



# Modulation of RNA Polymerase Assembly Dynamics in Transcriptional Regulation

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#### **SUMMARY**

The interaction of transcription factors with target genes is highly dynamic. Whether the dynamic nature of these interactions is merely an intrinsic property of transcription factors or serves a regulatory role is unknown. Here we have used single-cell fluorescence imaging combined with computational modeling and chromatin immunoprecipitation to analyze transcription complex dynamics in gene regulation during the cell cycle in living cells. We demonstrate a link between the dynamics of RNA polymerase I (RNA Pol I) assembly and transcriptional output. We show that transcriptional upregulation is accompanied by prolonged retention of RNA Pol I components at the promoter, resulting in longer promoter dwell time, and an increase in the steady-state population of assembling polymerase. As a consequence, polymerase assembly efficiency and, ultimately, the rate of entry into processive elongation are elevated. Our results show that regulation of rDNA transcription in vivo occurs via modulation of the efficiency of transcription complex subunit capture and assembly.

## **INTRODUCTION**

Gene activation and assembly of the transcription machinery involves the orchestrated recruitment of chromatin remodeling factors, transcriptional activators, and the basal transcription machinery (Gorski and Misteli, 2005; Metivier et al., 2003; Sims et al., 2004). The interaction of these factors with their DNA template and with each other is highly dynamic (Misteli, 2001; Hoogstraten et al., 2002; McNally et al., 2000; Stenoien et al., 2001; Phair et al., 2004a). Similarly, subunits of RNA polymerase I and II interact with their respective promoters in a rapid and transient manner (Becker et al., 2002; Dundr et al., 2002; Kimura et al., 2002; Yao et al., 2007; Karpova et al., 2008; Darzacq et al., 2007; Boireau et al., 2007).

Transcription of ribosomal RNA genes by RNA Pol I provides an excellent system to probe the functional importance of RNA polymerase dynamics in vivo. Biochemical analysis has identified the transcriptional machinery including the upstream binding factor (UBF), several assembly factors, and the RNA polymerase subunits (Grummt, 2003; Moss, 2004; Russell and Zomerdijk,

2005). rRNA genes are organized as tandemly repeated units on multiple chromosomes forming endogenous arrays of transcription units localized within nucleoli (Long and Dawid, 1980). Ribosomal genes are particularly well suited for analysis by microscopy methods since RNA Pol I accumulates at sites of active rRNA transcription in nucleolar transcription factories that can be readily visualized and analyzed by quantitative fluorescence microscopy (Chen and Huang, 2001; Dundr et al., 2000, 2002).

The RNA Pol I transcription complex has been proposed to assemble at the promoter from distinct subunits or, alternatively, to be recruited as a preassembled holoenzyme (Grummt, 2003; Hannan et al., 1999; Moss, 2004; Saez-Vasquez and Pikaard, 1997; Seither et al., 1998). In support of a subunit assembly model, rDNA transcription can be reconstituted in vitro by using recombinant and partially purified components. Order-of-addition experiments have also suggested sequential assembly of the RNA Pol I machinery (Grummt, 2003; Moss, 2004; Russell and Zomerdijk, 2005). An alternative scenario is suggested by the identification and purification of an RNA Pol I holoenzyme that contains the majority of general transcription factors, coactivators, and the core RNA polymerase, supporting the notion that the RNA Pol I machinery is recruited to the promoter as a preassembled complex (Schneider and Nomura, 2004).

Observations of RNA Pol I components in living cells support a stepwise assembly of the transcription complex at the promoter (Chen et al., 2005; Dundr et al., 2002). Analysis of several RNA Pol I factors using fluorescence recovery after photobleaching (FRAP) methods have demonstrated that in mammalian cells UBF and PAF53, as well as subunits of RNA Pol I, have distinct individual dynamic properties suggestive of assembly of the transcription machinery from smaller subunits (Chen et al., 2005; Dundr et al., 2002). Kinetic modeling revealed that RNA Pol I components interact with rDNA in a stochastic fashion either individually or in subcomplexes (Dundr et al., 2002). Similar observations have been made for mammalian RNA Pol II components, suggesting that stepwise subunit assembly might be a universal property of RNA polymerases (Becker et al., 2002; Hoogstraten et al., 2002; Kimura et al., 2002; McNally et al., 2000; Stenoien et al., 2001; Yao et al., 2006; Darzacq et al., 2007). Consistent with a subunit assembly model, different RNA Pol I subunits assume distinct cellular localization during mitosis when rDNA transcription is repressed, underscoring the differential behavior of individual RNA Pol I subunits (Chen et al., 2005).

While these observations make it clear that RNA polymerases are intrinsically dynamic, it is unknown whether the dynamic



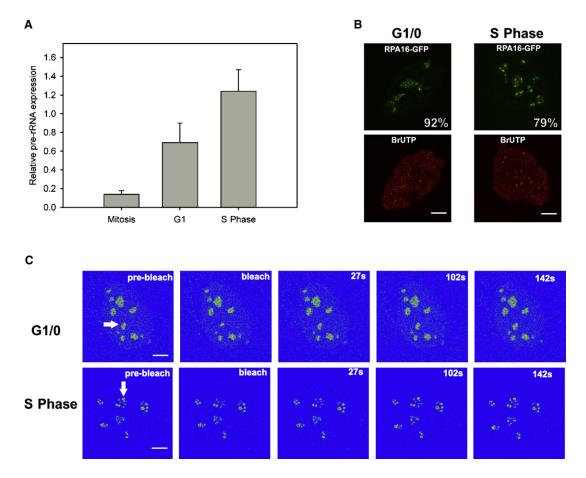


Figure 1. RNA Pol I Recovery Kinetics Decrease as Transcription Increases during the Cell Cycle

(A) Pre-rRNA transcription levels change during the cell cycle. Pre-rRNA levels were detected using quantitative real-time PCR with specific 5'ETS primers. HeLa cells were synchronized in metaphase using nocodazole and released for 1.5 hr into G1. Aphidicolin was used to synchronize cells at the G1/S boundary before being released for 3 hr into S phase. Values represent averages ± SD from three independent experiments normalized to cyclophilin A levels.

(B) Nucleolar morphology changes during the cell cycle. Nucleoli in G1/0 synchronized HeLa cells expressing the RNA polymerase subunit RPA16-GFP contain small transcription factories that colocalize with active sites of transcription. S phase synchronized cells contain larger transcription factories. Percentages indicate frequency of indicated nucleolar morphology. Scale bar, 5  $\mu m$ .

