# Lecture 10: Recurrent Neural Networks

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**COMP-550** 

Primer by Yoav Goldberg:

https://arxiv.org/abs/1510.00726

Eisenstein, Section 7.6

J&M Chapter 9 (3rd ed)

### **Outline**

Review of neural networks and deep learning

Recurrent neural networks

Long short-term memory networks

LSTM-CRFs

### (Artificial) Neural Networks

A kind of learning model which automatically learns non-linear functions from input to output

Biologically inspired metaphor:

- Network of computational units called neurons
- Each neuron takes scalar inputs, and produces a scalar output, very much like a logistic regression model

  Neuron  $(\vec{x}) = a(a, x, b, a, x, b, x,$

Neuron
$$(\vec{x}) = g(a_1x_1 + a_2x_2 + ... + a_nx_n + b)$$

As a whole, the network can theoretically compute any computable function, given enough neurons. (These notions can be formalized.)

### Feedforward Neural Networks

All connections flow forward (no loops); each layer of hidden units is fully connected to the next.

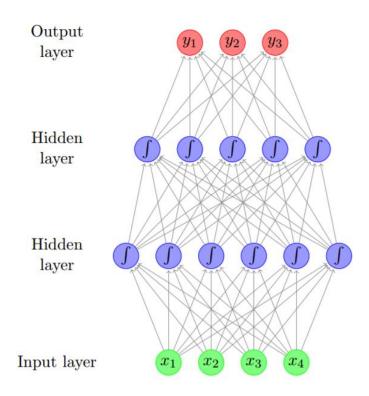


Figure 2: Feed-forward neural network with two hidden layers.

Figure from Goldberg (2015)

### Inference in a FF Neural Network

Perform computations forwads through the graph:

$$\mathbf{h^1} = g^1(\mathbf{xW^1} + \mathbf{b^1})$$
  
 $\mathbf{h^2} = g^2(\mathbf{h^1W^2} + \mathbf{b^2})$   
 $\mathbf{y} = \mathbf{h^2W^3}$ 

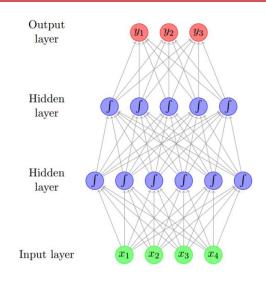


Figure 2: Feed-forward neural network with two hidden layers.

Note that we are now representing each layer as a vector; combining all of the weights in a layer across the units into a weight matrix

### Training Neural Networks

#### Typically done by stochastic gradient descent

 For one training example, find gradient of loss function wrt parameters of the network (i.e., the weights of each layer); "travel along in that direction".

Network has very many parameters!

Efficient algorithm to compute the gradient with respect to all parameters: **backpropagation** (Rumelhart et al., 1986)

 Boils down to an efficient way to use the chain rule of derivatives to propagate the error signal from the loss function backwards through the network back to the inputs

### **SGD** Overview

#### Inputs:

- Function computed by neural network,  $f(\mathbf{x}; \theta)$
- Training samples  $\{x^k, y^k\}$
- Loss function *L*

#### Repeat for a while:

```
Sample a training case, x^k, y^k
```

Compute loss 
$$L(f(\mathbf{x}^{\mathbf{k}}; \theta), \mathbf{y}^{\mathbf{k}})$$

Forward pass

Compute gradient  $abla L(\mathbf{x^k})$  wrt the parameters heta

Update 
$$\theta \leftarrow \theta - \eta \nabla L(\mathbf{x^k})$$

In neural networks, by backpropagation

Return  $\theta$ 

# **Example: Forward Pass**

$$\mathbf{h^1} = g^1(\mathbf{xW^1} + \mathbf{b^1})$$
  
 $\mathbf{h^2} = g^2(\mathbf{h^1W^2} + \mathbf{b^2})$   
 $f(\mathbf{x}) = \mathbf{y} = g^3(\mathbf{h^2}) = \mathbf{h^2W^3}$ 

Loss function:  $L(\mathbf{y}, \mathbf{y}^{gold})$ 

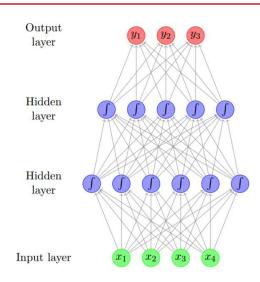


Figure 2: Feed-forward neural network with two hidden layers.

