Honors Mathematics III RC 3

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Matrices — Summary

1. Concepts

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- Matrix product.
- Transpose and adjoint.
- Elementary matrix.
- Inverse of matrix.

2. Theorems and Lemmas

- ► A matrices and linear maps (Theorem 1.5.3).
- Invertibility of matrix (Lemma 1.5.13).

3. Some Remarks

- Compositions of linear maps are matrix products.
- Properties of matrix product.
- Matrix multiplication.
- Matrices of linear maps by isomorphism.
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Matrices as Linear Maps

An $m \times n$ matrix over the complex numbers is a map

$$a: \{1,\ldots,m\} \times \{1,\ldots n\} \to \mathbb{C}, \quad (i,j) \mapsto a_{ij}$$

We represent the graph of a through a matrix

$$A := \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix} = (a_{ij})_{\substack{1 \le i \le m \\ 1 \le j \le n}}$$

We denote the set of all $m \times n$ matrices over \mathbb{C} by $\operatorname{Mat}(m \times n; \mathbb{C})$.

Matrix Product

The *matrix product* of $A = (a_{ik}) \in \operatorname{Mat}(I \times m; \mathbb{C})$ and $B = (b_{kj}) \in \operatorname{Mat}(m \times n; \mathbb{C})$ is given by

$$C = AB \in \operatorname{Mat}(I \times n; \mathbb{C}), \quad C := \left(\sum_{k=1}^{m} a_{ik} b_{kj}\right)_{\substack{i=1,\ldots,l \ j=1,\ldots,n}}$$

Interpretation: composition of linear maps.

$$j(B) \circ j(A)e_k = j(B) \sum_{s=1}^m a_{sk}e_s = \sum_{s=1}^m a_{sk}j(B)e_s$$
$$= \sum_{s=1}^m a_{sk} \sum_{t=1}^l b_{ts}e_t$$
$$= \sum_{t=1}^l \underbrace{\left(\sum_{s=1}^m b_{ts}a_{sk}\right)}_{e_t}e_t$$

Transpose and Adjoint

- ► The *transpose* of $A = (a_{ij}) \in \operatorname{Mat}(m \times n; \mathbb{F})$: $A^T = (a_{ii}) \in \operatorname{Mat}(n \times m; \mathbb{F})$.
- ▶ The *adjoint* of A: $A^* = \overline{A}^T = (\overline{a_{ij}}) \in \operatorname{Mat}(n \times m; \mathbb{F})$. *Remark:* $\langle x, Ay \rangle = \langle A^*x, y \rangle$.

Elementary Matrix Manipulations

An *elementary row manipulation* of a matrix is one of the following:

- Swapping (interchanging) of two rows.
- Multiplication of a row with a non-zero number.
- Addition of a multiple of one row to another row.

Inverse of Matrices

A matrix $A \in \operatorname{Mat}(n \times n; \mathbb{R})$ is called *invertible* if there exists some $B \in \operatorname{Mat}(n \times n; \mathbb{R})$ such that

$$AB = BA = \mathrm{id} = \begin{pmatrix} 1 & & 0 \\ & \ddots & \\ 0 & & 1 \end{pmatrix}$$

We then write $B = A^{-1}$ and say that A^{-1} is the *inverse* of A.

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Matrices as Linear Maps

Theorem 1.5.3.

Each matrix $A \in \operatorname{Mat}(m \times n; \mathbb{R})$ uniquely determines a linear map $j(A) \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m)$ such that the columns $a_{\cdot k}$ are the images of the standard basis vectors $e_k \in \mathbb{R}^n$; in particular,

$$j: \operatorname{Mat}(m \times n; \mathbb{R}) \to \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m)$$

is an isomorphism, $\operatorname{Mat}(m \times n; \mathbb{R}) \cong \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m)$.

Matrices as Linear Maps

Theorem 1.5.3.

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is an isomorphism, $\operatorname{Mat}(m \times n; \mathbb{R}) \cong \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m)$.

