

# Absolute mass lower limit for the lightest neutralino of the MSSM from $e^+e^-$ data at $\sqrt{s}$ up to 209 GeV

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## Abstract

Charginos and neutralinos are searched for in the data collected by the ALEPH experiment at LEP for centre-of-mass energies up to 209 GeV. The negative result of these searches is combined with those from searches for sleptons and Higgs bosons to derive an absolute lower limit of  $43.1 \text{ GeV}/c^2$  on the mass of the lightest supersymmetric particle (LSP), assumed to be the lightest neutralino. This limit is obtained in the framework of the MSSM with R-parity conservation and with gaugino and sfermion mass unification at the GUT scale and assuming no mixing in the stau sector. The LSP limit degrades only slightly to  $42.4 \text{ GeV}/c^2$  if stau mixing is considered. Within the more constrained framework of minimal supergravity, the limit is  $50 \text{ GeV}/c^2$ .

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## 1. Introduction

In this Letter, the results of the ALEPH searches for particles predicted by supersymmetry are combined to set an absolute lower limit on the mass of the lightest supersymmetric particle (LSP). This limit is obtained within the framework of the Minimal Supersymmetric extension of the Standard Model (MSSM) [1] with R-parity conservation and under the assumption that the lightest neutralino  $\chi_1^0$  is the LSP. Furthermore, the assumption of universal gaugino and sfermion mass terms at the GUT scale is made. The notations and conventions for the MSSM parameters are those given in Ref. [2].

To obtain the results presented here, the published searches for charginos and neutralinos [2,3] have been extended to include data taken during the year 2000, at centre-of-mass energies between 202 and 209 GeV. These searches have been supplemented by specific

analyses to cover final states not considered previously. The searches for selectrons, which have already been published in Ref. [4], have been optimized to increase their sensitivity in the chargino-sneutrino *corridor*, where the LSP mass limit is set [2]. The published results on searches for Higgs bosons [5] as well as for charginos accompanied by hard initial state radiation [6] have also been included to derive the LSP mass limit.

The masses of charginos and neutralinos are fully determined by the universal gaugino mass  $m_{1/2}$ , the Higgs-mixing mass term  $\mu$  and  $\tan\beta$ , the ratio of vacuum expectation values of the two Higgs doublets. However, both the production cross sections and the decay branching ratios depend on the sfermion mass spectrum, which in turn depends on the universal sfermion mass  $m_0$ . In this Letter, and unless otherwise specified, the effects of Yukawa couplings in the renormalization group equations used to derive the sfermion masses at the electroweak scale are neglected. Chargino (neutralino) pair production involves the exchange of a sneutrino (selectron) in the  $t$  channel, with destructive (constructive) interference with the  $s$ -channel diagram. Similarly, the decays into a neutralino and a fermion pair involve slepton and squark exchange in addition to W/Z exchange. If mixing effects in the sfermion sector are neglected, as was done in Refs. [2,3], the lower limit on the mass of the LSP depends solely on the interplay of four parameters,  $m_0$ ,  $m_{1/2}$ ,  $\mu$  and  $\tan\beta$ .

Mixing effects in the sfermion sector are proportional to the corresponding fermion mass  $m_f$  via off-diagonal terms  $m_f a_f$  in the sfermion mass matrices, with  $a_f = A_f - \mu \tan\beta$  for down-type squarks and for sleptons, and  $a_f = A_f - \mu \cot\beta$  for up-type squarks, where  $A_f$  is the trilinear Higgs-sfermion coupling. These effects can therefore become important for the third generation, while they are in practice negligible for the other two generations. In particular, a large mixing in the stau sector can cause the lighter stau  $\tilde{\tau}_1$  to be light enough to affect the decay patterns of charginos and neutralinos. The branching ratios of decay topologies that include  $\tau$ s increase in this case, and can even dominate if the two-body decays  $\chi^\pm \rightarrow \tilde{\tau}_1^\pm \nu_\tau$  and  $\chi_2^0 \rightarrow \tilde{\tau}_1^\pm \tau^\mp$  are allowed kinematically.

This possibility, which affects the sensitivity of the existing searches, and consequently the lower limit derived on the mass of the LSP, is studied in this Letter

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for the full range of mixing angles  $\varphi_\tau$  in the stau sector. The relation between  $A_\tau$  and  $\varphi_\tau$  is given by:

$$A_\tau = \mu \tan \beta - \frac{1}{2} \tan(2\varphi_\tau) \left( \frac{m_{\tilde{\tau}_R}^2 - m_{\tilde{\tau}_L}^2}{m_\tau} \right),$$

where  $m_{\tilde{\tau}_R}$  and  $m_{\tilde{\tau}_L}$  are the supersymmetry-breaking mass terms associated with the two tau chirality states. The no-mixing case, for which  $\tilde{\tau}_1 = \tilde{\tau}_R$ , corresponds to  $\varphi_\tau = 0$ , such that the relation  $A_\tau = \mu \tan \beta$  is fulfilled. The full range of  $A_\tau$  is covered if  $\varphi_\tau$  is varied between  $-45^\circ$  and  $45^\circ$ .

Three new analyses have been developed to address the above mentioned decays for different values of the  $\tilde{\tau}_1 - \chi_1^0$  mass difference,  $\Delta m$ :

- a search for  $e^+e^- \rightarrow \chi^+\chi^- \rightarrow \tilde{\tau}_1^+ \nu_\tau \tilde{\tau}_1^- \bar{\nu}_\tau \rightarrow \tau^+ \nu_\tau \chi_1^0 \tau^- \bar{\nu}_\tau \chi_1^0$ , leading to an acoplanar pair of taus in the final state, appropriate for large  $\Delta m$  values;
- a search for  $e^+e^- \rightarrow \chi_j^0 \chi_1^0 \rightarrow \tilde{\tau}_1 \tau \chi_1^0 \rightarrow \tau \chi_1^0 \tau \chi_1^0$  (with  $j = 2, 3, 4$ ) leading to a final state with one or two detectable taus, depending on the value of  $\Delta m$ ;
- a search for  $e^+e^- \rightarrow \chi_j^0 \chi_2^0 \rightarrow \tilde{\tau}_1 \tau \tilde{\tau}_1 \tau \rightarrow \tau \tau \chi_1^0 \tau \tau \chi_1^0$  (with  $j = 2, 3, 4$ ) leading to a final state with two or four detectable taus, depending on the value of  $\Delta m$ .

The last two analyses have been developed in particular to cover the region of mass degeneracy between the lighter stau and the LSP in the small  $m_0$  region of the MSSM parameter space, where the neutralino production cross sections are large. For larger  $m_0$  values, the search for charginos accompanied by hard initial state radiation (ISR), as developed in Ref. [6], is applied in addition.

In this Letter, stops and sbottoms are assumed to be too heavy to affect the decay pattern of charginos and neutralinos. In the MSSM interpretation of the results, the trilinear couplings  $A_t$  and  $A_b$  are set to their no-mixing values. However, mixing in the stop and sbottom sector is appropriately taken into account in the interpretation of Higgs boson searches.

