

# Proof of Theorem 1

*Theorem 1:* Assume a Raptor-Like base matrix  $\mathbf{B}$  is defined as follows, with the first  $V > 1$  column punctured. If  $\mathbf{B}$  has a non-zero minimum distance upper bound  $d_{\min, \text{upp}} > 0$  which can be computed as in [1],  $\mathbf{B}$  has no parallel edges and all the punctured columns have not smaller than  $V$  non-zero elements in  $\mathbf{B}_H$ , then  $d_{\min, \text{upp}}$  could be achieved in some  $M \times (M+1)$  sub-matrix of  $\mathbf{B}$ , such that all the last  $(M-G)$  parity-check columns of  $\mathbf{B}$  are in that sub-matrix.

$$\mathbf{B} = \begin{bmatrix} (\mathbf{B}_H)_{G \times (K+G)} & \mathbf{0}_{G \times (M-G)} \\ (\mathbf{B}_U)_{(M-G) \times (K+G)} & \mathbf{I}_{(M-G) \times (M-G)} \end{bmatrix}.$$

## APPENDIX A PROOF OF THEOREM 1

Suppose  $\mathcal{S}$  denotes a set of  $(M+1)$  columns in the total  $N = M + K$  columns of  $\mathbf{B}$ , and all the possible  $\binom{N}{M+1}$  sets  $\mathcal{S}$  forms a set  $\Lambda$ .  $\mathcal{P}$  is the set of  $V$  punctured columns. It is further assumed that all the columns are indexed as 1 to  $N$  in order, and the punctured columns are the leftmost  $V$  columns indexed 1 to  $V$ , i.e.,  $\mathcal{P} = \{1, 2, \dots, V\}$ . From [1], we have

$$d_{\min, \text{upp}} = \min_{\mathcal{S} \in \Lambda}^* \sum_{i \in \mathcal{S} \setminus \mathcal{P}} \text{Perm}(\mathbf{B}_{\mathcal{S} \setminus i}) > 0, \quad (1)$$

where  $\min^*$  means the minimum of all the non-zero elements,  $\text{Perm}$  means the permanent of a  $M \times M$  square matrix.  $\mathbf{B}_{\mathcal{S} \setminus i}$  means the  $M \times M$  sub-matrix composed of the  $M$  columns in  $\mathcal{S} \setminus i$  in order. Then we only need to show that  $\exists \mathcal{S} \in \Lambda, \{N - M + G + 1, \dots, N\} \subseteq \mathcal{S}$ , s.t.

$$d_{\min, \text{upp}} = \sum_{i \in \mathcal{S} \setminus \mathcal{P}} \text{Perm}(\mathbf{B}_{\mathcal{S} \setminus i}). \quad (2)$$

For convenience, we denote  $\mathcal{T} = \{N - M + G + 1, \dots, N\}$  as set of the last  $M - G$  columns in  $\mathbf{B}$ . Then we firstly prove the following lemma.

*Lemma 1:* If  $\exists \mathcal{S} \in \Lambda, |\mathcal{S} \cap \mathcal{T}| = t < M - G$ , s.t.

$$d = \sum_{i \in \mathcal{S} \setminus \mathcal{P}} \text{Perm}(\mathbf{B}_{\mathcal{S} \setminus i}) > 0, \quad (3)$$

then  $\exists \mathcal{S}^* \in \Lambda, |\mathcal{S}^* \cap \mathcal{T}| = t + 1$ , s.t.

$$d \geq d^* = \sum_{i \in \mathcal{S}^* \setminus \mathcal{P}} \text{Perm}(\mathbf{B}_{\mathcal{S}^* \setminus i}) > 0. \quad (4)$$

*Proof:* According to equation (3), There exists an bijection  $\sigma : \mathcal{S} \rightarrow \{0, 1, 2, \dots, M\}$ , s.t.

$$\forall j \in \mathcal{S} \setminus \sigma^{-1}(0), \mathbf{B}(\sigma(j), j) = 1,$$

where  $\mathbf{B}(m, n)$  means the element at the  $m$ -th row and the  $n$ -th column of  $\mathbf{B}$ .

