# **ttyDB**

# PROJECT REPORT

**Nitish** 102303239

Himanshu Sardana 102303244

# **Contents**

1	Introduction	3
2	System Requirements	4
	Design Goals & Key Decisions	
	System Architecture	
5	Natural Language to SQL Conversion	9
6	Multi-source Data Handling	. 14
7	Frontend & User Experience	. 14
8	Backend and Infrastructure	. 14
9	Evaluation and Testing	. 15
10	Challenges and Lessons Learned	. 18
11	Future Work	20
12	Conclusion	. 21
13	References	21

## Introduction

## **Motivation behind ttyDB**

In an era where data is abundant but technical expertise varies, ttyDB (Talk To Your Database) empowers users to interact with databases, CSV, and JSON files through natural language queries. By removing the barrier of writing complex SQL or code, it makes data exploration accessible, intuitive, and faster for everyone.

#### **Problem Statement**

Accessing and analyzing data stored in databases, CSV files, or JSON formats often requires knowledge of query languages like SQL or programming skills. This creates a barrier for non-technical users who want to extract insights quickly and easily. Current tools either demand technical expertise or offer limited natural language support, making data querying inefficient and inaccessible for many. ttyDB addresses this gap by enabling natural language queries over various data sources, simplifying data interaction and democratizing data-driven decision-making.

#### **Target Users**

- 1. **Business Analysts & Data Enthusiasts**: Users who need quick, intuitive access to data insights without deep SQL or coding knowledge.
- 2. **Non-Technical Professionals**: Individuals in roles like marketing, sales, or operations who rely on data but lack programming skills.
- 3. **Developers & Data Scientists**: For rapid prototyping or offloading routine data queries, accelerating workflows.
- 4. **Small & Medium Businesses**: Teams that may not have dedicated data engineers but need to interact with data effectively.
- 5. **Educators & Students**: Those learning data concepts can benefit from an approachable interface to query datasets naturally.

# **System Requirements**

#### **IN THIS CHAPTER**

In this chapter we explore the functional requirements, non-functional requirements, and constraints of the **ttyDB** system.

- 1. **Functional Requirements**: These describe the specific behaviors and functionalities that the system must exhibit to meet user needs. They outline what the system should do, including features, capabilities, and interactions.
- 2. **Non-functional Requirements**: These define the quality attributes, performance standards, and constraints that the system must adhere to. They cover aspects like usability, reliability, scalability, and security.

## **Functional Requirements**

- Natural Language Query Processing: The system shall accept user queries in natural language and convert them into accurate SQL or data-specific queries for databases, CSV, and JSON files.
- 2. **Multi-Format Data Support**: The system shall support querying data from multiple sources/formats including relational databases (SQLite, PostgreSQL, etc.), CSV files, and JSON files.
- 3. **Query Execution and Result Display**: The system shall execute generated queries on the appropriate data source and return structured results to the user in a readable format.
- 4. **Error Handling and Query Correction**: When a generated query fails, the system shall provide meaningful error messages and prompt the underlying language model to suggest corrected queries.
- 5. **Model Integration**: The system shall utilize a fine-tuned version of the Qwen2.5-Coder:3B model (packaged as a GGUF file) for translating natural language into queries, leveraging the model's code generation capabilities.
- 6. **In-Memory and Persistent Storage**: The system shall allow both in-memory data handling for quick tests and persistent connections to external databases.
- 7. **Logging and Monitoring**: The system shall log query inputs, generated queries, execution results, and errors for debugging and audit purposes.
- 8. **Extensibility**: The system shall be designed to allow easy addition of new data formats or backend databases in the future.

#### **Non-functional Requirements**

- 1. **Performance**: The system shall generate and execute queries with minimal latency to provide near real-time responses to user queries.
- 2. **Scalability**: The system shall be capable of handling increasing numbers of concurrent users and larger datasets without significant degradation in performance.
- 3. **Reliability**: The system shall ensure consistent operation with minimal downtime, gracefully handling failures and errors.
- 4. **Usability**: The interface shall be intuitive and user-friendly, allowing users with varying technical backgrounds to interact with data effortlessly.

