## No Universal Mechanism for Attention Sink in Transformers: Evidence from GPT-2

## **Anonymous ACL submission**

#### **Abstract**

Transformers commonly exhibit an attention sink: disproportionately high attention to the first position. We study this behavior in GPT-2-style models with learned query biases and absolute positional embeddings. Combining analysis with targeted interventions, we find that the sink arises from the interaction among (i) a learned query bias, (ii) the first-layer transformation of the positional encoding and (iii) structure in the key projection. Together with observations of sinks in models without query biases or absolute positional embeddings (e.g., RoPE or ALiBi), this indicates that attention sinks do not arise from a single universal mechanism but instead depend on architecture. These findings inform mitigation of attention sink, and motivate broader investigation of sink mechanisms across different architectures.

#### 1 Related Work

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Our investigation into the attention sink's origins builds upon four key areas of Transformer research: the methods for encoding positional information, the phenomenon of attention sink, and the recent discovery of massive activations functioning as implicit biases.

#### 1.1 Positional Encoding in Transformers

By design, the self-attention mechanism has no inherent sense of token order. To address this, Transformers must be augmented with positional information. The original Transformer used fixed sinusoidal embeddings (Vaswani et al., 2017). Many models in the GPT family, including the GPT-2 model we investigate, use learned absolute positional embeddings - a vector for each position that is added to the token embedding at input. More recent architectures have introduced alternative methods, such as the LLaMA architecture (Touvron et al., 2023) which utilizes Rotary Positional Embeddings (RoPE) (Su et al., 2021), and Attention

with Linear Biases (ALiBi) (Press et al., 2021), which is a key feature in models like BLOOM (BigScience Workshop, 2023).

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#### 1.2 The Attention Sink Phenomenon

Recent empirical work has identified a curious and robust phenomenon in auto-regressive language models termed the "attention sink" (Xiao et al., 2023). This refers to the tendency of models to allocate a significant portion of their attention to token(s) even when they are not semantically important, typically the first one(s) in the sequence. As Gu et al. (2025) demonstrate, this phenomenon is not an anomaly but emerges consistently during pre-training. Some studies have found the attention sink could have a negative impact on the achievable accuracy of LLMs (Yu et al., 2024). A similar phenomenon has been observed in LMMs (Large Multimodal Models) (Kang et al. (2025), (?)) and ViTs (Visual transformers) (Feng and Sun, 2025). Barbero et al. (2025) argue that attention sinks are a way for LLMs to avoid over-mixing and representational collapse. The main mechanism for attention sink that has been identified so far is the softmax normalization (Xiao et al. (2023), Gu et al. (2025), Zuhri et al. (2025)). Some models, such as Mamba-based models as Endy et al. (2025) shows, don't exhibit attention sink.

#### 1.3 Massive Activations

The phenomenon of *massive activations*—where a tiny subset of coordinates exhibit orders-of-magnitude larger activations—has been identified and characterized across a variety of LLMs (Sun et al. (2024), Cancedda (2024), Lin et al. (2024)) and LMMs (Kang et al., 2025). Crucially, these massive activations often appear at specific token positions, most notably the very first token of a sequence. (Sun et al., 2024) hypothesize that these activations function as bias terms that are learned by the model.

## 2 Preliminaries

#### 2.1 Attention mechanism

Let  $X^{(i)} = [x_1^{(i)}, \dots, x_n^{(i)}]$  denote the input to attention layer i, where  $x_t^{(i)} \in \mathbb{R}^d$  is the representation for position t (after LayerNorm). We denote projection matrices and biases by  $W_q^{(i)}, W_k^{(i)}, W_v^{(i)} \in \mathbb{R}^{d \times d}$  and  $b_Q^{(i)}, b_K^{(i)}, b_V^{(i)} \in \mathbb{R}^d$ . Queries, keys, and values are:  $q_t^{(i)} = W_q^{(i)} x_t^{(i)} + b_Q^{(i)}, k_t^{(i)} = W_k^{(i)} x_t^{(i)} + b_K^{(i)}$ , and  $v_t^{(i)} = W_v^{(i)} x_t^{(i)} + b_V^{(i)}$ . Some architectures include biases (Vaswani et al., 2017), others omit them (Touvron et al., 2023).

For autoregressive generation, attention weights are  $\alpha_{tj} = \operatorname{softmax}_j((q_t^{(i)})^\top k_j^{(i)}/\sqrt{d})$  where the softmax is over valid positions  $j \leq t$ . Multi-head attention divides the feature dimension across h heads, computing attention independently within each head's subspace before concatenating outputs. For simplicity, our experiments treat  $W_k$  and  $b_Q$  in their original form prior to head-wise reshaping.

## 2.2 Positional encoding

Attention layers are invariant to input permutations, lacking inherent awareness of token order. To address this, Transformers incorporate positional information through various schemes . We focus on learned absolute positional encodings: a set of trainable vectors  $\{p_i\}_{i=1}^L \subset \mathbb{R}^d$ , where i is the token position and L is the sequence length. These are added to token embeddings  $e_i$ :  $x_i^{(0)} = e_i + p_i$ .