(C) FRAP on GFP-RNA polymerase I subunits in G1 and S phase. TR9-7 cells in G1 and S phase expressing RPA43-GFP were imaged before and after photobleaching of fibrillar centers within the nucleolus. The recovery of fluorescence is measured using time-lapse microscopy. The bleached area is denoted using arrows. Images are shown in pseudocolor (yellow/red, high intensity; blue, low intensity). Scale bar, 5 μm.

nature of transcription complexes contributes to transcriptional regulation. Here we have systematically probed RNA Pol I assembly dynamics under conditions of varying transcriptional output by using quantitative in vivo single-cell imaging combined with computational modeling and complementary biochemical analysis using chromatin immunoprecipitation. Our results demonstrate a direct link between RNA Pol I subunit capture, assembly efficiency, and transcriptional activity of rDNA genes.

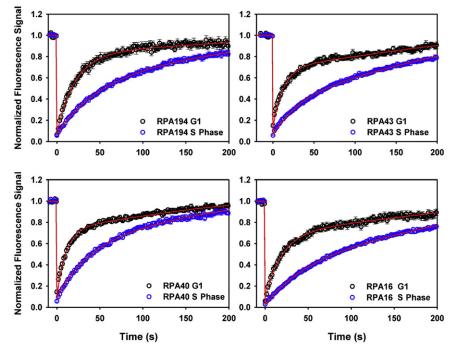
#### **RESULTS**

# **RNA Pol I Subunit Dynamics Correlate with rDNA Transcriptional Output**

To study the mechanism of RNA Pol I transcriptional regulation in vivo, we measured the dynamics of several RNA Pol I subunits under conditions of high and low rDNA transcription by using single-cell in vivo photobleaching methods (Dundr et al., 2002). To do so, we took advantage of the fact that rDNA transcription fluctuates during the cell cycle. rDNA transcription is repressed upon entry into mitosis, recovers during G1 phase, and reaches maximal levels in S phase (Klein and Grummt, 1999; Weisenberger and Scheer, 1995). Consistent with these results, analysis of nascent pre-rRNA transcripts in synchronized HeLa cells revealed a ~2-fold increase in transcriptional activity in S phase compared to G1 phase cells (Figure 1A). Cell synchronization was confirmed by FACS analysis and immunolabelling with BrdU (see Figure S1 available online; data not shown). The cell-cycle stage of single cells was also evident based on the morphological appearance of the nucleolus, with G1/0 cells containing more and smaller foci representing fibrillar centers/dense fibrillar component (FC/DFC) regions, allowing cell-cycle staging of individual cells in microscopy experiments (Figure 1B).

To determine the interaction dynamics of individual RNA Pol I subunits with rDNA in vivo under conditions of high and low





rRNA transcription. FRAP experiments were performed in HeLa or TR9-7 human fibroblasts in G1 or S phase (Figure 1C). Similar results were observed in both cell types (data not shown). Nucleolar regions were photobleached and the recovery of fluorescence measured as a function of time (Dundr et al., 2002; Phair et al., 2004b). The functionality of the tagged RNA Pol I subunits was indicated by their stable incorporation into RNA Pol I complexes (Dundr et al., 2002; Figure S2). Fluorescence recovery kinetics of polymerase subunits RPA194-GFP, RPA43-GFP, RPA40-GFP, and RPA16-GFP in G1 and S phase showed biphasic behavior with an initial rapid increase in fluorescence followed by a much slower phase (Figure 2). These data are in agreement with results from unsynchronized cells, where the initial phase of recovery was shown to reflect RNA Pol I subunits that transiently interact with the nucleolus and rDNA promoter during transcription complex assembly, whereas the later, slower phase represents RNA Pol I polymerases that are actively engaged in elongation (Dundr et al., 2002). Notably, the recovery kinetics of all subunits were distinct in G1 and S phase cells (Figure 2). In G1, the time to reach 50% recovery of the prebleach signal (t<sub>1/2</sub>) was typically 10-19 s depending on the RNA Pol I subunit (Figure 2). In contrast, in S phase cells, the rate of fluorescence recovery of RNA Pol I subunits was significantly decreased and  $t_{1/2}$  was 40–80 s (Figure 2; p < 0.0001). The different recovery kinetics were not due to the different sizes of the FC/DFC in G1 and S phase, since recovery kinetics were spot-size independent and similarly faster recovery kinetics were observed in G1 cells when more FC/DFCs were bleached (Figure S3). Recovery kinetics were independent of GFP-fusion protein expression and bleach depth (Figures S4 and S5). These observations demonstrate distinct dynamic behavior of RNA Pol I under conditions of differential transcriptional activity.

Figure 2. FRAP Recovery Curves of RNA Pol I Subunits in G1 and S phase

Least-squares best-fit solutions (red lines) to the experimental FRAP data for each polymerase subunit in G1 (black circles) and S phase (blue circles). Error bars show standard deviation of the experimental data; in each case, at least 16 cells were averaged from at least three experiments.

# Kinetic Modeling of RNA Pol I Dynamics under Conditions of Different Transcription Levels

To identify the reasons for the differential FRAP recovery kinetics in G1 and S phase, we quantitatively analyzed the FRAP data with a previously described computational kinetic model (Figure 3A; Dundr et al., 2002). In this model, the interactions of freely diffusing RNA Pol I subunits within the nucleolus and on rDNA genes are described by sets of association and dissociation rate constants (Figure 3A). Subunits associate and dis-

sociate with the nucleolus with k<sub>f</sub> and k<sub>r</sub>, and the promoter with kon and koff, and are then incorporated into a productive RNA Pol I with the initiation rate constant  $k_{\text{start}}$ , which likely represents multiple assembly steps. Elongating RNA Pol I is defined by the elongation rate constant kelong (Figure 3A). Upon completion of transcription, the RNA Pol I subunits dissociate from rDNA with a dissociation rate constant  $k_{\mbox{\scriptsize diss}}.$  The individual rate constants k<sub>f</sub>, k<sub>r</sub>, k<sub>on</sub>, k<sub>off</sub>, and k<sub>start</sub> determine the steady-state concentration of molecules associated with a given compartment that in turn provide values for the fraction of molecules in the nucleoplasm (F<sub>Npl</sub>), for the fibrillar center (F<sub>FC</sub>), at the promoter (F<sub>promoter</sub>), and incorporated into the elongating polymerase (Felong). The rate constants used to determine the residence times were for elongation RT<sub>elong</sub> (1/k<sub>start</sub>), at the promoter  $RT_{promoter}$  (1/ $k_{off}+k_{start}$ ), within the fibrillar center  $RT_{FC}$  (1/ $k_f+k_{on}$ ), and the promoter binding probability (kon/kon+kr) and initiation probability (k<sub>start</sub>/k<sub>start</sub>+k<sub>off</sub>). We have previously shown that this is the minimal model that faithfully describes the experimentally measured RNA Pol I dynamics in vivo (Dundr et al., 2002). A similar model has been developed for RNA Pol II (Darzacq et al., 2007).

