

CS6363.004 Design and Analysis of
Computer Algorithms.

HOMEWORK-1

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- 1) Let $a_1 = 2$, $a_2 = 9$ and $a_n = 2a_{n-1} + 3a_{n-2}$ for $n \geq 3$.
Show that $a_n \leq 3^n$ for all positive integers n .

Sol: Given that

$$a_1 = 2$$

$$a_2 = 9$$

$$a_n = 2a_{n-1} + 3a_{n-2} \text{ for } n \geq 3.$$

To Prove: $a_n \leq 3^n$ for all $n \geq 0$.

Let us prove this by "Proof by Induction"

Basis step:

When $n=1$, 3^n becomes 3
For $a_1 = 2$ (given)

$$a_1 = 2 < 3$$

\therefore It is true for $n=1$

Hence, it is true for base step.

Inductive Hypothesis:

Assume that

$$a_n \leq 3^n \text{ for all } n \leq k.$$

i.e. for $n=1, 2, \dots, k$; $a_k \leq 3^k$.

Now we need to show that for all n , the hypothesis is true.

Let us check for ~~$k=2$~~ $n=2$;
 $a_2 = 9$ (given)
 $3^n = 3^2 = 9$

$\therefore a_2 = 9 \leq 3^2$ (Hence true for $n=2$)

Let us check for $k+1$.

$$a_{k+1} = 2a_k + 3a_{k-1} \quad [\text{From given}]$$

$$\leq 2 \cdot 3^k + 3 \cdot 3^{k-1} \quad [\text{Replacing } a_k \text{ \& } a_{k-1} \text{ from the hypothesis}]$$

$$\leq 2 \cdot 3^k + 3^k$$

$$\leq 3^k (2+1)$$

$$\leq 3^k \cdot 3$$

$$\leq 3^{k+1}$$

$\therefore a_{k+1} \leq 3^{k+1}$ [Here we proved that hypothesis is true for $k+1$]

Similarly, if we check for n

$$a_n = 2a_{n-1} + 3a_{n-2}$$

$$\leq 2 \cdot 3^{n-1} + 3 \cdot 3^{n-2} \quad [\text{From Hypothesis}]$$

$$\leq 2 \cdot 3^{n-1} + 3^{n-1}$$

$$\leq 3^{n-1} [2+1]$$

$$\leq 3^{n-1} \cdot 3$$

$$\leq 3^n$$

$\therefore a_n \leq 3^n$ [Hence proved for all n]

Therefore, it is proved that

$$a_n \leq 3^n \quad \forall n \geq 0.$$

(2) 3-1.8 We can extend our notation to the case of two parameters n and m that can go to infinity independently at different rates. For a given function $g(n, m)$, we denote by $O(g(n, m))$ the set of functions:

$$O(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c, n_0 \text{ and } m_0 \text{ such that } 0 \leq f(n, m) \leq cg(n, m) \text{ for all } n \geq n_0 \text{ or } m \geq m_0\}$$

Give corresponding definitions for $\Omega(g(n, m))$ and $\Theta(g(n, m))$

Sol: We can denote $\Omega(g(n, m))$ the set of functions

$$\Omega(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c, n_0 \text{ and } m_0 [c > 0, n_0 > 0, m_0 > 0] \text{ such that}$$

$$\boxed{0 \leq c \cdot g(n, m) \leq f(n, m)}$$

$$\text{for all } n \geq n_0 \text{ or } m \geq m_0\}$$

We can denote $\Theta(g(n, m))$ the set of functions

$$\Theta(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c_1, c_2, n_0, m_0 [c_1 > 0, c_2 > 0, n_0 > 0, m_0 > 0] \text{ such that}$$

$$\boxed{0 \leq c_1 \cdot g(n, m) \leq f(n, m) \leq c_2 \cdot g(n, m)}$$

$$\text{for all } n \geq n_0 \text{ or } m \geq m_0\}$$

- ③ 4.3-3 We saw that the solution of $T(n) = 2T(\lfloor n/2 \rfloor) + n$ is $O(n \lg n)$. Show that the solution of this recurrence is also $\Omega(n \lg n)$. Conclude that the solution is $\Theta(n \lg n)$.

Sol: Given that:

$$T(n) = 2T(\lfloor n/2 \rfloor) + n$$

To Prove:

Solution of the recurrence is $\Omega(n \lg n)$.

This implies, we need to show that there exists some +ve c, n_0 such that $T(n) \geq c \cdot n \lg n$ for all $n \geq n_0$.

We will try to prove this by "Proof by Induction".

