



Parallel sorting algorithms Theory and implementation

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Course Parallel Programming - January 8th 2023 -

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- 2 Parallel sort algorithms

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- 4 Bitonic sorting algorithm
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Overview

- 1 Theory of parallel sorting algorithms
- 2 Parallel sort algorithms

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- 4 Bitonic sorting algorithm
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Complexity of sorting algorithms

Basic operations

- Compare algorithm: Comparaison algorithm complexity is supposed O(1). But in distributed parallel context, one must
 consider the distribution of the initial data to insert the cost of data exchange between processes!
- Exchange algorithm: Exchange algorithm complexity is supposed O(1). But same consideration to do as compare algorithm:
- Sequential "compare—and—exchange" algorithm :

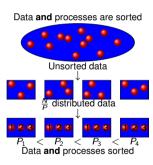
```
if (a>b) { // Comparaison
    // Exchange
    tmp = a;
    a = b;
    b = tmp; }
```





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Potential speed-up



- Best sequential sorting algorithms (for arbitrary sequences of numbers) have average time complexity O(n log n)
- hence, the best speedup one can except from using n processors is ^{O(n log n)}/_n = O(log n)
- there are such parallel algorithms, but the hidden constant is very large (F. Thomson Leighton: Introduction to parallel algorithms and architectures (1991))
- Generally, a pratical useful O(log n) algorithm may be difficult to find.

Beware, it may be a bad idea to take n processes to sort n data (granularity).





Parallelization of a naive algorithm

Naive algorithm

- Count the number of numbers that are smaller than a number a in the list
- this gives the position of a in the sorted list
- this procedure has to be repeated for all elements of the list; hence the time complexity is $n(n-1) = O(n^2)$ (not so good sequential algorithm)

Implementation

```
for ( i = 0; i < n; i++ ) {// For each value
  x = 0;
  for ( j = 0; j < n; j++ )// Computing the new pos.
   if (a[i] > a[j]) x++;
  b[x] = a[i];
}
```

Work well if there are no repetitions of the numbers in the list (in the case of repetitions one has to change slightly the code).





Rank sort: Parallel code

Embarrasingly "ideal" algorithm

Parallel code, using n processes (for n values to sort)

```
x = 0;
for ( j = 0; j < n; j++ )
  if ( a[rank] > a[j] ) x++;
b[x] = a[rank];
```

Complexity

- n processors work in parallel to find the ranks of all numbers of the list;
- Parallel time complexity is O(n), better than any sequential sorting algorithm!
- Usable for GPGPU units.





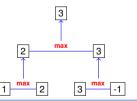


More parallelization...

Parallel code using n^2 processes (for n values to sort)

Parallel algorithm

- In the case n² processes may be used, the comparison of each a[0],...,a[n-1] with a[i] may be done in parallel as well
- Incrementing the counter is still sequential, hence the overall computation requires 1 + n steps;
- If a tree structure is used to increment the counter, then the overall computation time is $O(\log_2 n)$



(but, as one expects, processor efficiency is very low) $% \left(\frac{1}{2}\right) =\left(\frac{1}{2}\right) \left(\frac{1}$

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There are just theorical results: it is not efficient to use n or n^2 processors to sort n numbers.





Data partitionning

Context

- Usually the number *n* of values is much larger than the number *p* of processes;
- In such cases, each process will handle a part of the data (a sublist of the data)

Distributed sorted container

- local container is sorted;
- if $p_i < p_j$ then $\forall a_i \in p_i, \forall a_j \in p_j, a_i \leq a_j$

Global scheme of parallel sort algorithm

For a process:

- Sort his local data:
- Run a merge sort algorithm to concatenate its list with that received from another process;
- Keep the bottom half (or the top half) of the sorted list.