This Letter is organized as follows. The ALEPH detector and the data used in the analyses are briefly described in Section 2. The update of standard chargino and neutralino searches to include the data from the

year 2000, the optimization of the selectron analysis, and the specific selections developed to study the effects of stau mixing are presented in Section 3. The interpretation of results without and with stau mixing is given in Section 4, and the interpretation within the more constrained framework of minimal supergravity (mSUGRA) is presented in Section 5.

All limits reported in this Letter are given at the 95% confidence level.

## 2. The ALEPH detector and the data sample

A thorough description of the ALEPH detector and of its performance can be found in Refs. [7,8]. Only a brief summary is given here. Charged particle tracking, down to  $16^\circ$  relative to the beam axis, is achieved by means of a silicon vertex detector, a cylindrical drift chamber, and a large time projection chamber, all immersed in a 1.5 T magnetic field provided by a superconducting solenoidal coil. Hermetic calorimetric coverage, down to polar angles of  $34 \text{ mrad}$ , is achieved by means of a highly granular electromagnetic calorimeter (ECAL), dedicated low angle luminosity monitors, and an iron return yoke instrumented to act as a hadron calorimeter (HCAL). The ECAL is used to identify electrons and photons by the characteristic longitudinal and transverse developments of the associated showers, and is supplemented for low momentum electrons by a measurement of the specific energy loss through ionization in the TPC. The HCAL provides a measurement of the hadronic energy and, together with external muon chambers, efficient identification of muons by their characteristic penetration pattern.

Global event quantities such as total energy, transverse momentum, or missing energy are determined using an energy-flow algorithm which combines all the above measurements into charged particles (electrons, muons, charged hadrons), photons and neutral hadrons. These are the basic objects used in the selections presented in this Letter.

The data used for the analyses entering the present combination were collected at centre-of-mass energies ranging from 183 to 209 GeV. The corresponding integrated luminosities are given in Table 1, together with the references where the relevant results are published.

Table 1

Integrated luminosities collected between 1997 and 2000, average centre-of-mass energies, and data samples used in the analyses mentioned in the text

Year	1997	1998	1999				2000		
$\sqrt{s}$ (GeV)	182.7	188.6	191.6	195.5	199.5	201.6	205.2	206.6	208.0
$\mathcal{L}$ (pb $^{-1}$ )	56.8	173.6	28.9	79.8	86.2	42.0	75.3	122.6	9.4
$e^+e^- \rightarrow \chi^+\chi^-$	Ref. [2]	Ref. [3]	Ref. [3]				This Letter		
$e^+e^- \rightarrow \chi^+\chi^-\gamma$	Ref. [6]	Ref. [6]	Ref. [6]				Ref. [6]		
$e^+e^- \rightarrow \chi_i^0\chi_j^0$	Ref. [2]	Ref. [3]	Ref. [3]				This Letter		
$e^+e^- \rightarrow \tilde{\ell}^+\tilde{\ell}^-$	Ref. [4], this Letter	Ref. [4], this Letter	Ref. [4], this Letter				Ref. [4], this Letter		
$e^+e^- \rightarrow hZ$	Ref. [5]	Ref. [5]	Ref. [5]				Ref. [5]		
Specific searches (for decays into staus)									
$\chi^\pm \rightarrow \tilde{\tau}_1^\pm \nu_\tau$	–	This Letter	This Letter				This Letter		
$\chi_2^0 \rightarrow \tilde{\tau}_1^\pm \tau^\pm$	–	This Letter	This Letter				This Letter		

### 3. Event selections

The selection criteria described below have been optimized using the  $\bar{N}_{95}$  prescription [9], which consists in minimizing the upper limit on the signal cross section expected in the absence of signal processes. This has been done by applying all selections to fully simulated Standard Model background samples, generated as described in Ref. [2] for  $e^+e^- \rightarrow f\bar{f}$ , WW, ZZ, Zee,  $W\bar{\nu}$ ,  $Z\nu\bar{\nu}$ , and for  $\gamma\gamma$  interactions. The simulation of supersymmetry signal processes has been performed with SUSYGEN [10].

#### 3.1. Update of chargino and neutralino searches

Chargino and neutralino pair production,  $e^+e^- \rightarrow \chi^+\chi^-$  and  $e^+e^- \rightarrow \chi_i^0\chi_j^0$ , has already been searched for in the ALEPH data up to centre-of-mass energies of 202 GeV [2,3]. Up to twenty selection algorithms had been designed to cover different decay channels as well as different regions of mass difference  $\Delta M$  between the produced chargino or the heavier neutralino and the LSP. They address final states with four jets, two jets and a lepton, acoplanar jets or acoplanar leptons, all accompanied by missing energy. The same searches have been applied to the data collected in the year 2000 with an appropriate adjustment of the selection criteria according to the increased centre-of-mass energy.

In all cases, the numbers of events observed are in agreement with those expected from Standard Model background sources, dominated by  $\gamma\gamma$  interactions

Table 2

Numbers of events observed in the year 2000 data ( $N_{\text{obs}}$ ) and expected from Standard Model background sources ( $N_{\text{exp}}$ ) in standard chargino and neutralino searches

Chargino searches				Neutralino searches			
Large $m_0$		Small $m_0$		Large $m_0$		Small $m_0$	
$N_{\text{obs}}$	$N_{\text{exp}}$	$N_{\text{obs}}$	$N_{\text{exp}}$	$N_{\text{obs}}$	$N_{\text{exp}}$	$N_{\text{obs}}$	$N_{\text{exp}}$
11	11.1	35	32.0	1	1.5	82	73.5

for small  $\Delta M$  and by four-fermion processes (mostly WW and  $W\bar{\nu}$  production) for large  $\Delta M$ . These numbers are displayed in Table 2 for the combination of analyses optimal for large  $m_0$  values, for which only three-body decays occur, and small  $m_0$  values, for which leptonic branching fractions are enhanced. Because of  $\Delta M$ -dependent sliding cuts, each event contributes to only a limited range of  $\Delta M$  values.

#### 3.2. Optimization of the selectron search

The published searches for selectrons have been optimized to increase the sensitivity for the LSP mass limit. In Ref. [4], the selectron selection uses the momenta of the reconstructed charged particle tracks to estimate the electron energies. This choice was not critical because, at very large  $\Delta M$ , i.e., where the selectron mass limit is set, the signal and the main background process ( $W^+W^- \rightarrow e^+ \nu e^- \bar{\nu}$ ) have very similar characteristics. In particular, the amount of bremsstrahlung energy lost by the electrons is similar.

For intermediate values of  $\Delta M$ , where the LSP mass limit is set, however, the signal electrons are, on average, less energetic and radiate less energy. The discriminating power of the selection is therefore increased by including the neutral energy in a cone around the charged particle in the lepton energy estimate. The optimized selection has been applied to the data collected in 1999 and 2000. Before applying mass-dependent sliding cuts, the event numbers are identical to the ones reported in Ref. [4].

