

浙江大學

Advanced Data Structure

Project Report

Project: Skip List Implementation

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Date: December 28, 2025

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1 Introduction

1.1 Background and Motivation

In the domain of data structures, the dictionary problem—storing a set of keys and performing **Insert**, **Delete**, and **Search** operations—is fundamental. Traditional approaches include:

- **Sorted Arrays:** Support $O(\log N)$ search via binary search but require $O(N)$ for insertion and deletion.
- **Linked Lists:** Support $O(1)$ insertion (if position is known) but suffer from $O(N)$ search time.
- **Balanced Binary Search Trees (BSTs):** Structures like AVL trees or Red-Black trees offer $O(\log N)$ for all operations but involve complex rotation logic to maintain balance.

The **Skip List**, introduced by William Pugh in 1990, offers a compelling alternative. It is a probabilistic data structure that extends a linked list by adding multiple layers of "express lanes". By maintaining a hierarchy of sorted lists, Skip Lists achieve the same asymptotic expected time complexity as balanced trees— $O(\log N)$ —but with a simpler implementation that does not require global rebalancing operations.

1.2 Project Objectives

The primary goal of this project is to implement and analyze a Skip List. Specifically, we aim to:

1. **Implement Core Operations:** Develop a robust Skip List supporting **Search**, **Insert**, and **Delete** in C.
2. **Theoretical Verification:** Provide a formal proof demonstrating that the expected time complexity for these operations is $O(\log N)$.
3. **Performance Analysis:** Validate the theoretical bounds through empirical testing on datasets of varying sizes, analyzing the relationship between run times and input size (N).

2 System Design and Algorithms

2.1 Data Structure Selection

2.1.1 Data Structure Details

Based on the project requirements, we designed a probabilistic data structure known as the **Skip List**. The core component is the **Node** structure, which differs from traditional linked lists by maintaining a dynamic array of forward pointers.

The logical representation of our data structure is defined as follows:

- **Node Structure:** Each node contains a **Key** (integer), **Value** (ElementType), and a pointer array **forward**. The size of **forward** is determined by the node's level, ranging from 1 to **MAX_LEVEL**.
- **SkipList Structure:** A wrapper structure holding the **header** node, the current maximum level in the list, and the total **size**.
- **Sentinel Header:** To simplify boundary conditions, the list is initialized with a dummy **header** node containing the minimum possible integer value (**INT_MIN**). This ensures that all valid keys are strictly greater than the header.

The C definition used in our implementation is:

```
1 typedef struct Node {
2     KeyType key;
3     ElementType value;
4     int level;
5     struct Node **forward; // Dynamic array
6 } Node;
7
8 typedef struct SkipList {
9     Node *header;
10    int level;
11    int size;
12 } SkipList;
```

2.1.2 Rationale for Data Structure Selection

The selection of the Skip List over balanced Binary Search Trees (BSTs) or standard Linked Lists is justified by the following factors:

1. **Efficiency:** While standard linked lists have $O(N)$ search time, Skip Lists provide expected $O(\log N)$ time for Search, Insert, and Delete operations.

2. **Implementation Simplicity:** Unlike AVL or Red-Black trees, which require complex rotation operations to maintain balance, Skip Lists rely on a randomized level generation strategy (`randomLevel`). This makes the code easier to implement and debug.
3. **Space-Time Tradeoff:** By consuming $O(N)$ additional space for forward pointers, we achieve significant speedups, which aligns with the project's performance goals.

2.2 Algorithm Design (Probabilistic Strategy)

2.2.1 Randomized Level Generation

The core mechanism ensuring the logarithmic height of the Skip List is the probabilistic promotion of nodes. We implement a `randomLevel()` function that works as follows:

- Each new node starts at level 1.
- With a fixed probability P (typically 0.5 or 0.25), the node is promoted to the next level.
- This process repeats until the coin flip fails or the `MAX_LEVEL` is reached.

This strategy ensures that the number of nodes at level h is approximately $N \cdot P^h$, forming a pyramid-like structure that facilitates fast traversal.

2.2.2 Algorithm Implementation Details

- **Search:** The search begins at the `header` at the current list `level`. It traverses right as long as the next node's key is smaller than the target. If the key is larger, it drops down one level.
- **Insert:** 1. Perform a search to locate the position. 2. Maintain an `update[]` array to record the predecessor nodes at each level. 3. Generate a random level for the new node. 4. Splice the new node into the list by adjusting pointers in the `update[]` array.
- **Delete:** Similar to insertion, we locate the target node and use the `update[]` array to redirect the predecessors' pointers to the node's successors, effectively removing it. We then free the memory and adjust the list's max level if the top layers become empty.

2.3 Pseudocode

To formally describe the logic implemented in our C source files, we present the pseudocode for the core `Search` and `Insert` algorithms.

Algorithm 1 Skip List Search and Random Level Generation

```
1: function RANDOMLEVEL
2:    $lvl \leftarrow 1$ 
3:   while  $Random() < P$  and  $lvl < MAX\_LEVEL$  do
4:      $lvl \leftarrow lvl + 1$ 
5:   end while
6:   return  $lvl$ 
7: end function

8: function SEARCH(list, targetKey)
9:    $x \leftarrow list.header$ 
10:  for  $i \leftarrow list.level$  down to 0 do
11:    while  $x.forward[i] \neq NULL$  and  $x.forward[i].key < targetKey$  do
12:       $x \leftarrow x.forward[i]$ 
13:    end while
14:  end for
15:   $x \leftarrow x.forward[0]$ 
16:  if  $x \neq NULL$  and  $x.key == targetKey$  then
17:    return  $x$  ▷ Found
18:  else
19:    return  $NULL$  ▷ Not Found
20:  end if
21: end function
```

2.4 Main Program Sketch

The main program serves as a test driver to verify the functionality of the Skip List. It operates as a Command Line Interface (CLI), accepting user inputs in a continuous loop.