- 5. **Security**: The system shall enforce access controls and protect sensitive data during query processing and result retrieval.
- 6. **Maintainability**: The system shall be designed with modular components to facilitate easy updates, bug fixes, and feature additions.
- 7. **Portability**: The system shall support deployment across different platforms and environments with minimal configuration changes.
- 8. **Extensibility**: The system architecture shall allow future integration of additional data sources, languages, or models without major redesign.
- 9. **Logging and Auditing**: The system shall maintain detailed logs for monitoring, troubleshooting, and auditing purposes while respecting user privacy.

#### **Constraints**

- 1. **Model Size and Resources**: The fine-tuned Qwen2.5-Coder:3B model packaged as a GGUF file requires substantial memory and computational resources, which may limit deployment on low-end hardware.
- 2. **Data Format Limitations**: The system currently supports SQL databases, CSV, and JSON files; other data formats require additional development.
- 3. **Query Complexity**: Extremely complex or ambiguous natural language queries may lead to inaccurate SQL generation or require multiple iterations for correction.
- 4. **Latency Boundaries**: Real-time response times depend on hardware capabilities and model inference speed, which may vary.
- 5. **Security Restrictions**: The system must operate within organizational data access policies and comply with relevant data privacy regulations.
- 6. **Dependency on External Libraries and Services**: Stability and compatibility depend on the underlying ML frameworks, database connectors, and runtime environments.
- 7. **Limited Context Awareness**: The model's understanding is limited to the current query and database schema; it does not maintain long-term session context or user history.
- 8. **Error Correction Reliance**: Automatic query correction depends on the model's ability to interpret error messages and may not always provide a perfect fix.

# **Design Goals & Key Decisions**

#### **DESIGN GOALS**

Design goals outline the primary objectives and aspirations for the system, guiding its development and ensuring it meets user needs effectively.

### **Design Goals**

- 1. **User-Friendly Interaction**: Enable users to query data using natural language without requiring knowledge of SQL or programming.
- 2. **Accuracy and Reliability**: Generate precise and executable queries that reflect the user's intent with minimal errors.
- 3. **Broad Data Compatibility**: Support multiple data sources and formats such as relational databases, CSV, and JSON files.
- 4. **Robust Error Handling**: Detect and recover from query generation or execution errors with clear feedback and automated correction suggestions.
- 5. **Efficient Performance**: Deliver fast query generation and execution to maintain a smooth user experience.
- 6. **Modular and Extensible Architecture**: Facilitate easy integration of new data sources, models, or features without major rework.
- 7. **Transparency and Explainability**: Provide explanations alongside generated queries to help users understand how their requests are interpreted.
- 8. **Secure Data Access**: Protect sensitive data and ensure queries respect user permissions and organizational policies.
- 9. **Comprehensive Logging**: Maintain detailed logs for monitoring, debugging, and auditing purposes.
- 10. **Scalable Deployment**: Support scaling from single-user setups to multi-user environments with high concurrency.

#### **Critical Early Decisions**

We decided to use a comparatively smaller and less resource-intensive model with 3 billion parameters (Qwen2.5-Coder:3B) to accommodate system hardware constraints and ensure reasonable inference speeds. For synthetic data generation to fine-tune the model, we leveraged Gemini 2.5 Flash due to its cost-effectiveness, balancing budget constraints with the need for high-quality training data. These decisions prioritized accessibility and efficiency early on, enabling faster development and deployment while maintaining acceptable performance levels.

#### **Trade-offs**

We chose a lower-parameter model which required extensive fine-tuning—around 8,400 samples—to reach acceptable performance. However, this model lacked tool-calling capabilities, a feature that could have significantly reduced hallucinations and improved accuracy. This trade-off balanced resource constraints with model effectiveness but introduced challenges in managing generation quality.

## **System Architecture**

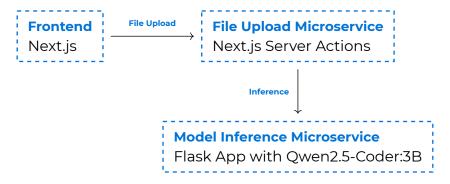
#### **HIGH-LEVEL ARCHITECTURE**

High-level architecture provides an overview of the system's structure, components, and their interactions, illustrating how the system is organized to meet design goals and functional requirements.

#### **Overview of Architecture**

The frontend is built as a Next.js web application, providing a user-friendly interface for submitting natural language queries and displaying results. The backend is split into two microservices for modularity and scalability:

- 1. The **first microservice** is implemented as part of Next.js API routes. It handles file uploads (CSV, JSON) and processes them to create queryable databases.
- 2. The **second microservice** is a Flask application responsible for model inference. It receives user queries, invokes the fine-tuned Qwen2.5-Coder:3B model, and returns generated SQL queries.



