

Electronic Mail (e-mail)

One of the most popular Internet services is electronic mail (e-mail). The designers of the Internet probably never imagined the popularity of this application program. Its architecture consists of several components. At the beginning of the Internet era, the messages sent by electronic mail were short and consisted of text only; they let people exchange quick memos. Today, electronic mail is much more complex. It allows a message to include text, audio, and video. It also allows one message to be sent to one or more recipients. We first study the general architecture of an e-mail system including the three main components: user agent, message transfer agent, and message access agent. We then describe the protocols that implement these components.

Architecture

To explain the architecture of e-mail, we give four scenarios. We begin with the simplest situation and add complexity as we proceed. The fourth scenario is the most common in the exchange of email.

First Scenario

In the first scenario, the sender and the receiver of the e-mail are users (or application programs) on the same system; they are directly connected to a shared system. The administrator has created one mailbox for each user where the received messages are stored. A *mailbox* is part of a local hard drive, a special file with permission restrictions. Only the owner of the mailbox has access to it. When Alice, a user, needs to send a message to Bob, another user, Alice runs a *user agent (VA)* program to prepare the message and store it in Bob's mailbox. The message has the sender and recipient mailbox addresses (names of files). Bob can retrieve and read the contents of his mailbox at his convenience, using a user agent.

This is similar to the traditional memo exchange between employees in an office. There is a mailroom where each employee has a mailbox with his or her name on it. When Alice needs to send a memo to Bob, she writes the memo and inserts it into Bob's mailbox. When Bob checks his mailbox, he finds Alice's memo and reads it.

Note: When the sender and the receiver of an e-mail are on the same system, we need only two user agents.

Second Scenario

In the second scenario, the sender and the receiver of the e-mail are users (or application programs) on two different systems. The message needs to be sent over the Internet. Here we need user agents (VAs) and message transfer agents (MTAs).

Alice needs to use a user agent program to send her message to the system at her own site. The system (sometimes called the mail server) at her site uses a queue to store messages waiting to be sent. Bob also needs a user agent program to retrieve messages stored in the mailbox of the system at his site. The message, however, needs to be sent through the Internet from Alice's site to Bob's site. Here two message transfer agents are needed: one client and one server. Like most client/server programs on the Internet, the server needs to run all the time because it does not know when a client will ask for a connection. The client, on the other hand, can be alerted by the system when there is a message in the queue to be sent.

Note: When the sender and the receiver of an e-mail are on different systems, we need two VAs and a pair of MTAs (client and server).

Third Scenario

In the third scenario, Bob, as in the second scenario, is directly connected to his system. Alice, however, is separated from her system. Either Alice is connected to the system via a point-to-point WAN, such as a dial-up modem, a DSL, or a cable modem; or she is connected to a LAN in an organization that uses one mail server for handling e-mails—all users need to send their messages to this mail server.

Alice still needs a user agent to prepare her message. She then needs to send the message through the LAN or WAN. This can be done through a pair of message transfer agents (client and server). Whenever Alice has a message to send, she calls the user agent which, in turn, calls the MTA client. The MTA client establishes a connection with the MTA server on the system, which is running all the time. The system at Alice's site queues all messages received. It then uses an MTA client to send the messages to the system at Bob's site; the system receives the message and stores it in Bob's mailbox. At his convenience, Bob uses his user agent to retrieve the message and reads it. Note that we need two pairs of MTA client/server programs.

Note: When the sender is connected to the mail server via a LAN or a WAN, we need two VAs and two pairs of MTAs (client and server).

Fourth Scenario

In the fourth and most common scenario, Bob is also connected to his mail server by a WAN or a LAN. After the message has arrived at Bob's mail server, Bob needs to retrieve it. Here, we need another set of client/server agents, which we call message access agents (MAAs). Bob uses an MAA client to retrieve his messages. The client sends a request to the MAA server, which is running all the time, and requests the transfer of the messages.

There are two important points here. **First**, Bob cannot bypass the mail server and use the MTA server directly. To use MTA server directly, Bob would need to run the MTA server all the time because he does not know when a message will arrive. This implies that Bob must keep his computer on all the time if he is connected to his system through a LAN. If he is connected through a WAN, he must keep the connection up all the time. Neither of these situations is feasible today.

Second, note that Bob needs another pair of client/server programs: message access programs. This is so because an MTA client/server program is a push program: the client pushes the message to the server. Bob needs a pull program. The client needs to pull the message from the server.

Note: When both sender and receiver are connected to the mail server via a LAN or a WAN, we need two VAs, two pairs of MTAs (client and server), and a pair of MAAs (client and server). This is the most common situation today.

User Agent

The first component of an electronic mail system is the user agent (VA). It provides service to the user to make the process of sending and receiving a message easier.

Services Provided by a User Agent

A user agent is a software package (program) that composes, reads, replies to, and forwards messages. It also handles mailboxes. Figure below shows the services of a typical user agent.