*Case 1:*  $\exists j_1 \in \mathcal{S} \setminus (\mathcal{P} \cup \mathcal{T} \cup \{\sigma^{-1}(0)\})$ ,  $\sigma(j_1) > G$ . Suppose that  $\sigma(j_1) + K \neq \sigma^{-1}(0)$ , otherwise there exists only one  $j_1$  such that  $\sigma(j_1) + K = \sigma^{-1}(0)$ , and then we could change the bijection  $\sigma$  to  $\sigma^*$  such that  $\sigma^*(j_1) = 0, \sigma^*(\sigma^{-1}(0)) = j_1$  and for other  $j \in \mathcal{S}$ ,  $\sigma(j) = \sigma^*(j)$ . Then it can be convert to Case

2. Now that  $\sigma(j_1) + K \neq \sigma^{-1}(0)$ , and we can directly change the column  $j_1$  in  $\mathcal{S}$  to the column  $\sigma(j_1) + K \in \mathcal{T}$ , to form a new set  $\mathcal{S}^* = (\mathcal{S} \setminus j_1) \cup \{\sigma(j_1) + K\}$ . Since the set of non-zero entry positions in the column  $\sigma(j_1) + K$  are included in the set of non-zero entry positions in the column  $j_1$ , we have

$$d \geq d^* = \sum_{i \in \mathcal{S}^* \setminus \mathcal{P}} \text{Perm}(\mathbf{B}_{\mathcal{S}^* \setminus i}).$$

Note that we also have

$$d^* \geq \text{Perm}(\mathbf{B}_{\mathcal{S}^* \setminus \sigma^{-1}(0)}),$$

and since  $\mathbf{B}(\sigma(j_1), j_1) = \mathbf{B}(\sigma(j_1), \sigma(j_1) + K) = 1$ , then

$$\text{Perm}(\mathbf{B}_{\mathcal{S}^* \setminus \sigma^{-1}(0)}) \geq \prod_{j' \in \mathcal{S} \setminus \sigma^{-1}(0)} \mathbf{B}(\sigma(j'), j') > 0.$$

We also have  $|\mathcal{S}^* \cap \mathcal{T}| = t + 1$ , then Lemma 1 is valid.

*Case 2:*  $\forall j \in \mathcal{S} \setminus (\mathcal{P} \cup \mathcal{T} \cup \{\sigma^{-1}(0)\})$ ,  $\sigma(j) \leq G$ . Then since  $|\mathcal{S} \cap \mathcal{T}| = t < M - G$ ,  $\exists j_1 \in \mathcal{P}$ , s.t.  $\sigma(j_1) > G$ , otherwise all the columns  $\sigma(j) > G$  are in  $\mathcal{T}$  and thus  $|\mathcal{S} \cap \mathcal{T}| = M - G$ , which contradicts. Now we could change the column  $j_1$  in  $\mathcal{S}$  to the column  $\sigma(j_1) + K \in \mathcal{T}$ , to form a new set of columns  $\mathcal{S}^* = (\mathcal{S} \setminus j_1) \cup \{\sigma(j_1) + K\}$ . Then the set of punctured columns in  $\mathcal{S}^*$  is changed to  $\mathcal{P}^* = \mathcal{P} \setminus j_1$ . Similar to Case 1, we have

$$d^* = \sum_{i \in \mathcal{S}^* \setminus \mathcal{P}^*} \text{Perm}(\mathbf{B}_{\mathcal{S}^* \setminus i}) > 0.$$

Now we only need to prove  $d - d^* \geq 0$ , and since  $|\mathcal{S}^* \cap \mathcal{T}| = t + 1$ , then Lemma 1 is valid. For simplicity, suppose  $\mathcal{W}$  is a set of  $M$  columns in  $\mathbf{B}$ , and  $\omega_{\mathcal{W}} : \mathcal{W} \rightarrow \{1, 2, \dots, M\}$  is a bijection. We define

$$p(\omega_{\mathcal{W}}, \mathcal{W}) = \prod_{j \in \mathcal{W}} \mathbf{B}(\omega_{\mathcal{W}}(j), j) > 0.$$