#### **High-Level Components**

- 1. **Frontend (Next.js Web App)** Provides the user interface for uploading files, entering natural language queries, and viewing query results and explanations. It handles user authentication, input validation, and displays responses from the backend services.
- 2. **File Processing Microservice (Next.js API Routes)** Manages file uploads (CSV, JSON) from users, parses and converts these files into structured in-memory or persistent databases that can be queried efficiently.
- 3. **Model Inference Microservice (Flask App)** Hosts the fine-tuned Qwen2.5-Coder:3B model packaged as a GGUF file. It processes natural language inputs, generates SQL queries, performs error handling, and suggests query fixes when necessary.
- 4. **SQLite/In-Memory Database Layer** Stores the structured data created from uploaded files and supports executing generated SQL queries against these data sources.

- 5. **Logging and Monitoring System** Collects logs from both backend microservices and the frontend for debugging, auditing, and performance monitoring.
- 6. **Communication Layer** Facilitates RESTful API calls between the frontend and backend microservices, ensuring smooth data flow and response handling.

#### **Data Flow**

- 1. **User Interaction:** The user accesses the Next.js frontend to upload data files (CSV, JSON) or enter natural language queries.
- 2. **File Upload & Processing:** Uploaded files are sent to the File Processing Microservice via Next.js API routes, where they are parsed and converted into structured databases (in-memory or persistent).
- 3. **Query Submission:** When the user submits a natural language query, the frontend forwards it to the Model Inference Microservice (Flask app) through a REST API call.
- 4. **Query Generation:** The Flask microservice uses the fine-tuned Qwen2.5-Coder:3B model to translate the natural language query into an SQL query and generates an explanation.
- 5. **Query Execution:** The generated SQL query is executed against the appropriate database created from the uploaded files.
- 6. **Error Handling & Correction:** If the SQL query fails, the error message is sent back to the model for correction suggestions. The corrected query is reexecuted.
- 7. **Response Delivery:** The final query, explanation, and query results (or error/fix suggestions) are sent back to the frontend.
- 8. **Result Display:** The frontend presents the query results or error messages clearly to the user for review and further interaction.

# **Natural Language to SQL Conversion**

#### **Overview of the Conversion Process**

The conversion process happens incrementally to mitigate the limitations of the 3B-parameter model, which may sometimes hallucinate or generate incorrect queries. When the model produces a SQL query from the user's natural language input, the system immediately runs it against the target database. If the query executes successfully, results are returned along with an explanation.

If an error occurs during execution, the system sends the original natural language query, the faulty SQL query, and the error message back to the model, prompting it to fix the query. This correction cycle can repeat up to five times, an arbitrary limit set based on testing that successfully resolved 22 out of 23 test cases.

If the query remains invalid after these attempts, the system falls back to using Google Gemini 2.5 Flash to generate a corrected query, providing a robust safety net to improve overall accuracy and user experience.

#### **Techniques Used**

1. **Fine-Tuning of Large Language Models**: The Qwen2.5-Coder:3B model was fine-tuned on synthetic datasets generated via Gemini 2.5 Flash, tailoring it for natural language to SQL conversion tasks.

#### **USEFUL LINKS**

- The script used to generate the synthetic data can be found <a href="here">here</a>
- · The Colab Notebook used for fine-tuning the model can be found <u>here</u>
- 2. **Incremental Query Validation and Correction**: SQL queries generated by the model are immediately executed against the database. Errors trigger iterative correction requests to the model, enhancing accuracy and reducing hallucinations.

```
1
     def generate_sql(
                                                                           Python
2
        nl query: str,
3
       prev_sql: Optional[str] = None,
4
        prev error: Optional[str] = None
   ) -> SQLResponse:
6
       schema_hint = """
7
        .... (info about the tables' schema)
8
9
       if prev_sql is None or prev_error is None: | If its the first query |
10
            prompt_content = (
11
                schema_hint
                + f"\nConvert the following natural language request into valid
12
    SQLite SQL and explain the SQL:\n{nl_query}"
```

```
13
        else: If its not the first query
14
15
            prompt_content = (
                schema hint
16
                + f"\nThe previous SQL query was:\n{prev_sql}\n"
17
                + f"It caused this error:\n{prev error}\n"
18
                + f"Given the original request:\n{nl query}\n"
19
                + "Please fix the SQL query accordingly, explain what you fixed,
20
    and output the corrected SQL."
21
            )
22
23
       with concurrent.futures.ThreadPoolExecutor() as executor:
24
            future = executor.submit( chat call, prompt content)
25
            try:
26
                sql response = future.result(timeout=timeout seconds)
27
                return sql response
28
            except concurrent.futures.TimeoutError:
                raise TimeoutError(f"API call timed out after {timeout_seconds}
29
```

