

## Ch #1

# Theory of Automata

⇒ The word automata is derived from Greek word "automata" which means "self acting".

⇒ Also mean automatic machine or self-controlled.

⇒ Automata Theory is the study of abstract computing devices or machines.

⇒ Automaton = an abstract computing device.

⇒ In an area of CS. deals with the study of abstract machines (mathematical models) as well as the computational problems that can be solved using them.

- Different names:-

- o Theory of computation
- o Theory of Turing machine
- o Theory of computer science
- o Theory of formal languages

Why we study it?  
→ It allows us to think systematically about what machine do without going into hardware details.

⇒ Learning of languages and computational techniques.

⇒ Designing of theoretical models for machines.

## # What are pillars of Theory of automata?

⇒ There are three pillars of TOA:

L: o Language

A: o Automata

G: o Grammar

## (1) Role of Alan Turing:-

⇒ A pioneer of automata theory  
o Father of modern Computer Science  
o English mathematician

Studied abstract machines called Turing machines even before computers exist

## Historical Perspective : TOA

1930s Alan Turing studies "Turing machines"

o Decidability

o Halting Problem

1940-1950s "Finite Automata" machines studied

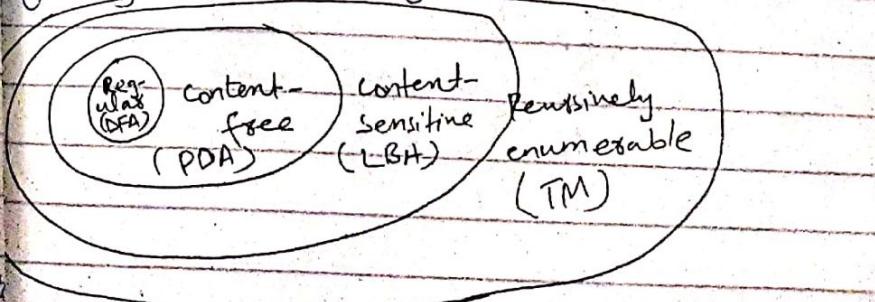
o Noam Chomsky proposes the "Chomsky hierarchy" for formal languages.

1969 Cook introduces "intractable" Problem  
o "NP-hard" Problems

1970 Modern Computer Science : Compile Computational & Complexity theory evolves.

## The Chomsky hierarchy

⇒ A containment hierarchy of classes of formal languages:



## Terminology

### (i) Letters:

=> Characters / Symbols out of which we build languages for machine.

Example:

a, b, c, d, ....

0, 1, 2, 3, ....

### (ii) Alphabet:

=> A finite, non-empty set of symbols / letters called alphabet.

=>  $\Sigma$  (sigma) is used to denote an alphabet.

Examples:

- Binary:  $\Sigma = \{0, 1\}$

- All lower case letters:  $\Sigma = \{a, b, c, \dots, z\}$

- Alphanumeric:  $\Sigma = \{a-z, A-Z, 0-9\}$

- DNA molecule letters:  $\Sigma = \{a, c, g, t\}$

### (iii) String:

=> Collection of alphabets, concatenation of letters OR Sequence of letters without follow any rule is called string.

Example:

aa, bb, ab.

### Words:

=> when a string is according to some rules then that string is called words.

for example:

- Rule we have : a string begin with a and end with b

$L_1 = \{ab, bb, bab, \dots\}$

### Language:

=> A set of strings with rules is called language.

=> L is said to be a language over alphabet  $\Sigma$ .

- only if  $L \subseteq \Sigma^*$   
→ this is because  $\Sigma^*$  is the set of all strings (of all possible lengths) over the given alphabet  $\Sigma$ .

Example:  
1. Let  $L$  be the language of all strings consisting of  $n$  0's followed by  $n$  1's:

$$L_1 = \{\epsilon, 01, 0011, 000111, \dots\}$$

2) Let  $L$  be the language of all strings of even length consisting of 0's and 1's:

$$L = \{\epsilon, 01, 10, 0011, 1100, 0101, 1010, \dots\}$$

### v) Empty language:-

⇒  $\emptyset$  denotes the empty language.

$$\circ L = \{\epsilon\}$$

$$\circ L = \emptyset \text{ (false)}$$

or

### Empty String:-

⇒ String that has no letters, also known as Null String.

⇒ denoted by  $\alpha$ ,  $\lambda$  or  $\epsilon$ .

⇒ Its length is zero (0).

### length of string:-

⇒ The number of letters in a string is called length of the string.

⇒ It is denoted by  $|s|$ .

#### Example:

$$s = abab$$

$$|s| = 4$$

$$\text{length}(s) = 4 \text{ or } \text{length}(abab) = 4$$

### Reverse of string:

⇒ Also called palindrom string.

⇒ Reverse of a string is obtained by writing the letters of string in reverse order.

⇒ It is denoted by  $\text{Rev}(s)$  or  $s^r$  or  $\text{Reverse}(s)$ . e.g.  $aa, aba, bbb, \dots$

Example:

$$S = abab$$

$$Rev(S) = baba$$

$$Reverse(S) = baba$$

## iii) Power of String:-

- Determines the length of string.

Example:

$$(bab)^2 = babbab$$

$$ba^2b = baab$$

## x) Powers of Alphabet:

that the strings made

Note:-

$$\text{Sets: } \emptyset = \{\} \neq \{\emptyset\}$$

$$\text{Set size: } |\{\emptyset\}| = |\emptyset| = 0$$

(i) Determined will be of length n

(ii) from alphabet.

to power of alphabet.

$\Rightarrow$  Let  $\Sigma$  be an alphabet

$$\text{Set size: } |\{\Sigma\}| = 1$$

String length:

$$|\Sigma| = 0$$

o  $\Sigma^k$  = the set of all strings

of length k.

o  $\Sigma^*$  = set of all possible strings from alpha

$$\Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$$

Also known as Kleene closure:

The set of strings formed by concatenating strings of  $\Sigma$ .

o  $\Sigma^+ = \text{set of all possible strings from } \Sigma \text{ except } \emptyset$

$$\Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$$

o Denoted by  $\Sigma^*$

it is uncountable power,

represent infinite number of terms

e.g.  $a^* = \{\lambda, aa, aaa, \dots\}$

Total no of  $= n^{m - \text{length power}} = 2^2 = 4$

Letters malpalat

## (xi) Example:

$$\Sigma = \{a, b\}^2$$

$$= \{aaa, aab, bab, bbb\}$$

$$n^m - \text{length power} = 2^2 = 4$$

can be made including empty string

- it is the infinite set of all possible strings of all possible lengths including  $\epsilon$

## Kleene Plus:

$\Rightarrow$  Also known as Kleene closure time, positive closure.

$\Rightarrow$  Denoted by  $+$ .

$\Rightarrow$  it is undetermined power, represent infinite numbers of terms can be made except empty string.

e.g

$$a^+ = \{ a^* - \lambda \}$$

Note:

$$(a+b)^*$$

$\Rightarrow$  Means any no of As and any number of Bs

## Formal Language

## Informal Language

$\Rightarrow$  Method in which strings of a language have length. e.g strings of shortest length first. e.g strings are grouped by

## Difference b/w formal & informal language.

### Formal Language

○ concerned with just rules / syntax

○ concerned with rules and meaning not with meaning

○ also known both

○ also known as syntactic

e.g language starts with w and ends with o

## Lexicographic Order:

$\Rightarrow$  Automata focus on formal language.

Q How do automata accept or reject the input?

- There are different methods with which we can define different languages

### Descriptive Definition:

⇒ it is one of the language defining methods.

⇒ In this method, we simply describe the condition imposed on its strings / words.

Language Name = { Definition }.

Example:

$L_1$  = { set of all strings that starts with a and ends with a }

Q. Define a palindrome language with descriptive definition?

Palindrome = {  $\lambda$ , and all. strings }

$x$  such that  $\text{reverse}(x) = x$  }

e.g.

Palindrome = a, b, aa, bb, aaa, bba..}

What is recursive definition?

⇒ It is one of the language defining methods.

⇒ In this method, we specify some objects in the set. The number of basic objects specified must be finite.

⇒ Second, we give a finite number of rules for constructing more objects in the set from the one we already know.

⇒ Third, we declare that no objects except those constructed in this way are allowed in the set.

Example : Recursive definition for the even numbers:

Rule 1: 2 is in P-Even.

Rule 2: if n is in P-Even, then so is  $n+2$

Rules: The only elements in the step set P-Even are those can be produced from the two rules above.

$P\text{-Even} = \{2, 4, 6, 8, 10, \dots\}$

Example:

Recursive definition for palindrome:-

Rule 1: If  $w$  is a and  $b$  is also in palindromes, then so are

Rule 2: If  $w \in$  Palindromes, then so are  $w^r$  and  $wbw$

Rules: No other string in the palindromes unless it can be produced by some rules 1' and 2.

by rules 1' and 2.

## IMP Points:

$\rightarrow$  RE  $\rightarrow$  Generators

Regular expression language



Regular grammar

DFA NFA

Operations

Primitives RE:

- 1)  $\emptyset$  is empty set
- 2)  $a$  is null string
- 3)  $a \in \Sigma$  set of input strings

## Regular Expressions:-

$\Rightarrow$  It is one of the language defining methods.

$\Rightarrow$  The language accepted by finite automata are easily described by simple expressions called regular expressions.

$\Rightarrow$  The regular expression is the most effective way to "represent any language".

The language accepted by some regular expression is known as "regular language".

$\Rightarrow$  In this method, language is represented in terms of strings (Power, concatenation, union)

## Example:

String contains only a letter

$$L = \{a, aa, aaa, aaaa, \dots\}$$

$$R = a^*$$

Example: Strings starts with a and

contains any b letters

$$L = \{a, ab, abb, abbb\}$$

$$R = ab^*$$

String contains a and b  
 $L = \{a, b\}$

$$R = a+b$$

String contains any a or any b.  
 $R = (a+b)^*$

String starts with a and ends with a  
 $L = \{aa, aaa, aba, aaba\ldots\}$   
 $R = a(a+b)^*a$

### Definition:

$\Rightarrow$  Let R be a regular expression over alphabet  $\Sigma$ , if R is:

- o  $\epsilon$  is regular expression, denoting the set  $\{\epsilon\}$ .
- o  $\phi$  is the regular expression  $\{\}$  denoting the set empty  $\{\}$ .
- o For each symbol  $a \in \Sigma$ , a regular expression denoting the set  $\{a\}$ .
- o Union of two RE is also regular.  $R_1 \cup R_2 = \text{regular}$ .
- o Concatenation of two RE is also regular.  $R_1 R_2$

- o Kleene closure of regular expression is also regular.  $R^*$
- o If R is regular,  $(R)$  is also regular.
- o Nothing else. Repeat 1 to 7 recursively.

**Q. Define a RE for language that contains the substring ba?**

$$(a+b)^*ba(a+b)^*$$

**Q. All strings which do not contain the substring ba?**

$$a^*b^*$$

**Q. All the strings which do not contain the substring oo.**

$$0+1^*+1^*+1^*01^*$$

**Q. All the strings which do not contain the substring 101**

$$0^*1^*000^*1^*0^*$$

$\rightarrow$  The set of all strings over  $\{a, b, c\}$  do not contain substring ac.  
 $RE = (C^*(a+(bc)^*))^*$

language having at least one a

$$(a+b)^* a (a+b)^*$$

language of all words that have at least two a's

$$R.E = (a+b)^* a (a+b)^* a (a+b)^*$$

language of all words that have at least one a and at least one b

$$R.E = (a+b)^* a (a+b)^* b (a+b)^* + (a+b)^* b (a+b)^* a (a+b)^*$$

language of all words that have at least one a or at least one b.

$$R.E = (a+b)^* a (a+b)^* + (a+b)^* b (a+b)^*$$

The language of even length:

$$R.E = ((a+b) \cdot (a+b))^*$$

The language of odd length

$$R.E = ((a+b)^2 (a+b))^*$$

$$((a+b)(a+b))^* (a+b)$$

String of length 2 start with a

$$R.E = aa + ab$$

String all start and end with double letters

$$R.E = (aa+bb) (a+b)^* (aa+bb)$$

All words that contain exactly one a or one b

$$R.E = b^* a b^* + a^* b a^*$$

All words don't end with ba

$$R.E = (a+b)^* (ab+bb+aa)$$

All words do not begin with b

$$R.E = a (a+b)^* + a$$

All words that contain at least one double length

$$R.E = (a+b)^* (aa+bb) (a+b)^*$$

String in which no bab occurs

$$a^* b^*$$

$$a^* (b^* a a^*)^* b^* a^*$$

String in which no abb occurs

$$b^* (a+b)^*$$

String all words of length  $\geq 3$

$$(a+b)(a+b)(a+b)^*$$

Language starts with a ends with b and having odd length

$$R.E = a ((a+b)(a+b)(a+b))^* b$$

all those strings whose

Set of symbol

3rd  
0.

$(c+1)^* 0 (0+1) (0+1)$

is a string having even length

having even length

All those string starting with a

and string with b.

