# Assignment 1

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## 1 Problem 1

Recall that in class we show by the probabilistic method how to deduce a  $\frac{n(n-1)}{2}$  upper bound on the number of distinct min-cuts in any multigraph with vertices from the  $\frac{2}{n(n-1)}$  lower bound for success probability of Karger's min-cut algorithm.

Also recall that the algorithm taught in class guarantees to return a mincut with probability at least. Does this imply a much tighter upper bound on the number of distinct min-cuts in any multigraph with vertices? Prove your improved upper bound if your answer is "yes", and give a satisfactory explanation if your answer is "no".

### 1.1 solution:

the problem is: how many different min-cuts in a graph G, given  $\Pr[c \text{ is returned}] \geq \frac{2}{n(n-1)}$ , c is a min-cut in G. figure out the upper bound. assume G has r min-cuts,  $c_1, c_2, \ldots, c_r$ . thus  $\Pr[c_i \text{ is returned}] \geq \frac{2}{n(n-1)}$ , given  $i \in 1, 2, \ldots r$ . and these event that  $c_i$  is returned is mutually exclusive.  $\Pr[\bigcup_{i=1}^r c_i \text{ is returned}] \geq \sum_{i=1}^r \frac{2}{n(n-1)} = \frac{2 \times r}{n(n-1)}$ . and  $\Pr[\bigcup_{i=1}^r c_i \text{ is returned}] \leq 1$ . thus,  $r \leq \frac{n(n-1)}{2}$ .

I can give a counter-example for a complete graph with n vertices, due to its symmetry, the number of distinct min-cuts in this kind of graph must be upper than n. and  $O(\log n)$  is lower than n. so  $O(\log n)$  cannot be the upper bound so my answer is 'no'.

## 2 Problem 2

Give an efficient randomized algorithm with bounded one-sided error (false positive), for testing isomorphism between rooted trees with n vertices. Analyze your algorithm.

## 2.1 solution:

the thought we want to solve the problem is to find an expression of the trees using invariant 0 and 1. then using the fingerprint algorithm to check the identity.

we can use the AHU algorithm to figure out the problem, the idea of the algorithm is to find a sole number only have 0 and 1 to represent a rooted tree, the figure shows that how the algorithm works, and then the problem becomes the communication complexity, and one-sided error  $\leq \frac{1}{2}$ 

## ${\bf Algorithm~1~Tree~isomorphism~(AHU)~AHUSORT(v)}$

## Input:

two rooted trees with n vertices.

## Output:

whether the two trees are isomorphed;

- 1: if v is a leaf then
- 2: Name v '10'
- 3: **else**
- 4: **for** all child w of v **do**
- 5: AHUSORT(w);
- 6: sort the names of the children of v;
- 7: concatenate the names of all children of v to temp;
- 8: Give v the name 1temp0;

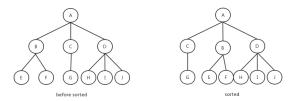


Fig. 1. the tree before and after sorted

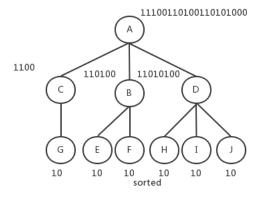


Fig. 2. the tree named

## 3 Problem 3

describe a strategy of choosing an x from the sampled set  $\{Y_1, Y_2, ... Y_t\}$  such such that rank(x) is approximately k. here rank(x) denotes the rank of x in the

original list  $\{x_1, x_2, ... x_n\}$ : The rank of the largest number among  $x_1, x_2, ... x_n$  is 1; the rank of the second largest number among  $x_1, x_2, ... x_n$  is 2, Choose your t as small as possible (in big-O notation) so that with probability at least  $1 - \delta$ . your strategy returns an x such that  $(1 - \epsilon)k \leq \operatorname{rank}(x) \leq (1 + \epsilon)k$ .

