

HIGH FREQUENCY COMMUNICATION SYSTEMS

Lecture 2

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LECTURE OUTLINE

- $\cdot\,$ Plane waves and the wave equation
- · Dielectric Properties and Materials
- · Nanoscale Electromagnetics

THE WAVE EQUATION

- · Maxwell's Equations are first-order partial differential equations
 - . They are coupled equations (i.e. the unknown $\vec{\bf E}$ and $\vec{\bf H})$ appear in each equation
- · To find the solution of the equations we treat it as a boundary value problem
- · We also uncouple the equations by raising the order (here two).
- · The result is the wave equation.

Recall,

$$oldsymbol{
abla} imes oldsymbol{ec{E}} = -\mu rac{\partial ec{H}}{\partial t} - oldsymbol{M}$$
 (Faraday's Law)
$$oldsymbol{
abla} imes oldsymbol{H} = rac{\partial ec{D}}{\partial t} + oldsymbol{J}$$
 (Ampere's Law)

where,

$$\vec{\mathbf{J}} = \vec{\mathbf{J}}_i + \sigma \vec{\mathbf{E}}$$

We take the curl of the above two equations and use the vector identity, $\nabla \times \nabla \times \vec{\mathbf{A}} \equiv \nabla (\nabla \cdot \vec{\mathbf{A}}) - \nabla^2 \vec{\mathbf{A}}$,

$$\nabla(\nabla \cdot \vec{\mathbf{E}}) - \nabla^2 \vec{\mathbf{E}} = -\nabla \times \vec{\mathbf{M}} - \mu \frac{\partial}{\partial t} \left(\nabla \times \vec{\mathbf{H}} \right)$$
$$\nabla(\rho_{\text{S}}/\varepsilon) - \nabla^2 \vec{\mathbf{E}} = -\nabla \times \vec{\mathbf{M}} - \mu \frac{\partial \vec{\mathbf{J}}_i}{\partial t} - \mu \sigma \frac{\partial \vec{\mathbf{E}}}{\partial t} - \mu \varepsilon \frac{\partial^2 \vec{\mathbf{E}}}{\partial t^2}$$

After rearranging we get the $\emph{uncoupled}$ second-order differential equation for \vec{E} ,

$$\nabla^2 \vec{\mathbf{E}} = \boldsymbol{\nabla} \times \vec{\mathbf{M}}_i + \mu \frac{\partial \vec{\mathbf{J}}_i}{\partial t} + \mu \sigma \frac{\partial \vec{\mathbf{E}}}{\partial t} + \mu \varepsilon \frac{\partial^2 \vec{\mathbf{E}}}{\partial t^2} + \frac{1}{\varepsilon} \boldsymbol{\nabla} \rho_{\rm S}$$

Homework

- · Derive the vector wave equation for the magnetic field $\vec{\mathbf{H}}$
- Due on MS Teams on March 23.
- \cdot You can either scan your work or better typeset in \LaTeX

UNIFORM PLANE WAVE

- · Simplest electromagnetic wave
- · Generally propagate in a fixed direction (e.g. z)
- · The EM fields are only functions of time and space coordinate z.
- No variation in transverse coordinates $(\frac{\partial}{\partial x}, \frac{\partial}{\partial y} = 0)$

$$\cdot E_z = H_z = 0$$

$$\vec{\mathbf{E}}(x, y, z, t) = \vec{\mathbf{E}}(z, t)$$

For a uniform plane wave, the source-free Maxwell's equations are:

$$\nabla \times \vec{\mathbf{E}} = -\frac{\partial \vec{\mathbf{B}}}{\partial t} \implies \hat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{E}}}{\partial z} = -\frac{\partial \vec{\mathbf{B}}}{\partial t}$$

$$\nabla \times \vec{\mathbf{H}} = \frac{\partial \vec{\mathbf{D}}}{\partial t} \implies \hat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{H}}}{\partial z} = \frac{\partial \vec{\mathbf{D}}}{\partial t}$$

$$\nabla \cdot \vec{\mathbf{E}} = 0 \implies \frac{\partial E_z}{\partial z} = 0$$

$$\nabla \cdot \vec{\mathbf{H}} = 0 \implies \frac{\partial H_z}{\partial z} = 0$$

- · Starting with a uniform plane wave in a source-free region.
- · Considering one-dimensional case
- · Since $E_z, H_z = 0$, we start with and use the identity $(\widehat{\mathbf{z}} \cdot (\widehat{\mathbf{z}} \times \widehat{\mathbf{A}}) \equiv 0)$:

$$\hat{\mathbf{z}} \cdot \left(\hat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{H}}}{\partial z}\right) = \varepsilon \frac{\partial \vec{\mathbf{E}}}{\partial t} = 0 \implies \frac{\partial E_z}{\partial t} = 0$$