- 1. j(A) is a linear map uniquely determined by A.
- 2. j is an isomorphism that maps from a matrix to a linear map:
 - ▶ j is a linear map.
 - ▶ *j* is bijective.

Matrices and Linear Maps

Statement 1.

j(A) is a linear map uniquely determined by A.

Proof. Given a matrix $A \in \operatorname{Mat}(m \times n; \mathbb{R})$, we define the linear map as

$$j(A): \mathbb{R}^n \to \mathbb{R}^m, \quad e_k \mapsto a_{\cdot k}, \quad k = 1, \dots, n$$

and given the linear map $L \in \mathcal{L}$, we have the matrix

$$j^{-1}(L) = (a_{\cdot 1}, \dots, a_{\cdot n}), \quad a_{\cdot k} = L(e_k), \quad k = 1, \dots, n$$

which are determined uniquely by each other. It is easy to see that j(A) defined as above is a linear map.

Matrices as Linear Maps

Statement 2.

j is an isomorphism that maps from a matrix to a linear map.

Proof.

- \triangleright *j* is a linear map:
 - Additive:

$$j(A+B)e_k = (a+b)_{\cdot k} = a_{\cdot k} + b_{\cdot k} = j(A)e_k + j(B)e_k.$$

► Homogeneity: $j(\lambda A)e_k = (\lambda a)_{\cdot k} = \lambda a_{\cdot k} = \lambda j(A)e_k.$

▶ j is bijective: j^{-1} defined previously is the inverse of j.

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Properties of Matrix Product

► Associative:

$$A(BC) = j^{-1}(j(A) \circ j(BC)) = j^{-1}(j(A) \circ (j(B) \circ j(C)))$$

= $j^{-1}((j(A) \circ j(B)) \circ j(C)) = j^{-1}(j(AB) \circ j(C))$
= $(AB)C$

▶ Not commutative: $AB \neq BA$.

$$A = \begin{pmatrix} 1 & 1 \\ 2 & 2 \end{pmatrix} , \quad B = \begin{pmatrix} 2 & 1 \\ 1 & 0 \end{pmatrix}$$

$$AB = \begin{pmatrix} 3 & 1 \\ 6 & 2 \end{pmatrix}$$
 , $BA = \begin{pmatrix} 4 & 4 \\ 1 & 1 \end{pmatrix}$

Isomorphism

Let U, V be finite-dimensional real or complex vector spaces with bases

$$A = (a_1, \ldots, a_n) \subset U, \qquad B = (b_1, \ldots, b_m) \subset U$$

and isomorphisms

$$\varphi_{\mathcal{A}}: U \xrightarrow{\cong} \mathbb{R}^{n}, \quad \varphi_{\mathcal{A}}(a_{j}) = e_{j}, \quad j = 1, \dots, n$$

$$\varphi_{\mathcal{B}}: V \xrightarrow{\cong} \mathbb{R}^{n}, \quad \varphi_{\mathcal{B}}(b_{j}) = e_{j}, \quad j = 1, \dots, m$$

Then any linear map induces a matrix $A = \Phi_{\mathcal{A}}^{\mathcal{B}}(L) \in \operatorname{Mat}(m \times n; \mathbb{R})$ through

$$U \xrightarrow{L} V \qquad \Phi_{\mathcal{A}}^{\mathcal{B}}(L) = A = \varphi_{\mathcal{B}} \circ L \circ \varphi_{\mathcal{A}}^{-1}$$

$$\mathbb{R}^{n} \xrightarrow{A} \mathbb{R}^{m}$$

Matrix of Complex Conjugation

 \triangleright $\mathcal{B} = (1, i), L : \mathbb{C} \to \mathbb{C}, z \mapsto \overline{z}.$

$$arphi_{\mathcal{B}}: \mathbb{C} \to \mathbb{R}^2, \quad 1 \mapsto \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad i \mapsto \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\Rightarrow \quad \Phi_{\mathcal{B}}^{\mathcal{B}}(L) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$egin{align} arphi_{\mathcal{A}}:\mathbb{C} &
ightarrow \mathbb{R}^2, \quad 1+i \mapsto egin{pmatrix} 1 \ 0 \end{pmatrix}, \quad 1-i \mapsto egin{pmatrix} 0 \ 1 \end{pmatrix} \ & \Rightarrow \quad \Phi_{\mathcal{A}}^{\mathcal{A}}(L) = egin{pmatrix} 0 & 1 \ 1 & 0 \end{pmatrix} \end{split}$$

