

Typed λ with Booleans

$$\begin{array}{l} \langle t \rangle ::= \langle x \rangle \\ \quad | \lambda \langle x \rangle. \langle t \rangle \\ \quad | \langle t \rangle \langle t \rangle \\ \quad | \text{true} \\ \quad | \text{false} \\ \quad | \text{if } \langle t \rangle \text{ then } \langle t \rangle \text{ else } \langle t \rangle \end{array}$$

Where x is a variable in the λ -Calculus sense.

- Exclude numbers to keep things simple for now.

$$\begin{array}{l} \langle T \rangle ::= \langle T \rangle \Rightarrow \langle T \rangle \\ \quad | \text{Bool} \end{array}$$

Expanding the definition

This grammar allows us to construct some really interesting types!

- $Bool \Rightarrow Bool$
 - ▶ A function mapping a Boolean argument to a Boolean result.
- $Bool \Rightarrow Bool \Rightarrow Bool$
 - ▶ A function mapping a Boolean argument to a function mapping a Boolean argument to a Boolean result.
 - ▶ \Rightarrow is **right associative**, so the above is $Bool \Rightarrow (Bool \Rightarrow Bool)$
- $(Bool \Rightarrow Bool) \Rightarrow (Bool \Rightarrow Bool)$
 - ▶ An *operator* on Boolean functions.
- *Plus an infinite number of similar variations!*

The Typing Relation

How do we type *inputs*? In general there are two approaches:

- **Explicit Typing** (*Used in this course*).

- ▶ Typing annotations in the syntax functions:

$$\lambda x : T. t$$

- **Implicit Typing** (*Advanced topic in type theory*).

- ▶ aka via *inference*.

Bad Inference Rule

$$\frac{t_2 : T_2}{(\lambda x : T_1.t_2) : T_1 \Rightarrow T_2}$$

But consider:

$\lambda x : \text{Bool}. \text{if } x \text{ then } s_2 \text{ else } s_3$

Enter the Context

$$x : Bool \vdash t_2 : T_2$$

- Typing relation becomes a **three-place relation**, i.e.

$$\text{context} \vdash \text{term} : \text{type}$$

Context in general

In general, need things that look like

$$\{w : T_1, x : T_2, y : T_3\} \vdash z : T_4 \quad (1)$$

where z can mention w , x , y .

General form

$$\Gamma \vdash t : T \quad (2)$$

where Γ is a set of variable type relations.

Called either the **typing context** or the **typing environment**.

Well-formed contexts and variables

Formally we have a well-formed context relation:

$$\cdot \quad \text{ctx} \quad (\text{C-Empty})$$

$$\frac{\Gamma \quad \text{ctx}}{\Gamma, x : T \quad \text{ctx}} \quad (\text{C-Extend})$$

Well-formedness is implicitly assumed. Rather than using \cdot for the empty context, we instead leave it blank:

$$\vdash t_1 : T_1$$

This typing rule is now possible:

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \quad (\text{T-Var})$$

Q: what happens if we try to “insert” the same x twice?

Function Typing, Correctly

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash (\lambda x : T_1. t_2) : T_1 \Rightarrow T_2} \quad (\text{T-Abs})$$

Let's try (see board):

$$\vdash \lambda x : \text{Bool}. \lambda y : \text{Bool}. \lambda z : \text{Bool}. y$$

$$\frac{\Gamma \vdash t_1 : T_1 \Rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 \ t_2 : T_2} \quad (\text{T-App})$$

Syntax

 $t ::=$ x $\lambda x : T. t$ $t t$ $v ::=$ $\lambda x : T. t$ $T ::=$ $T \rightarrow T$ $\Gamma ::=$ \emptyset $\Gamma, x : T$

terms:

variable

abstraction

application

values:

abstraction value

types:

type of functions

contexts:

empty context

term variable binding

Evaluation

 $t \rightarrow t'$

$$\frac{t_1 \rightarrow t'_1}{t_1 t_2 \rightarrow t'_1 t_2} \quad (\text{E-APP1})$$

$$\frac{t_2 \rightarrow t'_2}{v_1 t_2 \rightarrow v_1 t'_2} \quad (\text{E-APP2})$$

$$(\lambda x : T_{11}. t_{12}) v_2 \rightarrow [x \mapsto v_2] t_{12} \quad (\text{E-APPABS})$$

Typing

 $\Gamma \vdash t : T$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \quad (\text{T-VAR})$$

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2} \quad (\text{T-ABS})$$

$$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \quad (\text{T-APP})$$

Remark: as is, *degenerate*.