### 3.3. Search for $\chi^+ \chi^- \rightarrow \tilde{\tau}_1^+ \nu_\tau \tilde{\tau}_1^- \bar{\nu}_\tau \rightarrow \tau^+ \nu_\tau \chi_1^0 \tau^- \bar{\nu}_\tau \chi_1^0$

Chargino two-body decays to a stau and a neutrino can be significant for small  $m_0$  values or large mixing in the stau sector. For large values of  $\Delta m$ , the mass difference between the stau and the lightest neutralino, the final state consists of an acoplanar pair of taus. The search for acoplanar tau pairs described in Ref. [4] has therefore been used. The efficiency depends primarily on  $\Delta m$ , while the mass difference  $\Delta M$  between the chargino and the lightest neutralino has less impact. The efficiency for signal events is shown in Fig. 1(a) as a function of  $\Delta m$ , for several values of  $\Delta M$ . As in Ref. [4], the final selection involves mass-

dependent cuts on the visible momenta of the taus. A comparison between the number of candidate events and the Standard Model expectation is presented in Fig. 1(b) as a function of  $\Delta m$  (for  $\Delta M = 50 \text{ GeV}/c^2$ ).

For smaller values of  $\Delta m$ , the taus from the stau decays are too soft to be detected and the above final state becomes invisible. The analysis has therefore been supplemented with a search for an energetic ISR photon produced in association with the invisibly decaying chargino pair, as described in Ref. [6]. For  $\Delta m = 2 \text{ GeV}/c^2$ , the efficiency of this selection ranges from 0.5 to 2.3%, normalized to all chargino pairs produced, for  $m_{\chi^\pm}$  between 90 and 60  $\text{GeV}/c^2$ . Despite the small efficiency due to the soft ISR spectrum, this channel has sensitivity in the SUSY parameter space where  $m_0$ —and hence the chargino pair production cross section—is large.

### 3.4. Dedicated searches for neutralino decays into taus

For small  $m_0$  and substantial mixing in the stau sector, two-body decays of heavier neutralinos,  $\chi_j^0 \rightarrow \tilde{\tau} \tau \rightarrow \tau \tau \chi_1^0$ , lead to at least one tau with enough momentum to be detected even for very small  $\Delta m$ .

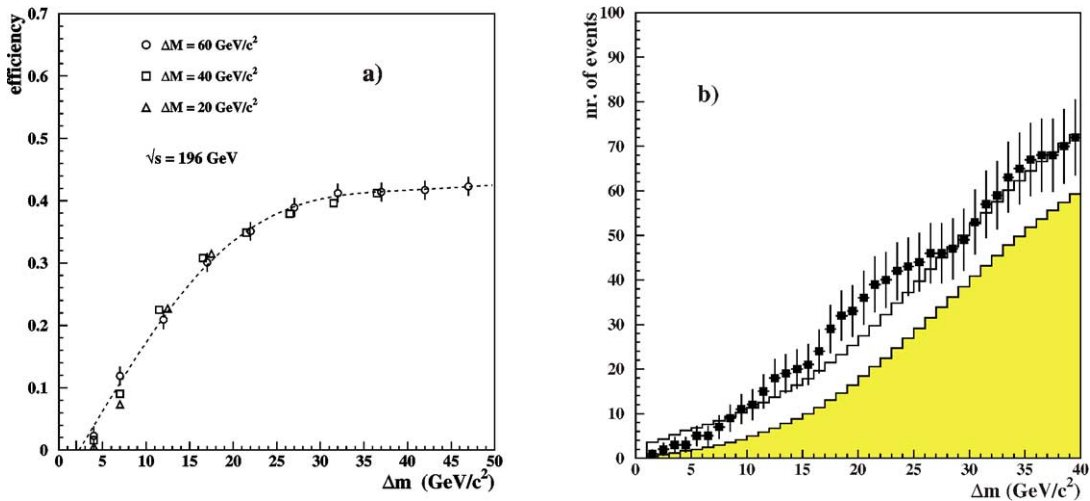


Fig. 1. (a) Efficiency of the acoplanar tau-pair selection for chargino decays via taus as a function of  $\Delta m$  for different values of  $\Delta M$ , for  $m(\chi^\pm) = 89 \text{ GeV}/c^2$  and  $\sqrt{s} = 196 \text{ GeV}$ . (b) As a function of  $\Delta m$  (for  $\Delta M = 50 \text{ GeV}/c^2$ ), comparison of the number of acoplanar tau pair candidate events (points with error bars) with the total background expectation (open histogram) after the application of the mass-dependent cuts. The contribution of the dominant WW background is represented by the shaded histogram. Each candidate event contributes to a finite  $\Delta m$  range, neighbouring points are therefore strongly correlated.



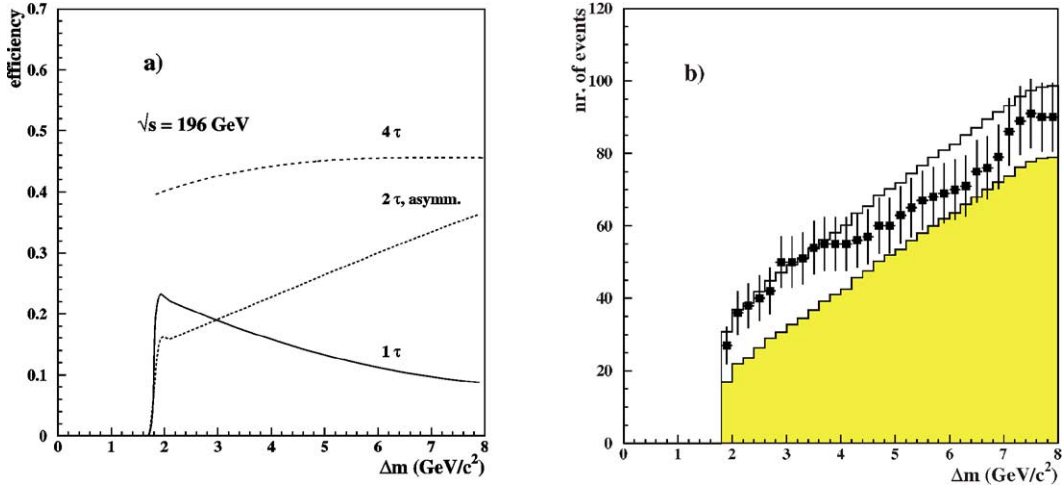


Fig. 2. (a) Parametrizations of the efficiency of the single-tau and asymmetric tau-pair ( $\chi_2^0 \chi_1^0$  production) and four-tau ( $\chi_2^0 \chi_2^0$  production) analyses for  $\sqrt{s} = 196$  GeV as a function of  $\Delta m$ . The neutralino masses used are  $m(\chi_2^0) = 87$  GeV/c<sup>2</sup> and  $m(\chi_1^0) = 41$  GeV/c<sup>2</sup>. (b) As a function of  $\Delta m$ , comparison of the number of tau-pair candidate events (points with error bars) with the total background expectation (open histogram). The contribution of the WW background is shown shaded. Each candidate event contributes to a finite  $\Delta m$  range, neighbouring points are therefore strongly correlated.

These decays are exploited here to supplement the search for invisible charginos with ISR.