Matrix Manipulations

Example 1. Find a 2 × 2 matrix A with
$$A \binom{a}{b} = \binom{a+3b}{3a+b}$$
.

Matrix Manipulations

Example 1. Find a 2 × 2 matrix A with
$$A \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a+3b \\ 3a+b \end{pmatrix}$$
.

Solution.

Plugging in a = 1, b = 0 and a = 0, b = 1,

$$A = \begin{pmatrix} 1 & 3 \\ 3 & 1 \end{pmatrix}$$

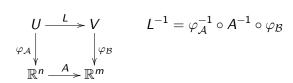
Inverse Maps

▶ **Inverse matrices:** Applying row operations that transfer the original matrix to the unit matrix.

$$SA = id \Rightarrow Sid = S$$

Note that we also have $(AB)^{-1} = B^{-1}A^{-1}$.

► Inverse maps:



Rotations

Rotation in \mathbb{R}^2 . We define the rotation of vectors in \mathbb{R}^2 by θ through matrix

$$R(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

- Active point of view.
- Passive point of view.

$$x = \sum x_i e_i$$

$$= \sum x_i R(\theta) R(\theta)^{-1} e_i$$

$$= R(\theta) \left(\sum x_i e_i' \right)$$

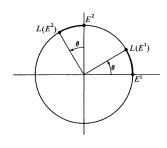


Figure: Rotation of Vectors

Changing basis as if we are applying rotation matrix on x.

Change of Basis

If
$$e'_i = Te_i$$
,

$$x = \sum_{i=1}^{n} x_i e_i, x_1, \dots, x_n \in \mathbb{R}$$
$$x = \sum_{i=1}^{n} x_i' e_i', x_1', \dots, x_n' \in \mathbb{R}$$

- ▶ **Goal:** Find the new coordinates x'_1, \ldots, x'_n .
- ▶ **Method:** Apply T^{-1} to x.
- **Explanation:**

$$T^{-1} = \sum_{i=1}^{n} x_i' T^{-1} e_i' = \sum_{i=1}^{n} x_i' e_i$$

and we can identify x'_i using the original basis.

Change of Basis

$$\mathbb{R}^{n} \xrightarrow{L} \mathbb{R}^{n} \qquad A \circ \varphi_{\mathcal{A}} = A = \varphi_{\mathcal{B}} \circ \mathrm{id} = \varphi_{\mathcal{B}} = T^{-1}$$

$$\downarrow^{\varphi_{\mathcal{B}}} \qquad \mathbb{R}^{n} \xrightarrow{A} \mathbb{R}^{n}$$

Procedure:

- 1. Find matrix T so that $b_i = Te_i$.
- 2. Find inverse T^{-1} .
- 3. Operation matrix on new basis b_i .
- 4. Calculate TAT^{-1} .

Change Basis

Example 2. Let $R: \mathbb{R}^2 \to \mathbb{R}^2$ denote the reflection about the line through $y=\begin{pmatrix} 3\\2 \end{pmatrix}$. Represent R as a matrix with respect to the standard basis.

Change Basis

Example 2. Let $R: \mathbb{R}^2 \to \mathbb{R}^2$ denote the reflection about the line through $y=\begin{pmatrix} 3\\2 \end{pmatrix}$. Represent R as a matrix with respect to the standard basis.

Solution.