#### 2.2.1 Effective positional encoding (EPE)

We define the *effective positional encoding* (EPE) for position i as  $EPE_i = MLP^{(1)}(p_i) + p_i$ , where MLP<sup>(1)</sup> denotes the first layer's feed-forward network applied to the raw positional encoding  $p_i$ , and the residual connection preserves the original positional signal. We term this "effective" because it captures the net positional signal that emerges after the first layer's transformation. Specifically, we observe experimentally that adding  $EPE_i$  to the first layer's output (when no positional encoding was initially provided) produces approximately the same effect as the standard approach of adding  $p_i$  before the first layer. This demonstrates that  $EPE_i$  represents the effective contribution of positional information after being processed through the network's initial transformations Experiments demonstrating this can be found in ).

### 3 Methodology and Results

First, we state the result of our analysis: a description of the mechanism underlying attention sinks in models with learnable query biases and absolute positional encodings. Then, we provide evidence for our hypothesis through experimental analyses and causal interventions.

# 3.1 Result: Mechanism behind the attention sink

Consider layer i. Before softmax (and scaling), the attention score from source position t to target position j is  $s_{t \to j}^{(i)} = (q_t^{(i)})^\top k_j^{(i)}$ , with  $q_t^{(i)} = W_q^{(i)} x_t^{(i)} + b_Q^{(i)}$  and  $k_j^{(i)} = W_k^{(i)} x_j^{(i)} + b_K^{(i)}$ . Expanding gives

$$\begin{split} s_{t \to j}^{(i)} &= (W_q^{(i)} x_t^{(i)})^\top (W_k^{(i)} x_j^{(i)}) + (W_q^{(i)} x_t^{(i)})^\top b_K^{(i)} \\ &+ (b_Q^{(i)})^\top (W_k^{(i)} x_j^{(i)}) + (b_Q^{(i)})^\top b_K^{(i)}. \end{split}$$

The third term,  $\Delta_j^{(i)} \triangleq (b_Q^{(i)})^\top W_k^{(i)} x_j^{(i)}$ , is a token-specific, source-agnostic shift: it raises or lowers the score for all sources t toward the same target j. This term represents the projection of token j's representation onto the direction  $(b_Q^{(i)})^\top W_k^{(i)}$ . We find that this bias term for the first token,  $\Delta_1^{(i)}$ , is conspicuously large in most deep layers, creating a strong prior to attend to position 1. The underlying reason for the large  $\Delta_1^{(i)}$  is the effective positional encoding  $\text{EPE}_1$ .  $\text{EPE}_1$  has very large absolute values on a small set of coordinates (a phenomenon called massive activations) which are exactly those coordinates where  $(b_Q^{(i)})^\top W_k^{(i)}$  has the largest magnitude in almost all layers. This co-adaptation enables  $\text{EPE}_1$  to dramatically amplify  $\Delta_1^{(i)}$ , yielding an attention sink at the first position.

### 3.2 Empirical Validation

We validate our proposed mechanism through three complementary analyses on GPT-2, followed by causal interventions that confirm the necessity of each component described in section 3.1. In section 3.2.1 we show that  $\Delta_1^{(i)}$  is conspicuously large relative to other positions across multiple layers. We then investigate its underlying cause and show in section 3.2.2 that  $W_k^{(i)}$  EPE<sub>1</sub> exhibits strong alignment with vector  $b_Q^{(i)}$  in deep layers. In section 3.2.3 we establish that EPE<sub>1</sub> exhibits massive activations precisely at coordinates where the bias projection  $b_Q^{(i)}W_k^{(i)}$  has high magnitude. Finally, in

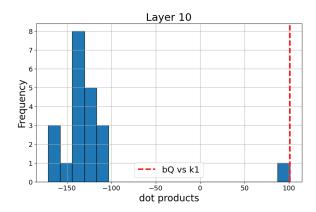


Figure 1: Distribution of bias terms  $\Delta_j^{(10)}$  across positions. The first-position term  $\Delta_1^{(10)}$  (red) centers at  $\approx 100$ , while all other positions (blue) center at  $\approx -140$ , demonstrating a learned preference for the first token.

section 3.2.4 we use causal interventions to verify that disrupting any component abolishes the sink while transplanting components transfers it to new positions.

## 3.2.1 Bias Term Magnitude Analysis

First, We verify that  $\Delta_j^{(i)} = (b_Q^{(i)})^\top W_k^{(i)} x_j^{(i)}$  is anomalously large for position 1. Histograms of  $\Delta_j^{(i)}$  across positions j show  $\Delta_1^{(i)}$  as a consistent distinct outlier. Figure 2 shows this for layer 10, where  $\Delta_1^{(i)}$  substantially exceeds other positions (results across all layers are in Appendix A.2).

## 3.2.2 EPE-Bias Projection Alignment

Having established the magnitude of  $\Delta_1^{(i)}$ , we investigate its underlying cause. Since  $x_1^{(i)}$  contains both token and positional information, it remains to disentangle which of the two is responsible for the large  $\Delta_1^{(i)}$ . To that end, we examine alignment between  $W_k^{(i)} \text{EPE}$  and query bias  $b_Q^{(i)}$ . Figure 1 shows  $W_k^{(10)} \text{EPE}_1$  strongly aligns with  $b_Q^{(10)}$ , while other positions cluster near zero (full results for all layers are in Appendix A.3).

