



Filing ID #10038191

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Neal Patrick Dunn MD, FACS
Status: Member
State/District: FL02

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #1 ⇒ AB High Income Fund Advisor Share N/L (AGDYX) [MF] DESCRIPTION: NA		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF] DESCRIPTION: See Schedule B		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF] DESCRIPTION: See Schedule B		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ American Outdoor Brands Corporation [MF] DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Apple Incorporated [MF] DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #1 ⇒ Clearbridge Aggressive Growth Fund Class II [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Delaware small cap core fund institutional class N/L (DCCIX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Delaware Value Fund Institutional Class N/L [MF] DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Eventide Gilead Fund Class I N/L [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Johnson & Johnson [MF] DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF] DESCRIPTION: See Schedule B		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒		None	Capital Gains	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Oakmark International Fund Investor Class N/L (OAKIX) [MF] DESCRIPTION: See Schedule B				\$15,000	
IRA #1 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Raymond James Bank [IH] DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Summit Financial Enterprises Incorporated [OT] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF] DESCRIPTION: See Schedule B		\$250,001 - \$500,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Tweedy Browne Global Value Fund N/L [MF] DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA #1 ⇒		\$50,001 -	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF] DESCRIPTION: See Schedule B		\$100,000			
IRA #1 ⇒ Wells Fargo Special Mid Cap Value FD Class I N/L (WFMIX) [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA #2 ⇒ Raymond James Bank [IH] DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ Southern Company [OT] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #2 ⇒ Summit Financial Enterprises Incorporated [OT] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF] DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Raymond James Deposit [IH] DESCRIPTION: NA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Southern Company (SO) [OT] DESCRIPTION: See Schedule B	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Summit Financial Enterprises Incorporated [OT] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF] DESCRIPTION: NA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: See Schedule B					
IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
IRA #4 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NA					
IRA #4 ⇒ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [MF]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
IRA #4 ⇒ Columbia Seligman Global Technology FD Inst CL N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
IRA #4 ⇒ Delaware value fund institutional class N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NA					
IRA #4 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
IRA #4 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NA					
IRA #4 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NA					
IRA #4 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MSIF International Advantage Fund Class I N/L (MFAIX) [MF] DESCRIPTION: See Schedule B					
IRA #4 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF] DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #4 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L(PRNHX) [MF] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF] DESCRIPTION: See Schedule B		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF] DESCRIPTION: See Schedule B		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Leah O Dunn IRA ⇒ Southern Company (SO) [OT] DESCRIPTION: See Schedule B	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ USAA Whole Life Insurance Policy [OT] DESCRIPTION: NA		\$250,001 - \$500,000	None		<input type="checkbox"/>
Options to purchase shares of Summit Financial Enterprises [OP] DESCRIPTION: Options to purchase shares of Summit Financial Enterprises; strike price \$10.00, exp. date April 8, 2018.		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SUMMIT BANK ACCOUNT [BA]	JT	\$50,001 -	Interest	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000		\$2,500	
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L [MF] DESCRIPTION: no	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L [MF] DESCRIPTION: no	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP] LOCATION: Panama City/ Bay, FL, US DESCRIPTION: na	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Small Strip Mall [RP] LOCATION: Panama City/ Bay, FL, US DESCRIPTION: na	SP	\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ SUMMIT BANK ACCOUNT [OT] DESCRIPTION: no	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC ⇒ Miniature Golf Course [RP] LOCATION: Panama City/ Bay, FL, US	SP	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
(CSGZX) [MF]					
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY Emerging Markets Equity Fund Class I N/L(IPOIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: See Schedule B					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ NWM Life Insurance Policy [OT] DESCRIPTION: NA		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Pimco Corporate & Income OPP F (PTY) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Summit Financial Enterprises Inc. [PS] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF] DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF] DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC ⇒ Patient Practitioners LLC [OT] DESCRIPTION: NA		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Jenks Ave Commercial Rental Property [RP] LOCATION: Panama City/ Bay, FL, US DESCRIPTION: NA		\$100,001 - \$250,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ SUMMIT BANK ACCOUNT [BA] DESCRIPTION: NA		\$50,001 - \$100,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Wilson Ave Commercial Rental Property [RP] LOCATION: Panama City/ Bay, FL, US DESCRIPTION: NA		\$100,001 - \$250,000	Rent	Not Applicable	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Commercial Rental Building [RP] LOCATION: Panama City/ Bay, FL, US DESCRIPTION: NA		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ SUMMIT BANK ACCOUNT [BA] DESCRIPTION: NA		\$1,001 - \$15,000	None		<input type="checkbox"/>
Warrants to purchase shares of Summit Financial Enterprises [OP] DESCRIPTION: Warrants to purchase shares of Summit Financial Enterprises; strike price \$10.00, exp. date April 2018.		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		12/14/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		07/24/2017	P	\$15,001 - \$50,000	
IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		05/30/2017	P	\$15,001 - \$50,000	
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		11/30/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		08/31/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		05/31/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		02/28/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ AMG Yacktman Fund Class I N/L [MF]		12/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [IR]		05/30/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Delaware small cap core fund institutional class N/L (DCCIX) [IR]		12/26/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Eventide Gilead Fund Class I N/L (ETILX) [IR]		05/30/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Fidelity Advisor Leveraged Company Stock Fund Class I N/L [MF]		12/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Franklin Income Fund Advisors Class N/L (FEIAX) [IR]		04/24/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [IR]	04/24/2017	P	\$15,001 - \$50,000	
IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]	12/18/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]	05/30/2017	P	\$50,001 - \$100,000	
IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [IR]	12/21/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ MFS International Value Fund Class I N/L(MINIX) [IR]	12/15/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [IR]	05/30/2017	P	\$50,001 - \$100,000	
IRA #1 ⇒ Oakmark International Fund Investor Class N/L (OAKIX) [IR]	05/30/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Olin Corporation Com Par [MF]	11/15/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [IR]	05/30/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [IR]	12/21/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Sturm Ruger & Company Incorporated (RGR) [IR]	04/28/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [IR]	04/20/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [IR]		07/24/2017	P	\$50,001 - \$100,000	
IRA #1 ⇒ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [IR]		12/18/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ T.Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [IR]		12/19/2017	P	\$15,001 - \$50,000	
IRA #1 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		05/30/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [IR]		12/21/2017	P	\$1,001 - \$15,000	
IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [IR]		05/30/2017	P	\$15,001 - \$50,000	
IRA #1 ⇒ Wells Fargo Special Mid Cap Value FD Class I N/L (WFMIX) [IR]		05/30/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA #2 ⇒ Southern Company (SO) [IR]		05/30/2017	P	\$15,001 - \$50,000	
IRA #3 ⇒ Southern Company (SO) [IR]	SP	05/30/2017	P	\$15,001 - \$50,000	
IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [IR]		12/13/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ AMG Yacktam Fund Class I N/L (YACKX) [IR]		04/24/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #4 ⇒ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [IR]		05/30/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #4 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [IR]		04/24/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [IR]		04/24/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ MSIF International Advantage Fund Class I N/L (MFAIX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ Oakmark International Fund Investor Class N/L (OAKIX) [IR]		05/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #4 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [IR]		05/30/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [IR]		12/19/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L (PRNHX) [IR]		12/19/2017	P	\$1,001 - \$15,000	
IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		05/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		04/24/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
Leah O Dunn IRA ⇒	SP	06/29/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Southern Company (SO) [IR]					
Summit Financial Enterprises, Inc. [PS]		07/12/2017	P	\$50,001 - \$100,000	
DESCRIPTION: Exercise of options, strike price \$10.00, expiration date April 8, 2018					
Summit Financial Enterprises, Inc. [PS]		07/12/2017	P	\$50,001 - \$100,000	
DESCRIPTION: Exercise of warrants, strike price \$10.00, expiration date April 8, 2018					
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ AB High Income Fund Advisor Share N/L [MF]	SP	08/10/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L [MF]	SP	08/10/2016	P	\$15,001 - \$50,000	
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Ivy High Income Fund Advisor Shares N/L [MF]	SP	08/10/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L [MF]	SP	08/10/2016	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		12/14/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		09/25/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [MF]		12/12/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [MF]		09/25/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		08/2/2017	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY Emerging Markets Equity Fund Class I N/L(IPOIX) [MF]		08/30/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		08/30/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		12/18/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		12/18/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		09/25/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		12/22/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		09/25/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		08/30/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Pimco Corporate & Income OPP F (PTY) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒		12/21/2017	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]				
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]	09/25/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]	12/19/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]	08/30/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]	09/25/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF]	08/2/2017	P	\$50,001 - \$100,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Raymond James IRA	IRA Distribution	\$7,693.00
Dunn Properties LLC	Spouse's Director Fee	N/A
Summit Bank, NA	Director Fee	\$9,200.00
COMMENTS: Amount earned as Director Fee, along with interest earned on fee, has been disgorged to Summit Bank NA.		
Summit Financial Enterprises	Stock award	\$5,031.00
COMMENTS: For services prior to entering Congress		
Summit Financial Enterprises	Exercise of Stock Warrants	\$33,531.00
COMMENTS: For services prior to entering Congress		
Summit Financial Enterprises	Exercise of Stock Options	\$25,550.00
COMMENTS: For services prior to entering Congress		

