



Filing ID #10052608

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Matthew Brock  
**Status:** Congressional Candidate  
**State/District:** CA04

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2023  
**Filing Date:** 04/28/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CalPERS ⇒ CalPERS Pension 7323755715 [PE]		\$100,001 - \$250,000	Tax-Deferred		
M1 Finance ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Boeing Company (BA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Cohen & Steers Closed-End Opportunity Fund, Inc. (FOF) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ DoubleLine Income Solutions Fund Common Shares of Beneficial Interests (DSL) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Dynex Capital, Inc. (DX) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Gladstone Capital Corporation - Closed End Fund (GLAD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
M1 Finance ⇒ J.M. Smucker Company (SJM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
M1 Finance ⇒ J.M. Smucker Company (SJM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ LTC Properties, Inc. (LTC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Main Street Capital Corporation (MAIN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ McDonald's Corporation (MCD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ MUELLER WATER PRODUCTS (MWA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Prospect Capital Corporation - Closed End Fund (PSEC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Prospect Capital Corporation - Closed End Fund (PSEC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Realty Income Corporation (O) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ SPDR Gold Trust (GLD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Stag Industrial, Inc. (STAG) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Tesla, Inc. (TSLA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Union Pacific Corporation (UNP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
M1 Finance ⇒ Warner Bros. Discovery, Inc. - Series A (WBD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mission Square Retirement ⇒ Mission Square 401A Defined Cont. Plan [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: A defined benefit plan for government and non-profit organizations					
Mission Square Retirement ⇒ Mission Square 457b Deferred Comp. Plan [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: The plan is a tax-deferred retirement savings plan.					
Robinhood ⇒ American Conservative Values ETF (ACVF) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Barrick Gold Corporation Common Stock (GOLD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ BRC Inc. Class A (BRCC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Comstock Inc. (LODE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Credit Suisse X-Links Crude Oil Shares Covered Call ETN (USOI) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ First Foundation Inc. (FFWM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
Robinhood ⇒ FIRST REPUBLIC BANK (FRC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
Robinhood ⇒ FLEX LNG Ltd. Ordinary Shares (FLNG) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
Robinhood ⇒ Ford Motor Company (F) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
Robinhood ⇒ Global X NASDAQ-100 Covered Call ETF (QYLD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒		\$1 - \$1,000	Capital Gains,	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Main Street Capital Corporation (MAIN) [ST]			Dividends		
Robinhood ⇒ Norfolk Southern Corporation (NSC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
Robinhood ⇒ PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ ProShares UltraPro QQQ (TQQQ) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Realty Income Corporation (O) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Contra Costa Water District	Salary	\$51,271.00	\$153,815.00

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Vice Chair	Solano County Republican Central Committee

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

### SCHEDULE A ASSET CLASS DETAILS

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- CalPERS  
DESCRIPTION: California Public Employees' pension fund.
- M1 Finance  
LOCATION: Chicago, IL, US
- Mission Square Retirement
- Robinhood  
LOCATION: Menlo Park, CA, US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Matthew Brock , 04/28/2023