#### 3.2.3 Coordinate-Level Structural Analysis

Massive coordinates of  $\mathrm{EPE}_1$  should coincide with coordinates favored by the bias projection. Let  $\gamma^{(i)} = (W_k^{(i)})^\top b_Q^{(i)} \in \mathbb{R}^d$ ; its entry  $\gamma^{(i)}[d]$  measures coordinate d's contribution to source-agnostic shift  $\Delta_j^{(i)}$ . We identify coordinates with conspicuously large absolute values in  $\mathrm{EPE}_1$  (see Appendix A.4 for details). For each such coordinate d, we compare  $|\gamma^{(i)}[d]|$  against other columns. Table 1

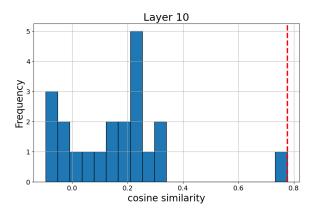


Figure 2: Cosine similarity between query bias  $b_Q^{(10)}$  and  $W_k^{(10)} \text{EPE}$ .  $W_k^{(10)} \text{EPE}_1$  (red) shows strong positive alignment ( $\approx 0.7$ ), while other positions (blue) cluster near -0.2.

Layer	Baseline (rand)	d = 138	d = 447
layer 7	$1.12\pm2.701$	12.453	18.17
layer 9	$1.23\pm3.225$	17.846	26.014
layer 11	$1.403 \pm 4.002$	27.547	27.691

Table 1:  $\gamma^{(i)} = (W_k^{(i)})^\top b_Q^{(i)}$  at coordinates where  $\mathrm{EPE}_1$  has massive activations (dims 138, 447) versus the baseline mean  $\pm$  two standard deviations across all coordinates. The massive- $\mathrm{EPE}_1$  coordinates consistently exceed the baseline by wide margins, demonstrating that  $\mathrm{EPE}_1$  is irregularly large precisely where the bias projection has strong influence.

shows massive coordinates (d=138,447) substantially exceed baseline, confirming EPE<sub>1</sub> is large exactly where bias projection is large (full results for all layers are in Appendix A.5).

#### 3.2.4 Causal Interventions

To establish causality beyond correlation, we perform targeted interventions on each mechanism component during forward passes to test necessity (removing a component) and sufficiency (transplanting it) of each component. Full intervention results across all layers are provided in Appendix A.6.

- Intervention 1 Nullify  $b_Q$  (query bias is necessary). Set  $b_Q$  to zero; the sink substantially diminishes (fig. 3b), showing that  $b_Q$  is necessary for the large first-token contribution.
- Intervention 2 Replace EPE<sub>1</sub> (specificity of the positional signal). Swap EPE<sub>1</sub> with another position's EPE; the first-position sink disappears (fig. 3c), indicating that EPE<sub>1</sub> is critical to induce a sink.

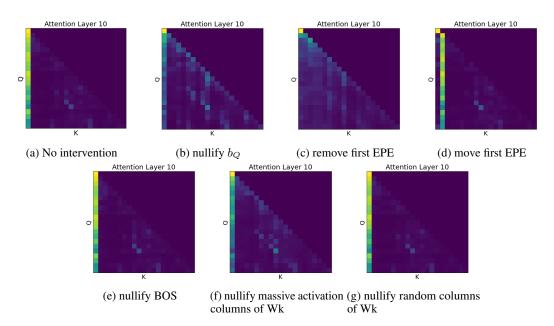


Figure 3: Comparison of attention maps under different interventions. (a) no intervention; (b) intervention 1: nullify  $b_Q$ ; (c) intervention 2: remove the learned EPE at position 1 and add a different EPE (the second); (d) intervention 3: transplant the learned EPE to another position (the second). (e) intervention 4: nullify BOS token embedding. intervention 5: (f) nullify massive activation columns of Wk. (g) nullify random columns of Wk.

- Intervention 3 Moving EPE<sub>1</sub> induces a sink at the new token (sufficiency). We transplant EPE<sub>1</sub> from position 1 to position 2 (and give position 1 a different EPE). A strong sink forms at position 2 (fig. 3d), demonstrating that EPE<sub>1</sub> is sufficient to elicit a sink at the new location.
- Intervention 4 BOS token does not drive the sink. We zero the BOS token embedding before adding positional signals. The sink persists (fig. 3e), ruling out the embedding of the BOS token as a main driver of the sink.
- Intervention 5 Zero  $W_k$  at bias-projection coordinates (structural pathway is necessary). Zero  $W_k$  columns at massive-EPE<sub>1</sub> coordinates compared to zeroing  $W_k$  columns at random coordinates; only the prior case substantially reduces the sink (fig. 3f, fig. 3g), confirming that these specific coordinates are core drivers for translating EPE<sub>1</sub> into the attention bias.

### 4 Conclusions

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Attention sinks are a robust emergent behavior that appears across a wide range of Transformer architectures and modalities, but the mechanisms behind them differ across architectures. In the GPT-2—style sub-architecture we studied, we identify a concrete implementation pathway: an interaction between (i) a learned query bias, (ii) the first-layer

transformation of positional information, and (iii) structure in the key projection. Crucially, this circuit cannot account for sinks in architectures that lack these components—for example, models without learned query biases or models using alternative positional schemes such as RoPE or ALiBi, all of which have been shown to exhibit attention sinks. This implies that while attention sinks are robust as a phenomenon, they are not governed by a single universal mechanism.

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**Implications** The lack of a single universal mechanism reveals attention sinks as an optimizationfriendly attractor: when multiple representational pathways exist, training reliably discovers circuits that implement the sink behavior. This has important implications for both understanding and controlling these phenomena. First, it suggests that attention sinks may serve a fundamental computational role that emerges regardless of specific architectural choices. Second, it indicates that effective mitigation strategies must be mechanism-aware rather than one-size-fits-all. Naive interventions targeting individual components (e.g., shrinking query biases) will likely fail, as optimization can compensate through alternative pathways. Instead, successful approaches must either address the underlying computational pressures that drive sink formation, or develop architecture-specific interventions tailored to each mechanism.