To quantitatively analyze RNA Pol I dynamics, best-fits to the experimental FRAP data for each subunit were obtained using a least-squares fit algorithm to generate quantitative estimates for rate constants, residence times, and interaction probabilities (Figure 2; see the Experimental Procedures). Best-fits were evaluated by residual analysis (Figure S7), and all curve fits were constrained so as to account for the experimentally determined steady-state distribution of fluorescent molecules within the nucleus and the fraction of fluorescent molecules bleached in the FRAP experiment (Dundr et al., 2002; Table S1). All parameter values were verified by sensitivity analysis (see the Experimental Procedures; Figures S7 and S8).



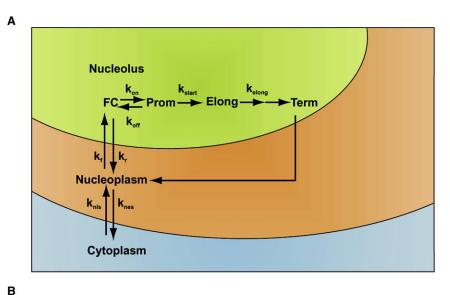
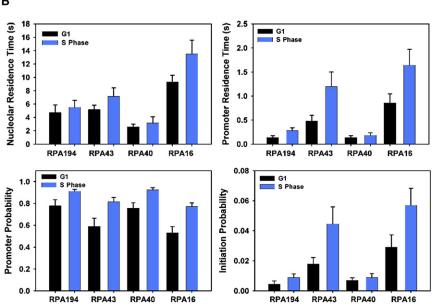


Figure 3. Quantitative Analysis of RNA Pol I **Dynamics Using a Computational Model of** Transcription

(A) Kinetic model of RNA polymerase I transcription. FC, fibrillar center; Prom, promoter; Elong, elongating polymerase; Term, termination of transcription; k<sub>f</sub>, nucleolar association rate constant;  $k_{\text{r}},$  nucleolar dissociation rate constant;  $k_{\text{on}},$  promoter association rate constant; koff, promoter dissociation rate constant;  $k_{start} = k_{elong}$ , elongation rate constant;  $k_{\rm nls}$ , nuclear import rate constant; knes, nuclear export rate constant.

(B) Kinetic properties of the polymerase subunits in G1 and S phase. Each value was determined from the rate constants obtained from the fits in Figure 2 (Table S2). Nucleolar residence time, 1/  $(k_r+k_{on})$ ; promoter residence time,  $1/(k_{off}+k_{start})$ ; promoter binding probability,  $k_{on}/(k_{on}+k_{r})$ ; initiation probability,  $k_{\text{start}}/(k_{\text{start}}+k_{\text{off}})$ . Error bars depict results of sensitivity analysis, representing the maximum range of values for the associated standard deviation of the data sets.



varying the elongation rate was not able to recapitulate the difference in the recovery kinetics, as it could not account for the initial rapid increase in fluorescence (Figures S6 and S8). All simulations were insensitive to the nuclear import/export rates, as those are several orders of magnitude smaller than the flux rates at the promoter (Dundr et al., 2002), Quality of fits was determined by residual analysis (Figure S7).

# **Increased Promoter Interaction** of RNA Pol I Subunits in Response to Transcriptional Upregulation

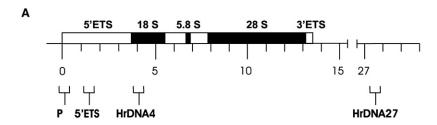
The experimental FRAP data could be accurately described only by varying RNA Pol I subunit interactions with both the promoter and the nucleolus simultaneously (Figure 2; Figure S6). A set of

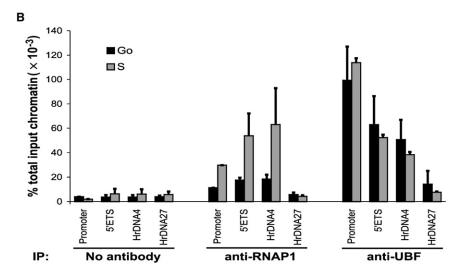
rate constants was determined from the best-fit solutions for the G1 and S phase recovery kinetics. We find that the steadystate level of the polymerase subunits and their residence times in the nucleolus changed only marginally from G1 to S phase as the result of a concerted decrease of the nucleolar association rate constants (from 0.026-0.061  $s^{-1}$  in G1 to 0.011-0.025  $s^{-1}$ in S phase) and a decrease in the nucleolar dissociation rate constants (from  $0.047-0.093 \text{ s}^{-1}$  in G1 to  $0.016-0.026 \text{ s}^{-1}$  in S phase) (Figure 3B, top left panel, and Table S2; p < 0.05).

We next asked whether the interaction dynamics of RNA Pol I subunits with the rDNA promoter differ between G1 and S phase cells. The steady-state population of subunits in the nucleolus was not altered between G1 and S phase (Figure 3B; Table S2). However, the promoter binding probability, defined as the statistical chance of a molecule binding to the promoter relative to its probability of returning to the nucleoplasm  $(k_{on}/[k_{on} + k_r])$ ,

To determine the origin of the differential dynamic behavior of the polymerase under conditions of high and low transcriptional output, a set of kinetic scenarios was evaluated by systematically varying different combinations of parameters until simultaneous best-fits were obtained for FRAP data in G1 and S phase. We first tested the hypothesis that altered dynamics between G1 and S phase are solely due to changes in the association and dissociation of transcription factors with the nucleolus. Varying the nucleolar association and dissociation rates kf or kr either alone or in combination was only able to describe the initial rapid increase in fluorescence but failed to account for the slower phase of the recovery of S phase cells (Figures S6 and S7). Similarly, alterations of only the interactions at the promoter (kon, koff and k<sub>on</sub> + k<sub>off</sub>) were unable to account for all of the slow elongation phase or the initial rise in fluorescence in S phase cells (Figure S6). Finally, keeping all rate constants equal and only







increased from 53%-78% in G1 to 77%-93% in S phase, indicating that during S phase all RNA Pol I subunits examined undergo more interactions with the promoter while in the nucleolus (Figure 3B, bottom left panel; Table S2; p < 0.05 to p < 0.01). Importantly, the promoter dissociation rate constants for RPA194-GFP, RPA43-GFP, and RPA16-GFP were decreased in S phase between 2.0- and 2.6-fold depending on the subunit (Table S2; p < 0.05). Since the promoter dissociation rate significantly contributes to the residence time of a subunit on the promoter, this means that RNA Pol I subunits are retained for longer periods of time on the promoter under conditions of high transcriptional output (Figure 3B, top right panel). While in G1 the residence time for RPA194-GFP, RPA43-GFP, and RPA16-GFP at the rDNA promoter was 0.13, 0.48, and 0.86 s, respectively, it increased to 0.28, 1.20, and 1.65 s in S phase (p < 0.05). The promoter dissociation rate constant, and therefore the promoter residence time, for RPA40-GFP did not change significantly between G1 and S phase (p > 0.32). We conclude that several RNA Pol I subunits are more efficiently retained at the rDNA promoter under conditions of high transcriptional output.

