

# ECE 231A Project 1

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# Data\_Compression\_Project\_Huffman

November 14, 2022

## 0.1 ECE 231A : Data Compression Project Module 1

Please follow our instructions in the same order and print out the entire results and codes when completed.

```
[2]: import numpy as np
import matplotlib.pyplot as plt
import csv
from collections import Counter
```

```
[3]: #####
# Read Text file
#####
f = open("Toy_Example_Huffman.txt", "r")
text = f.read()
```

```
[25]: #####
# Compute the empirical distribution
#####
def compute_distribution(text):
    """
    Inputs:
    - text: A string containing the text to be encoded.

    Returns:
    - symbols: a list of tuples of the form (char,prob), where char is a
    ↪ character appears in the text
               and prob is the number of times this character appeared in text,
    ↪ divided by the length of text.
    """
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    chars, counts = np.unique(list(text), return_counts=True)
    symbols = [(str(char), count/len(text)) for char, count in zip(chars,
    ↪ counts)]
    # ===== #
    # END YOUR CODE HERE
```

```

# ===== #
return symbols

symbols = compute_distribution(text)
size_symbols = len(symbols)
print(symbols)

```

```
[('A', 0.125), ('B', 0.125), ('C', 0.25), ('D', 0.5)]
```

## 0.2 Part 1: D-ary Huffman Codes

```

[26]: #####
# Draw the tree for the Huffman code
#####
def Huffman_tree(symbols, D = 3):
    """
    Inputs:
    - symbols: a list of tuples of the form (char,prob), where char is a
    ↪ character appears in the text
              and prob is the number of times this character appeared in text
    ↪ divided by the length of text.

    Returns:
    - tree: a list of a single element that have probability one. at each
    ↪ iteration sort your list according
              to their probabilities and combine the first D elements as a single
    ↪ element
    """
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    #check if we need to add dummy symbols

    if len(symbols)==1:
        return symbols
    if (len(symbols)-1)%(D-1)>0:
        for i in range((D-1)-((len(symbols)-1)%(D-1))):
            symbols.append(('dummy'+str(i),0))
    char,prob=zip(*symbols)
    char=list(char)
    prob=list(prob)

    #sort the chars and probs
    sorted_chars=[x for _,x in sorted(zip(prob,char),key=lambda pair: pair[0])]
    sorted_probs=sorted(prob)
    #combine the first D elements as a single element
    tree=[(tuple(sorted_chars[:D]),sum(sorted_probs[:D])))]

```

```

    #add the rest of the elements
    for i in range(D,len(sorted_chars)):
        tree.append((sorted_chars[i],sorted_probs[i]))
    return Huffman_tree(tree,D)
    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return tree

tree = Huffman_tree(symbols)
print(tree[0][0])

```

((('dummy0', 'A', 'B'), 'C', 'D'))

```

[28]: #####
#Encode the Huffman Tree
#####
def Huffman_coding(seq, code='', D =3):
    """
    Inputs:
    - seq: a tuple of characters.
    - code: the code of this tuple
    Returns:
    - Dictionary: a dictionary containing the Huffman codes.
    """
    if type(seq) is str:
        return {seq : code}
    Dictionary = dict()
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    dicts=[{}]*D
    for i in range(D):
        dicts[i]=Huffman_coding(seq[i],code+str(i),D)
    for d in dicts:
        Dictionary.update(d)
    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return Dictionary

Huffman_code = Huffman_coding(tree[0][0])
print("Huffman CodeBook: ", Huffman_code)

```

Huffman CodeBook: {'dummy0': '00', 'A': '01', 'B': '02', 'C': '1', 'D': '2'}

```
[29]: #####
# Compute the expected length of the Huffman code
#####
def compute_expected_length(symbols, Huffman_code, D =3):
    """
    Inputs:
    - symbols: A list of tuples of the form (char,prob).
    - Huffman_code: a dictionary containing the Huffman codes.Each code is a
    ↪string
    Returns:
    - Expected_length: a number represents the expected length of Huffman code
    """

    # ===== #
    # YOUR CODE HERE:
    # ===== #
    Expected_length=0
    for symbol in symbols:
        char=symbol[0]
        Expected_length+=symbol[1]*len(Huffman_code[char])

    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return Expected_length

Expected_length = compute_expected_length(symbols, Huffman_code)
print("Expected length of Huffman code:  ", Expected_length)
```