#### 5 Limitations

## 5.1 Scope across architectures and scales

Our analyses focus on a GPT-2–style model with learned query biases and absolute positional encodings. The broader Transformer ecosystem includes architectures that omit such biases or use alternative positional schemes (e.g., RoPE, ALiBi). We do not establish whether the same circuit forms in those settings, nor whether the EPE– $W_k$ – $b_Q$  interaction generalizes unchanged. In addition, GPT-2 is small by contemporary standards; with scale, the mechanism could strengthen, fragment into multiple pathways, or be replaced by different circuits.

## 5.2 Learning dynamics

We provide a post-hoc, static analysis of a trained checkpoint. We do not track when the circuit emerges during pre-training, which gradients give rise to it, or whether intermediate snapshots exhibit qualitatively different pathways. Train-time causality—e.g., whether specific regularizers prevent the circuit from forming—remains outside our scope.

#### 5.3 Mechanism vs. function

Our contribution is mechanistic: we explain *how* an attention sink can be implemented in the studied architecture. We do not claim a definitive *functional* rationale for *why* such a sink is beneficial or harmful across tasks. Establishing the downstream utility or cost of the sink, and the conditions under which it is selected by optimization, is left for future work.

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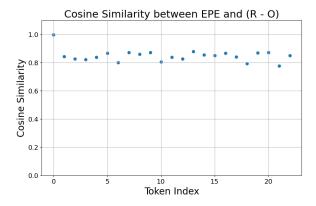


Figure 4: Coordinate values of  $\mathrm{EPE}_i$  for the first token (replicating the distribution described in section 3.2.3). Most coordinates are near zero; a small set exhibits extremely large magnitudes ("massive activations").

Zayd Muhammad Kawakibi Zuhri, Erland Hilman Fuadi, and Alham Fikri Aji. 2025. Softpick: No attention sink, no massive activations with rectified softmax. *ArXiv*, abs/2504.20966.

## A Further Experiments

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# A.1 Effective positional encoding demonstration

In this section, we illustrate that  $EPE_i$  roughly captures the net positional signal that is added to the input after the first layer's transformation when adding the positional encoding  $p_i$  to the input  $x_i^{(0)}$ . To that end, we define an approximation of result of processing the input by first MLP:  $R_i \coloneqq x_i^{(0)} + MLP^{(1)}(x_i^{(0)}).$  We then define the input without positional information  $e_i := x_i^{(0)} - p_i$ , and the result of processing the input without the positional information:  $O_i = e_i + MLP^{(1)}(e_i)$ . We then compare  $EPE_i$  to the difference  $R_i - O_i$ , computing the cosine similarity for each token i. This directly measures whether the incremental contribution caused by adding  $p_i$  aligns in direction with  $EPE_i$ . The results range between 0.776 at the lowest and 0.996 at the highest. (Figure 4) These similarities are very high, indicating that  $EPE_i$  represents the effective contribution of positional information after being processed through the network's initial transformations.

### A.2 Bias Term Magnitude Across All Layers

This section reproduces the bias-term magnitude analysis from section 3.2.1 across all layers: we plot the distribution of  $\Delta_j^{(i)} = (b_Q^{(i)})^\top W_k^{(i)} x_j^{(i)}$  across positions for each layer (cf. fig. 1). In most layers, the first-position term  $\Delta_1^{(i)}$  is a conspicu-

Layer	Baseline	d = 138	d=447
layer 1	$4.47\pm22.226$	12.116	11.064
layer 2	$2.8 \pm 6.62$	8.065	24.468
layer 3	$1.717 \pm 5.826$	10.178	23.047
layer 4	$1.657 \pm 5.02$	18.199	17.149
layer 5	$1.561 \pm 4.618$	3.072	23.854
layer 6	$0.86{\pm}1.59$	5.644	6.142
layer 8	$1.404 \pm 3.546$	19.806	28.01
layer 10	$1.313\pm3.618$	23.131	28.42
layer 12	$1.145{\pm}2.65$	4.5	13.59

Table 2:  $\gamma^{(i)} = (W_k^{(i)})^\top b_Q^{(i)}$  at coordinates where  $\mathrm{EPE}_1$  has massive activations (dims 138, 447) versus the baseline mean  $\pm$  two standard deviations across all coordinates. Massive- $\mathrm{EPE}_1$  coordinates consistently exceed the baseline, indicating that  $\mathrm{EPE}_1$  is irregularly large precisely where the bias projection is large.

ous outlier, indicating a strong prior to attend to position 1 (see Figure 5).

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## A.3 EPE-Bias Alignment Across All Layers

This section repeats the alignment analysis from section 3.2.2: for each layer i and position j we compute  $\cos\left(b_Q^{(i)},W_k^{(i)}\text{EPE}_j\right)$ , highlighting position 1. In most layers, position 1 shows strong positive alignment while other positions do not (see Figure 6).

# A.4 Identifying Massive Activations in First-Position EPE

This section explains how we identify coordinates with unusually large absolute values in  $\mathrm{EPE}_1$ . We select these coordinates by visual inspection of the  $\mathrm{EPE}_1$  coordinate distribution, choosing dimensions whose magnitudes are conspicuously larger than the rest (see Figure 7). Each such selected dimension exhibits the coordinate-level phenomenon described in section 3.2.3 (i.e., large  $|\gamma^{(i)}[d]|$  and a strong contribution to the source-agnostic shift).