# **Elevated Efficiency of RNA Pol I Assembly during Transcriptional Activation**

The longer promoter residence time of subunits in S phase may facilitate polymerase assembly by stabilizing assembly intermediates. If so, a prediction is that the initiation probability, i.e., the probability that a subunit is incorporated into a productive transcription complex, also increases. In agreement with this prediction, the calculated polymerase initiation probability rose

#### Figure 4. Increased Steady-State Association of RNA Pol I with Ribosomal Genes in S Phase versus G1/0

(A) Loading of RNA Pol I at the rDNA promoter, 5'ETS, along the gene (HrDNA4), and a negative control sequence within the intergenic spacer (HrDNA27) was measured in TR9-7 cells by guantitative ChIP using an antibody against RPA194. (B) The amount of immunoprecipitated DNA was calculated as the percent of the total amount in the starting material (percent total input chromatin). Data represent average values ± SEM from three independent experiments, each performed in duplicate. Black bars represent Go cell populations, gray bars represent S phase cell populations. Probe regions are indicated.

2- to 3-fold from 0.5%-2.9% in G1 phase to 0.9%-5.7% in S phase depending on the subunit (Figure 3B, bottom right panel; Table S2; p < 0.05), demonstrating that the increased capture of individual subunits at the promoter results in greater efficiency of RNA Pol I complex formation. The higher initiation probability is sufficient to fully account for the elevated transcriptional output in S phase. Consistent with this interpreta-

tion, the fraction of elongating RNA Pol I subunits rose from an average of 11% in G1 to 20% in S phase (Table S2). This 2-fold increase in initiation probability and the number of engaged RNA Pol I molecules is in agreement with the qRT-PCR data demonstrating a ~2-fold upregulation in transcriptional activity in S phase compared to G1 (Figure 1A). These observations suggest that the longer dwell time of RNA Pol I subunits on the promoter results in more efficient assembly of RNA Pol I and consequently in an increased rate of transcription initiation.

To confirm the increased steady-state population of RNA Pol I at the promoter, we carried out chromatin immunoprecipitation experiments by using a previously characterized specific antibody against RPA194 (Figure 4). As expected, RPA194 was found at the promoter and along the rRNA genes including the 5'ETS and the main body of the gene (HrDNA4), but not in the intergenic spacer regions between ribosomal genes (HrDNA27; Figure 4). As predicted from the FRAP analysis, the steady-state population of RPA194 in S phase was elevated 2.2- to 3-fold compared to G1/0 cells. The increase in association occurred at the promoter, in the 5'ETS, and in the body of the gene, consistent with increased transcriptional output (Figure 4). In contrast, UBF is not enriched in S phase cells and was found throughout the gene as previously reported (Figure 4) (O'Sullivan et al., 2002). As a control, no accumulation of RPA194 was observed in the absence of specific antibody or irrelevant preimmune serum (Figure 4; data not shown). These results are independent confirmation of the in vivo FRAP analysis and demonstrate increased recruitment of RNA Pol I under conditions of high transcription.



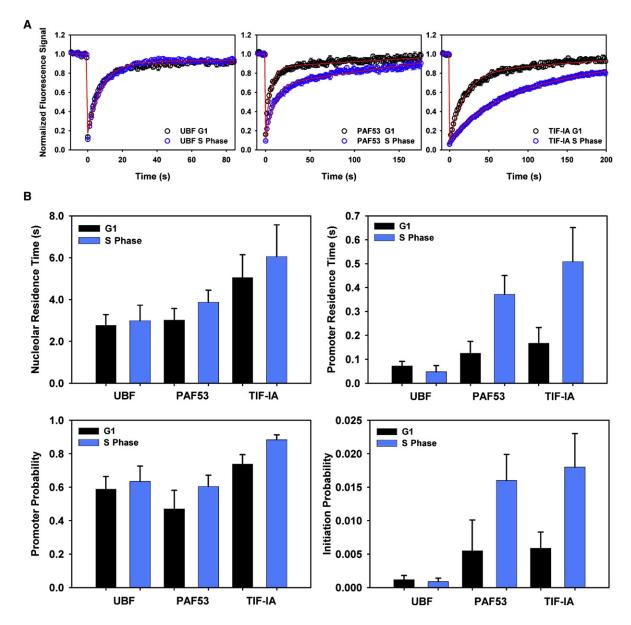


Figure 5. Quantitative Analysis of Preinitiation and Assembly Factor Dynamics

(A) Computational fits to the FRAP data for the preinitiation factor UBF-GFP and the assembly factors PAF-53-GFP and TIF-IA in G1 and S phase. Least-squares best-fit solutions (red lines) to the experimental FRAP data from TR9-7 cells for each factor in G1 (black circles) and S phase (blue circles). Error bars show standard deviation of the experimental data from at least 16 cells.

(B) Kinetic properties of the preinitiation and assembly factors in G1 and S phase. Each value was determined from the rate constants in (A). Nucleolar residence time, 1/(k<sub>r</sub>+k<sub>on</sub>); promoter residence time, 1/(k<sub>off</sub>+k<sub>start</sub>); promoter probability, k<sub>on</sub>/(k<sub>on</sub>+k<sub>r</sub>); initiation probability, k<sub>start</sub>/(k<sub>start</sub>+k<sub>off</sub>). Error bars depict results of sensitivity analysis, representing the maximum range of values for the associated standard deviation of the data sets.

