

Finite Length ^{Orthogonal} Transform (FLOT) \rightarrow

$$\left. \begin{aligned} X(k) &= \sum_{n=0}^{N-1} x[n] \phi_k^*[n] \\ x[n] &= \frac{1}{N} \sum_{k=0}^{N-1} X(k) \phi_k[n] \end{aligned} \right\} \begin{aligned} 0 \leq k \leq N-1 \\ 0 \leq n \leq N-1 \end{aligned}$$

Involves:
orthogonal basis,
 $\phi_k(n); 0 \leq k \leq N-1$
is subjected to
 $\frac{1}{N} \sum_{n=0}^{N-1} \phi_i(n) \phi_j^*[n] = \begin{cases} 1, & i=j \\ 0, & i \neq j \end{cases}$

First, Foremost Example of FLOT is DFT

$$x[n] \xleftrightarrow{\text{DFT}} X(k)$$

$$\phi_k(n) = e^{j2\pi kn/N}$$

Issues with DFT \Rightarrow

1. $X(k) \in \mathbb{C}$ even for $x[n] \in \mathbb{R}$ for $n \in \{0, 1, \dots, N-1\}$
2. Redundant transform coefficients for $x[n] \in \mathbb{R}$, $n \in \{0, 1, \dots, N-1\}$

$$\therefore X(k) = X^*(N-k)$$

for N -even $\Rightarrow X(0) = \sum_{n=0}^{N-1} x[n]$

$$X\left(\frac{N}{2}\right) = \sum_{n=0}^{N-1} (-1)^n x[n]$$

real & Distinct

$$\left\{ \begin{aligned} X(k) &= X^*(N-k) \\ 1 \leq k \leq \frac{N}{2}-1 \end{aligned} \right.$$

$$X(1) = X^*(N-1)$$

$$X(2) = X^*(N-2)$$

$$X\left(\frac{N}{2}-1\right) = X^*\left(\frac{N}{2}+1\right)$$

$\frac{N-1}{2}$ samples are in conjugate pairs i.e. redundant ones.

Similarly:

for N -odd:

$$X(0) = \sum_{n=0}^{N-1} x[n] \in \mathbb{R}$$

$$X(k) = X^*(N-k); \quad 1 \leq k \leq \frac{N-1}{2}$$

$\frac{N-1}{2}$ samples are in conj. pairs / Redundant.

③ For $x[n] \in \mathbb{R}$, $n \in \{0, 1, \dots, N-1\}$

\Downarrow

$X(k)$ does not have Good Energy Compression properties as that of already developed ~~other~~ ~~others~~ FLOPs like

DCT, DST, Haar Transform, Hadamard Transform

Suggested Reading: DSP by S.K. Mitra

Discrete Cosine Transform $\rightarrow \phi_k(n) = \cos\left(\frac{\pi}{N}kn\right)$

$X_{DCT}(k) \in \mathbb{R}$ if $x[n] \in \mathbb{R}$, $n \in \{0, 1, \dots, N-1\}$
 $k \in \{0, 1, 2, \dots, N-1\}$

\Downarrow No redundancy due to conjugation symmetry.

\rightarrow Good energy compression properties.

Development ^{Rough} Idea to have $X(k) \in \mathbb{R}$ for $x[n] \in \mathbb{R}$ without

Redundancy \rightarrow

$x[n] \in \mathbb{R} \Rightarrow x[n] = x^*[n] \xrightarrow{\text{DFT } N\text{-point}} X(k) = X^*(-k)_N$
 \hookrightarrow Real $X(k) = X^*(N-k) \Rightarrow X(k) = X(N-k)$

$x[n]$ is conjugate-symmetric $\Rightarrow x[n] = x^*[-n]_N \xrightarrow{\text{DFT } N\text{-point}} X(k) = X^*(-k)_N = X(N-k)$

when $x[n]$ is Real & even $\Rightarrow x[n] = x^*[n] = x[-n]_N \xrightarrow{\text{DFT } N\text{-point}} X(k) = X^*(N-k) = X(N-k)$
 \Downarrow $X(k) = X^*(k) \Rightarrow X(k)$ is Real

$X(0) = X(0)$
 $X(1) = X(N-1)$
 $X(2) = X(N-2)$
 $X(3) = X(N-3)$
 \vdots
 $X(\frac{N}{2}-1) = X(\frac{N}{2}+1)$

$X(k) = X(N-k) \Rightarrow X(k)$ is Even.