String's length odd

$(a^n + b^m + (ab + ba)) (aab + bba)^* (abb + bba)^*$

$a^n (E^*) + a^m (E^* E) (aab + bba)^* (E \cdot E)$

$b^m (E^*) + a^m (E^* E) (aab + bba)^* (E \cdot E)$

(i) String contains even no of 0's

$(0+1)^* 0 (0+1)^*$

String nothing ends with b or ba

String in which every 0 is followed by 1

immediately followed by 1

R.E:  $(011+1)^*$

R.E that contains even a's &

even b's

R.E:  $(aab + bba)^* (aa+bba)^*$

String that contains even no of a's

R.E:  $b^* + (b^* ab^* ab^*)^*$

R.E that contains even no of 1's

$= a^* + (a^* b a^* b a^*)^*$

Strings not ending in 01

$(0+1)^* (00+11)^* 10$

All strings having even no of 0's

$R.E = [1^* + (01+10)(00+11)^* (01+01)]^*$

Language that contains odd no of 0's

$R.E = (a^* b a^*) (a^* b a^* b a^*)^*$

Language that contains odd no of a's & b's

$= (Even)^* (aabba) (Even)^*$

$= (aabba + (aabba)^* (aabba)) (aabba)^*$

$(aabba)^* (aabba + (aabba)^* (aabba)) (aabba)^*$

language which has length 4 and start & end with different letter

R.E:  $c_1 (a+b)(a+b)b + b (a+b)(b+a)a$

language which do not contain ab.

$R.E = b^* a^*$

language of all strings that have at least 1 'a' & 1 'b'

$(a+b)^*$

language of all strings that have at least 1 'a's & 1 'b's

$(a+b)^* a (a^* b b^*)^*$

language that has exactly 1 'a'  
& must start with 'b'

$(b+ba+baa)^* a a a (b^* ba+baa)^*$

language of all strings that do not contain 'bbb's

$(\lambda + b + bb)(a+ab+abb)^*$

or  
 $a^*(b+\lambda) a^* b a^* b a^*$

language of all strings in which 'aa' is substring of 'bbb' but not both

$(\lambda + b + bb)(a+ab+aaa)(\lambda + b + bb)(a+ab+abb)^*$   
 $+ (\lambda + a + aa)(b^* ba+baa)^* bbb (\lambda + a+a)$   
 $(b+ba+baa)^*$

R.E. for all strings that have exactly one double letters in them.

$(b+\lambda)(ab)^* aa (ba)^* (b+\lambda) + (a+\lambda)(ba)^* bb (ab)^*$   
 $(a+\lambda)$

All strings do not end in double letters

$(a+b)^*(ab+ba) + a+b+\lambda$

Construct a regular expression for all words in which 'a' appears to appear if at all, this means every clump of 'a' contains 3 or 6 or 9 or 12 a's

R.E.  $(aaa+b)^* + (b+aaa)^* + ((aaa)^* b)^* + (b^* (aaa)^*)^*$

All strings that have odd no of 'a's & odd no of 'b's

Even-Even  $(ab+ba)^*$  Even-Even

String with next-to-last symbol is 0?

$(0+1)^* 0 (0+1) \text{ or } (0+1)^* 0 1^* 0 1^*$

String ending with 0.

$(0+1)^* 0$

String with even no of 0's & odd no of 1's

= 1 (Even-Even) + 0 [E-E (01+10) E-E]

language which do not contain a double letter  
 $b(ab)^* a + a(1a)^* b$

String with odd no of 1's

$$= (010^*) (0+10^1) 10^*$$

(~~odd~~)

String ending in 1 & not  
containing 00.

$$= (01+1)^*$$

All strings with no occurrence of 00

$$1^* (011)^* (0+1)^*$$

All strings with exactly one occurrence  
of 00

$$1^* (011)^* 00 (11x0)^* 1^*$$

All the strings not containing 000.

$$(1+01+001)^* (1+0+00)$$

Strings that start with aa, end with  
bb, and have alternating sub-  
string ba in between.

$$a (ab)^* b$$

or

$$(1+00000^*)^*$$

String contain at most one b.

All strings in which every substring  
of length at least 2  
appears for every 1.

$$000 (1+01+001)^* 0^*$$

$$(b^*ab)^* 2^* + (bab^*)^* bba$$

L1  
Start with zero and has total length at least 2.  
- contains 0 or 1 1's  
Odd - Odd  
 $(a+b)^* ((ab)^* (a+b))^* + ((ab+ba)(ab+ba))^*$   
Even - Even  
 $(a+b)^* ((a+b)^* (a+b))^* + ((ab+ba)(ab+ba))^*$

The language of all words without double

$$a^* b^* (abb^*) a$$

R.E =

The language of even no of a's  
followed by odd no of b's & then no of b's followed by odd no  
of a's

$$R.E = (aa)^* b (bb)^* + (bb)^* a (aa)^*$$

or

$$a (ab)^* b$$

or

$$a (ba)^* b$$

The even length of strings both ab & ba

$$(aa+bb+ab+ba)^*$$

G1E

$\Rightarrow A^{ll}$  words  
Substrings bba and abb.  
 $a^*(baa^*)^*b^* + b^*(aab)^*a^*$

The odd length strings of a's & b's

$(a+b)(aa+bb+ab+ba)^*$

String that do not contain both a and b in sum

RE =  $a^* + b^*$

Language in which either a come before b or b come before a.

$$= a^*ba^* \text{ or } \\ = a^*(\lambda + b) \\ (a+b)^*a(a+b)^*b(a+b)^* + (a+b)^*b(a+b)^*a$$

Language that do not contain the substring ac

$$(c^* (a + (bc^*)^*))$$

Book definition:

- The language-defining symbols we are about to create are called regular expressions.
- Regular expressions itself recursively.
- The language associated with these expressions are called regular language.

## of Finite Automata

$\Rightarrow$  Automata is plural of Automaton.

Automata means self-controlled machine.

$\Rightarrow$  It is a method for defining language.

$\Rightarrow$  It is a graphical method for the representation of regular language.

$\Rightarrow$  Finite automata is also called finite automata machine.

$\Rightarrow$  A finite automata has 3-tuple, where

- States  $\xrightarrow{\text{final}} Q_0 \rightarrow Q$
- alphabets  $\rightarrow \Sigma$
- Transition ( $\delta$ )

$\Rightarrow$  it is acceptor of regular language

### 1) Alphabets:

- An alphabet  $\Sigma$ , of possible input letters from which are formed strings, that are to be read one letter at a time.

### 2) States:

- A finite automata contains finite no of states.
- States are like a place.

## Types of States

initial state

Final ~~terminal~~ is final intermediate state

### Initial state: (av)

=> Every finite automata always start with  $av_0$ , that is called start state or initial state.

=> In FA there will be only one initial

state. It is state that the machine naturally starts before it reads any input.

=> It is represented by: - it is entry point.

$\rightarrow av_0 \text{ or } \ominus \text{ or } 1$

### Final state:

=> In FA the minimum number of final state should be 1 and maximum number of final states can be more than 1.

=> It means we may have more than 1 final states in FA.

=> At final state machine may stop or may not stop depend on our language (input).

=> It is represented by:

$\oplus \text{ or } \circlearrowright$

If it is state where the machine halts when it has no input left. Also called accepting state.

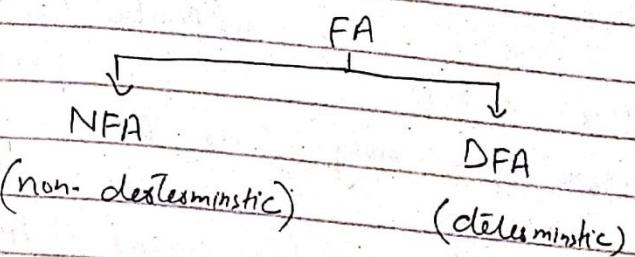
## Q (States / normal states)

- These are the states other than initial and final states.
- These are such states from which our FA neither start nor finish.
- These are represented by:  $\circ$

## 3) Transition / movement:-

- Transition or movement that tell for each state and for each letter of the input alphabet which state to go to next.
- It is represented with arrow  $\rightarrow$  sign.

## Types of FA



DFA:  $(Q, \Sigma, \delta, q_0, F)$

- Output already known (has only one next state)
- o Deterministic means that each string and thus each state sequence is unique.
- o In DFA, for each input symbol, one can determine the state to which the machine will move. Hence, it is called deterministic finite automata.
- o The FA are called DFA if the machine is read an input string one symbol at a time.

$\Rightarrow$  Remembers 3 points for DFA.

- i) No empty string
- ii) No transaction from each state is equal to number of letters given in alphabet.

for example:

if  $\Sigma = \{a, b\}$ . This alphabet has two letters a & b.

That means from every state there will be two transitions.

iii) it may also contain reject state

### Dead State / Dead end state

- o it is also called reject or trap state.
- o Once the machine enters a dead state, there is no way for it to reach an accepting state.
- o it never allows us to reach final state.

To accept string:

All the input string is scanned & the last state is accepting.

To reject a string:

All the input string is scanned & the last state is non-accepting.

### Proper Definition:-

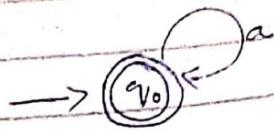
- o It is a type of FA that used to define language in which nondeterministic state is already known.
- o it consists of 5 parts.

### Limitations:

- o Limited memory
- o Linear power not suitable
- o strings without comparison

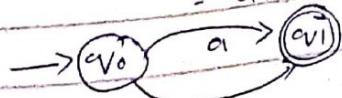
DFA that accept any no of a's

$$RE = a^*$$



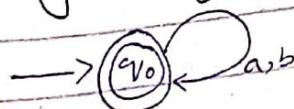
DFA that accept either a or b.

$$= a + b$$

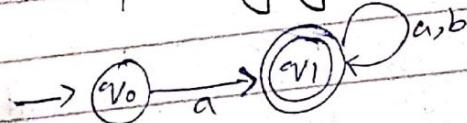


DFA that accept any no of a's & any no of b's

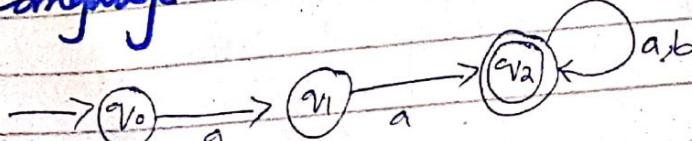
$$= (a+b)^*$$



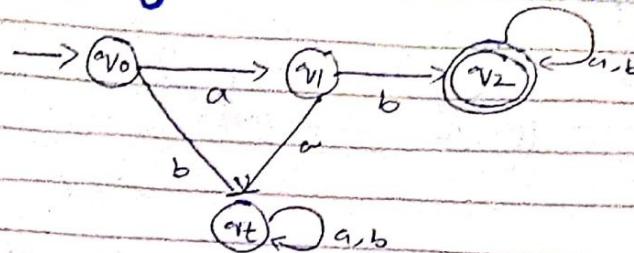
DFA for language that start with a



Language that start with aa

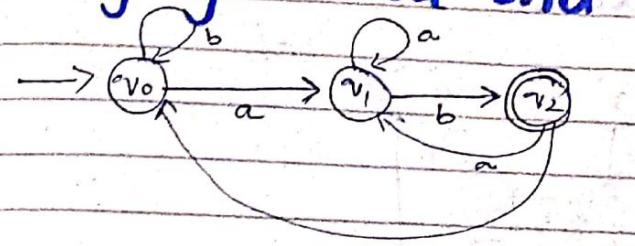


Language that start with ab

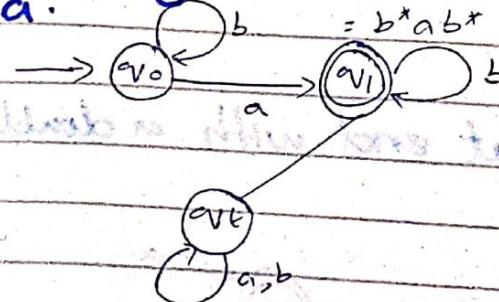


Language that end with ab.

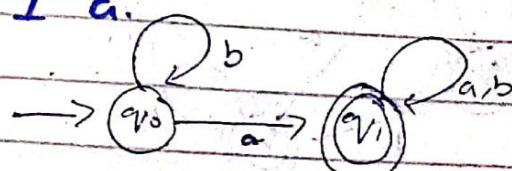
$$= (a+b)^* ab$$



Language that accept exactly one a.

