

# PERIODIC TRANSACTION REPORT

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### FILER INFORMATION

Name: Hon. Richard W. Allen

**Status:** Member **State/District:** GA12

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.95% Non-Cumulative Perpetual Preferred Stock, Series D (SCHW\$D) [ST]	P	08/31/2023	09/05/2023	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: R.W. Allen & Associates	TATUS: New UNG OF: R.W. Allen & Associates, Inc. > RWA&A - Securities				

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

#### ASSET CLASS DETAILS

- R.W. Allen & Associates, Inc. (Owner: SP) LOCATION: US
- R.W. Allen & Associates, Inc. ⇒ RWA&A Securities (100% Interest) (Owner: SP) LOCATION: Augusta/ Richmond, GA, US

## **INITIAL PUBLIC OFFERINGS**

O Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Richard W. Allen, 09/08/2023