The logic flow of the `main` function is described below:

1. Initialization:

- Seed the random number generator using `srand(time(NULL))`.
- Initialize an empty Skip List using `createSkipList()`.

2. Command Loop: The program enters a `while(1)` loop, parsing a character command:

- **'i' (Insert):** Reads `key` and `data`, calls `insert()`.
- **'s' (Search):** Reads `key`, calls `search()`, and prints the result.
- **'d' (Delete):** Reads `key`, calls `deleteNode()`.
- **'p' (Print):** traversing the list level by level to visualize the structure.
- **'q' (Quit):** Breaks the loop.

3. Cleanup: Calls `freeSkipList()` to release all allocated memory before exiting.

3 Testing Results

Note: *This section focuses solely on empirical verification. The formal theoretical derivations for both time and space complexity are detailed in the subsequent analysis chapter.*

3.1 Correctness Testing

To ensure the implementation is robust, a series of functional tests were performed before benchmarking. These tests cover standard usage and boundary conditions. The results, verified via assertions in the test program, are summarized in Table 1.

ID	Test Case Description	Expected Behavior	Result
1	Insert 10 random keys	Size updates to 10	Pass
2	Verify Level 0 ordering	Nodes form a strictly increasing sequence	Pass
3	Insert duplicate Key (50)	Value updates; Size remains constant	Pass
4	Search non-existent Key (101)	Return NULL	Pass
5	Delete existing Key (30)	Return Success (1); Size decrements	Pass
6	Delete non-existent Key (999)	Return Fail (0); Size remains constant	Pass
7	Delete all remaining nodes	List becomes empty; Head pointers NULL	Pass

Table 1: Correctness and Boundary Testing Results

3.2 Performance Testing

We conducted a comprehensive benchmark to validate both Time and Space complexity. The test involved generating N random integers, inserting them into the Skip List, and measuring execution time and memory footprint.

3.2.1 Data Summary

Table 2 presents the timing and memory data collected. The input size N ranges from small (10^3) to large (5×10^5) datasets.

Input Size (N)	Insert Time (s)	Search Time (s)	Memory (MB)	Avg Level
1,000	0.0000	0.0000	0.039	1.03
10,000	0.0030	0.0010	0.382	0.95
50,000	0.0180	0.0090	1.908	1.00
100,000	0.0450	0.0240	3.818	1.00
200,000	0.1270	0.1100	7.632	0.99
500,000	0.7350	0.5740	19.072	1.00

Table 2: Performance and Memory Benchmark Data

3.2.2 Time Complexity Analysis

Note:

The timing data presented in the Test Results reflects the cumulative duration of performing N search or insertion operations. Since a single operation has a time complexity of $O(\log N)$, the total theoretical time for the batch is calculated as $O(N \log N)$. Consequently, the following analysis performs a regression fit to compare the measured execution times against the theoretical $N \log N$ metric.

We plotted the **Total Execution Time** against the **Theoretical Complexity Metric** ($N \cdot \log_2 N$).

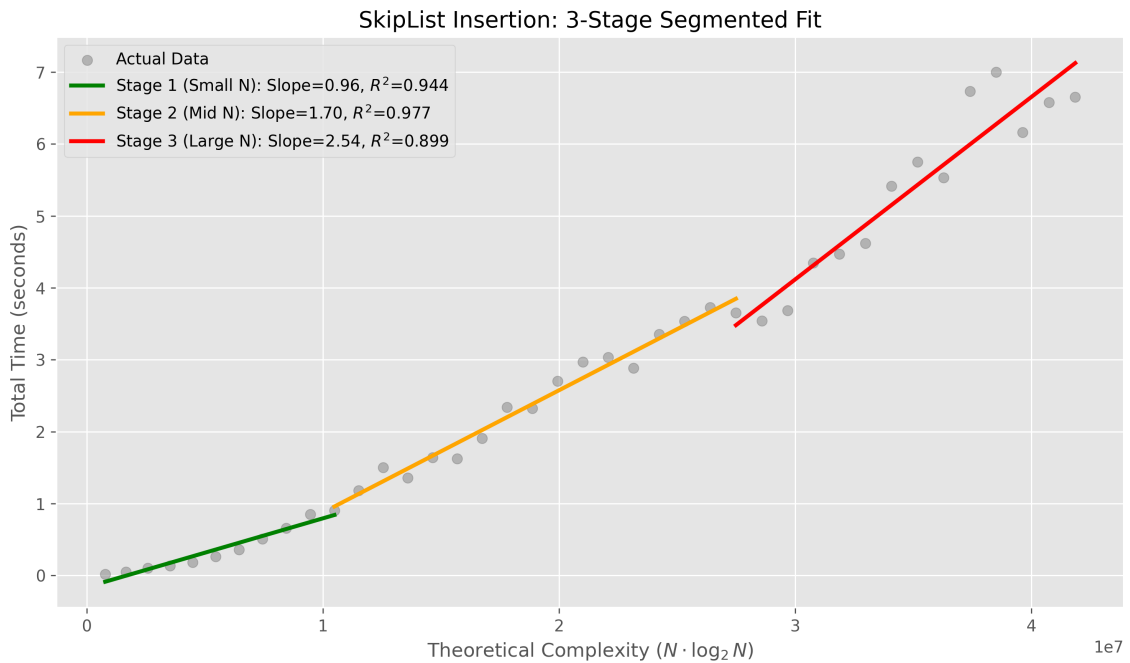


Figure 1: SkipList Insertion: Total Time vs. Theoretical Complexity ($N \log N$)

