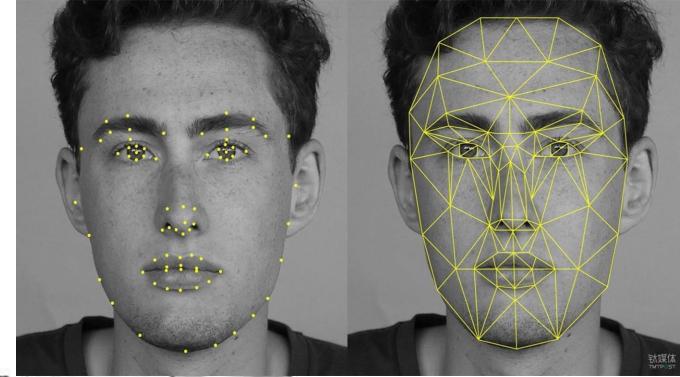
# Convolutional Neural Networks

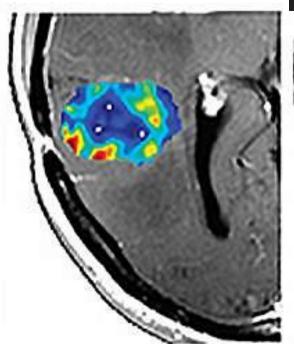
#### Computer Vision using Deep Learning

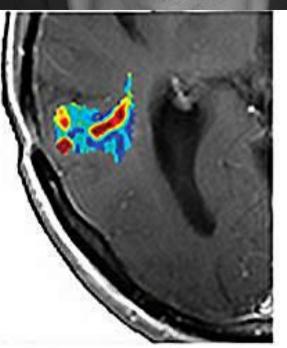
- Objective: To build a strong computer vision system using deep learning
- To discover from images
  - What is present
  - Where they are present
  - What actions are taking place
  - To predict and anticipate events





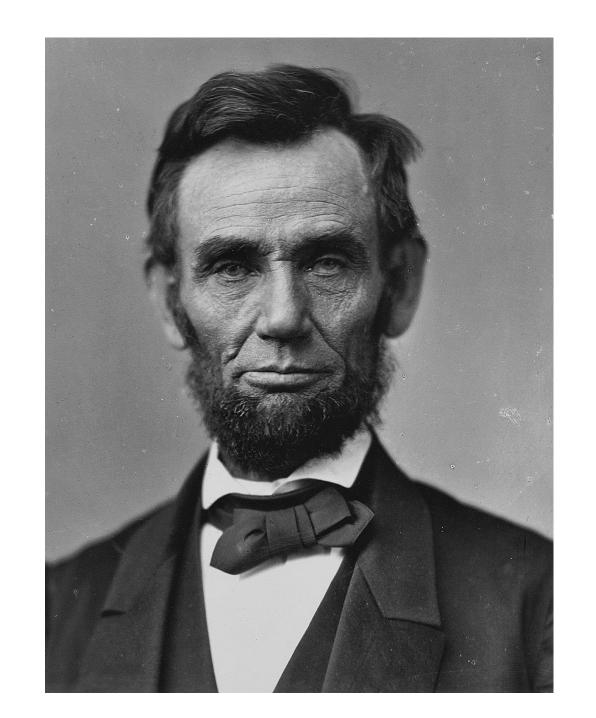




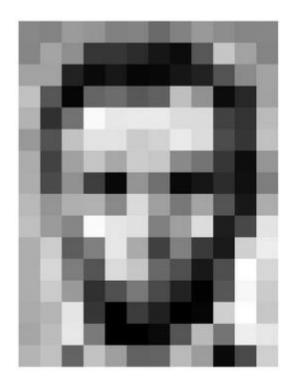


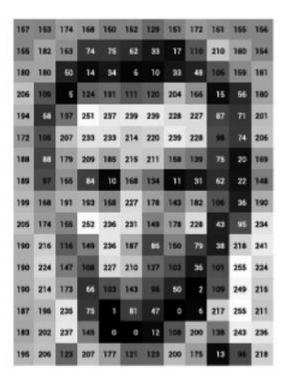
https://www.nih.gov/news-events/nih-research-matters/tumor-imaging-technique-tracks-responses-cancer-therapies

https://rapidapi.com/blog/top-facial-recognition-apis/



### What computers see?





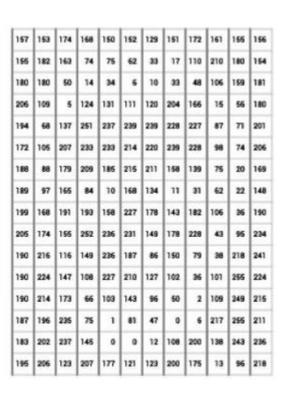
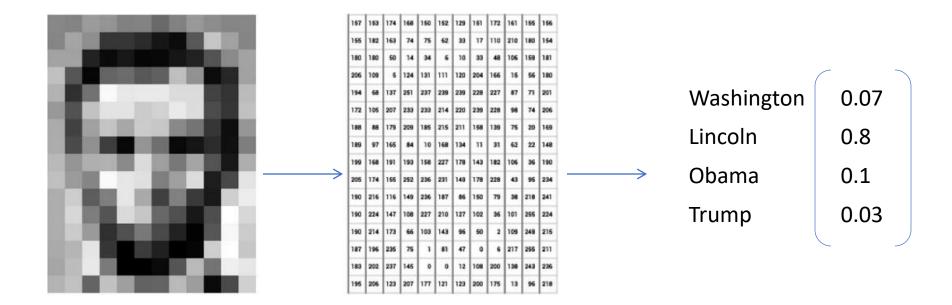


Image is a matrix of numbers [0, 255]

Gray scale: 1080 x 1080 Colour (RGB): 1080 x 1080 x 3

#### Classification Task



## High Level Features

Key features in each image category





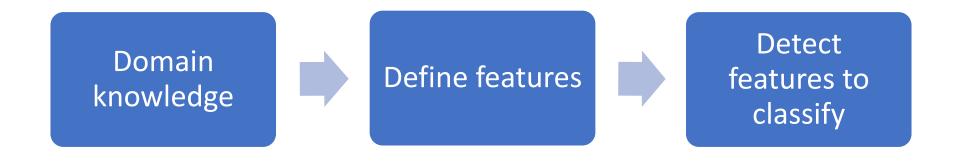


Eyes, nose, mouth

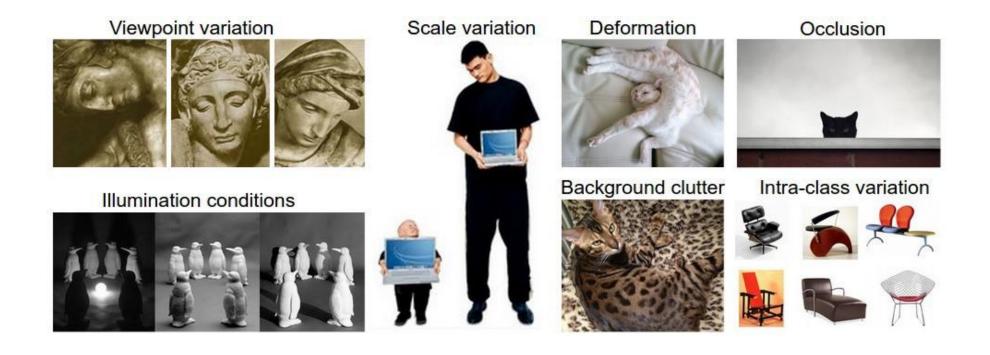
Wheels, license plate, headlights

Windows, doors, steps

#### Manual Feature Extraction



Problems????



Classification pipeline needs to be invariant to these variations but be sensitive to pick out the inter-class variations. This is a challenging problem!!

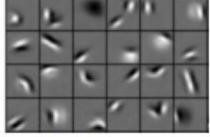
Instead, can we extract and detect features automatically?

