## Lab09-Approximation Algorithm

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2019.

\* If there is any problem, please contact TA Jiahao Fan. \* Name:Bowen Zhang Student ID:517021910797 Email: 372799293@qq.com

- 1. Metric k-center: Let G = (V, E) be an complete undirected graph with nonnegative edge costs satisfying the triangle inequality, and k be a positive integer. For any set  $S \subseteq V$  and vertex  $v \in V$ , define cost(v, S) to be the cost of the cheapest edge from v to a vertex in S  $(cost(v, S) = 0 \text{ if } v \in S)$ . The problem is to find a set  $S \subseteq V$ , with |S| = k, so as to minimize  $\max_{v} \{cost(v, S)\}$ .
  - (a) Design a greedy approximation algorithm (in the form of pseudo code) with approximation ratio 2 for this problem.

(Basic idea: start with an arbitrary center, and in each round, add the 'farthest' vertex to the center set until there are totaly k centers)

## Algorithm 1: Greedy approximation algorithm

**Input:** An complete undirected graph G = (V, E) with non-negative edge costs satisfying the triangle inquality; a positive integer k;

Output: a set  $S \subseteq V$ ;

```
1 S = \{v_1\};

2 for i = 2 \rightarrow k do

3 forall v_j \in V do

4 cost(v_j, S) = \min_{v \in S} cost(v_j, v);

5 v_j = \max_v \{cost(v, S)\};

6 S = S \cup \{v_j\};
```

(b) Prove that your greedy algorithm achieves an approximation ratio of 2 for the metric k-center problem. (Hint: prove by contradiction and use the triangle inequality.)

**Proof.** Suppose that we select  $v_1, v_2, \ldots, v_k$  in turn by Greedy algorithm.  $S_i = \{v_1, v_2, \ldots, v_i\}$  and  $S = \{v_1, v_2, \ldots, v_k\}$ . We can easily induce that  $\max_{v} \{cost(v, S_i)\} \ge \max_{v} \{cost(v, S_{i+1})\}$ .

**Lemma:**  $max_v\{cost(v, S)\}$  is less than any pair in S.

We prove it by mathematical induction:

It's obviously satisfied when k = 1, 2.

If  $k = n, n \ge 2$  satisfied, that is,  $\max_{v} \{ cost(v, S_n) \}$  is less than any pair in  $S_n.S_{n+1} = \{ v_{n+1} \} \cup S_n, cost(v_{n+1}, S_n) = \max_{v} \{ cost(v, S_n) \}$ .

As we have  $\max_{v} \{ cost(v, S_{n+1}) \} \le \max_{v} \{ cost(v, S_n) \} = cost(v_{n+1}, S_n)$ , and  $cost(v_{n+1}, S_n)$  is the minimum in any pair in  $S_{n+1}$ .

Thus, $max_v\{cost(v, S_{n+1})\}\$  is less than any pair in  $S_{n+1}$ .

And then we proof the greedy algorithm achieves an approximation ratio of 2 for the problem by contradiction:

We suppose that the optimal cost is OPT and  $max_v\{cost(v,S) \geq 2OPT$ .

We assume  $v_{k+1} = arg\{max_v\{cost(v,S)\}\}$ , and we can easily induce that any pair in  $S_{k+1} = \{v_{k+1}\} \cup S$  is more than 2OPT. As  $|S_{k+1}| = k+1$ , there exist two vertex  $v_p, v_q$  in  $S_{k+1}$  belong to the same center in optimal solution, and we denote it as  $v_o$ .

Thus, we will have  $cost(v_p, v_o) \leq OPT, cost(v_q, v_o) \leq OPT, cost(v_p, v_q) \geq 2OPT$ , and it's contradict with triangle inequality.

2. Let G = (V, E) be a complete undirected graph with nonnegative edge costs satisfying the triangle inequality, and its vertices are partitioned into two sets, R and S. The goal is to find a minimum cost tree in G that contains R and any subset of S. Obviously, a minimum spanning tree (MST) on R is a feasible solution. Prove that finding an MST on R achieves an approximation ratio of 2 for this problem.

**Proof.** Lemma: According to the triangle inequality, if we want to travel from  $V_1$  to  $V_2$  with minimum cost, then travel directly from  $V_1$  to  $V_2$  will be the optimal solution.

Suppose the optimal cost is OPT, and the corresponding tree is  $T^*$ . We construct a new graph T' based on  $T^*, T'$  will double each edge of  $T^*$  with same weight. Figure 1 is an example:

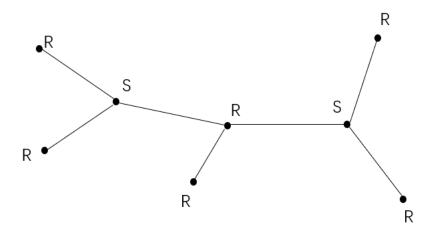


图 1: T\*

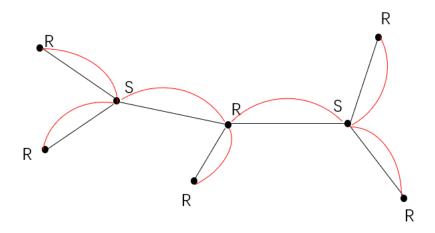


图 2: T'