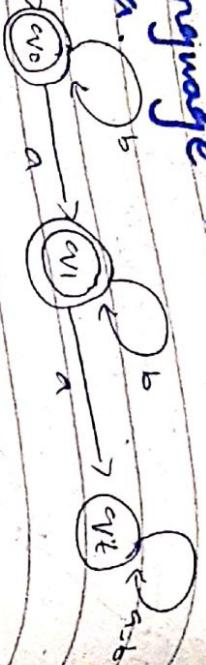


Language that accept at least 1 a.



that accept at most

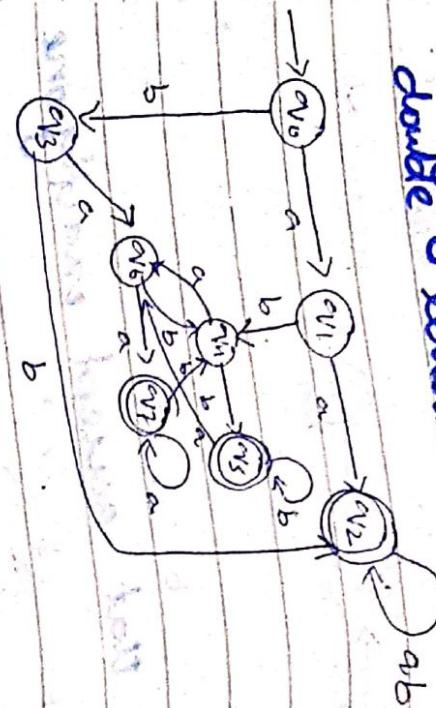
19



language that  
different first

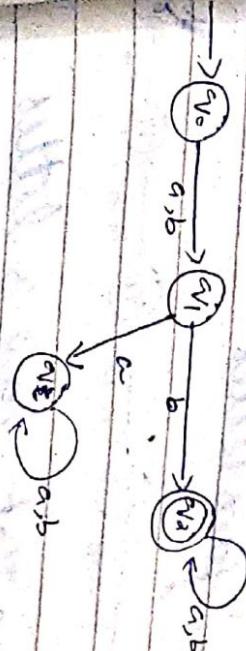
**different first** except string having  
**last letters**

Language that begins and ends  
double letters



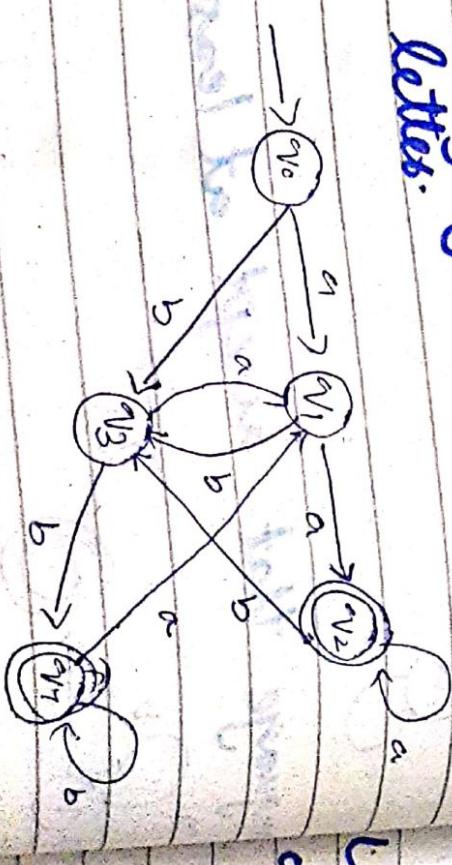
language that except to as a  
and letter

$$= \underline{(a+b)} b \underline{(a+b)}$$



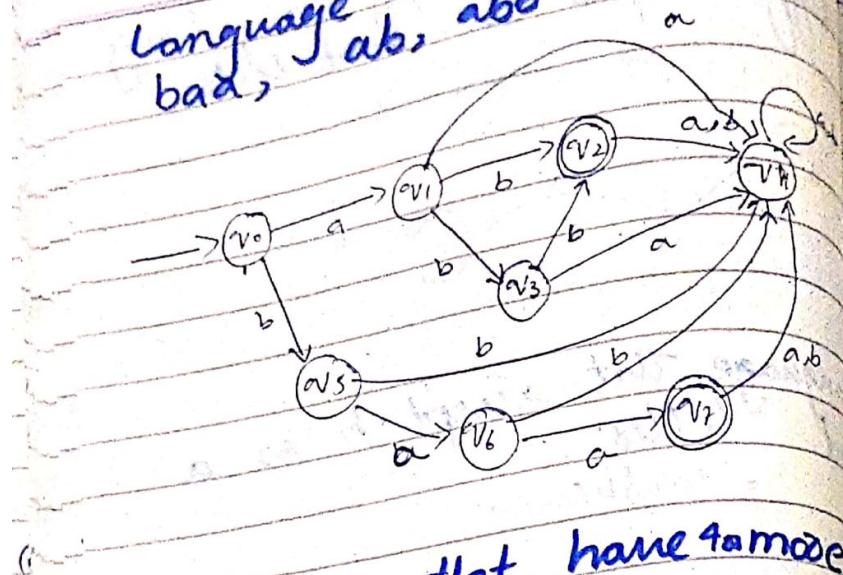
Language that end with ad.  
Letters:

Language that except letters  
of even length.

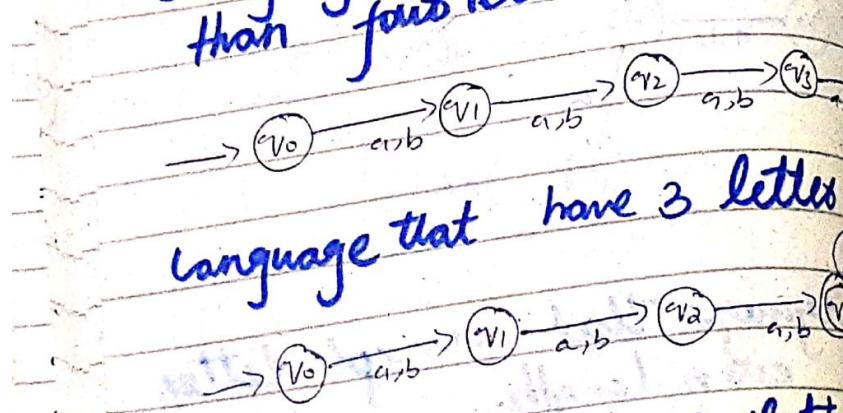


REGIS

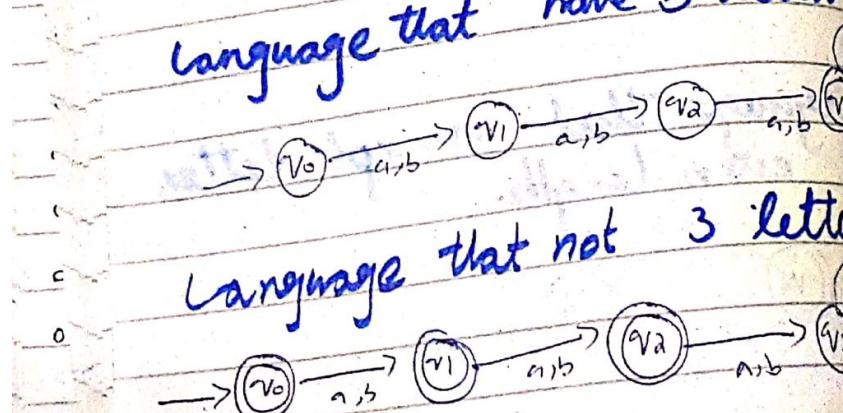
language that contains only  
bad, ab, abb



language that have 4 mode  
than four letters

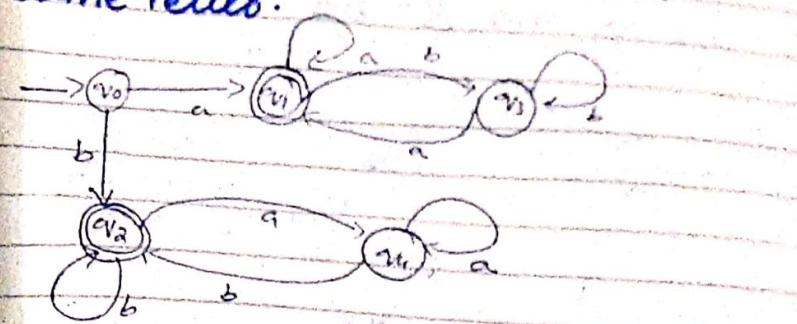


language that have 3 letters

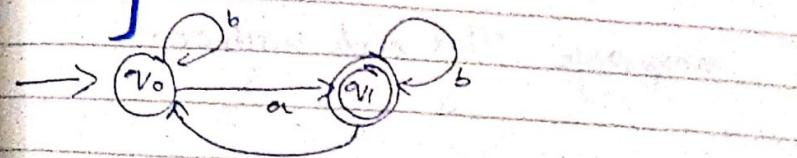


language that not 3 letter

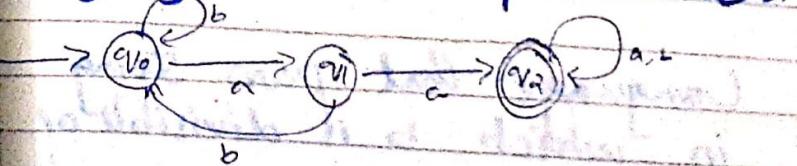
language that start & with  
Same letters.



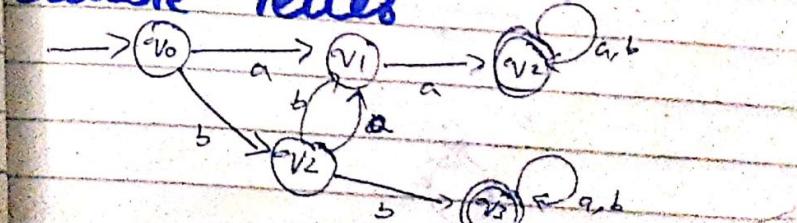
language that accept odd  
no of a's



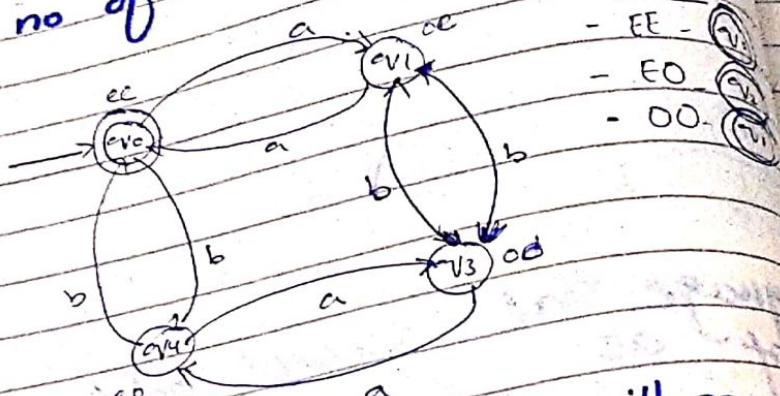
language that accept double a



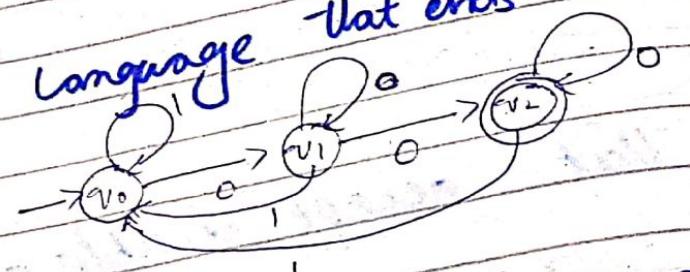
language that Contains one  
double letters



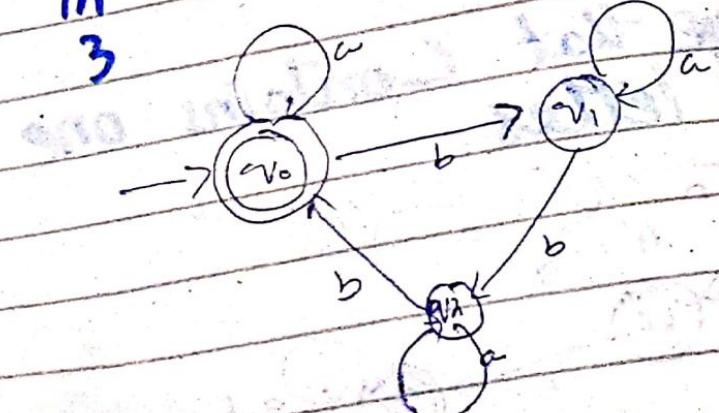
Language that accepts strings  
no of 'a's & 'b's



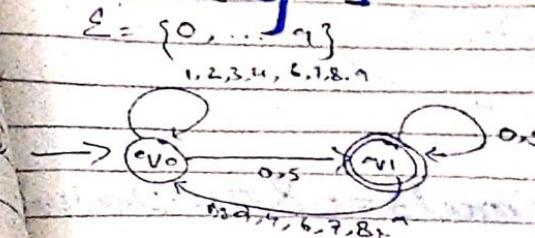
Language that ends with 00



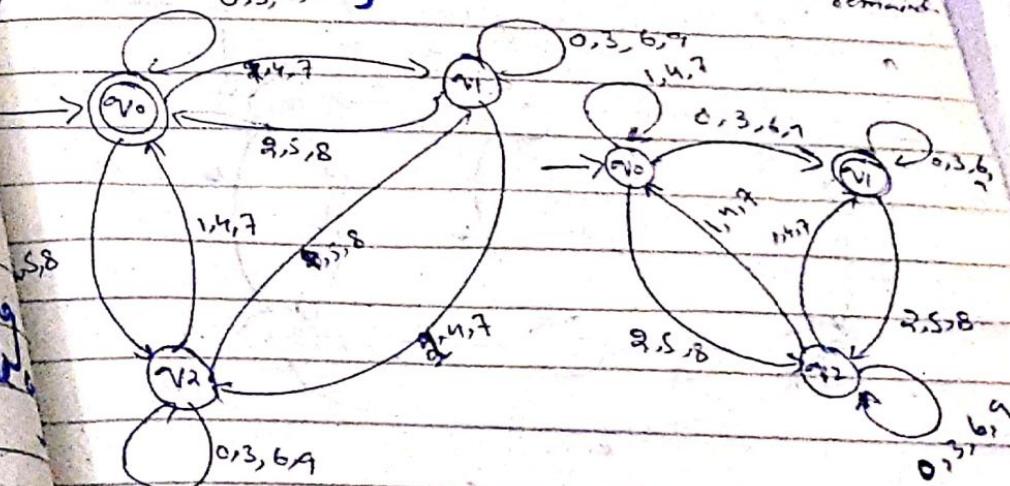
Language that accepts strings  
in which b is divisible by 3



Language in which string is  
divisible of 5.