The solutions (transverse fields) must be of the form:

$$\vec{\mathbf{E}}(z,t) = \widehat{\mathbf{x}} E_X(z,t) + \widehat{\mathbf{y}} E_Y(z,t)$$

$$\vec{\mathbf{H}}(z,t) = \widehat{\mathbf{x}} H_X(z,t) + \widehat{\mathbf{y}} H_Y(z,t)$$

The electric and magnetic fields only exist in the x-y plane which is perpendicular to the direction of propagation.

7

· We can also simplify 1D Maxwell's equations

$$\widehat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{E}}}{\partial z} = -\frac{1}{c} \eta \frac{\partial \vec{\mathbf{H}}}{\partial t}$$
$$\eta \widehat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{H}}}{\partial z} = -\frac{1}{c} \frac{\partial \vec{\mathbf{E}}}{\partial t}$$

where,

$$c=rac{1}{\sqrt{\muarepsilon}},$$
 and $\eta=\sqrt{rac{\mu}{arepsilon}}$

Using the BAC-CAB $(\vec{\bf A}\times(\vec{\bf B}\times\vec{\bf C})=\vec{\bf B}(\vec{\bf A}\cdot\vec{\bf C})-(\vec{\bf B}\cdot\vec{\bf A})\vec{\bf C})$ rule of vector algebra:

$$\left(\widehat{\mathbf{z}} \times \frac{\partial \vec{\mathbf{E}}}{\partial Z}\right) \times \widehat{\mathbf{z}} = \frac{\partial \vec{\mathbf{E}}}{\partial Z} - \widehat{\mathbf{z}} \left(\widehat{\mathbf{z}} \cdot \frac{\partial \vec{\mathbf{E}}}{\partial Z}\right) = \frac{\partial \vec{\mathbf{E}}}{\partial Z}$$

We can now write the Maxwell's equations as:

$$\frac{\partial \vec{\mathbf{E}}}{\partial z} = -\frac{1}{c} \frac{\partial}{\partial t} \left(\eta \vec{\mathbf{H}} \times \hat{\mathbf{z}} \right)$$
$$\frac{\partial}{\partial z} \left(\eta \vec{\mathbf{H}} \times \hat{\mathbf{z}} \right) = -\frac{1}{c} \frac{\partial \vec{\mathbf{E}}}{\partial t}$$

We differentiate the first equation w.r.t z and use the second:

$$\frac{\partial^2 \vec{\mathbf{E}}}{\partial z^2} = -\frac{1}{c} \frac{\partial^2}{\partial t \partial z} \left(\eta \vec{\mathbf{H}} \times \hat{\mathbf{z}} \right) = \frac{1}{c^2} \frac{\partial^2 \vec{\mathbf{E}}}{\partial t^2}$$

which is the 1D wave equation. We can also write in a convenient form as:

$$\left(\frac{\partial^2}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) \vec{\mathbf{E}}(z,t) = 0$$

- · Time-harmonic representation $\exp(j\omega t)$ is convenient in finding the solutions
- · We replace the derivatives $\frac{\partial}{\partial t}$ and $\frac{\partial^2}{\partial t^2}$ by $j\omega$ and $-\omega^2$ respectively
- · We also call the result as the Helmholtz equation.
- For source-free $(\vec{\mathbf{J}}=\vec{\mathbf{M}}=0)$ case, we get

$$\nabla^2 \vec{\mathbf{E}} + \omega^2 \mu \varepsilon \vec{\mathbf{E}} = 0$$
$$\nabla^2 \vec{\mathbf{E}} + \beta^2 \vec{\mathbf{E}} = 0$$

SOLUTIONS TO WAVE EQUATIONS

- · A second order differential equation leads to 2 solutions
 - · We can split the fields into forward and backward components.
- We use the Separation of variables method to obtain the solutions of vector wave equation
 - $\cdot\,$ By solving the scalar equations for each components

$$\vec{\mathbf{E}} = \widehat{\mathbf{x}}E_{x} + \widehat{\mathbf{y}}E_{y} + \widehat{\mathbf{z}}E_{z}$$