Change the standard basis to $\left\{ \begin{pmatrix} 3 \\ 2 \end{pmatrix}, \begin{pmatrix} -2 \\ 3 \end{pmatrix} \right\}$ via the matrix

$$S = \begin{pmatrix} 3 & -2 \\ 2 & 3 \end{pmatrix}$$

The inverse of *S* is given by

$$S^{-1} = \frac{1}{13} \begin{pmatrix} 3 & 2 \\ -2 & 3 \end{pmatrix}$$



Change Basis

Example 2. Let $R: \mathbb{R}^2 \to \mathbb{R}^2$ denote the reflection about the line through $y=\begin{pmatrix} 3\\2 \end{pmatrix}$. Represent R as a matrix with respect to the standard basis.

Solution (continued).

The map that reflects about the required line with respect to the new basis is

$$T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Then

$$R = STS^{-1} = \frac{1}{13} \begin{pmatrix} 5 & 12 \\ 12 & -5 \end{pmatrix}$$



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System of Linear Equations — Summary

- 1. Concepts
 - Solution set.
 - Rank (column & row).
- 2. Theorems and Lemmas
 - ► Structure of solution set (Lemma 1.6.1 Corollary 1.6.3).
 - Fredholm alternative (1.6.4).
 - Matrix rank and solvability (Theorem 1.6.8).

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Solution Set

For a linear system of equations Ax = b, the **solution set** is given by

- $\blacktriangleright \operatorname{Sol}(A,b) = \{x \in \mathbb{R}^n : Ax = b\}.$
- ► $Sol(A, 0) = \{x \in \mathbb{R}^n : Ax = 0\} = \ker A$

Later we will see that

$$Sol(A, b) = \{x_0\} + \ker A = \{y \in \mathbb{R}^n : y = x_0 + x, x \in \ker A\}.$$

Rank

Let $A \in \operatorname{Mat}(m \times n; \mathbb{F})$ be a matrix with columns $a_{i,j} \in \mathbb{F}^m, 1 \le j \le n$ and rows $a_{i,j} \in \mathbb{F}^n, 1 \le i \le m$.

► The *column rank* of *A* is

column rank $A := \dim \operatorname{span}\{a_{\cdot 1}, \ldots, a_{\cdot n}\}.$

► The *row rank* pf *A* is

 $\text{row rank } A := \dim \text{ span} \{a_1, \dots, a_m\}.$

 $\operatorname{rank} A := \operatorname{column} \operatorname{rank} A = \operatorname{row} \operatorname{rank} A.$

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Structure of the Solutions Set

Lemma 1.6.1. Let $x_0 \in \mathbb{R}^n$ be a particular solution to Ax = b, then

$$Sol(A, b) = \{x_0\} + \ker A = \{y \in \mathbb{R}^n : y = x_0 + x, x \in \ker A\}$$

Fredholm Alternative 1.6.4. Let A be a $n \times n$ matrix. Then

- either Ax = b has a unique solution for any $b \in \mathbb{R}^n$. (ker $A = \{0\}$ and thus A is invertible. Then the solutions is uniquely given by $x = A^{-1}b$.)
- or Ax = 0 has a non-trivial solution. (ker $A \neq \{0\}$ and thus Ax = b has no solution or infinitely many solutions.)

Matrix Rank

Theorem 1.6.8. There exists a solution x for Ax = b if and only if rank A = rank(A|b), where

$$(A|b) = \begin{pmatrix} a_{11} & \cdots & a_{1n} & b_1 \\ \vdots & & \vdots & \vdots \\ a_{m1} & \cdots & a_{mn} & b_m \end{pmatrix}$$

(Adding column vector \boldsymbol{b} to the original matrix does not change the dimension of the column vectors.)

Proof.

$$Ax = b$$
 has solution $x \in \mathbb{R}^n \Leftrightarrow b \in \operatorname{ran} A \Leftrightarrow b \in \operatorname{span}\{a_{.1}, \dots, a_{.n}\}\$
 $\Leftrightarrow \operatorname{dim} \operatorname{ran} A = \operatorname{dim} \operatorname{ran}(A|b)$
 $\Leftrightarrow \operatorname{rank} A = \operatorname{rank}(A|b)$

System of Linear Equations

Example 3. $A \in \operatorname{Mat}(n \times n, \mathbb{R})$, prove that:

- 1. If Ax = 0 has only a trivial solution, then $A^k x = 0$ has only a trivial solution for any $k \in \mathbb{N}_+$.
- 2. If Ax = 0 has non-trivial solutions, then $A^kx = 0$ also has non-trivial solutions.