#### Learning Feature Representations

Learning heirarchy of features directly from images instead of handengineering

Using neural network layers to learn

Low level features



Edges, dark spots

Mid level features



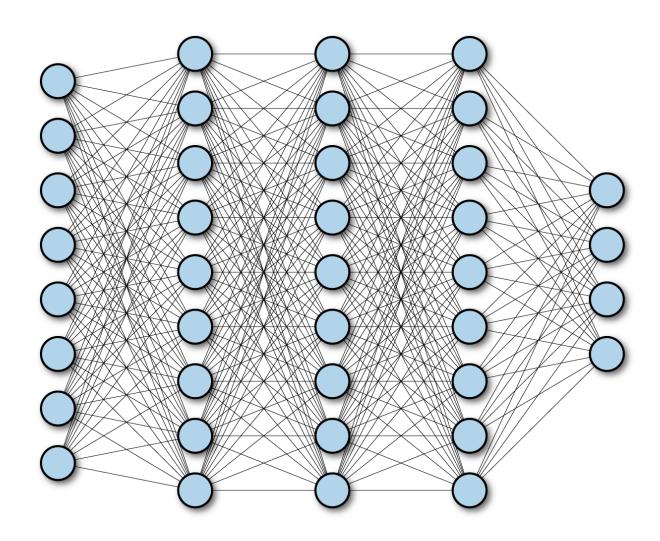
Eyes, ears, nose

High level features



Facial structure

# Fully Connected Neural Network



#### Deep Learning on Large Images

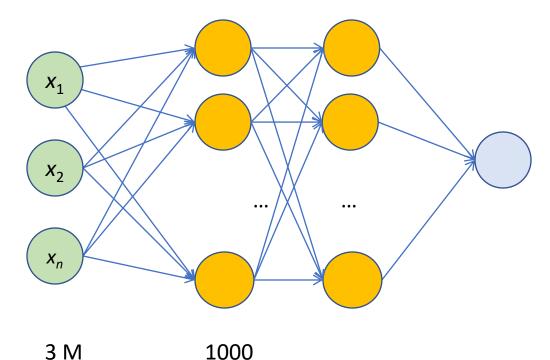


64\*64\*3 = 12288

1000\*1000\*3 image??? 3 million inputs!!!

Matrix  $W^{[1]}$  will have  $3*10^6*1000 = 3*10^9$  parameters

----> Cat?? 0/1



#### Fully Connected Neural Network

- Input: 2D image
  - Vector of pixel values
  - Flattened 2D image into a 1D vector to pass values to a fully connected layer

• Fully connected layer: Connects each neuron of hidden layer to each input value  $x_1$ 

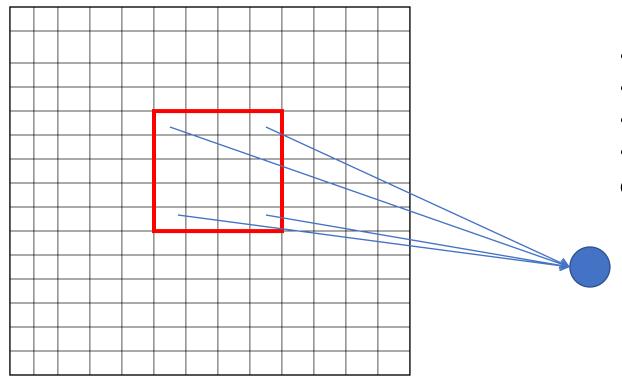
 $\boldsymbol{X}_2$ 

 $X_{\Delta}$ 

- No spatial information
- And many parameters

How to pass spatial structure in image to the architecture??

#### Using Spatial Structure

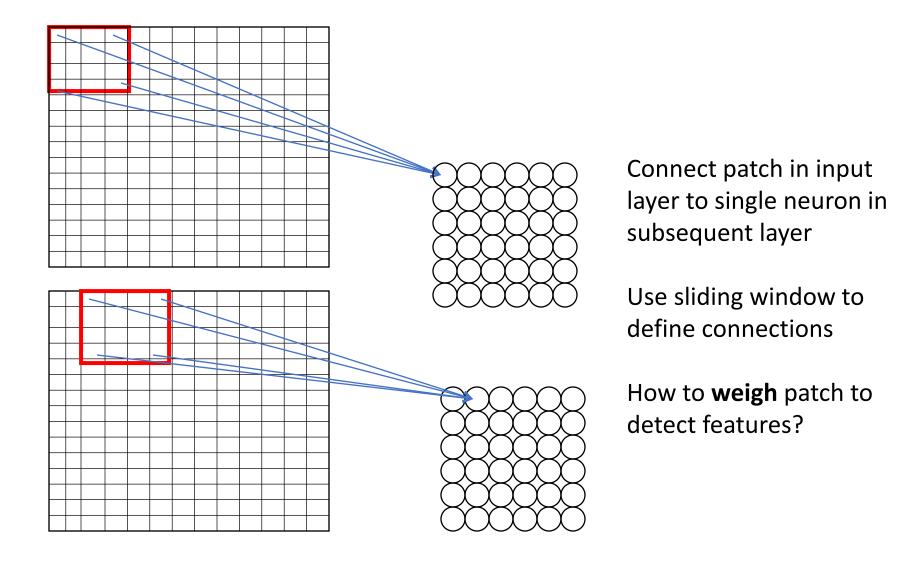


- Connect patches of input to neuron
- •Neuron is connected to regions
- Neuron sees only these values
- •Spatially close pixels are likely to be correlated to each other

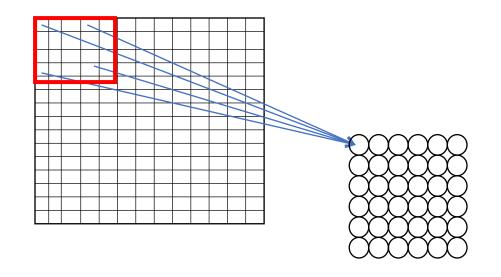
Input: 2D image

Array of pixel values

### Using Spatial Structure



#### Feature Extraction with Convolution



Filter of size 4\*4: 16 different weights

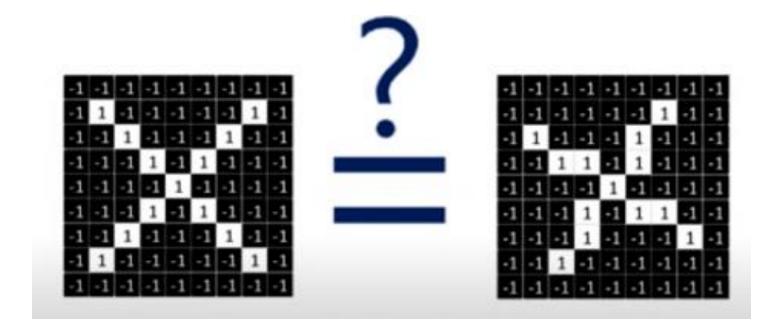
Apply same filter to 4\*4 patches in input

Shift by 's' pixels for next patch

This operation is called **convolution** 

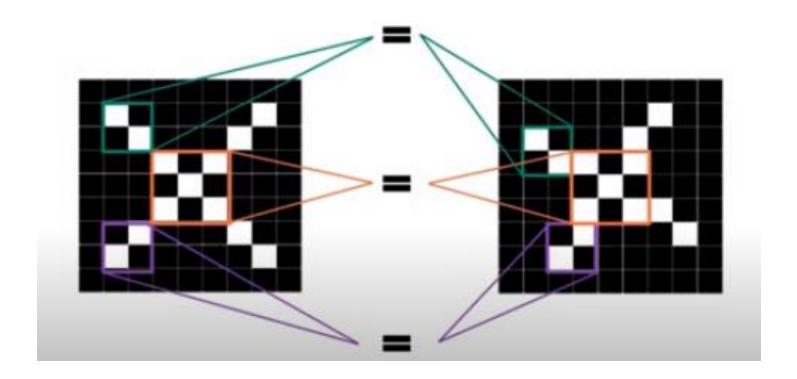
- 1. Apply set of weights (one filter) to extract local features
- 2. Use multiple filters to extract different features
- 3. Spatially share parameters of each filter

