

ECE 231A HW 1

Lawrence Liu

September 30, 2022

Problem 2

Since a uniquely decodable code is a instantaneous code we can use the Kraft Inequality. We have

$$\sum_{i=1}^6 D^{-l_i} \leq 1$$

The smallest D that satisfies this is $D = 3$, therefore a good lower bound on D would be $\boxed{3}$.

Problem 3

(a)

The entropy of $H(X)$ is

$$H(X) = - \sum_{x \in X} p(x) \log_2(p(x))$$

and we have that

$$\begin{aligned} H(X|Y) &= \sum_{x \in X, y \in Y} p(y) H(X|Y = y) \\ &= \left(\sum_{x \in S} p(x) \right) H(X|Y = 1) + \left(\sum_{x \notin S} p(x) \right) H(X|Y = 0) \end{aligned}$$

We have

$$\begin{aligned} H(X|Y = 1) &= - \sum_{x \in S} p(x|Y = 1) \log_2(p(x|Y = 1)) \\ &= - \sum_{x \in S} \frac{p(x)}{\sum_{x \in S} p(x)} \log_2 \left(\frac{p(x)}{\sum_{x \in S} p(x)} \right) \end{aligned}$$

likewise we have

$$\begin{aligned} H(X|Y = 0) &= - \sum_{x \notin S} p(x|Y = 0) \log_2(p(x|Y = 0)) \\ &= - \sum_{x \notin S} \frac{p(x)}{\sum_{x \notin S} p(x)} \log_2 \left(\frac{p(x)}{\sum_{x \notin S} p(x)} \right) \end{aligned}$$

Therefore we have

$$\begin{aligned} H(X|Y) &= - \sum_{x \in S} p(x) \left(\log_2(p(x)) - \log_2 \left(\sum_{x \in S} p(x) \right) \right) - \sum_{x \notin S} p(x) \left(\log_2(p(x)) - \log_2 \left(\sum_{x \notin S} p(x) \right) \right) \\ &= H(X) + \sum_{x \in S} p(x) \log_2 \left(\sum_{x \in S} p(x) \right) + \sum_{x \notin S} p(x) \log_2 \left(\sum_{x \notin S} p(x) \right) \end{aligned}$$

Therefore we have

$$H(X) - H(X|Y) = \boxed{- \sum_{x \in S} p(x) \log_2 \left(\sum_{x \in S} p(x) \right) - \sum_{x \notin S} p(x) \log_2 \left(\sum_{x \notin S} p(x) \right)}$$

(b)

$H(X) - H(X|Y)$ is maximized when $\sum_{x \in S} p(x) = \sum_{x \notin S} p(x) = \frac{1}{2}$, this is possible when $S = \boxed{2, 5}$ or $S = \boxed{1, 2, 4}$

Problem 4

(a)

If a codeword is l_j long, but if it has to start with $C(i)$, then it would be effectively be concatenating $C(i)$ with a code word from A_{j-i} , ie all the code words with length $l_j - l_i$. Therefore the total number of words of A_j would be the total combinations of A_{j-i} , ie $2^{l_j-l_i}$

Likewise, if a codeword is l_j long, but if it has to end with $C(i)$, then it would be effectively be concatenating a code word from A_{j-i} with $C(i)$, ie all the code words with length $l_j - l_i$. Therefore the total number of words of A_j would be the total combinations of A_{j-i} , ie $2^{l_j-l_i}$

(b)

If we assume that $l_j > l_i$ we would have that the total number of words to remove from A_j would be the total number of words to remove that start with $C(i)$ plus the total number of words that end with $C(i)$. Therefore we would have that the total number of words to remove would be $2^{l_j-l_i+1}$. And if $l_j = l_i$ then we would only remove 1 word, $C(i)$.