## 3.1 solution:

the problem can be simplified as randomly select a number from a set of n distinct numbers.  $rank^{-1}(k)$  is the number we want.  $\Pr[Y_i \geq rank^{-1}(k)] = \frac{k-1}{n}$ . then repeat for t times, we want the number of the elements in the set  $\{Y_1, Y_2, \dots Y_t\}$  that greater than  $rank^{-1}(k)$ , we call the number m, then the m-largest number in Y is the k-largest number in X, obviously m obey the Bernoulli trials, we define nonnegative Z represent the distribution of m, then:

$$Pr[Z = m] = C_t^m p^m \times (1 - p)^{t - m}$$
 (1)

in this equation,  $p = \frac{k-1}{n}$  denotes the possibility that we successfully fetched. then we get the expection  $\mu = t \times p$ .

$$\begin{split} \mu &= t \times p \\ &= t \times \frac{k-1}{n} \\ &= \frac{t \times (k-1)}{n} \end{split}$$

so the m-th largest number in Y is x. then we define:  $\operatorname{rank}_{X}(x)$  is the rank of x in X,  $\operatorname{rank}_{Y}(x)$  is the rank of x in Y. thus

$$Pr[(1 - \epsilon)k \le \operatorname{rank}(x) \le (1 + \epsilon)k] = Pr[(1 - \epsilon)k \le \operatorname{rank}_{\mathbf{X}}(x) \le (1 + \epsilon)k]$$
$$= Pr[(1 - \epsilon)\mu \le \operatorname{rank}_{\mathbf{Y}}(x) \le (1 + \epsilon)\mu]assume$$
$$= Pr[(1 - \epsilon)\mu \le m \le (1 + \epsilon)\mu]$$

$$W_i = \frac{Z_i}{E(Z_i)}$$
, then  $E(W) = 1$ ,  $D(W) = \frac{D(Z)}{E^2(Z)} = \frac{1-p}{t \times p}$ ,  
 $Pr[(1-\epsilon)\mu \le m \le (1+\epsilon)\mu] = Pr[|W - E(W)| \le \epsilon] \ge 1-\delta$ 

due to Chebyshev's Inequality, then

$$\begin{split} Pr[|W - E(W)| &\geq \epsilon] \leq \frac{D(W)}{\epsilon^2} \\ &= \frac{1 - p}{\epsilon^2 \times tp} \end{split}$$

assume  $p \geq \frac{1}{2}$ , (if  $p \leq \frac{1}{2}$ , we can transfer this problem to find the k smallest number then  $p \geq \frac{1}{2}$  still) then  $t = \frac{1}{\epsilon^2 \times \delta}$ 

## 4 Problem 4

### 4.1 Solution:

- 1. (1) assume  $m=\frac{n}{2}$ , then the maximum load is also  $\Theta\left(\frac{\log n}{\log\log n}\right)$ . so the the maximum load  $P \geq \Theta\left(\frac{\log n}{\log\log n}\right)$  for the remaining  $\frac{n}{2}$ , because the power of two choices is exponentially less than one choice. so the the maximum load  $P \leq \Theta\left(\frac{\log n}{\log\log n}\right)$  then the asymptotically tight bound is  $\Theta\left(\frac{\log n}{\log\log n}\right)$ .
- 2. (2) this situation is more easier. I can view the situation as twice throw then get the sum. so the maximum load w.h.p is  $\Theta\left(\frac{\log n}{\log\log n}\right) + \Theta(\log\log n) = \Theta\left(\frac{\log n}{\log\log n}\right)$ 3. (3) i think this paradigm is also larger than throw  $\frac{n}{2}$  balls into n bins. so the
- 3. (3) it think this paradigm is also larger than throw  $\frac{n}{2}$  balls into n bins, so the bound is also  $\Theta\left(\frac{\log n}{\log\log n}\right)$ .

