

Divide and Conquer*

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* Special thanks is given to Prof. Yijia Chen for sharing his teaching materials.

Outline

1 Divide-and-Conquer

- Basic Technique
- An Introductory Example: Multiplication
- Recurrence Relations

2 Applications

- Binary Search
- Merge Sort
- Matrix Multiplication

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Divide-and-Conquer Strategy

The **divide-and-conquer strategy** solves a problem P by:

- (1) Breaking P into smaller subproblems of the same type.
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The **key works** lay in three different places:

- (1) How to partition problem into subproblems.
- (2) At the very tail end of the recursion, how to solve the smallest subproblems outright.
- (3) How to glue together the partial answers.

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1777 - 1855

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$$1 + 2 + \cdots + 100 = \frac{100 \cdot (1 + 100)}{2} = 5050.$$

Multiplication for Complex Numbers

Gauss once noticed that although the product of two complex numbers

$$(a + bi)(c + di) = ac - bd + (bc + ad)i$$

seems to involve **four** real-number multiplications, it can in fact be done with just **three**: ac , bd , and $(a + b)(c + d)$, since

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In our big-O way of thinking, reducing the number of multiplications from four to three seems wasted ingenuity. However, this modest improvement becomes *very significant when applied recursively*.

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The additions take linear time, as do the multiplications by powers of 2 (merely left-shifts). The significant operations are the four $n/2$ -bit **multiplications**; these we can handle by *four recursive calls*.

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Optimization: By **Gauss's** trick, three multiplications, x_{LYL} , x_{RYR} , and $(x_L + x_R)(y_L + y_R)$, suffice, as

$$x_{LYR} + x_{RYL} = (x_L + x_R)(y_L + y_R) - x_{LYL} - x_{RYR}.$$

A Divide-and-Conquer Algorithm for Integer Multiplication

Algorithm 1: MULTIPLY(x, y)

Input: Positive integers x and y , in binary.

Output: Their product xy .

- 1 $n = \max(\text{size of } x, \text{size of } y)$ rounded as a power of 2 ;
 - 2 **if** $n = 1$ **then**
 - 3 \quad **return** xy ;
 - 4 $x_L, x_R =$ leftmost $n/2$, rightmost $n/2$ bits of x ;
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New recurrence relation: $T(n) = 3T(n/2) + O(n) \rightarrow$ How well?

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for some constants $a > 0$, $b > 1$, and $d \geq 0$,

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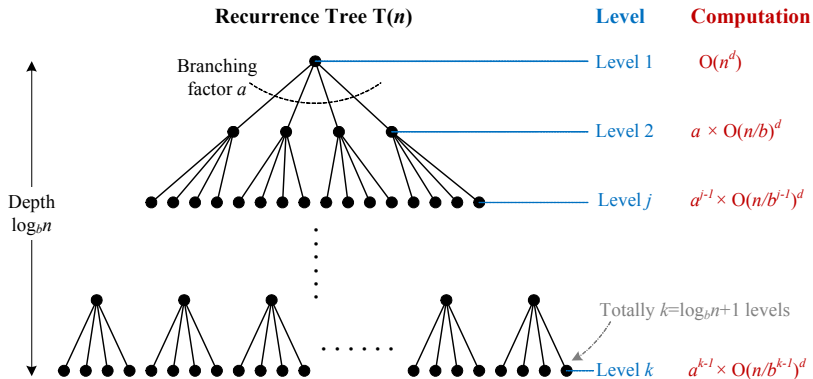
If