## A.5 Coordinate-Level Alignment Across All Layers

This section tabulates  $\gamma^{(i)} = (W_k^{(i)})^\top b_Q^{(i)}$  at coordinates where  $|\mathrm{EPE}_1|$  is conspicuously large, mirroring the coordinate-level analysis in section 3.2.3. Values are compared against the baseline mean  $\pm$  two standard deviations across all coordinates (see Table 2).

## A.6 Intervention Results Across All Layers

This section reproduces the intervention analyses from section 3.2.4 across all layers, including the baseline and five targeted interventions. Each subsection mirrors the corresponding main-text figure and shows the layer-wise attention maps.

#### A.6.1 Baseline: No Intervention

 We show attention maps with no intervention (cf. fig. 3a), demonstrating the prevalence of the first-position sink across layers (see Figure 8).

### A.6.2 Intervention 1: Nullifying Query Bias

We zero  $b_Q$  (cf. fig. 3b), which substantially diminishes the sink across layers.

## A.6.3 Intervention 2: Replacing First Position EPE

We swap  $EPE_1$  with another position's EPE (cf. fig. 3c), which removes the first-position sink.

## A.6.4 Intervention 3: Transplanting EPE to New Position

We transplant  $EPE_1$  from position 1 to 2 (cf. fig. 3d), which induces a sink at position 2.

### A.6.5 Intervention 4: Nullifying BOS Token

We zero the BOS token embedding prior to adding positional signals (cf. fig. 3e); the sink persists.

# A.6.6 Intervention 5: Nullifying Massive Activation Coordinates

We zero  $W_k$  columns at massive-EPE<sub>1</sub> coordinates (cf. fig. 3f), which reduces the sink far more than zeroing random columns.

# A.6.7 Intervention 5 Control: Nullifying Random Coordinates

As a control, we zero an equal number of random  $W_k$  columns (cf. fig. 3g); the sink largely remains.

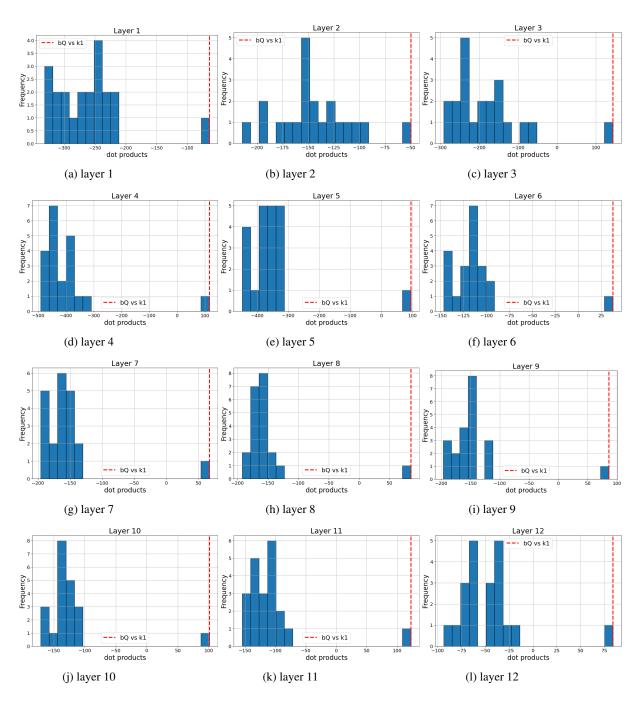


Figure 5: Bias-term distributions  $\Delta_j$  across positions j for each layer i (replicating fig. 1). Red denotes the first-position term  $\Delta_1^{(i)}$ ; blue denotes all other positions. In most layers, the red distribution is shifted far to the right, evidencing an anomalously large first-position bias.

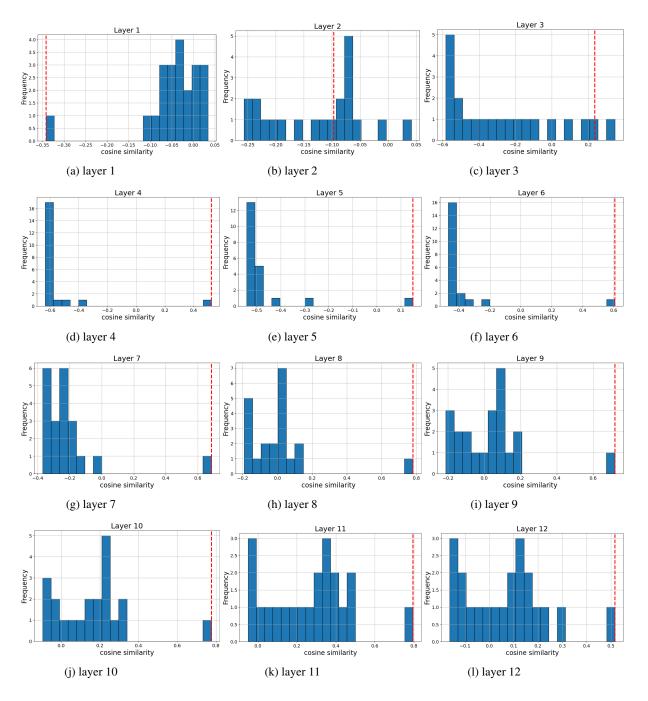


Figure 6: Cosine similarity between the query bias and EPE-projected keys across layers and positions (replicating fig. 2). For each layer i and position j, we plot  $\cos\left(b_Q^{(i)},\,W_k^{(i)}\mathrm{EPE}_j\right)$ . Red marks position  $j{=}1$ ; blue marks all other positions. Position 1 shows strong positive alignment while other positions do not, indicating that  $W_k^{(i)}\mathrm{EPE}_1$  is specifically aligned with  $b_Q^{(i)}$ .

