



University  
of Glasgow

# HIGH-FREQUENCY COMMUNICATION SYSTEMS

## Lecture 6

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Hasan T Abbas & Qammer H Abbasi

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- Beamforming
- Beamforming Algorithms
- Software Defined Radio

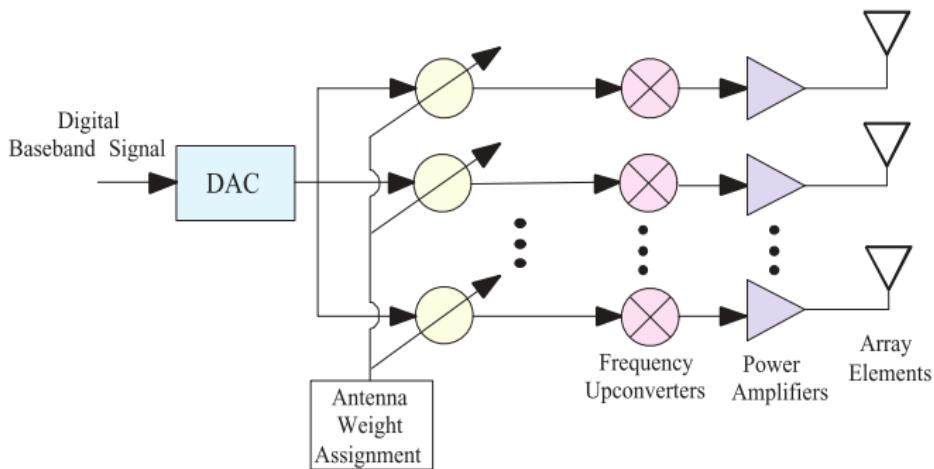


Figure 1: A typical beamforming architecture.

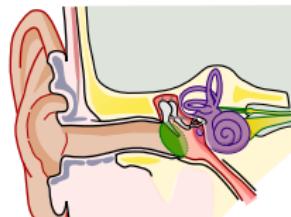
## BEAMFORMING ALGORITHMS

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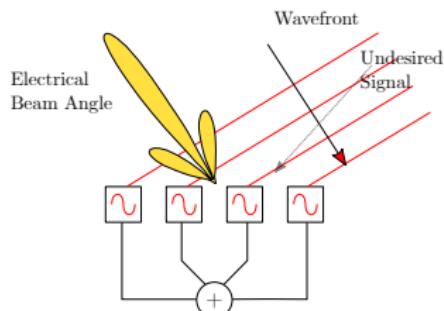
- For beamforming, determining the direction of an incoming signal through the direction of arrival (DoA) algorithms is a key element.
- The goal is to develop a machine like the human ear
- DoA or direction finding algorithms reconstruct the signals from each direction and try to determine the identity of the signal source.

$$S_i = \sum_{\ell=1}^N m_{\ell}(t) e^{-j\vec{k}_{\ell} \cdot (\vec{r}_i - \vec{r}_1)}$$

here  $\vec{k}_{\ell}$  is the wave-vector,  $\vec{r}_1$  and  $\vec{r}_i$  are the positions of the reference and i-th array elements.



(a)



(b)

We seek to construct a matrix,  $\mathbf{X}$  for an array of  $M$  elements with  $N$  snapshots recorded in time.

$$\mathbf{X} = \begin{bmatrix} S_1(t_1) & \dots & S_1(t_N) \\ \dots & \dots & \dots \\ S_M(t_1) & \dots & S_M(t_N) \end{bmatrix}$$

Next, a covariance matrix  $\mathbf{R}$  needs to be estimated for the incoming signal  $\mathbf{X}$  polluted with noise  $N$ ,

$$\mathbf{R} = \left( \frac{1}{N} \right) \mathbf{X}^* \mathbf{X}$$

We also need to *track* the directions in which the array is activated. We represent this with a steering vector,

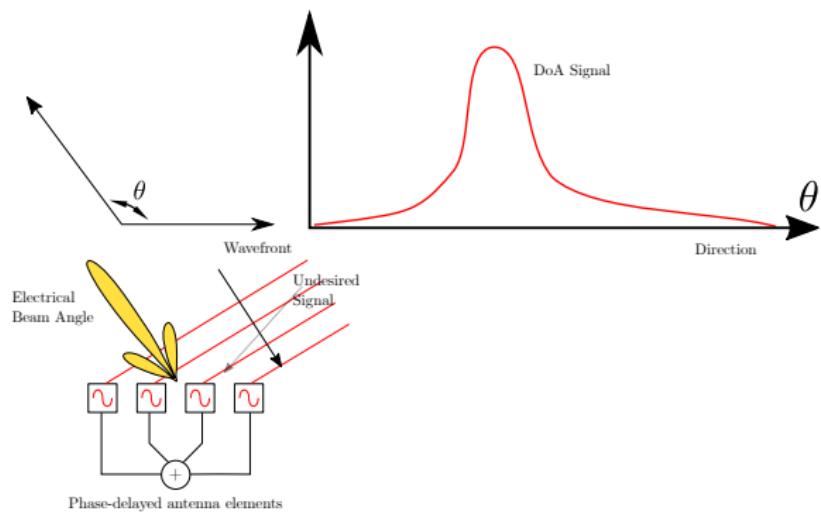
$$\mathbf{a}_{\text{direction}} = \begin{bmatrix} 1 & e^{-j \vec{k}_l \cdot (\vec{r}_1 - \vec{r}_2)} & \dots & e^{-j \vec{k}_l \cdot (\vec{r}_1 - \vec{r}_M)} \end{bmatrix}$$

