



Filing ID #10058790

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Robert W. MacGuffie  
**Status:** Congressional Candidate  
**State/District:** CT04

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 04/29/2024  
**Period Covered:** 01/01/2023– 04/15/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AGF U.S. Market Neutral Anti-Beta Fund (BTAL) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
AGF US Mky Neutral Anti-Beta - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
AGF US Mky Neutral Anti-Beta-IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Allianz - Safe Harbor [FN]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
American General - LLIS [FN]		\$15,001 - \$50,000	Dividends, Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Bank of New York Retirement Plan [PE]		Undetermined	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Bank of New York Retirement Plan [DB]		Undetermined	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Capital One 360 Money Market [PE]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
F&G Deferred Annuity [FN]	SP	\$100,001 - \$250,000	Dividends	None	None
Fidelity VIP Growth (Variable Annuity) [FN]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Fidelity VIP Growth (Variable Annuity) [FN]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
First Internet Bank Business Money Market [BA]		\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	\$201 - \$1,000
GE Pension Plan [PE]		Undetermined	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
GE Pension Plan [DB]		Undetermined	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Genworth Universal Life [WU]		\$100,001 - \$250,000	None		
Hennessy Gas Utility Fund, Investor Class (GASFX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Invesco Aerospace & Defense - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Invesco Aerospace & Defense ETF (PPA) [EF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
Invesco Aerospace & Defense ETF (PPA) - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares 1-3 Year Treasury Bond - IRA [IH]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
ishares 1-3 Year Treasury Bond - IRA [IH]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
ishares Gold - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares Gold - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares Gold Trust Shares of the iShares Gold Trust (IAU) [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	None
iShares Inc iShares MSCI South Korea ETF (EWY) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares MSCI India - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares MSCI India - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Ishares MSCI India ETF (INDA) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
ishares MSCI South Korea - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares MSCI South Korea - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
iShares MSCI USA Min Vol Factor ETF (USMV) [EF]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
ishares MSCI USA Min Vol FCTR - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
ishares MSCI USA Min Vol FCTR - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
M&T Bank Personal Checking account [BA]		\$1,001 - \$15,000	None		
M&T Bank Personal Checking account [BA]		\$1,001 - \$15,000	None		
M&T Business Checking [BA]		\$15,001 - \$50,000	None		
M&T Money Market [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
ProShares Short High Yield (SJB) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Proshares Short High Yield - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Proshares Short High Yield - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Schwab Value Advantage Money Fund (SWVXX) [MF]		\$1 - \$1,000	Interest	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Value Advantage Money Fund (SWVXX)-IRA [IH]		\$1 - \$1,000	Dividends	\$1 - \$200	None
Schwab Value Advantage Money Fund (SWVXX)-IRA [IH]		\$1 - \$1,000	Dividends	\$1 - \$200	None
Series I U.S. Savings Bond [BA]		\$1,001 - \$15,000	Interest	None	None
Series I U.S. Savings Bond [BA]		\$1,001 - \$15,000	Interest	None	None
Synchrony High Yield Savings [BA]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Synchrony High Yield Savings [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Synchrony High Yield Savings - IRA [IH]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	None
T Rowe Price Dividend Growth [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
T Rowe Price Dividend Growth - IRA [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
T. Rowe Price Capital Appreciation Fund (PRWCX)-IRA [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
T. Rowe Price Government Money Fund (PRRXX) [MF]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Vaneck Gold Miners - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Vaneck Gold Miners - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
VanEck Gold Miners ETF (GDX) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Vanguard Dividend Growth - IRA [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Vanguard Growth & Income Fund (VQNPX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Money Market Reserves Federal Money Market Fund (VMFXX) [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Vanguard Specialized Portfolios, Vanguard Dividend Growth Fund (VDIGX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Vanguard Specialized Portfolios, Vanguard Dividend Growth Fund (VDIGX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Vanguard U.S. Growth - IRA [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Vanguard U.S. Growth Fund (VWUSX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Willis Towers Watson LTP Pension [PE]		Undetermined	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Willis Towers Watson WTW Pension [PE]		Undetermined	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
WisdomTree India Earnings - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
WisdomTree India Earnings - IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
WisdomTree India Earnings Fund (EPI) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Evergreen Insurance, LLC	Placing insurance for my sole-proprietor, Sub S Insurance agency	\$15,461.00	\$18,846.00
Korn Ferry US	spouse salary	\$53,537.00	\$107,075.00

**SCHEDULE D: LIABILITIES**

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Oxford Finance, LLC (Alexandria, VA, US)	Insurance consulting. Advice on sufficiency of insurance.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Robert W. MacGuffie , 04/29/2024