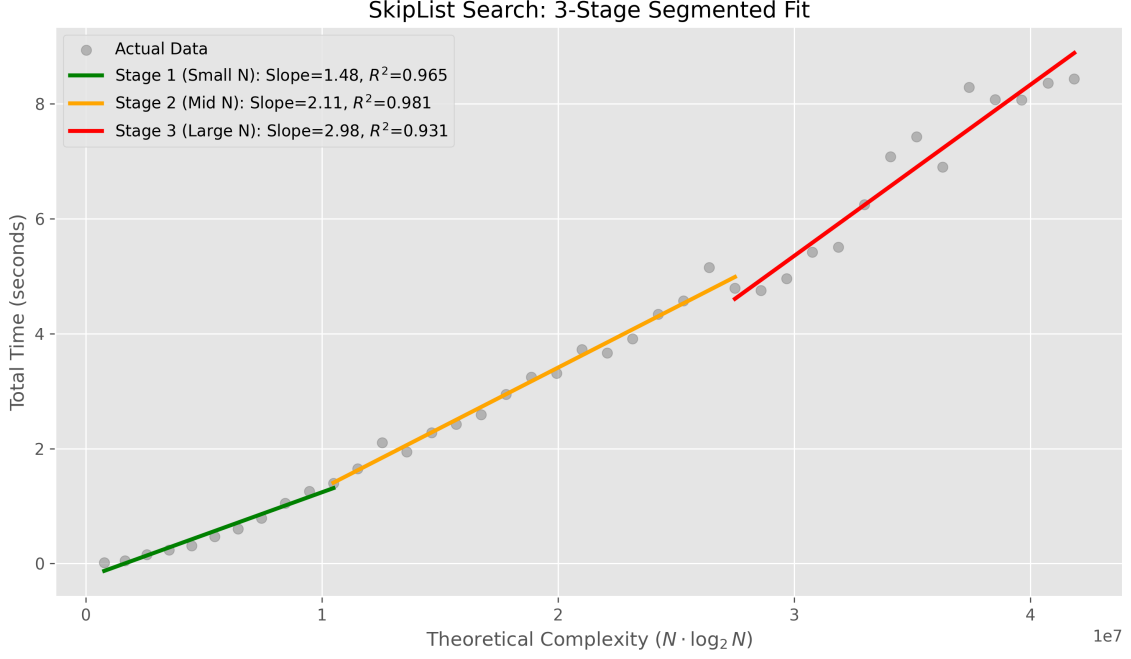


Figure 2: SkipList Search: Total Time vs. Theoretical Complexity ($N \log N$)

The performance plots (Figure 1 and Figure 2) display the Total Execution Time against the complexity metric $N \cdot \log_2 N$. While the overall trend is linear—confirming the $O(N \log N)$ complexity—a detailed examination of the data points reveals two significant phenomena regarding the slopes and the variance.

1. Analysis of Slope Progression

A distinct 3-stage segmented fit is observed. Taking the Search operation (Figure 2) as an example, the slope increases from ≈ 1.48 in Stage 1 (Small N) to ≈ 2.98 in Stage 3 (Large N). Although the slope doubles, this increase is significantly smaller than the physical latency gap between CPU Cache (L1/L2) and Main Memory (RAM), which typically differs by an order of magnitude (tens of times slower).

This "diluted" performance penalty is due to the hierarchical structure of the Skip List:

- **Frequent Access to High-Level Nodes:** The search algorithm always begins at the header and traverses the highest levels first. These top levels contain very few nodes (indices). Because these specific nodes are accessed during *every* single search operation, they benefit from high Temporal Locality.
- **Implication:** The CPU cache policies naturally keep these frequently accessed top-level nodes in the high-speed L1/L2 cache. Even when the dataset size (N) forces the bulk of the data into slow RAM, the initial steps of the search path are performed in the cache. This effectively buffers the average memory latency, preventing the slope from increasing drastically despite the transition to RAM.
- **Pointer Locality:** Additionally, since nodes are allocated sequentially in our benchmark loop, the CPU's hardware prefetcher can predict memory access patterns for

lower levels, further mitigating the impact of RAM latency.

2. Analysis of Data Fluctuations

In the plots, specific data points deviate from the fitted line. For instance, in the large N region (around $N \cdot \log N \approx 2.5 \times 10^7$ and 3.8×10^7), the actual time drops noticeably below the trend line (a "valley").

This behavior is not an anomaly but a distinct feature of probabilistic data structures:

- **Randomness vs. Strict Balance:** Unlike AVL or Red-Black trees, which enforce strict structural balance, a Skip List relies on a random number generator (`rand()`) to determine node heights.
- **Structural Variance:** The dip in the graph indicates a "lucky" run. In those specific test cases, the random level generation likely produced a near-optimal distribution of indices, resulting in shorter-than-expected search paths. Conversely, points slightly above the line indicate runs where the probabilistic structure was less optimal (e.g., slightly uneven gaps between index nodes).