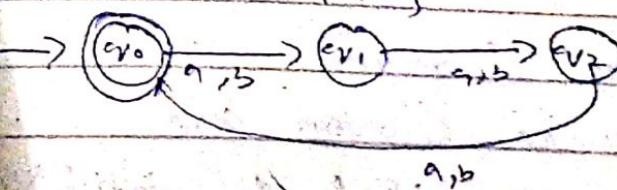


Language that accept strings  
of divisible of 3



language  $L = \{w : |w| \bmod 3 = 0\}$

$$\Sigma = \{a, b\}$$





# Non-deterministic

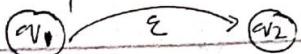
## Finite Automata

⇒ it is a collection of three things:

- A finite set of states with one start state and some final states (+).
- An alphabet  $\Sigma$  of possible input letters.
- A finite set of transition that describes how to proceed from each state to other states along edges labeled with letters of the alphabet.

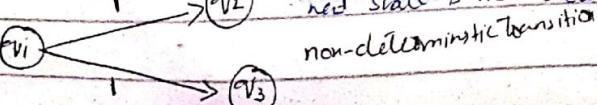
### Flexibilities

- Allow transition of an empty string  $\lambda$  or  $\epsilon$ . That's why it is called  $\lambda$  transition.
- epsilon transition.



- Also allows transition from one state to different states given a character.

one letter can move to more than one state, non-deterministic transition



• Not necessary to pass every alphabet from every state.

• No loop state exist because characters that do not need we do not pass them simply.

### Note:

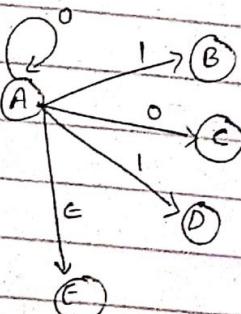
- Every FA is an NFA.
- But every NFA is not FA.
- By Kleene Theorem, every TG has an equivalent FA.

Therefore:

languages of FA's ⊂ languages of NFA's ⊂ languages of TG's = languages of FA's

⇒ it is simple and easy to design because there are less restrictions.

e.g.



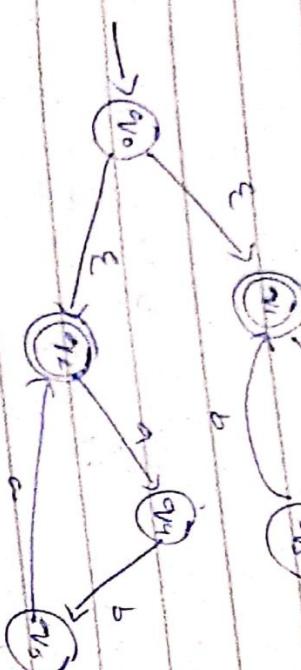
## Example:

### Constructed NFA.

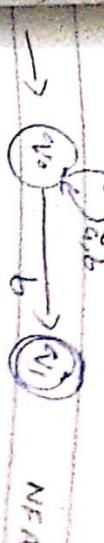
(i)  $a(bab)^* \cup a(bca)^*$



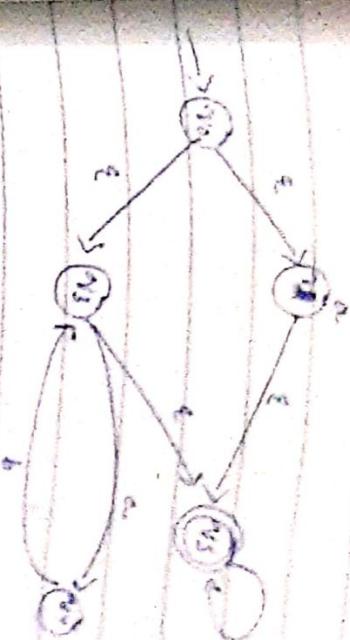
(ii)  $(abc)^* \cup (aba)^*$  or



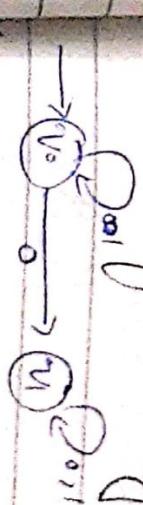
(iii) Language that ends with b.



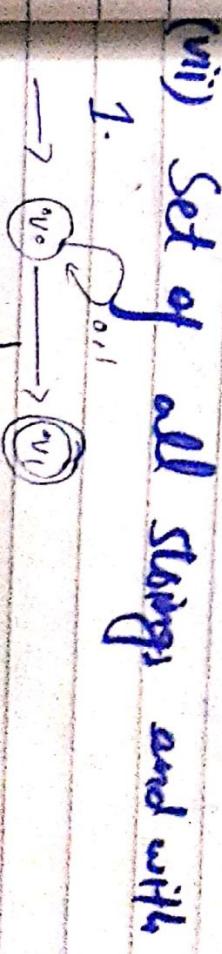
(iv)  $(a^* + (abc)^*)b^*$



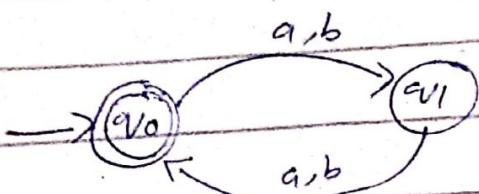
(v) Set of all strings containing 0.



(vi) Set of all strings ending with 0.



Language that pass even letter strings

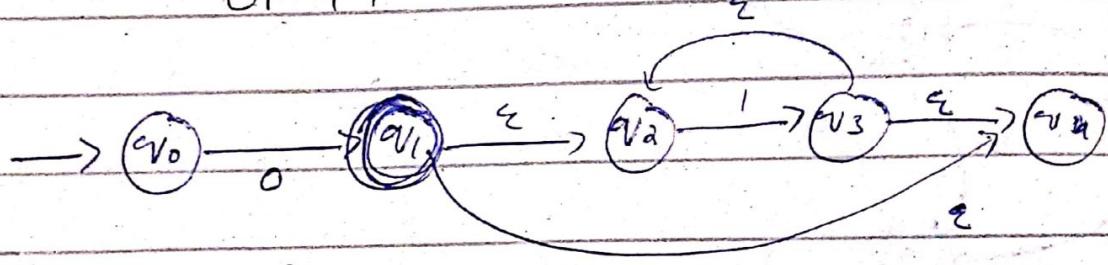


OR

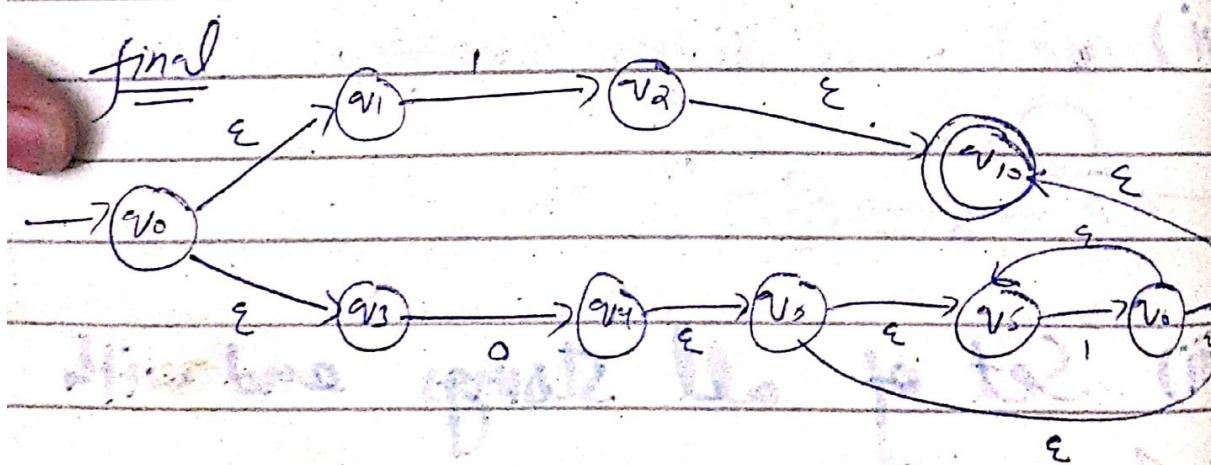


Construct NFA for regular expression:

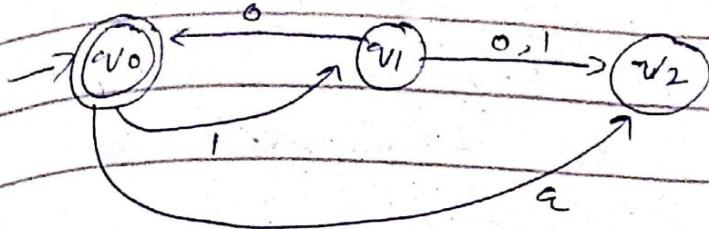
$01^* + 1$



for  $01^*$



1) for language  $L(m) = \{(10)^n : n \geq 0\}$

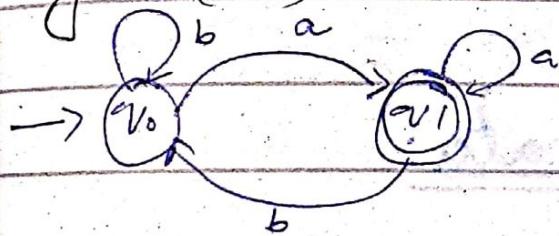


## \*Difference between DFA and NFA.

### DFA

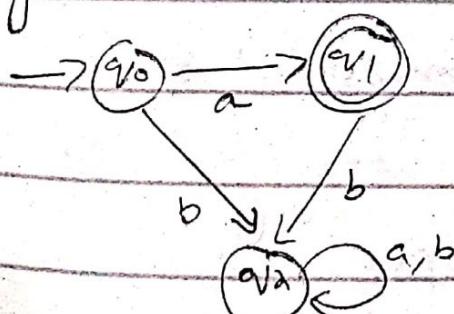
$\Rightarrow$  One letter cannot move to more than one state, there is only one output so the next state is already determined.

$$\text{e.g } R = (a+b)^*a$$



$\Rightarrow$  Dead state is required.

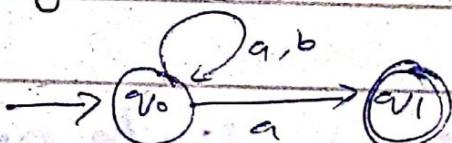
$$\text{e.g } R = a$$



### NFA

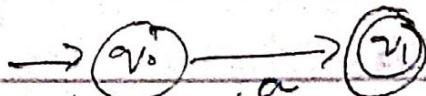
$\Rightarrow$  Letters can move to more than one state, next state is not determined.

$$\text{e.g } R = (a+b)^*a$$



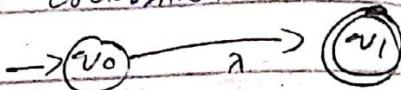
$\Rightarrow$  Dead state is not required.

$$\text{2.5 } R = a$$



3) Do not allow  $\epsilon$  or null transition.

3) Allows null / epsilon transition.



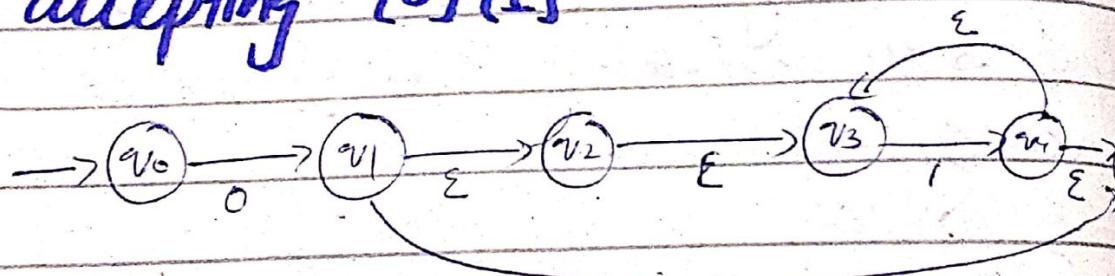
4) Sometimes hard to construct as compared to NFA.

