



Filing ID #10050798

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Cindy Axne  
**Status:** Former Member  
**State/District:** IA03

## FILING INFORMATION

**Filing Type:** Terminated Filer Report  
**Filing Year:** 2023  
**Filing Date:** 01/28/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy and John Axne Joint Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Jefferies Financial Group Inc. (JEF) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 12/31/21 value under the reporting threshold; Full sale less than \$1,000					
Cindy and John Axne Joint Account ⇒ Mastercard Incorporated (MA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Progressive Corporation (PGR) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Value appreciated over \$1,000					
Cindy and John Axne Joint Account ⇒ RJ Bank Deposit Program [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy and John Axne Joint Account ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ American Tower Corporation (REIT) (AMT) [RE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Doubleline Opportunistic Credit (DBL) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Global Payments Inc. (GPN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred security		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS] DESCRIPTION: Preferred security		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Parnassus Core Equity Fund Investor Class (PRBLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Progressive Corporation (PGR) [ST]					
Cindy Axne IRA ⇒ RJ Bank Deposit Program [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Stryker Corporation (SYK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
Cindy Axne IRA ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000					
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Income Portfolio [MF]	JT	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Conservative Growth [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC [OL]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: West Des Moines/Polk, IA, US DESCRIPTION: Blended growth Digital Design Firm					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Creation Agents LLC 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ AutoZone, Inc. (AZO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Baird Core Plus Bond Fund INSTL Class (BCOIX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Danaher Corporation (DHR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Doubleline Income Solutions (DSL) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Global Payments Inc. (GPN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Keysight Technologies Inc. (KEYS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred security	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS] DESCRIPTION: Preferred security	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Institutional Class (PRILX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Post Holdings, Inc. (POST) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Principal Blue Chip Institutional Class (PBCKX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ RJ Bank Deposit Program [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Iowa State Bank Account - Checking [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Danaher Corporation (DHR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ Global Payments Inc. (GPN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Preferred security					
John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ Liberty Broadband Corporation PFD 5%; Due 3/14/2039 [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Preferred security					
John Axne IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Principal Blue Chip Institutional Class (PBCKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ RJ Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stryker Corporation (SYK) [ST]					
John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
John Axne IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ American Funds Am Balanced R4 (RLBEX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Del Ivy High Income Fund I (IVHIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Dodge & Cox Global Bond Fund (DODLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Dodge & Cox Intl Stock Fund (DODFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Victory Integrity Sm-Cp Value Fnd R6 (MVSSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Virtus Crdx Lg-Cp Val Eqty Fd I (STVTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Voya Fixed Plus III [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Voya Intermediate Bond Port I (IPIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy Axne IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]		11/23/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Danaher Corporation (DHR) [ST]		05/10/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Danaher Corporation (DHR) [ST]		11/23/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		12/23/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]		05/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023		02/15/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023		05/25/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		09/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ PayPal Holdings, Inc. (PYPL) [ST]		02/28/2022	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ PayPal Holdings, Inc. (PYPL) [ST]		11/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS] DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock		01/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Income Portfolio [MF]	JT	12/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Income Portfolio [MF]	JT	07/11/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Income Portfolio [MF]	JT	02/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Conservative Growth [MF]	JT	07/5/2022	P	\$50,001 - \$100,000	
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Conservative Growth [MF]	JT	07/11/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Conservative Growth [MF]	JT	07/29/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -2 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	07/5/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	11/23/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Baird Core Plus Bond Fund INSTL Class (BCOIX) [MF]	SP	06/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ BellRing Brands, Inc. (BRBR) [ST]	SP	06/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	01/18/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Danaher Corporation (DHR) [ST]	SP	05/10/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Danaher Corporation (DHR) [ST]	SP	11/23/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Creation Agents LLC 401K ⇒ Global Payments Inc. (GPN) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	12/23/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	05/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Keysight Technologies Inc. (KEYS) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023	SP	02/15/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023	SP	05/25/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	08/12/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	09/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	02/10/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	02/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒	SP	11/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lockheed Martin Corporation (LMT) [ST]					
Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Institutional Class (PRILX) [MF]	SP	11/18/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	02/28/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	11/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	01/14/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	01/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	12/23/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Principal Blue Chip Institutional Class (PBCKX) [MF]	SP	01/14/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/13/2022	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	01/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	05/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Danaher Corporation (DHR) [ST]	SP	05/10/2022	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	12/23/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	05/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023	SP	02/15/2022	P	\$1,001 - \$15,000	
John Axne IRA ⇒ KKR & Company Inc. 6% MCONV PFD C 6% [CS] DESCRIPTION: Preferred stock - Maturity date 09/15/2023	SP	05/25/2022	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	09/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	02/28/2022	P	\$1,001 - \$15,000	
John Axne IRA ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	11/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	12/23/2022	P	\$1,001 - \$15,000	
John Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS] DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock	SP	01/15/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Western Asset Core Plus Bond Fund CLI (WACPX) [MF]	SP	05/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Creation Agents LLC	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2017	Creation Agents, LLC & Cynthia Axne	Leave of Absence
April 2005	Cynthia Axne and the State of Iowa - 457 Employee Deferred Compensation Program	Defined contribution plan that provides service retirement benefits.
COMMENTS: Left State of Iowa employment in 2014		

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- Cindy Axne IRA
- College Savings Iowa 529 Plan -1 (Owner: JT)  
LOCATION: IA
- College Savings Iowa 529 Plan -2 (Owner: JT)  
LOCATION: IA
- Creation Agents LLC 401K (Owner: SP)
- John Axne IRA (Owner: SP)
- State of Iowa Deferred Comp Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent



child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Cindy Axne , 01/28/2023