Save the values for  $h^1$ ,  $h^2$ , y too!

# Example: Time Delay Neural Network

Let's draw a neural network architecture for POS tagging using a feedforward neural network.

We'll construct a context window around each word, and predict the POS tag of that word as the output.

Limitations of this approach?

### Recurrent Neural Networks

#### A neural network **sequence model**:

$$RNN(\mathbf{s_0}, \mathbf{x_{1:n}}) = \mathbf{s_{1:n}}, \mathbf{y_{1:n}}$$
  $\mathbf{s_i} = R(\mathbf{s_{i-1}}, \mathbf{x_i})$  #  $\mathbf{s_i}$ : state vector  $\mathbf{y_i} = O(\mathbf{s_i})$  #  $\mathbf{y_i}$ : output vector

R and O are parts of the neural network that compute the next state vector and the output vector

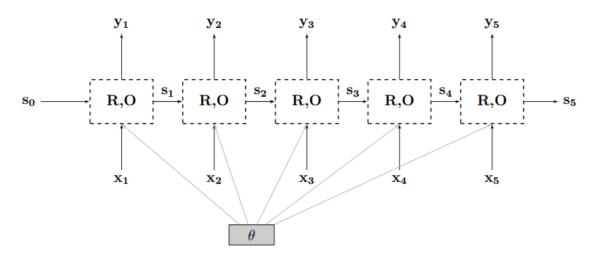


Figure 6: Graphical representation of an RNN (unrolled).

# Long-Term Dependencies in Language

There can be dependencies between words that are arbitrarily far apart.

- I will <u>look</u> the word that you have described that doesn't make sense to her <u>up</u>.
- Can you think of some other examples in English of longrange dependencies?

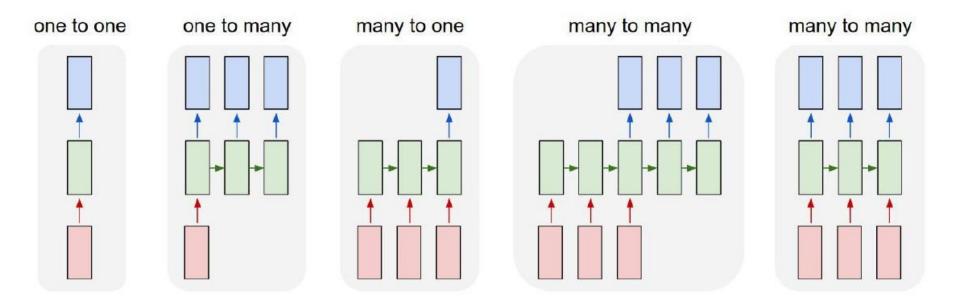
Cannot easily model with HMMs or even LC-CRFs, but can with RNNs

# Comparison between LC-CRFs, RNNs

- 1. At each step of the sequence, LC-CRFs define a linear model (linear between feature values and weights) similar to logistic regression
  - > RNNs replace this with a neural network (more expressive model, may need more data to train)
- 2. LC-CRFs depend on a good selection of input features; requires more feature engineering
  - > RNNs can also make use of features, or can try to learn useful ones
- 3. LC-CRFs make strong local independence assumptions exact polynomial-time inference
  - > RNNS require approximate inference algorithm (greedy or beam search, for example)

### Different RNN Architectures

#### Different architectures for different use cases



Which architecture would you use for:

- document classification?
- language modelling?
- POS tagging?

# Long Short-Term Memory Networks

A popular RNN architectures for NLP (Hochreiter and Schmidhuber, 1997)

• Explicitly models a "memory" cell (i.e., a hidden-layer vector), and how it is updated as a response to the current input and the previous state of the memory cell.

