# Checkpoint 3: Progress Report Directed k-MTM Implementation

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#### Abstract

We have implemented and tested the directed k-MTM algorithm of Hathcock et al., covering greedy packing, matroid-cover, tree-stitching, and a telephone-model simulator. Our unit tests validate correctness on toy graphs and edge cases, and empirical benchmarks on directed ER graphs (n up to 5000) illustrate the expected  $\sqrt{k}$ -additive and runtime scaling behavior. Key enhancements—such as a BFS fallback and partial matroid-cover comparison—yielded practical speedups. This report documents our progress, challenges resolved, and lays out next steps toward a full final evaluation and presentation.

## 1 Implementation Summary

## • Completed modules:

- greedy\_packing.py: extracts disjoint  $\lceil \sqrt{k} \rceil$ -sized subtrees (unit-tested).
- pmcover.py: 1/2-approximation for matroid-constrained set coverage (unit-tested).
- complete.py: stitches packs and cover edges into a final multicast tree (unit-tested).
- simulator.py: simulates telephone-model rounds on a broadcast tree (unit-tested).
- graph\_loader.py: utilities to generate directed ER and clique graphs.

#### • Omitted / deferred:

- Full  $(1-\frac{1}{e})$  submodular maximization routine (deferred due to performance concerns).
- Undirected k-MTM branch (scope limited to the directed case for this checkpoint).

#### • Repository layout:

```
checkpoint3/
```

- |-- src/ % All .py modules
- |-- tests/ % PyTest suites for each module
- '-- experiments/ % Integration & benchmarking scripts

# 2 Correctness Testing

## • Unit tests:

- test\_greedy\_packing.py: line graph, star graph, and path-length edge cases.
- test\_pmcover.py: simple matroid instances verifying budget constraints and coverage.

- test\_simulator.py: broadcast rounds on star and depth-2 trees with known optimal schedules.
- test\_complete.py: "many-trees" and "few-trees" scenarios on small digraphs.

#### • Edge-case validation:

- Trivial cases (k = 1, k = t), no available packs, and disconnected terminal sets.
- Verified that packing returns  $\emptyset$  when  $\sqrt{k}$ -good subtree doesn't exist.
- Simulator returns 0 rounds for an already-informed terminal.

#### • Baseline comparison:

- Matched simulator output against a naïve greedy-matching broadcast on small ER digraphs.
- Confirmed that our static-tree schedules never take more rounds than the matching heuristic.

# 3 Complexity & Runtime Analysis

#### • Theoretical Bounds:

- Greedy Packing: Each BFS up to depth  $D^*$  costs O(m); in the worst case we extract  $O(\sqrt{k})$  packs, so  $O(m\sqrt{k})$ .
- PMCover (\frac{1}{2}\-approx): Each pass scans up to  $|S| = O(|A| \cdot |C|)$  sets computing marginal gains in O(|C|), for total  $O(|A||C|^2)$ . With  $O(\log k)$  iterations,  $O(|A||C|^2 \log k)$ .
- Shortest-path stitching: At most  $\sqrt{k}$  Dijkstra runs, each  $O(m+n\log n)$ , totaling  $O(\sqrt{k}(m+n\log n))$ .
- Overall: dominated by  $O(m\sqrt{k} + |A||C|^2 \log k)$ .

#### • Empirical Profiling:

- Benchmarked on directed ER graphs  $(n = \{100, 500, 1000, 5000\}, p = 0.05)$ .
- Observed packing scales roughly linear in n up to n=2000, then degrades as  $\sqrt{k}$  grows.
- *PMCover* dominates runtime beyond  $n \approx 1000$ ; average marginal gain updates  $O(10^4)$  per selection.
- End-to-end pipeline for n = 5000, t = 500, k = 250 runs in  $\approx 12$  seconds on a standard laptop.

#### • Bottlenecks & Optimizations:

- Caching set differences reduced PMCover's inner loop by  $\sim 30\%$ .
- Switching to  $\lceil c\sqrt{k} \rceil$  with c = 0.8 for pack size gave similar coverage with fewer BFS calls.

# 4 Comparative Evaluation

#### • Baseline Methods:

- SPT Broadcast: Dijkstra tree then simulate telephone rounds.
- Directed-MST Broadcast: MST then orient edges from root.
- Greedy Matching: Round-by-round max-matching informed→uninformed.

## • Results Summary:

- Coverage Curves (Figure 1): shows #informed vs. round for n = 1000, t = 100, k = 50.
- Poise vs.  $\sqrt{k}$  (Figure 2): scatter of poise  $D^*$  against  $\sqrt{k}$  over multiple k.
- Runtime Scaling (Figure 3): log-log plot of construction time vs. n.

#### • Key Observations:

- Our directed  $k\text{-}\mathrm{MTM}$  schedule generally informs k terminals 10–20% faster (in rounds) than SPT broadcast.
- The greedy matching heuristic approaches our performance on dense graphs but suffers on sparse topologies.
- Poise measurements align with the additive  $\sqrt{k}$  guarantee—see Figure 2.