# Case study: X???

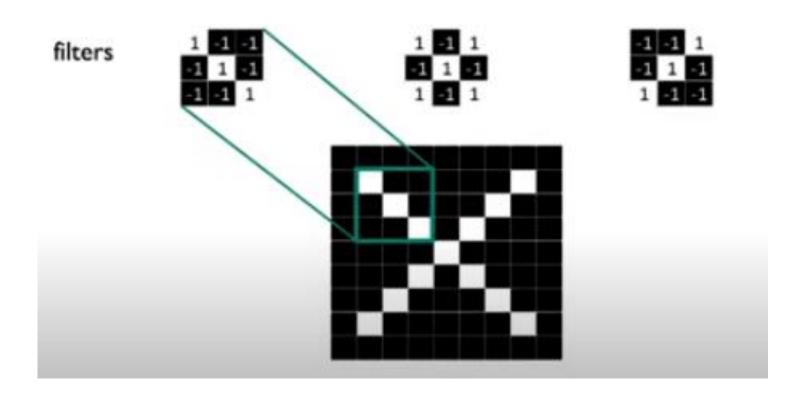


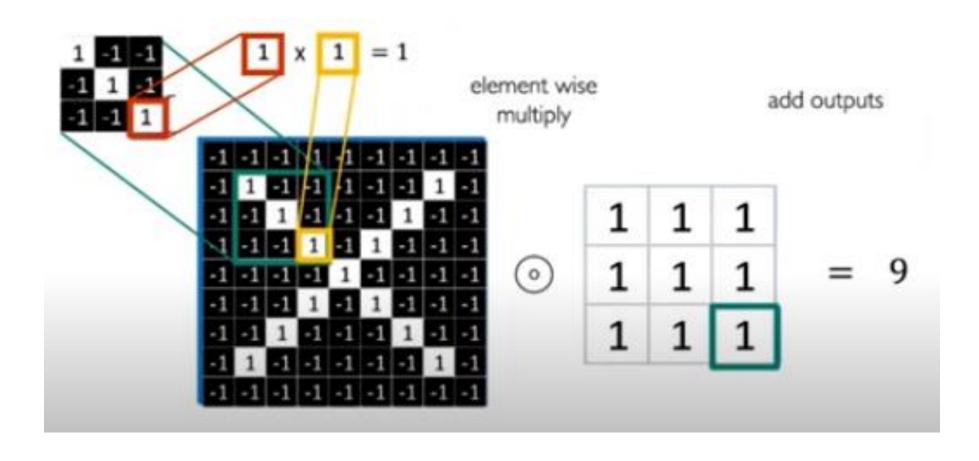
Classify X as an X even if it is shifted, scaled, rotated or deformed

#### Features of X



#### Filters to detect 'X' features

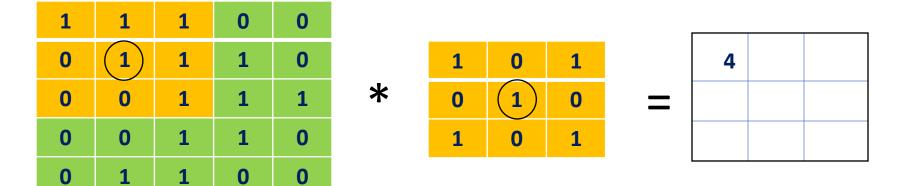


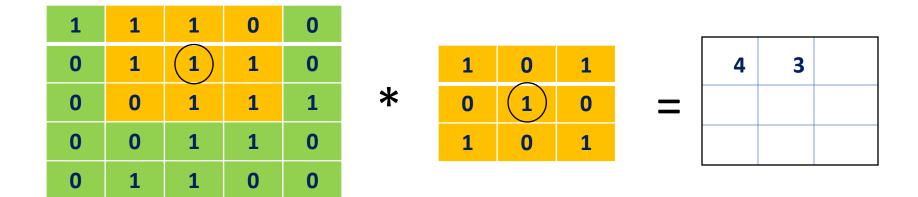


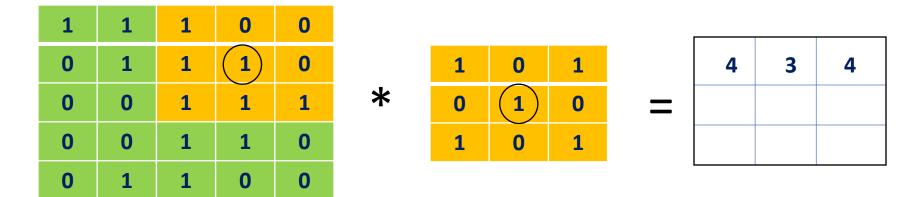
1	1	1	0	0	
0	1	1	1	0	
0	0	1	1	1	*
0	0	1	1	0	
0	1	1	0	0	

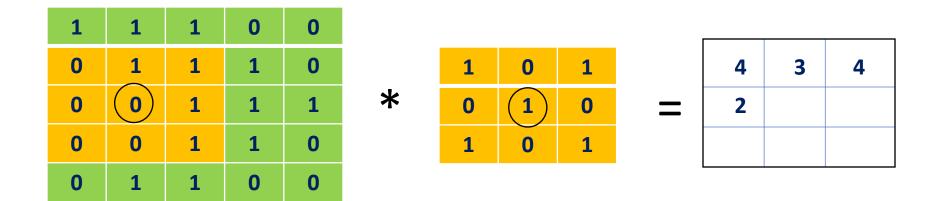
Convolution of 5x5 image with 3x3 filter

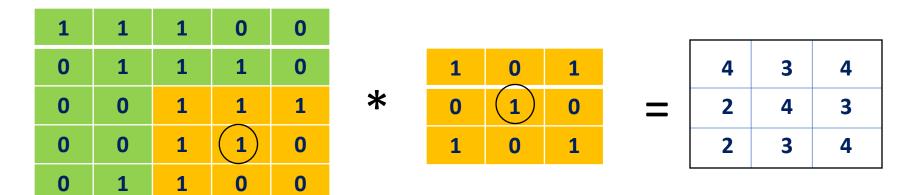
0









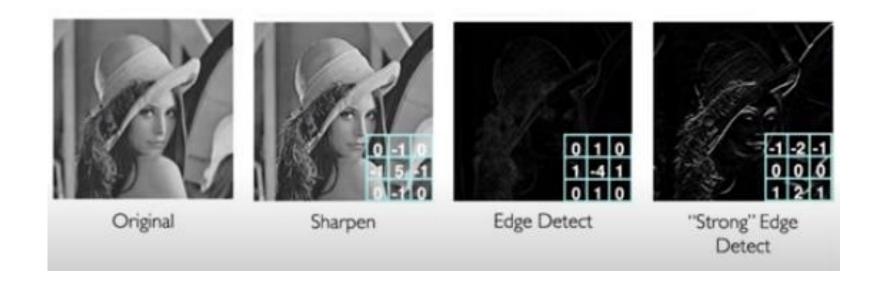


Feature Map

Wherever pattern of filter is seen in image, feature map will have highest value

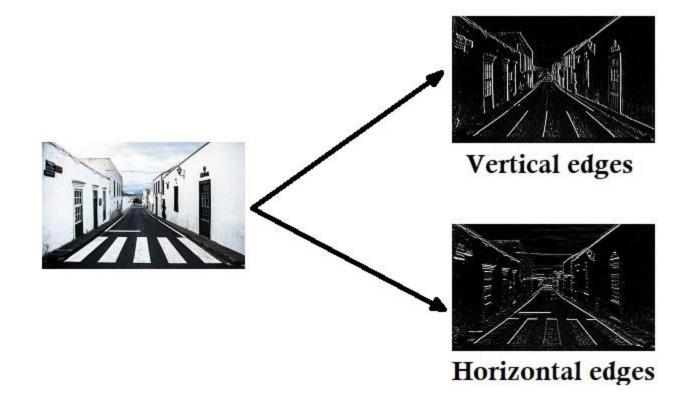
- Technically, cross-correlation is being performed.
- Convolution actually involves horizontal and vertical flipping of the filter.
- Flipping allows for associativity property in some signal processing applications. Not really required in neural networks.
- So the term 'convolution' is used here by convention