Expected length of Huffman code: 1.25

```
[30]: #####
# Encode a text
#####
def encode_text(text, Huffman_code, D =3):
    """
    Inputs:
    - text: A string containing the text to be encoded.
    - Huffman_code: a dictionary containing the Huffman codes.Each code is a
    ↪string
    Returns:
    - txt_code: a string represents the code of the input text.
    """
    txt_code = ''
    # ===== #
    # YOUR CODE HERE:
    # ===== #
```

```

for char in text:
    txt_code+=Huffman_code[char]

# ===== #
# END YOUR CODE HERE
# ===== #

return txt_code

txt_code = encode_text(text, Huffman_code)
print("Encoded Text: ", txt_code)

```

Encoded Text: 2011220212

```

[31]: #####
# Decode a text
#####
def decode_text(txt_code, Huffman_code, symbols, D =3):
    """
    Inputs:
    -symbols: a list of symbols.
    - txt_code: A code of a text encoded by Huffman code as a string.
    - Huffman_code: a dictionary containing the Huffman codes. Each code is a
    ↪string
    Returns:
    - decoded_text: a string represents the decoded text.
    """
    decoded_text = ''
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    r_Huffman_code={v:k for k,v in Huffman_code.items()}
    codeword=''
    for char in txt_code:
        codeword+=char
        if codeword in r_Huffman_code:
            decoded_text+=r_Huffman_code[codeword]
            codeword=''

    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return decoded_text

decoded_text = decode_text(txt_code, Huffman_code, symbols)
print("Original text: ", text)
print("Decoded Text: ", decoded_text)

```

Original text: DACDDBCD

Decoded Text: DACDDBCD

[ ]:

# ECE 231A Project 3 Part 2

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## Problem 1

We can rewrite  $P(l(x) < l'(x)) > P(l(x) > l'(x))$  as

$$\sum_x p(x) \text{sign}(l(x) - l'(x)) \leq 0$$

using the identity  $\text{sign}(a - b) \leq D^t - 1$ , we get

$$\begin{aligned} \sum_x p(x) \text{sign}(l(x) - l'(x)) &\leq \sum_x p(x) (D^{l(x)-l'(x)} + 1) \\ &= \sum_x D^{l'(x)} - 1 \end{aligned}$$

From the kraft inequality, we then get that  $\sum_x D^{l'(x)} \leq 1$ . and thus we get that  $\sum_x D^{l'(x)} - 1 \leq 0$ .



## Problem 2

(a)

let  $l'(x) = -\log(q(x))$ , then we have

$$\begin{aligned}
 p(l'(x) \leq l^*(x) - \gamma) &= p(-\log_D(q(x)) \leq -\log_D(p(x)) - \gamma) \\
 &= p(q(x) \geq p(x)D^\gamma) \\
 &= \sum_{x:p(x)D^\gamma \leq q(x)} p(x) \\
 &\leq \sum_{x:p(x)D^\gamma \leq q(x)} q(x)D^{-\gamma} \\
 &= \sum_x q(x)D^{-\gamma} \\
 &= D^{-\gamma}
 \end{aligned}$$

Thus we get

$$p(l'(x) \leq l^*(x) - \gamma) \leq |D|^{-\gamma}$$

(b)

We have

$$\begin{aligned}
 p(l(x) > l'(x) + 1) &= p\left(\lceil \log_D\left(\frac{1}{p(x)}\right) \rceil > l'(x) + 1\right) \\
 &\leq p(1 - \log_D(p(x)) > l'(x) + 1) \\
 &= p(-\log_D(p(x)) < l'(x))
 \end{aligned}$$

