



Filing ID #10029072

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Cindy Axne
Status: Member
State/District: IA03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 11/5/2020
Period Covered: 01/01/2017– 12/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Axne Consulting Group, 100% Interest [OL] LOCATION: West Des Moines, IA, US DESCRIPTION: Strategy & Consulting Firm		None	None		
Cindy and John Axne Joint Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Cindy and John Axne Joint Account ⇒ Cindy and John Axne Joint Account [MF] DESCRIPTION: Stocks, ETPs, mutual funds	JT	\$15,001 - \$50,000	Dividends, Interest	\$1 - \$200	\$1 - \$200
Cindy and John Axne Joint Account ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ 3M Company (MMM) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ American Intl GroupInc (AIG.WS) [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Other Equities					
Cindy Axne IRA ⇒ American Tower Corp (AMT) [RE]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Biglari Holdings Inc. Class B (BH) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ CarMax Inc (KMX) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Cerner Corporation (CERN) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Cindy Axne IRA [MF]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: IRA account with Raymond James includes stocks, REITs, mutual funds, ETPs and preferred securities					
Cindy Axne IRA ⇒ Clearbridge All Cap Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ DBL Doubleline Opportunistic Credit Fund [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Closed End Fund					
Cindy Axne IRA ⇒ DFLEX Doubleline Flexible Income [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Kraft Foods Group, Inc. (KRFT) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]		\$1,001 - \$15,000	Tax-Deferred		
College Savings Iowa 529 Plan-Aggressive Growth Age Based Plan - Moderate Growth [5P]	JT	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: IA DESCRIPTION: Aggressive Growth Age Based Plan - Moderate Growth college savings plan for son Gunnar Axne					
College Savings Iowa 529 Plan-Aggressive Growth Age Based Plan-Blended Growth [5P]	JT	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: IA DESCRIPTION: Aggressive Growth Age Based Plan-Blended Growth savings Plan for son Rafe Axne					
Creation Agents LLC ⇒ 3M Company (MMM) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ AMT [RE]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Arch Capital Group Ltd. (ACGL) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ CACG [EF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ CarMax Inc (KMX) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Cerner Corporation (CERN) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Colgate-Palmolive Company (CL) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC, 50% Interest [OL]	JT	\$100,001 - \$250,000	None		
LOCATION: West Des Moines, IA, US DESCRIPTION: Digital Design Firm					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Creation Agents LLC ⇒ Creation Agents LLC 401K [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Our personal business, Creation Agents LLC, 401K account with stocks, REITs, ETPs and preferred securities					
Creation Agents LLC ⇒ DFLEX [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ DowDuPont Inc. (DWDP) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ DSL [MF]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Illinois Tool Works Inc. (ITW) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Jefferies Financial Group Inc. (JEF) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Moody's Corporation (MCO) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ The Kraft Heinz Company (KHC) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Creation Agents LLC ⇒ Tractor Supply Company (TSCO) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC ⇒ Watsco, Inc. (WSO) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ 3M Company (MMM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ AMT [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ CACG [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ CarMax Inc (KMX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Cerner Corporation (CERN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ DFLEX [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
John Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ The Kraft Heinz Company (KHC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Voya-State of Iowa Deferred Comp Program [MF]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Cynthia Axne, State of Iowa Deferred Comp Program held by Voya Financial					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Creation Agents	spouse	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
owner/partner	Creation Agents
owner	Axne Consulting Group

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2017	Creation Agents, John Axne, Cindy Axne	leave of absence
May 2017	Cindy Axne as owner	leave of absence
April 2005	Cindy Axne & the State of Iowa	The State of Iowa 457-Employee Deferred Compensation Program Left State of Iowa employment in 2014

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- Cindy Axne IRA
- Creation Agents LLC (Owner: JT)
- John Axne IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Cindy Axne , 11/5/2020