#### Feature Maps



The network learns the weights of the filters to detect various features

# Computer Vision



# Vertical Edge Detection

3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
4	2	1	6	2	8
2	4	5	2	3	9

\*

1	0	-1
1	0	-1
1	0	-1

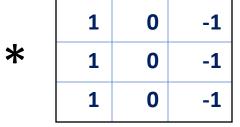
-5	-4	0	8
-10	-2	2	3
0	-2	-4	-7
-3	-2	-3	-16

6\*6 image

3\*3 filter

# Light to Dark Edge

10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0



0	30	30	0
0	30	30	0
0	30	30	0
0	30	30	0

6x6 image



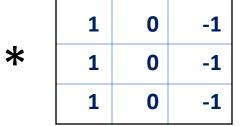
3x3 filter





# Dark to Light Edge

0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10



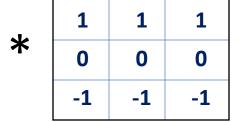
0	-30	-30	0
0	-30	-30	0
0	-30	-30	0
0	-30	-30	0

# Vertical and Horizontal Edges

1	0	-1
1	0	-1
1	0	-1

1	1	1
0	0	0
-1	-1	-1

10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10

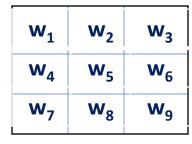


0	0	0	0
30	10	-10	-30
30	10	-10	-30
0	0	0	0

### Edge Detection Filters

1	0	-1
1	0	-1
1	0	-1

1	0	-1
2	0	-2
1	0	-1

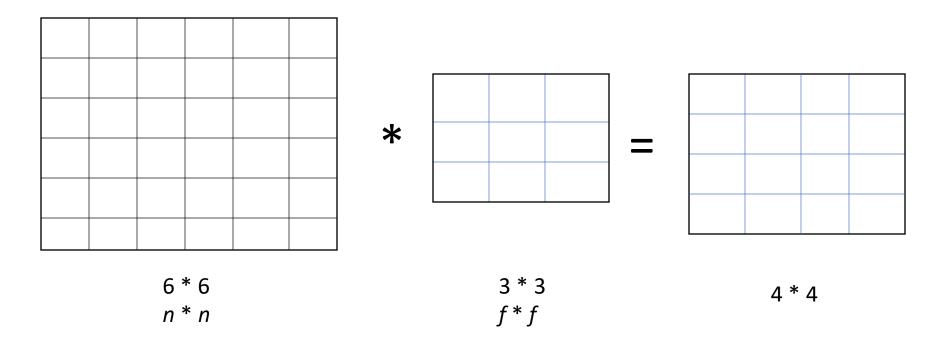


Sobel filter

Learn the parameters

Filters can be of various types to detect edges at various angles

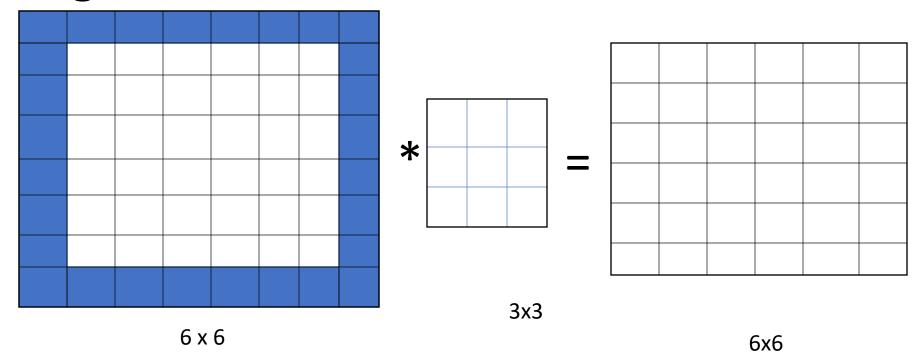
#### Output Feature Map



Output feature map size: (n-f+1)\*(n-f+1)

- Output shrinks
- •Corner/edge pixels contribute less to output -Loss of information

### Padding



Padding is usually with zeros Let *p* be the padding (here, 1 pixel all around)

Output size = (n+2p-f+1)\*(n+2p-f+1)

#### Valid and Same Convolutions

- 'Valid' convolution: No padding
- 'Same' convolution: Padding done so that output size is same as input size

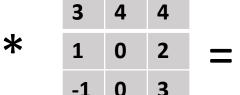
$$n+2p-f+1 = n$$

$$\Rightarrow p = (f-1)/2$$

- By convention, f is usually odd
  - Filter has a central pixel

#### Strided convolution

2	3	7	4	6	2	9
6	6	9	8	7	4	3
3	4	8	3	8	9	7
7	8	3	6	6	3	4
4	2	1	8	3	4	6
3	2	4	1	9	8	3
0	1	3	9	2	1	4

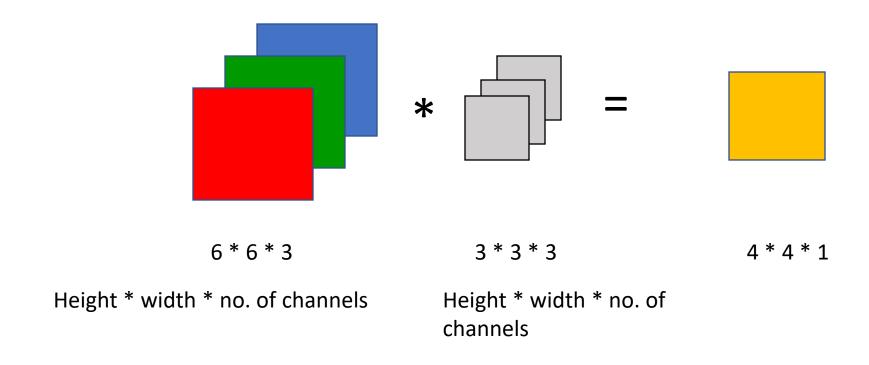


91	100	83
69	91	127
44	72	74

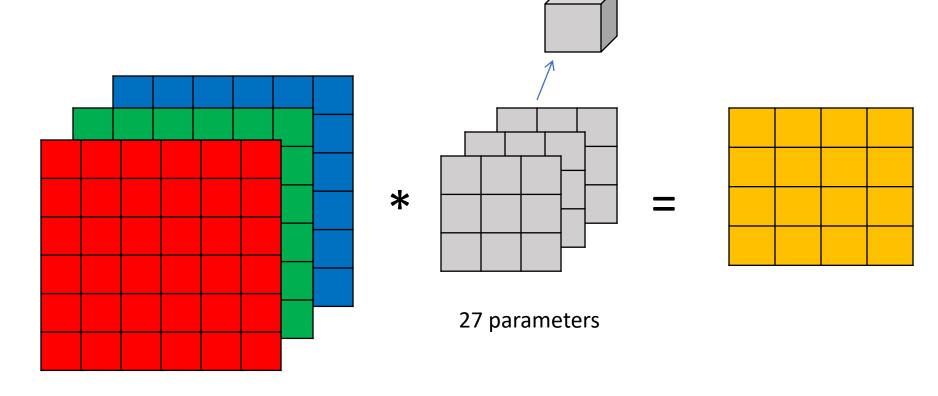
Stride (s): Step size of sliding window

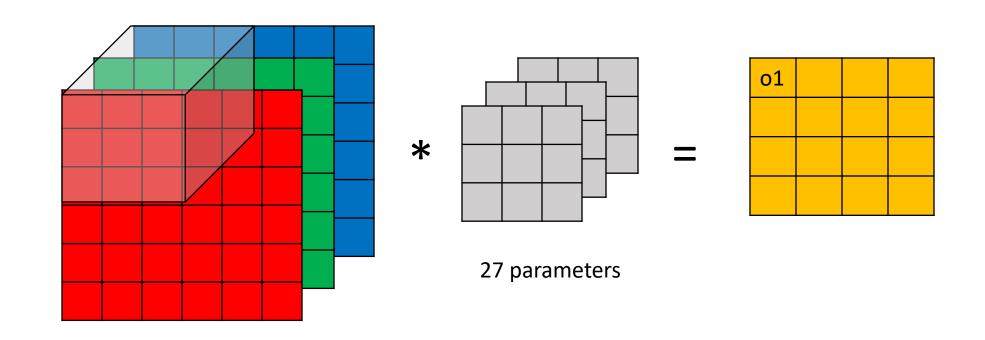
Output size: [(n+2p-f)/s)+1]\*[(n+2p-f)/s)+1]

