

# *Bash: AWK*

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# Lecture Objectives

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After this lecture, you should be able to:

- Write simple awk programs

# AWK

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- ❑ AWK is a “little language” for text processing
- ❑ AWK = Aho/Weinberger/Kernighan
- ❑ Powerful and easy-to-use language
- ❑ Features include pattern matching and associative arrays
- ❑ Comes in several flavors, including ‘gawk’
- ❑ Essential tool for command-line power users

# Example 1 – printing fields

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```
$ ls -l > temp.txt

$ head -3 temp.txt
total 32
-rw-r--r--. 1 brun1992 shell_faculty 312 Oct  1 12:39 Makefile
-rw-r--r--. 1 brun1992 shell_faculty   0 Oct  1 13:50 temp.txt

$ awk '{ print $9", "$5 }' temp.txt
,
Makefile,312
temp.txt,0
times.awk,131
times.csv,115
times.txt,419
tlb,6531
tlb.c,1848
tlb-start.c,772
```


`$i` refers to the *i*th field (starting at 1)

`$0` refers to the entire input record

## Example 2 – variables, END section

---

```
$ head -3 temp.txt
total 32
-rw-r--r--. 1 brun1992 shell_faculty 312 Oct 1 12:39 Makefile
-rw-r--r--. 1 brun1992 shell_faculty 0 Oct 1 13:50 temp.txt
$ awk '{sum += $5} END{print sum}' temp.txt
10128
$
```



you can also use a 'BEGIN' section

You don't need to use \$ in front of variables, like you do with bash.

We didn't need to initialize 'sum'.

# What does this do?

---

```
{line = $0}
```

```
END {print line}
```

It prints the last line in the file.

# Example 3 – awk built-in variables

---

```
$ head -3 temp.txt
total 32
-rw-r--r--. 1 brun1992 shell_faculty 312 Oct 1 12:39 Makefile
-rw-r--r--. 1 brun1992 shell_faculty 0 Oct 1 13:50 temp.txt
$ awk '{if (NF > 2) sum += $5} END{print sum}' temp.txt
10128
$
```

**NF** is a built-in variable – number of fields in input record

Some other built-in variables:

**NR** – number of current input record

**FS** – field separator character (default blank and tab)

# What does this do?

---

```
{ print "a" }  
{ print "b" }
```

Input file:

foo  
bar  
baz

output:

a  
b  
a  
b  
a  
b

AWK processes a file line-by-line:

- for each line, starting with the first
  - run the AWK script on that line



# What does this do?

---

```
{ print NR": "$0 }
```

It numbers the lines in the input file.

# Example 4 – pattern matching

---

```
$ head -6 alloc-hw-1.txt
Free List [ Size 3 ]: [ addr:1000 sz:4 ] [ addr:1004 sz:5 ] [
addr:1009 sz:91 ]

ptr[2] = Alloc(3) returned 1000 (searched 3 elements)
Free List [ Size 3 ]: [ addr:1003 sz:1 ] [ addr:1004 sz:5 ] [
addr:1009 sz:91 ]

Free(ptr[2]) returned 0
$ awk '/^ptr.*returned/ { print $5 }' alloc-hw-1.txt
1000
1009
1019
1000
```

pattern { action-statements }

One kind of pattern: /regular expression/

# Example 5 – matching on a field

---

```
$ head -6 alloc-hw-1.txt
Free List [ Size 3 ]: [ addr:1000 sz:4 ] [ addr:1004 sz:5 ] [
addr:1009 sz:91 ]
```

```
ptr[2] = Alloc(3) returned 1000 (searched 3 elements)
Free List [ Size 3 ]: [ addr:1003 sz:1 ] [ addr:1004 sz:5 ] [
addr:1009 sz:91 ]
```

