

Two big questions:

- ① What can we say about a term **without running it**? (Static Analysis)
- ② Can we tell a term will get **stuck** without running it? (Types)

A *type* is a means of *classifying terms*. We will want these to “play well” with the **reduction relation**.

Typing Rules for Booleans

Like our operational semantics, the typing relation is defined using a set of **inference rules**.

\mathbb{B} (typed)		Extends \mathbb{B} (3-1)	
New syntactic forms		New typing rules	
$T ::=$			$t : T$
Bool	types: type of booleans	$\text{true} : \text{Bool}$	(T-TRUE)
		$\text{false} : \text{Bool}$	(T-FALSE)
		$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)

Typing If

Note the form of the rule T-If.

- If both t_2 and t_3 have *the same type* T , then complete expression has type T .
- Otherwise, the expression **has no type**

A term which can be typed is called **typable**, or **well-typed**. A term which can't be typed is called **untypable**.

Another way to say it: the type relation is **not total** on terms.

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The following evaluates to a value, but is untypeable:

`if true then false else 0` (1)

Natural Numbers

BN (typed)

Extends **NB** (3-2) and 8-1

New syntactic forms

$T ::= \dots$

types:

Nat

type of natural numbers

New typing rules

$t : T$

$0 : \text{Nat}$

(T-ZERO)

$t_1 : \text{Nat}$

$\text{succ } t_1 : \text{Nat}$

(T-SUCC)

$t_1 : \text{Nat}$

$\text{pred } t_1 : \text{Nat}$

(T-PRED)

$t_1 : \text{Nat}$

$\text{iszero } t_1 : \text{Bool}$

(T-ISZERO)

Definition of the Typing Relation

The **typing relation** for arithmetic expressions is the smallest binary relation between terms and types satisfying all the typing rules given in the last two figures.

- A term t is **well-typed** if there is some T such that $t : T$

When talking about types, we will often make statements like:

- If a term of the form `succ t_1` has any type at all, then it has type `Nat`.

There is a sort of *information flow*, up and down the AST, of typing information.

Inversion of the Typing Relation

The following inversion rules are immediately derivable from our typing rules:

LEMMA: [Inversion of the Typing Relation]

$$\text{true} : R \implies R = \text{Bool} \quad (2)$$

$$\text{false} : R \implies R = \text{Bool} \quad (3)$$

$$\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R \implies t_1 : \text{Bool} \wedge t_2 : R \wedge t_3 : R \quad (4)$$

$$0 : R \implies R = \text{Nat} \quad (5)$$

$$\text{succ } t_1 : R \implies R = \text{Nat} \wedge t_1 : \text{Nat} \quad (6)$$

$$\text{pred } t_1 : R \implies R = \text{Nat} \wedge t_1 : \text{Nat} \quad (7)$$

$$\text{iszero } t_1 : R \implies R = \text{Bool} \wedge t_1 : \text{Nat} \quad (8)$$

Typing Derivations

Consider the term `if iszero 0 then 0 else pred 0`
Let's draw (on board) a **typing derivation** for it.

THEOREM [Uniqueness of Types]

Each term t has at most one type. That is, if t is well-typed, then its type is unique. Additionally, there is only one derivation of this type, based on our inference rules.

- Proof is by structural induction on t , and uses inversion.

Note that *induction over typing derivations* is also a valid means to prove certain properties.

Type Safety

The most important property of any type system: **safety**.

- Slogan: **Well-typed terms can't go wrong**
- i.e., if a term is well typed, it can't get stuck.

We break safety down into two pieces:

$$\mathbf{Safety = Progress + Preservation}$$

THEOREM [Progress of Typed Arithmetic Expressions]

A well-typed term is not stuck. That is, either it is a value, or one of our evaluation rules can be applied.

THEOREM [Preservation of Typed Arithmetic Expressions]

If a well-typed term takes a step of evaluation, then the resulting term is also well-typed.

- Taken together, we can say that any well-typed term will eventually evaluate to a well-typed value without getting stuck.
- We can argue this inductively over evaluation derivations.

The **canonical forms** of a type are the values which have that type.

LEMMA [Canonical Forms]

- ① If v is a value of type *Bool*, then v is either `true` or `false`
- ② If v is a value of type *Nat*, then v is a numeric value.
 - ▶ That is, v is either `0`, or `succ nv`, where nv is also a numeric value.

Canonical Form of Bool, Nat

If v is a value of type *Bool*, then v is either true or false

By analysis of all values forms: true, false, 0, and succ nv .