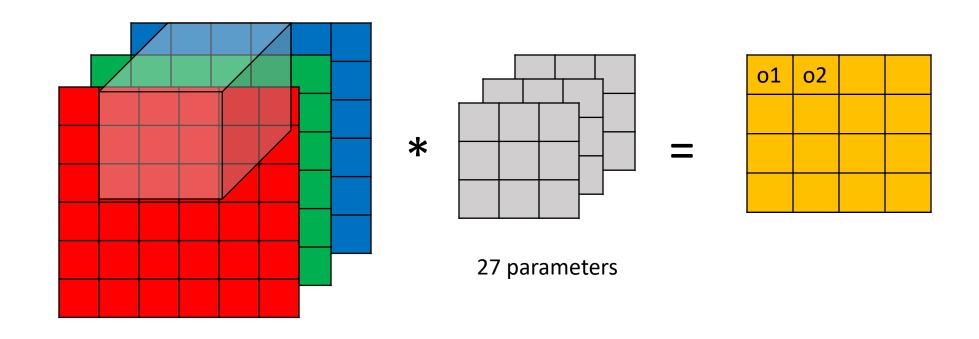
- -If not an integer, take floor value
- Filter must lie entirely within the image

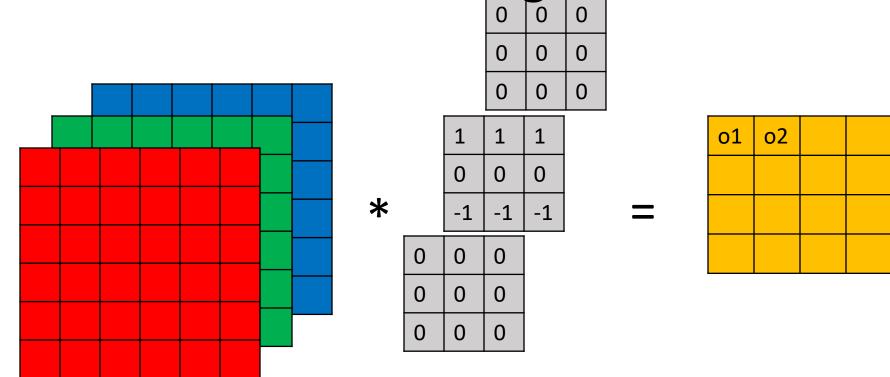


No. of channels in input = No. of channels in filter

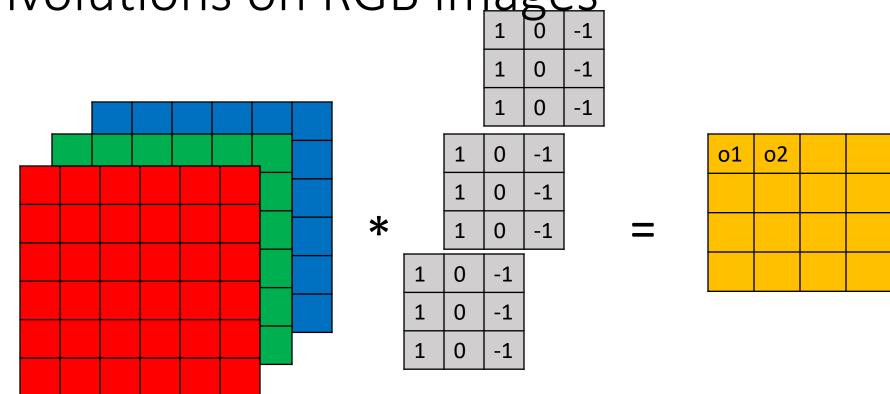






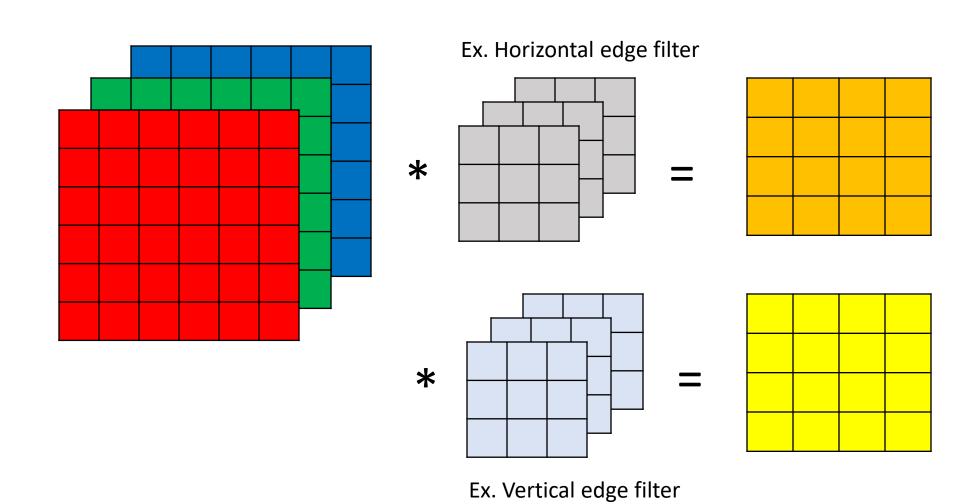


Example of filter if horizontal edges in Green channel are to be detected

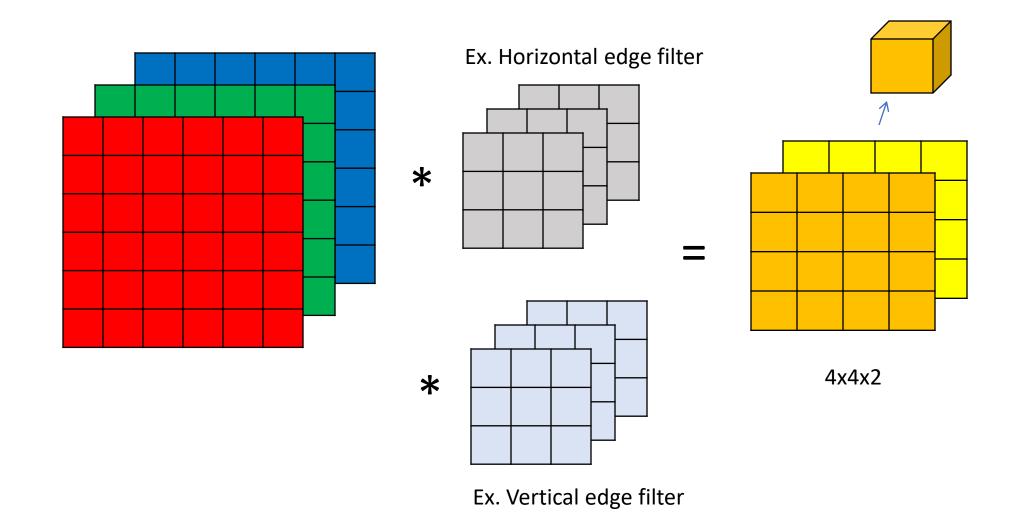


Example of filter if vertical edges in all channels are to be detected

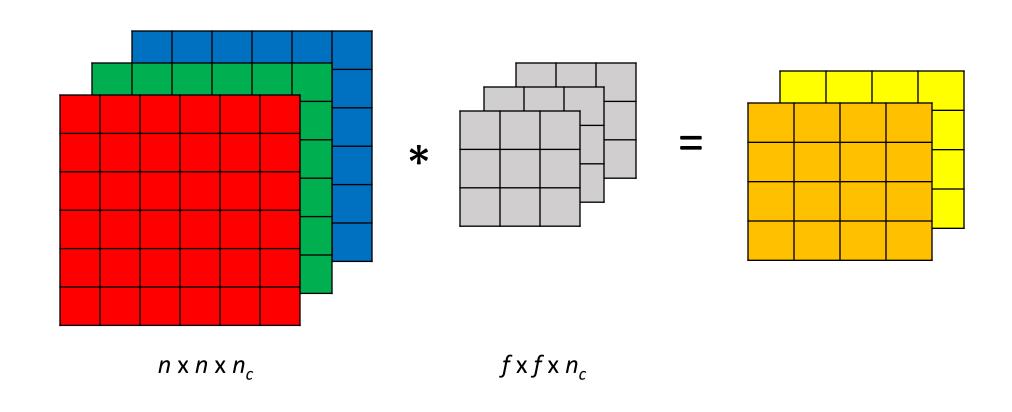
# Multiple filters



# Multiple filters

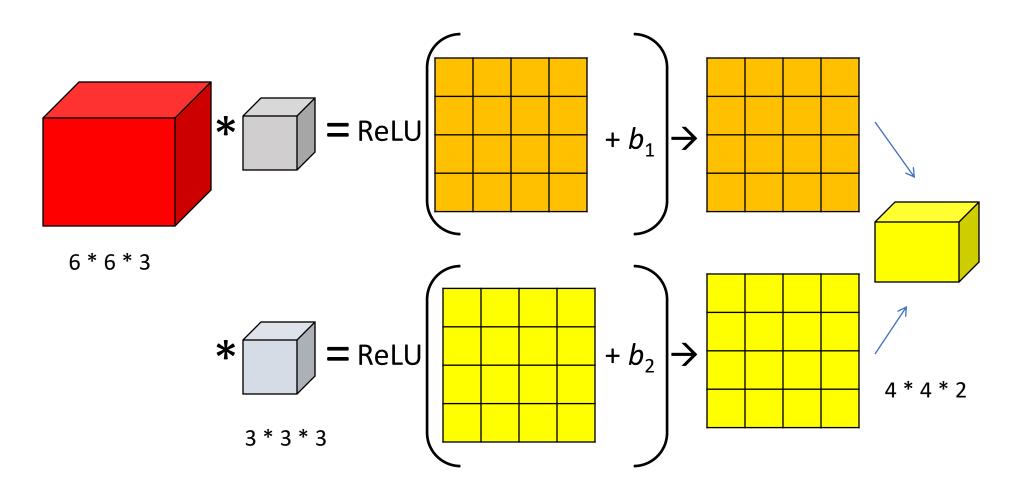


## Multiple filters



Output size:  $((n - f)/s + 1) * ((n - f)/s + 1) * n_f$  $n_f$ : no. of filters

# One Layer of Convolution Network



$$z = w x + b$$
$$a = g(z)$$

# No. of parameters in a layer

• Ex. If input size is 28\*28\*3 with zero padding, what will be output feature size (assume stride = 1) assuming 10 filters of size 5\*5\*3?

How many parameters does that layer have?

Each filter: 5\*5\*3 = 75 + 1 = 76 parameters

For 10 filters = 76\*10 = 760 parameters

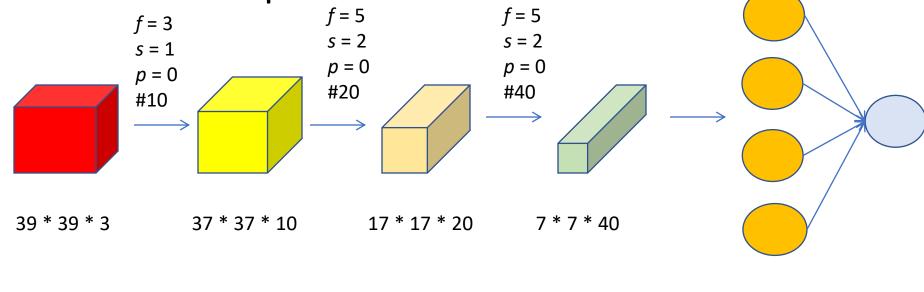
#### Notation

• For layer / (convolution layer):

```
f^{[l]}: filter size p^{[l]}: padding s^{[l]}: stride n_c^{[l]}: no. of filters Each filter: f^{[l]} * f^{[l]} * n_c^{[l-1]} Weights: f^{[l]} * f^{[l]} * n_c^{[l-1]} * n_c^{[l]} Bias: n_c^{[l]}
```