System of Linear Equations

Example 3. $A \in \operatorname{Mat}(n \times n, \mathbb{R})$, prove that:

- 1. If Ax = 0 has only a trivial solution, then $A^k x = 0$ has only a trivial solution for any $k \in \mathbb{N}_+$.
- 2. If Ax = 0 has non-trivial solutions, then $A^kx = 0$ also has non-trivial solutions.

Solution. 1. We can prove by induction by showing that if $A^k x = 0$ has only trivial solution, then $A^{k+1}x = 0$ has only trivial solution. $A^k x = \sum_{i=1}^n x_i a_{i,i}^{(k)} = 0$ iff $x_i = 0$. Therefore $a_{i,i}^{(k)}$ s are linearly

independent. rank $A^k = n$. $(a_{.j}^{(k)})$ are the column vectors of A^k .) Then

$$a_{.j}^{(k+1)} = \sum_{i=1}^{n} a_{ij} a_{.i}^{(k)}, \ \sum_{j=1}^{n} \lambda_{j} a_{.j}^{(k+1)} = 0 \Leftrightarrow \sum_{j=1}^{n} \lambda_{j} a_{ij} = 0, \ \forall i = 1, \dots, n$$

giving $\lambda_j = 0$ and the column vectors of A^{k+1} are independent.

System of Linear Equations

Example 3. $A \in \operatorname{Mat}(n \times n, \mathbb{R})$, prove that:

- 1. If Ax=0 has only a trivial solution, then $A^kx=0$ has only a trivial solution for any $k\in\mathbb{N}_+$.
- 2. If Ax = 0 has non-trivial solutions, then $A^kx = 0$ also has non-trivial solutions.

Solution. 2. If $A^k x = 0$ has a non-trivial solution x_0 . Then

$$A^{k+1}x_0 = A^k \cdot Ax_0 = 0$$

has also a non-trivial solution x_0 .

Rank

Example 4. Let $A\in \mathrm{Mat}(m imes n,\mathbb{F}), B\in \mathrm{Mat}(n imes I,\mathbb{F}).$ Show that $\mathrm{rank}\ AB\leq \mathrm{rank}\ A$

Rank

Example 4. Let $A \in \operatorname{Mat}(m \times n, \mathbb{F}), B \in \operatorname{Mat}(n \times I, \mathbb{F})$. Show that

$$\operatorname{rank} AB < \operatorname{rank} A$$

Solution. Let L_A and L_B be the linear maps associated with A and B, respectively. Then the multiplication of the two matrices is associated with the composition of the two linear maps.

$$\mathbb{F}^{I} \xrightarrow{L_{B}} \mathbb{F}^{n} \xrightarrow{L_{A}} \mathbb{F}^{m}$$

If $y \in \operatorname{ran}(L_B L_A)$, then there exists $x \in \mathbb{F}^I$ such that $L_A(L_B(x)) = y$. Therefore, $y \in \operatorname{ran} L_A$. Thus $\operatorname{rank} AB \leq \operatorname{rank} A$.

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Exercise 1. Let A be an $n \times n$ matrix, and let $a_{.1}, \ldots, a_{.n}$ be its columns. Show that A is invertible if and only if $a_{.1}, \ldots, a_{.n}$ are linearly independent.