- For true and false, get Bool from inversion.
- For 0, get Nat from inversion.
- For succ nv inversion gives that term must have type *Nat*, not *Bool*.

If v is a value of type *Nat*, then v is either 0 or succ(nv) where nv is a value of type *Nat*.

Argument is very similar to above.

THEOREM : [Progress]

Suppose $t : T$. Then t is either a value, or else there is some t' such that $t \rightarrow t'$.

By Induction on typing derivations:

- T-True, T-False, and T-Zero, all apply if t is a value.

Proof of Progress II

- T-If:

$$t = \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \quad (9)$$

By inversion:

$$t_1 : \text{Bool}$$

$$t_2 : T$$

$$t_3 : T$$

- ▶ By the induction hypothesis, t_1 is either a value, or there is some t'_1 such that $t_1 \rightarrow t'_1$
 - ★ If a value, t_1 must be true or false, via the canonical forms lemma. In these cases either E-IfTrue or E-IfFalse apply to t respectively.
 - ★ If $t_1 \rightarrow t'_1$, then E-If is applicable to t .

Proof of Progress III

- T-Succ. Inversion gives $t = \text{succ } t_1 \wedge t_1 : \text{Nat}$
 - ▶ IH: either t_1 value, or $\exists t'_1$ such that $t_1 \rightarrow t'_1$
 - ★ If t_1 is a value, must be numeric value (canonical forms lemma).
 - ★ If $t_1 \rightarrow t'_1$, Then E-Succ is applicable.
- T-Pred. Inversion gives $t = \text{pred } t_1 \wedge t_1 : \text{Nat}$
 - ▶ IH: t_1 is either a value, or $\exists t'_1$ such that $t_1 \rightarrow t'_1$
 - ★ If t_1 is a value, it must be a numeric value via the canonical forms lemma.
 - If $t_1 = 0$, E-PredZero applies to t .
 - If $t_1 = \text{succ } t_2$, E-PredSucc applies to t .
 - ★ If $t_1 \rightarrow t'_1$, the congruency rule E-Pred applies to t .
- T-IsZero. Inverse gives $t = \text{isZero } t_1 \wedge t_1 : \text{Nat}$
 - ▶ IH: t_1 is either a value, or $\exists t'_1$ such that $t_1 \rightarrow t'_1$
 - ★ If t_1 is a value, must be NV by canonical from lemma.
 - If $t_1 = 0$, E-IsZeroZero applies to t .
 - If $t_1 = \text{succ } t_2$, E-IsZeroSucc applies to t .
 - ★ If $t_1 \rightarrow t'_1$, the congruency rule E-IsZero applies to t .

THEOREM [Preservation of Typed Arithmetic Expressions]

$$t : T \wedge t \rightarrow t' \implies t' : T \quad (10)$$

Induction on typing derivations; if last step was:

T-True: $t = \text{true} \wedge T = \text{Bool}$, so $t \nrightarrow t'$.

T-False, T-Zero: same.

T-Succ: $t = \text{succ } t_1 \wedge T = \text{Nat} \wedge t_1 : \text{Nat}$

- only one rule, E-Succ:, thus $t_1 \rightarrow t'_1$.
- Plus $t_1 : \text{Nat}$ implies $t'_1 : \text{Nat}$.
- From $t' = \text{succ } t'_1$ and $t'_1 : \text{Nat}$, typing says $t' : \text{Nat}$

Proof of Preservation II

T-If: $t = \text{if } t_1 \text{ then } t_2 \text{ else } t_3, t_1 : \text{Bool} \wedge t_2 : T \wedge t_3 : T$

Now case analysis on evaluation rules for if:

- E-IfTrue: $t_1 = \text{true}$ and $t' = t_2 \implies t' : T$.
- E-IfFalse: $t_1 = \text{false}$ and $t' = t_3 \implies t' : T$.
- E-If: $t_1 \rightarrow t'_1$ and $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.
 - ▶ IH: $t_1 : T \wedge t_1 \rightarrow t'_1 \implies t'_1 : T$.
 - ★ $t_1 : \text{Bool}$ (via typing relation case analysis)
 - ★ $t_1 \rightarrow t'_1$ (via evaluation relation case analysis)
 - ★ Thus $t'_1 : \text{Bool}$ by IH
 - ▶ As $t'_1 : \text{Bool}$, $t_2 : T$ and $t_3 : T$, typing gives $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3 : T$.

(and so on; T-Pred does require more care)