# **Dynamics of Nonpolymerase Components**

Having established that core RNA Pol I assembly dynamics are altered upon transcriptional activation, we sought to identify the molecular mechanisms that determine assembly efficiency. To this end, we measured the dynamics of several upstream RNA Pol I transcription factors, including UBF, the RNA Pol I-associated factor PAF53, and the regulatory transcription initiation factor TIF-IA in G1 and S phase cells. FRAP analysis revealed a significantly faster  $t_{1/2}$  for UBF-GFP and PAF53-GFP in G1 cells compared to all core RNA Pol I subunits ( $\sim$ 4 s versus  $\sim$ 10–19 s; p < 0.0001) (Figure 5A). The recovery time of TIF-IA-GFP, however, was similar to RNA Pol I subunits with a  $t_{1/2}$  of 16 s (Figure 5A). Importantly, the dynamics of UBF were comparable in G1 and S phase, suggesting that UBF is not a modulator of assembly dynamics (Figure 5A; Table S2; p > 0.32). In contrast, both PAF53 and TIF-IA, which mediate the interaction between the preinitiation complex and the core polymerase (Hanada et al., 1996; Seither et al., 1997; Yuan et al., 2002), showed



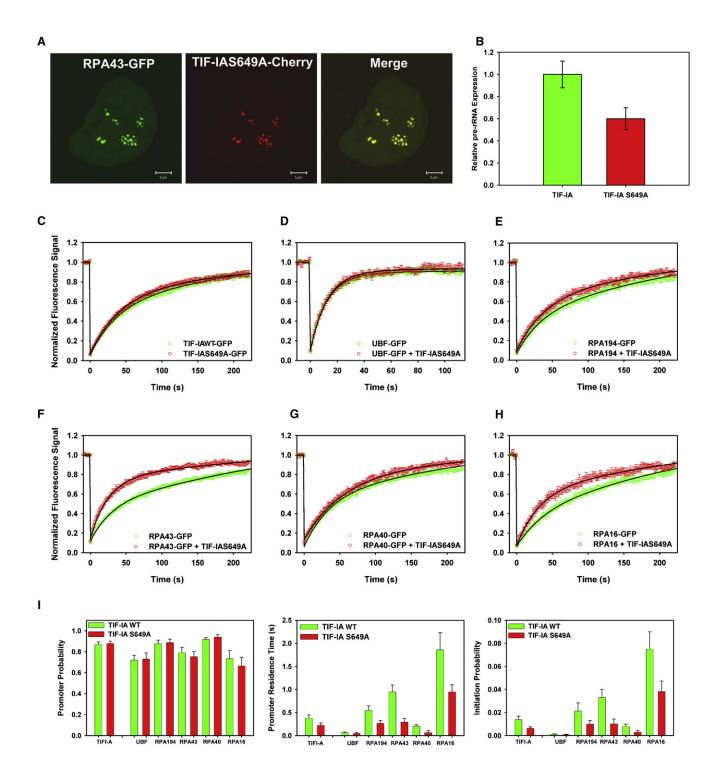


Figure 6. Perturbation of Pre-rRNA Transcription and Polymerase Assembly Using TIF-IAS649A Mutant

(A) TIF-IA S649A-Cherry colocalizes with RPA43-GFP in fibrillar centers within the nucleolus of TR9-7 cells. Scale bar, 5 µm.

<sup>(</sup>B) The presence of TIF-IAS649A-GFP decreases pre-rRNA expression in living TR9-7 cells. Quantitative real-time PCR shows pre-rRNA levels are decreased in cells expressing transiently transfected TIF-IAS649A-GFP relative to TIF-IA-GFP. Final pre-rRNA levels were corrected for transfection efficiency. Values represent averages  $\pm$  SD from at least three experiments.

<sup>(</sup>C) Effect of TIF-IAS649A mutation on FRAP recovery kinetics compared to wild-type TIF-IA-GFP in S phase TR9-7 cells.

<sup>(</sup>D-H) Effect of the presence of TIF-IA S649A-Cherry on the recovery dynamics of the preinitiation factor (D) UBF-GFP, and polymerase subunits (E) RPA194-GFP, (F) RPA43-GFP, (G) RPA40-GFP, and (H) RPA16-GFP. TR9-7 cells in S phase ± TIF-IA S649A-Cherry were imaged before and after photobleaching. The recovery



significantly slower recovery kinetics in S phase compared to G1 phase cells (Figure 5A).

Computational analysis confirmed these findings. The promoter residence time of UBF was unchanged between G1 and S phase cells, and that for PAF53 and TIF-IA increased from 0.13 and 0.17 s in G1 to 0.37 and 0.51 s in S phase, respectively (Figure 5B; Table S2; p < 0.05). Similarly, the promoter binding probability of TIF-IA increased from 74% in G1 to 88% in S phase (Figure 5B; Table S2; p < 0.01), while that of PAF53 did not change significantly (p < 0.32). As a consequence of these changes, the calculated initiation probabilities also rose ~3-fold for PAF53-GFP and TIF-IA-GFP, but not for UBF (Figure 5B; Table S2; p < 0.05). These data demonstrate that the promoter interaction dynamics of UBF are unaltered and very likely do not contribute to changes in RNA Pol I assembly efficiency, whereas the interaction of the two RNA Pol I-associated initiation factors with the promoter are prolonged in S phase, pointing to the possibility that these more stably bound factors are critical in modulating the assembly efficiency of the core polymerase. This model makes the prediction that interference with initiation factors should impair the downstream assembly of the core polymerase but not affect UBFs.

# Assembly Efficiency Is Determined by Interaction Dynamics between TIF-IA and RNA Pol I Subunits

To directly test this prediction, we examined whether impaired interaction of TIF-IA with RNA Pol I affects the dynamics and assembly of the polymerase core subunits. TIF-IA has been shown to regulate RNA Pol I activity by integrating multiple signaling pathways that control the biosynthetic capacity of the cell. To this end, we took advantage of a dominant-negative mutant of TIF-IA, TIF-IAS649A, that cannot be phosphorylated by the MAPK signaling pathway at serine 649, a modification that is crucial for the interaction between TIF-IA and RNA Pol I and growth-factor-dependent regulation of rDNA transcription (Zhao et al., 2003). As expected, overexpression of TIF-IAS649A decreased pre-rRNA transcription 1.7-fold (Figure 6B). Fluorescently tagged TIF-IAS649A colocalized with RNA Pol I (Figure 6A) and showed similar FRAP recovery kinetics compared to its wild-type counterpart in G1 and S phase (Figure 6C; Figure S10).