3. **Synthetic Data Generation**: Gemini 2.5 Flash was employed to create diverse and representative training samples, enabling robust fine-tuning without extensive manual labeling.

```
# Output schema
                                                                          Python
   class Query(BaseModel):
     instruction: str = Field(..., description="The natural language instruction
     for the query. Do not enclose in quotes.")
     query: str = Field(..., description="The query corresponding to the natural
     language request. Do not enclose in quotes.")
     table schema: str = Field(..., description="The schema of the table used in
5
     the query. Do not enclose in quotes.")
     explanation: str = Field(..., description="The explanation of the query. Do
6
     not enclose in quotes.")
7
8
9
     # Calling Gemini 2.5 Flash to generate synthetic data
10
     def generate_data(self, num_rows):
11
     response = self.client.models.generate_content(
12
         model="gemini-2.5-flash",
         contents=f"""
13
14
         You are a data generation model.
15
         Your task is to generate {num_rows} high-quality examples of text-to-
16
         SQL pairs for the topic: "{self.topic}".
17
```

```
18
          Each example must include the following fields:
19
          - instruction: A natural language question, request, or command.
20
          - query: A syntactically correct **SQLite-compatible** SQL query.
          - table schema: The **relevant SQL table schema** used in the query.
21
          Include full schema (table and column names).
          - explanation: A brief, human-readable explanation of what the SOL
22
          query does.
23
          Constraints:
24
          - Use only SQL syntax supported by SQLite (e.g., no DATEDIFF; use
25
          `julianday()` or `DATE('now', ...)`).
          - Vary the complexity: include simple filters, joins, subqueries,
26
          aggregates, date operations, and edge cases.
          - Make sure table and column names are descriptive and realistic.
27
          - Do not return extra commentary or markdown. Return only a JSON list
28
          matching this Pydantic schema:
29
30
          config={
31
              'response_mime_type': 'application/json',
              'response_schema': list[Query], Output must be a list of Queries
32
33
         }
34
     )
35
      return json.loads(response.text)
```

The list of topics was:

```
1
   topics = [
                                                                          Python
2
     "Basic Column Selection and Aliasing",
3
      "Row Filtering with WHERE and Logical Operators",
4
     "INNER JOINs and Table Relationships",
5
     "LEFT JOINs and Emulated OUTER JOINs (for SQLite)",
6
     "Aggregations with GROUP BY and HAVING",
7
     "Window Functions (e.g., RANK, ROW NUMBER, LAG, LEAD)",
8
     "Correlated and Uncorrelated Subgueries",
9
     "Set Operations: UNION, INTERSECT, EXCEPT",
10
     "Date and Time Functions (e.g., julianday, DATE())",
11
     "Sorting and Limiting Results (ORDER BY, LIMIT, OFFSET)",
12
     "IN, EXISTS, BETWEEN, and LIKE Operators",
13
      "Nested SELECTs in SELECT, FROM, or WHERE",
14
     "Handling NULLs: IS NULL, COALESCE, IFNULL",
15
     "Derived Tables and CTEs (WITH clauses)",
     "Case Expressions and Conditional Logic",
16
17
     "Schema Inference and Multi-Table Reasoning"
18 ]
```

The generated data (8347 rows) was saved in a CSV file, which was then used to fine-tune the 0wen2.5-Coder: 3B model.