### 3.4.1. Search for $\chi_j^0 \chi_l^0 \rightarrow \tilde{\tau} \tau \chi_1^0 \rightarrow \tau \chi_1^0 \tau \chi_1^0$

The associated neutralino production leads to final states with one (for small  $\Delta m$ ) or two (for larger  $\Delta m$ ) visible taus. Analyses have been developed to cover both final states and are referred to as single-tau and asymmetric tau-pair searches in the following. The tau identification criteria are given in Ref. [11].

The single-tau selection is developed in analogy to the single-electron selection described as well in Ref. [11]. A search is performed for a single identified tau decay. The visible transverse momentum of the decay products is required to be larger than  $0.04\sqrt{s}$ . Events are rejected if they contain a second identified tau with a momentum above 1 GeV/c. If the tau decay product is a single charged particle identified as an electron or a muon, the momentum of this lepton is required to be smaller than 45% of the beam energy.

The tau can be accompanied by some additional energy in the event, i.e., low momentum tracks or small energy depositions in the calorimeters. This remaining energy is required to be smaller than 10 GeV, but events with no additional charged particles, likely to be due to the  $W\bar{\nu}$  background, are rejected. The acopla-

narity angle between the directions of the identified tau and of the leading additional charged particle is required to be larger than  $10^\circ$  and smaller than  $170^\circ$ . In order to reduce the background from  $\gamma\gamma$  events, it is required that no energy be detected within  $12^\circ$  of the beam axis, and the upper cutoff on the acoplanarity is lowered from  $170^\circ$  to  $140^\circ$  for low energy tau candidates, i.e., if  $E_\tau/E_{\text{beam}} < 0.25$ .

A parametrization of signal efficiency is shown in Fig. 2(a) as a function of  $\Delta m$  (for  $\Delta M = 46$  GeV/c<sup>2</sup>, where  $\Delta M$  is the mass difference between the heavier and the lightest neutralino). Because of the cut-off on the remaining energy, the efficiency decreases with increasing  $\Delta m$ . To weaken this effect, this analysis is combined with an asymmetric tau-pair search. The selection is again based on the stau-pair production search [4]. However, the final sliding cuts on the momenta of the taus have been optimized for the new decay kinematics. To select asymmetric tau pairs, the momentum of the second tau is required to be lower than a  $\Delta m$ -dependent cutoff value. Over the  $\Delta m$  range between 2 and 8 GeV/c<sup>2</sup>, this cutoff value increases from 6.5 to 20.4 GeV/c. In addition, an upper cut on the momentum of the leading tau is applied, depending on both  $\Delta m$  and the momentum of the second tau. The contribution of this selection to the signal effi-

ciency is also shown in Fig. 2(a). The efficiencies of both selections have been found to be rather insensitive to the exact  $\Delta M$  value, e.g., the relative efficiency variations are below 10% if  $\Delta M$  is changed from 35 to 46 GeV/ $c^2$ .

The combination of the single-tau and asymmetric tau-pair analyses covers the small- $\Delta m$  range down to values close to the tau mass. For smaller mass differences, staus become long-lived since they cannot decay into  $\tau\chi_1^0$ . This configuration is efficiently covered by the search for stable heavy charged particles [12].

#### 3.4.2. Search for $\chi_j^0\chi_2^0 \rightarrow \tilde{\tau}\tau\tilde{\tau}\tau \rightarrow \tau\tau\chi_1^0\tau\tau\chi_1^0$

If  $\chi_2^0$  pair production or associated production of a heavier neutralino with  $\chi_2^0$  are kinematically accessible, four-tau final states are expected, in which at least two taus are visible. This clear signature can be efficiently selected with the search presented in Ref. [12], developed in the framework of gauge-mediated supersymmetry breaking studies. When applied to  $\chi_2^0$  pair production, the efficiency of the four-tau selection is of the order of 40 to 50% (Fig. 2(a)) in the whole range of  $\Delta m$  values from 2 to 20 GeV/ $c^2$ . The efficiency is found to be essentially independent of  $\Delta M$ , for values in the range between 30 and 45 GeV/ $c^2$ .

#### 3.4.3. Results

In the data collected between 1998 and 2000, 46 single-tau events are observed, to be compared with an expectation of 44.7 events from background sources. In the asymmetric tau-pair analysis, no excess of events is observed either with respect to the Standard Model expectation, as can be seen in Fig. 2(b). In the same data sample, 22 four-tau events are observed, in agreement with the expectation of 16.5 events from background sources. For the three analyses, the background is dominated by WW and  $W\tau\nu$  events, with a smaller contribution from  $\gamma\gamma \rightarrow \tau\tau$ .

## 4. Interpretation of the results

The numbers and properties of the events in all analyses are in good agreement with the expectations from the Standard Model. The negative result of the searches can be translated into upper limits on the chargino and neutralino production cross sections. To derive these limits, the method of Ref. [13] is used

whenever background subtraction is performed. The procedure is unchanged with respect to Ref. [3] for the updated chargino and neutralino searches. For the analyses based on the acoplanar tau-pair selection, the dominant WW background is subtracted. The new dedicated searches for neutralinos decaying into staus suffer from a significant background from  $W\tau\nu$ , Zee and  $\gamma\gamma \rightarrow \tau\tau$  which is also subtracted.

The main systematic errors affecting the updated analyses, related to the simulation of the energy flow reconstruction and of the lepton identification, are unchanged with respect to Ref. [14]. The efficiencies of the dedicated searches for neutralinos are in addition affected by a relative systematic uncertainty of 10% associated with the  $\tau$  identification. In the following, the systematic uncertainties are included in the derivation of the results according to the method proposed in Ref. [15].

#### 4.1. Constraints on the MSSM parameters

The upper limits on the production cross sections of charginos and neutralinos can be used to constrain the space of the relevant MSSM parameters. The production cross sections and the decay branching ratios have been calculated, here and in the following, using the programme MSMLIB [16].

The domains excluded in the  $(\mu, M_2)$ -plane are shown for  $\tan\beta = \sqrt{2}$  in Fig. 3(a) and (b) for  $\mu < 0$  and  $\mu > 0$ , respectively, assuming that all scalars are heavy enough ( $m_0 = 500$  GeV/ $c^2$ ) to play a negligible rôle in the phenomenology of charginos and neutralinos. The electroweak scale value  $M_2$  of the SU(2) gaugino mass term is related by  $M_2 \approx 0.81m_{1/2}$  to its value at the GUT scale. For small  $\tan\beta$  and small negative  $\mu$ , the domain excluded by chargino searches is extended by neutralino searches.

