# ECE 231A HW 3

#### Lawrence Liu

November 22, 2022

## Problem 1

(a)

Then we have

$$I(X;Y|Z) = H(Y|Z) - H(Y|X,Z) = H(Y|Z) = \alpha H(p)$$

Thus we have that the capacity is  $\alpha$ 

(b)

We have

$$\begin{split} I(X;Y) &= H(Y) - H(Y|X) \\ &= -p\alpha \log_2(p\alpha) - (1-p\alpha) \log_2((1-p\alpha)) - H(Y|X) \\ &= -p\alpha \log_2(p\alpha) - (1-p\alpha) \log_2((1-p\alpha)) - pH(\alpha) \end{split}$$

To find its maximum, we take its derivative and set it to 0

$$\frac{\partial I(X;Y)}{\partial p} = 0$$

$$-\alpha \log_2(p\alpha) - \frac{\alpha}{\ln(2)} + \alpha \log_2(1 - p\alpha) + \frac{\alpha}{\ln(2)} - H(\alpha) = 0$$

$$-H(\alpha) = \alpha \log_2(p\alpha) - \alpha \log_2(1 - p\alpha)$$

$$2^{\frac{-H(\alpha)}{\alpha}} = \frac{p\alpha}{1 - p\alpha}$$

$$p = \left[\frac{1}{\alpha(2^{\frac{H(\alpha)}{\alpha}} + 1)}\right]$$

### Problem 2

(a)

We have that,

$$I(X;Y|S) = H(Y|S) - H(Y|X,S)$$
  
=  $H(Y,S) - H(S) - H(Y,X,S) - H(S,X)$   
=  $I(X,S;Y,S) - H(S)$ 

And thus

$$I(X, S; Y, S) = I(X; Y|S) + H(S)$$

(b)

We have that

$$\begin{split} C &= \max_{p_S(s)} \left( \max_{p_X(x|s)} \left( I(X,S;Y,S) \right) \right) \\ &= \max_{p_S(s)} \left( \max_{p_X(x|s)} \left( I(X;Y|S) + H(S) \right) \right) \\ &= \max_{p_S(s)} \left( H(S) + \max_{p_X(x|s)} \left( I(X;Y|S) \right) \right) \\ &= \max_{p_S(s)} \left( H(S) + \max_{p_X(x|s)} \left( H(Y|S) + H(X|S) - H(Y,X|S) \right) \right) \\ &= \max_{p_S(s)} \left( H(S) + \max_{p_X(x|s)} \left( \sum_{s=1}^K p_S(s) (H(Y|S=s) + H(X|S=s) - H(Y,X|S=s)) \right) \right) \\ &= \max_{p_S(s)} \left( H(S) + \sum_{s=1}^K p_S(s) C_s \right) \end{split}$$

(c)

We have that we want the following constraint to be true

$$\sum_{s=1}^{K} p_S(s) = 1$$

So then with a lagrangian we have

$$\frac{\partial}{\partial p_S(s)} \left( H(S) + \sum_{s=1}^K p_S(s) C_s + \lambda \sum_{s=1}^K p_S(s) - 1 \right) = -\log_2(p_S(s)) - \frac{1}{\ln(2)} + C_s + \lambda \sum_{s=1}^K p_S(s) - 1$$

Setting this equal to 0 we get that

$$p_S * (s) = 2^{-\frac{1}{\log 2(e)}} 2^{\lambda} 2^{C_s}$$

since  $\sum_{s=1}^{K} p_S * (s) = 1$ , we have

$$2^{\lambda} = \frac{1}{2^{-\frac{1}{\log 2(e)}} \sum_{s=1}^{K} 2^{C_s}}$$

And thus we have

$$p_S * (s) = \boxed{\frac{2^{C_s}}{\sum_{s=1}^{K} 2^{C_s}}}$$

(d)

Then we have that

$$H(S) = -\sum_{s=1}^{K} p_S(s) \log_2(p_S(s))$$
$$= \log_2\left(\sum_{s=1}^{K} 2^{C_s}\right) - \sum_{s=1}^{K} p_S(s)C_s$$