## 1 [Instruction, Query, Table Schema, Explanation | Column names] Retrieve all information about products., SELECT \* FROM Products; , "CREATE TABLE Products (product\_id INTEGER PRIMARY KEY, product\_name TEXT, category TEXT, price REAL, stock\_quantity INTEGER);",Selects all columns and all rows from the Products table. % List the names and prices of all products., "SELECT product name, price FROM Products; ", "CREATE TABLE Products (product\_id INTEGER PRIMARY KEY, 3 product name TEXT, category TEXT, price REAL, stock quantity INTEGER);",Retrieves only the product\_name and price columns from the Products table. % Show the product name as 'Item Name' and its price as 'Unit Price'., "SELECT product name AS ""Item Name"", price AS ""Unit Price"" FROM Products; ", "CREATE TABLE Products (product\_id INTEGER PRIMARY KEY, product\_name TEXT, category TEXT, price REAL, stock\_quantity INTEGER); ", "Selects the product\_name column and renames it to 'Item Name', and price column renamed to 'Unit Price' from the Products table." ca Get the product name and category using a table alias for the Products table., "SELECT p.product name, p.category FROM Products AS p;", "CREATE TABLE 5 Products (product\_id INTEGER PRIMARY KEY, product\_name TEXT, category TEXT, price REAL, stock\_quantity INTEGER);","Selects product\_name and category columns from the Products table, using 'p' as an alias for the table name." Find all unique categories of products., SELECT DISTINCT category FROM Products;, "CREATE TABLE Products (product\_id INTEGER PRIMARY KEY, product\_name TEXT, category TEXT, price REAL, stock\_quantity INTEGER); ", Retrieves only the

- 4. **Microservice Architecture**: The system design leverages microservices for modular handling of file processing and model inference, improving scalability and maintainability.
- 5. **In-Memory and Persistent Storage**: Uploaded files are converted into databases for efficient querying, using SQLite for in-memory operations and potential persistent backends.
- 6. **Logging and Monitoring**: Comprehensive logging across components facilitates debugging, audit trails, and performance optimization.

unique values from the category column in the Products table.

7. **Fallback Mechanism**: Integration of Google Gemini 2.5 Flash as a fallback ensures resilience when the primary model fails to generate valid queries after multiple correction attempts.

#### **Challenges in Conversion**

- 1. **Model Hallucinations**: Due to the limited size of the 3B-parameter model, the generated SQL queries may sometimes include inaccuracies or irrelevant code, requiring additional validation and correction.
- 2. **Ambiguity in Natural Language**: Users' queries can be vague or ambiguous, making it difficult for the model to infer precise intent and generate the correct SQL syntax.
- 3. **Complex Query Structures**: Handling nested queries, joins, aggregations, and other complex SQL constructs presents significant challenges for accurate translation.
- 4. **Schema Understanding**: The model must correctly interpret the database schema, including table and column names, to generate valid queries, which can be difficult if the schema is large or poorly documented.

- 5. **Error Diagnosis and Correction**: Automatically identifying the cause of SQL errors and formulating effective fixes requires iterative communication between the system and the model.
- 6. **Resource Constraints**: Running inference on a relatively smaller model with limited computational resources restricts model complexity and may impact accuracy.
- 7. **Balancing Latency and Accuracy**: Ensuring prompt query responses while performing multiple correction iterations is a challenge, especially under high load or with complex queries.
- 8. **Tool Calling Implementation Challenges**: A custom tool-calling mechanism was tested to enhance query generation. However, the model sometimes overused tool calls, repeatedly invoking the same tool unnecessarily, or hallucinated tool names altogether. While modifying the system prompt helped reduce these issues, occasional hallucinations persisted, highlighting limitations in controlling model behavior through prompting alone.
- 9. **Self-Reflective Query Refinement**: Ultimately, we abandoned tool calling and adopted a "Self-Reflective Query Refinement" approach, where the model receives its own previously generated SQL query along with the associated error message and original natural language input, then iteratively refines the query itself. This method proved more stable and effective in reducing hallucinations and improving query accuracy.

# **Multi-source Data Handling**

\_\_\_\_\_

**Techniques for Data Integration** 

**Handling Data from Multiple Sources** 

**Challenges in Data Integration** 

**Chapter 7** 

# Frontend & User Experience

**User Interface Design** 

**User Experience Considerations** 

**Accessibility Features** 

**Chapter 8** 

## **Backend and Infrastructure**

-----

**Backend Architecture** 

**Database Management** 

**Infrastructure Considerations** 

# **Evaluation and Testing**

#### **TESTING METHODOLOGY**

The testing methodology outlines the approach taken to evaluate the system's performance, accuracy, and robustness in converting natural language queries into SQL statements. It describes the setup, test cases, and evaluation criteria used to measure success.