4) Easy to construct as compared to DFA.

5) Requires more space.

5) Requires less space.

Give an example of an NFA accepting  $\{0\}\{1\}^*$



Use of finite automata:

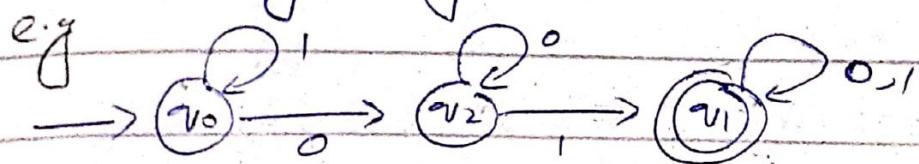
o for building different kinds of software including:

- Lexical analysis Component of a Compiler and systems for verifying the correctness of circuits or protocols

## What is Transition diagram?

⇒ A transition diagram or state diagram for a DFA  $A = (Q, \Sigma, \delta, q_0, F)$  is a directed graph defines as:

- o There is a node for each state in  $Q$ , which is represented by the circle.
- o There is a directed edge from node  $q$  to node  $p$  labeled  $a$  if  $\delta(q, a) = p$ .
- o In the start state, there is an arrow with no source.
- o Accepting states or final states are indicating by a double circle.



## What is Transition table?

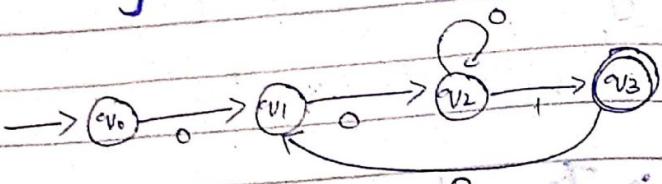
⇒ A transition table is a conventional, tabular expression representation of a function like  $\delta$  that takes two arguments and returns a value.

⇒ The rows of the table correspond to the states, and the columns correspond to the inputs.

$\Rightarrow$  The entry for the row corresponding to state  $v_i$  and the column corresponding to input  $a$  is the state  $\delta(v_i, a)$ .

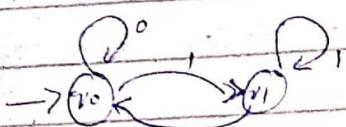
$\delta$	0	1
$\rightarrow v_0$	$v_2$	$v_0$
$\times v_1$	$v_1$	$v_1$
$v_2$	$v_2$	$v_1$

NFA for language in which every 1 there is at least one zero



DFA for binary number is even:

$v_0$  - ends with 0 - even  
 $v_1$  - ends with 1 - odd



## What is Transition graph?

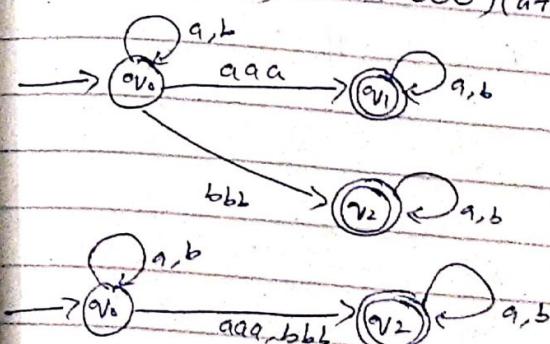
it is a method to define a language

### Rules:

- TG can have more than one initial states / final states.
- Dead end state is not required.
- One letter can move to more than one state.
- Can read more than one character at a time (it allows substring transition).
- Every TG is NFA.
- it allows epsilon / null / lambda transition.

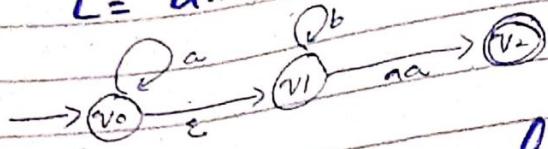
Draw TG that accepts all words with triple aaa or bbb ones  $\Sigma = \{a, b\}$ .

$$RE = (a+b)(aaa+bbb)(a+b)^*$$



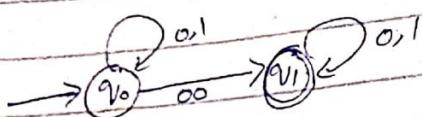
Q. Construct TG for:

$$L = a^* b^* a^*$$



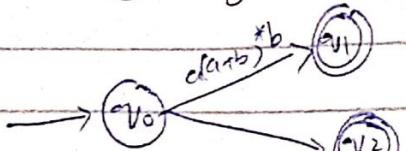
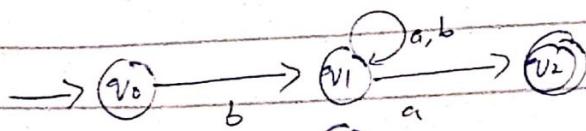
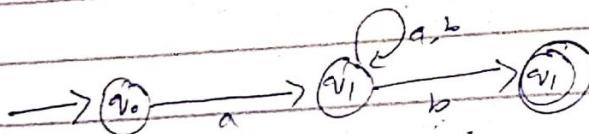
Q. Construct TG of a language that accepts the strings having "00" as substring.

$$R.E = (0+1)^* 00 (0+1)^*$$



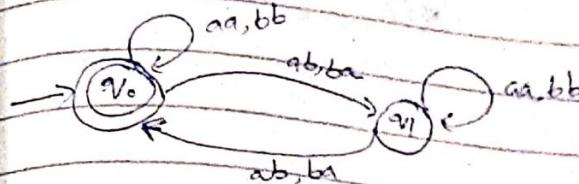
Construct TG of a language that accepts the strings having 0's at starting and ending letters.

$$R.E = a(a+b)^* b + b(a+b)^* a$$

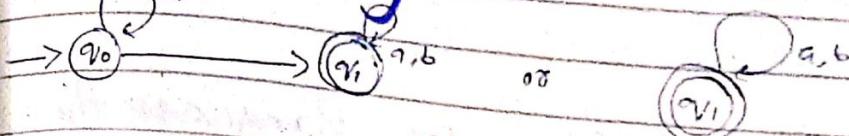


Transition graph for even no of a's & even no of b's.

$$R.E = [(aa+bb)^* (ab+ba)(aa+bb)^* (ab+ba)]^*$$

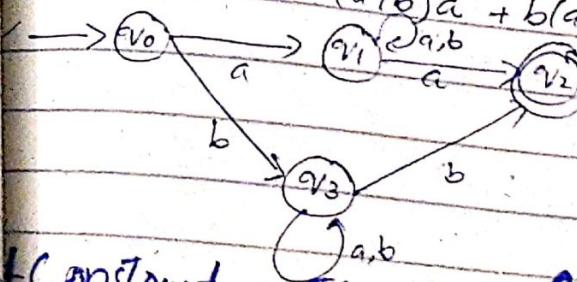


Construct TG for language of all strings including 1.



Construct TG for language that starts and ends with same letter.

$$R.E = a(a+b)^* a + b(a+b)^* b$$

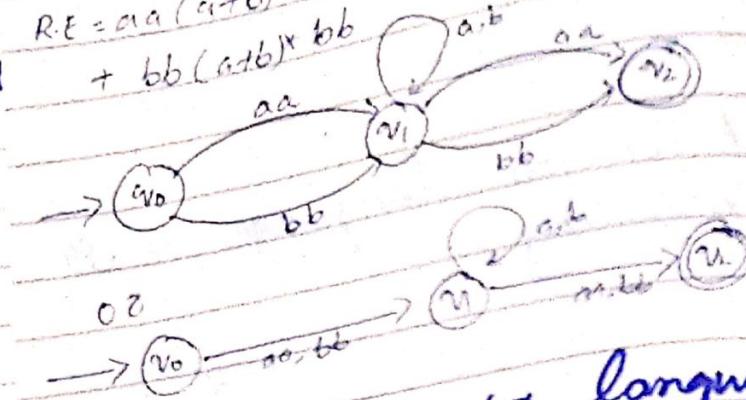


Construct TG for language that ends with b.

$$R.E = (a+b)^* b$$

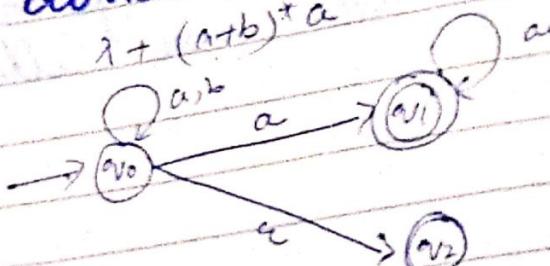
TG for language that ends  
and starts with double letter

$$R.E = aa(a+b)^*aa + bb(a+b)^*bb + bba(a+b)^*ba$$



Constrained TG for language that  
do not end with b.

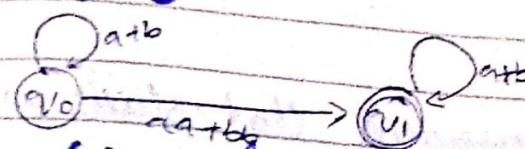
$$\lambda + (a+b)^*a$$



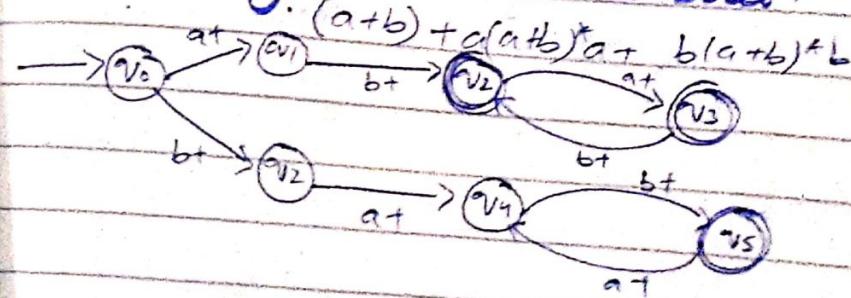
## GTG

- GTG consists of finite numbers of states.
- At least one start state and some (may be none) final states.
- GTG consists of finite set of input letters from which input strings are formed.
- Only one arrow for all states.
- GTG pass regular expressions.

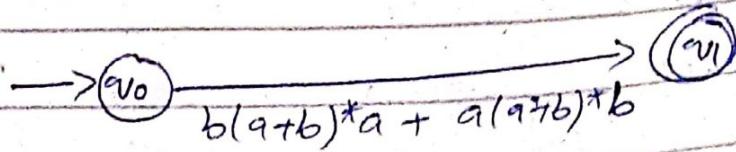
Construct GTG for language that contains either double a or double b.



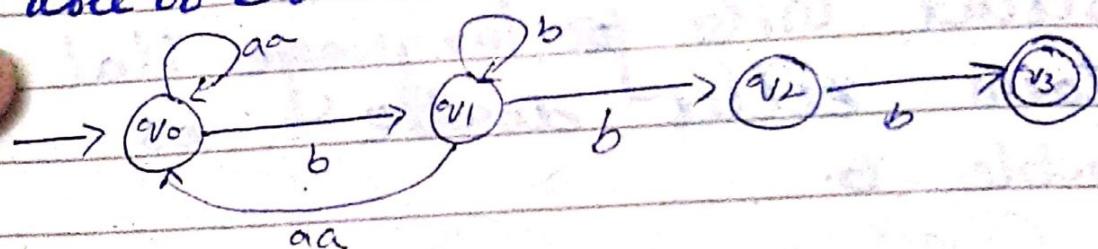
GTG for language that beginning  
and ending with same letter.



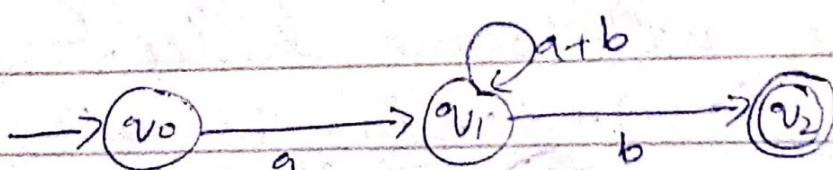
GTG for language that begin  
and ending in different letters?



TG for language in which a  
occurs  $a$  and  $b$  occurs more than  
once or equal to once



GTG for language that starts with  
a and end with b.



# Part Papers

- 1) Define regular expression?
- 2) Difference b/w kleene star closure and plus?
- 3) what is difference b/w words and strings?
- 4) Define NFA. Give an example?
- 5) Difference b/w deterministic and non-deterministic finite automata?
- 6) what is null string?
- 6) What is palindrome?
- 7) What is the concept of valid and invalid alphabet?
  - o While defining an alphabet of letters consisting of more than one symbols, no letter should be started with any other letter of the same alphabet.
  - o One letter should not be the prefix of another. However, a letter may be avoided in the letters of same alphabet. One letter may be the suffix of another.