Basis step:

When $n = 1$,

$$T(1) = 1 \lg 1 = 0$$

$$T(1) = 1 \geq c \cdot 1 \lg 1 \text{ for } c > 0$$

This shows that basis is true

Inductive hypothesis:

Let us assume that $T(k) \geq c \cdot k \lg k$ for all $k < n$
i.e. $T(k) \geq c \cdot k \lg k$

Now, we need to show for it is true for n as well

$$T(n) = 2T(\lfloor \frac{n}{2} \rfloor) + n$$

$$\geq 2c(\lfloor n/2 \rfloor \lg \lfloor \frac{n}{2} \rfloor) + n \quad [\text{From Inductive hypothesis}]$$

$$\geq c(n-1) \lg((n-1)/2) + n$$

$$\geq c(n-1)(\lg(n-1) - \lg 2) + n$$

$$\geq c(n-1)(\lg(n(1-1/n)) - \lg 2) + n$$

$$\geq cn \left[\lg n - 1 + \lg\left(\frac{n-1}{n}\right) + \frac{1}{c} \right]$$

$$-c(\lg n - 1 + \lg\left(\frac{n-1}{n}\right))$$

true for $0 < c \leq 1$

$$\geq cn \left[\lg n - 2 + \frac{1}{c} - \underbrace{\lg\left(\frac{n-1}{n}\right)}_1 \right] \quad [\text{Adding \& Subtracting 1}]$$

$$\geq cn \left(\lg n - 3 + \frac{1}{c} \right)$$

$$\geq cn \lg n \quad [\text{for } c = 1/3]$$

Hence, we arrived at
 $T(n) \geq cn \lg n$

2. It shows that $T(n) = \Omega(n \lg n)$

Since, $T(n) = O(n \lg n)$ (Given) & $T(n) = \Omega(n \lg n)$
 $T(n) = \Theta(n \lg n)$.

[Because $f(n) = \Theta(g(n))$ if $f(n) = O(g(n))$ & $f(n) = \Omega(g(n))$]

4) 4.5.1 Use Master theorem to give tight asymptotic bounds for the following recurrences.

a) $T(n) = 2T(n/4) + 1$.

Sol: Master Theorem states that

For $T(n) = aT(n/b) + f(n)$.

Case 1:

If $f(n) = O(n^{\log_b a - \epsilon})$ for some $\epsilon > 0$, then
 $T(n) = O(n^{\log_b a})$

Case 2:

If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$

Case 3:

If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some $\epsilon > 0$, &
if $a f(n/b) \leq c f(n)$ for some $c < 1$
then $T(n) = \Theta(f(n))$

Here $T(n) = 2T(n/4) + 1$
 $a=2, b=4, f(n)=1$.

$$n^{\log_b a} = n^{\log_4 2} = n^{\log_2 2^{\frac{1}{2}}} = n^{\frac{1}{2} \log_2 2} \\ = n^{\frac{1}{2}} = \underline{\underline{\sqrt{n}}}$$

$f(n) = 1 = O(n^{\frac{1}{2} - \frac{1}{2}})$ for $\epsilon = \frac{1}{2}$ [$O(n^{\log_b a - \epsilon})$]
 \therefore Case 1 of master theorem applies. $f(n) = O(n^{\log_b a - \epsilon})$

Hence, $T(n) = \Theta(n^{\log_4 2}) = \boxed{\Theta(\sqrt{n})}$

$$b) T(n) = 2T(n/4) + \sqrt{n}$$

$$\text{Here } a=2, b=4, f(n) = \sqrt{n}$$

$$n^{\log_b a} = n^{\log_4 2} = n^{\log_2 2^2} = \sqrt{n}$$

$$\text{Here } f(n) = \sqrt{n} = \Theta(n^{\log_b a}) = \Theta(\sqrt{n})$$

Case 2 of Master Theorem applies.

$$\text{Hence, } T(n) = \Theta(n^{\log_b a}) = \Theta(\sqrt{n})$$

$$\text{Hence, } T(n) = \Theta(n^{\log_4 2} \lg n) = \boxed{\Theta(\sqrt{n} \lg n)}$$

$$c) T(n) = 2T(n/4) + n$$

$$\text{Here } a=2, b=4, f(n) = n$$

$$n^{\log_b a} = n^{\log_4 2} = n^{\log_2 2^2} = \cancel{\sqrt{n}} n^{1/2} = \sqrt{n}$$

$$\text{Here } f(n) = n = \Omega(n^{1/2 + \epsilon}) \text{ for } \epsilon = 1/2$$

$$\text{and } af(n/b) = 2f(n/4) = 2 \times n/4 = n/2$$

$$n/2 \leq c \cdot f(n) \text{ i.e. } n/2 \leq c \cdot n$$

$$\text{for } c = 1/2$$

Case 3 of Master Theorem applies.

$$\text{Hence, } T(n) = \Theta(f(n)) = \boxed{\Theta(n)}$$

$$d) T(n) = 2T(n/4) + n^2$$

$$\text{Here } a=2, b=4, f(n) = n^2$$

$$n^{\log_b a} = n^{\log_4 2} = n^{\log_2 2^2} = \sqrt{n}$$

$$f(n) = n^2 = \Omega(n^{1/2 + \epsilon}) \text{ for } \epsilon = 3/2$$

$$2 \cdot f(n/4) = 2 \times \frac{n^2}{16} = \frac{n^2}{8} \leq c \cdot n^2 \text{ for } c = 1/8$$

Case 3 of Master theorem applies.

$$\text{Hence, } T(n) = \Theta(f(n)) = \boxed{\Theta(n^2)}$$