$X(k) = X^*(k) = X(N-k)$

$\frac{N}{2}$ -points DCT

Keep only unique, $\frac{N}{2}$ points

A development of DCT in a general manner.

To incorporate above ideas intelligently

DCT \Rightarrow

Extension is the process primarily done to remove the redundancy using cyclo-symmetry (even-symmetry).

extends $x[n]$ to length, $L > N$ as $y_e[n] = y_e[n+L]$ such that $y_e[n]$ is an even (even) symmetric sequence with periodicity $L > N$ instead of N .

$x[n] \xrightarrow{\text{zero padding}} x_e[n] \xrightarrow{\text{interpolation (symmetry)}} y_e[n] = y_e[-n]$

Take DFT with L -points instead of N -points.

N -point DCT

At the end; extract only the amplitude part of first N -points of the L -point DFT

Extensions of $x[n]$ to $y_e[n] = y_e[-n]$

e.g. $x[n] = \{a, b, c, d\} \Rightarrow N=4$

\therefore phase part will be linear & directly computed using N only.
 Moreover, for periodic version \Rightarrow phase become zero.

Type-I $\Rightarrow y_{e1}[n] = \{a, b, c, d, c, b\} \Rightarrow L=6=2N-2$

Type-II $\Rightarrow y_{e2}[n] = \{a, b, c, d, d, b, c, a\} \Rightarrow L=8=2N \Rightarrow y_{e2}[n] \Rightarrow \text{DCT-2}$

Type-III $\Rightarrow y_{e3}[n] = \{a, b, c, d, 0, -d, -c, -b, -a, -b, -c, -d, 0, a, b, c\} \Rightarrow L=16=4N \Rightarrow y_{e3}[n] \Rightarrow \text{DCT-3}$

Type-IV $\Rightarrow y_{e4}[n] = \{a, b, c, d, -d, -c, -b, -a, -a, -b, -c, -d, d, c, b, a\} \Rightarrow L=16=4N \Rightarrow y_{e4}[n] \Rightarrow \text{DCT-4}$

so on.

Points of Symmetry.

so on.

Type- VIII \Rightarrow

There are 8 types of cyclo-symmetric extensions possible; which results in DCT-1, DCT-2, DCT-3, ..., DCT-8.

Note → On the other hand, there are '8' possible cyclo-anti-symmetric (odd-symmetric) extensions \Rightarrow Discrete Sine Transform

Note → Type-2 DCT has been employed in a no. of international standards for image and video compression, such as JPEG, MPEG, and H.261, because of its better energy compaction property. So we consider here only DCT-2

DST-1, DST-2, ..., DST-8

Even Symmetrical DCT

Type-2 DCT (DCT-2) \Rightarrow

Let $x[n]$ be an 'N' length sequence defined for $n \in \{0, 1, 2, \dots, N-1\}$

In general

$$x_e[n] = \begin{cases} x[n] & 0 \leq n \leq N-1 \\ 0 & N \leq n \leq L-1 \end{cases}$$

$$y_e[n] = x_e[L-N]$$

$$x_e[n] = \begin{cases} x[n] & 0 \leq n \leq N-1 \\ 0 & N \leq n \leq 2N-1 \end{cases}$$

Type-2 symmetric sequence, $y[n] = x_e[n] + x_e[2N-1-n]$

$$y[n] = y[2N-1-n]$$

2N-point DFT

$$Y(K) = \sum_{n=0}^{2N-1} y[n] W_{2N}^{Kn}, \quad 0 \leq K \leq 2N-1$$

$$= \sum_{n=0}^{N-1} y[n] W_{2N}^{Kn} + \sum_{n=N}^{2N-1} y[n] W_{2N}^{Kn}$$

$$= \sum_{n=0}^{N-1} x[n] W_{2N}^{Kn} + \sum_{n=N}^{2N-1} x[2N-1-n] W_{2N}^{Kn}, \quad 0 \leq K \leq 2N-1$$

change of variable
 $2N-1-n = m \rightarrow n$

$$Y(k) = \sum_{n=0}^{N-1} x[n] W_{2N}^{kn} + \sum_{n=0}^{N-1} x[n] W_{2N}^{k(2N-1-n)}$$