Since  $l(x)$  must be an integer we have that this is equal to

$$p(-\log_D(p(x)) < l'(x)) = p(l'(x) \leq -\log_D(p(x)) - 1)$$

from part (a) we know that

$$p(l'(x) \leq -\log_D(p(x)) - 1) \leq D^{-1} \leq \frac{1}{2}$$

since  $D \geq 2$ . thus we have that

$$p(l(x) > l'(x) + 1) \leq \frac{1}{2}$$

and thus

$$p(l(x) > l'(x) + 1) \leq p(l(x) \leq l'(x) + 1)$$

(c)

$$P[l'(x) < l(x) - 1] < P[l'(x) > l(x) - 1]$$

$$\# [l'(x)] \leq H(x) + 1$$

$$\# [l(x) - 1] \leq H(x) \leq \# [l'(x)]$$

$$\sum_{i \in X} \lfloor p(x_i) / \log \frac{1}{p(x_i)} \rfloor < \sum_{i \in X} p(x_i) \log \frac{1}{p(x_i)} \leq \sum_{i \in X} p(x_i) \log \left( \frac{1}{p(x_i)} \right)$$

$$P[l(x) - 1 > l'(x)] < P[l'(x) \geq H(x) > l(x) - 1]$$

$$\underline{P[l(x) - 1 > l'(x)] < P[l'(x) \geq l(x) - 1]} \quad \square$$

# Data\_Compression\_Project\_LZ\_1

November 14, 2022

## 0.1 ECE 231A : Data Compression Project Module 2

Please follow our instructions in the same order and print out the entire results and codes when completed.

```
[96]: import numpy as np
import matplotlib.pyplot as plt
import csv
from collections import Counter
```

```
[97]: #####
# Read Text file
#####
f = open("Toy_Example_LZ.txt", "r")
text = f.read()
print(text)
```

AAAABBBBBBAAAAACCCCCEEEEEAAAADDDDBBBAAACCCDDDEEEEEBBBCCCC

```
[98]: #####
# Compute the distribution
#####
def compute_distribution(text):
    """
    Inputs:
    - text: A string containing the text to be encoded.

    Returns:
    - symbols: a list of tuples of the form (char,prob), where char is a
    ↪ character appears in the text
      and prob is the number of times this character appeared in text,
    ↪ divided by the length of text.
    - entropy: a number represnting the entropy of the source symbols
    """
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    counter = dict()
    for k in text:
```

```

        if k in counter:
            counter[k] += 1/len(text)
        else:
            counter[k] = 1/len(text)

symbols = []
entropy = 0
for j in counter.keys():
    symbols.append((j, counter[j]))
    entropy -= np.log2(counter[j]) * counter[j]
symbols = sorted(symbols, key=lambda x: x[1])

# ===== #
# END YOUR CODE HERE
# ===== #
return symbols, entropy

symbols, entropy = compute_distribution(text)
print(symbols)
print(entropy)

```

```

[('D', 0.12499999999999997), ('E', 0.16071428571428567), ('B',
0.21428571428571422), ('C', 0.21428571428571422), ('A', 0.28571428571428564)]
2.267713681259536

```

## 0.2 Binary LEMPEL-ZIV Coding

```

[99]: #####
# Initialize the dictionary of both the sender and the receiver
#####
def initialize_dict(symbols):
    """
    Inputs:
    - symbols: a list of tuples of the form (char, prob), where char is a_
    ↪ character appears in the text
              and prob is the number of times this character appeared in text_
    ↪ divided by the length of text.

    Returns:
    - TX_dictionary: dictionary containing the symbols in symbols and its_
    ↪ corresponding binary code
    - RX_dictionary: dictionary containing the symbols in symbols and its_
    ↪ corresponding binary code
    """
    TX_dictionary = dict()
    RX_dictionary = dict()
    # ===== #
    # YOUR CODE HERE:

