

# Chapter 2

## Application Layer

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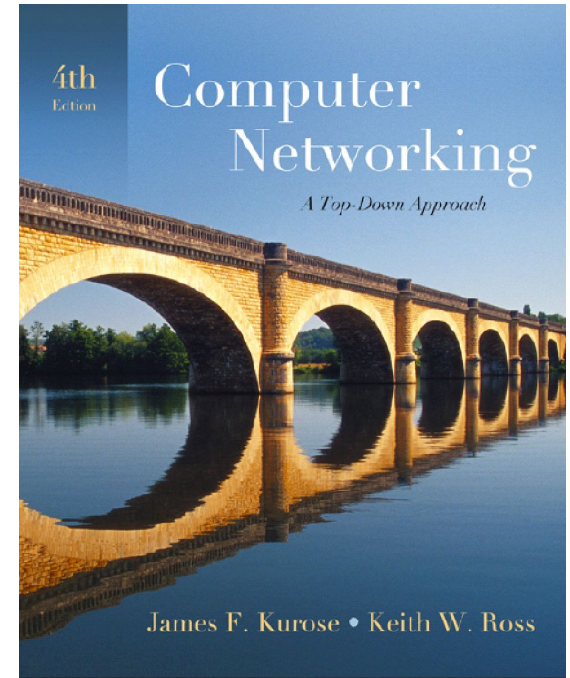
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*Computer Networking:  
A Top Down Approach,  
4<sup>th</sup> edition.*

*Jim Kurose, Keith Ross  
Addison-Wesley, July  
2007.*

# Chapter 2: Application layer

- r 2.1 Principles of network applications
- r 2.2 Web and HTTP
- r 2.3 FTP
- r 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- r 2.5 DNS
- r 2.6 P2P applications
- r 2.7 Socket programming with TCP
- r 2.8 Socket programming with UDP

# Chapter 2: Application Layer

## Our goals:

- r conceptual, implementation aspects of network application protocols
  - ❖ transport-layer service models
  - ❖ client-server paradigm
  - ❖ peer-to-peer paradigm
- r learn about protocols by examining popular application-level protocols
  - ❖ HTTP
  - ❖ FTP
  - ❖ SMTP / POP3 / IMAP
  - ❖ DNS
- r programming network applications
  - ❖ socket API

# Some network apps

- r e-mail
- r web
- r instant messaging
- r remote login
- r P2P file sharing
- r multi-user network games
- r streaming stored video clips
- r voice over IP
- r real-time video conferencing
- r grid computing
- r
- r
- r

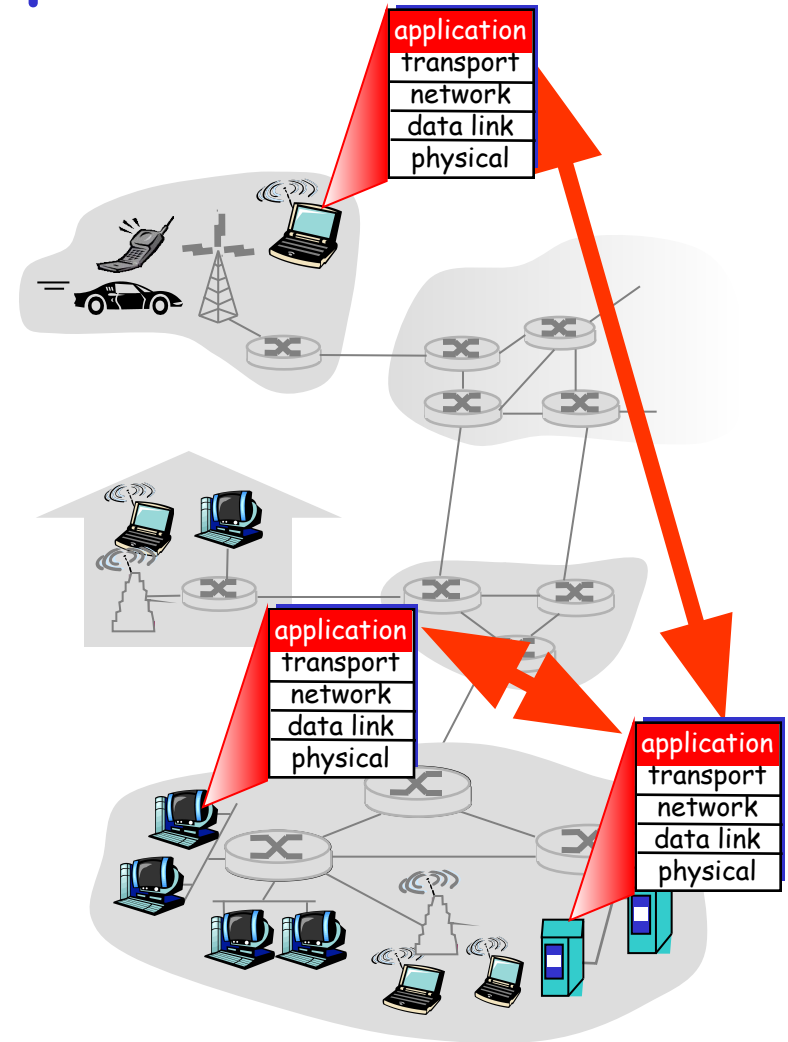
# Creating a network app

## write programs that

- ❖ run on (different) *end systems*
- ❖ communicate over network
- ❖ e.g., web server software communicates with browser software

## No need to write software for network-core devices

- ❖ Network-core devices do not run user applications
- ❖ applications on end systems allows for rapid app development, propagation