Parallel compare and exchange operations

Asymmetric algorithm

- Process p_i sends local value A to process p_j;
- Process p_i compares value A with some local values B_i;
- Send the B_j which are larger (or lesser) than A. If none B_j is larger (or lesser) than A, sends back A;

Symmetric algorithm

- Processes p_i and p_j sends some value to the other;
- Each process compares his value with the received value;
- Each process keeps his value or the received value relative to the comparaison result;

Remarks

- Data exchanges between processes is very expensive, so find some algorithms which minimize data exchanges;
- Generally, the receive operation doesn't know the number of values to receive

 one must probe the received message to

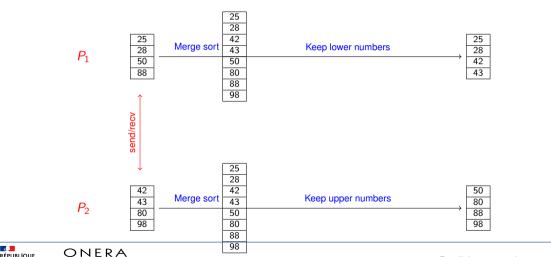
 get the number of data to receive, allocate the relative buffer and receive the data!







Scheme of a general algorithm for parallel sort algorithm



THE FRENCH AEROSPACE LAB

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Sequential bubble sort algorithm

Bubble sort algorithm

- Simplest, but not so efficient sequential sorting algorithm;
- Compare/exchange complexity :

$$\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2} = O(n^2)$$



Figure – Analogic bubble sort

```
for (int i=n-1; i>0; --i)
  for (int j=0; j<i; ++j) {
    k = j+1;
    if (a[j]>a[k]) std::swap(a[j],a[k]);
```





Odd-Even sort algorithm

- Parallelized bubble sort
- Based on idea that the bodies of the main loop may be overlapped

"scalar" Algorithm: Iteration between even and odd phase

Even phase





```
if (rank%2==0) {
  recv(&temp, (rank+1)%nbp);
  send(&value,(rank+1)%nbp);
  if (temp < A) A = temp; }</pre>
```

```
if (rank%2==1) {
  send(&value,rank-1);
  recv(&temp, rank-1);
  if (temp > A) A = temp;
}
```

Odd phase







```
if (rank%2==0) {
  recv(&temp, (rank+nbp-1)%nbp);
  send(&value,(rank+nbp-1)%nbp);
  if (temp > A) A = temp; }
```

```
if (rank%2==1) {
  send(&value,(rank+1)%nbp);
  recv(&temp, (rank+1)%nbp);
  if (temp < A) A = temp; }</pre>
```





Example of even-odd parallel bubble sort

Example: Sorting 8 numbers on 8 processes

Step	P_0		P_1		P_2		P_3		P_4		P_5		P_6		P_7
0	4	\leftrightarrow	2		7	\leftrightarrow	8		5	\leftrightarrow	1		3	\leftrightarrow	6
1	2		4	\leftrightarrow	7		8	\leftrightarrow	1		5	\leftrightarrow	3		6
2	2	\leftrightarrow	4		7	\leftrightarrow	1		8	\leftrightarrow	3		5	\leftrightarrow	6
3	2		4	\leftrightarrow	1		7	\leftrightarrow	3		8	\leftrightarrow	5		6
4	2	\leftrightarrow	1		4	\leftrightarrow	3		7	\leftrightarrow	5		8	\leftrightarrow	6
5	1		2	\leftrightarrow	3		4	\leftrightarrow	5		7	\leftrightarrow	6		8
6	1	\leftrightarrow	2		3	\leftrightarrow	4		5	\leftrightarrow	6		7	\leftrightarrow	8
7	1		2	\leftrightarrow	3		4	\leftrightarrow	5		6	\leftrightarrow	7		8





Odd-even parallel algorithm per block

Per block algorithm

- Replace a value per process with a sorted set of values per process
- Use sort-fusion algorithm to exchange values
- Data comparaison complexity:
 - $\frac{N}{nbp}\log_2\left(\frac{N}{nbp}\right) + (nbp 1).\frac{2N}{nbp}$
- Data communication complexity : $(nbp 1) \cdot \frac{2N}{nbp}$