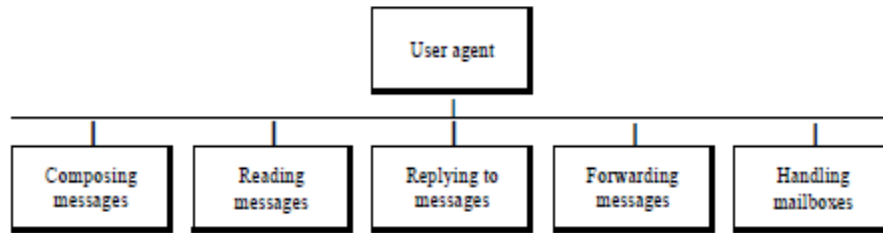


Figure: Services of user agents

Composing Messages: A user agent helps the user compose the e-mail message to be sent out. Most user agents provide a template on the screen to be filled in by the user. Some even have a built-in editor that can do spell checking, grammar checking, and other tasks expected from a sophisticated word processor. A user, of course, could alternatively use his or her favorite text editor or word processor to create the message and import it, or cut and paste it, into the user agent template.

Reading Messages: The second duty of the user agent is to read the incoming messages. When a user invokes a user agent, it first checks the mail in the incoming mailbox. Most user agents show a one-line summary of each received mail. Each e-mail contains the following fields.

1. A number field.
2. A flag field that shows the status of the mail such as new, already read but not replied to, or read and replied to.
3. The size of the message.
4. The sender.
5. The optional subject field.

Replying to Messages: After reading a message, a user can use the user agent to reply to a message. A user agent usually allows the user to reply to the original sender or to reply to all recipients of the message. The reply message may contain the original message (for quick reference) and the new message.

Forwarding Messages: *Replying* is defined as sending a message to the sender or recipients of the copy. *Forwarding* is defined as sending the message to a third party. A user agent allows the receiver to forward the message, with or without extra comments, to a third party.

Handling Mailboxes: A user agent normally creates two mailboxes: an inbox and an outbox. Each box is a file with a special format that can be handled by the user agent. The inbox keeps all the received e-mails until they are deleted by the user. The outbox keeps all the sent e-mails until the user deletes them. Most user agents today are capable of creating customized mailboxes.

User Agent Types

There are two types of user agents: command-driven and GUI-based.

Command-Driven: Command-driven user agents belong to the early days of electronic mail. They are still present as the underlying user agents in servers. A command-driven user agent normally accepts a one-character command from the keyboard to perform its task. For example, a

user can type the character *r*, at the command prompt, to reply to the sender of the message, or type the character *R* to reply to the sender and all recipients. Some examples of command-driven user agents are *mail*, *pine*, and *elm*.

GUI-Based: Modern user agents are GUI-based. They contain graphical-user interface (GUI) components that allow the user to interact with the software by using both the keyboard and the mouse. They have graphical components such as icons, menu bars, and windows that make the services easy to access. Some examples of GUI-based user agents are *Eudora*, *Microsoft's Outlook*, and *Netscape*.

Sending Mail

To send mail, the user, through the UA, creates mail that looks very similar to postal mail. It has an *envelope* and a *message*.

Envelope: The envelope usually contains the sender and the receiver addresses.

Message: The message contains the header and the body. The header of the message defines the sender, the receiver, the subject of the message, and some other information (such as encoding type, as we see shortly). The body of the message contains the actual information to be read by the recipient.

Receiving Mail

The user agent is triggered by the user (or a timer). If a user has mail, the VA informs the user with a notice. If the user is ready to read the mail list is displayed in which each line contains a summary of the information about a particular message in the mailbox. The summary usually includes the sender mail address, the subject, and the time the mail was sent or received. The user can select any of the messages and display its contents on the screen.

Addresses

To deliver mail, a mail handling system must use an addressing system with unique addresses. In the Internet, the address consists of two parts: a local part and a domain name, separated by an @ sign.

Local Part: The local part defines the name of a special file, called the user mailbox, where all the mail received for a user is stored for retrieval by the message access agent.

Domain Name: The second part of the address is the domain name. An organization usually selects one or more hosts to receive and send e-mail; the hosts are sometimes called *mail servers* or *exchangers*. The domain name assigned to each mail exchanger either comes from the DNS database or is a logical name (for example, the name of the organization).

Message Transfer Agent: SMTP

The actual mail transfer is done through message transfer agents. To send mail, a system must have the client MTA, and to receive mail, a system must have a server MTA. The formal protocol that defines the MTA client and server in the Internet is called the Simple Mail Transfer Protocol (SMTP). As we said before, two pairs of MTA client/server programs are used in the most common situation (fourth scenario). Figure below shows the range of the SMTP protocol in this scenario.