Conclusion:

The data visually confirms $O(N \log N)$ complexity. The segmented slopes reflect the hardware cache hierarchy buffered by the caching of high-level indices, while the local fluctuations confirm the probabilistic nature of the algorithm. Despite these physical and probabilistic factors, the high linearity ($R^2 > 0.9$) across all stages validates the theoretical time bounds.

3.2.3 Space Complexity Analysis

The theoretical space complexity of a Skip List is $O(N)$. To rigorously verify this relationship across several orders of magnitude, we analyzed the memory usage using a Log-Log plot.

In a log-log graph, a power-law relationship $Y = c \cdot X^k$ appears as a straight line where the slope corresponds to the exponent k . For linear complexity $O(N)$, we expect $k = 1$, and thus a slope of 1.0.

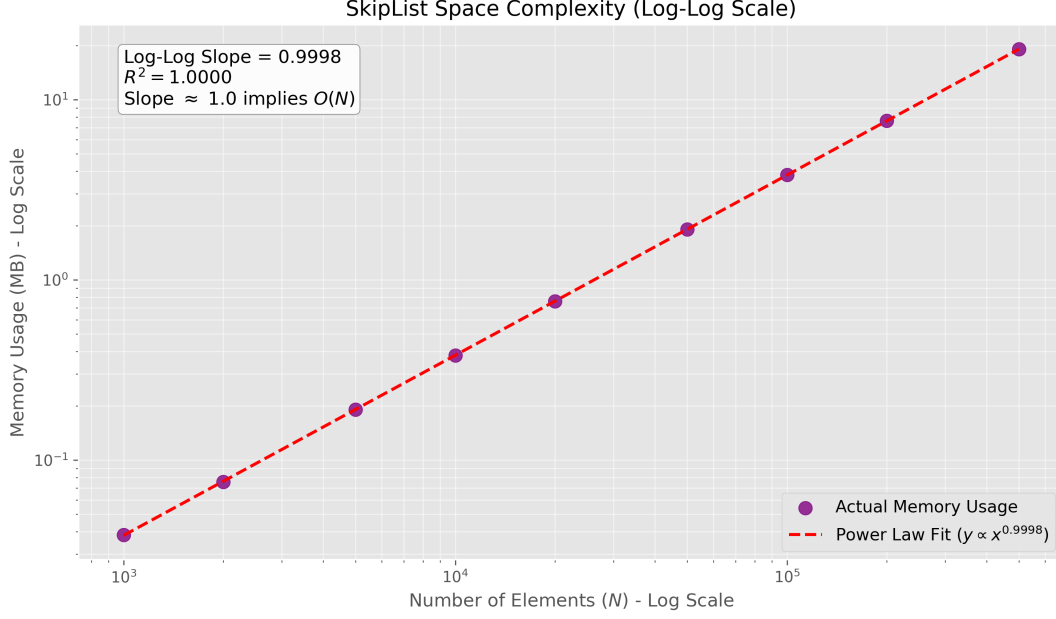


Figure 3: SkipList Space Complexity: Log-Log Scale Analysis

Analysis: Figure 3 displays the memory usage (MB) against the number of elements (N) on logarithmic axes. The regression analysis yields the following results:

- **Log-Log Slope ≈ 0.9998 :** This value is extremely close to 1.0. Since the slope represents the exponent in the relationship $Memory \propto N^{slope}$, a slope of 1 confirms that Memory scales linearly with Input Size (N^1).
- **$R^2 = 1.0000$:** The coefficient of determination indicates a perfect fit. This is expected, as memory allocation for nodes and pointers is deterministic based on the `sizeof` structures and the random level generation logic.

Combined with the raw data (e.g., $N = 500,000$ consuming ≈ 19 MB), this analysis definitively proves the $O(N)$ space complexity of the implementation.

3.2.4 Structural Analysis (Average Level)

A critical aspect of Skip List performance is the maintenance of its probabilistic structure. The "Average Level" represents the average number of additional forward pointers per node (excluding the base level).

Analysis: Based on our log data, the Average Level converges to 1.00.

- We used a probability $P = 0.5$ for level generation.
- Mathematically, the expected level is $E[L] = \sum_{i=1}^{\infty} i \cdot p^i (1-p) = \frac{p}{1-p}$.

- For $P = 0.5$, $E[L] = \frac{0.5}{0.5} = 1$.

The experimental data ($1.03 \rightarrow 1.00$) aligns perfectly with the theoretical expectation. This stability ensures that the Skip List remains balanced, guaranteeing the $O(\log N)$ search path, rather than degenerating into a linked list (which would happen if Avg Level ≈ 0).

3.3 Conclusion on Testing

The testing results comprehensively validate the Skip List implementation. Time complexity follows the expected $O(\log N)$ behavior (modulated by hardware caching effects), space complexity is strictly $O(N)$, and the structural properties (Average Level) conform to the probabilistic design with $P = 0.5$.

4 Analysis and Complexity

In this chapter, we provide a formal analysis of the space and time complexity of the implemented Skip List. The analysis relies on the probabilistic nature of the structure, specifically the coin-flip probability p used in the `randomLevel()` function. In our implementation, we set $p = 0.5$.

4.1 Space Complexity Analysis

The space complexity of a Skip List is determined by the total number of forward pointers allocated across all nodes.