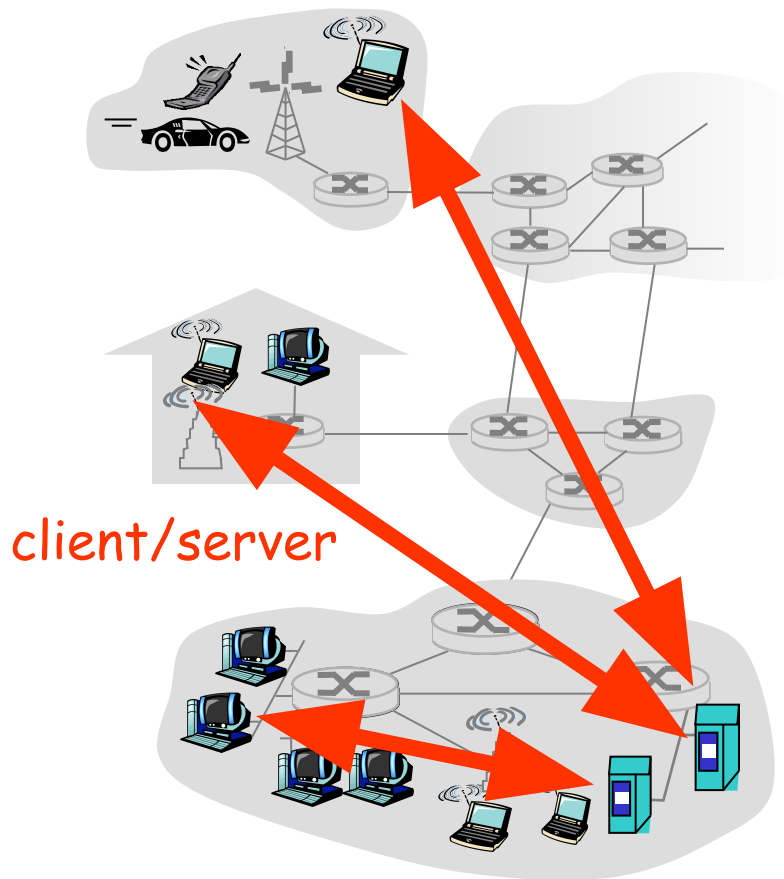
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- r 2.8 Socket programming with UDP
- r 2.9 Building a Web server

# Application architectures

- r Client-server
- r Peer-to-peer (P2P)
- r Hybrid of client-server and P2P

# Client-server architecture



## server:

- ❖ always-on host
- ❖ permanent IP address
- ❖ server farms for scaling

## clients:

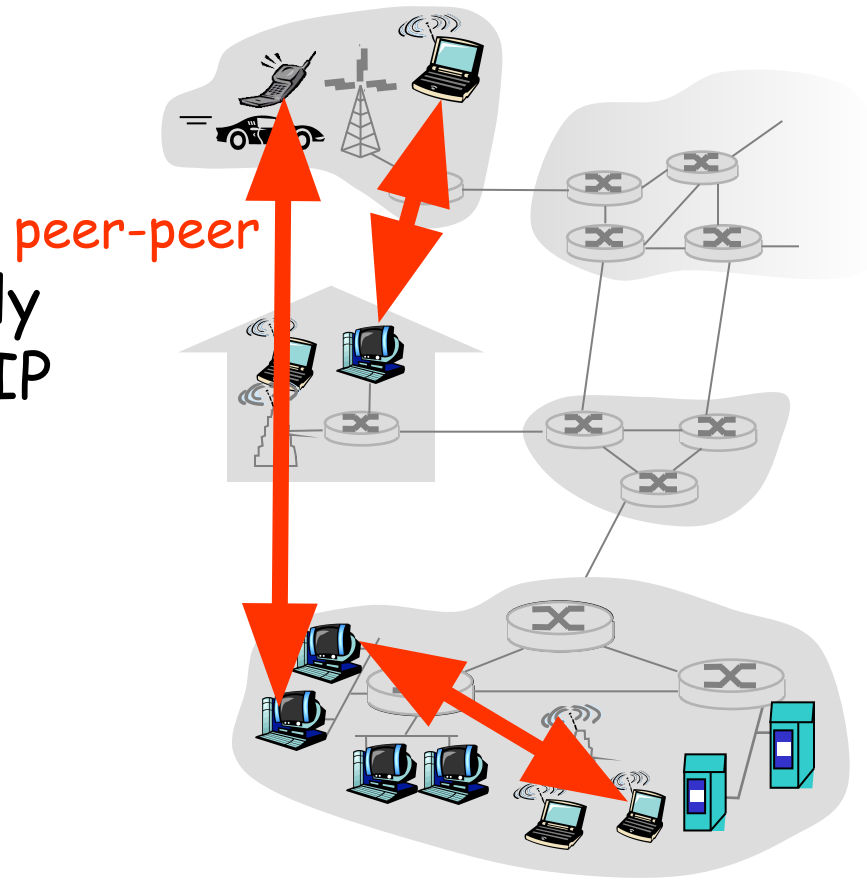
- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other



# Pure P2P architecture

- r no always-on server
- r arbitrary end systems directly communicate
- r peers are intermittently connected and change IP addresses

Highly scalable but  
difficult to manage



# Hybrid of client-server and P2P

## Skype

- ❖ voice-over-IP P2P application
- ❖ centralized server: finding address of remote party:
- ❖ client-client connection: direct (not through server)

## Instant messaging

- ❖ chatting between two users is P2P
- ❖ centralized service: client presence detection/location
  - user registers its IP address with central server when it comes online
  - user contacts central server to find IP addresses of buddies

# Processes communicating

**Process:** program running within a host.

- r within same host, two processes communicate using **inter-process communication** (defined by OS).
- r processes in different hosts communicate by exchanging **messages**

**Client process:** process that initiates communication

**Server process:** process that waits to be contacted

- r Note: applications with P2P architectures have client processes & server processes

# App-layer protocol defines

- r Types of messages exchanged,
  - ❖ e.g., request, response
- r Message syntax:
  - ❖ what fields in messages & how fields are delineated
- r Message semantics
  - ❖ meaning of information in fields
- r Rules for when and how processes send & respond to messages

## Public-domain protocols:

- r defined in RFCs
- r allows for interoperability
- r e.g., HTTP, SMTP

## Proprietary protocols:

- r e.g., Skype

# What transport service does an app need?

## Data loss

- r some apps (e.g., audio) can tolerate some loss
- r other apps (e.g., file transfer, telnet) require 100% reliable data transfer

## Timing

- r some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

## Throughput

- r some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- r other apps ("elastic apps") make use of whatever throughput they get

## Security

- r Encryption, data integrity, ...

## Transport service requirements of common apps

Application	Data loss	Throughput	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

# Internet transport protocols services

## TCP service:

- r connection-oriented:* setup required between client and server processes
- r reliable transport* between sending and receiving process
- r flow control:* sender won't overwhelm receiver
- r congestion control:* throttle sender when network overloaded
- r does not provide:* timing, minimum throughput guarantees, security

## UDP service:

- r* unreliable data transfer between sending and receiving process
- r* does not provide: connection setup, reliability, flow control, congestion control, timing, throughput guarantee, or security

Q: why bother? Why is there a UDP?