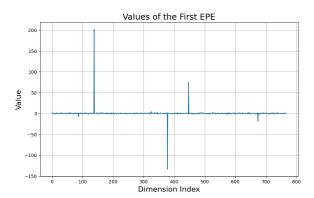


Figure 7: Coordinate values of  $\mathrm{EPE}_1$  for the first token (replicating the distribution described in section 3.2.3). Most coordinates are near zero; a small set exhibits extremely large magnitudes ("massive activations").

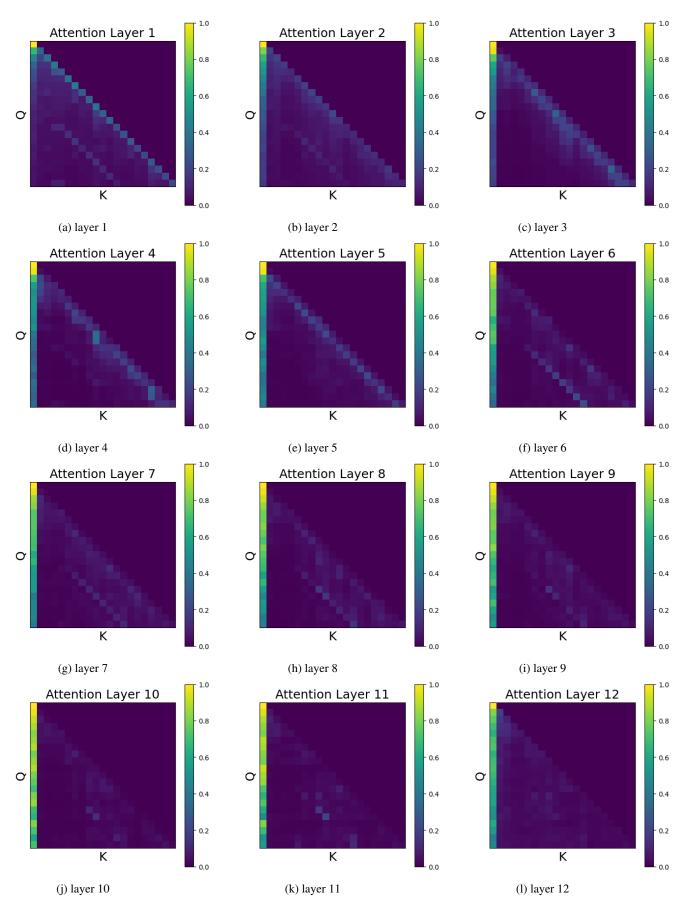


Figure 8: Attention maps for all layers with no intervention (replicating fig. 3a). A prominent first-position sink is visible in most layers.

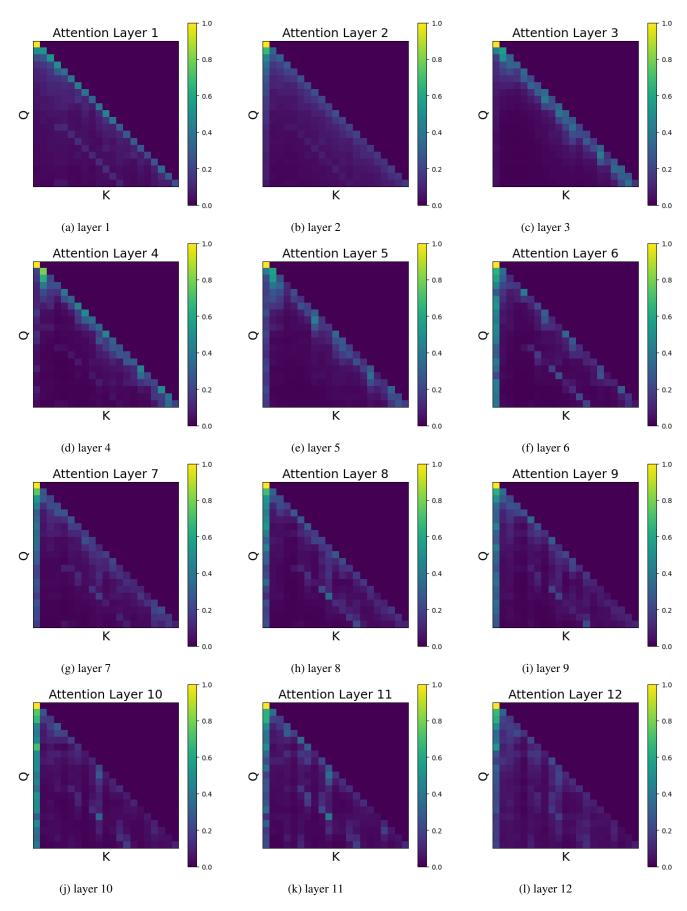


Figure 9: Attention maps for all layers with  $b_Q$  set to zero (replicating fig. 3b). The sink is substantially reduced across layers.