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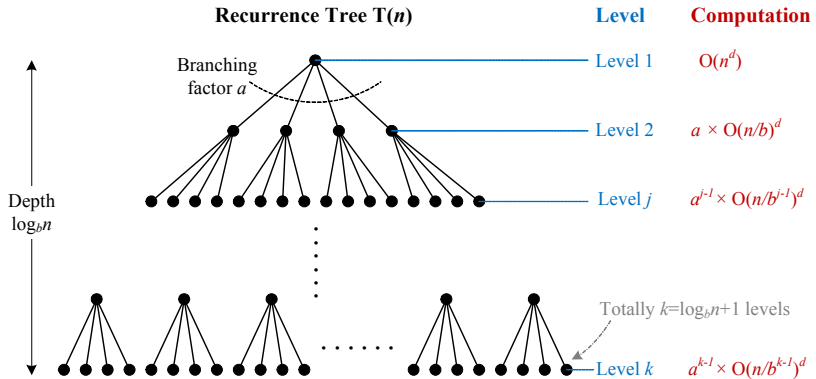
for some constants $a > 0$, $b > 1$, and $d \geq 0$, then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a. \end{cases}$$

Proof of Master Theorem: $T(n) = aT(\lceil n/b \rceil) + O(n^d)$



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Complexity of $T(n)$ = Sum up all computations at each level.

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The total work done at the j -th level is

$$a^{j-1} \times O\left(\frac{n}{b^{j-1}}\right)^d = O(n^d) \times \left(\frac{a}{b^d}\right)^{j-1}.$$

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$$\sum_{j=1}^{\log_b n + 1} \left(a^{j-1} \times O\left(\frac{n}{b^{j-1}}\right)^d \right) = \sum_{j=0}^{\log_b n} \left(O(n^d) \times \left(\frac{a}{b^d}\right)^j \right) = O(n^d) \sum_{j=0}^{\log_b n} \left(\frac{a}{b^d}\right)^j.$$

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$$(1) \frac{a}{b^d} < 1 \Rightarrow d > \log_b a:$$

$$O(n^d) \sum_{j=0}^{\log_b n} \left(\frac{a}{b^d}\right)^j \leq O(n^d) \frac{1}{1 - \frac{a}{b^d}} = O(n^d).$$

$$(\text{Sum of GS: } S_n = \sum_{j=1}^n a_1 q^{j-1} = a_1 \frac{1-q^n}{1-q} \leq a_1 \frac{1}{1-q} \text{ if } q < 1)$$

Proof of Master Theorem

$$(2) \frac{a}{b^d} = 1 \Rightarrow d = \log_b a:$$

$$O(n^d) \sum_{j=0}^{\log_b n} \left(\frac{a}{b^d}\right)^j = O(n^d)(\log_b n + 1) = O(n^d \log_b n) = O(n^d \log n).$$

$$(\log_b n = \frac{\log n}{\log b} = \frac{1}{\log b} \log n = O(\log n) \text{ by changing the base})$$

Proof of Master Theorem

(3) $\frac{a}{b^d} > 1 \Rightarrow d < \log_b a$: (reverse the GS in decreasing order)

$$\begin{aligned} O(n^d) \sum_{j=0}^{\log_b n} \left(\frac{a}{b^d}\right)^j &= O(n^d) \sum_{j=0}^{\log_b n} \left(\frac{a}{b^d}\right)^{\log_b n} \cdot \left(\frac{b^d}{a}\right)^j \\ &= O(n^d) \sum_{j=0}^{\log_b n} \frac{a^{\log_b n}}{(b^{\log_b n})^d} \cdot \left(\frac{b^d}{a}\right)^j \\ &\leq O(n^d) \frac{n^{\log_b a}}{n^d} \cdot \frac{1}{1 - \frac{b^d}{a}} \\ &= O(n^{\log_b a}) \end{aligned}$$

$$(a^{\log_b n} = a^{(\log_a n)(\log_b a)} = n^{\log_b a})$$

Time Complexity of Multiplication

Original recurrence relation: $T(n) = 4T(n/2) + O(n)$

$$a = 4, b = 2, d = 1, d < \log_b a.$$

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Optimized recurrence relation: $T(n) = 3T(n/2) + O(n)$

$$a = 3, b = 2, d = 1, d < \log_b a.$$

\Rightarrow **Time complexity:** $O(n^{\log_2 3}) \approx O(n^{1.59})$.

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Binary Search

Algorithm 2: BinarySearch

Input: An array $A[1..n]$ of n elements sorted in nondecreasing order and an element x .

