



Filing ID #10038184

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Neal Patrick Dunn MD, FACS  
**Status:** Congressional Candidate  
**State/District:** FL02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2016  
**Filing Date:** 08/13/2020  
**Period Covered:** 01/01/2015– 06/30/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA #1 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		\$50,001 - \$100,000	Tax-Deferred		
IRA #1 ⇒ ADVISORY RESEARCH MLP AND ENERGY INCOME FD CLASS I N/L (INFIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		
IRA #1 ⇒ AMG YACHTMAN FUND SERVICE CLASS N/L (YACKX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #1 ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I N/L (SAGYX) [MF]		\$50,001 - \$100,000	Tax-Deferred		
IRA #1 ⇒ DELAWARE SMALL CAP CORE FUND		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
INSTITUTIONAL CLASS N/L (DCCIX) [MF]					
IRA #1 ⇒ EVENTIDE GILEAD FUND CLASS I N/L (ETILX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS I N/L (FLVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #1 ⇒ FRANKLIN INCOME FUND ADVISOR CLASS N/L (FRIAX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ MFS INTERNATIONAL VALUE FUND CLASS I N/L (MINIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		
IRA #1 ⇒ OAKMARK INTERNATIONAL FUND CLASS I N/L (OAKIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		
IRA #1 ⇒ PARNASSUS CORE EQUITY FUND INVESTOR CLASS N/L (PRBLX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ PRINCIPAL MID CAP FUND CLASS P N/L (PMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #1 ⇒ RAYMOND JAMES BANK [IH]		\$100,001 - \$250,000	Tax-Deferred		
IRA #1 ⇒ SUMMIT FINANCIAL ENTERPRISES INC [PS]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: SUMMIT FINANCIAL ENTERPRISES INC IS A BANK LOCATED IN PANAMA CITY, FL					
IRA #1 ⇒ T. ROWE PRICE CAPITAL APPRECIATION FUND N/L (PRWCX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒		\$100,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
T. ROWE PRICE HEALTH SCIENCES FUND N/L (PRHSX) [MF]		\$250,000			
IRA #1 ⇒ TWEEDY BROWNE GLOBAL VALUE FUND N/L (TBGVX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #1 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF]		\$50,001 - \$100,000	Tax-Deferred		
IRA #2 ⇒ RAYMOND JAMES BANK [IH]		\$1,001 - \$15,000	Tax-Deferred		
IRA #2 ⇒ SUMMIT FINANCIAL ENTERPRISES INC [PS] DESCRIPTION: SUMMIT FINANCIAL ENTERPRISES INC IS A BANK LOCATED IN PANAMA CITY, FL		\$100,001 - \$250,000	Tax-Deferred		
IRA #2 ⇒ T. ROWE PRICE HEALTH SCIENCES FUND N/L (PRHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #3 ⇒ RAYMOND JAMES BANK [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA #3 ⇒ SUMMIT FINANCIAL ENTERPRISES INC [PS] DESCRIPTION: SUMMIT FINANCIAL ENTERPRISES INC IS A BANK LOCATED IN PANAMA CITY, FL	SP	\$100,001 - \$250,000	Tax-Deferred		
IRA #3 ⇒ T. ROWE PRICE HEALTH SCIENCES FUND N/L (PRHSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #4 ⇒ ADVISORY RESEARCH MLP AND ENERGY INCOME FD CLASS I N/L (INFIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #4 ⇒ AMG YACHTMAN FUND SERVICE CLASS N/L MANAGERS (YACKX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA #4 ⇒ CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I N/L (SAGYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ MFS INTERNATIONAL VALUE FUND CLASS I N/L (MINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ OAKMARK INTERNATIONAL FUND CLASS I N/L (OAKIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ PARNASSUS CORE EQUITY FUND INVESTOR CLASS N/L (PRBLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ PRINCIPAL MID CAP FUND CLASS P N/L (PMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA #4 ⇒ RAYMOND JAMES BANK [IH]		\$15,001 - \$50,000	Tax-Deferred		
IRA #4 ⇒ T. ROWE PRICE HEALTH SCIENCES FUND N/L (PRHSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #4 ⇒ T. ROWE PRICE NEW HORIZONS FUND N/L (PRNHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Mike Jenkins (Panama City Beach, FL) - Loan [DO]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ USAA Whole Life Insurance Policy [WU]		\$250,001 - \$500,000	None		
Options to purchase shares of Summit Financial Enterprises [OP]		\$1,001 - \$15,000	None		
DESCRIPTION: Options to purchase shares of Summit Financial Enterprises; strike price \$10.00, exp. date April 8, 2018.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SUMMIT BANK ACCOUNT [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Ivy High Income Fund Class I N/L (IVHIX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]  LOCATION: Panama City / Bay, FL, US	SP	\$100,001 - \$250,000	None		
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Small Strip Mall [RP]  LOCATION: Panama City / Bay, FL, US	SP	\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	\$50,001 - \$100,000
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Summit Bank Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC ⇒ Miniature Golf Course [RP]  LOCATION: Panama City / Bay, FL, US	SP	\$100,001 - \$250,000	Rent	\$201 - \$1,000	\$1,001 - \$2,500
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ NWM Life Insurance Policy [WU]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Summit Bank Account [BA]		\$1,001 - \$15,000	None		
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ SUMMIT FINANCIAL ENTERPRISES INC. [PS]  DESCRIPTION: SUMMIT FINANCIAL ENTERPRISES INC IS A BANK LOCATED IN PANAMA CITY, FL		\$500,001 - \$1,000,000	None		
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC ⇒ Patient Practitioners LLC [OT]		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Patient Practitioners LLC, designs & manufactures medical record storage, Chipley, FL.					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Jenks Ave Commercial Rental Property [RP]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Panama City / Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Summit Bank Account [BA]		\$1,001 - \$15,000	None		
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Wilson Ave Commercial Rental Property [RP]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Panama City / Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Commercial Retail Building [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Panama City / Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Summit Bank Account [BA]		\$1,001 - \$15,000	None		
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-West PCB Holdings LLC ⇒ Vacant Lot [RP]		\$100,001 - \$250,000	None		
LOCATION: Panama City / Bay, FL, US					
Warrants to purchase shares of Summit Financial Enterprises [OP]		\$15,001 - \$50,000	None		
DESCRIPTION: Warrants to purchase shares of Summit Financial Enterprises; strike price @ \$10.00, exp. April 2018.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Summit Bank NA	Director Fee	\$4,800.00	\$9,600.00
Florida Blue Cross Blue Shield	Director Fee	N/A	\$2,000.00