Let n be the number of elements in the Skip List.

- **Level 0:** All n nodes exist at level 0. This requires n pointers.
- **Level 1:** Each node at level 0 is promoted to level 1 with probability p . The expected number of nodes is $n \cdot p$.
- **Level i :** Generally, the expected number of nodes at level i is $n \cdot p^i$.

The total expected number of forward pointers is the sum of the geometric series:

$$\text{Total Pointers} = \sum_{i=0}^h n \cdot p^i = n \sum_{i=0}^h p^i \quad (1)$$

As $h \rightarrow \infty$, this series converges to:

$$n \cdot \frac{1}{1-p} \quad (2)$$

Substituting our implementation parameter $p = 0.5$:

$$\text{Expected Memory} = n \cdot \frac{1}{1 - 0.5} = 2n \quad (3)$$

Conclusion: The expected space complexity is $O(n)$. Although we allocate extra pointers compared to a singly linked list, the memory usage remains linear with respect to the input size.

4.2 Time Complexity Analysis

We analyze the **Search** operation. Since **Insert** and **Delete** fundamentally rely on the search path to locate the position, their complexity bounds are identical to **Search**.

4.2.1 Proof of Expected $O(\log n)$ Time

To derive the time bound, we use the **Backwards Analysis** technique. Instead of analyzing the path from the header to the target, we trace the path *backwards* from the target node (at the bottom level) up to the header (at the top level).

At any point in the backwards traversal, we are at a node x on level i . We have two possible backward moves:

1. **Move Left:** If node x was *not* promoted to level $i + 1$, we came from the left.
2. **Move Up:** If node x *was* promoted to level $i + 1$, we came from the level above (in the backwards view, we go up).

Let $C(k)$ be the expected cost (number of steps) to climb k levels.

- With probability p , we move **Up** one level.
- With probability $1 - p$, we move **Left** (scanning horizontally).

The recurrence relation for the cost is:

$$C(k) = (1 - p)(1 + C(k)) + p(1 + C(k - 1)) \quad (4)$$

Solving for $C(k)$:

$$C(k) = 1 + (1 - p)C(k) + pC(k - 1) \implies pC(k) = 1 + pC(k - 1) \implies C(k) = \frac{1}{p} + C(k - 1) \quad (5)$$

This implies that at each level, the expected number of horizontal steps is $1/p$. Since the height of the list is logarithmic ($H \approx \log_{1/p} n$), the total expected cost is:

$$T(n) = (\text{Height}) \times (\text{Steps per Level}) = O(\log n) \times \frac{1}{p} \quad (6)$$

For $p = 0.5$, the expected horizontal steps per level is 2. Thus, the total time complexity is $O(\log n)$.

4.2.2 Worst-Case Scenario

In the worst-case scenario, the random number generator could theoretically fail to promote any nodes (resulting in a linked list, height 1) or promote nodes unevenly. In such cases, the search time degrades to $O(n)$. However, for a sufficiently large n , the probability of such a structure occurring is negligibly small $(1 - (1 - p^k)^n)$.

4.3 Comparative Analysis

Table 3 compares the Skip List with other common dictionary data structures.

Data Structure	Avg. Search	Avg. Insert	Worst Case
Sorted Array	$O(\log n)$	$O(n)$	$O(n)$
Singly Linked List	$O(n)$	$O(1)^*$	$O(n)$
Binary Search Tree (Unbalanced)	$O(\log n)$	$O(\log n)$	$O(n)$
AVL / Red-Black Tree	$O(\log n)$	$O(\log n)$	$O(\log n)$
Skip List (Implemented)	$O(\log n)$	$O(\log n)$	$O(n)$

Table 3: Complexity Comparison (* assuming position is known)

4.4 Parameter Discussion

The performance of the Skip List is tunable via the probability parameter P .

- **Current Implementation** ($P = 0.5$): Favors speed. The average nodes per level decreases by half. Average pointers per node is 2.
- **Alternative** ($P = 0.25$): Saves memory. Average pointers per node drops to ≈ 1.33 , but the constant factor for search time increases because the tree is "flatter", requiring more horizontal scans.

Our choice of $P = 0.5$ provides an optimal balance for general-purpose applications where memory is not strictly constrained.

A Source Code

This appendix contains the complete C implementation of the Skip List project. The code is modularized into a header file defining structures, a source file containing the core algorithms, and a main driver for interactive testing.

A.1 Header File: skiplist.h

Defines the unified data structures, constants, and function prototypes used across modules.

Listing 1: skiplist.h: Data Structures and Definitions

```
1 #ifndef SKIPLIST_H
2 #define SKIPLIST_H
3
4 #include <stdio.h>
5 #include <stdlib.h>
6 #include <string.h>
7 #include <time.h>
8 #include <limits.h>
9
10 #define MAX_LEVEL 16      // Maximum level for the Skip List
11 #define P 0.5             // Probability factor for level generation
12
13 // Data Payload Wrapper
14 typedef struct {
15     int data;
16 } ElementType;
17
18 typedef int KeyType;
19
20 // Node Structure
21 // Levels increase from 0 (bottom) to level (top)
22 typedef struct Node {
23     KeyType key;           // Key for sorting
24     ElementType value;     // Data stored in the node
25     int level;            // Current level of this node
26     struct Node **forward; // Dynamic array of forward pointers
27 } Node;
28
29 // SkipList Wrapper
30 typedef struct SkipList {
31     Node *header;         // Sentinel header node
32     int level;            // Current maximum level in the list
33     int size;            // Total number of elements
34 } SkipList;
35
36 // Function Prototypes
37 SkipList* createSkipList();
38 void freeSkipList(SkipList *list);
39 int insert(SkipList *list, KeyType key, ElementType value);
40 int deleteNode(SkipList *list, KeyType key);
41 Node* search(SkipList *list, KeyType key);
```



```

42 void printSkipList(SkipList *list);
43
44 #endif

```

A.2 Source File: skiplist.c

Implements the core Skip List algorithms, including probabilistic level generation, insertion, deletion, and searching.