These exclusions can be translated into lower limits on chargino and neutralino masses. Examples of these results are presented in Fig. 3(c) and (d). The kinematic limit is closely approached for both chargino and associated neutralino production, except at large  $M_2$ , where the searches lose sensitivity because of the small  $\Delta M$  values predicted by the model. The case of charginos at small  $\Delta M$  has been studied in Ref. [6], where a mass lower limit of 88 GeV/ $c^2$  is obtained for large  $m_0$ . For  $\tan\beta = \sqrt{2}$  and negative  $\mu$ , the chargino mass limit derived indirectly from neutralino searches

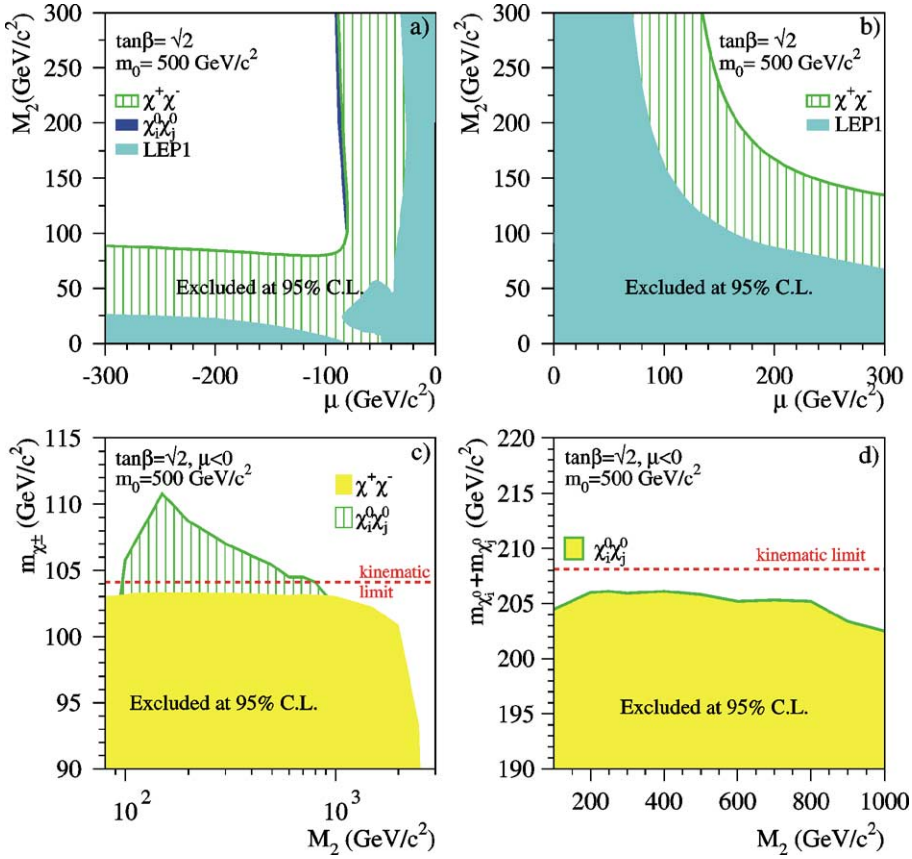


Fig. 3. Results from chargino and neutralino searches for  $m_0 = 500 \text{ GeV}/c^2$  and  $\tan \beta = \sqrt{2}$ . (a) and (b) Excluded domains in the  $(\mu, M_2)$ -plane of the MSSM for  $\mu < 0$  and  $\mu > 0$ , respectively. (c) Chargino mass lower limit as a function of  $M_2$  for  $\mu < 0$ . (d) Lower limit on the sum of the masses of the two neutralinos produced with the largest cross section for  $\mu < 0$ , as a function of  $M_2$ .

extends beyond the kinematic limit of chargino pair production by up to  $6 \text{ GeV}/c^2$ .

#### 4.2. The LSP mass lower limit in the case of no stau mixing

The lower limit on the mass of the LSP derived from chargino and neutralino searches for  $m_0 = 500 \text{ GeV}/c^2$  is shown as a function of  $\tan \beta$  in Fig. 4. For this  $m_0$  value, the smallest allowed value for the mass of the LSP is  $39.6 \text{ GeV}/c^2$ . It is found for  $\tan \beta = 1$ ,  $M_2 \simeq 67 \text{ GeV}/c^2$  and  $\mu \simeq -78 \text{ GeV}/c^2$ . The mass lower limit derived from chargino searches alone is  $38 \text{ GeV}/c^2$ . For smaller  $m_0$ , the loss of sensitivity of chargino searches is recovered by slepton searches, as discussed in Ref. [2]. A scan performed over the relevant parameter space shows that the lower

limit on the mass of the LSP, obtained for  $\tan \beta = 1$  and large  $m_0$ , holds for any value of  $m_0$ .

Higgs boson searches have been included to further constrain the LSP mass, as discussed in Ref. [3]. The result is shown in Fig. 4. The lower limit on the mass of the LSP is improved for  $\tan \beta$  values smaller than 3.9, in such a way that the absolute lower limit is now found at large  $\tan \beta$  and large negative  $\mu$ . For  $\tan \beta = 35$  the result is  $43.1 \text{ GeV}/c^2$ , independent of  $m_0$ . This limit is determined by the result of selectron searches in the *corridor* of sneutrino–chargino mass degeneracy. The situation in the  $(m_0, m_{1/2})$ -plane is illustrated in Fig. 5(a) for  $\tan \beta = 35$  and  $\mu = -1000 \text{ GeV}/c^2$ , where the regions excluded by searches for three-body chargino decays, for two-body chargino decays via sneutrinos, for selectrons and for staus are shown.

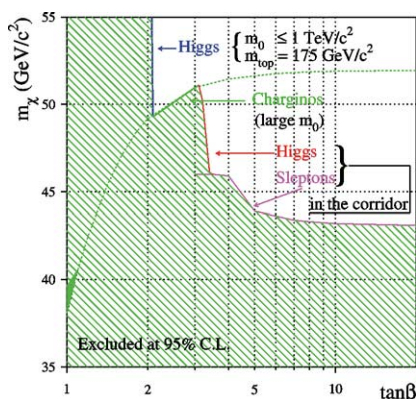


Fig. 4. The 95% C.L. lower limit on the mass of the lightest neutralino, as a function of  $\tan\beta$ . The dashed curve indicates the limit from chargino searches alone for  $m_0 = 500 \text{ GeV}/c^2$ . The grey area at small  $\tan\beta$  shows the improvement obtained if chargino and neutralino searches are combined. The other limit curves are achieved from (right to left) slepton searches in the corridor, Higgs boson searches in the corridor, chargino searches for large sfermion masses and Higgs boson searches.

Higgs boson searches cover a larger fraction of the corridor as  $\tan\beta$  decreases. As a result, the LSP mass limit moves into the region of large  $m_0$  for  $\tan\beta < 3.2$ , and is set by the chargino searches. The results obtained using the Higgs boson searches depend on the value chosen for the top quark mass,  $m_t$ , on the maximum  $m_0$  considered and on the theoretical uncertainty from the calculation of radiative corrections to the Higgs boson mass. This last uncertainty is conservatively taken into account by increasing the predicted Higgs boson mass by  $2 \text{ GeV}/c^2$ . For  $m_t = 175 \text{ GeV}/c^2$  and  $m_0 \leq 1 \text{ TeV}/c^2$ , the lower limit on the mass of the LSP for  $\tan\beta < 3.2$  is  $49.3 \text{ GeV}/c^2$ . For  $m_t = 180 \text{ GeV}/c^2$  and  $m_0 \leq 2 \text{ TeV}/c^2$ , this limit is reduced to  $45.5 \text{ GeV}/c^2$  except for a narrow region of  $\tan\beta$  around 1.0. This region is however excluded if the LEP combined limit for the Higgs boson mass [17] is used instead of the sole ALEPH limit.