Visual step-by-step explanation:

http://colah.github.io/posts/2015-08-Understanding-LSTMs/

# Vanishing and Exploding Gradients

If R and O are simple fully connected layers, we have a problem. In the unrolled network, the gradient signal can get lost on its way back to the words far in the past:

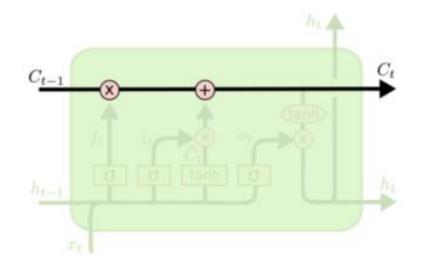
• Suppose it is  $\mathbf{W}^1$  that we want to modify, and there are N layers between that and the loss function.

$$\frac{\partial L}{\partial \mathbf{W}^{1}} = \frac{\partial L}{\partial g^{N}} \frac{\partial g^{N}}{\partial g^{N-1}} \dots \frac{\partial g^{2}}{\partial g^{1}} \frac{\partial g^{1}}{\partial \mathbf{W}^{1}}$$

- If the gradient norms are small (<1), the gradient will vanish to near-zero (or explode to near-infinity if >1)
- This happens especially because we have repeated applications of the same weight matrices in the recurrence

# Fix for Vanishing Gradients

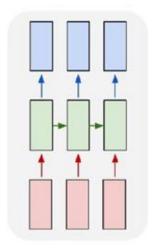
It is the fact that in the LSTM, we can propagate a cell state directly that fixed the vanishing gradient problem:



There is no repeated weight application between the internal states across time!

### Bidirectional LSTMs - Motivation

Standard LSTMs go forward in time

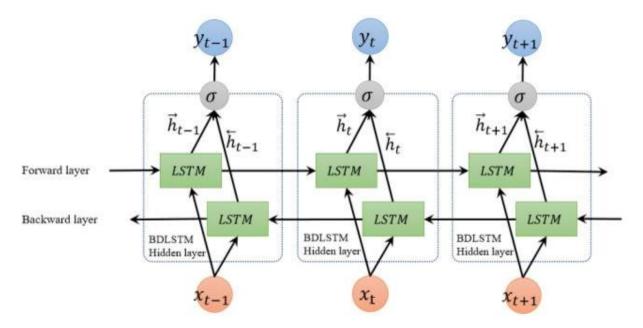


For some applications, this is necessary

For others, we would like to use both *past* context and *future* context

### **BiLSTMs**

Have two LSTM layers, forward and backward in time



Concatenate their outputs to make final prediction

### Combining LSTMs and CRFs

LSTMs allow learning of complex relationships between input and output

(LC-)CRFs allow learning of relationships between output labels in a sequence

Can we combine advantages of both?

### LSTM-CRFs

Add a linear-chain CRF layer on top of a (Bi)LSTM (Huang et al., 2015; Lample et al., 2016)!

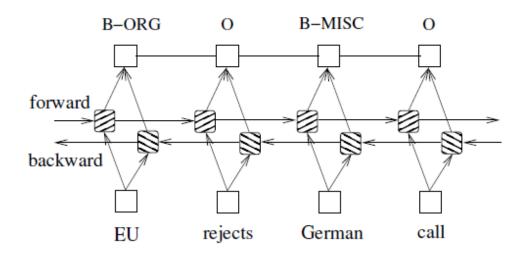


Figure 7: A BI-LSTM-CRF model.

### LSTM-CRF

#### Features of the CRF:

- The output scores of the LSTM
- Transition probabilities between tags (need to be learned)

#### Score of a sequence in LSTM-CRF:

$$s(\mathbf{X},\mathbf{y}) = \sum_{i=0}^{n} A_{y_i,y_{i+1}} + \sum_{i=1}^{n} P_{i,y_i}$$
 Transition probabilities Scores from LSTM size  $(k \times k)$  Size  $(n \times k)$ 

sequence of length n, with k possible tags

# LSTM-CRF: Inference and Training

The LSTM-CRF is trained to minimize negative log likelihood on the training corpus (same as regular CRF):

# **Algorithm 1** Bidirectional LSTM CRF model training procedure

```
1: for each epoch do
      for each batch do
3:
         1) bidirectional LSTM-CRF model forward pass:
             forward pass for forward state LSTM
5:
             forward pass for backward state LSTM
         2) CRF layer forward and backward pass
6:
         3) bidirectional LSTM-CRF model backward pass:
             backward pass for forward state LSTM
8:
             backward pass for backward state LSTM
9:
         4) update parameters
10:
11:
      end for
12: end for
```

# **Summary**

LSTMs are the backbone of many modern NLP models! LSTM-CRFs are the state of the art on many basic sequence labelling tasks:

- Named entity recognition
- POS tagging
- Chunking

Next, we will start looking at models of hierarchical structure in language.