As an example, for the x-component, we get:

$$\nabla^{2} E_{X}(x, y, z) + \beta^{2} E_{X}(x, y, z) = 0$$

The solution is of the form:

$$E_X(x, y, z) = f(x)g(y)h(z)$$

SOLUTIONS TO WAVE EQUATIONS

- · There are different forms of solutions we can use
 - · Depends on the nature of the problem
- · For free-space problems, we use the travelling wave form

$$h(z) = A_1 \exp(-j\beta_z z) + B_1 \exp(+j\beta_z z)$$

For confined problems (such as a waveguide), we use the standing wave form:

$$g(x) = A_2 \sin(\beta_y y) + B_2 \cos(\beta_y y)$$

- · Uniform travelling wave in the +z direction
- · Equiphase plane (increase in t also increase z)

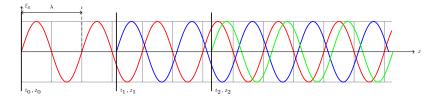


Figure 1: X-polarized Plane Wave propagation along z direction

For the above, the plane wave can be described as:

$$E_{x}(z,t) = \cos(\omega t - \beta z)$$



- · Materials play a huge role in electromagnetic radiation and guiding
- The electrons inside the atom of a material behave differently when an external electric field is applied
 - · The electric field distorts the electron distribution
 - · An electric dipole moment is created
- We tend to observe it macroscopically (not at the atom level but over the volume of the material)
- · We need to describe the behaviour of ε with frequency (using Classical Harmonic model)

$$\frac{\mathrm{d}^2 x}{\mathrm{d}t^2} + \gamma \frac{\mathrm{d}x}{\mathrm{d}t} + \omega_0^2 x = \frac{e}{m} E$$

where γ is a measure of rate of collisions per unit time, ω_0 refers to the resonant frequency, e and m are the electron charge and mass respectively.

. Using the phaser form of the Harmonic model for a plane wave, $E(t) = E_0 \exp(j\omega t)$

$$\varepsilon(\omega) = \varepsilon_0 + \frac{\varepsilon_0 + \omega_\rho^2}{\omega_0^2 - \omega^2 + j\omega\gamma}$$
 (Lorentz Model)

where ε_0 is the free-space permittivity, ω_p is the plasma frequency given by:

$$\omega_p = \sqrt{\frac{Ne^2}{\varepsilon_0 m}}$$

N being the charge density.

- · The real part of arepsilon refers to the refractive properties
- · The imaginary part determines the absorption or loss.

POLARISATION OF DIELECTRICS

- · Formation of electric dipoles in the presence of external electric fields.
- There are magnetic materials as well but we are not interested in them in this course.
 - · We assume $\mu_r = 1$ for all materials.

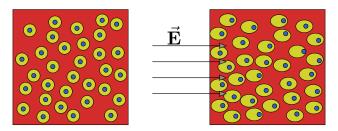


Figure 2: Effect of electric field on dipole formation.

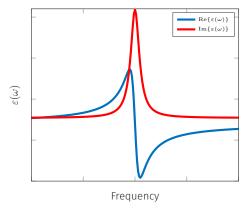


Figure 3: The dielectric function using the Lorentz Model

- · Main difference from dielectrics is that the motion of electric charges and the generation of current flow.
- Conductors have loosely held electrons in the valence band of atoms [free electrons]
- · Conductors have very high values of electric conductivity ($\sigma \to \infty$).
- · For perfect electric conductors, we use $\sigma = \infty$.

$$\varepsilon(\omega) = \varepsilon_0 + \frac{\sigma(\omega)}{j\omega}$$
 (Drude Model)

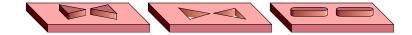
- · Plasma like solid, liquid and gas is the fourth form of matter
- · We consider the resonant frequency $\omega_0=0$.
- · Plasma effectively acts as a switch
 - · Before plasma frequency, wave is completely attenuated.
 - · After ω_p , there is zero attenuation

$$\varepsilon(\omega) = \varepsilon_0 + \left(1 - \frac{\omega_p^2}{\omega^2}\right)$$



NANOSCALE ELECTROMAGNETICS

- · Electronic device sizes are fast approaching the nanoscale
 - · Modern transistors are typically 5 nm in size
- · Maxwell's equations have remained valid at macro scale
 - There are discrepancies at the nanoscale level between the theory and experiment
- · Highlight of nanoscale electromagnetics is the *complex-valued* nature of the relative permittivity
- · Interestingly, EM surface waves exist at metal/dielectric interfaces



SURFACE PLASMON POLARITONS

- · EM fields can be split into *transverse-magnetic* (TM) and *transverse-electric* (TE) components
- · Observing a planar dielectric-metal interface, TM-mode means H-field only has a transverse (H_y) component
 - · The E-field has E_x and E_z components