# Internet apps: application, transport protocols

<b>Application</b>	<b>Application layer protocol</b>	<b>Underlying transport protocol</b>
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (eg Youtube), RTP [RFC 1889]	TCP or UDP
Internet telephony	SIP, RTP, proprietary (e.g., Skype)	typically UDP



# Chapter 2: Application layer

- r 2.1 Principles of network applications
  - ❖ app architectures
  - ❖ app requirements
- r 2.2 Web and HTTP
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- r 2.8 Socket programming with UDP

# Web and HTTP

## First some jargon

- r Web page consists of objects
- r Object can be HTML file, JPEG image, Java applet, audio file,...
- r Web page consists of base HTML-file which includes several referenced objects
- r Each object is addressable by a URL
- r Example URL:

`www.someschool.edu/someDept/pic.gif`

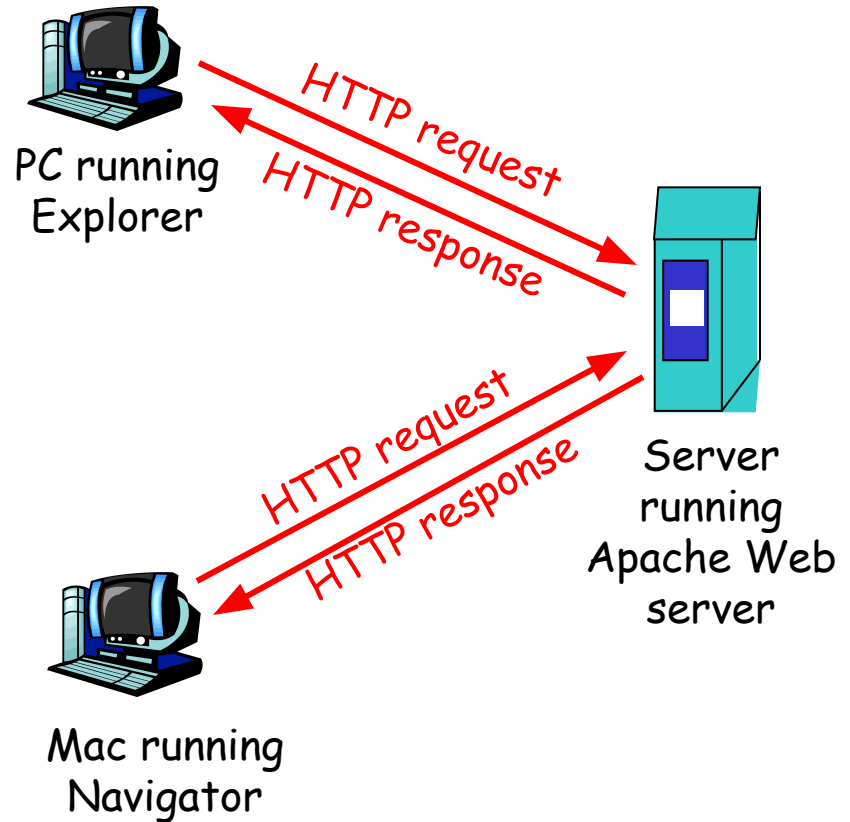
host name

path name

# HTTP overview

## HTTP: hypertext transfer protocol

- r Web's application layer protocol
- r client/server model
  - ❖ *client*: browser that requests, receives, "displays" Web objects
  - ❖ *server*: Web server sends objects in response to requests



# HTTP overview (continued)

## Uses TCP:

- r client initiates TCP connection (creates socket) to server, port 80
- r server accepts TCP connection from client
- r HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- r TCP connection closed

## HTTP is "stateless"

- r server maintains no information about past client requests

aside  
Protocols that maintain "state" are complex!

- r past history (state) must be maintained
- r if server/client crashes, their views of "state" may be inconsistent, must be reconciled

# HTTP connections

## Nonpersistent HTTP

- r At most one object is sent over a TCP connection.

## Persistent HTTP

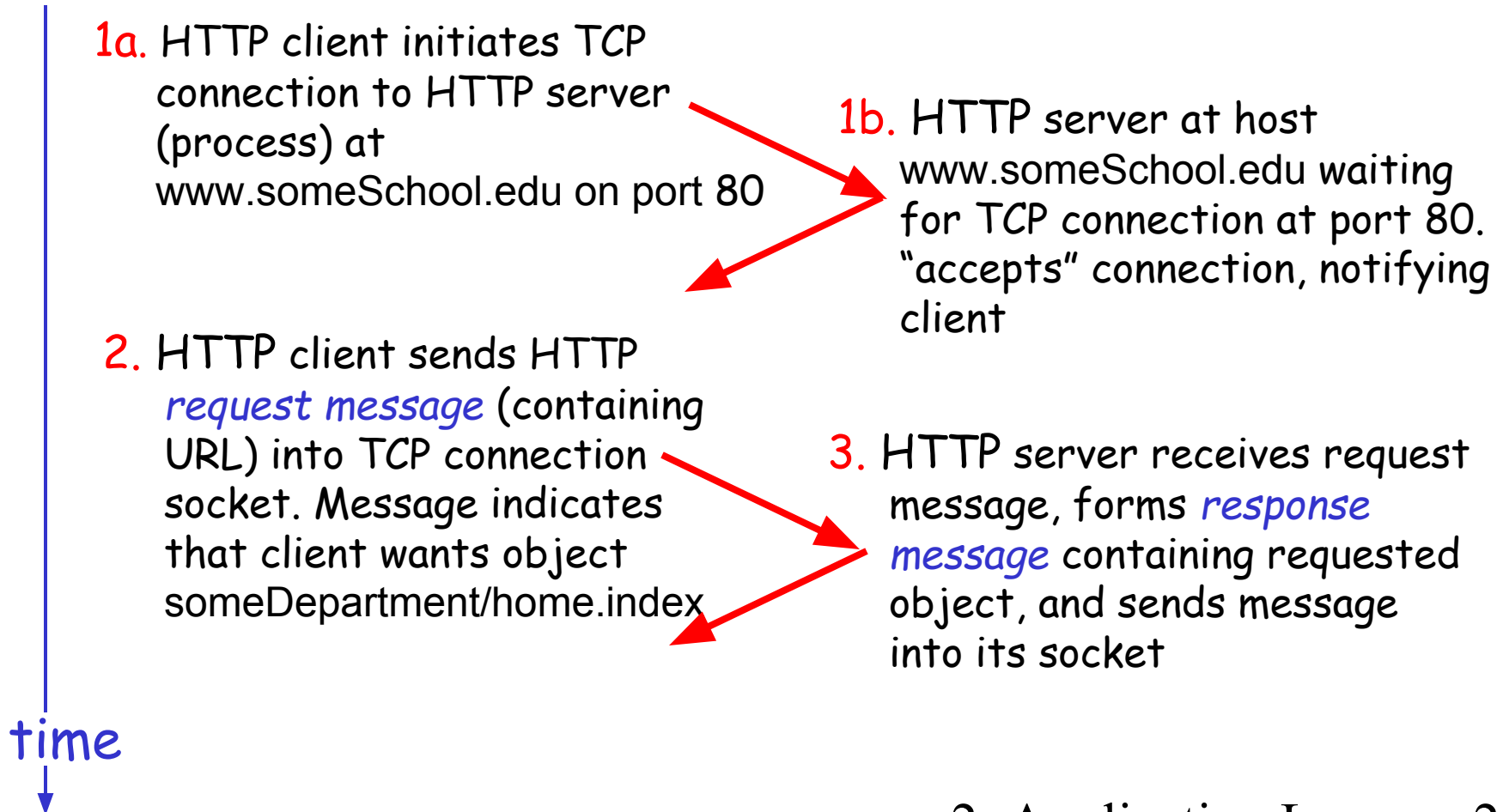
- r Multiple objects can be sent over single TCP connection between client and server.