Input:  $n_h^{[l-1]} * n_w^{[l-1]} * n_c^{[l-1]}$ Output:  $n_h^{[l]} * n_w^{[l]} * n_c^{[l]}$  $n_h^{[l]} = (n_h^{[l-1]} + 2p^{[l]} - f^{[l]})/s^{[l]} + 1$   $n_w^{[l]} = (n_w^{[l-1]} + 2p^{[l]} - f^{[l]})/s^{[l]} + 1$  Hyperparameters

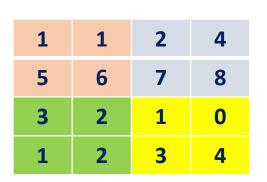
### ConvNet Example

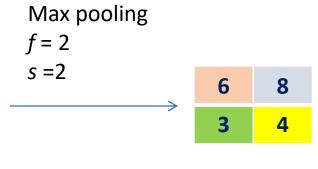


1960

 $n_h$ ,  $n_w$  decrease  $n_c$  increases

### Pooling



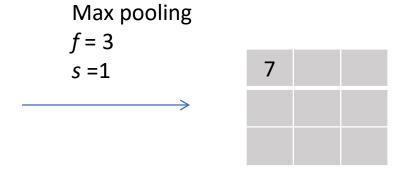


- Summarizes characteristics in an area of feature map produced by convolution layer
- Reduce size of representation to speed up computation
- Makes detected features more robust
- No parameters to learn!!

## Max Pooling

#### Intuition for max pool: The large number probably represents some feature

1	3	2	2	5
5	6	7	8	1
3	2	1	0	7
1	2	3	4	9
1	1	2	9	4

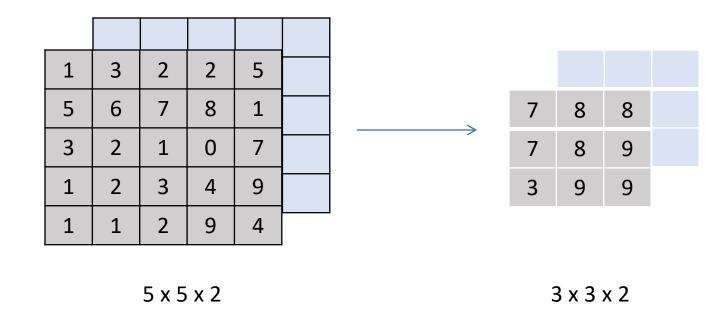


8

9

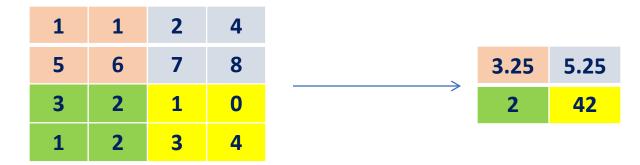
1	3	2	2	5
5	6	7	8	1
3	2	1	0	7
1	2	3	4	9
1	1	2	Q	1

# Max Pooling



Each channel is handled independently

# Average Pooling



### Pooling Output

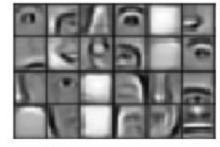
- Assuming a *f*-dimensional pooling filter over each channel of feature map with stride *s*:
  - For a feature map having dimensions  $n_h^* n_w^* n_c$ , the dimensions of output obtained after a pooling layer is  $((n_h f)/s + 1) * ((n_w f)/s + 1) * n_c$
- Example: Input feature map of 4\*4\*3, a max pool filter of 2\*2, with stride 2\*2, what is size of output?