$$= W_{2N}^{-\frac{k}{2}} \sum_{n=0}^{N-1} x[n] \left(W_{2N}^{kn} \cdot W_{2N}^{k/2} + W_{2N}^{-kn} \cdot W_{2N}^{-k/2} \right)$$

$$= W_{2N}^{-\frac{k}{2}} \sum_{n=0}^{N-1} 2 x[n] \left\{ \frac{e^{-j\frac{2\pi}{2N} \cdot k \frac{(2n+1)}{2}} + e^{j\frac{2\pi}{2N} \cdot k \frac{(2n+1)}{2}}}{2} \right\}$$

$$Y(k) = W_{2N}^{-\frac{k}{2}} \sum_{n=0}^{N-1} 2 x[n] \cos\left(\frac{\pi k (2n+1)}{2N}\right), \quad 0 \leq k \leq 2N-1$$

$$e^{j\frac{2\pi}{2N} \cdot k \frac{(2n+1)}{2}} = e^{j\frac{\pi k}{2N} (2n+1)} \Rightarrow W_{2N}^{-\frac{k}{2}} = e^{-j\frac{\pi k}{2N} (2n+1)} \Rightarrow \text{Linear phase factor parameterized on } N!$$

$$x[n] \xleftrightarrow{\text{DCT}} X_{\text{DCT}}(k) = \sum_{n=0}^{N-1} 2 x[n] \cos\left(\frac{\pi k (2n+1)}{2N}\right), \quad 0 \leq k \leq N-1$$

$$x[n] \in \mathbb{R} \xleftrightarrow{\text{DCT}} X_{\text{DCT}}(k) \in \mathbb{R}$$

$$n \in \{0, 1, 2, \dots, N-1\}, \quad k \in \{0, 1, 2, \dots, N-1\}$$

Inverse DCT \Rightarrow

$$x[n] = \frac{1}{N} \sum_{k=0}^{N-1} X(k) X_{\text{DCT}}(k) \cos\left(\frac{\pi k (2n+1)}{2N}\right), \quad 0 \leq n \leq N-1$$

$$\text{where } X(k) = \begin{cases} 1/2 & k=0 \\ 1 & 1 \leq k \leq N-1 \end{cases}$$

Note \Rightarrow

$$\frac{1}{N} \sum_{n=0}^{N-1} \cos\left(\frac{\pi k (2n+1)}{2N}\right) \cos\left(\frac{\pi m (2n+1)}{2N}\right) = \begin{cases} 1 & k=m=0 \\ 1/2 & k=m \neq 0 \\ 0 & k \neq m \end{cases}$$

Basis sequences $\cos\left(\frac{\pi k (2n+1)}{2N}\right)$ are orthogonal to each other.

Verification
Destruction

$$x[n] \xrightarrow{\text{IDFT}} X_{\text{DFT}}(k) \rightarrow$$

$$X_{\text{DFT}}(k) = \sum_{n=0}^{N-1} 2 x[n] \cos\left(\frac{\pi k(2n+1)}{2N}\right) \quad 0 \leq k \leq N-1$$

from IDFT eqⁿ

$$= 2 \sum_{n=0}^{N-1} \left(\frac{1}{N} \sum_{l=0}^{N-1} x[l] X_{\text{DFT}}(l) \cos\left(\frac{\pi l(2n+1)}{2N}\right) \cos\left(\frac{\pi k(2n+1)}{2N}\right) \right)$$

$$= 2 \sum_{n=0}^{N-1} x[l] X_{\text{DFT}}(l) \left(\frac{1}{N} \sum_{l=0}^{N-1} \cos\left(\frac{\pi l(2n+1)}{2N}\right) \cos\left(\frac{\pi k(2n+1)}{2N}\right) \right)$$

$$\rightarrow \begin{cases} 1 & k=l=0 \\ 1/2 & k=l \neq 0 \\ 0 & k \neq l \end{cases}$$

$$X_{\text{DFT}}\{k\} = \begin{cases} 2 x(k) X_{\text{DFT}}(k) & k=0 \\ x(k) X_{\text{DFT}}(k) & 0 \leq k \leq N-1 \end{cases}$$

$$= X_{\text{DFT}}(k) \quad 0 \leq k \leq N-1$$

Computation of DFT

Relationship of 2N-point DFT & N-point DFT \rightarrow

$$Y(k) = \begin{cases} W_{2N}^{-\frac{k}{2}} X_{\text{DFT}}(-k) & 0 \leq k \leq N-1 \\ 0 & k=N \\ -W_{2N}^{-\frac{k}{2}} X_{\text{DFT}}(2N-k) & N+1 \leq k \leq 2N-1 \end{cases}$$