# Nonpersistent HTTP

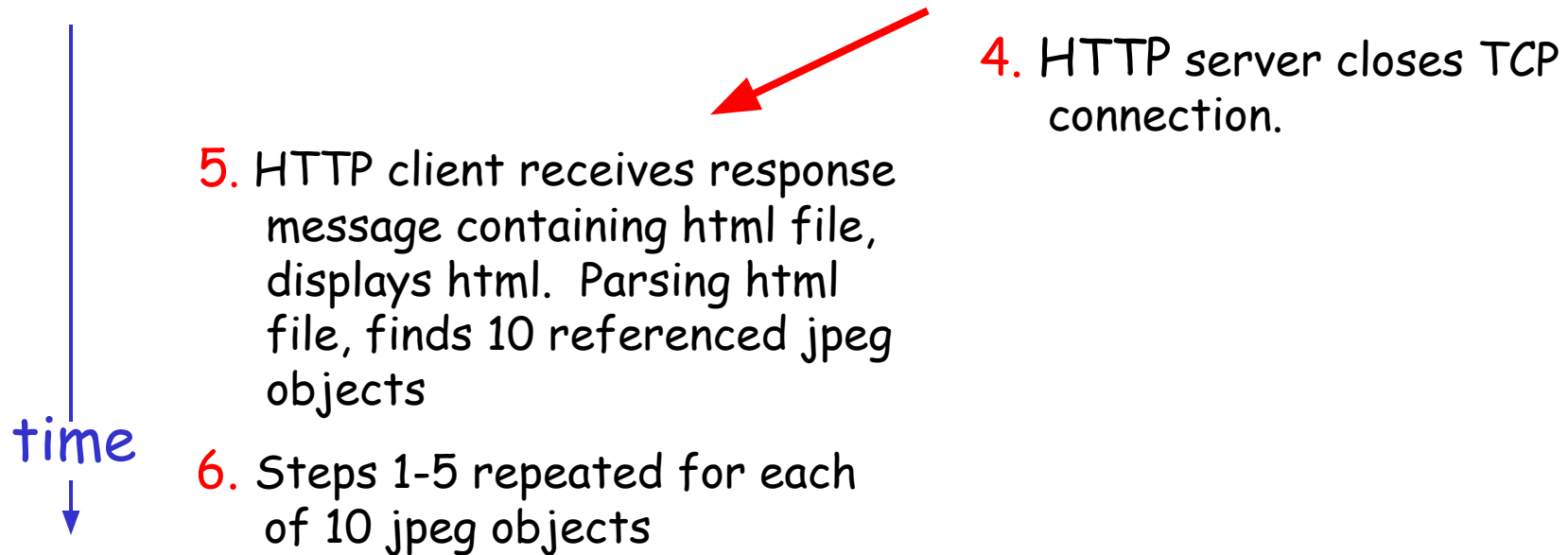
Suppose user enters URL

`www.someSchool.edu/someDepartment/home.index`

(contains text,  
references to 10  
jpeg images)