### Representation Learning in Deep CNNs

Low level features

Edges, dark spots

Mid level features



Eyes, ears, nose

High level features



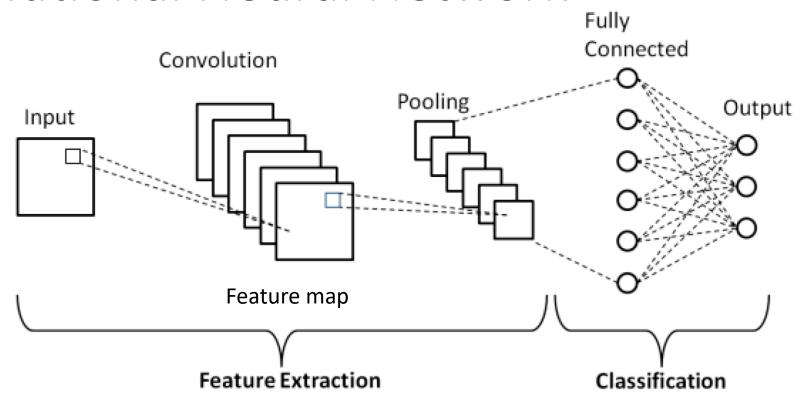
Facial structure

Conv Layer 1

Conv Layer 2

Conv Layer 3

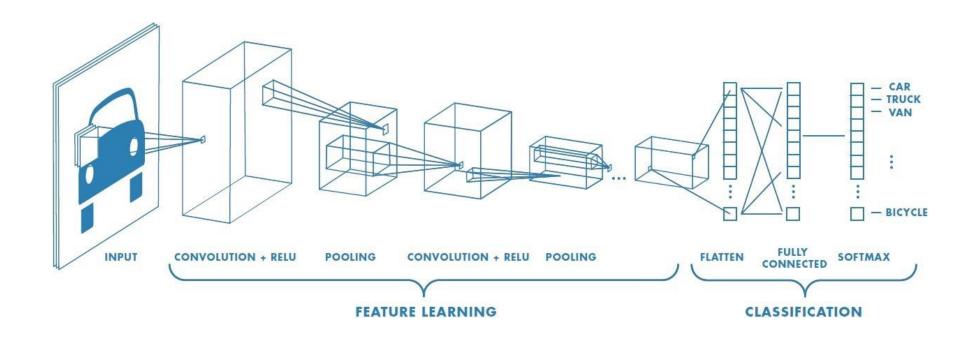
#### Convolutional Neural Network



- 1. Convolution Apply filters to generate feature maps
- 2. Apply non-linearity Often ReLU
- 3. Pooling Downscaling operation on each feature map

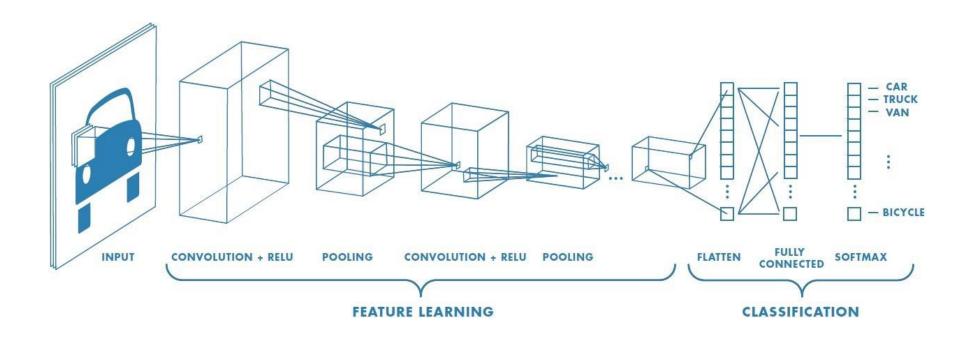
Train model with image data. Learn weights of filters in convolution layers

#### CNN for Classification: Feature Learning



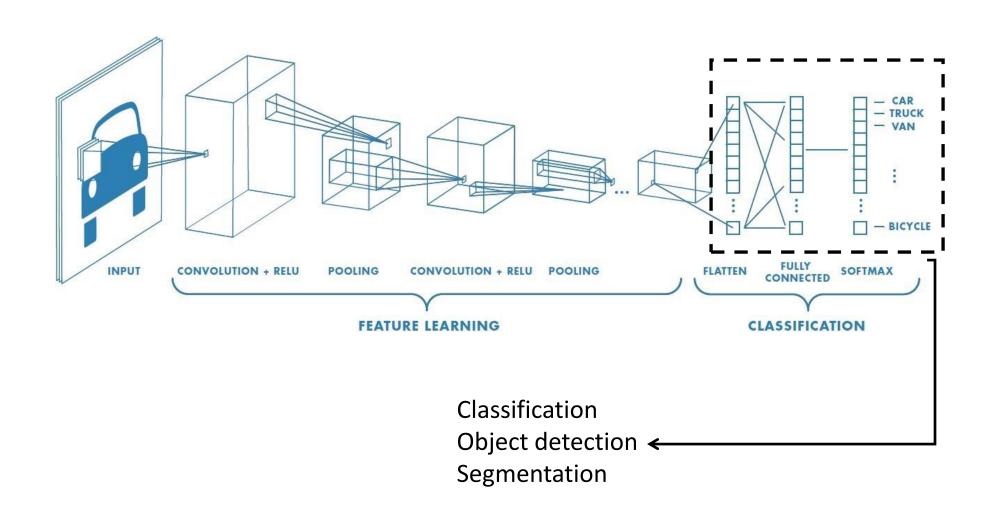
- 1. Learn features in input image through convolution
- 2. Introduce **non-linearity** through activation
- 3. Reduce dimensionality and preserve spatial invariance with pooling

#### CNN for Classification: Class Probabilities



- 1. CONV and POOL layers output high level features of input
- 2. Fully connected layer uses these features for classifying input image
- 3. Express output as **probability** of image belonging to a particular class

#### CNN for Classification: Class Probabilities



# CNN Example

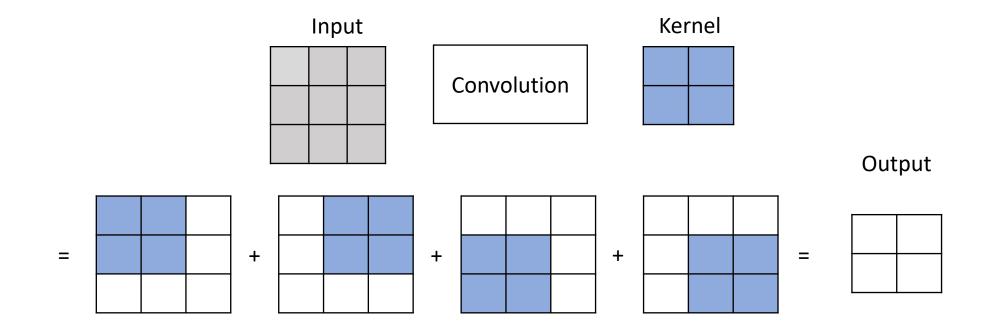
	Activation shape	Activation size	# parameters (assuming all channels of a filter have same weight)						
Input:	(32, 32, 3)	3072	0						
CONV1 (f = 5, s = 1, #f = 8)	(28, 28, 8)	6272	208						
POOL1	(14, 14, 8)	1568	0						
CONV2 (f = 5, s = 1, #f = 16)	(10, 10, 16)	1600	416						
POOL2	(5, 5, 16)	400	0						
FC3	(120, 1)	120	48,001						
FC4	(84, 1)	84	10,081						
Softmax	(10, 1)	10	841						

# Transposed Convolution

#### Introduction

- CNN layers, such as convolutional layers and pooling layers:
  - Typically reduce (downsample) spatial dimensions (height and width) of input, or keep them unchanged
- In semantic segmentation that classifies at pixel-level, it will be convenient if spatial dimensions of input and output are same
  - Ex., channel dimension at one output pixel can hold classification results for input pixel at same spatial position





Convolution with a 2 \* 2 kernel computed for a 3 \* 3 input tensor → Output size becomes 2 \* 2