Implementation

```
sort(values):// Quick sort of local values
for (it=0; it<nbp-1; ++it) {// Odd-even algorithm</pre>
  if (it is odd) {
    if (rank is even and rank > 0) {
      receive(buffer, rank-1); send(values, rank-1);
      values = fusionSort(buffer, values, keepMax);
    } else if (rank is odd and rank < nbp-1) {</pre>
      send(values, rank+1); recv(buffer, rank+1);
      values = fusionSort(buffer, values, keepMin);
  } else if (it is even) {
    if (rank is even and rank < nbp-1) {
      recv(buffer, rank+1); send(values, rank+1);
      values = fusionSort(buffer, values, keepMin);
    } else if (rank is odd) {
      send(values, rank-1): recv(buffer, rank-1):
      values = fusionSort(buffer, values, keepMax);
```



Two dimensional sorting

Basic idea

- Look at the array as a two-dimensional array (one row per process)
- The goal is to sort this 2D array in snakelike style: even rows increasing, odd rows decreasing;
- Two phases: In even phase, sort per row, in odd phase,, sort per column increasing from top to bottom;
- After $log_2(N) + 1$ phases, the array is snakelike-style sorted.

Example

4	14	8	2	
10	3	13	16	Original number
7	15	1	5	Original number
12	6	11	a	

- Embarrassingly parallel algorithm for shared memory!
- But not well adapted for distributed parallel architecture as is;
- How change this algorithm for distributed parallel architecture?







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Example

2	4	8	14	
16	13	10	3	Phase 1 – row sort
1	5	7	15	1 11400 1 1011 0011
		0		

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Example

1	4	7	3	
2	5	8	6	Phase 2 – col sort
12	11	9	14	Filase 2 – Coi soit
16	13	10	15	

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Example

1	3	4	7	
8	6	5	2	Phono 2 : row cort
9	11	12	14	Phase 3 : row sort
	15			

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Example

1	3	4	2	
8	6	5	7	Phase 4 : col cort
9	11	12	10	Phase 4 : col sort
10	15	10	4.4	

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Example

1	2	3	4	
8	7	6	5	Final 5 : row sort
9	10	11	12	Final 5 . Tow Sort
16	15	14	13	

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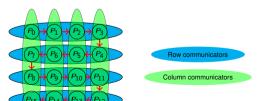


Shear sort algorithm for parallel distributed memory architecture

Implementation ideas

- Same principal as odd-even algorithm: replace a value with some sets of values S_i (one set per process);
- Define a relation order: $S_i < S_i$ iff $\max(S_i) < \min(S_i)$ (In set, values ordered as increasing order)
- Use odd-even algorithm to parallelize the phase of sorting per row or column;
- Grouping processes in new communicators per rows and per columns:
- Play with rank numbering to alternate between increasing order and decreasing order for rows:

Processes repartition



- Use MPI_Comm_split(comm.color.kev.&newcomm) to define row and columns communicators:
- Processes calling this function with same color are inside the same new communicator:
- key is a value to numbering the processes inside the new communicator.