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Exercise 2. Define the function that maps from \mathcal{P}_{n-1} to \mathcal{P}_n , where \mathcal{P}_k is the space of polynomials in \mathbb{R} of order at most k:

$$f(a_0 + a_1x + \dots + a_{n-1}x^{n-1})$$

= $a_0x + \frac{1}{2}a_1x^2 + \frac{1}{3}a_2x^3 + \dots + \frac{1}{n}a_{n-1}x^n$

- (1). Prove that f is linear. Find ker f and ran f.
- (2). Given bases $1, x, x^2, \dots, x^{n-1}$ and $1, x, x^2, \dots, x^n$ for \mathcal{P}_{n-1} and \mathcal{P}_n , find the matrix representing f with respect to the given bases.

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Exercise 3. A linear map A in \mathbb{R}^3 with respect to the basis

$$\eta_1 = (-1, 1, 1), \quad \eta_2 = (1, 0, -1), \quad \eta_3 = (0, 1, 1)$$

has a matrix representation

$$A = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ -1 & 2 & 1 \end{pmatrix}$$

Find the matrix representation for A with respect to the basis

$$e_1 = (1,0,0), \quad e_2 = (0,1,0), \quad e_3 = (0,0,1)$$



Exercise 4. Suppose we have a function f defined by:

$$f: \mathbb{R}^4 \to \mathbb{R}^3, \quad \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} \quad \mapsto \begin{pmatrix} -x_1 + x_2 + 2x_3 + x_4 \\ -2x_2 + x_3 \\ -x_1 - x_2 + 3x_3 + x_4 \end{pmatrix}$$

We set the basis for \mathbb{R}^4

$$a_1 = (1,0,1,1), \quad a_2 = (0,1,0,1) \quad a_3 = (0,0,1,0), \quad a_4 = (0,0,2,1)$$

and the basis for \mathbb{R}^3

$$b_1 = (1, 1, 1), \quad b_2 = (1, 0, -1), \quad b_3 = (0, 1, 0)$$

Find the matrix that represents f with respect to the given bases.



Exercise 5. Find the matrix representing the following maps with respect to the given bases:

- 1. Use the standard basis $e_1, e_2 \in \mathbb{R}^2$. The linear map L maps a vector v to its projection onto the angle bisector for the 1st and 3rd quadrant.
- 2. In P_{n-1} , take basis as

$$e_0(x) = 1, \ e_i(x) = \frac{x(x-1)\cdots(x-i+1)}{i!}, \ i = 1,\ldots,n-1$$

Find the matrix representation A for L_A such that $L_A f(x) = f(x+1) - f(x)$.



3. In C([a, b]), take 6 independent vectors

$$\begin{split} e_1 &= e^{\alpha x} \cos \beta x, \quad e_2 = e^{\alpha x} \sin \beta x, \quad e_3 = x e^{\alpha x} \cos \beta x \\ e_4 &= x e^{\alpha x} \sin \beta x, \quad e_5 = \frac{1}{2} x^2 e^{\alpha x} \cos \beta x, \quad e_6 = \frac{1}{2} x^2 e^{\alpha x} \sin \beta x \end{split}$$

Let $V = \operatorname{span}\{e_1, e_2, e_3, e_4, e_5, e_6\}$ and $D: V \to V, Df \mapsto f'$. Show that D is a linear map. Find the matrix representation for D with respect to the basis given above.

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Exercise 6. Let N be a square matrix. We say that N is nilpotent if there exists a positive integer r such that $N^r = \mathbf{0}$, where $\mathbf{0}$ is the matrix with all matrix elements being 0. Prove that if N is nilpotent then $\mathrm{id} - N$ is invertible.

Exercise 7. Let \mathcal{P}_n denote the vector space of polynomials of degree $\leq n$. Then the derivative $D: \mathcal{P}_n \to \mathcal{P}_n$ is a linear map of \mathcal{P}_n into itself. Let id be the identity mapping. Prove that the following linear maps are invertible:

- (a). id $-D^2$.
- (b). $D^m id$ for any positive integer m.
- (c). $D^m c \cdot id$ for any number $c \neq 0$.

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P.S.

Some statements and proofs in the slides above are not mathematically rigorous. I am merely trying to give you a general idea about what is going on. Please refer to the course slides if you want to check the details! Thanks for your attention!