(c)

If we have that $\sum_{i=1}^k 2^{-l_i} \leq \frac{1}{2}$, then we must have that $l_i \geq 1$, therefore, A_i will never be empty, and thus the algorithm will never fail

Problem 5

(a)

We have that

$$H(X) = - \sum_{i \in \chi_1} (1 - \gamma)p(i) \log_2((1 - \gamma)p(i)) - \sum_{i \in \chi_2} \gamma q(i) \log_2(\gamma q(i))$$

Likewise for $H(X, Y)$ we have

$$H(X, Y) = - \sum_{y \in \{1, 2\}} \sum_{x \in \{1, 2, \dots, m\}} P(x, y) \log_2(P(x, y))$$

we have that

$$p(x, 1) = \begin{cases} (1 - \gamma)p(x) & \text{if } x \in \chi_1 \\ 0 & \text{if } x \notin \chi_1 \end{cases}$$
$$p(x, 2) = \begin{cases} 0 & \text{if } x \in \chi_1 \\ \gamma q(x) & \text{if } x \notin \chi_1 \end{cases}$$

Therefore we have

$$H(X, Y) = - \sum_{i \in \chi_1} (1 - \gamma)p(i) \log_2((1 - \gamma)p(i)) - \sum_{i \in \chi_2} \gamma q(i) \log_2(\gamma q(i))$$

And thus we have

$$\boxed{H(X, Y) = H(X)}$$

(b)

$$\begin{aligned}
H(X) &= - \sum_{i \in \chi_1} (1 - \gamma) p(i) \log_2((1 - \gamma) p(i)) - \sum_{i \in \chi_2} \gamma q(i) \log_2(\gamma q(i)) \\
&= -(1 - \gamma) \sum_{i \in \chi_1} p(i) (\log_2(p(i)) + \log_2(1 - \gamma)) - \gamma \sum_{i \in \chi_2} q(i) (\log_2(q(i)) + \log_2(\gamma)) \\
&= -(1 - \gamma) \left(\log_2(1 - \gamma) + \sum_{i \in \chi_1} p(i) \log_2(p(i)) \right) - \gamma \left(\log_2(\gamma) + \sum_{i \in \chi_2} q(i) \log_2(q(i)) \right) \\
&= -(1 - \gamma) (\log_2(1 - \gamma) - H(X_1)) - \gamma (\log_2(\gamma) - H(X_2)) \\
&= \boxed{(1 - \gamma) (H(X_1) - \log_2(1 - \gamma)) + \gamma (H(X_2) - \log_2(\gamma))}
\end{aligned}$$

(c)

Assuming $H(X_1)$ and $H(X_2)$ are in units of shannons we have, that to maximize $H(x)$ with take the derivative of $H(X)$ with respect to γ we get

$$\frac{\partial H(X)}{\partial \gamma} = (\log_2(1 - \gamma) - H(X_1)) + (H(X_2) - \log_2(\gamma))$$

This is maximized when

$$\begin{aligned}
\frac{\partial H(X)}{\partial \gamma} &= 0 \\
(\log_2(1 - \gamma) - H(X_1)) + (H(X_2) - \log_2(\gamma)) &= 0 \\
H(X_2) - H(X_1) &= \log_2(\gamma) - \log_2(1 - \gamma) \\
e^{H(X_2) - H(X_1)} &= \frac{\gamma}{1 - \gamma} \\
1 - \gamma e^{H(X_2) - H(X_1)} &= \gamma \\
\gamma &= \boxed{\frac{2^{H(X_2) - H(X_1)}}{1 + 2^{H(X_2) - H(X_1)}}}
\end{aligned}$$

Problem 6

(a)

$$\begin{aligned} 1, 2H(x) &= - \sum_{x \in X} p(x) \log_2(p(x)) \\ &= p(A) \log_2(p(A)) + p(B) \log_2(p(B)) + p(C) \log_2(p(C)) \\ &\quad + p(D) \log_2(p(D)) + p(E) \log_2(p(E)) + p(F) \log_2(p(F)) \\ &= \frac{1}{2} \log_2(4) + \frac{1}{4} \log_2(8) + \frac{3}{16} \log_2\left(\frac{16}{3}\right) + \frac{1}{16} \log_2(16) \\ &= \boxed{2.2452 \text{ shannons}} \end{aligned}$$