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Reminder

- Optimal sequential sorting algorithm $(\mathcal{O}(n \log_2(n)))$ based on divide-and-conquer algorithm class;
- Select a number r called pivot and split the list into two sublists: one with all elements at most equal to r, the other holding
 all elements greater than r;
- This procedure is recursive, applied till one element lists are obtained (which are sorted)
- Example :

```
4 2 7 8 5 1 3 6
```

```
void quicksort( T* list, T* start, T* end ) {
   auto pivot = choosePivot(start,end);
   if (start < end) {
      split(list, start, end, pivot);
      quicksort(list, start, pivot-1);
      quicksort(list,pivot+1, end );
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```







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```







Naive parallelization of quicksort algorithm

Ideas

- The class of quick-sort algortihm suggests to apply a divide-and-conquer parallelization method;
- The main problem of this approach is that the tree distribution (induced by the lengths of the sublists) heavily depends on pivot selection; in the worst case, the tree may consists of a single path (as a list);
- Analysis: provided an equal distribution of values within sublists is assured, one gets:
 - Comparaisons : $n + \frac{n}{2} + \frac{n}{4} + \cdots \approx 2n$
 - Communications: $t_s + \frac{n}{2} t_d^{-1} + t_s + \frac{n}{4} t_d + \cdots \approx \log_2(n) t_s + n t_d$ where t_s is time to start a communication and t_d the time to transfert one element to another process.
- But only last iteration uses full parallelization!







Binary numbering of Hypercube



Figure – Dimension 0







Binary numbering of Hypercube



Figure - Dimension 1







Binary numbering of Hypercube



Figure – Dimension 2



Binary numbering of Hypercube

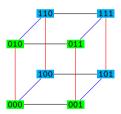


Figure - Dimension 3



Hyperquick sort algorithm

Binary numbering of Hypercube

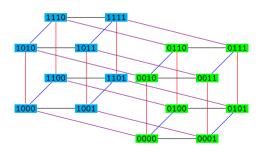


Figure - Dimension 4





Hyper quick sort algorithm (2)

Numbering vertices of hyper-cube

- The binary numbers of two linked nodes have a difference of one bit;
- The distance between two nodes in a hypercube (minimal number of nodes to access to go from first node to second node) is the number of bit which differe in their binary number;
- It's the Gray code numbering.

Ideas of the hyper quick sort algorithm

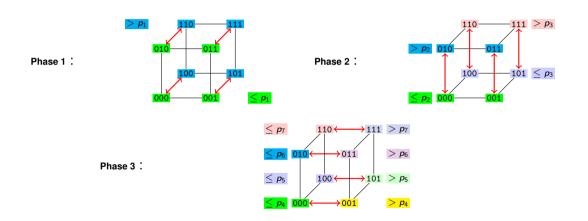
- Initially, Data are distributed across all processes;
- · Each process sorts its local data;
- Loop on dimension of the hypercube and for dimension d, consider pair of processes $(p; p + 2^d)$
- Process p choose his median value as pivot and send value lesser than pivot to process $p + 2^d$;
- Process $p + 2^d$ receive pivot and data from process p and keep value greater than pivot;
- Each process keep sorted value, using fusion sort algorithm to keep sorting.







Illustration of hyper quick sort









Hyperquicksort : complexity analysis

- Suppose we run algorithm on $nbp = 2^d$ processes (hypercube dimension d);
- Each process holds initially $NI = \frac{N}{nbp}$ values;
- Initial sorting: NI. log₂(NI) comparaisons;
- **Pivot selection :** $\mathcal{O}(1)$ (one takes middle list element) for each dimension;
- Pivot broadcasting :
 - Broadcast one pivot in a k hypercube : k(t_s + t_d);
 - For all iterations : $(d + \cdots + 1)(t_s + t_d) = \frac{d(d-1)}{2}(t_s + t_d)$ compaisons;
- Split list from pivot value : For sorted list of size x, $log_2(x)$ comparaisons;
- Exchange part of list : To exchange $\frac{x}{2}$ data : $2(t_s + \frac{x}{2}t_d)$
- Fusion merge sort : x/2 comparaisons