Ex:

$\Sigma = \{a, b\}$  (valid alphabet)  
 $\Sigma = \{a, b, cd\}$  (valid alphabet)  
 $\Sigma = \{a, b, ac\}$  (invalid alphabet)

Q8) What is difference b/w an alphabet &  $\Sigma$  as an element of a set? whether alphabet is an element of a set or it is a set of itself?

$\Rightarrow$  An alphabet is a set in H.o.t.  
 $\Rightarrow$  The elements of an alphabet called letters.

for example:

Binary alphabets  $\Sigma = \{0, 1\}$  Here 0, 1 are the letters of binary alpha-

9) Define Kleene Star?

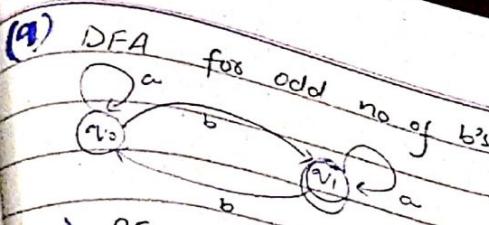
10) How diagrams of FA's are created?

11) What are the basic rules to build FA's?

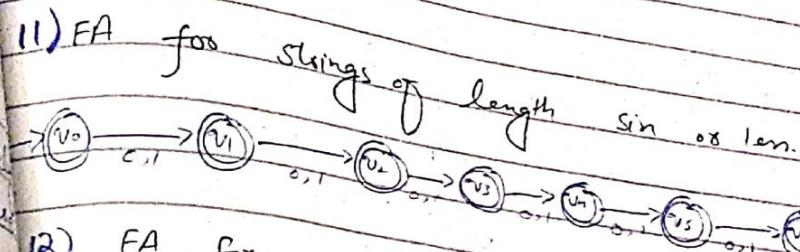
12) What is difference b/w  $(a, b)$

and  $(a+b)$ ?

13) How diagram of FA are created?

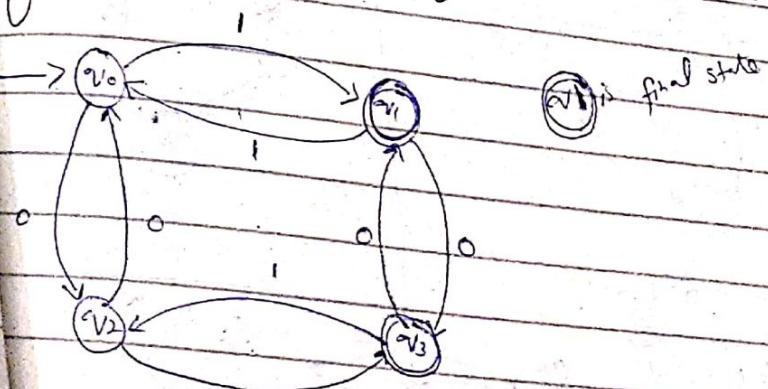


10) RE for language end with 0  
 $RE = (0+1)^* 0$



12) FA for even a's and even b's  
 Solved already.

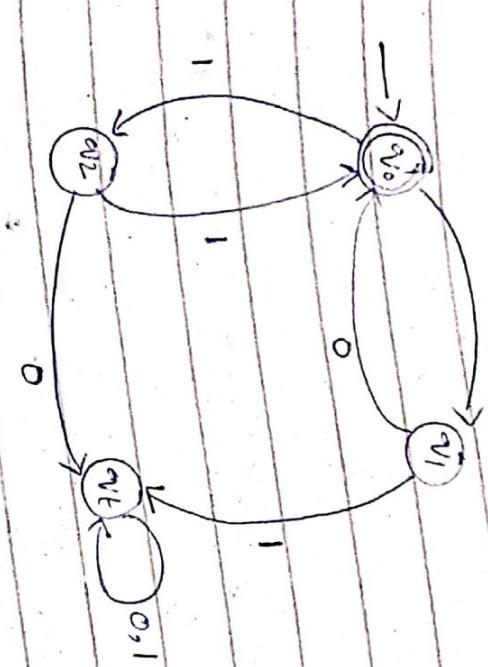
13) FA for even no of 0's and odd no of 1's



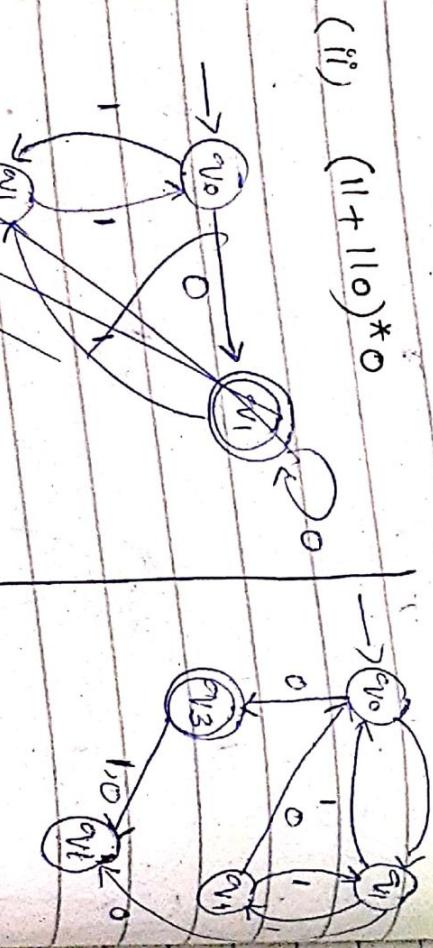
(N) DFA for  $(a+b)^*(aa+bb)(a+b)^*$   
Solved

### 15) DFA for $(a+b)^*$

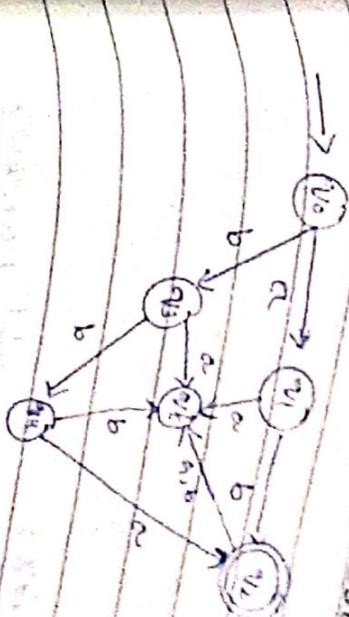
(i)  $(00)^*(11)^*$



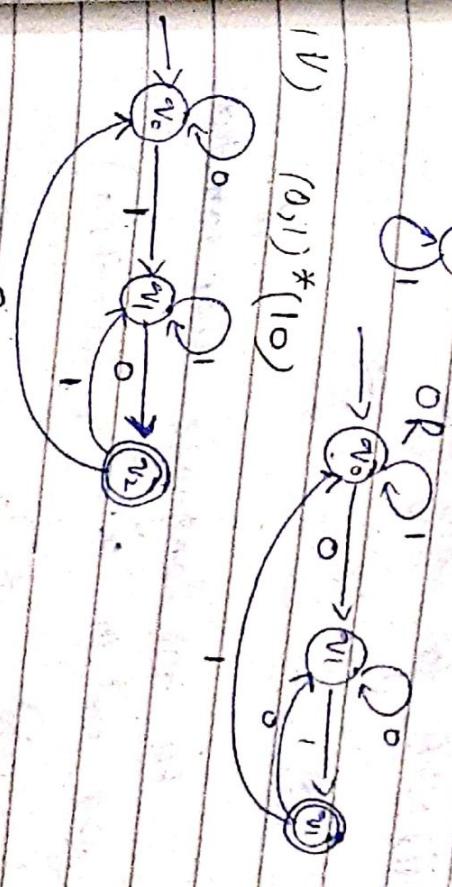
(ii)  $(11 + 110)^* 0$



(iii)  $(0,1)^*(00)$



(iv) String either ab or bba





R.E for regular expressions:-

$$R.E = \frac{V_a}{V_{in}}$$

9/20

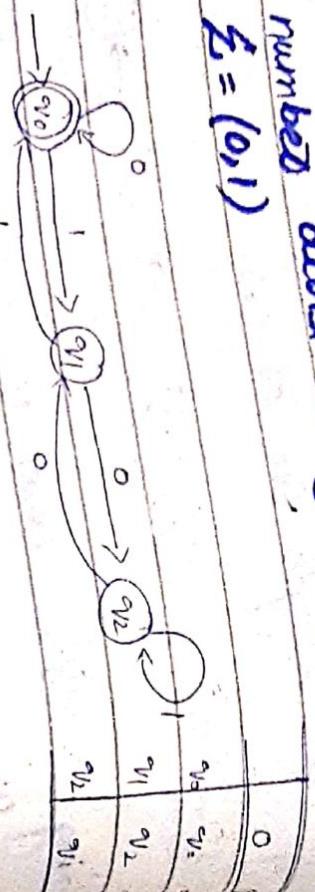
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卷之三

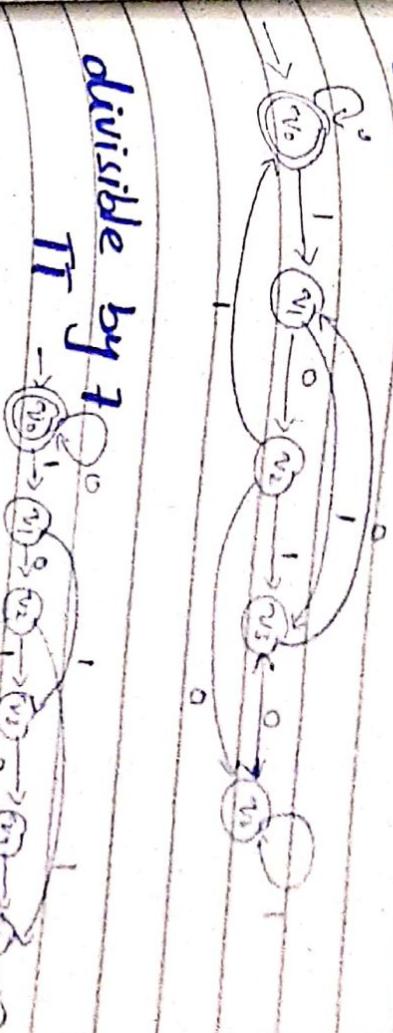
100

b  
c  
d  
e  
f  
g  
h  
i  
j  
k  
l  
m  
n  
o  
p  
q  
r  
s  
t  
u  
v  
w  
x  
y  
z  
Make it a that except every binary  
invisibl by 3 goes when

$$\vec{z} = (0, 1)$$



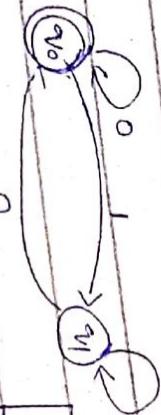
divisibles



FA for energy binary no div.

ج

=  
(2) Demandes (0,1)



$v_1$	$v_2$	$v_3$	$\circ$ word processor
$v_2$	$v_4$	$v_5$	$\circ$ Digital signature
$v_3$	$v_6$	$v_0$	$\circ$ Optical character recognition
$v_4$	$v_1$	$v_2$	$\circ$ lexical analyzer
$v_5$	$v_3$	$v_4$	$\circ$ switching circuit
$v_6$	$v_5$	$v_6$	$\circ$ design
			$\circ$ Text editor

Ent. editiss. etc.

## Decision Properties of RL / CFG

There are the following decision properties.

(1) Finiteness - it states that language  $M = (Q, \alpha_0, F, \delta, \epsilon)$  accepted is finite.

$Q$  is the finite set of states.

(2) Membershipness - To check that a particular string accepted or not by  $L = \{a^n \mid n \geq 0\}$  given FA.

(3) Emptiness - To check whether a given FA accepts empty language or not.

$L = \{ \mid \text{empty} \}$

(4) Equivalence

NFA  $\leq$  DFA



### Definition:

=> Decision property for a class of languages is an algorithm that takes a formal description of a language.

Define closure property.

=> Certain operations on regular languages which are guaranteed to produce regular languages.

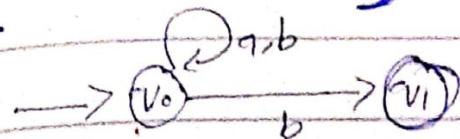
=> Closure refers to some operation of a language resulting in a new language that is of some "type" as originally operated.

## Converting NFA to DFA

- Let  $\delta'$  be the new transition table for DFA.
- if the transition of start state ones semi alphabet is null,
- then perform the transition of start state ones that input alphabet.
- a dead state in the DFA.
- In DFA, the final state will be all the states which contain  $F$  (final states of NFA).

Convert the following NFA to DFA.