The next step involves the intensive computation of weights which will be applied to each direction vector:

$$\mathbf{w} = \frac{\mathbf{R}^{-1}\mathbf{a}_{\text{direction}}}{(\mathbf{a}_{\text{direction}}^* \mathbf{R}^{-1}\mathbf{a}_{\text{direction}})}$$

- There are established ways to solve the above matrices
- Two of the most famous direction-finding algorithms are multiple signal classification (MUSIC) and estimation of signal parameters via rotational invariance techniques (ESPIRIT)
- Using some terminologies from Linear Algebra, both the algorithms above assume the covariance matrix column space is spanned by two orthogonal subspaces
  - They are signal and noise subspaces

## DOA OUTPUT



## SOFTWARE DEFINED RADIO

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- A communication system consists of many layers of operations.
- The physical layer is the most important of all.
- Typically, physical layer processing is done via dedicated hardware
- Radio is the technology through which signals are wirelessly transmitted and received
- Software-defined radio has some or all physical layer functions implemented via software

# SOFTWARE DEFINED RADIO

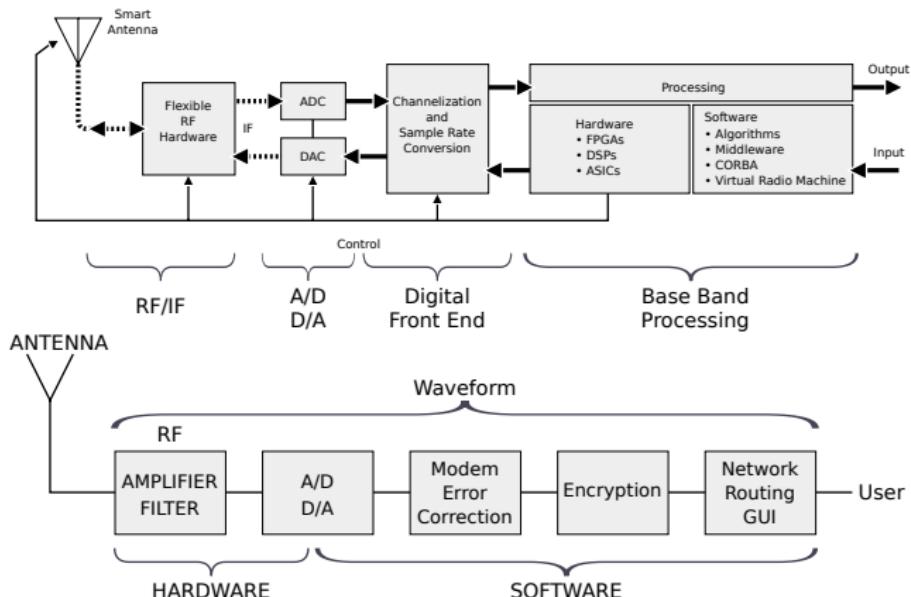


Figure 2: A Typical SDR workflow

- A graphical user interface consisting of *flowgraphs* through which different signal processing functions such as analogue-digital conversion can be performed.
- Some additions let us write **Python** codes within each block
- The software is meant to interface with Universal Software Radio Peripheral (USRP) modules to construct a complete communication system.

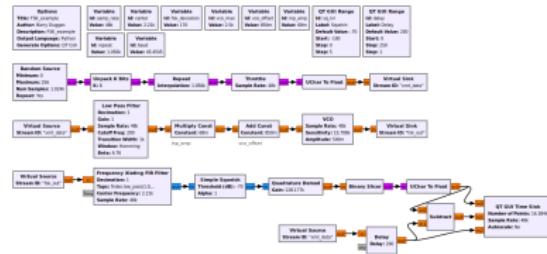


Figure 3: GNU Radio Interface.

### Chapter 4

T. S. Rappaport, R. W. Heath, R. C. Daniels, and J. N. Murdock,  
Millimeter wave wireless communications. Upper Saddle River, NJ:  
Prentice Hall, 2015.