LEMMA [Inversion of the Typing Relation]

$$\Gamma \vdash x : R \implies x : R \in \Gamma \quad (\text{I-Var})$$

$$\begin{aligned} & \Gamma \vdash (\lambda x : T_1. t_2) : R \\ \implies & \exists R_2 \mid R = (T_1 \Rightarrow R_2) \wedge \Gamma, x : T_1 \vdash t_2 : R_2 \end{aligned} \quad (\text{I-Abs})$$

$$\Gamma \vdash t_1 \ t_2 : R \implies \exists T_{11} \mid \Gamma \vdash t_1 : T_{11} \Rightarrow R \wedge \Gamma \vdash t_2 : T_{11} \quad (\text{I-App})$$

Uniqueness still holds

THEOREM [Uniqueness of Types] In a given typing context Γ , if all the free variables of a term t are in the domain of Γ , t has at most one type.

Proof Sketch: By induction on term grammar. Crucially relies that each typing rule applies to a single term formation rule.

In this case, we say that the typing relation is *syntax directed*.

LEMMA [Canonical Forms]

- ① If v is a value of type $Bool$, then v is either `true` or `false`.
- ② If v is a value of type $T_1 \Rightarrow T_2$, then v has shape $\lambda x : T_1. t_2$.

Note that type $T_1 \Rightarrow T_2$ may have infinitely many values as inhabitants.

THEOREM [Progress for the Simply Typed λ -Calculus]

Suppose $\cdot \vdash t : T$. Either t is a value, or else there is some t' such that $t \rightarrow t'$.

A later theorem will let us generalize from the empty context. Terms typeable in the empty context are called **closed**.

Proof by Induction on Typing Derivations. Each evaluation rule is examined in turn. Details use inversion and, for the one tricky case of T-AppAbs, canonical forms are needed.

LEMMA [Permutation invariance]

If $\Gamma \vdash t : T$ and Δ is a permutation of Γ , then $\Delta \vdash t : T$. Moreover, the latter derivation has the same depth as the former.

Proof Sketch: induction on typing derivations.

We add extra “facts” without changing conclusions: **LEMMA [Weakening]**

If $\Gamma \vdash t : T$ and $x \notin \text{dom}(\Gamma)$, then $\Gamma, x : S \vdash t : T$. Moreover, the latter derivation has the same depth as the former.

Proof Sketch: Induction on typing derivations.

Points of variations show up here, i.e. linear types, union types, dependent types, etc.

Substitution Lemma I

LEMMA [Preservation of Types Under Substitution]

$$\Gamma, x : S \vdash t : T \wedge \Gamma \vdash s : S \implies \Gamma \vdash [x \mapsto s]t : T \quad (3)$$

- Proof will proceed by induction over typing derivations, and using a case analysis over typing rules.

As a reminder:

$[x \mapsto s]x$	$=$	s	
$[x \mapsto s]y$	$=$	y	if $y \neq x$
$[x \mapsto s](\lambda y. t_1)$	$=$	$\lambda y. [x \mapsto s]t_1$	if $y \neq x$ and $y \notin FV(s)$
$[x \mapsto s](t_1 \ t_2)$	$=$	$[x \mapsto s]t_1 \ [x \mapsto s]t_2$	

Substitution Lemma II

T-True, T-False, T-If, T-App straightforward.

T-Var: $t = z \wedge z : T \in (\Gamma, x : S)$

- Case $x = z$

- ▶ $[x \mapsto s]z$ would then evaluate to s .
- ▶ $x = z \wedge z = t \implies x = t$
- ▶ Via the uniqueness of types, $x : S \wedge t : T \implies S = T$
- ▶ Substituting into lemma statement:

$$\Gamma, x : S \vdash x : S \wedge \Gamma \vdash s : S \implies \Gamma \vdash s : S$$

- Now consider $x \neq z$

- ▶ $[x \mapsto s]z$ would then evaluate to z (and from there to t).

$$\Gamma, x : S \vdash t : T \wedge \Gamma \vdash s : S \implies \Gamma \vdash t : T$$

- We can now conclude by weakening.

Substitution Lemma III

T-Abs: $t = \lambda y : T_3. t_1 \wedge T = T_3 \Rightarrow T_4 \wedge \Gamma, x : S, y : T_3 \vdash t_1 : T_4$

By our meta-rule of substitutions in λ expressions, we derive:

$$x \neq y \qquad y \notin FV(s)$$

Using the the permutation lemma on the rightmost equation:

$$\Gamma, y : T_3, x : S \vdash t_1 : T_4$$

Using the weakening lemma on $\Gamma \vdash s : S$:

$$\Gamma, y : T_3 \vdash s : S$$

By the induction hypothesis:

$$\Gamma, y : T_3 \vdash [x \mapsto s]t_1 : T_4.$$

Substitution Lemma IV

Recall T-Abs:

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \Rightarrow T_2}$$

Applying this to last equation $\Gamma, y : T_3 \vdash [x \mapsto s]t_1 : T_4$, get

$$\Gamma \vdash \lambda y : T_3. [x \mapsto s]t_1 : T_3 \Rightarrow T_4$$

The definition of substitution is:

$$[x \mapsto s](\lambda y : T_3. t_1) = \lambda y : T_3. [x \mapsto s]t_1$$

- The LHS has type $T_3 \Rightarrow T_4$ from our original case analysis.
- The RHS has the same type from above.