkt Eq Blend Inst (EMBIX) [MF]                  |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Lazard Intl Strat Eq Ptf Inst (LISIX) [MF]                  |       | \$50,001 - \$100,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Morgan Stanley Private Bank NA # [BA]                       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Oakmark Fund Advisor (OAYMX) [MF]                           |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Vanguard Dividend Appreciation (VIG) [EF]                   |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Western Asset Core Plus BD I (WACPX) [MF]                   |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley IRA ⇒<br>Wisdmtree Emrg Mkt EX-ST OW (XSOE) [EF]                     |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>A.O. Smith Corporation (AOS) [ST]      |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>ABM Industries Incorporated (ABM) [ST] |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Acuity Brands, Inc. (AYI) [ST]         |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Addus HomeCare Corporation (ADUS) [ST] |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>adidas AG Sponsored ADR (ADDYY) [ST]   |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>AGCO Corporation (AGCO) [ST]           |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒   |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |



| Asset   | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| AIA Group, Ltd. Sponsored American Depositary Receipt (AAGIY) [ST]                                    |       |                     |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Alaska Air Group, Inc. (ALK) [ST]                           |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Alfa-Laval Ab Un-sponsored ADR (ALFVY) [ST]                 |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Allergan plc Ordinary Shares (AGN) [ST]                     |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Allianz SE ADS (AZSEY) [ST]                                 |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Altra Industrial Motion Corp. (AIMC) [ST]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]           |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ AMC Networks Inc. - Class A (AMCX) [ST]                     |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Amedisys Inc (AMED) [ST]                                    |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ American Outdoor Brands Corporation (AOBC) [ST]             |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Anadarko Petroleum Corporation (APC) [ST]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ ARMOUR Residential REIT, Inc. (ARR) [ST]                    |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Armstrong World Industries Inc (AWI) [ST]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Aspen Pharmacare Holdings plc Un-sponsored ADR (APNHY) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |

| Asset  | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|--------------------|----------------|-------------------------------|-----------------------|
| Assurant, Inc. (AIZ) [ST]  |       |                    |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Assured Guaranty Ltd. (AGO) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Autodesk, Inc. (ADSK) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Balchem Corporation (BCPC) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Bayerische Motoren Werke AG Un-sponsored ADR (BAMXY) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| DESCRIPTION: Bayerische Motoren Werke ADR (BMWYY)  |       |                    |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Big Lots, Inc. (BIG) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Biogen Inc. (BIIB) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Broadcom Inc. (AVGO) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Bruker Corporation (BRKR) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Burlington Stores, Inc. (BURL) [ST]                                  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cadence Design Systems, Inc. (CDNS) [ST]                             |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Calavo Growers, Inc. (CVGW) [ST]                                     |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Canadian National Railway Company (CNI) [ST]                         |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cantel Medical Corp. (CMD) [ST]                                      |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ CF Industries Holdings, Inc. (CF) [ST]                               |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Charles River Laboratories International, Inc. (CRL) [ST]            |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST] |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Chemed Corp (CHE) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Choice Hotels International, Inc. (CHH) [ST]                         |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cimpress N.V - Ordinary Shares (CMPR) [ST]                           |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cirrus Logic, Inc. (CRUS) [ST]                                       |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Citrix Systems, Inc. (CTXS) [ST]                                     |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Coeur Mining, Inc. (CDE) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |

| Asset  | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|--------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Cogent Communications Holdings, Inc. (CCOI) [ST]                   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Comcast Corporation - Class A (CMCSA) [ST]                         |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ CommVault Systems, Inc. (CVLT) [ST]                                |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cooper Tire & Rubber Company (CTB) [ST]                            |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ CoreSite Realty Corporation (COR) [ST]                             |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Coupa Software Incorporated (COUP) [ST]                            |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Cree, Inc. (CREE) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]    |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Deckers Outdoor Corporation (DECK) [ST]                            |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Dine Brands Global, Inc. (DIN) [ST]                                |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Discovery, Inc. - Series A (DISCA) [ST]                            |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Dolby Laboratories (DLB) [ST]                                      |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Dorman Products, Inc. (DORM) [ST]                                  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Eagle Bancorp, Inc. (EGBN) [ST]                      |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ EastGroup Properties, Inc. (EGP) [ST]                |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ EPIROC AKTIEBOLAG ADR (Stock Ticker: EPOKY) [OT]     |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| DESCRIPTION: Stock   |       |                     |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Euronet Worldwide, Inc. (EEFT) [ST]                  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]       |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ First American Corporation (FAF) [ST]                |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ First Financial Bankshares, Inc. (FFIN) [ST]         |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Five Below, Inc. (FIVE) [ST]                         |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fluor Corporation (FLR) [ST]                         |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fortinet, Inc. (FTNT) [ST]                           |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fox Factory Holding Corp. (FOXF) [ST]                |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Freeport-McMoRan, Inc. (FCX) [ST]                    |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Fuchs Petrolub SE Unsponsored American Depository    |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |

| Asset  | Owner | Value of Asset       | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------|----------------|-------------------------------|-----------------------|
| ReceiptRepresenting Preferred Shares (FUPBY) [ST]  |       |                      |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Genesco Inc. (GCO) [ST]  |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Greenbrier Companies, Inc. (GBX) [ST]                              |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Grifols, S.A. - American Depositary Shares (GRFS) [ST]             |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST] |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Harding Loevner Emerg Mkts Adv (HLEMX) [MF]                        |       | \$50,001 - \$100,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Hawaiian Electric Industries, Inc. (HE) [ST]                       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ HDFC Bank Limited (HDB) [ST]                                       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Healthcare Realty Trust Incorporated (HR) [ST]                     |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Healthcare Services Group, Inc. (HCSG) [ST]                        |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Helmerich & Payne, Inc. (HP) [ST]                                  |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Hill-Rom Holdings Inc (HRC) [ST]                                   |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ HollyFrontier Corporation (HFC) [ST]                               |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ HSBC Holdings, plc. (HSBC) [ST]                                    |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ ICICI Bank Limited (IBN) [ST]                                      |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Infineon Technologies AG (IFNNY) [ST]                                 |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]                 |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Ionis Pharmaceuticals, Inc. (IONS) [ST]                               |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ iRhythm Technologies, Inc. (IRTC) [ST]                                |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Iron Mountain Incorporated (IRM) [ST]                                 |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ J G C Corp (JGCCY) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]          |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Kennametal Inc. (KMT) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]               |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Kubota Corporation (KUBTY) [ST]                                       |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ L3 Technologies, Inc. (LLL) [ST]                                      |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]             |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Lamb Weston Holdings, Inc. (LW) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Lancaster Colony Corporation (LANC) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK) [ST]                    |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Linde plc Ordinary Share (LIN) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Live Nation Entertainment, Inc. (LYV) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]                                   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>L'Oreal Co. American Depositary Shares (LRLCY) [ST]                                   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>LVMH Moet Hennessy Louis Vuitton Unsponsored American Depositary Receipt (LVMUY) [ST] |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Manhattan Associates, Inc. (MANH) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Masonite International Corporation Ordinary Shares (DOOR) [ST]                        |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Medtronic plc. Ordinary Shares (MDT) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Meritage Homes Corporation (MTH) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>MicroStrategy Incorporated - Class A (MSTR) [ST]                                      |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒<br>Moelis & Company Class A (MC) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |



| Asset  | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Monolithic Power Systems, Inc. (MPWR) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Monotaro Co. Ltd., Osaka Unsponsored ADR (MONOY) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Morgan Stanley Private Bank Account [BA]   |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ National Instruments Corporation (NATI) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ National Oilwell Varco, Inc. (NOV) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Neogen Corporation (NEOG) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]                         |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Novozymes A/S Unsponsored American Depositary Receipt (NVZMY) [ST]                                   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ NOW Inc. (DNOW) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Nuance Communications, Inc. (NUAN) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Nucor Corporation (NUE) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Nutanix, Inc. - Class A (NTNX) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ PacWest Bancorp (PACW) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Paramount Group, Inc. (PGRE) [ST]               |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Park 24 Co Ltd Sponsored ADR (PKCOY) [ST]       |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Pattern Energy Group Inc. - Class A (PEGI) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Patterson-UTI Energy, Inc. (PTEN) [ST]          |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Pentair plc. Ordinary Share (PNR) [ST]          |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ PerkinElmer, Inc. (PKI) [ST]                    |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ PotlatchDeltic Corporation (PCH) [ST]           |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Power Integrations, Inc. (POWI) [ST]            |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Progress Software Corporation (PRGS) [ST]       |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ ProPetro Holding Corp. (PUMP) [ST]              |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Prosperity Bancshares, Inc. (PB) [ST]           |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ PS Business Parks, Inc. (PSB) [ST]              |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ PulteGroup, Inc. (PHM) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Qiagen N.V. Common Shares (QGEN) [ST]           |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Qurate Retail, Inc. - Series A QVC Group (QRTEA) [ST]                               |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Ralph Lauren Corporation (RL) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Redfin Corporation (RDFN) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Reinsurance Group of America, Incorporated (RGA) [ST]                               |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]                     |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Rollins, Inc. (ROL) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST] |       | \$15,001 - \$50,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Royal Gold, Inc. (RGLD) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ SAP SE ADS (SAP) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Sasol Ltd. American Depositary Shares (SSL) [ST]                                    |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Schlumberger N.V. (SLB) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Seagate Technology PLC - Ordinary Shares (STX) [ST]                                 |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒   |       | \$1,001 - \$15,000  | Tax-Deferred   |                               |                       |

| <b>Asset</b>  | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|---|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Sealed Air Corporation (SEE) [ST]   |              |                       |                       |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Seattle Genetics, Inc. (SGEN) [ST]                                |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Sonova Holding AG Unsponsored ADR (SONVY) [ST]                    |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Spirit Airlines, Inc. (SAVE) [ST]                                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ SPS Commerce, Inc. (SPSC) [ST]                                    |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ STERIS plc (STE) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]                           |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Sysmex Corporation Unsponsored ADR (SSMX) [ST]                    |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]        |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Temenos Group AG SPONSORED ADR (TMSNY) [ST]                       |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Tenaris S.A. American Depositary Shares (TS) [ST]                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Teradyne, Inc. (TER) [ST]   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒ Texas Roadhouse, Inc. (TXRH) [ST]                                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Morgan Stanley Rollover IRA Account (1) ⇒   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| The Goodyear Tire & Rubber Company (GT) [ST]  |       |                    |                |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Toll Brothers, Inc. (TOL) [ST]                          |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Torchmark Corporation (TMK) [ST]                        |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Trimble Inc. (TRMB) [ST]                                |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ TripAdvisor, Inc. (TRIP) [ST]                           |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Trustmark Corporation (TRMK) [ST]                       |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Twitter, Inc. (TWTR) [ST]                               |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Two Harbors Investment Corp (TWO) [ST]                  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ U.S. Physical Therapy, Inc. (USPH) [ST]                 |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Unilever PLC (UL) [ST]                                  |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ United Therapeutics Corporation (UTHR) [ST]             |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Universal Corporation (UVV) [ST]                        |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Varonis Systems, Inc. (VRNS) [ST]                       |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]         |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Rollover IRA Account (1) ⇒ Waddell & Reed Financial, Inc. (WDR) [ST]               |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Watts Water Technologies, Inc. Class A (WTS) [ST]       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Weibo Corporation - American Depositary Share (WB) [ST] |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ West Pharmaceutical Services, Inc. (WST) [ST]           |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Western Digital Corporation (WDC) [ST]                  |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Wingstop Inc. (WING) [ST]                               |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (1) ⇒ Zions Bancorporation (ZION) [ST]                        |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (2) ⇒ Morgan Stanley Bank N.A. # [BA]                         |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (2) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] |       | \$50,001 - \$100,000 | Tax-Deferred   |                               |                       |
| Morgan Stanley Rollover IRA Account (2) ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Bond Fund [MF]   |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Dodge & Cox Balanced Fund [MF]                                 |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Tegna Deferred Compensation Plan ⇒ International Fund [MF]  |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Large Cap Growth Fund [MF]                                     |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset        | Income Type(s)   | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|------------------|-------------------------------|-----------------------|
| Tegna Deferred Compensation Plan ⇒ Large Cap Value Fund [MF]                      |       | \$1,001 - \$15,000    | Tax-Deferred     |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Money Market Fund [MF]                         |       | \$100,001 - \$250,000 | Tax-Deferred     |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Small/Mid Cap Fund [MF]                        |       | \$1,001 - \$15,000    | Tax-Deferred     |                               |                       |
| Tegna Deferred Compensation Plan ⇒ TEGNA Inc (TGNA) [ST]                          |       | \$50,001 - \$100,000  | Tax-Deferred     |                               |                       |
| Tegna Deferred Compensation Plan ⇒ Vanguard Institutional Index Fund [MF]         |       | \$1,001 - \$15,000    | Tax-Deferred     |                               |                       |
| TIAA City University of New York Optional Retirement Plan ⇒ TIAA Traditional [MF] |       | None                  | Tax-Deferred     |                               |                       |
| TIAA Syracuse University Noncontributory Retirement Plan ⇒ TIAA Traditional [MF]  |       | None                  | Tax-Deferred     |                               |                       |
| TIAA Teachers College Columbia University Retirement Plan ⇒ TIAA Traditional [MF] |       | None                  | Tax-Deferred     |                               |                       |
| TIAA Traditional Annuity [FN]   |       | \$250,001 - \$500,000 | Annuity Payments | Not Applicable                | None                  |
| TIAA University of Miami 457(b) Plan ⇒ CREF Global Equities R3 [MF]               |       | \$15,001 - \$50,000   | Tax-Deferred     |                               |                       |
| TIAA University of Miami 457(b) Plan ⇒ CREF Growth R3 [MF]                        |       | \$15,001 - \$50,000   | Tax-Deferred     |                               |                       |
| TIAA University of Miami 457(b) Plan ⇒ CREF Stock R3 [MF]                         |       | \$100,001 - \$250,000 | Tax-Deferred     |                               |                       |
| TIAA University of Miami 457(b) Plan ⇒ TIAA Real Estate [MF]                      |       | \$100,001 - \$250,000 | Tax-Deferred     |                               |                       |
| TIAA University of Miami Defined Contribution                                     |       | \$100,001 -           | Tax-Deferred     |                               |                       |