# Nonpersistent HTTP (cont.)



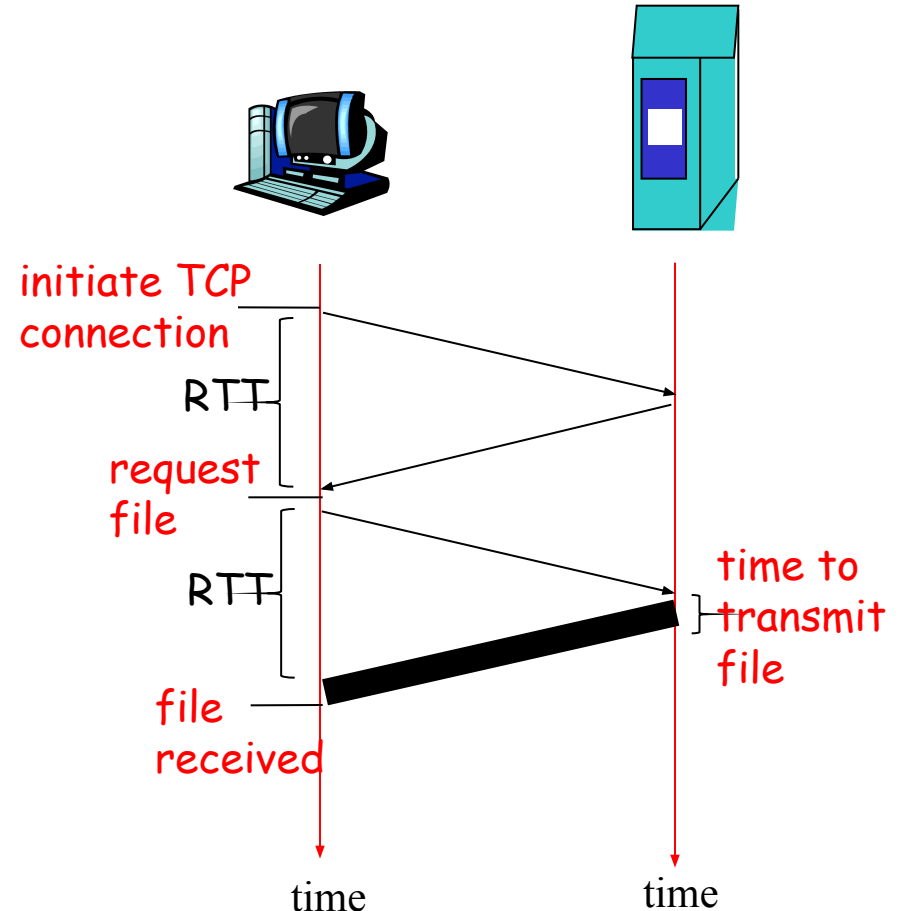
# Non-Persistent HTTP: Response time

**Definition of RTT:** time for a small packet to travel from client to server and back.

## Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

**total =  $2RTT + \text{transmit time}$**





# Persistent HTTP

## Nonpersistent HTTP issues:

- r requires 2 RTTs per object
- r OS overhead for each TCP connection
- r browsers often open parallel TCP connections to fetch referenced objects

## Persistent HTTP

- r server leaves connection open after sending response
- r subsequent HTTP messages between same client/server sent over open connection
- r client sends requests as soon as it encounters a referenced object
- r as little as one RTT for all the referenced objects

# HTTP request message

- r two types of HTTP messages: *request, response*
- r *HTTP request message*:
  - ❖ ASCII (human-readable format)

request line  
(GET, POST,  
HEAD commands)

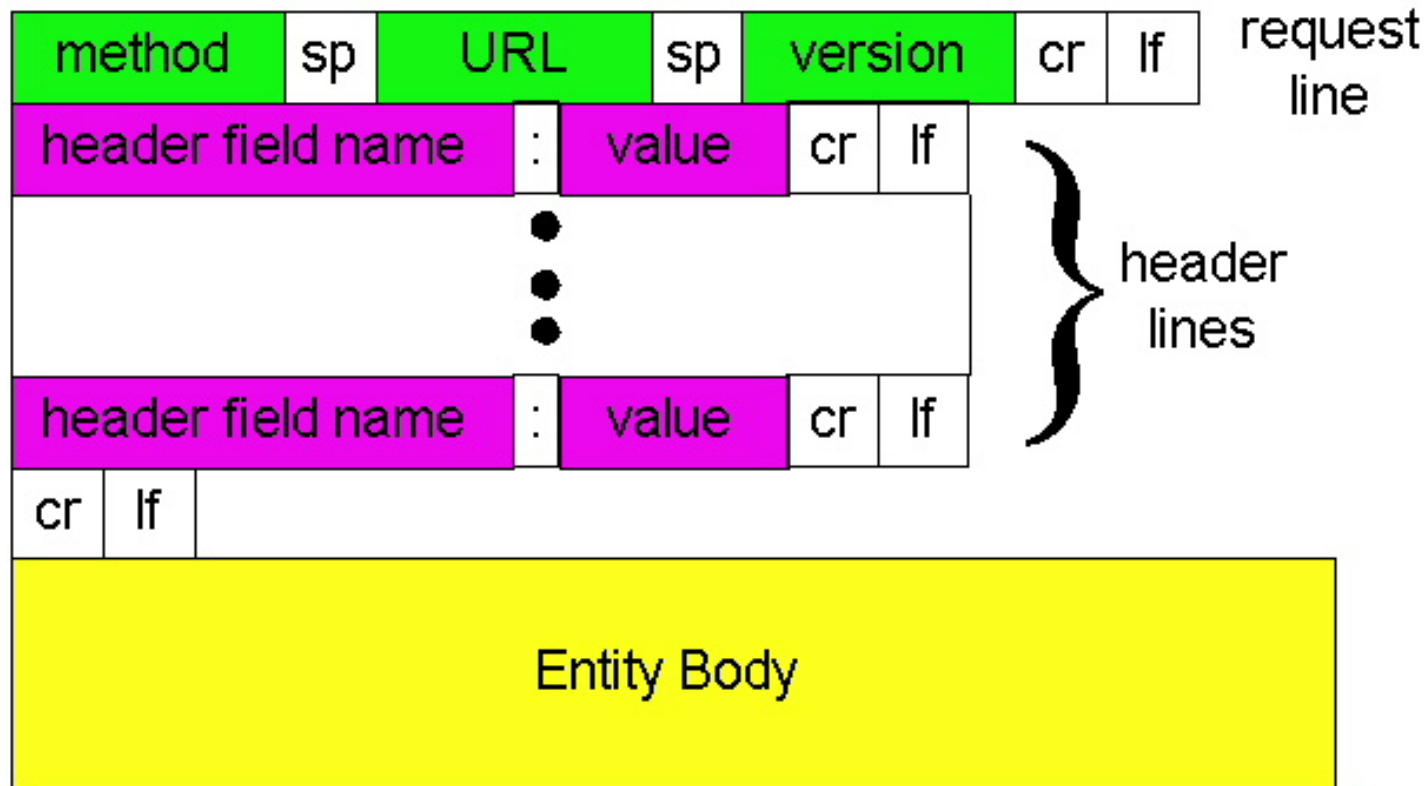
header  
lines

Carriage return,  
line feed  
indicates end  
of message

GET /somedir/page.html HTTP/1.1  
Host: www.someschool.edu  
User-agent: Mozilla/4.0  
Connection: close  
Accept-language: fr

(extra carriage return, line feed)

# HTTP request message: general format



# Uploading form input

## Post method:

- r Web page often includes form input
- r Input is uploaded to server in entity body

## URL method:

- r Uses GET method
- r Input is uploaded in URL field of request line:

`www.somesite.com/animalsearch?monkeys&banana`

# Method types

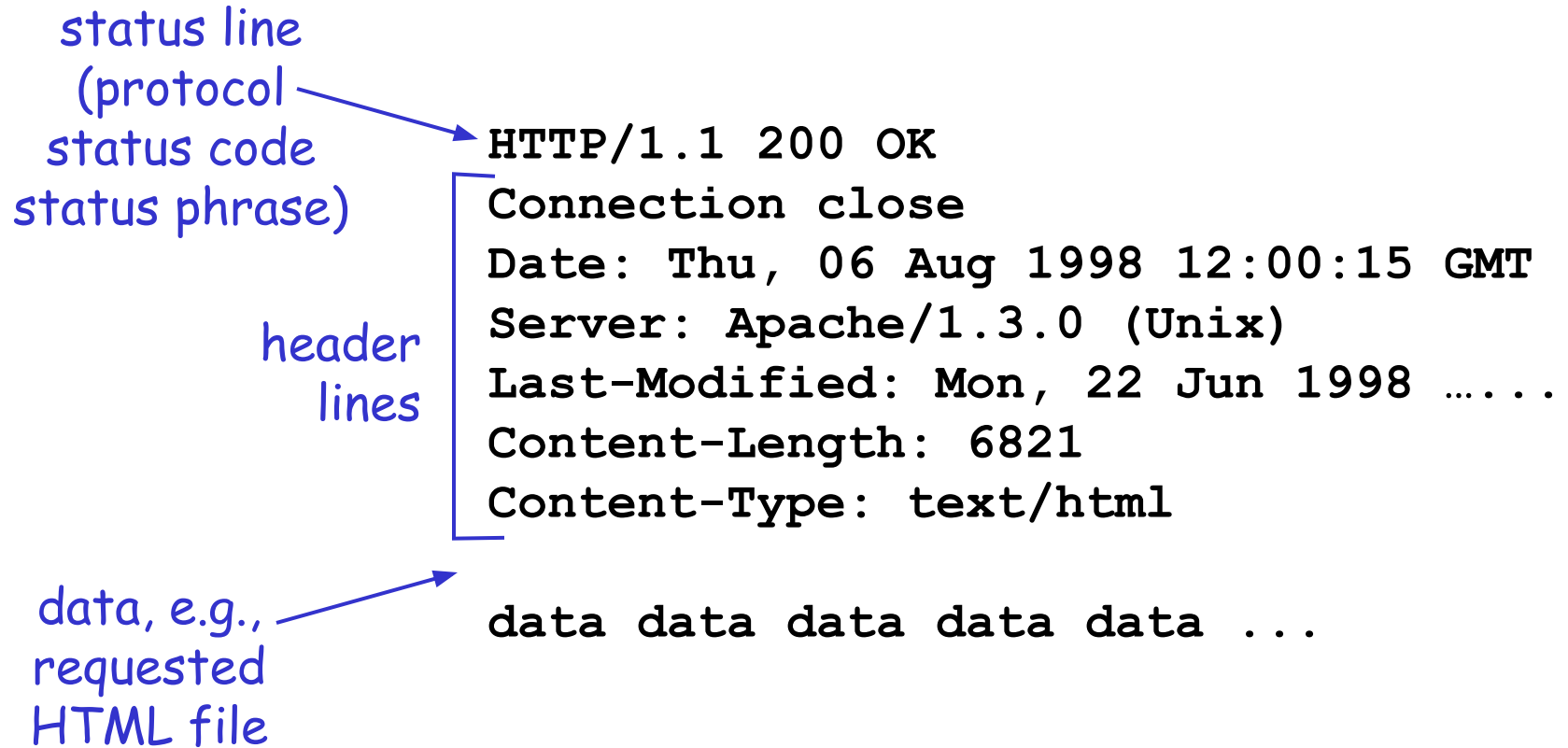
## HTTP/1.0

- r GET
- r POST
- r HEAD
  - ❖ asks server to leave requested object out of response

## HTTP/1.1

- r GET, POST, HEAD
- r PUT
  - ❖ uploads file in entity body to path specified in URL field
- r DELETE
  - ❖ deletes file specified in the URL field

# HTTP response message



# HTTP response status codes

In first line in server->client response message.

A few sample codes:

## **200 OK**

- ❖ request succeeded, requested object later in this message

## **301 Moved Permanently**

- ❖ requested object moved, new location specified later in this message (Location:)

## **400 Bad Request**

- ❖ request message not understood by server

## **404 Not Found**

- ❖ requested document not found on this server

## **505 HTTP Version Not Supported**

# Trying out HTTP (client side) for yourself

## 1. Telnet to your favorite Web server:

```
telnet cis.poly.edu  
80
```

Opens TCP connection to port 80  
(default HTTP server port) at cis.poly.edu.  
Anything typed in sent  
to port 80 at cis.poly.edu

## 2. Type in a GET HTTP request:

```
GET /~ross/  
HTTP/1.1  
Host: cis.poly.edu
```

By typing this in (hit carriage  
return twice), you send  
this minimal (but complete)  
GET request to HTTP server

## 3. Look at response message sent by HTTP server!



# User-server state: cookies

Many major Web sites  
use cookies

## Four components:

- 1) cookie header line of  
HTTP *response* message
- 2) cookie header line in  
HTTP *request* message
- 3) cookie file kept on  
user's host, managed by  
user's browser
- 4) back-end database at  
Web site

## Example:

- r Susan always access  
Internet always from PC
- r visits specific  
e-commerce site for  
first time
- r when initial HTTP  
requests arrives at site,  
site creates:
  - ❖ unique ID
  - ❖ entry in backend  
database for ID

# Cookies: keeping "state" (cont.)

client

server



cookie file



usual http request msg

usual http response  
**Set-cookie: 1678**

Amazon server  
creates ID  
1678 for user

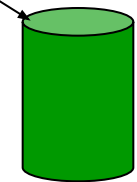
create  
entry

usual http request msg  
**cookie: 1678**

usual http response msg

cookie-  
specific  
action

access



access

one week later:



usual http request msg  
**cookie: 1678**

usual http response msg

cookie-  
specific  
action

# Cookies (continued)

## What cookies can bring:

- r authorization
- r shopping carts
- r recommendations
- r user session state  
(Web e-mail)