### **Testing Methodology**

The system is evaluated using an in-memory SQLite database populated with representative sample data across multiple related tables including Employees, Customers, Products, Orders, Reviews, and OrderDetails. This schema reflects a realistic business domain to challenge the model with diverse query types.

A set of natural language test queries covering aggregation, filtering, joins, subqueries, and conditional logic is defined to benchmark the model's ability to generate accurate SQL statements. Each query is processed through an incremental retry mechanism that allows up to five attempts to correct generated SQL in case of execution errors.

The test harness works as follows:

- 1. For each natural language query, the system sends the query, optionally with the previous failed SQL and error message, to the fine-tuned text2sql model.
- 2. The model returns a SQL query along with an explanation.
- 3. The SQL is executed against the in-memory database.
- 4. If the query succeeds, the test counts it as passed.
- 5. If execution fails, the error and faulty query are fed back to the model for correction.
- 6. This retry loop continues up to five times, providing the model chances to self-correct its SQL output.
- 7. If after five attempts the query still fails, the test is marked as failed.
- 8. This approach mimics real-world usage where natural language inputs may require iterative refinement to generate valid queries, and it measures the robustness of the model's conversion and self-correction capabilities.

Sample queries used test common analytical requests such as:

- Finding highest priced products
- Listing products with filters
- Aggregations by category or customer
- · Joining orders with customer and employee data
- Handling review data with conditional logic

The final benchmark reports the number of queries correctly executed out of the total, giving a quantitative measure of system accuracy and resilience.

The logs for the test runs can be found here

#### **Performance Metrics**

The system was evaluated on a benchmark suite consisting of 23 natural language queries covering a variety of database operations including selection, aggregation, joins, filtering, and nested queries.

- 1. **Success Rate**: The system successfully generated and executed valid SQL for 22 out of 23 queries, yielding a success rate of approximately 95.7%.
- 2. **Retries**: On average, queries requiring correction were resolved within 2 retries, with a maximum retry limit set to 5 attempts per query.
- 3. **Error Types**: Initial failures predominantly involved SQL syntax errors such as incorrect aliases, malformed clauses, or typographical mistakes.
- 4. **Correction Mechanism**: The iterative feedback loop—feeding back error messages and prior SQL to the model—proved effective in resolving errors without human intervention.
- 5. **Timeouts**: No API call timeouts occurred during testing, with a per-call timeout threshold of 60 seconds.
- 6. **Failure Analysis**: The single failure involved a persistent syntax issue related to a subquery with NOT IN clause, which the model was unable to correct within the retry limit.
- 7. **Execution**: Successful queries returned meaningful result sets consistent with the expected database schema and semantics.

Overall, these metrics demonstrate the system's high reliability in converting natural language queries into syntactically correct and executable SQL statements, validating the efficacy of the combined fine-tuned model and iterative error correction approach.

#### **Results of Testing**

The testing revealed several key insights about the system's performance in converting natural language queries into SQL:

- 1. The system generated SQL queries that matched the intended semantics of the input questions with a high degree of accuracy.
- 2. Most queries were correctly handled on the first attempt, demonstrating the fine-tuned model's capability to understand diverse query types.
- 3. Queries involving straightforward selection, aggregation, and grouping operations consistently produced correct results.
- 4. Complex queries requiring multiple joins, nested subqueries, or advanced filtering often required one or two iterations to fix minor syntactic or semantic errors.
- 5. The iterative self-correction mechanism effectively improved query accuracy by leveraging runtime error feedback.
- 6. The only query that failed after the maximum retry attempts involved a NOT IN subquery, indicating a potential limitation in handling certain SQL constructs.
- 7. The model demonstrated strong understanding of the database schema, correctly referencing table and column names in most cases.
- 8. Output explanations accompanying the generated SQL queries helped in diagnosing errors and understanding query logic during testing.

These results affirm the practical viability of the proposed approach for real-world natural language querying of structured data, with room for improvement in handling rare edge cases.