```

```

# ===== #
counter = 0
l = 0
c = len(symbols)
while (c):
    c=c//2
    l+=1
for i in symbols:
    TX_dictionary[i[0]] = format(counter, '0'+str(l)+'b')
    RX_dictionary[i[0]] = format(counter, '0'+str(l)+'b')
    counter+=1

# ===== #
# END YOUR CODE HERE
# ===== #
return TX_dictionary, RX_dictionary

TX_dictionary, RX_dictionary = initialize_dict(symbols)
print(TX_dictionary, RX_dictionary)

```

```

{'D': '000', 'E': '001', 'B': '010', 'C': '011', 'A': '100'} {'D': '000', 'E':
'001', 'B': '010', 'C': '011', 'A': '100'}

```

```

[100]: #####
#Encode the text
#####
def Lempel_ziv_coding(text, TX_dictionary):
    """
    Inputs:
    - text: A string containing the text to be encoded.
    - TX_dictionary: Initialized dictionary of the sender
    Returns:
    - TX_dictionary: the updated dictionary of the sender.
    - Code: the code of the input text
    """
    code = ''
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    while (len(text)>0):
        subseq = text[0]
        counter = 1
        while subseq in TX_dictionary:
            subseq += text[counter]
            counter+= 1
            if (counter == len(text)):
                break
        if (not subseq in TX_dictionary):

```



```

# YOUR CODE HERE:
# ===== #
Expected_length = len(code)/len(text)
# ===== #
# END YOUR CODE HERE
# ===== #
return Expected_length

```

```

Expected_length = compute_expected_length(text, code)
print("Expected length of the Lempel-Ziv code: ", Expected_length)

```

Expected length of the Lempel-Ziv code: 3.1785714285714284

```

[102]: #####
# Decode a text
#####
def decode_text(code, RX_dictionary):
    """
    Inputs:
    - code: A code of a text encoded by Huffman code as a string.
    - RX_dictionary: Initialized decitionary of the receiver
    Returns:
    - decoded_text: a string represents the decoded text.
    - RX_dictionary: the updated dictionary of the receiver.
    """
    decoded_text = ''
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    while (len(code) > 0):
        l = len(bin(len(RX_dictionary)-1))-2
        first = code[:l]
        inv = dict((v, k) for k, v in RX_dictionary.items())
        if (len(code) == l):
            decoded_text += inv[first]
            break
        else:
            second = code[l:2*l]
            t = inv[first]+inv[second]
            decoded_text+=t
            l2 = len(RX_dictionary)
            if (l2 & (l2-1) == 0):
                for i in RX_dictionary.keys():
                    RX_dictionary[i] = "0"+RX_dictionary[i]
            RX_dictionary[t] = bin(l2)[2:]
            code = code[l*2:]
    
```

```

# ===== #
# END YOUR CODE HERE
# ===== #
return decoded_text, RX_dictionary

decoded_text, RX_dictionary = decode_text(code, RX_dictionary)
print("Original text:  ", text)
print("Decoded Text:   ", decoded_text)
print("The receiver Dictionary:  ", RX_dictionary)

```

```

Original text:  AAAABBBBBBAAAAACCCCEEEEEAAAAADDDDBBBAAACCCDDDEEEEEBBBCCCC
Decoded Text:   AAAABBBBBBAAAAACCCCEEEEEAAAAADDDDBBBAAACCCDDDEEEEEBBBCCCC
The receiver Dictionary:  {'D': '00000', 'E': '00001', 'B': '00010', 'C':
'00011', 'A': '00100', 'AA': '00101', 'AAB': '00110', 'BB': '00111', 'BBB':
'01000', 'AAA': '01001', 'AAC': '01010', 'CC': '01011', 'CCE': '01100', 'EE':
'01101', 'EA': '01110', 'AAAD': '01111', 'DD': '10000', 'DB': '10001', 'BBA':
'10010', 'AACC': '10011', 'CD': '10100', 'DDE': '10101', 'EEE': '10110', 'EB':
'10111', 'BBC': '11000', 'CCC': '11001'}