## How to keep "state":

- r protocol endpoints: maintain state at sender/receiver over multiple transactions
- r cookies: http messages carry state

aside

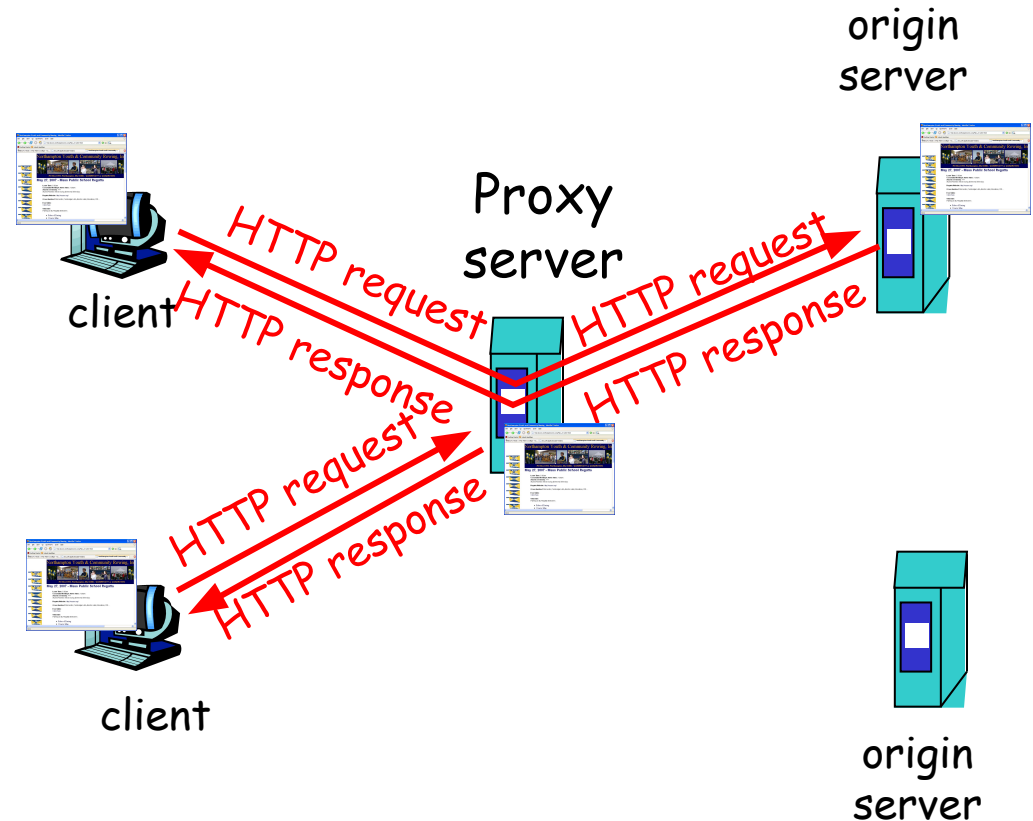
## Cookies and privacy:

- r cookies permit sites to learn a lot about you
- r you may supply name and e-mail to sites

# Web caches (proxy server)

**Goal:** satisfy client request without involving origin server

- r user sets browser:  
Web accesses via cache
- r browser sends all HTTP requests to cache
  - ❖ object in cache: cache returns object
  - ❖ else cache requests object from origin server, then returns object to client



# More about Web caching

- r cache acts as both client and server
- r typically cache is installed by ISP (university, company, residential ISP)

## Why Web caching?

- r reduce response time for client request
- r reduce traffic on an institution's access link.
- r Internet dense with caches: enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

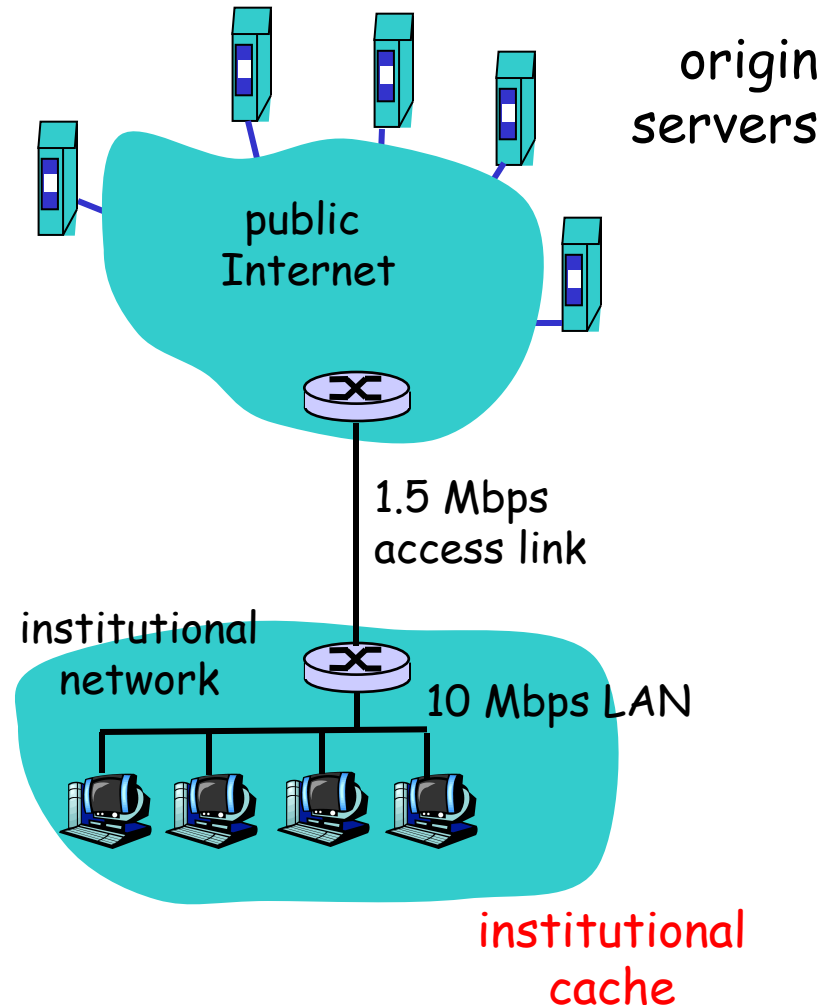
# Caching example

## Assumptions

- r average object size = 100,000 bits
- r avg. request rate from institution's browsers to origin servers = 15/sec
- r delay from institutional router to any origin server and back to router = 2 sec

## Consequences

- r utilization on LAN = 15%
- r utilization on access link = 100%
- r total delay = Internet delay + access delay + LAN delay  
= 2 sec + minutes + milliseconds