The total cost of T' is 2OPT. As the degree of each vertex is even, T' has an **Euler Circuit**. Starting with a random vetex, travelling along with the Euler Circuit, we sorted the

vertex in R by the sequential order that travelled in the Euler Circuit. Suppose the order is  $R_1, R_2, \ldots, R_n$ . We construct the a tree T = (V, E) with  $V = \{R_1, R_2, \ldots, R_n\}$  and  $E = \{\langle R_1, R_2 \rangle, \langle R_2, R_3 \rangle, \ldots, \langle R_{n-1}, R_n \rangle\}$ . According to the **Lemma**, the cost of  $\langle R_k, R_{k+1} \rangle$  will be less than the cost traveling from  $R_k$  to  $R_{k+1}$  in Euler Circuit. Thus the total cost of T will be less than T, that is the cost of T is less than T. Thus, the cost of T is less than T.

- 3. **Minimum Weighted Vertex Cover:** Consider the weighted version of the Minimum Vertex Cover problem in which a non-negative weight  $c_i$  is associated with each vertex  $v_i$  and we look for a vertex cover having minimum total weight.
  - (a) Given a weighted graph G = (V, E) with a non-negative weight  $c_i$  associated with each vertex  $v_i$ , please formulate the Minimum Weighted Vertex Cover problem as an integer linear program.

Solution.

$$\min \quad \sum_{j=1}^{|V|} c_j x_j$$

s.t. 
$$x_i + x_j \ge 1$$
  $< i, j > \in E$   
 $x_i = 0, 1$   $i = 1, 2, ..., |V|$ 

(b) Prove that the following algorithm finds a feasible solution of the Minimum Weighted Vertex Cover problem with value  $m_{LP}(G)$  such that  $m_{LP}(G)/m^*(G) \leq 2$ .

Algorithm 2: Rounding Weighted Vertex Cover

**Input:** Graph G = (V, E) with non-negative vertex weights;

Output: Vertex cover V' of G;

- 1 Let  $ILP_{VC}$  be the integer linear programming formulation of the problem;
- **2** Let  $LP_{VC}$  be the problem obtained from  $ILP_{VC}$  by LP-relaxation;
- **3** Let  $x^*(G)$  be the optimal solution for  $LP_{VC}$ ;
- 4  $V' \leftarrow \{v_i \mid x_i^*(G) \ge 0.5\};$
- 5 return V':

**Proof.** Let  $x^*(G)$  be the optimal solution for  $ILP_{VC}, x(G)$  be the optimal solution for  $LP_{VC}, U^*$  be the vertex cover solved by  $ILP_{VC}$  and U be the vertex cover solved by  $LP_{VC}$ .

- i. Firstly,we need to prove that V' is a feasible solution For any  $\langle i, j \rangle \in E$ , we have  $x_i + x_j \geq 1$ . Thus, there is at least one of  $x_i, x_j$  will be greater than 0.5, that is the element of V'. So V' is a feasible solution.
- ii. As  $x_i \geq \frac{1}{2}$  for  $v_i \in U, x_i^* = 1$  for  $v_i \in U^*$ , we have

$$\sum_{v_i \in U} \frac{1}{2} c_i \le \sum_{v_i \in U} c_i x_i$$

$$\sum_{v_i \in U^*} c_i = \sum_{v_i \in U^*} c_i x_i^*$$

As  $\sum_{v_i \in U^*} c_i x_i^*$  is the optimal solution of  $ILP_{VC}$ ,  $\sum_{v_i \in U} c_i x_i$  is the optimal solution of  $LP_{VC}$ , and  $LP_{VC}$  is a relaxation of  $ILP_{VC}$ , thus we have

$$\sum_{v_i \in U} c_i x_i \le \sum_{v_i \in U^*} c_i x_i^*$$

Thus

$$\sum_{v_i \in U} \frac{1}{2} c_i \le \sum_{v_i \in U^*} c_i$$

That is

$$\sum_{v_i \in U} c_i / \sum_{v_i \in U^*} c_i \le 2$$

That is

$$m_{LP}(G)/m^*(G) \le 2$$

4. Give the corresponding (I, sol, m, goal) for Metric k-center and Minimum Weighted Vertex Cover respectively.

## Metric k-center:

 $I = \{(G, k) | G = (V, E) \text{ is an complete undirected graph with nonnegative edge costs satisfying the triangle inequality, <math>k$  is a positive integer  $\}$ 

$$sol((G, k)) = \{S | S \subseteq V \text{ with } |S| = k\}$$

$$m((G,k),S) = \max_{v} \{ cost(v,S) \}$$

goal=min

## Minimum Weighted Vertex Cover:

 $I = \{G = (V, E) | G \text{ is a graph with a non-negative weight } c_i \text{ associated with each vetex } v_i\}$ 

$$sol(G) = \{ U \subseteq V | \forall (v_i, v_j) \in E[v_i \in U \lor v_j \in U] \}$$

$$m(G, U) = \sum_{v_i \in U} c_i$$

goal=min

Remark: You need to include your .pdf and .tex files in your uploaded .zip file.