Enhancing Knowledge Injection in Large Language Models for Efficient and Trustworthy Responses

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Background

User Satisfaction in Information Access Systems

- 1. *Effectiveness:* Improve the correctness of system's output
 - Knowledge Injection
- 2. *Trustworthiness:* Measure the reliability of the response as a score
 - Uncertainty Estimation
 To report to the user (and further improve effectiveness)

1. Effectiveness

Knowledge Injection

- Fine-tuning (with synthetic data)
- Retrieval Augmented Generation (RAG)

In scope for this presentation

- Model Editing
- Retrieval Augmented Fine Tuning (RAFT)
- Parametric RAG

^[2] Zhang et al., RAFT: Adapting Language Model to Domain Specific RAG, Arxiv 2024

2. Trustworthiness

Uncertainty Estimation (UE)

- Quantifies the correctness of the generated response without knowing the ground truth
- Assigns uncertainty to each (input, output) pair, representing its correctness (or truthfulness)



- Knowledge Injection
 - Comparing RAG vs. Fine Tuning
- Trustworthiness
 - Performance of current UE methods in RAG setup
 - Proposing a new UE method for RAG-Reasoning systems
- Incorporating trustworthiness score to improve RAG system

RAG vs. Fine Tuning

Motivation: Customize LLMs on specific knowledge, access to textual data

Need: Assess the memorized knowledge in an LLM after injecting knowledge

Focus: Unpopular Factual Knowledge

(Kathy Saltzman, Occupation, Politician)

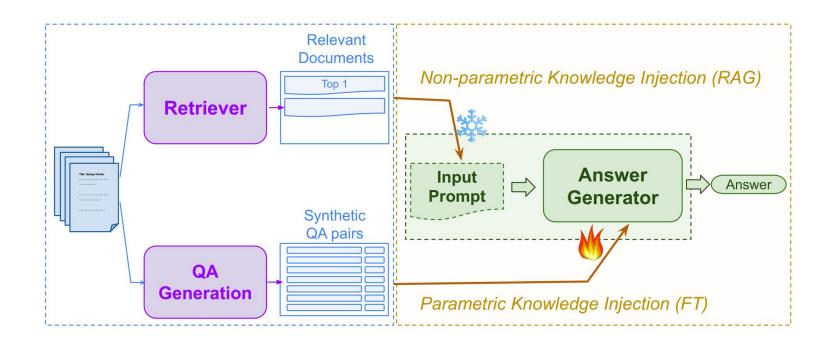
Subject Relationship Object

Q: What is the occupation of Kathy Saltzman?

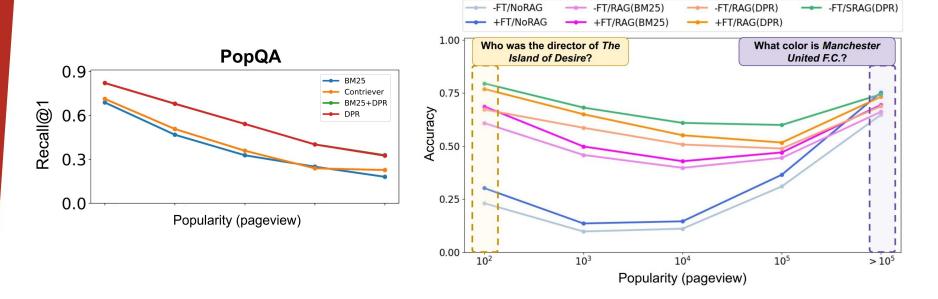
A: Politician

Popularity: Wikipedia pageviews

Methodology: Evaluation Framework



Results



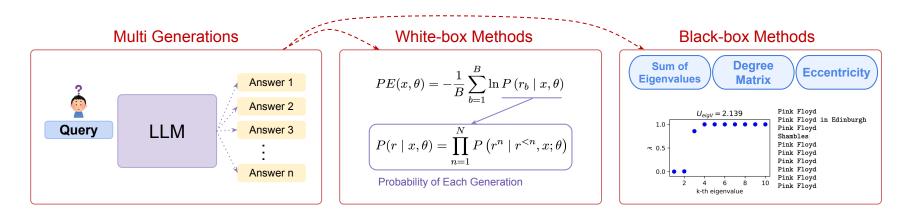
- RAG is particularly beneficial for less popular entities
- Fine-tuned LMs with RAG either outperform or match vanilla LMs with RAG

- Knowledge Injection
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Background: Uncertainty Estimation