2-N point
IDFT

$$y[n] = \frac{X_{\text{DFT}}(0)}{2N} + \frac{1}{N} \sum_{k=1}^{N-1} X_{\text{DFT}}(k) \cos\left(\frac{\pi k(2n+1)}{2N}\right), \quad 0 \leq n \leq 2N-1$$

length $-N$ IDCT $\xrightarrow{x[n]}$ N-pt. $X_{\text{DCT}}(k)$ is given by

$$\boxed{x[n] = y[n], \quad 0 \leq n \leq N-1}$$

DCT Properties \rightarrow

\Rightarrow N-length sequence in TD

$$g[n]$$

$$h[n]$$

Linearity: $\alpha_1 g[n] + \alpha_2 h[n]$

Conjugation

$$g^*[n]$$

Energy Preservation
Parseval's Energy
Theorem

$$\sum_{k=0}^{N-1} |g[k]|^2 = \frac{1}{2N} \sum_{k=0}^{N-1} \alpha(k) |G_{\text{DCT}}(k)|^2$$

$$\text{where } \alpha(k) = \begin{cases} 1/2 & k=0 \\ 1 & 1 \leq k \leq N-1 \end{cases}$$

N-length DCT in FD

$$G_{\text{DCT}}(k)$$

$$H_{\text{DCT}}(k)$$

$$\alpha_1 G_{\text{DCT}}(k) + \alpha_2 H_{\text{DCT}}(k)$$

$$G_{\text{DCT}}^*(k)$$

Energy Compaction \rightarrow

Dominant samples of $|G_{\text{DCT}}(k)|$ with high values are usually in the LF range; i.e. near to $k=0$ & contain most of the energy

$|G_{\text{DCT}}(k)| \rightarrow 0$ i.e. $|G_{\text{DCT}}(k)|$ with very small values tend to be in the HF range; i.e. near to $k \rightarrow N-1$.

Inverse Transform of the modified $G_{\text{DCT}}(k)$ with zero-valued HF samples is thus an approximation of $g[n]$.

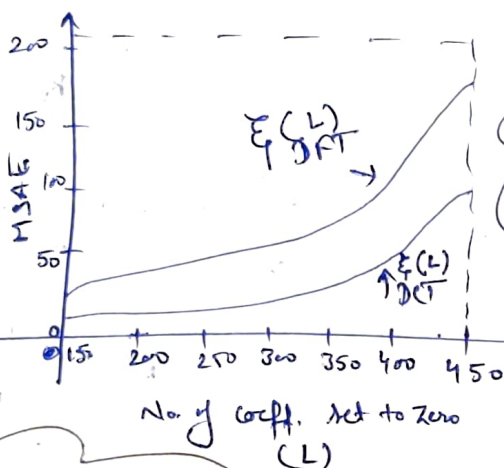
If L samples of the $g_{DCT}(n)$ with indices in the range $0 \leq n < L$ are set to zero with $L \ll N$ and if $g_{DCT}^{(L)}(n)$ denotes the inverse of the modified DCT, then the "measure of energy compaction" property caused by the removal of ' L ' samples is given by the Mean-Square approximation error (MSAE)

$$\xi_{DCT}^{(L)} = \frac{1}{N} \sum_{n=0}^{N-1} |g(n) - g_{DCT}^{(L)}(n)|^2$$

Note \rightarrow

DCT has significantly better energy compaction property than DFT.

DCT smoothens the given $x(n)$ by extending it symmetrically.



2nd ID signal is used as the row of the 512×512 image called "Goodhill" shown in Fig. 1.17 (a) of the DSP book by Sanjit K. Mitra.

Applications of DCT due to better Energy compaction in Signal compression

1st order smoothing

$$S(t) \leftrightarrow 1$$

2nd order smoothing

$$\frac{\sin(\omega c)}{1 - \cos(\omega c)}$$

3rd order smoothing

$$\frac{\sin^2(\omega c)}{\omega^2}$$

$$\propto \frac{1}{\omega^3}$$

$x(n) \leftrightarrow X(\omega) \propto \frac{1}{\omega^2}$
Smoothing of order '2'

Image compression
Speech compression