#### 4.3. The impact of stau mixing on the LSP mass lower limit

As mentioned in Section 1, stau mixing may significantly affect the decay pattern of charginos and neutralinos. It has therefore been investigated how the lower limit on the mass of the LSP presented in

Section 4.2 is changed if mixing in the stau sector is allowed for. In addition to the analyses considered in Section 4.2, the searches for chargino decays via staus as well as nearly invisible chargino decays accompanied by hard ISR, and the dedicated searches for neutralino decays into staus, have been included.

The effects of stau mixing are illustrated in the gaugino region ( $\mu = -1000 \text{ GeV}/c^2$ ) for  $\tan\beta = 35$  in Fig. 5(a)–(d). The excluded regions in the  $(m_0, m_{1/2})$ -plane are shown for four values of the stau mixing angle ( $\varphi_\tau = 0, 30, 35$  and  $40$  degrees).

With increasing stau mixing, the leptonic decay channels of the charginos become more important. When the lighter stau gets lighter than the chargino, the  $\tilde{\tau}\nu$  decay mode of the chargino dominates, and a significant region of the parameter space is excluded by searches for that decay channel (region III in Fig. 5). For mixing angles near  $35^\circ$ , the limit on the mass of the LSP is determined by direct stau searches and chargino searches via decays into staus.

For large enough mixing angles the stau mass approaches the mass of the LSP and direct stau decays into the LSP lose sensitivity, due to the small mass difference  $\Delta m$  involved. A new uncovered corridor, the stau-LSP corridor, appears. The dedicated searches for neutralinos decaying into staus and for charginos accompanied by hard ISR allow sensitivity to be recovered in that region. The relative importance of these analyses depends on the location of the stau-LSP corridor in the  $(m_0, m_{1/2})$ -plane. For mixing angles near  $40^\circ$ , the neutralino analyses protect the lower limit on the LSP mass. For larger mixing angles, the corridor shifts towards larger  $m_0$  (for fixed  $m_{1/2}$ ), so that the chargino cross section is large enough for that region to be excluded by using the search for charginos with hard ISR, despite the small efficiency of the selection. The interplay of the different analyses for large mixing angles is shown in Fig. 5(c) and (d).

In order to extract the LSP mass lower limit in the presence of stau mixing, a scan over the parameter range

$$1 < \tan\beta < 50, \quad |\mu| < 20 \text{ TeV}/c^2,$$

and the full range of stau mixing angles

$$-45^\circ < \varphi_\tau < 45^\circ$$

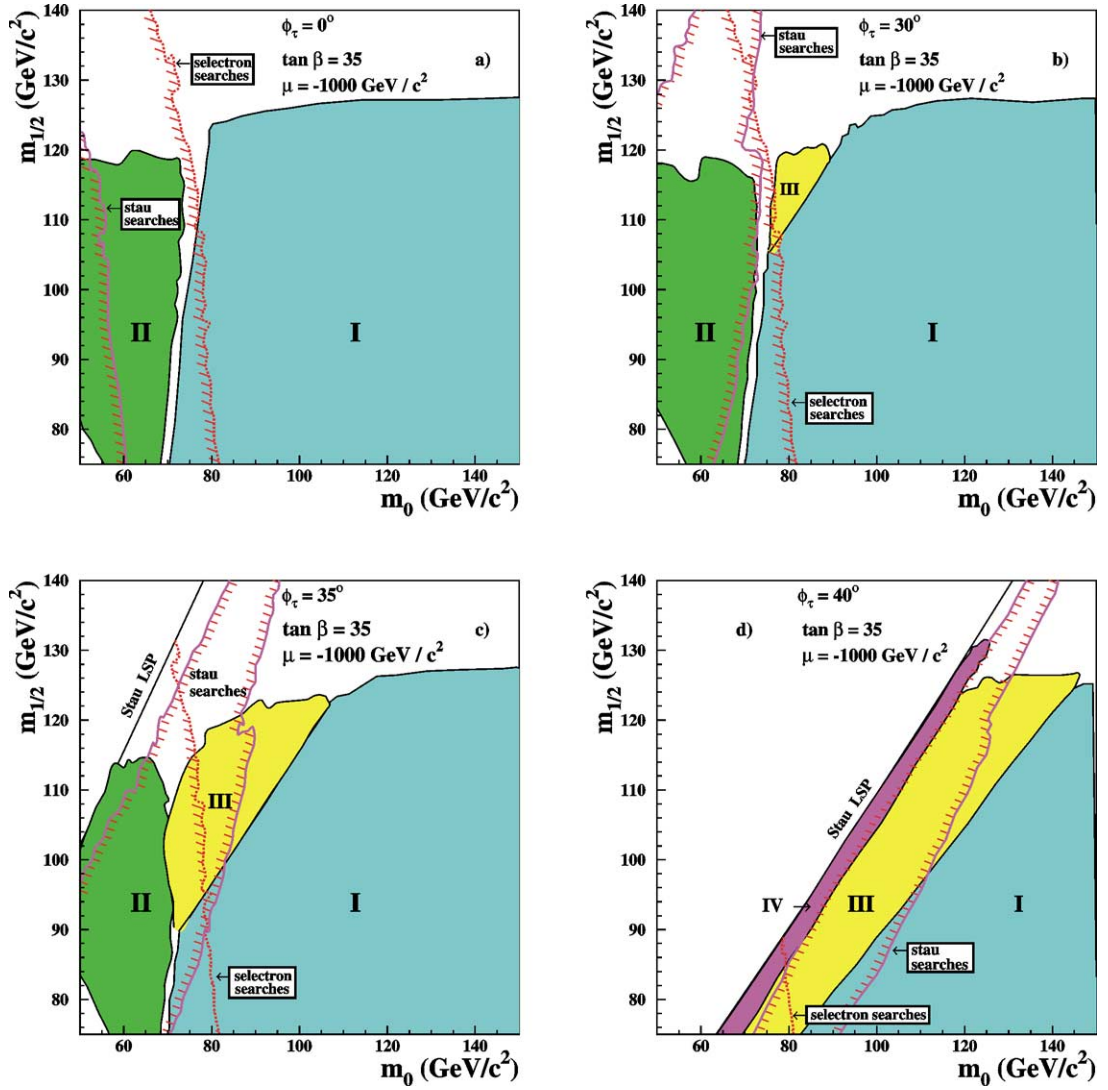


Fig. 5. Limits in the  $(m_0, m_{1/2})$ -plane for a point in the gaugino region ( $\mu = -1000 \text{ GeV}/c^2$ ), for  $\tan \beta = 35$ , and for various stau mixing angles  $\phi_\tau$ . The regions marked in the plots are excluded by searches for three-body chargino decays (region I), two-body chargino decays (region II), chargino decays via staus (region III), selectron and stau searches (indicated in the plot) and by the dedicated searches for neutralinos decaying into staus and for charginos accompanied by hard ISR (region IV, close to the stau LSP line). The regions in the upper left corner at large mixing angles are either unphysical or the  $\tilde{\tau}$  is the LSP.

has been performed. The results for the LSP mass limit as a function of  $|\tan \phi_\tau|$  are shown in Fig. 6(a). The limit is shown for various values of  $\tan \beta$  as well as for the scan over the full  $\tan \beta$  range considered. No large differences for the LSP mass lower limit have been found between positive and negative mixing angles. The only significant differences appear for large  $\tan \beta$  values, where for negative mixing angles

the LSP mass lower limit has been found to be up to  $0.4 \text{ GeV}/c^2$  higher than for the corresponding positive angles.