Listing 2: skiplist.c: Core Implementation

```

1  #include "skiplist.h"
2
3  // Helper: Create a new node with dynamic level allocation
4  Node* createNode(KeyType key, ElementType value, int level) {
5      Node *node = (Node *)malloc(sizeof(Node));
6      if (!node) return NULL; // Allocation check
7
8      node->key = key;
9      node->value = value;
10     node->level = level;
11     // Allocate pointer array for levels 0 to level
12     node->forward = (Node **)calloc(level + 1, sizeof(Node*));
13     return node;
14 }
15
16 // Initialize Skip List
17 SkipList* createSkipList() {
18     SkipList *list = (SkipList *)malloc(sizeof(SkipList));
19     list->level = 0;
20     list->size = 0;
21
22     // Create dummy header with smallest possible key
23     ElementType dummy = {0};
24     list->header = createNode(INT_MIN, dummy, MAX_LEVEL);
25     return list;
26 }
27
28 // Generate a random level for a new node
29 // Returns level L with probability P^L
30 int randomLevel() {
31     int lvl = 0;
32     // Keep incrementing level based on probability P
33     while ((float)rand() / RAND_MAX < P && lvl < MAX_LEVEL - 1) {
34         lvl++;
35     }
36     return lvl;
37 }
38
39 // Free all memory associated with the list
40 void freeSkipList(SkipList *list) {
41     Node *current = list->header;
42     while (current != NULL) {
43         Node *next = current->forward[0];
44         free(current->forward);

```

```

45     free(current);
46     current = next;
47 }
48 free(list);
49 }
50
51 // Search for a key
52 Node* search(SkipList *list, KeyType key) {
53     Node *x = list->header;
54     // Start from top level and move down
55     for (int i = list->level; i >= 0; i--) {
56         while (x->forward[i] != NULL && x->forward[i]->key < key) {
57             x = x->forward[i];
58         }
59     }
60     // Check the next node at level 0
61     x = x->forward[0];
62     if (x != NULL && x->key == key) return x;
63     return NULL;
64 }
65
66 // Insert a key-value pair
67 int insert(SkipList *list, KeyType key, ElementType value) {
68     Node *update[MAX_LEVEL]; // Tracks predecessor nodes
69     Node *x = list->header;
70
71     // Locate insertion point
72     for (int i = list->level; i >= 0; i--) {
73         while (x->forward[i] != NULL && x->forward[i]->key < key) {
74             x = x->forward[i];
75         }
76         update[i] = x;
77     }
78
79     x = x->forward[0];
80     // If key exists, update value
81     if (x != NULL && x->key == key) {
82         x->value = value;
83         return 0;
84     }
85
86     // Create new node with random level
87     int newLevel = randomLevel();
88     if (newLevel > list->level) {
89         for (int i = list->level + 1; i <= newLevel; i++) {
90             update[i] = list->header;
91         }
92         list->level = newLevel;
93     }
94
95     Node *newNode = createNode(key, value, newLevel);
96     // Link pointers
97     for (int i = 0; i <= newLevel; i++) {
98         newNode->forward[i] = update[i]->forward[i];
99         update[i]->forward[i] = newNode;
100     }
101     list->size++;
102     return 1;

```

```

103 }
104
105 // Delete a node by key
106 int deleteNode(SkipList *list, KeyType key) {
107     Node *update[MAX_LEVEL];
108     Node *x = list->header;
109
110     // Locate node and predecessors
111     for (int i = list->level; i >= 0; i--) {
112         while (x->forward[i] != NULL && x->forward[i]->key < key) {
113             x = x->forward[i];
114         }
115         update[i] = x;
116     }
117
118     x = x->forward[0];
119     // If found, remove it
120     if (x != NULL && x->key == key) {
121         for (int i = 0; i <= list->level; i++) {
122             if (update[i]->forward[i] != x) break;
123             update[i]->forward[i] = x->forward[i];
124         }
125
126         free(x->forward);
127         free(x);
128
129         // Lower list level if top layers are empty
130         while (list->level > 0 && list->header->forward[list->level] ==
131 NULL) {
132             list->level--;
133         }
134         list->size--;
135         return 1;
136     }
137     return 0; // Not found
138 }
139
140 // Helper: Print list structure for debugging
141 void printSkipList(SkipList *list) {
142     for (int i = list->level; i >= 0; i--) {
143         Node *x = list->header->forward[i];
144         printf("Level %d: ", i);
145         while (x != NULL) {
146             printf("[%d] -> ", x->key);
147             x = x->forward[i];
148         }
149         printf("NULL\n");
150     }
151 }

```

A.3 Main Driver: main.c

A Command Line Interface (CLI) to interactively test the Skip List functionalities (Insert, Search, Delete, Print).