Output: j if $x = A[j]$, $1 \leq j \leq n$, and 0 otherwise.

```
1  $low \leftarrow 1; high \leftarrow n; j \leftarrow 0;$ 
2 while  $low \leq high$  and  $j = 0$  do
3    $mid \leftarrow \lfloor (low + high)/2 \rfloor;$ 
4   if  $x = A[mid]$  then
5      $j \leftarrow mid$  break;
6   else if  $x < A[mid]$  then
7      $high \leftarrow mid - 1;$ 
8   else
9      $low \leftarrow mid + 1;$ 
10 return  $j;$ 
```

Time Complexity

To find a key x in $A[1, \dots, n]$ in sorted order, we first compare x with $A[n/2]$, and depending on the result we recurse either on the first half of the array $A[1, \dots, n/2 - 1]$, or on the second half $A[n/2, \dots, n]$.

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$$T(n) = T\left(\left\lceil \frac{n}{2} \right\rceil\right) + O(1),$$

By Master Theorem, $a = 1$, $b = 2$, $d = 0$, and thus the running time should be $O(\log n)$.

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Merging Two Sorted Lists

Algorithm 3: Merge

Input: $A[1..m]$, p , q and r with $1 \leq p \leq q < r \leq m$.

Output: $A[p..r]$ (merging two subarrays $A[p..q]$ and $A[q + 1..r]$).

```
1  $s \leftarrow p; t \leftarrow q + 1; k \leftarrow p;$ 
2 while  $s \leq q$  and  $t \leq r$  do
3   if  $A[s] \leq A[t]$  then
4      $B[k] \leftarrow A[s]; s \leftarrow s + 1;$  ( $B[p..r]$  is an auxiliary array)
5   else  $B[k] \leftarrow A[t]; t \leftarrow t + 1;$ 
6    $k \leftarrow k + 1;$ 
7 if  $s = q + 1$  then
8    $B[k..r] \leftarrow A[t..r];$ 
9 else  $B[k..r] \leftarrow A[s..q];$ 
10 return  $A[p..r] \leftarrow B[p..r];$ 
```

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If the two array sizes are $\lfloor n/2 \rfloor$ and $\lceil n/2 \rceil$, the number of comparisons is between $\lfloor n/2 \rfloor$ and $n - 1$.

Bottom-Up MergeSort Algorithm

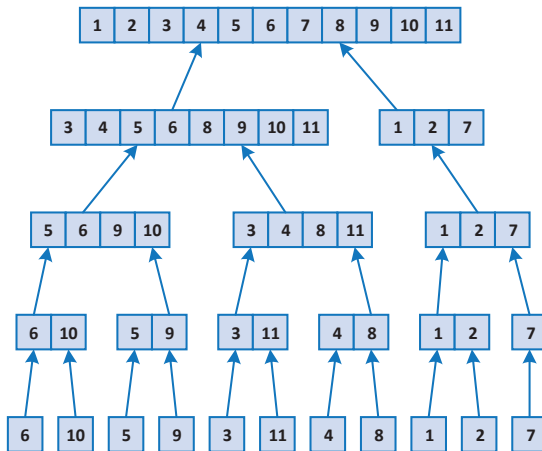
Algorithm 4: MergeSort

Input: An array $A[1..n]$ of n elements.

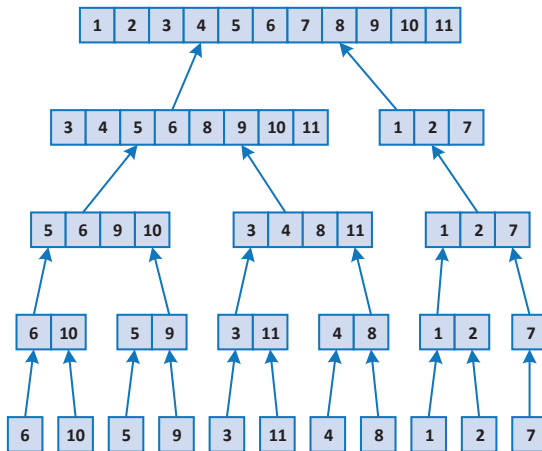
Output: $A[1..n]$ sorted in nondecreasing order.

```
1  $t \leftarrow 1$ ;  
2 while  $t < n$  do  
3    $s \leftarrow t$ ;  $t \leftarrow 2s$ ;  $i \leftarrow 0$ ;  
4   while  $i + t \leq n$  do  
5      $\text{Merge}(A, i + 1, i + s, i + t)$ ;  
6      $i \leftarrow i + t$ ;  
7   if  $i + s < n$  then  
8      $\text{Merge}(A, i + 1, i + s, n)$ ;  
9 return  $A[1..n]$ ;
```