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Dunn Properties LLC	Director Fee	N/A	\$1,500.00
Raymond James IRA	IRA Distribution	N/A	\$7,888.00
Dunn Properties	Spouses Director Fee	N/A	N/A
Raymond James IRA	Spouses IRA Distribution	N/A	N/A
Summit Financial Enterprises	Share Award	\$4,625.00	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Summit Bank	June 2007	Mortgage on Wilson Ave commercial property in Dunn Properties LLC held in Neal Dunn Irrev Trust	\$10,000 - \$15,000
SP	Prime Meridian Bank	June 2006	Mortgage on strip mall in Gulf Management LLC held in Leah Dunn Irrev Trust	\$500,001 - \$1,000,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Board of Directors	Enterprise Florida Inc
Vice Chairman, Audit Committee	Enterprise Florida Inc
Board of Directors	Space Florida
Chairman, Board of Directors	Summit Bank NA
Chairman, Board of Directors	Summit Financial Enterprises, LLC
Board of Directors	Florida Urological Society
Executive Board	Florida Urological Society
Advisory Council (FMA Representative)	The Florida Prostate Cancer Advisory Council
Chairman	Bay Cares Indigent Care Program
Physician Advisory Committee	Blue Cross Blue Shield of Florida
Board of Directors	DSI, LLC
Legislative Chairman	Emerald Coast Medical Association

<b>Position</b>	<b>Name of Organization</b>
Principal	Neal P. Dunn MD Consulting, LLC
Board Member / Legislative Chair	Bay County Medical Association
Board Member	Florida Medical Association
Chief Medical Officer	Advanced Urological Institute, LLC
CEO	Florida Urologic Physicians Network
Board of Directors	Joint Venture Bay Medical/Sacred Heart System/ LHP
Board of Governors	Florida Medical Association
Chairman & President	Southeast Medical LLC
Legislative Chairman	Bay County Medical Society
CFO	Global CT & PET Imaging, LLC
Partner	Patient Practitioners, LLC
Partner	Worldwide Equine Imaging, LLC
Partner	DEP, LLC
Partner	Shipwreck Golf, LLC
Partner	Gulf Management, LLC
Partner	Gulf Resources, LLC
Partner	West PCB Holdings, LLC
Consultant	E Squared, LLC
Consultant	Scienomics, LLC

## **SCHEDULE F: AGREEMENTS**

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
January 2015	Summit Financial Enterprises, Inc.; filer	Stock award agreement for distribution of shares of Summit Financial Enterprises pursuant to defined schedule.
January 2016	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
April 2008	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
April 2008	Summit Financial Enterprises, Inc.; filer	Warrant agreement for vesting of warrants of Summit Financial Enterprises pursuant to defined schedule.



## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Summit Bank NA (Panama City, FL, US)	Director
Summit Financial Enterprises (Panama City, FL, US)	Director

## SCHEDULE A ASSET CLASS DETAILS

- IRA #1  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #2  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #3 (Owner: SP)  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- IRA #4  
DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth
- Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest)  
DESCRIPTION: OWNS 100% of USAA Life Insurance Policy.
- The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC (20% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a vacant industrial lot & a Raymond James investment account
- The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC (50% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds a strip mall with multiple tenants
- The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC (16% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: owns a miniature golf course. Owned 1/6th by Trust
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 (100% Interest)
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds shares of interest in other LLC's - Worldwide Equine Imaging, Global PET Imaging, Patient Practitioners
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS 2 commercial rental properties
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC (50% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a commercial retail building
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-West PCB Holdings LLC (50% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a vacant lot

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Neal Patrick Dunn MD, FACS , 08/13/2020