Then we have

$$d - d^* = \sum_{i \in \mathcal{S} \setminus \mathcal{P}} \sum_{\omega \in \chi_1(\mathcal{S} \setminus i)} p(\omega, \mathcal{S} \setminus i) - \sum_{\omega \in \chi(\mathcal{S} \setminus j_1)} p(\omega, \mathcal{S} \setminus j_1),$$

where  $\chi_1(\mathcal{S} \setminus i)$  is the set of all the valid bijections  $\omega : \mathcal{S} \setminus i \rightarrow \{1, 2, \dots, M\}$  such that  $\omega(j_1) \neq \sigma(j_1)$  and  $\mathbf{B}(\omega(j), j) = 1$  for any  $j \in \mathcal{S} \setminus i$ ,  $\chi(\mathcal{S} \setminus j_1)$  is the set of all the valid bijections  $\omega : \mathcal{S} \setminus j_1 \rightarrow \{1, 2, \dots, M\}$  such that  $\mathbf{B}(\omega(j), j) = 1, \forall j \in \mathcal{S} \setminus j_1$ . Then we would show that there exists an injection  $q : \chi(\mathcal{S} \setminus j_1) \rightarrow \{(i, \chi_1(\mathcal{S} \setminus i)) | i \in \mathcal{S} \setminus \mathcal{P}\}$  such that if  $(i, \omega^*) = q(\omega)$ , then  $p(\omega^*, \mathcal{S} \setminus i) = p(\omega, \mathcal{S} \setminus j_1)$ . Thus  $d - d^* \geq 0$ .

Note that according to the assumptions in Theorem 1, for any  $\omega \in \mathcal{S} \setminus j_1$ ,  $\exists t \leq G$ , s.t.  $\mathbf{B}(t, j_1) = 1$  and  $\omega^{-1}(t) \notin \mathcal{T} \cup \mathcal{P}$ . That is because at most  $V - 1$  punctured columns are in  $\mathcal{S} \setminus j_1$ , and each punctured column has at least  $V$  non-zero elements in  $\mathbf{B}_H$ . Then we can construct  $\omega^* : \mathcal{S} \setminus \omega^{-1}(t) \rightarrow \{1, 2, \dots, M\}$  such that  $\omega^*(j_1) = t$  and  $\omega^*(j) = \omega(j), \forall j \in \mathcal{S} \setminus \{\omega^{-1}(t), j_1\}$ . Obviously  $p(\omega^*, \mathcal{S} \setminus \omega^{-1}(t)) = p(\omega, \mathcal{S} \setminus j_1) = 1$ , and we define  $(\omega^{-1}(t), \omega^*) = q(\omega)$ . Now we show that  $q$  is an injection. For any  $\omega_1, \omega_2 \in \mathcal{S} \setminus j_1$ , if  $q(\omega_1) = q(\omega_2)$ , we denote the  $t$  chosen

for  $\omega_1, \omega_2$  as  $t_1, t_2$ , respectively. Then because  $\mathcal{S} \setminus \omega_1^{-1}(t_1) = \mathcal{S} \setminus \omega_2^{-1}(t_2)$ , we have  $\omega_1^{-1}(t_1) = \omega_2^{-1}(t_2)$ . Then  $\omega_1(j) = \omega_2(j)$  for all  $j \in \mathcal{S} \setminus \omega_1^{-1}(t_1)$ , according to the definition of  $\omega^*$ . Since  $\omega_1, \omega_2$  are both bijections, we have  $t_1 = t_2$  and then  $\omega_1 = \omega_2$ . That completes the proof of Lemma 1. ■

According to Lemma 1 and mathematic induction, suppose that  $\exists \mathcal{S} \in \Lambda, d_{\min, \text{upp}} = \sum_{i \in \mathcal{S} \setminus \mathcal{P}} (\mathbf{B}_{\mathcal{S} \setminus i})$ , we have that  $\exists \mathcal{S}^* \in \Lambda, |\mathcal{S}^* \cap \mathcal{T}| = M - G, d = \sum_{i \in \mathcal{S}^* \setminus \mathcal{P}} (\mathbf{B}_{\mathcal{S}^* \setminus i})$ , s.t.

$$d_{\min, \text{upp}} \geq d \geq d_{\min, \text{upp}} \Rightarrow d = d_{\min, \text{upp}}.$$

That completes the proof of Theorem 1.

## REFERENCES

- [1] B. K. Butler and P. H. Siegel, "Bounds on the Minimum Distance of Punctured Quasi-Cyclic LDPC Codes," *IEEE Transactions on Information Theory*, vol. 59, no. 7, pp. 4584–4597, 2013.