# **Challenges and Lessons Learned**

#### **Technical Challenges**

During development and testing, several challenges emerged:

- 1. **Model Size Limitations**: Using a comparatively smaller 3B parameter model constrained the complexity of queries the system could confidently generate, necessitating extensive fine-tuning and iterative correction.
- 2. **Hallucinations and Syntax Errors**: The model occasionally hallucinated incorrect SQL syntax, table names, or column names, leading to frequent execution errors. Mitigating this required building a robust feedback loop to detect and correct errors automatically.
- 3. **Tool Calling Difficulties**: A custom implementation of tool calling was tested but abandoned due to excessive and irrelevant calls, including hallucinated tool names. Although prompt engineering reduced hallucinations somewhat, tool calling remained unreliable.
- 4. **Error Correction Strategy**: The final strategy—where the model receives its own generated SQL and the execution error as input for correction (termed Iterative Self-Feedback Loop)—proved effective in improving query quality without external intervention.
- 5. **Complex Query Handling**: Queries involving complex SQL constructs like nested subqueries or specific JOIN conditions sometimes required multiple correction cycles, revealing the need for further model enhancements or complementary heuristics.
- 6. **Schema Awareness**: Explicitly providing the database schema as context was critical in reducing hallucinations and improving the accuracy of generated SQL.

#### **Lessons Learned**

- 1. Importance of Iterative Correction: Implementing an iterative self-feedback loop where the model reviews its own SQL errors significantly improves query accuracy and robustness, especially when working with smaller models.
- 2. Schema Context is Crucial: Providing a detailed schema description upfront helps reduce hallucinations and guides the model to generate syntactically and semantically correct SQL queries.
- 3. Limitations of Tool Calling with Smaller Models: Despite its potential, tool calling can introduce instability and hallucinations in smaller models, making a simpler error-feedback approach more reliable in practice.
- 4. Fine-Tuning Depth Matters: Extensive fine-tuning with a sizable dataset (8.4k samples) was necessary to compensate for the smaller model size and enhance domain-specific SQL generation.
- 5. Fallback Mechanisms Enhance Reliability: Having a fallback to a stronger model (e.g., Google Gemini 2.5 flash) ensures robustness for edge cases where the primary model fails repeatedly.

6.	Balancing Model Complexity and System Constraints: Selecting a smaller model allowed deployment within resource limits but required compensatory architectural design choices to maintain accuracy.

#### **Future Work**

#### **Planned Enhancements**

- 1. Improved Tool Calling Mechanism: Develop a more reliable tool calling system with better prompt engineering to reduce hallucinations and irrelevant calls.
- 2. Adaptive Query Refinement: Introduce smarter retry logic that prioritizes fixes based on error types to optimize query correction efficiency.
- 3. Multi-Modal Data Support: Expand support for querying non-SQL data sources like CSV and JSON through natural language.
- 4. Contextual and Multi-Turn Querying: Enable context-aware conversations that remember previous queries for more complex interactions.
- 5. Enhanced Explainability: Provide clearer and more user-friendly explanations of generated SQL queries to aid understanding and trust.

#### **Potential Features**

- 1. **Natural Language Query History**: Maintain a user query history for quick access and reuse of past queries.
- 2. Visual Query Builder: Interactive UI to construct and refine SQL queries visually alongside natural language input.
- 3. Query Result Visualization: Charts, graphs, and tables to help users interpret query results easily.
- 4. Real-Time Query Suggestions: Provide dynamic autocomplete and query suggestions as users type their natural language input.

## Conclusion

### **Summary of Achievements**

- 1. Developed a natural language to SQL conversion system fine-tuned on a 3B-parameter Qwen2.5-coder model.
- 2. Implemented an iterative SQL validation and self-correction loop, reducing query errors and hallucinations.
- 3. Successfully created and tested a representative multi-table in-memory SQLite database with realistic sample data.
- 4. Achieved a high accuracy benchmark, correctly answering 22 out of 23 diverse natural language queries.
- 5. Designed a scalable backend architecture with separated microservices for file handling and model inference.

## **Final Thoughts**

This project demonstrates the feasibility of converting natural language queries into executable SQL statements using a fine-tuned 3B-parameter model. Despite inherent challenges such as hallucinations and syntax errors, an iterative correction mechanism coupled with fallback strategies ensured high accuracy and robustness. The system successfully handled a diverse set of complex queries against a representative database schema, achieving a benchmark accuracy of 22 out of 23 queries. Future improvements in tool calling, query refinement, and multi-modal support will further enhance usability and performance, making natural language database querying accessible to a broader audience.

### **Chapter 13**

## References