DFA-



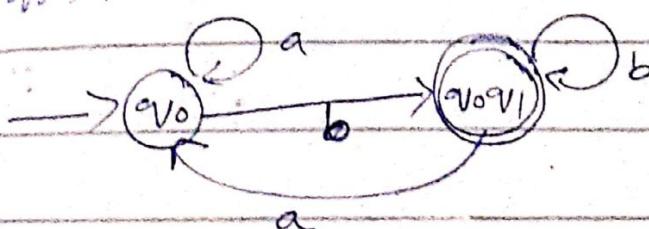
$Q = \{v_0\}$

$S = \{a, b\}$

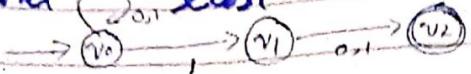
$F = \{v_1\}$

$v_0$  = initial state

$\delta$	a	b
$v_0$	$v_0$	$v_0v_1$
$v_0v_1$	$v_0$	$v_0v_1$

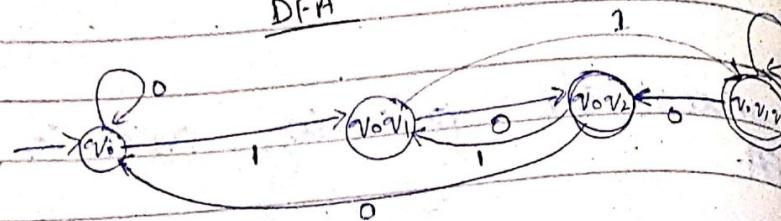


(i) NFA of all binary strings whose last bit is 1.

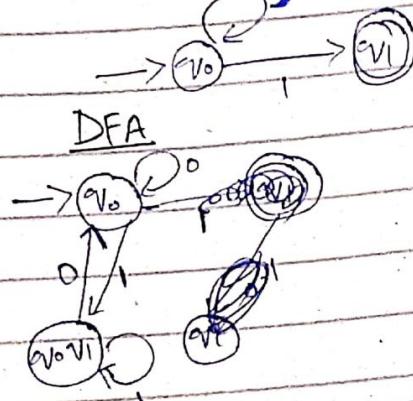


$\delta$	0	1
$v_0$	$v_0$	$v_0v_1$
$v_0v_1$	$v_0v_1$	$v_0v_1v_2$
$v_0v_1v_2$	$v_0v_2$	$v_0v_1v_2$
$v_0v_2$	$v_0$	$v_0v_1$

DFA



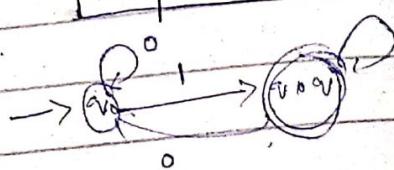
(ii) Set of all strings end with 1.



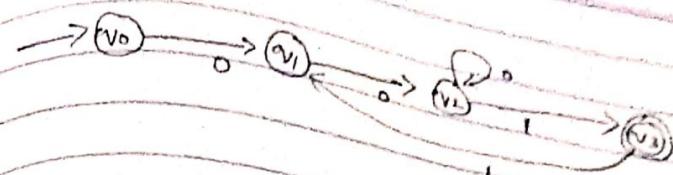
$\delta$	0	1
$v_0$	$v_0$	$v_0v_1$
$v_1$	-	-

$\delta$	0	1
$v_0$	$v_0$	$v_0v_1$
$v_1$	$v_0$	$v_1v_1$



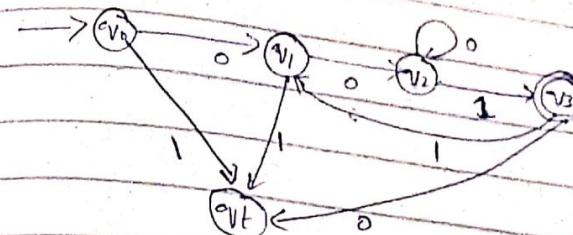
NFA to DFA



Construct transition table.

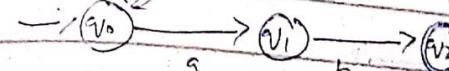
$\delta$	0	1
$v_0$	$v_1$	-
$v_1$	$v_2$	-
$v_2$	$v_2$	$v_3$
$v_3$	-	$v_1$

DFA



NFA given:-

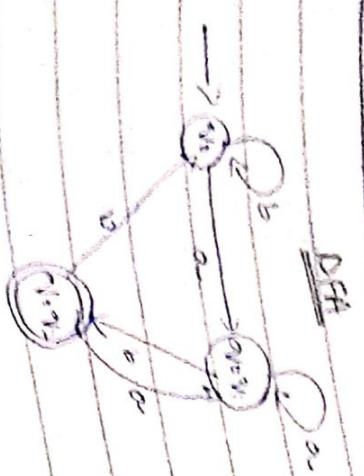
language end with ab



## Epsilon-NFA

$\delta$	a	b
$\alpha_0$	$\alpha_{001}$	$\alpha_0^*$
$\alpha_{01}$	$\alpha_{011}$	$\alpha_{01}^*$
$\alpha_{011}$	$\alpha_{0111}$	$\alpha_{011}^*$

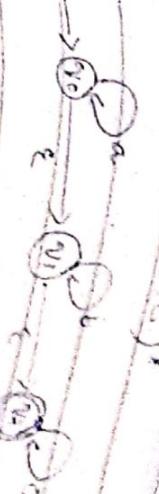
$\alpha_{0111}$  open



$\Rightarrow$  NFA that allows  
you without having to move to more than one state.

$$\Rightarrow \delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q$$

Example:



NFA for  $01^*$  converted into DFA.

Conversion from  $\epsilon$ -NFA to NFA.



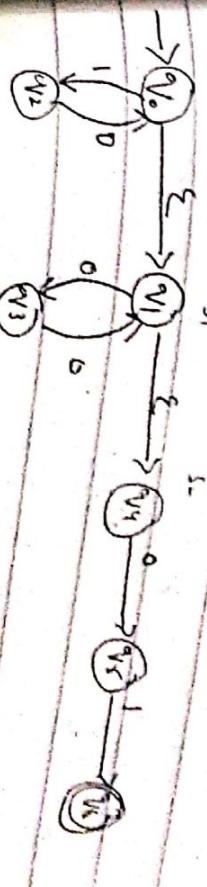
1) Find all  $\epsilon$ -loops.

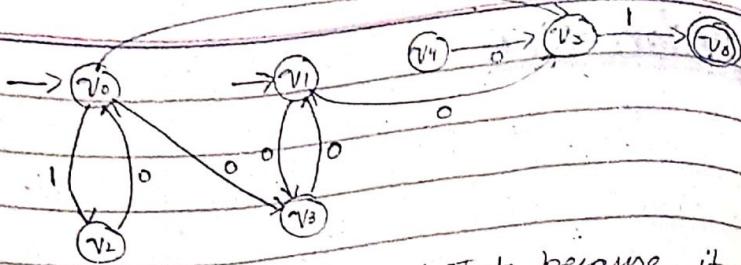
2) Duplicate all edges starting from  $s_1$ .

3) If  $s_1$  is initial state, make  $s_1$  initial.

4) If  $s_2$  is final then make  $s_2$  final.

Example: Remove the dead state.





$\Rightarrow v_4$  is a dead state because it is unreachable so, we can remove it.

## Conversion from Epsilon-NFA to DFA

### DFA:

$\Rightarrow$  There are two methods for this conversion.

#### Method 1:

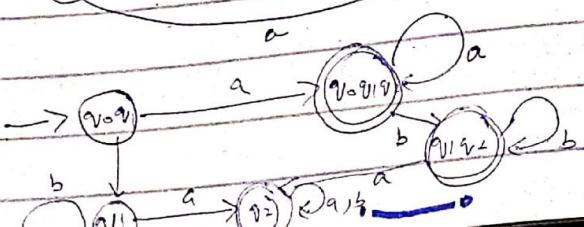
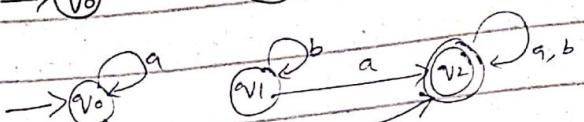
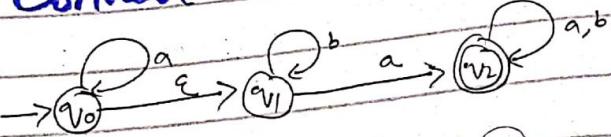
- o Convert  $\epsilon$ -NFA to NFA

- o Then Convert NFA to DFA

#### Method 2:

- o Directly method.

## Convert into DFA.



## Properties of Regular expression

$\Rightarrow$  Any terminal symbol i.e. symbol  $a$  including  $\lambda$  and  $\phi$  are regular expressions.

$\Rightarrow$  The union of two regular expressions is also a regular expression.

$\Rightarrow$  The concatenation of  $L_1 L_2$  or  $L_1 L_2$  expressions is also a regular expression.

$\Rightarrow$  The iteration (or closure) of a regular is also a regular expression.  $R^*$

$\Rightarrow$  The intersection of two regular expressions is regular.  $L_1 \cap L_2 = L_1 L_2^c$

$\Rightarrow$  The reversal of a regular expression is also regular.

$\Rightarrow$  The complement of a regular expression is also regular.

$\Rightarrow$  A homomorphism of a regular expression is regular.

$\Rightarrow$  The inverse homomorphism is also a regular.

$\Rightarrow$  The difference of RL is also regular.

## 1) Union of RE

$$RE = L_1 \cup L_2$$

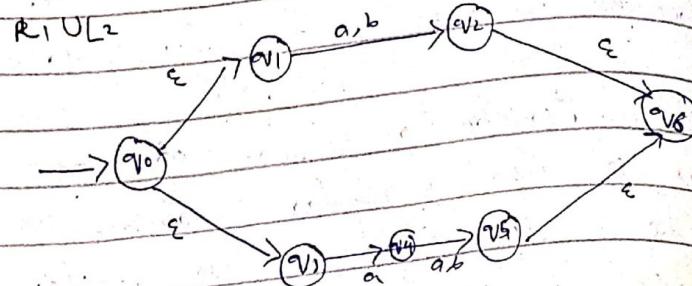
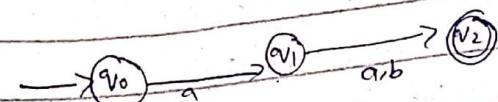
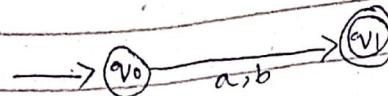
$$L_1 = NFA_1 = a+b$$

$$L_2 = NFA_2 = a(a+b)$$

$$R_1 \cup R_2, R_1 + R_2, R_1 \cdot R_2$$

Note: Final state of  
Resultant FA will be

that contains either one  
of both final states of both FA

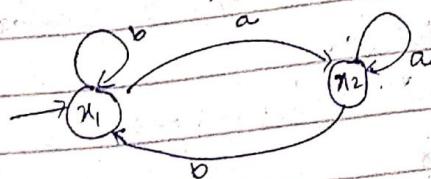


Union of Two DFA

Example 2:

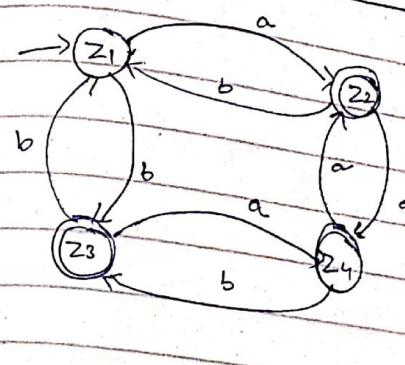
$$\delta_1 = (a+b)^*a$$

$$\delta_2 = (a+b)((a+b)(a+b))^* \text{ or } ((a+b)(a+b)^*)^*$$



Old States	New States
$z_1^+ (x_1, y_1)$	$(x_2, y_2) = z_2$
$z_2^+ (x_2, y_2)$	$(x_3, y_3) = z_3$
$z_3^+ (x_1, y_2)$	$(x_1, y_4) = z_1$
$z_4^+ (x_2, y_1)$	$(x_1, y_3) = z_1$
$z_5^+ (x_2, y_2)$	$(x_1, y_1) = z_1$
$z_6^+ (x_1, y_2)$	$(x_1, y_2) = z_1$

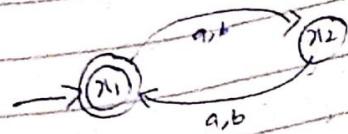
Old States	New States after reading
$z_1^-$	$z_2$
$z_2^-$	$z_4$
$z_3^-$	$z_3$
$z_4^-$	$z_1$
$z_5^-$	$z_1$
$z_6^-$	$z_3$



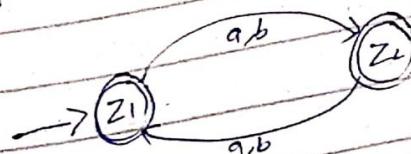
### Example 2:

$$\delta_1 = ((a+b)(a+b))^*$$

$$\delta_2 = (a+b)(a+b)(a+b)^* \cdot 0x((a+b)(a+b))^*(a+b)$$



Old States	Old	Status
$x_1y_1 = z_1^+$	a	$x_2y_2 = z_2^-$
$x_2y_2 = z_2^+$	$x_1y_1 = z_1^-$	

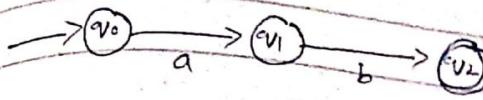
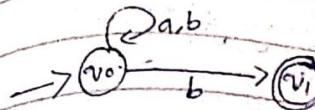