The LSP mass limit is relatively stable for large  $\tan \beta$  values and the limit found in the non-mixing case is only slightly degraded. A minimum, corresponding to an LSP mass value of  $42.4 \text{ GeV}/c^2$ , is found for  $\tan \phi_\tau$  values around 0.96 in the region of large



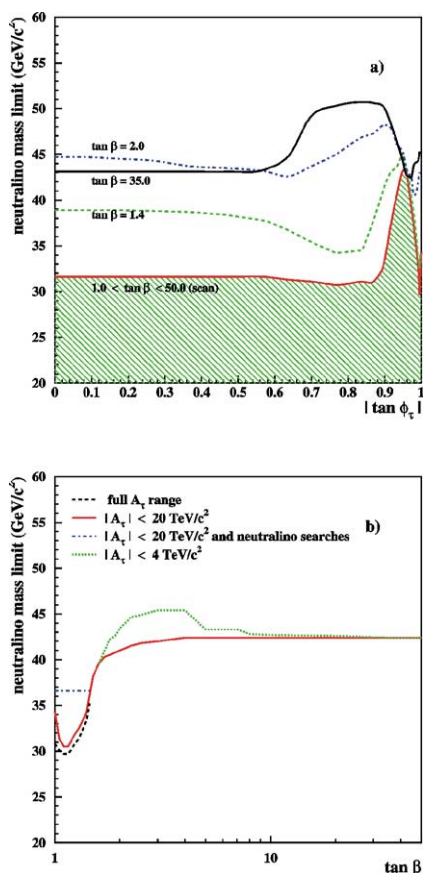


Fig. 6. Limits on the mass of the lightest neutralino as a function of (a)  $|\tan \varphi_\tau|$  and (b)  $\tan \beta$ .

negative  $\mu$ . For these extreme mixing angles, where the stau-LSP corridor appears, the LSP lower mass limit is stabilized by the dedicated neutralino analyses and by the search for invisibly decaying charginos accompanied by hard photons.

In the region of small  $\tan \beta$  the LSP mass lower limit is weaker and is found for  $\mu$  values in the range  $-100 \text{ GeV}/c^2 < \mu < -60 \text{ GeV}/c^2$ , a region where the chargino production cross sections are small. In addition to a minimum for  $\tan \varphi_\tau$  values around 0.8, a second minimum is found for extreme mixing angles ( $\varphi_\tau \sim 44.8^\circ$ ). Besides chargino production, stau-pair production is the only SUSY process with a significant cross section in that region. However, due to the small  $\Delta m$  value, it cannot be exploited to improve the mass limit. The absolute LSP mass lower limit is determined by the chargino search with hard ISR

Table 3

Summary of the LSP mass lower limits in the MSSM. The Higgs constraints are obtained under the assumptions  $m_t = 175 \text{ GeV}/c^2$ ,  $m_0 \leq 1 \text{ TeV}/c^2$  and  $|A_t| \leq 4 \text{ TeV}/c^2$

Stau mixing	$\tan \beta$ range	Higgs searches	LSP mass lower limit
$\varphi_\tau = 0$	$> 1.0$	none	$39.6 \text{ GeV}/c^2$
	$> 1.0$	included	$43.1 \text{ GeV}/c^2$
Any $\varphi_\tau$	$> 1.0$	none	$29.7 \text{ GeV}/c^2$
$ A_\tau  < 20 \text{ TeV}/c^2$	$> 1.0$	none	$36.6 \text{ GeV}/c^2$
$ A_\tau  < 4 \text{ TeV}/c^2$	$> 1.0$	included	$42.4 \text{ GeV}/c^2$

and is found to be  $29.7 \text{ GeV}/c^2$  at  $\tan \beta \sim 1.1$ ,  $\mu \sim -80 \text{ GeV}/c^2$ ,  $m_0 \sim 375 \text{ GeV}/c^2$  and  $\varphi_\tau = 44.85^\circ$ , which corresponds to  $A_\tau \sim -80 \text{ TeV}/c^2$ .

The LSP mass lower limit is shown in Fig. 6(b) as a function of  $\tan \beta$ . As in the no-mixing case, the standard neutralino searches can be applied to improve the limit in the small  $\tan \beta$  region. In a detailed scan it has been verified that in the region of intermediate mixing angles, i.e.,  $\tan \varphi_\tau < 0.9$ , all LSP masses smaller than  $36.6 \text{ GeV}/c^2$  can be excluded by the standard neutralino searches. Due to the tiny cross sections for associated neutralino production these analyses do not improve the LSP mass lower limit in the regions of extreme and unnaturally large  $A_\tau$  values. If  $A_\tau$  is restricted to  $|A_\tau| < 20 \text{ TeV}/c^2$  the limit of  $36.6 \text{ GeV}/c^2$  holds over the full parameter space.

The low  $\tan \beta$  region can further be covered by Higgs boson searches. As in Ref. [18], the range of trilinear couplings ( $|A_t|$  and, here,  $|A_\tau|$ ) has been restricted to a maximum of  $4 \text{ TeV}/c^2$ . The constraints obtained in the no-mixing case are found to hold if stau mixing is taken into account. The LSP mass lower limit with stau mixing is therefore the one obtained at large  $\tan \beta$ , namely  $42.4 \text{ GeV}/c^2$ .

A summary of the results obtained for the LSP mass lower limit is given in Table 3. As discussed in Ref. [3], these limits are affected by theoretical uncertainties of the order of a  $\text{GeV}/c^2$ .

The DELPHI experiment at LEP [19] has set a LSP mass limit of  $46 \text{ GeV}/c^2$  using Higgs boson searches and assuming no stau mixing. If mixing is allowed for, they obtain the following results: for  $\tan \beta > 3$ , a limit of  $45.5 \text{ GeV}/c^2$  for  $|A_t|$  and  $|A_\tau|$  smaller than  $1 \text{ TeV}/c^2$ ; for  $\tan \beta > 2$ , a limit of  $39 \text{ GeV}/c^2$  for any  $A_\tau$  and assuming no mixing in the stop sector.