To determine whether TIF-IAS649A has an effect on the dynamics of RNA Pol I core subunits, FRAP experiments were performed on TR9-7 fibroblasts coexpressing either wild-type or mutant TIF-IA-Cherry and any one of the RNA Pol I subunits (Figures 6D–6H; Figure S9). TIF-IAS649A-Cherry affected neither the recovery kinetics of the upstream factor UBF-GFP nor the residence time of UBF at the rDNA promoter in G1 or S phase cells (Figures 6D and 6I; Table S3). In contrast, mutant TIF-IA increased the rate of FRAP recovery of all RNA Pol I core subunits in S phase cells with individual subunits affected to variable extent (Figures 6E–6H). Consistent with biochemical evidence for

an interaction between RPA43 and TIF-IA, the recovery kinetics of RPA43-GFP were perturbed most severely in the presence of TIF-IAS649A (Figure 6F). As a control, expression of wild-type TIF-IA-Cherry did not affect the dynamics of RNA Pol I subunits (Figure S9). Similarly, mutant TIF-IA did not significantly alter the recovery kinetics of any of the RNA Pol I subunits in G1 cells, suggesting that it exerts its regulatory function mostly in S phase cells (Figure S10). These observations indicate that interference with the interaction between TIF-IA and the polymerase destabilizes RNA Pol I subunit binding.

Using the same systematic modeling approach as applied to cell-cycle analysis, we determined the kinetic properties of RNA Pol I subunits in the presence of the TIF-IA mutant. The increased dynamics of RNA Pol I subunits in the presence of the TIF-IA mutant were not due to interference with their recruitment to the promoter, since the promoter binding probability of all factors was unaffected by mutant TIF-IA (Figure 6I). Consistent with a destabilization of their promoter interactions, the promoter residence time of core subunits decreased 2- to 3-fold in the presence of TIF-IAS649A (Figure 6I). In contrast, the residence time of UBF was unaffected. Presumably as a consequence of reduced assembly of productive transcription complexes at the promoter, the probability of transcription initiation decreased concomitantly (Figure 6I). The extent of the changes in the dynamics of RNA Pol I subunits at rDNA caused by the dominant-negative TIF-IA mutant correlated with the extent of transcriptional downregulation (Figure 6B). We conclude that the interaction of TIF-IA with RNA Pol I is critical in determining RNA Pol I assembly dynamics and consequently the rate of transcription initiation in S phase.

# **DISCUSSION**

We have used a combination of quantitative live-cell photobleaching microscopy, kinetic modeling, and biochemical analysis to probe the dynamics of transcriptional regulation in living cells. Using RNA Pol I as a model system, we find that the assembly dynamics of preinitiation factors and polymerase core subunits decrease when transcription is upregulated. Computational analysis indicates that the reduced dynamics are due to increased capture and retention of components of the RNA Pol I transcription machinery at the rDNA promoter. As a consequence, both the assembly efficiency and the initiation rate of RNA Pol I are enhanced. We propose that modulation of RNA Pol I assembly dynamics at the promoter is a regulatory mechanism for control of the transcriptional output of rRNA genes in vivo.

Genetic and biochemical analyses have led to several models for how transcription complexes are recruited to a promoter (Grummt, 2003; Lee and Young, 2000). In one scenario, the transcription complex is assembled in a stepwise manner on the promoter; on the other hand, the polymerase may be

of fluorescence is measured by using time-lapse microscopy. (C–H) For quantitation, at least 16 cells from at least three experiments were measured for each experimental condition. Error bars represent SD.

<sup>(</sup>I) Kinetic properties of the preinitiation and assembly factors in the presence and absence of TIF-IA S649A mutant. Each value was determined from the rate constants in Table S3. Promoter probability,  $k_{on}/(k_{on}+k_r)$ ; promoter residence time,  $l/(K_{off}+K_{start})$ ; initiation probability,  $k_{start}/(k_{start}+k_{off})$ . Error bars represent results of sensitivity analysis, representing the maximum range of values for the associated standard deviation of the data sets.



preassembled in the nucleoplasm and recruited to the promoter as a holoenzyme. While both models are supported by in vitro transcription experiments and biochemical data, analyses of several components of the RNA Pol I transcription machinery in living cells have demonstrated that UBF, TIF-IA, and PAF53, as well as subunits of RNA Pol I, have distinct dynamic properties (Chen et al., 2005; Dundr et al., 2002). These observations indicated that RNA Pol I is not recruited to rDNA as a holoenzyme and suggested that RNA Pol I assembly proceeds in a sequential manner via metastable intermediates. Our observations here that the kinetics of individual RNA Pol I components are perturbed to variable extent in response to changes in rDNA transcription further support the subunit assembly model. In addition, we find a range of probabilities for various subunits to be incorporated into an initiating, elongating polymerase, indicating that each subunit interacts differently with the promoter and the forming polymerase. This property is irreconcilable with a holoenzyme recruitment model, which requires that all subunits have identical initiation probabilities.

Our analysis of the dynamic behavior of seven components of the RNA Pol I transcription machinery under conditions of differential transcriptional activity suggests a link between transcription complex assembly dynamics and transcriptional output. Specifically, our results reveal a key role for nonpolymerase components in determining assembly dynamics. Interference with the interaction between RNA Pol I and TIF-IA. the RNA Pol I-associated factor that transduces extracellular signals to the RNA Pol I transcription apparatus and mediates growth-dependent regulation of RNA Pol I transcription, disrupts polymerase assembly and plays a central role in controlling polymerase assembly dynamics in S phase. In particular, we show that RNA Pol I-associated factors, PAF53 and TIF-IA, reside significantly longer at the rDNA promoter in S phase when RNA Pol I transcription is high compared to G1 phase cells when it is low. This altered interaction in turn affects the dynamics of downstream RNA Pol I subunits leading to the increased residence time of individual subunits at the promoter. As a consequence, the probability that these downstream subunits will be incorporated into a fully assembled RNA Pol I and into a productive transcription complex increases. The longer residence time of individual subunits likely stabilizes the interactions between individual RNA Pol I subunits at the promoter and increases the probability of formation of a functional RNA polymerase.

Our data are consistent with a model in which RNA Pol I subunits interact with the promoter in a dynamic fashion either individually or as small subcomplexes. Dynamic assembly of the transcription complex from individual subunits or small subcomplexes is ideally suited to accommodate regulatory mechanisms. The assembly of single subunits may provide the opportunity to differentially assemble RNA Pol I in response to external signals, possibly by integrating a variety of signaling pathways in a combinatorial manner. Activation of the MAPK pathway results in phosphorylation of serines 633 and 649 in TIF-IA, which are important for interaction with RNA Pol I (Zhao et al., 2003). Similarly, the mTOR pathway regulates the modification status of residues S44 and S199 within TIF-IA, thereby modulating the association with RNA Pol I and TIF-IB/SL1 (Mayer et al., 2004). Cellular oxygen stress activates c-Jun N-terminal kinase (JNK), which phosphorylates residue T200 and also disrupts its association with TIF-IB/SL1 (Mayer et al., 2005). Therefore, it is possible that such positive and negative phosphorylation events are combinatorially integrated to produce the required transcriptional output by regulating interaction dynamics and protein localization (Mayer et al., 2004, 2005).