Main Idea: The more diverse the outputs, the more uncertain the model is

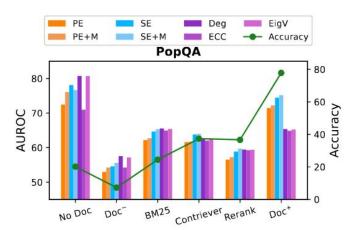
Evaluation: There is no ground-truth, assess by correlation with correctness, within-dataset



Uncertainty Estimation for RAG

Challenge

While existing UE methods mainly focus on scenarios where the input is just a query, *it is* unclear how current UE methods account for non-parametric knowledge



The performance of existing UE methods is inconsistent and mainly deteriorates in RAG setup

Takeaways

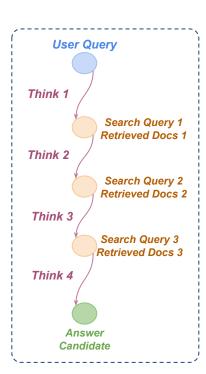
- None of the existing UE methods are optimal for RAG
- Proposing an Axiomatic Evaluation Framework:
 - 1) Logical relationship between document-response
 - 2) Equivalence of LLM-generated and RAG-generated responses
- Introducing a calibration function guided by axioms
 - satisfying the axioms leads to performance improvements

Follow-up Questions

- Is not it more effective to develop a standalone UE method for RAG that adheres to the prescribed axioms rather an a calibration function?
- Our calibration function works for a simple RAG setup (context, question, answer). What about RAG setup that involves multiple reasoning and retrieval steps (RAG-Reasoning systems)?

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RAG-Reasoning Systems



Search-R1

User Query: What type of profession does Chris Jericho and Garv Barlow have in common?

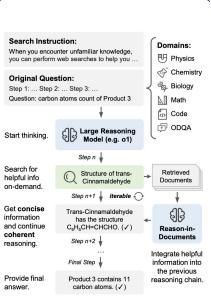
Think: I need to find the type of profession that Chris Jericho and Garv Barlow have in common, I'll search for it Search Query: Chris Jericho and Garv Barlow profession

Information: Doc 2(Title: "Chris Jericho") ""Do You Wanna

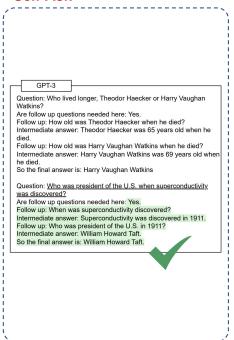
Think: I found out that Chris Jericho is a professional wrestler, musician, author ... Search Query: Gary Barlow profession Information: Doc 1(Title: "Gary Barlow") hits, twelve number one ...

Think: I found out that Chris Jericho is a professional wrestler, musician, author ... Answer: musician

Search-01



Self-Ask

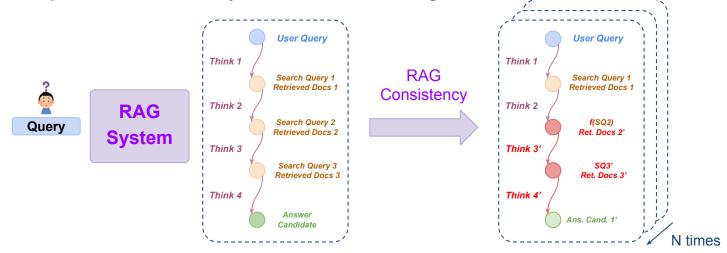


UE for RAG-Reasoning Systems (Ongoing work)

RAG-Consistency

• Idea: Diversify the generations with applying changes in the reasoning-retrieval path

Scope: Modern RAG systems with reasoning-retrieval interaction



Initial Results (Ongoing work)

Search-R1	Self- Consistency	Reasoning- Consistency	RAG- Consistency
Bamboogle	58.01	67.04	74.76
PopQA	60.59	69.87	81.57
HotpotQA	55.97	61.98	69.63
2Wiki	63.52	62.19	70.40

Self-Ask	Self- Consistency	Reasoning- Consistency	RAG- Consistency
Bamboogle	59.03	71.62	80.39
PopQA	59.72	71.33	82.16
HotpotQA	59.58	75.55	82.30
2Wiki	55.65	66.16	76.99

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Points of Discussion

Q1. Should we rely on UE methods to get a feedback for improving effectiveness or other approaches (e.g., LLM as judge). If yes, how?

- RAG-Consistency shows promising results
- It is computationally expensive
- While it shows correlation with correctness, it does not necessarily lead to producing the correct response
- **Q2.** What are other applications of UE/RAG-Consistency methods?
- Q3. Any suggestions to make RAG-Consistency more efficient/accurate?

Thank You!