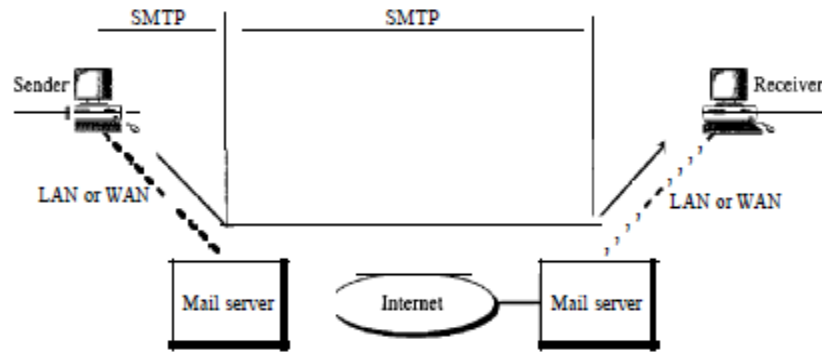


Figure: SMTP range

SMTP is used two times, between the sender and the sender's mail server and between the two mail servers. As we will see shortly, another protocol is needed between the mail server and the receiver. SMTP simply defines how commands and responses must be sent back and forth. Each network is free to choose a software package for implementation.

Commands and Responses

SMTP uses commands and responses to transfer messages between an MTA client and an MTA server.

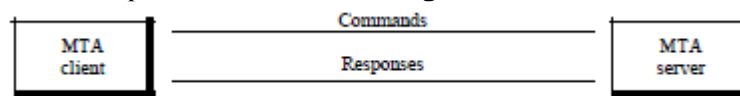


Figure: Commands and responses

Each command or reply is terminated by a two-character (carriage return and line feed) end-of-line token.

Commands: Commands are sent from the client to the server. The format of a command consists of a keyword followed by zero or more arguments. SMTP defines 14 commands.

Responses: Responses are sent from the server to the client. A response is a three-digit code that may be followed by additional textual information.

Message Access Agent: POP and IMAP

The first and the second stages of mail delivery use SMTP. However, SMTP is not involved in the third stage because SMTP is a *push* protocol; it pushes the message from the client to the server. In other words, the direction of the bulk: data (messages) is from the client to the server. On the other hand, the third stage needs a *pull* protocol; the client must pull messages from the server. The direction of the bulk data is from the server to the client. The third stage uses a message access agent. Currently two message access protocols are available: Post Office Protocol, version 3 (POP3) and Internet Mail Access Protocol, version 4 (IMAP4).

POP3

Post Office Protocol, version 3 (POP3) is simple and limited in functionality. The client POP3 software is installed on the recipient computer; the server POP3 software is installed on the mail server. Mail access starts with the client when the user needs to download e-mail from the mailbox on the mail server. The client opens a connection to the server on TCP port 110. It then sends its

user name and password to access the mailbox. The user can then list and retrieve the mail messages, one by one.

POP3 has two modes: the delete mode and the keep mode. In the delete mode, the mail is deleted from the mailbox after each retrieval. In the keep mode, the mail remains in the mailbox after retrieval. The delete mode is normally used when the user is working at her permanent computer and can save and organize the received mail after reading or replying. The keep mode is normally used when the user accesses her mail away from her primary computer (e.g., a laptop). The mail is read but kept in the system for later retrieval and organizing.

IMAP4

Another mail access protocol is Internet Mail Access Protocol, version 4 (IMAP4). IMAP4 is similar to POP3, but it has more features; IMAP4 is more powerful and more complex.

POP3 is deficient in several ways. It does not allow the user to organize her mail on the server; the user cannot have different folders on the server. (Of course, the user can create folders on her own computer.) In addition, POP3 does not allow the user to partially check the contents of the mail before downloading. IMAP4 provides the following extra functions:

- a) A user can check the e-mail header prior to downloading.
- b) A user can search the contents of the e-mail for a specific string of characters prior to downloading.
- c) A user can partially download e-mail. This is especially useful if bandwidth is limited and the e-mail contains multimedia with high bandwidth requirements.
- d) A user can create, delete, or rename mailboxes on the mail server.
- e) A user can create a hierarchy of mailboxes in a folder for e-mail storage.

Web-Based Mail

E-mail is such a common application that some websites today provide this service to anyone who accesses the site. Two common sites are Hotmail and Yahoo. The idea is very simple. Mail transfer from Alice's browser to her mail server is done through HTTP. The transfer of the message from the sending mail server to the receiving mail server is still through SMTP. Finally, the message from the receiving server (the Web server) to Bob's browser is done through HTTP. The last phase is very interesting. Instead of POP3 or IMAP4, HTTP is normally used. When Bob needs to retrieve his e-mails, he sends a message to the website (Hotmail, for example). The website sends a form to be filled in by Bob, which includes the log-in name and the password. If the log-in name and password match, the e-mail is transferred from the Web server to Bob's browser in HTML format.