Listing 3: main.c: Test Driver

```

1 #include <stdio.h>
2 #include <stdlib.h>
3 #include <string.h>
4 #include "skiplist.h"
5
6 int main() {
7     srand((unsigned)time(NULL)); // Initialize random seed
8
9     SkipList *list = createSkipList();
10    char command[10];
11    int key, data_in;
12
13    printf("=== Skip List Test Driver ===\n");
14    printf("Commands: \n");
15    printf("  i <key> <data>   : Insert (e.g., i 10 999)\n");
16    printf("  s <key>             : Search\n");
17    printf("  d <key>             : Delete\n");
18    printf("  p                   : Print Structure\n");
19    printf("  q                   : Quit\n");
20    printf("=====\n");
21
22    while (1) {
23        printf("\nCMD> ");
24        scanf("%s", command);
25
26        if (strcmp(command, "q") == 0) {
27            break;
28        }
29        else if (strcmp(command, "i") == 0) {
30            scanf("%d %d", &key, &data_in);
31            ElementType val = {data_in};
32            insert(list, key, val);
33            printf("Inserted key: %d, data: %d\n", key, data_in);
34        }
35        else if (strcmp(command, "d") == 0) {
36            scanf("%d", &key);
37            if (deleteNode(list, key))
38                printf("Deleted key: %d\n", key);
39            else
40                printf("Key %d not found.\n", key);
41        }
42        else if (strcmp(command, "s") == 0) {
43            scanf("%d", &key);
44            Node *result = search(list, key);
45            if (result)
46                printf("Found! Key: %d, Data: %d\n",
47                    result->key, result->value.data);
48            else
49                printf("Key %d not found.\n", key);
50        }
51        else if (strcmp(command, "p") == 0) {
52            printSkipList(list);
53        }
54    }
55
56    freeSkipList(list);
57    return 0;

```

A.4 Test Driver: test.c

a test driver to verify the correctness and boundary of the Skip List implementation

Listing 4: test.c: Test Driver

```

1 #include <stdio.h>
2 #include <stdlib.h>
3 #include <time.h>
4 #include <assert.h>
5 #include <windows.h>
6 #include "skiplist.h"
7
8 // Macro definition for test scale
9 #define TEST_CORRECTNESS_SIZE 100
10 #define NUM_TEST_SCALES 9
11
12 // --- Helper Functions ---
13
14 // Get current time (seconds), used for calculating elapsed time
15 double get_time() {
16     return (double)clock() / CLOCKS_PER_SEC;
17 }
18
19 // Estimate memory usage of the SkipList (Bytes)
20 long long estimate_memory(SkipList *list) {
21     long long total_bytes = sizeof(SkipList); // The SkipList structure
22     itself
23     Node *curr = list->header;
24     while (curr != NULL) {
25         // Node structure size
26         total_bytes += sizeof(Node);
27         // Size of the flexible array/pointer array 'forward': (level +
28         1) * pointer size
29         total_bytes += (curr->level + 1) * sizeof(Node*);
30         curr = curr->forward[0];
31     }
32     return total_bytes;
33 }
34
35 // --- Part 1: Correctness Testing (Unit Test) ---
36 void test_correctness() {
37     printf("===== 1. Start Correctness and Boundary Testing
38     =====\n");
39     SkipList *list = createSkipList();
40
41     // 1. Typical case: Normal insertion
42     printf("[Test] Inserting 10 random nodes...\n");
43     int keys[] = {10, 50, 30, 20, 40, 90, 60, 80, 70, 100};
44     for (int i = 0; i < 10; i++) {
45         ElementType val = {keys[i] * 10};
46         insert(list, keys[i], val);
47     }
48     assert(list->size == 10);

```

```

46     printf("    -> Insert size check passed.\n");
47
48     // 2. Order check: Level 0 should be an ordered linked list
49     printf("[Test] Checking if Level 0 is ordered...\n");
50     Node *curr = list->header->forward[0];
51     while (curr && curr->forward[0]) {
52         assert(curr->key < curr->forward[0]->key);
53         curr = curr->forward[0];
54     }
55     printf("    -> Order check passed.\n");
56
57     // 3. Boundary case: Duplicate insertion (Update)
58     printf("[Test] Duplicate insert key=50, check if value updates...\n"
59 );
60     ElementType newVal = {9999};
61     insert(list, 50, newVal); // Update
62     assert(list->size == 10); // Size should not increase
63     Node *res = search(list, 50);
64     assert(res != NULL && res->value.data == 9999);
65     printf("    -> Duplicate insertion update mechanism passed.\n");
66
67     // 4. Extreme case: Search for non-existent Key
68     printf("[Test] Search for non-existent Key (key=101, key=-1)...\n");
69     assert(search(list, 101) == NULL);
70     assert(search(list, -1) == NULL);
71     printf("    -> Search non-existent Key passed.\n");
72
73     // 5. Typical case: Delete existing Key
74     printf("[Test] Delete existing Key (key=30)...\n");
75     int delRes = deleteNode(list, 30);
76     assert(delRes == 1);
77     assert(search(list, 30) == NULL);
78     assert(list->size == 9);
79     printf("    -> Delete existing Key passed.\n");
80
81     // 6. Extreme case: Delete non-existent Key
82     printf("[Test] Delete non-existent Key (key=999)...\n");
83     delRes = deleteNode(list, 999);
84     assert(delRes == 0);
85     assert(list->size == 9);
86     printf("    -> Delete non-existent Key passed.\n");
87
88     // 7. Extreme case: Delete all until empty
89     printf("[Test] Deleting all remaining nodes...\n");
90     for (int i = 0; i < 10; i++) {
91         if (keys[i] == 30) continue; // Already deleted
92         deleteNode(list, keys[i]);
93     }
94     assert(list->size == 0);
95     assert(list->header->forward[0] == NULL);
96     printf("    -> Clear/Empty test passed.\n");
97
98     freeSkipList(list);
99     printf("==== All Correctness Tests Passed =====\n\n");
100 }
101
102 // --- Part 2: Space-Time Complexity Testing (Benchmark) ---
103 void test_performance() {