Recent run-on and chromatin immunoprecipitation experiments have suggested that regulation of transcription elongation, rather than initiation, is responsible for increased rDNA transcription after ERK-mediated phosphorylation of UBF (Stefanovsky et al., 2006a). In contrast, we find that modulation of the elongation rate alone was not sufficient to qualitatively or quantitatively account for the observed decrease in initial recovery rate of all subunits in S phase. Our data suggest that both cell-cycle-dependent fluctuations of rRNA synthesis and downregulation of RNA Pol I transcription by a dominant-negative TIF-IA mutant can occur without changing the elongation rate of the polymerase. It is possible that transcriptional activation via ERK-mediated phosphorylation of UBF acts through an increase in transcription elongation, whereas cell-cycle- or TIF-IA-dependent regulation of RNA Pol I transcription is brought about by changes in the dynamics of transcription complex assembly.

While control of transcriptional output via changes in efficiency of subunit assembly might be a peculiarity of RNA Pol I, observations on other RNA polymerases point to a more universal role of dynamic assembly as a regulatory mechanism. FRAP measurements of the catalytic subunit of RNA Pol II show biphasic recovery kinetics similar to those of RNA Pol I (Becker et al., 2002; Kimura et al., 2002; Darzacq et al., 2007; Boireau et al., 2007). Furthermore, similar to RNA Pol I assembly, onset of RNA Pol II transcription is highly inefficient, suggesting stochastic assembly of both of these major polymerases (Darzacq et al., 2007). Along the same line, the dynamics of the glucocorticoid receptor and its coactivator GRIP-1 are significantly different to those of RNA Pol II, suggestive of a stepwise assembly of the preinitiation complex (Becker et al., 2002). In addition, the estrogen and glucocorticoid receptors bind more tightly to chromatin upon transcriptional activation in the presence of ligand in line with a subunit assembly process (McNally et al., 2000; Stenoien et al., 2001), and the general transcription factor TFIIH becomes immobilized as it is engaged at a promoter (Hoogstraten et al., 2002). Even the classical stable formation of the enhancesome during activation of IFN-\$\beta\$ has recently been shown to be a multistep dynamic process (Bosisio et al., 2006). In Drosophila, heat shock factors bind transiently to their target sequences and become stably bound upon activation (Yao et al., 2006, 2007). We suggest that regulation of transcription complex formation and activity via regulation of assembly dynamics is a general mechanism for the regulation of transcription complexes.

We have used here a combined in vivo imaging and computational approach to probe the dynamics of assembly of a transcription machinery. Similar approaches have previously been used with consistent results (Dundr et al., 2002; Darzacq et al., 2007), but it is important to point out that the computationally extracted values are best-fit estimates and their absolute values



are influenced by model assumptions and experimental design. The error associated with these estimates is likely on the order of about 2-fold, as indicated by the fact that we find here a roughly 2-fold difference in elongation time, residence time, and binding probability of most factors compared to an earlier study using a distinct microscopy and modeling approach (Dundr et al., 2002). In addition, sensitivity analysis demonstrates high confidence of best-values within a given modeling approach, as indicated by standard errors of typically 10%-20%. While estimation of binding parameters of single proteins to chromatin is strongly confounded by modeling assumptions (Mueller et al., 2008), the comparative analysis of multiple proteins of the same complex and under multiple experimental conditions using identical modeling assumptions as performed here is significantly more robust, as all proteins are similarly affected by systematic errors (Mueller et al., 2008). Furthermore, slower parameters, such as long binding events or elongation times, can generally be measured more accurately than faster events, as indicated by the observation that application of distinct modeling approaches revealed differences of several orders of magnitude for the rapidly binding glucocorticoid receptor (Sprague et al., 2004; Mueller et al., 2008), but the elongation rate of RNA Pol I differed only by 2-fold in distinct modeling and microscopy approaches (Dundr et al., 2002). A key factor in determining absolute values for rate constants is the influence of diffusion of proteins in the nucleus (Sprague et al., 2004; Beaudouin et al., 2006; Mueller et al., 2008). In the current model, we have neglected the contribution of diffusion, since the rate-limiting step of elongation is several orders of magnitude slower than diffusion. However, this assumption is likely to introduce an error in the estimation of absolute values, since diffusion is now recognized to also affect FRAP recoveries for rapidly binding proteins (Sprague et al., 2004; Beaudouin et al., 2006; Mueller et al., 2008). The accuracy of parameter estimates also depends on the temporal resolution of the imaging method used. While we use sampling intervals on the order of seconds, faster sampling on the millisecond timescale improves the absolute value estimates of most parameters, particularly rapid binding events, and allows dissection of the contribution of diffusion. Such faster sampling methods are becoming increasingly feasible on more advanced microscopes, and more comprehensive models for chromatin-binding events are being developed (Sprague et al., 2004; Mueller et al., 2008). These improvements will increase the accuracy of these approaches and make them powerful tools to further study the role of transcription dynamics in gene regulation in living cells.

# **EXPERIMENTAL PROCEDURES**

#### **Plasmids**

All plasmids containing RNA polymerase I components were described in Dundr et al. (2002). TIF-IA (S649A) was inserted into pCherry-C1 (provided by T. Voss, National Institutes of Health, and R. Tsien, The University of California, San Diego) as a Xhol-BamHI fragment.

#### **Cell Culture, Synchronization, and Transfection**

Human fibroblasts TR9-7 (Agarwal et al., 1995) and HeLa cells were cultured in DMEM (Invitrogen) with 10% FBS, 1% glutamine, and streptomycin and penicillin at  $37^{\circ}$ C in 5% CO<sub>2</sub>. Cells were arrested in mitosis by incubation with

nocodazole (50 ng/ml) for 16 hr. Synchronization of cells in G1 was achieved by either incubation with nocodazole and release for 1.5 hr into G1 by washing cells extensively with PBS or by serum starvation for 4 days (DMEM, 0.1% FBS). Synchronization in S phase was achieved by incubating cells for 24 hr with aphidicolin (5  $\mu g/ml$ ) before washing with PBS and allowing cells to cycle for 3 hr into S phase. Cells were electroporated as described (Dundr et al., 2002) and used 16–25 hr later.