```

[ ]:



# Data\_Compression\_Project\_Arithmetic\_1

November 14, 2022

```
[20]: import numpy as np
import matplotlib.pyplot as plt
import csv
from collections import Counter
```

```
[21]: #####
# Read Text file
#####
f = open("Toy_Example_Arithmetic.txt", "r")
text = f.read()
print(text)
```

DACDDBCD

```
[22]: #####
# Compute the empirical distribution
#####
def compute_distribution(text):
    """
    Inputs:
    - text: A string containing the text to be encoded.

    Returns:
    - symbols: a list of tuples of the form (char,prob), where char is a_
    ↪ character appears in the text
               and prob is the number of times this character appeared in text_
    ↪ divided by the length of text.
    """
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    symbols = []
    counter = dict()
    for k in text:
        if k in counter:
            counter[k] += 1/len(text)
        else:
            counter[k] = 1/len(text)
```

```

symbols = []
for j in counter.keys():
    symbols.append((j, counter[j]))
symbols = sorted(symbols, key=lambda x:x[1])
# ===== #
# END YOUR CODE HERE
# ===== #
return symbols

symbols = compute_distribution(text)
size_symbols = len(symbols)
print(symbols)

```

```
[('A', 0.125), ('B', 0.125), ('C', 0.25), ('D', 0.5)]
```

## 0.1 Part 2: Arithmetic Codes

```

[23]: #####
# Compute the expected length of the Huffman code
#####
def compute_CDF(symbols):
    """
    Inputs:
    - symbols: A list of tuples of the form (char,prob).
    Returns:
    - CDF_symbols: A list of tuples of the form (char,CDF)
    """
    CDF_symbols = []
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    total=0
    for i in symbols:
        CDF_symbols.append((i[0], i[1]+total))
        total += i[1]
    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return CDF_symbols

CDF_symbols = compute_CDF(symbols)
print(CDF_symbols)

```

```
[('A', 0.125), ('B', 0.25), ('C', 0.5), ('D', 1.0)]
```

```

[24]: #####
# Decimal encoding

```

```
#####
def decimal_encoding(text,CDF_symbols) :
    """
    Inputs:
    - text: A string containing the text to be encoded.
    Returns:
    - lower: the lower value of the interval of the encoded text.
    - upper: the upper value of the interval of the encoded text.
    """
    lower = 0
    upper = 1
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    d = dict(CDF_symbols)
    sy = [i[0] for i in CDF_symbols]
    for c in text:
        r = upper - lower
        upcdf = d[c]
        ind = sy.index(c)
        locdf = 0
        if (ind != 0):
            locdf = d[sy[ind-1]]
        lower += r* locdf
        upper -= r*(1-upcdf)

    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return lower, upper

lower,upper = decimal_encoding(text,CDF_symbols)
print("Interval representing the text is: ", lower, upper)
```

Interval representing the text is: 0.52801513671875 0.528076171875

[25]: #####

```
# Binary encoding
#####
def Arithmetic_encoding(lower,upper):
    """
    Inputs:
    - lower: the lower value of the interval of the encoded text.
    - upper: the upper value of the interval of the encoded text.
    Returns:
```

```

- txt_code: a string represents the code of the input text.
"""
txt_code = ''
# ===== #
# YOUR CODE HERE:
# ===== #
l = np.ceil(np.log2(1/(upper -lower)))+1
m = (upper + lower)/2
m *= (2**l)
m = int(m)
return bin(m)[2:]
# ===== #
# END YOUR CODE HERE
# ===== #
return txt_code

txt_code = Arithmetic_encoding(lower,upper)
print("Encoded Text: ", txt_code)
Expected_length_Arithmetic = len(txt_code)/len(text)
print("Expected length of Arithmetic code: ", Expected_length_Arithmetic)