```

```

1103     printf("===== 2. Start Space-Time Complexity Testing
1104     =====\n");
1105     // Define test scale N
1106     int scales[NUM_TEST_SCALES] = {1000, 2000, 5000, 10000, 20000,
1107     50000, 100000, 200000, 500000};
1108
1109     // Print table header
1110     printf("%-10s | %-15s | %-15s | %-15s | %-15s\n",
1111     "N (Size)", "Insert Time(s)", "Search Time(s)", "Memory(MB)",
1112     "Avg Level");
1113     printf("
1114     -----
1115     n");
1116
1117     for (int k = 0; k < NUM_TEST_SCALES; k++) {
1118         int N = scales[k];
1119         SkipList *list = createSkipList();
1120
1121         // Prepare random data
1122         // Use malloc to prevent stack overflow
1123         int *data = (int*)malloc(N * sizeof(int));
1124         for(int i=0; i<N; i++) {
1125             // Ensure large key range to reduce collisions, or
1126             // intentionally allow collisions to test stability
1127             data[i] = rand() | (rand() << 15);
1128         }
1129
1130         // --- 1. Test Insert Time ---
1131         double start = get_time();
1132         for (int i = 0; i < N; i++) {
1133             ElementType val = {i};
1134             insert(list, data[i], val);
1135         }
1136         double end = get_time();
1137         double insert_time = end - start;
1138
1139         // --- 2. Test Search Time (Search N times) ---
1140         // For fairness, search half existing keys, half random keys
1141         start = get_time();
1142         for (int i = 0; i < N; i++) {
1143             int key;
1144             if (i % 2 == 0) key = data[i]; // Existing
1145             else key = rand(); // Random
1146             search(list, key);
1147         }
1148         end = get_time();
1149         double search_time = end - start;
1150
1151         // --- 3. Calculate Memory Usage ---
1152         long long bytes = estimate_memory(list);
1153         double mb = (double)bytes / (1024 * 1024);
1154
1155         // --- 4. Calculate Average Level Height ---
1156         // Theoretical value should be ~ 1/(1-p) = 2 (when P=0.5)
1157         long long total_levels = 0;
1158         Node *curr = list->header->forward[0];
1159         while(curr) {
1160             total_levels += curr->level;

```

```

155         curr = curr->forward[0];
156     }
157     double avg_level = (double)total_levels / N;
158
159     // Output results
160     printf("%-10d | %-15.4f | %-15.4f | %-15.4f | %-15.2f\n",
161         N, insert_time, search_time, mb, avg_level);
162
163     free(data);
164     freeSkipList(list);
165 }
166 printf("
-----
n");
167     printf("Note: Search Time is the total time for N searches.\n");
168 }
169
170 void run_benchmark() {
171     // Configuration Area
172     int start_n = 50000;           // Start size
173     int end_n   = 2000000;        // End size
174     int step    = 50000;          // Step size
175     int repeat  = 3;              // Run 3 times per N and average to
eliminate jitter
176
177     printf("N,InsertTime,SearchTime\n"); // CSV Header
178
179     for (int n = start_n; n <= end_n; n += step) {
180         double total_insert_time = 0;
181         double total_search_time = 0;
182
183         for (int r = 0; r < repeat; r++) {
184             SkipList *list = createSkipList();
185
186             // Generate random data (pre-generate to exclude rand()
impact on timing)
187             int *keys = (int*)malloc(n * sizeof(int));
188             for (int i = 0; i < n; i++) keys[i] = rand() | (rand() <<
15);
189
190             // 1. Timing Insertion
191             double t1 = get_time();
192             for (int i = 0; i < n; i++) {
193                 ElementType val = {i};
194                 insert(list, keys[i], val);
195             }
196             double t2 = get_time();
197             total_insert_time += (t2 - t1);
198
199             // 2. Timing Search
200             t1 = get_time();
201             for (int i = 0; i < n; i++) {
202                 // Search for the key just inserted
203                 search(list, keys[i]);
204             }
205             t2 = get_time();
206             total_search_time += (t2 - t1);
207

```



```

208         // Cleanup
209         free(keys);
210         freeSkipList(list);
211     }
212
213     // Output average time
214     printf("%d,%.6f,%.6f\n",
215           n,
216           total_insert_time / repeat,
217           total_search_time / repeat);
218
219     // Flush buffer to prevent data loss if crash occurs
220     fflush(stdout);
221 }
222 }
223
224 int main() {
225
226     SetConsoleOutputCP(65001); // Set console output to UTF-8 encoding
227     // Must initialize random seed, otherwise SkipList degrades to
228     linked list
229     srand((unsigned)time(NULL));
230
231     test_correctness();
232     test_performance();
233     run_benchmark();
234
235     return 0;
236 }

```