| Asset  | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Retirement Plan ⇒<br>CREF Global Equities R3 [MF]  |       | \$250,000             |                |                               |                       |
| TIAA University of Miami Defined Contribution Retirement Plan ⇒<br>CREF Growth R3 [MF]       |       | \$100,001 - \$250,000 | Tax-Deferred   |                               |                       |
| TIAA University of Miami Defined Contribution Retirement Plan ⇒<br>CREF Money Market R3 [MF] |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| TIAA University of Miami Defined Contribution Retirement Plan ⇒<br>CREF Stock R3 [MF]        |       | \$100,001 - \$250,000 | Tax-Deferred   |                               |                       |
| TIAA University of Miami Defined Contribution Retirement Plan ⇒<br>TIAA Real Estate [MF]     |       | \$100,001 - \$250,000 | Tax-Deferred   |                               |                       |
| TIAA University of Miami Supplemental Retirement Plan ⇒<br>CREF Global Equities R3 [MF]      |       | \$50,001 - \$100,000  | Tax-Deferred   |                               |                       |
| TIAA University of Miami Supplemental Retirement Plan ⇒<br>CREF Growth R3 [MF]               |       | \$50,001 - \$100,000  | Tax-Deferred   |                               |                       |
| TIAA University of Miami Supplemental Retirement Plan ⇒<br>CREF Money Market R3 [MF]         |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| TIAA University of Miami Supplemental Retirement Plan ⇒<br>CREF Stock R3 [MF]                |       | \$250,001 - \$500,000 | Tax-Deferred   |                               |                       |
| TIAA University of Miami Supplemental Retirement Plan ⇒<br>TIAA Real Estate [MF]             |       | \$250,001 - \$500,000 | Tax-Deferred   |                               |                       |
| Wisconsin Retirement System [DB]   |       | \$250,001 - \$500,000 | Tax-Deferred   |                               |                       |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME



| Source  | Type   | Amount<br>Current Year to<br>Filing | Amount<br>Preceding Year |
|---|--|-------------------------------------|--------------------------|
| Hudson Institute  | Honorarium   | N/A                                 | \$22,500.00              |
| Tegna, Inc. (f/k/a Gannett, Inc.)                         | Deferred Director Fees                                     | N/A                                 | \$29,151.40              |
| Lennar Corporation  | Director Fees  | N/A                                 | \$77,500.00              |
| University of Miami                                       | Salary   | N/A                                 | \$281,705.81             |
| Pediatrics Medical Group, Inc./Mednax                     | Director Fees  | N/A                                 | \$18,125.00              |
| Morgan Stanley Rollover IRA (1)                           | IRA Distribution -<br>Qualified Charitable<br>Distribution | N/A                                 | \$77,726.26              |
| TIAA City University of New York Optional Retirement Plan | Required Minimum<br>Distribution                           | N/A                                 | \$13,714.34              |
| TIAA Teachers College Columbia University Retirement Plan | Required Minimum<br>Distribution                           | N/A                                 | \$1,811.30               |
| TIAA Syracuse University Noncontributory Retirement Plan  | Required Minimum<br>Distribution                           | N/A                                 | \$440.70                 |

SCHEDULE D: LIABILITIES

| Owner | Creditor                     | Date Incurred | Type                  | Amount of<br>Liability   |
|-------|------------------------------|---------------|-----------------------|--------------------------|
|       | Morgan Stanley               | November 2017 | Liquidity Access Line | \$250,001 -<br>\$500,000 |
|       | COMMENTS: Paid off Dec. 2018 |               |                       |                          |

SCHEDULE E: POSITIONS

| Position                      | Name of Organization   |
|-------------------------------|------------------------|
| Director                      | Mednax                 |
| COMMENTS: Resigned April 2018 |                        |
| Director                      | Lennar Corporation     |
| COMMENTS: Resigned April 2018 |                        |
| Director                      | U.S. Soccer Federation |
| COMMENTS: 2008 - 2018         |                        |
| Director                      | Clinton Foundation     |
| COMMENTS: 2017 - 2018         |                        |

| Position                  | Name of Organization                |
|---------------------------|-------------------------------------|
| Member, Advisory Board    | Maxwell School, Syracuse University |
| COMMENTS: 1999 - 2018     |                                     |
| Member, Board of Trustees | The Underline                       |
| COMMENTS: 2017 - Present  |                                     |
| Member                    | Council on Foreign Relations        |
| COMMENTS: 1980 - Present  |                                     |
| Distinguished Fellow      | The Brookings Institution           |
| COMMENTS: 2012 - 2018     |                                     |
| Member                    | International Womens Forum          |
| COMMENTS: 1982 - Present  |                                     |

SCHEDULE F: AGREEMENTS

| Date          | Parties To   | Terms of Agreement  |
|---------------|--|---|
| February 2011 | Tegna, Inc. (f/k/a Gannett Co, Inc.) and Donna Shalala | Deferred compensation to be paid through 2026 for service on Board of Directors from 2001 - 2011. |

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

|   |
|---|
| <ul style="list-style-type: none"> <li>◦ Morgan Stanley Active Assets Account (1)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Active Assets Account (2)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Active Assets Account (3)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Active Assets Account (4)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Basic Securities Account (1)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Basic Securities Account (2)<br/>LOCATION: US</li> <li>◦ Morgan Stanley Basic Securities Account (3)</li> <li>◦ Morgan Stanley IRA</li> </ul> |
|---|

- Morgan Stanley Rollover IRA Account (1)
- Morgan Stanley Rollover IRA Account (2)
- Tegna Deferred Compensation Plan
- TIAA City University of New York Optional Retirement Plan
- TIAA Syracuse University Noncontributory Retirement Plan
- TIAA Teachers College Columbia University Retirement Plan
- TIAA University of Miami 457(b) Plan
- TIAA University of Miami Defined Contribution Retirement Plan
- TIAA University of Miami Supplemental Retirement Plan

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Donna Shalala , 07/21/2020