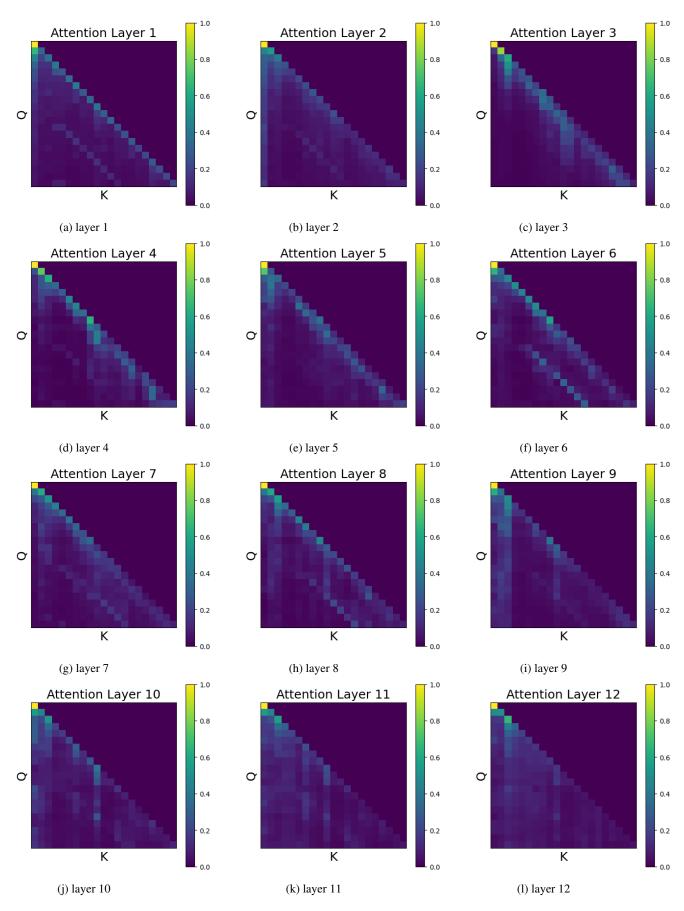


Figure 10: Attention maps for all layers after swapping  $\mathrm{EPE}_1$  with another position's EPE (replicating fig. 3c). The first-position sink disappears.

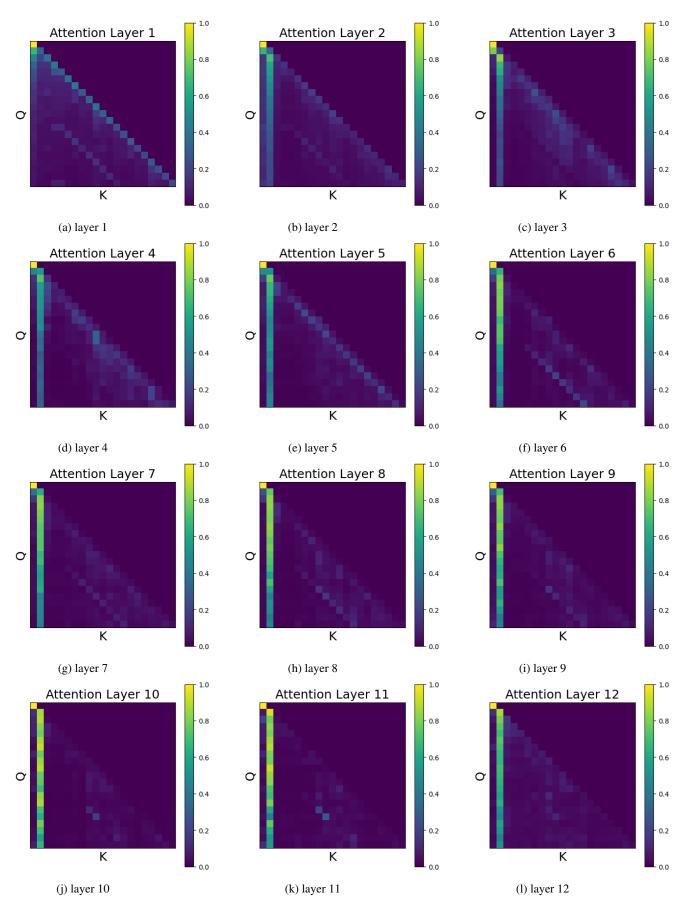


Figure 11: Attention maps for all layers after moving  $\mathrm{EPE}_1$  from position 1 to 2 (replicating fig. 3d). A strong sink forms at position 2.