## 5 Problem 5

### 5.1 Solution:

1. (1)

$$\begin{split} Pr[X \geq t] &= Pr[(\mathrm{e}^{\lambda X}) \geq (\mathrm{e}^{\lambda t})], for all \lambda \geq 0 \\ &\leq \frac{E(\mathrm{e}^{\lambda X})}{\mathrm{e}^{\lambda t}}, due to generalized Markov's inequality. \\ &= \mathrm{e}^{\ln \frac{E(\mathrm{e}^{\lambda X})}{\mathrm{e}^{\lambda t}}} \\ &= \mathrm{e}^{-(\lambda t - \Psi_X(\lambda))} \end{split}$$

then for all  $\lambda \geq 0$ ,  $Pr[X \geq t] \leq e^{-(\lambda t - \Psi_X(\lambda))}$ ,  $\operatorname{so} Pr[X \geq t] \leq \min e^{-(\lambda t - \Psi_X(\lambda))}$ , then  $\Psi_X^*(t) := \sup_{\lambda \geq 0} (\lambda t - \Psi_X(\lambda))$ 

- 2.  $let f(\lambda) = \lambda t \Psi_X(\lambda)$ , given a fixed t, the function get it's extreme value when  $f'(\lambda)$ , that is  $\Psi'_X(\lambda) = t$
- 3. Normal random variables we can get  $\mathbb{E}\left[e^{\lambda X}\right] = e^{\frac{2\lambda\mu \lambda^2\sigma}{2\sigma}}$ , then  $\Psi_X(\lambda) = \frac{2\lambda\mu \lambda^2\sigma}{2\sigma}$ . the detailed proof is in the supporting materials.

## 6 Problem 6

A boolean code is a mapping  $C: \{0,1\}^k \to \{0,1\}^n$ . Each  $x \in \{0,1\}^k$  is called a message and y = C(x) is called a codeword. The code rate r of a code C is  $r = \frac{k}{n}$ . A boolean code  $C: \{0,1\}^k \to \{0,1\}^n$  is a linear code if it is a linear transformation, i.e. there is a matrix  $A \in \{0,1\}^{n \times k}$  such that C(x) = Ax for any  $x \in \{0,1\}^k$ , where the additions and multiplications are defined over the finite field of order two,  $(\{0,1\}, +_{\text{mod }2}, \times_{\text{mod }2})$ , The distance between two codeword  $y_1, y_2$ , is defined as the Hamming distance between them. Formally,  $d(y_1, y_2) = \|y_1 - y_2\|_1 = \|y_1 - y_2\|_1$ 

 $\sum_{i=1}^n |y_1(i)-y_2(i)|$  The distance of a code C is the minimum distance between any two codewords. Formally,  $d=\min_{\substack{x_1,x_2\in\{0,1\}^k\\x_1\neq x_2}} d(C(x_1),C(x_2)).$  Usually we want to make both the code rate r and the code distance d as large as possible, because a larger rate means that the amount of actual message per transmitted bit is high, and a larger distance allows for more error correction and detection. Use the probabilistic method to prove that there exists a boolean code  $C:\{0,1\}^k\to\{0,1\}^n$ ,of code rate r and distance  $\left(\frac{1}{2}-\Theta\left(\sqrt{r}\right)\right)n$  Try to optimize the constant in  $\Theta(\cdot)$  Prove a similar result for linear boolean codes.

### 6.1 solution:

- 1. first we want to prove that: for random i,j,  $d(y_i, y_j) = \|y_i y_j\|_1 = \sum_{k=1}^n |y_i(k) y_j(k)|$  which is the Hamming distance between  $y_i$  and  $y_j$ , the expectation of d is  $\frac{n}{2}$  the detailed proof is in the supporting material. then we define  $e_{ij}$  is the comparation between  $y_i$  and  $y_j$ . then we can obviously find that there are  $\frac{(2^k-1)\times 2^k}{2}$  edges. that is, there are  $\frac{(2^k-1)\times 2^k}{2}$  comparations in the whole  $\{0,1\}^n$  space. then we can define  $X:X_1,X_2,...X_{\frac{(2^k-1)\times 2^k}{2}}$ , represent the random event. we can use the Markov's Inequality to get a bound for a  $X_i$ . and the problem is to prove the possibility of the  $\frac{(2^k-1)\times 2^k}{2}$  union sets is lower than 1, which is proved in the supporting material.
- 2. the problem is similar to question 1.