Thus we have that

$$C = H(S) + \sum_{s=1}^{K} p_S(s)C_s = \log_2\left(\sum_{s=1}^{K} 2^{C_s}\right)$$

## Problem 3

(a)

We want the outputs to  $Y_1$  and  $Y_2$  to be totally dependent on the input X. Thus we have that

$$I(S, Y_1, Y_2) = H(S) - H(S|Y_1, Y_2) = H(S)$$

(b)

Since  $X_1$  is a BEC channel, the maximum distribution is just  $P(X_1 = 0) = P(X_1 = 1) = \frac{1}{2}$ . This will give us that the capacity of this channel is  $1 - \alpha$ . Thus we have that the minimum capacity of the noiseless channel is  $\alpha$ .

(c)

Send one symbol through the erasure channel and one through the noiseless channel, then if the fraction of the symbols through the noiseless is greater than  $\alpha$ , we send the next symbol through the noise channel, and if it is less than  $\alpha$ , we send the next symbol through the noiseless channel. This will converge to  $\alpha$  with time.

(d)

We have that

$$I(X_1, Y_1) = H(X_1) - H(X_1|Y_1)$$
  
=  $(1 - \alpha)H(X_1)$ 

We want to maximize this subject to the constrainIts on  $P(X_1 = 1) = p$ 

$$p \ge 0$$
 
$$1 - p \le 1$$
 
$$E[q(X_1)] = 3p + 2(1 - p) = 2 + p \le Q$$

Then with the first two conditions will be inactive constraints since entropy gets bigger as p gets closer to  $\frac{1}{2}$ , but the third one will be active. Thus we have that

$$f = (1 - \alpha)H(p) + \lambda(2 + p - Q)$$
$$\frac{\partial f}{\partial p} = (1 - \alpha)H'(p) + \lambda = 0$$
$$\frac{\partial f}{\partial \lambda} = 2 + p - Q = 0$$

Thus we have that

$$\log_2\left(\frac{p}{1-p}\right) = \frac{\lambda}{1-\alpha}$$
$$p = \frac{1}{1+2^{-\frac{\lambda}{1-\alpha}}}$$

plugging this back into the third constraint we get that

$$p = Q - 2$$

$$1 = (Q - 2)(1 + 2^{-\frac{\lambda}{1-\alpha}})$$

$$\frac{3 - Q}{Q - 2} = 2^{-\frac{\lambda}{1-\alpha}}$$

$$\lambda = (1 - \alpha)\log_2\left(\frac{Q - 2}{3 - Q}\right)$$

Thus we get that

$$p = 3 - Q$$

and thus the maximum  $I(X_1, Y_1)$  is  $(1-\alpha)H_2(3-Q)$ , and thus the maximum of  $I(S; Y_1)$  is  $(1-\alpha)H_2(3-Q)$  as well.

(e)

Then we must have the rate of the other channel must be  $1-(1-\alpha)H_2(3-Q)$ 

#### Problem 4

(a)

We can intuitively see that the stationary distribution is  $\frac{1}{3}$  for all, thus we have that the rate is

$$\mathcal{H}(S) = H_3(q, q, 1 - 2q)$$

Thus in order for lossless coding, we must have that q must satisfy the following

$$R = 1 - \alpha > \mathcal{H}(S)$$
$$1 - \alpha > H_2(2q, 1 - 2q) + 2q$$

(b)

From the source coding theorem we have that

$$1 - \alpha > \beta \mathcal{H}(S)$$

Thus the maximum beta is

$$\beta = \boxed{\frac{2(1-\alpha)}{3}}$$

## Problem 5

(a)

We have that

$$I(X;Y) = H(X) - H(X|Y) = H(X) - pH(X|Y = E) - (1-p)H(X|Y = X)$$
 
$$I(X;Y) = H(X) - pH(X|Y = E) = (1-p)H(X)$$

thus to maximize we just have all the values of X be equally likely thus we will have

$$C = (1 - p)\log_2(L)$$

(b)

Since feedback cannot increase the capacity of the channel, we have that the capacity still is  $(1-p)\log_2(L)$ 

(c)

Once again since feedback cannot increase the capacity of the channel, we have that the capacity still is  $(1-p)\log_2(L)$