```

Encoded Text: 100001110010111  
Expected length of Arithmetic code: 1.875

[26]:

```

#####
# Binary Decoding
#####
def decimal_decoding(txt_code):
    """
    Inputs:
    - txt_code: a string of zeros and ones represents the code of the input_
    ↪ text.

    Returns:
    - decoded_val: a real number between 0 and 1.
    """
    decoded_val = 0
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    decoded_val = int(txt_code, 2) / (2 ** len(txt_code))
    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return decoded_val

decoded_val = decimal_decoding(txt_code)
print("The decoded Value: ", decoded_val)

```

The decoded Value: 0.528045654296875

```
[27]: #####
# Arithmetic Decoding
#####
def Arithmetic_decode(decoded_val, CDF_symbols, n):
    """
    Inputs:
    - decoded_val: A real number between 0 and 1 represents the mid-point of
    the interval of the encoded text.
    - CDF_symbols: A list containing the symbols and their corresponding CDF
    - n: number of symbols to be decoded
    Returns:
    - decoded_text: a string containing the decoded text.
    """
    decoded_text = ''
    # ===== #
    # YOUR CODE HERE:
    # ===== #
    sy = [i[0] for i in CDF_symbols]
    for i in range(n):
        j = 0
        while (CDF_symbols[j][1] < decoded_val):
            j += 1
        decoded_text += sy[j]
        l = 0
        if (j != 0):
            l = CDF_symbols[j-1][1]
        decoded_val = (decoded_val - l) / (CDF_symbols[j][1] - l)

    # ===== #
    # END YOUR CODE HERE
    # ===== #
    return decoded_text

decoded_text = Arithmetic_decode(decoded_val, CDF_symbols, len(text))
print("Original text: ", text)
print("Decoded Text: ", decoded_text)
```

Original text: DACDDBCD

Decoded Text: DACDDBCD

[ ]:

## Problem 5

5:

$$(a) \log \left( \frac{P(x|\hat{\theta})}{Q(x)} \right) = \underbrace{-\log(Q(x))}_{\text{code length using } Q} - \underbrace{(-\log(P(x|\hat{\theta})))}_{\text{code length using } \hat{\theta}}$$

This is the distance (difference) in code length between using the arbitrary distribution  $Q$ , and the max likelihood  $\hat{\theta}$ .

(b) Assume there exists  $Q(x) \neq Q^*(x)$  such that:

$$\begin{aligned} \max_x \log \left( \frac{P(x|\hat{\theta})}{Q^*(x)} \right) &= \max_x \log \left( \frac{P(x|\hat{\theta})}{P(x|\hat{\theta})} \right) = \max_x \log \left( \frac{1}{1} \right) \\ &= \log(1) > \max_x \log \left( \frac{P(x|\hat{\theta})}{Q(x)} \right) \end{aligned}$$

We know that there must exist an  $x$  where  $Q^*(x) > Q(x)$ .  
If  $Q(x) \geq Q^*(x)$  for all  $x$ , then

$$\sum_{x \in X} Q(x) \geq \sum_{x \in X} Q^*(x) = 1$$

But  $\sum_{x \in X} Q(x) = 1$  because it is a probability distribution.

So  $Q(x) = Q^*(x)$ .

This can't be true based on our assumption, so we know there is an  $x$  such that  $Q^*(x) > Q(x)$ .

Since  $Q^*(x)$  and  $Q(x)$  are in denominator and  $Q^*(x) > Q(x)$ :

$$\log(C) = \log \left( \frac{P(x|\hat{\theta})}{Q^*(x)} \right) < \log \left( \frac{P(x|\hat{\theta})}{Q(x)} \right)$$

$$\text{So: } \max_x \log \left( \frac{P(x|\hat{\theta})}{Q(x)} \right) > \log(C)$$

Which contradicts our assumption, so  $Q(x)$  must be the minimum.  $\square$

## **Contributions of Each Group Member**

Lawrence Liu worked on Part 1 and Part 2 except for the bonus question  
David Zheng worked on Part 3 and Part 4 and Part 5 with Rohit Bhat Rohit  
Bhat worked on Part 5 with David Zheng and the bonus part of Part 2