#### **RNA Analysis**

RNA was purified using the RNAqueous kit (Ambion). cDNA was created from 1–5  $\mu g$  of RNA using the cDNA archive kit (Applied Biosystems) and random primers according to the manufacturer's instructions. Analysis of cDNA was performed by quantitative real-time PCR (qRT-PCR) in 25  $\mu l$  reactions using iQ SYBR Green Supermix (Bio-Rad) in a Bio-Rad iCycler. Quantitation of pre-rRNA was performed using the primers pre-rRNAF1 5'-AGCCGA TCGGCTCGCTGGCC and pre-rRNAR1 5'-CCGTCGCGGCTCGGACCCG. Reaction conditions were 95°C for 3 min, followed by 40 cycles of 95°C for 20 s and 72°C for 60 s. Pre-rRNA levels were normalized to cyclophilin A. Reaction conditions for cyclophilin A amplification were 95°C for 3 min, followed by 40 cycles of 95°C for 20 s and 60°C for 60 s. Specificity of reactions was confirmed by analysis of the qRT-PCR melt curves and DNA electrophoresis of the final product.

#### **Chromatin Immunoprecipitation Assay**

Cells  $(4 \times 10^6 - 1.2 \times 10^7)$  were synchronized in G1 or S phase and crosslinked in 0.25% formaldehyde from a 37% stock solution (Sigma) for 10 min at 37°C. Crosslinking was stopped by addition of glycine to a final concentration of 0.125 M for 10 min at RT. Fixed cells were washed with ice-cold PBS, scraped into PBS containing protease inhibitors (Calbiochem), and pelleted by centrifugation. Cells were resuspended at  $1-2 \times 10^7 \text{ ml}^{-1}$  in lysis buffer (0.1% SDS, 10 mM EDTA, and 50 mM Tris HCI [pH 8]) with protease inhibitors. Cells were sonicated in 500–700  $\mu l$  volume in a 4°C waterbath by repeated cycles of 45 s on and 15 s off for 30 min at the high setting of a Diagenode Bioruptor, yielding genomic fragments of 0.6-1.2 kb. The cell lysates were diluted  $\sim\!\!1{:}10$  to the same final DNA concentration with ChIP dilution buffer (0.01% SDS, 1.1% Triton X-100, 1.2 mM EDTA, 16.7 mM Tris HCl [pH 8], and 167 mM NaCl) containing protease inhibitors. For each sample, lysate containing 200 µg DNA was precleared for 2 hr at 4°C with protein A or protein G agarose/salmon sperm DNA (Upstate Biotechnology) beads. Samples were immunoprecipitated overnight at 4°C with either no antibody, 20 μl rabbit polyclonal antibody against the largest RNA Pol I subunit RNAP1-β' (194 kDA), or 4 μg (20 μl) anti-UBF antibody (Santa Cruz Biotechnology). Immune complexes were recovered by addition of protein A or protein G agarose beads (30  $\mu$ l per ml lysate) and incubated for 1 hr at  $4^{\circ}$ C. Beads were washed successively with 1 ml of low salt buffer (0.1% SDS, 1% Triton X-100, 2 mM EDTA, 150 mM NaCl, and 20 mM Tris HCI [pH 8]), high-salt buffer (0.1% SDS, 1% Triton X-100, 2 mM EDTA, 500 mM NaCl, and 20 mM Tris HCl [pH 8]), LiCl buffer (0.25 M LiCl, 1% nonidet P-40, 1% sodium deoxycholate, 1 mM EDTA, and 10 mM Tris HCI [pH 8]), and TE (10 mM Tris HCI [pH 8], 1 mM EDTA) containing protease inhibitors. Immune complexes were eluted at RT with 250 µl 1% SDS followed by 250 µl 1.5% SDS. Crosslinks of input chromatin and eluted samples were reversed by addition of 200 mM NaCl, 10 mM EDTA, 40 mM Tris HCl (pH 7), and 20 mg/ml Proteinase K (Ambion) in a final volume of 550 ul and incubation overnight at 65°C. Samples were phenol/chloroform extracted, ethanol precipitated, and resuspended in 40 µl H<sub>2</sub>O.

For quantiation, qPCR was performed by using 2.5  $\mu$ l immunoprecipitate or input DNA and 300 nM primers with iQ SYBRGreen Supermix (Bio-Rad) for 41 cycles of 95°C for 15 s and 60°C for 1 min using a MyiQ Single Color thermal cycler (Bio-Rad). The primer coordinates relative to the 45 S initiation site were as follows: promoter 42855-42870 and 105-120; 5′ETS 1392-1411 and 1481-1500; HrDNA4 3990-4011 and 4073-4092; and HrDNA27 27366-27385 and 27459-27478 (O'Sullivan et al., 2002). Percent immunoprecipitated DNA of total input DNA was determined by the following: percent total input chromatin = (amplification efficiency) (Ct<sup>input</sup>-Ct<sup>sample</sup>) × vol input/vol sample × 100.



#### In Situ Visualization of Transcription Sites

Transcription sites were labeled as described in Elbi et al. (2002) and samples observed on a Zeiss LSM 510 laser scanning confocal microscope using a 63× oil objective.

#### **Photobleaching Microscopy**

FRAP experiments were performed on a Zeiss LSM 510 confocal microscope with a 63 ×/1.4 N.A. oil objective. GFP was excited with the 488 nm line of Ar laser and GFP emission monitored using a 505 nm longpath filter. Cells were maintained at 37°C using a Nevtek ASI Air Stream incubator. In transfected cells, a circular region of interest  $\sim\!1.5~\mu\text{m}$  in diameter was bleached for 40 ms using the 488 nm line at 100% power. Data was normalized as described in Dundr et al. (2002). All FRAP experimental data were the average of at least 16 cells.

#### **Kinetic Modeling**

FRAP data were analyzed using a previously derived mathematical model of the transcription process (Dundr et al., 2002). Solutions to the model were obtained using the differential equation solvers in the Berkeley Madonna software. Parameters were optimized to fit the cell-cycle FRAP data; since only the ratio of kon and koff is well defined, kon was held constant as previously determined (Dundr et al., 2002). Optimized fits were further constrained using the empirically derived distribution of fluorescent molecules and fraction of molecules bleached (Table S1). FRAP data for GFP-fusion proteins in S phase were analyzed by systematically varying different parameters while constraining values of empirically derived distribution of fluorescent molecules and fraction of molecules bleached in S phase (Table S1). Sensitivity analysis was performed on best-fits by changing each rate constant until the fit no longer described the data as judged by the standard deviation of the experimental data. These maxima and minima values in parameter space were used to define the acceptable range of the residence times and probabilities.

#### **SUPPLEMENTAL DATA**

Supplemental Data include ten figures and three tables and can be found with this article online at http://www.molecule.org/cgi/content/full/30/4/486/DC1/.

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