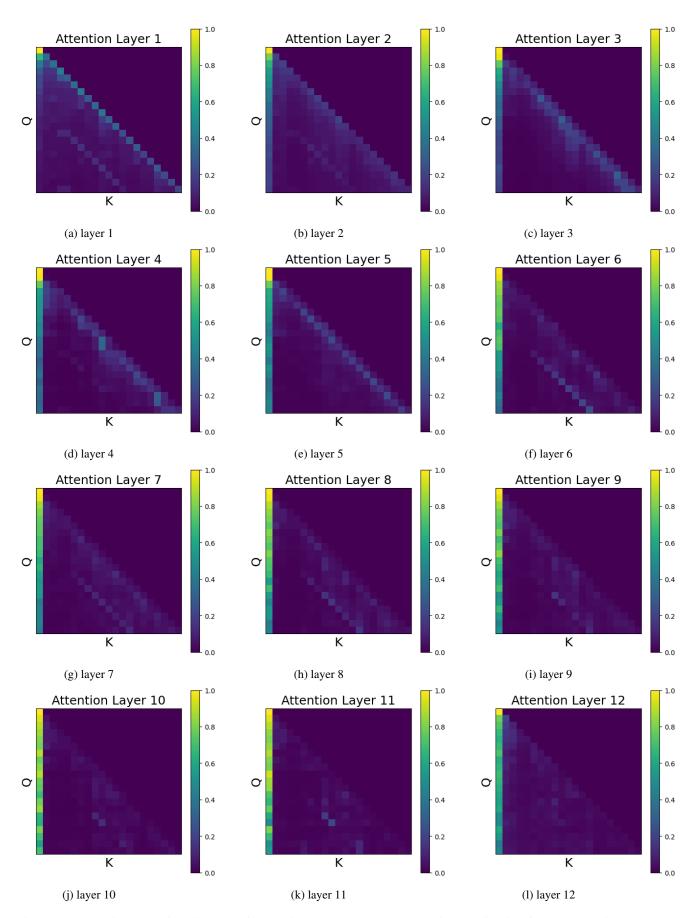


Figure 12: Attention maps for all layers after zeroing the BOS token embedding (replicating fig. 3e). The sink remains.

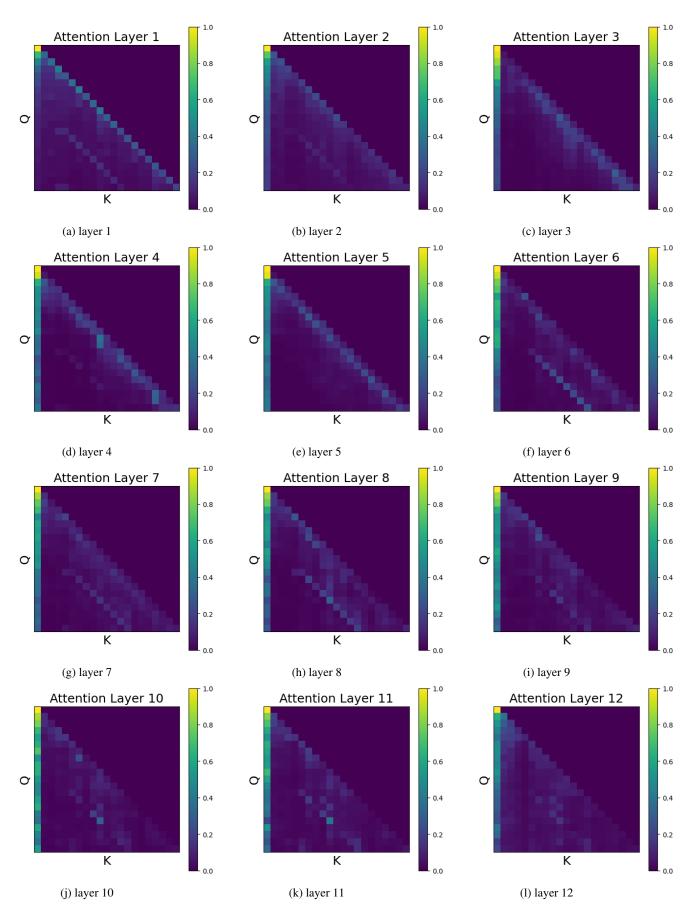


Figure 13: Attention maps for all layers after zeroing  $W_k$  at massive-EPE<sub>1</sub> coordinates (replicating fig. 3f). The sink is markedly reduced compared to random-coordinate zeroing.

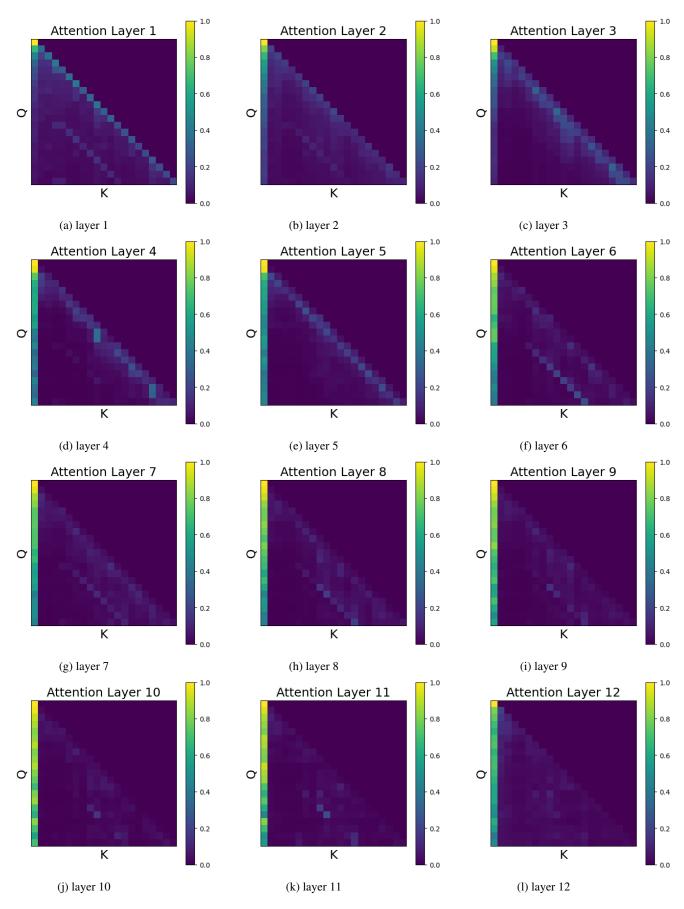


Figure 14: Attention maps for all layers after zeroing random  $W_k$  coordinates (replicating fig. 3g). The sink remains.