```
Free(ptr[2]) returned 0
```

```
$ awk '$4 ~ /Size/ { print $5 }' alloc-hw-1.txt
```

```
3
3
4
4
4
3
4
```

Some other kinds of patterns:

- pattern `$4 == "Size"` succeeds if field 4 is exactly 'Size'
- pattern `$4 ~ /Size/` succeeds if field 4 contains 'Size'
- pattern `$4 !~ /Size/` succeeds if field 4 does not contain 'Size'

# Structure of an AWK program

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AWK programs mostly consist of pattern-action statements:

```
pattern { action-statements }
```

The pattern is optional.  
Some typical patterns:

<code>/^[0-9]/</code>	line begins with a digit
<code>! /---/</code>	line does not contain three dashes
<code>\$1 == 'foo'</code>	first field is 'foo'
<code>\$2 ~ /foo/</code>	second field contains 'foo'
<code>\$4 !~ /baz/</code>	fourth field does not contain 'baz'

# Example 6 – awk scripts

---

```
$ cat addr.awk
# get address of returned memory
/^ptr.*returned/ {
    print $5
}
$ awk -f addr.awk alloc-hw-1.txt
1000
1009
1019
1000
1000
```

If you added this shebang line to the top of the script  
`#!/usr/bin/awk -f`  
and gave the script execute permission, you could write  
`$ ./addr.awk alloc-hw-1.txt`

# Example 7 – associative arrays

```
$ ps aux | head -3
USER      PID %CPU %MEM    VSZ   RSS TTY      STAT START   TIME COMMAND
root         1  0.0  0.0  2900   708 ?        Ss   Sep12   2:37 /sbin/init
root         2  0.0  0.0     0     0 ?        S    Sep12   0:05 [kthreadd]
$ cat user-proc-cnt.awk
{
    cnt[$1]++
}
END {
    for (name in cnt) print name": "cnt[name]
}
$ ps aux | awk -f user-proc-cnt.awk | head -5
chan1722: 2
bb: 4
rpc: 1
brun1992: 7
bail5019: 2
$
```

When the awk program finished, we have:  
cnt[chan1722] = 2,  
cnt[bb] = 4,  
cnt[rpc] = 1, etc.

# Example 8 – detail of the script

---

```
{  
  cnt[$1]++  
}  
END {  
  for (name in cnt)  
    print name": "cnt[name]  
}
```

The index of an array can be any string.

Understand array cnt as a hash table.

# string operators

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**index**(s1, s2) – position of string s2 in s1; returns 0 if not present

**length**(s) – string length

**split**(s, a, c) – splits s into a[1],...,a[n] on character c, returns n

**substr**(s,m,n) – n-character substring of s starting at position m

how to find out more?



# if statements

---

```
{  
  x = $2  
  if ($2 > 100) {  
    print $2  
  } else {  
    printf("sum: %d\n", $2 + $3)  
  }  
}
```

variables don't have to be declared

'if' statements look like if statements in C

AWK statements don't need semicolons

AWK has printf

# loops

---

```
{  
  n = split($2, a, "/")  
  for (i = 1; i <= n; i++) {  
    print a[i]  
  }  
}
```

'for' statements  
look like if  
statements in C

```
$ cat temp.txt  
bruns /home/CLASSES/brunsglenn  
$ awk -f looptest.awk temp.txt
```

```
home  
CLASSES  
brunsglenn  
$
```

# using command-line arguments

---

```
{  
  i = FIELD  
  print $i  
}
```

FIELD happens to be passed in from command-line

Note: we can use a variable when using \$i

```
$ cat temp1.txt  
foo 55 2  
bar 160 3  
$ awk -f testfield.awk -v FIELD=1 temp1.txt  
foo  
bar  
$ awk -f testfield.awk -v FIELD=2 temp1.txt  
55  
160
```

# Summary

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- ❑ AWK is a little language for text processing
- ❑ key features include pattern matching and associative arrays
- ❑ AWK is fast; a typical use is to preprocess files with  $> 1$  million lines
- ❑ Commands introduced in this lecture:
  - awk