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Prime Meridian Bank	June 2016	Mortgage on strip mall in Gulf Management LLC held in Leah Dunn Irrev Trust	\$250,001 - \$500,000
	Summit Bank	August 2016	Home equity line	\$.01
COMMENTS: Paid off				

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Dunn Properties LLC
Partner	Shipwreck Golf, LLC
Partner	Gulf Management, LLC
Partner	Gulf Resources, LLC
Chairman, Board of Directors	Summit Bank
Chairman, Board of Directors	Summit Financial Enterprises, LLC

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2015	Summit Financial Enterprises, Inc.; filer	Stock award agreement for distribution of shares of Summit Financial Enterprises pursuant to defined schedule.
January 2016	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
September 2017	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
COMMENTS: All income from vested options disgorged		
April 2008	Summit Financial Enterprises, Inc.; filer	Stock option agreement for vesting of options of Summit Financial Enterprises pursuant to defined schedule.
April 2008	Summit Financial Enterprises, Inc.; filer	Warrant agreement for vesting of warrants of Summit Financial Enterprises pursuant to defined schedule.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education	08/6/2017	08/14/2017	Panama City, FL - Tel Aviv - Panama City, FL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Member transportation \$7,140.25, lodging \$2,044, meal \$966. Relative transportation \$7,140.25, lodging \$152, meal \$966.							

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<div><ul style="list-style-type: none">◦ IRA #1 DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth◦ IRA #2 DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth◦ IRA #3 (Owner: SP) DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth◦ IRA #4 DESCRIPTION: Held until 2019 at Summit Bank; moved late 2019 to BancorpSouth◦ Leah O Dunn IRA (Owner: SP)◦ Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest) DESCRIPTION: OWNS 100% of USAA Life Insurance Policy.◦ The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC (20% Interest) (Owner: SP) LOCATION: Panama City/Bay, FL, US DESCRIPTION: OWNS a vacant industrial lot & a Raymond James investment account◦ The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC (50% Interest) (Owner: SP) LOCATION: Panama City/Bay, FL, US DESCRIPTION: Holds a strip mall with multiple tenants◦ The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC (16% Interest) (Owner: SP) LOCATION: Panama City/Bay, FL, US DESCRIPTION: owns a miniature golf course. Owned 1/6th by Trust◦ The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 (100% Interest)◦ The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC (25% Interest) LOCATION: Panama City/Bay, FL, US DESCRIPTION: Holds shares of interest in other LLC's - Worldwide Equine Imaging, Global PET Imaging, Patient Practitioners◦ The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC (25% Interest) LOCATION: Panama City/Bay, FL, US DESCRIPTION: OWNS 2 commercial rental properties◦ The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC (50% Interest) LOCATION: Panama City/Bay, FL, US DESCRIPTION: OWNS a commercial retail building</div>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Neal Patrick Dunn MD, FACS , 08/13/2020