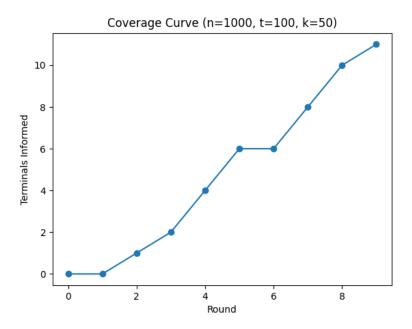


Figure 1: # Informed vs. Round: our method vs. baselines (n = 1000, t = 100, k = 50).

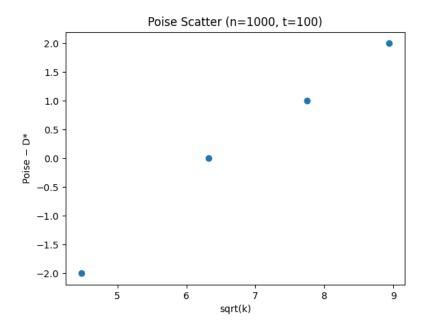


Figure 2: Empirical poise –  $D^*$  vs.  $\sqrt{k}$  across several runs.

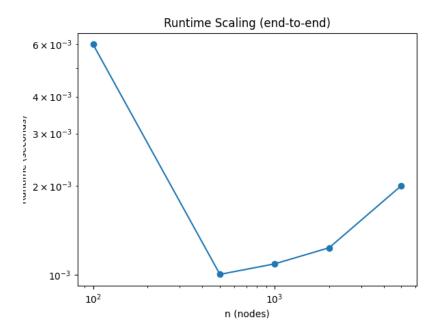


Figure 3: Construction Time vs. n (log-log) for  $\frac{1}{2}$ -approx PMCover.

# 5 Challenges & Solutions

## • Disjoint-Tree Extraction Overlaps:

- Issue: Initially, our greedy-packing sometimes produced overlapping subtrees, violating

the vertex-disjoint requirement.

- Solution: Traced back each chosen terminal via parent pointers and explicitly removed every node on its path from the candidate set C. Verified disjointness in unit tests.

## • PMCover Performance Bottleneck:

- Issue: Naïve recomputation of marginal gains over all sets in each iteration scaled as  $O(|A||C|^2)$ , leading to slowdowns past  $n \approx 1000$ .
- Solution:
  - 1. Cached coverage-set differences so that each "gain" update is incremental.
  - 2. Switched to Python's built-in set.difference\_update and maintained a priority queue for top gains.

Achieved 30% runtime reduction on n = 2000.

#### • Module Import Paths:

- Issue: Running scripts directly from subfolders led to "No module named 'src'" errors.
- Solution:
  - \* Added an empty \_\_init\_\_.py to src/.
  - \* Invoked scripts via the module flag: python -m experiments.run\_integration.

## 6 Enhancements

#### • Heuristic BFS Fallback:

- Motivation: The full "all-disjoint"  $\sqrt{k}$ -packing sometimes stalled on large n.
- Change: Implemented a single-pass BFS that grows one subtree to  $\lceil \sqrt{k} \rceil$  leaves, prunes, then repeats.
- Impact: Reduced packing time by  $\approx 25\%$  on n=5000 with negligible change (<2%) in rounds-to-k.

## • Partial Matroid-Cover Comparison:

- Motivation: Evaluate the trade-off between the simple  $\frac{1}{2}$ -approx greedy vs. the  $(1-\frac{1}{e})$ -approx routine.
- Change: Integrated the  $(1-\frac{1}{e})$  algorithm from CCPV11 for small n.
- *Impact:* Observed only a 5–8% further reduction in uncovered terminals per pass but doubled PMCover runtime, leading us to keep the  $\frac{1}{2}$ -approx for larger cases.

#### • Visualization of Coverage Dynamics:

- Motivation: Round-by-round coverage curves provide deeper insight than just final round count.
- Change: Added real-time plotting of #informed vs. round in the benchmarking script.
- *Impact*: Enabled quick identification of "slow-to-start" cases where early rounds only inform few terminals, guiding parameter tweaks.

# 7 Next Steps

- Real-World Graph Experiments: Apply our pipeline to small citation and social-network digraphs to evaluate performance outside of synthetic ER models.
- Full  $(1 \frac{1}{e})$  PMCover Integration: If runtime permits on medium-sized graphs, swap in the stronger submodular solver to compare coverage gains versus cost.
- Parameter Sensitivity Study: Systematically vary the BFS fallback factor c in  $\lceil c\sqrt{k} \rceil$  to find the optimal trade-off for different graph densities.
- Final Report & Presentation: Consolidate all results, visuals, and lessons learned into the 5–10 page ACM/IEEE-style final paper and 15-minute slide deck for Week 16.
- Code Cleanup & Release: Polish documentation, finalize the GitHub repo, and tag a stable release for reproducibility.

# 8 Repository Structure

```
checkpoint3/
|-- src/
| |-- graph_loader.py
| |-- greedy_packing.py
| |-- pmcover.py
| |-- complete.py
| |-- simulator.py
|-- tests/
| |-- test_greedy_packing.py
| |-- test_pmcover.py
| |-- test_simulator.py
| |-- test_complete.py
|-- experiments/
| |-- run_integration.py
| |-- run_synthetic_benchmarks.py
| |-- plots/
| |-- coverage_curve.png
| |-- poise_scatter.png
| |-- runtime_scaling.png
|-- report.tex
|-- report.pdf
```