Total (for ideal balance)

- $N_l \cdot \log_2(N_l) + \left(\log_2(N_l) + \frac{N_l}{2}\right) \cdot d$ comparaisons
- $\left(\frac{d(d-1)}{2}+2d\right)t_s+\left(\frac{d(d-1)}{2}+d.N_I\right).t_d$ for message;







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Bitonic sequences

Definition of a bitonic sequence

A sequence of values {a_i}_{i∈[1;N]} would can split in two subsequences (of consecutive numbers), one increasing and one decreasing; e.g:

$$\exists i \in [1; N] \text{ verifying } \left\{ egin{array}{l} a_1 \leq a_2 \leq \cdots \leq a_i \ a_i \geq a_{i+1} \geq \cdots \geq a_N \end{array}
ight.$$

Example: 3, 5, 8, 19, 17, 14, 12, 11

· Or a sequence which may be brought to such this form by a circular shifting of the elements of the sequence

Example: 12, 11, 3, 5, 8, 19, 17, 14

Remarks

- The first subsequence can be increasing or decreasing.
- So a bitonic sequence (without considering circular shifting) can be increasing-decreasing or decreasing-increasing.
- A monotone bitonic sequence is a sorted sequence (increasing or decreasing);
- All sequences with three or less elements are bitonics.







Splitting a bitonic sequence

Bitonic split

Let's $\{a_i\}_{i\in[1:N]}$ a bitonic sequence. So the subsequences

$$\left\{ \begin{array}{ll} \left\{b_i\right\}_{i\in\left[1;\frac{N}{2}\right]} &=& \left\{\min(a_1,a_{1+\frac{N}{2}}),\min(a_2,a_{2+\frac{N}{2}}),\cdots,\min(a_{\frac{N}{2}-1,a_N})\right\} \\ \left\{c_i\right\}_{i\in\left[1;\frac{N}{2}\right]} &=& \left\{\max(a_1,a_{1+\frac{N}{2}}),\max(a_2,a_{2+\frac{N}{2}}),\cdots,\max(a_{\frac{N}{2}-1,a_N})\right\} \end{array} \right.$$

- are bitonic sequences;
- $\forall i \in \left[1; \frac{N}{2}\right]; b_i \leq c_i$

Example

1 5 8 7 6 4 3 2 $\stackrel{\text{Split}}{\Longrightarrow}$ 1 4 3 2 6 5 8







Algorithm

Apply split procedure on bitonic sequence and repeat this splitting procedure on subsequences until having one element per subsequences to obtain sorted sequence.

























Algorithm

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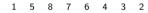
























Algorithm

Apply split procedure on bitonic sequence and repeat this splitting procedure on subsequences until having one element per subsequences to obtain sorted sequence.

























Building a bitonic sequence

Procedure

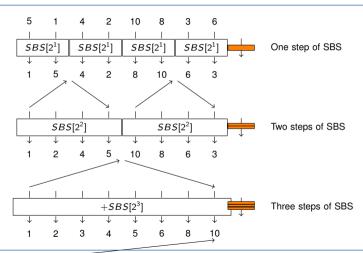
- 1 Split the sequence in two-elements subsequences (which are bitonics!);
- 2 Sort subsequences alterning increasing and decreasing sorting (using SBS algorithm);
- 3 Concatenate two adjacent lists to get a longer bitonic sequence;
- 4 Repeat from step 2 until the full list becomes a bitonic sequence.







Example on 8 elements







Bitonic sort analysis

Complexity of bitonic sort:

- With $n = 2^k$, there are k phases, each involving 1, 2, ..., k steps, respectively;
- The total number of steps is

$$\sum_{i=1}^{k} i = \frac{k(k+1)}{2} = O(k^2) = O(\log_2^2 n)$$
 (1)

• Total complexity is $O(n \log_2^2 n)$







Adapt bitonic sort to distributed parallel architecture

Main ideas

- First sort with the fastest sort algorithm local data in increasing values if rank is even and decreasing values if rank is odd;
- Pairing inside a subcommunicator processes to define a bitonic sequence;
- And apply bitonic sort algorithm, grouping processes per four, height and so...
- Sub-communicators build here are very similar to the sub-communicators build with hyperquick sort algorithms!







Overview

- 1 Theory of parallel sorting algorithms
- 2 Parallel sort algorithms

- 3 Quicksort algorithm
- 4 Bitonic sorting algorithm
- 5 Bucket-sort algorithms