### Tranpose Convolution

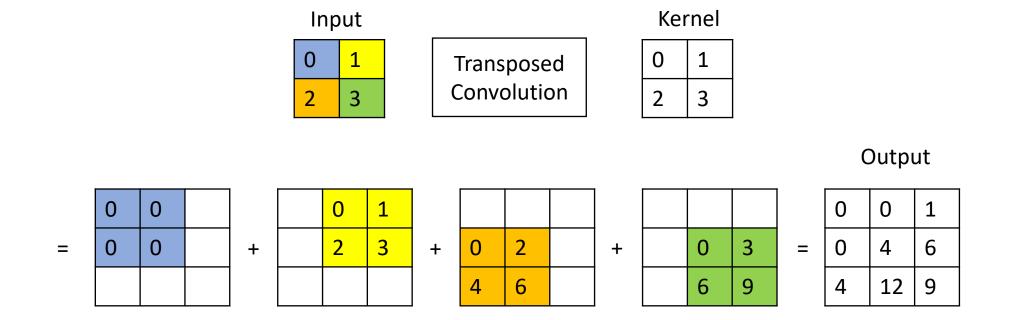
 Can use another type of CNN layers that can increase (upsample) spatial dimensions of intermediate feature maps

- Transposed convolution, also called fractionally-strided convolution, used for reversing down-sampling operations by convolution
  - For increasing resolution of input
  - In encoder-decoder architectures (on decoder side)

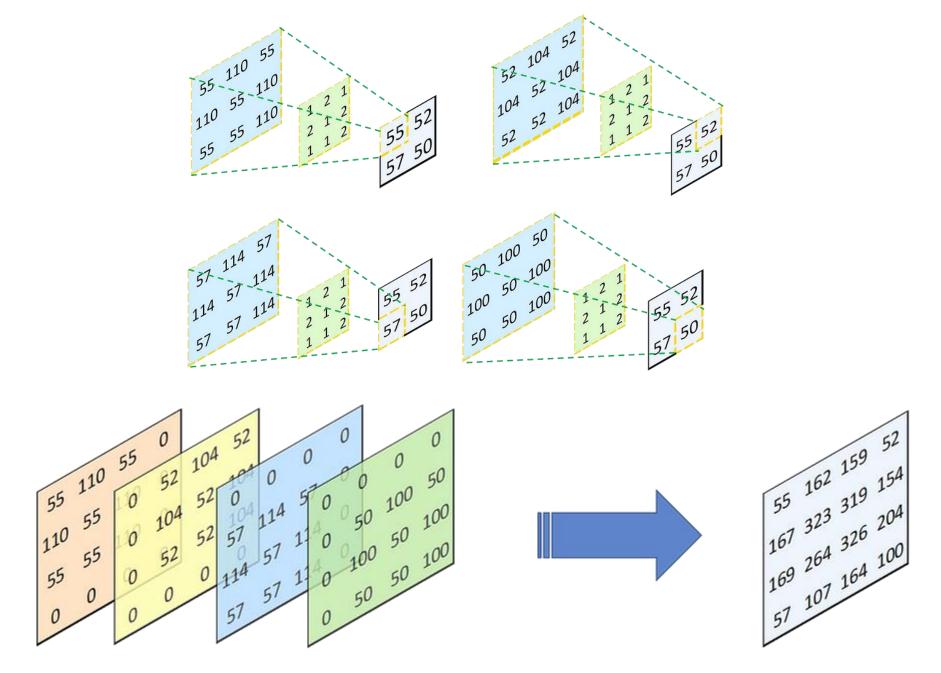
### Transposed Convolution

#### Basic transposed convolution operation with stride of 1 and no padding

- Given a  $n_h^* n_w$  input tensor and a  $k_h^* k_w$  kernel
- Sliding kernel window with stride of 1 for  $n_w$  times in each row and  $n_h$  times in each column yields a total of  $n_h n_w$  intermediate results
  - Each intermediate result is a  $(n_h + k_h 1) * (n_w + k_w 1)$  tensor initialized as zeros
- To compute each intermediate tensor:
  - Each element in input tensor multiplied by kernel so that resulting  $k_h^*k_w$  tensor replaces a portion in each intermediate tensor
  - Note: position of replaced portion in each intermediate tensor corresponds to position of element in input tensor used for computation
- All intermediate results summed over to produce output



Transposed convolution with a 2 \* 2 kernel computed for a 2 \* 2 input tensor with stride 1



### Transposed Convolution

- Regular convolution reduces input elements via the kernel
- Transposed convolution broadcasts input elements via kernel
  - Produces an output larger than the input

$$Y[i:i+h,j:j+w] += X[i,j] * K$$

### Padding, Strides

- Applied to output in transposed convolution
  - Different from regular convolution where padding is applied to input
- Ex., when specifying padding number on either side of height and width as 1:
  - First and last rows and columns will be removed from transposed convolution output
- Strides are specified for intermediate results (thus output), not for input

	Input										Kernel													
							(	0	1					osed			0	1						
							2	2	3				voit <u>ride</u>	utio <u>2)</u>	n		2	3						
																						Ou	tput	t
	0	0						0	1												0	0	0	1
=	0	0			+			2	3	+					+					=	0	0	2	3
											0	2						0	3		0	2	0	3
											4	6						6	9		4	6	6	9

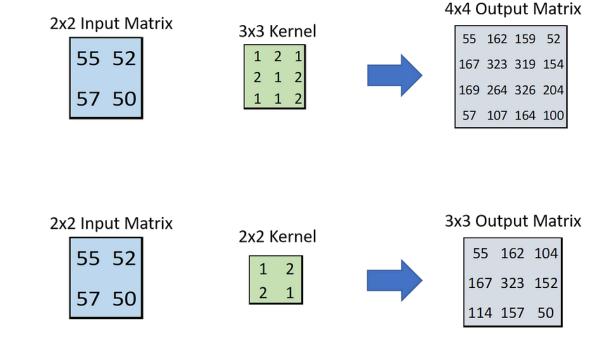
Transposed convolution with a 2 \* 2 kernel computed for a 2 \* 2 input tensor with stride 2

#### Notation

- Input:  $n_h * n_w$
- Kernel:  $k_h * k_w$
- Stride:  $(s_h, s_w)$
- Padding: p
- Output:  $O_h * O_w$ 
  - $O_h = (n_h 1)^* s_h + k_h 2p$
  - $O_w = (n_w 1)^* s_w + k_w 2p$

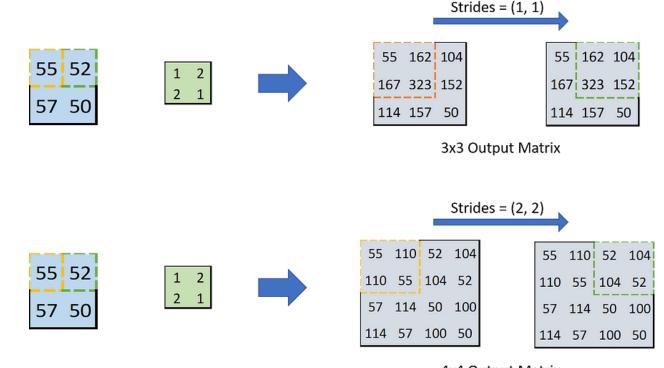
#### Kernel Size

- When kernel size gets larger, we "disperse" every single number from input layer to a broader area
  - Larger the kernel size, larger the output matrix (if no padding is added)



#### Strides

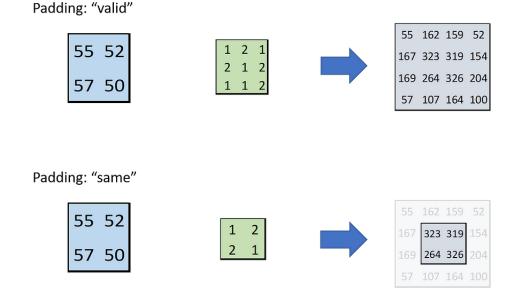
- Indicates how fast kernel moves on output layer
  - Kernel always move only one number at a time on input layer
  - Larger the strides, larger the output matrix (if no padding)



4x4 Output Matrix

### Padding

- Can be of type: "valid" and "same"
  - "valid": output shape will be larger than input shape
  - "same": output shape becomes input shape multiplied by stride
    - If this output shape is smaller than original output shape, only the very middle part of output is maintained
    - When padding = 'same' and stride = 1, output has same size as input



### Multiple Channels

- For multiple input and output channels, transposed convolution works in same way as regular convolution
- Suppose input has  $c_i$  channels, and transposed convolution assigns a  $k_h \times k_w$  kernel tensor to each input channel
  - Will have a  $c_i \times k_h \times k_w$  kernel for each output channel