An Example



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Time Complexity:

Recurrence:

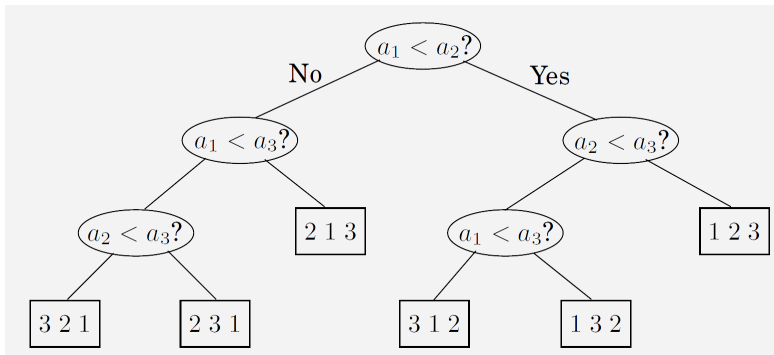
$$T(n) = 2T(n/2) + O(n);$$

By Master Theorem

$$T(n) = O(n \log n).$$

An $n \log n$ Lower Bound for Sorting

An example **sorting permutation tree** for $\{a_1, a_2, a_3\}$:



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This is a binary tree with $n!$ **leaves**. Thus, the depth of our tree – and the complexity of our algorithm – must be at least

$$\log(n!) \approx \log \left(\sqrt{\pi (2n + 1/3)} \cdot n^n \cdot e^{-n} \right) = \Omega(n \log n),$$

where we use **Stirling's formula**.

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- **Matrix Multiplication**

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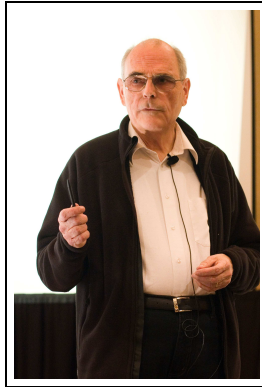
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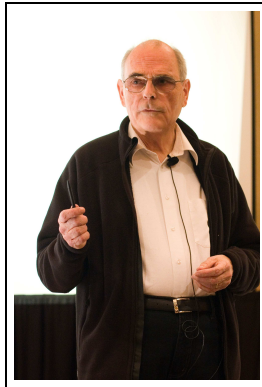
The preceding formula implies an $O(n^3)$ algorithm for matrix multiplication.

Volker Strassen



Volker Strassen (1936 –)

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In 1969, the German mathematician **Volker Strassen** announced a surprising $O(n^{2.81})$ algorithm.

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To compute the size- n product XY , recursively compute eight size- $n/2$ products $AE, BG, AF, BH, CE, DG, CF, DH$ and then do some $O(n^2)$ -time addition.

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The recurrence is

$$T(n) = 8T(n/2) + O(n^2)$$

with solution $O(n^3)$.

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where

$$\begin{aligned} P_1 &= A(F - H) & P_5 &= (A + D)(E + H) \\ P_2 &= (A + B)H & P_6 &= (B - D)(G + H) \\ P_3 &= (C + D)E & P_7 &= (A - C)(E + F) \\ P_4 &= D(G - E) \end{aligned}$$

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The recurrence is

$$T(n) = 7T(n/2) + O(n^2)$$

with solution $O(n^{\log_2 7}) \approx O(n^{2.81})$.