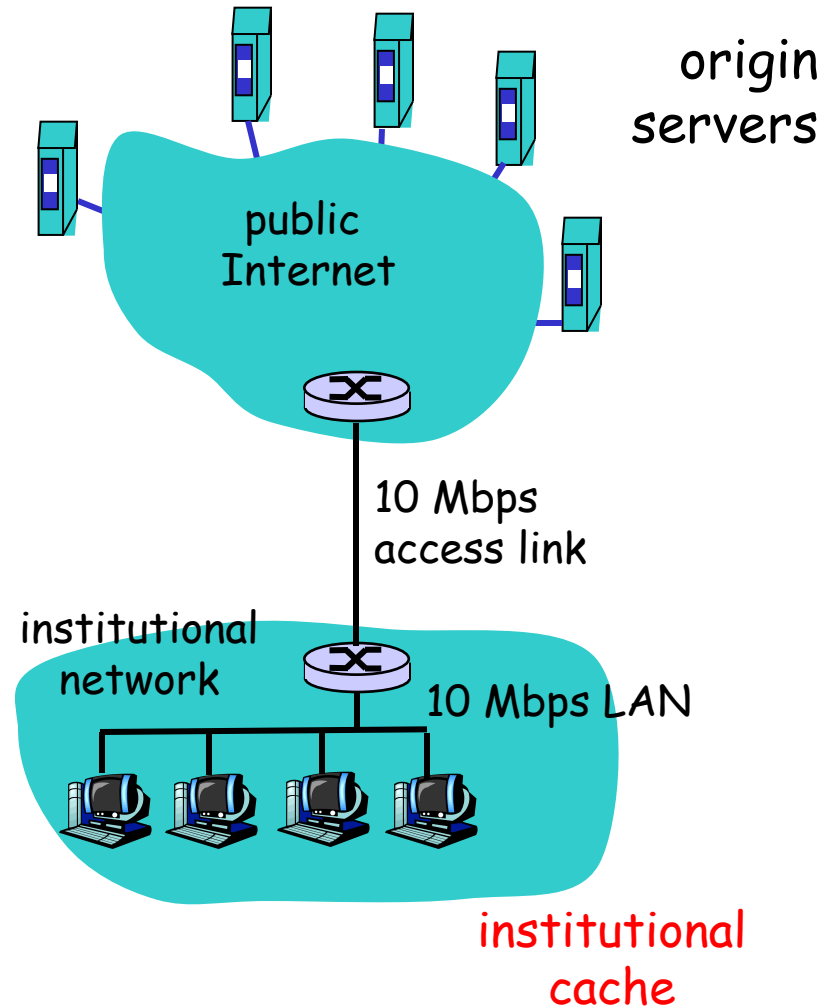
# Caching example (cont)

## possible solution

- r increase bandwidth of access link to, say, 10 Mbps

## consequence

- r utilization on LAN = 15%
- r utilization on access link = 15%
- r Total delay = Internet delay + access delay + LAN delay  
= 2 sec + msec + msec
- r often a costly upgrade



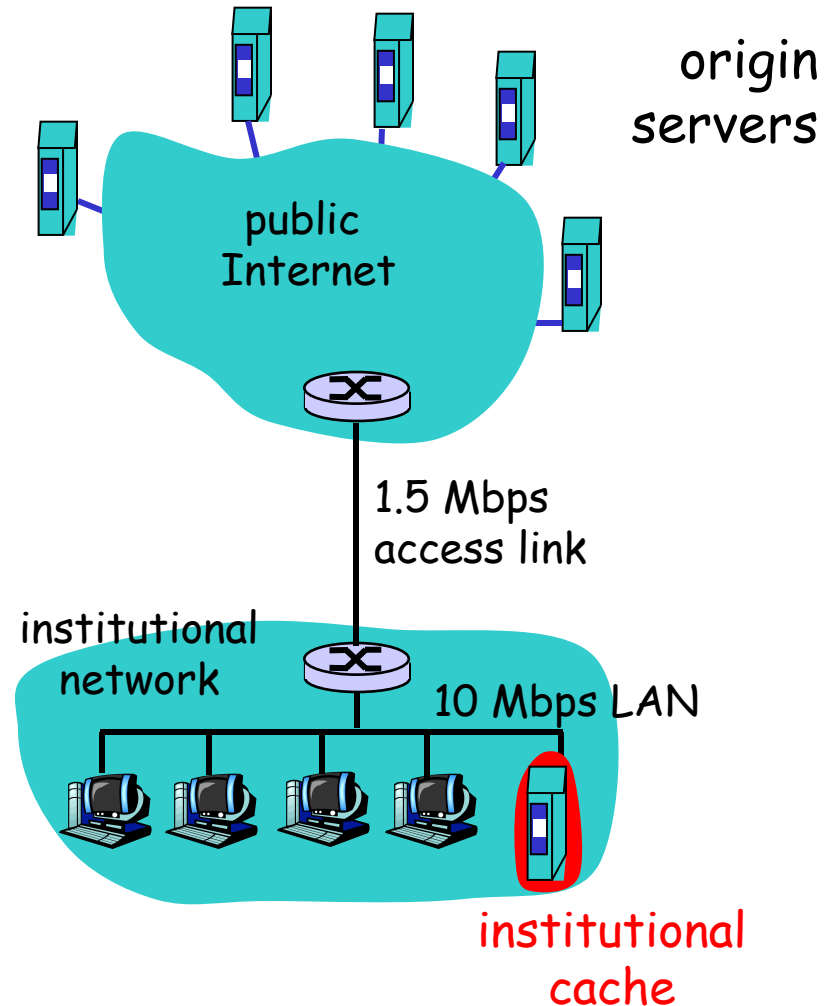
# Caching example (cont)

## possible solution: install cache

r suppose hit rate is 0.4

## consequence

- r 40% requests will be satisfied almost immediately
- r 60% requests satisfied by origin server
- r utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- r total avg delay = Internet delay + access delay + LAN delay  
$$= .6 * (2.01) \text{ secs} + .4 * \text{milliseconds} < 1.4 \text{ secs}$$





# Conditional GET

- r **Goal:** don't send object if cache has up-to-date cached version
- r cache: specify date of cached copy in HTTP request  
If-modified-since:  
<date>
- r server: response contains no object if cached copy is up-to-date:  
HTTP/1.0 304 Not Modified

cache

server