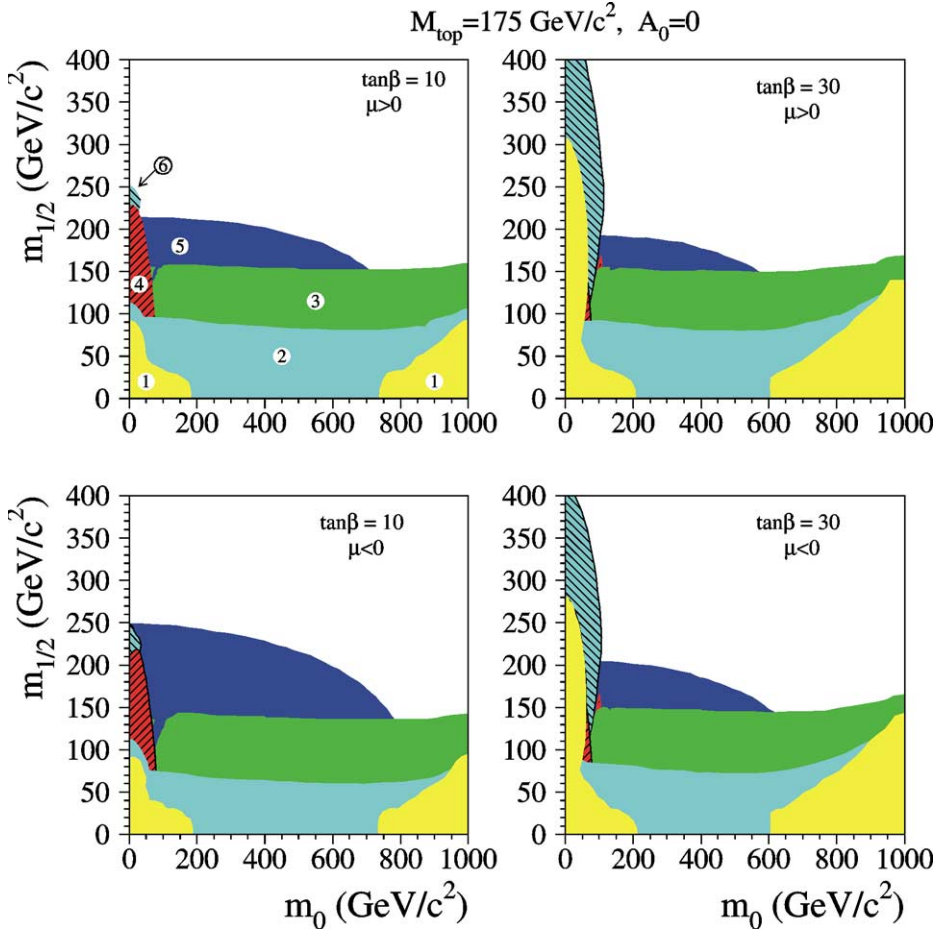


Fig. 7. Minimal supergravity scenario: domains of the  $(m_0, m_{1/2})$ -plane excluded for  $\tan\beta = 10$  and  $30$  and for  $A_0 = 0$ . Region (1) is theoretically forbidden. The other regions are excluded by the Z width measurement at LEP1 (2), and by chargino (3), slepton (4), Higgs boson (5) and stable-charged-particle (6) searches at  $\sqrt{s} \leq 209$  GeV.

#### 4.4. Interpretation in minimal supergravity

The interpretation in the framework of minimal supergravity presented in Refs. [2,3] has been updated with the final results of the searches for charginos and neutralinos presented in the previous sections, and those for sleptons [4] and neutral Higgs bosons [5]. In particular, the inclusion of the specific searches described in Sections 3.3 and 3.4 allows the condition  $m_{\tilde{\tau}} - m_{\chi_1^0} > 5$  GeV/ $c^2$ , needed in Refs. [2,3] to avoid the stau-LSP corridor, to be relaxed. In this analysis, the full renormalization group equations, including Yukawa couplings, have been used to derive the sfermion masses at the electroweak scale.

The interplay among the different searches is shown in Fig. 7 as exclusion domains in the  $(m_0, m_{1/2})$ -plane for  $\tan\beta = 10$  and  $30$ , for  $\mu < 0$  and  $\mu > 0$ , and for  $A_0 = 0$ , where  $A_0$  is the universal trilinear coupling at the GUT scale. The top quark mass has been set to  $175$  GeV/ $c^2$ . The extent of the regions covered by the searches for Higgs bosons in the  $hZ$  channel decreases as a function of  $\tan\beta$  and saturates for  $\tan\beta \gtrsim 30$ . Here too, a theoretical uncertainty of  $2$  GeV/ $c^2$  for the Higgs boson mass has been taken into account.

The lower limit on the LSP mass is shown in Fig. 8 as a function of  $\tan\beta$  for  $A_0 = 0$  and both signs of  $\mu$ . The lowest allowed value for the LSP mass is found at large values of  $m_0$ , typically  $700$ – $800$  GeV/ $c^2$  for

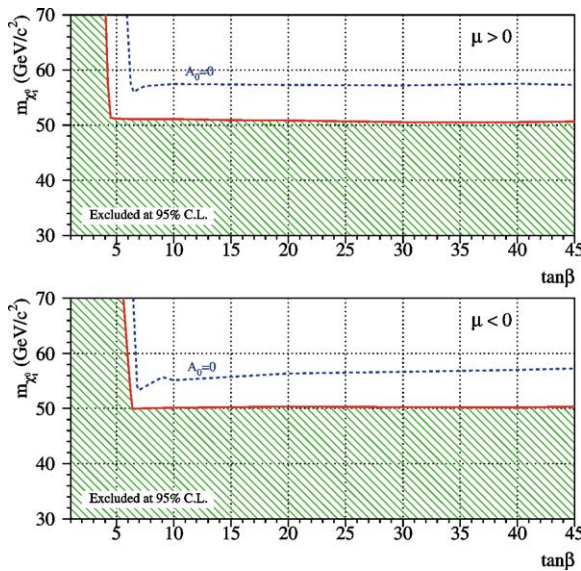


Fig. 8. Minimal supergravity scenario: lower limit on the LSP mass as a function of  $\tan\beta$ . The dashed line shows the result obtained with  $A_0 = 0$ . The full line represents the results obtained for the LSP mass limit, independent of the parameter  $A_0$ .

large  $\tan\beta$ . Altogether, an LSP mass lower limit of  $53 \text{ GeV}/c^2$  is set for  $A_0 = 0$ . It is reached for  $\tan\beta \sim 7.0$  and  $\mu < 0$ .

The impact of a non-vanishing  $A_0$  value has been studied by scanning the range allowed by theoretical constraints and by stop searches [20]. The lower limit on the mass of the LSP as a function of  $\tan\beta$  obtained from the  $A_0$  scan is also shown in Fig. 8. In this case the LSP mass lower limit decreases to  $50 \text{ GeV}/c^2$ , a value reached for  $\tan\beta \sim 6.5$  and  $\mu < 0$ .

## 5. Conclusions

Results of searches for charginos and neutralinos have been presented using data from the ALEPH experiment collected at centre-of-mass energies up to 209 GeV. In all topologies considered, the number of candidates observed is consistent with the background expected from Standard Model processes. Under the assumption of gaugino and sfermion mass unification, these results, together with those from slepton and Higgs boson searches, provide a lower limit on the mass of the lightest supersymmetric particle of the MSSM.

The results are summarized in Table 3. If mixing in the stau sector is neglected, the LSP mass limit is  $43.1 \text{ GeV}/c^2$ . This limit slightly degrades to  $42.4 \text{ GeV}/c^2$  if stau mixing is considered. In the framework of minimal supergravity, the LSP mass limit is  $50 \text{ GeV}/c^2$ .

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