### 2) Concatenation of Two FA

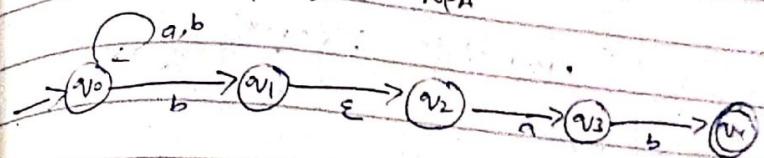
Example:

$$\delta_1 = (a+b)^*b$$

$$\delta_2 = ab$$



$\delta_1\delta_2 - \text{NFA}$

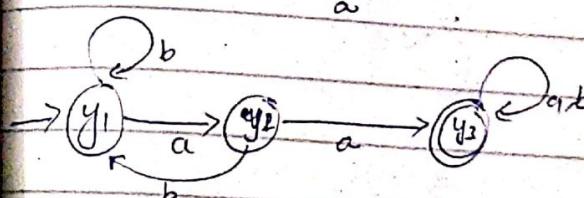
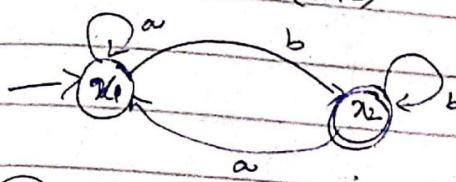


### Concatenation of 2 DFA's

Example:-

$$\delta_1 = (a+b)^*b$$

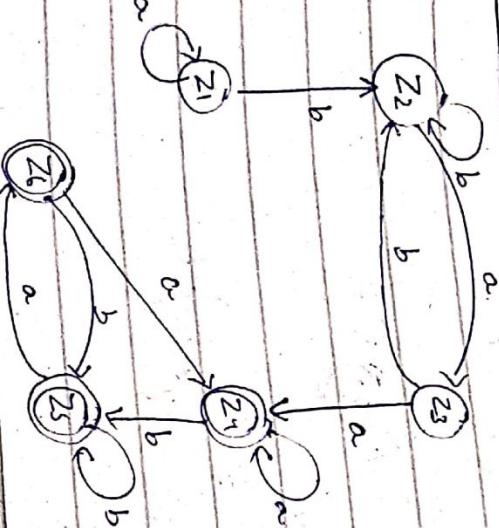
$$\delta_2 = (a+b)^*aa(a+b)^*$$



old states

New states after reading

old states	New states after reading
$Z_1^- \equiv x_1$	$(x_1 y_1) \equiv Z_2$
$Z_2^- \equiv (x_2 y_2)$	$(x_2 y_1) \equiv Z_4$
$Z_3^- \equiv (x_3 y_3)$	$(x_3 y_1) \equiv Z_5$
$Z_4^+ \equiv (x_1 y_2)$	$(x_1 y_2) \equiv Z_6$
$Z_5^+ \equiv (x_2 y_3)$	$(x_2 y_3) \equiv Z_1$
$Z_6^+ \equiv (x_3 y_2)$	$(x_3 y_2) \equiv Z_2$



old states | New states after reading  
a                    b

a

Z2

Z3

Z4

Z5

Z6

Z1

Z2

Z3

Z4

Z5

Z6

Z1

Z2

Z3

Z4

Z5

Z6

Z1

Z2

Z3

Z4

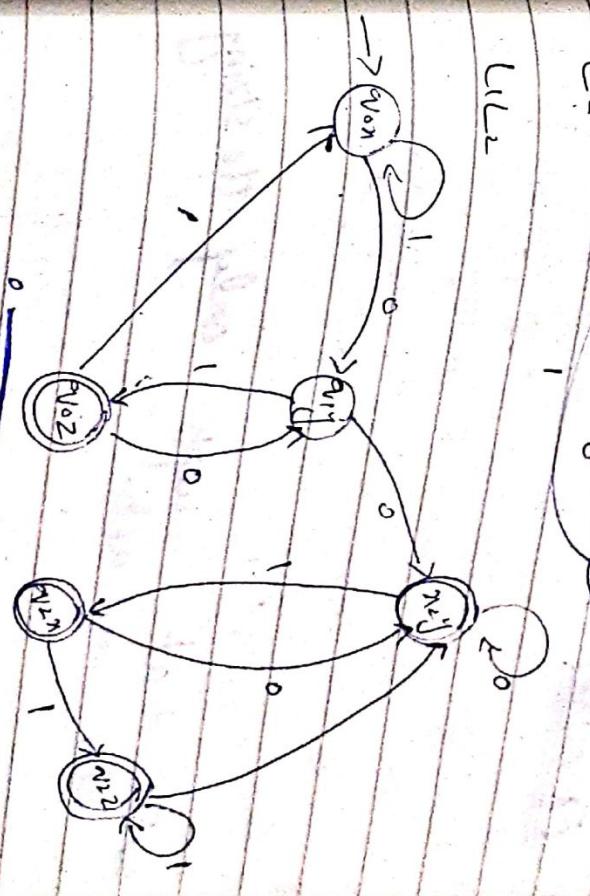
Z5

Z6

Example #2

$L_1 =$  All strings containing 00

$L_{1,2} =$  All strings containing 01



### (3) Complement of RE

- The Complement of regular expression is a negation.
- for complement connect final state to non-final and non-final to final state.

#### Example:

$\Rightarrow$  if  $L$  is a language over the alphabet  $\Sigma$ , we define its complement,  $L'$  to be the language of all strings of letters from  $\Sigma$  that are not words in  $L$ .

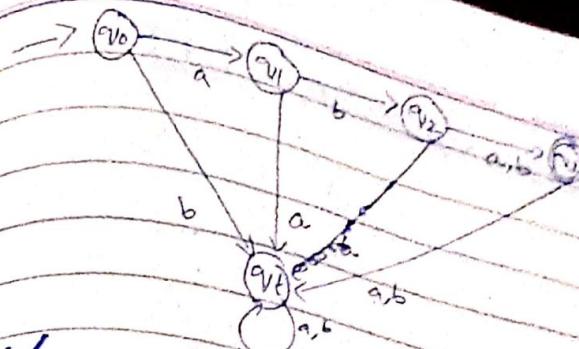
#### Example:

if  $L$  is the language over the alphabet  $\Sigma = \{a, b\}$  of all words that have a 'double a' item.

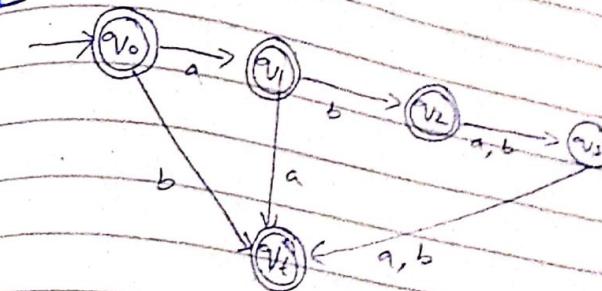
then  $L'$  is the language of words that do not have double aa.

$$(L')' = L$$

$\Rightarrow$  FA that accepts only the strings aba and abb.

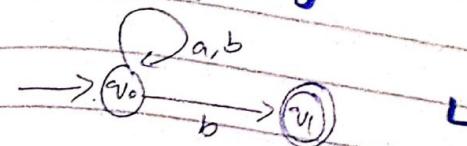


$L'$

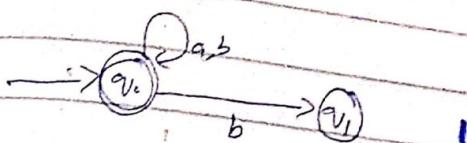


#FA for language that ends with

b.



$L$



$L'$

#### (4) Reverse Operation of RE

$\Rightarrow$  Regular languages are closed under reversal operation.

$\Rightarrow$  it is denoted by  $L^R$ .

$\Rightarrow$  The following steps are for this.

1) Make initial state of FA as final state.

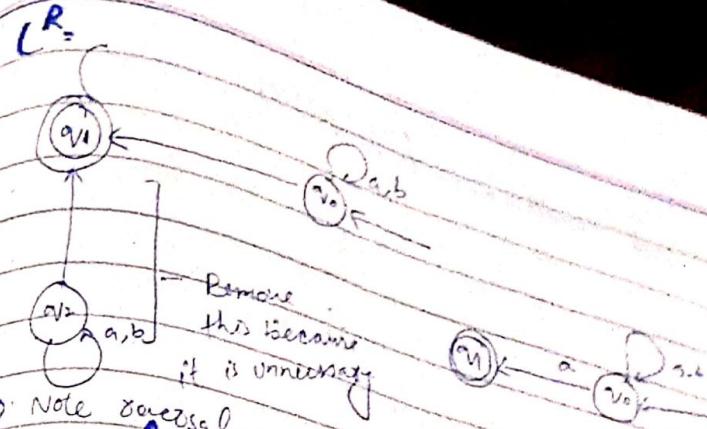
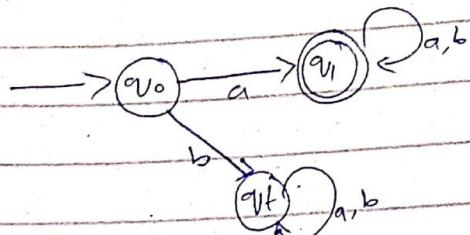
2) And make the final state as initial state.

3) Reverse the direction of edges.

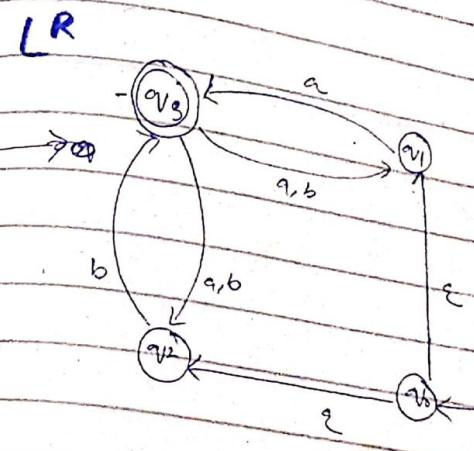
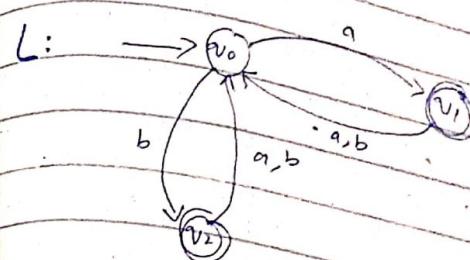
4) No change in Loop and Remove unnecessary conditions

Example:

$L$  = language of words start with  $a$   
 $L = a(a+b)^*$



Reversal for more than two final states.

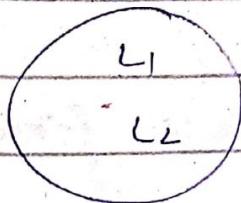


## (5) Kleene Star Operation

o Kleene Star of regular language is closed under Kleene Star operation.

o it is denoted by  $L^*$ .

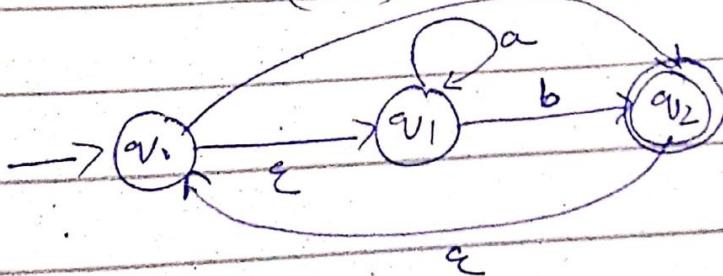
RL



$(L_1 L_2)^*$  it is closed.

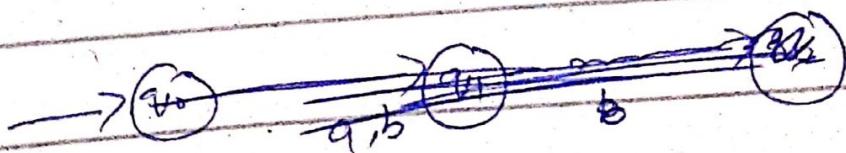
### Example:

$(a+b)^*$



### Example:

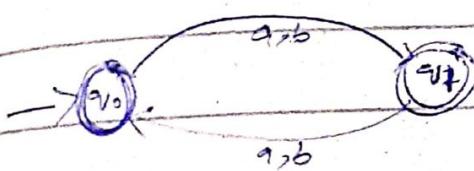
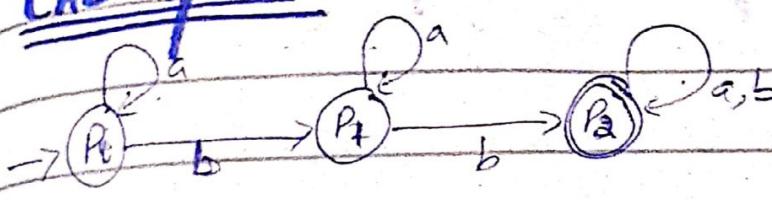
~~$(b^*)^*$~~



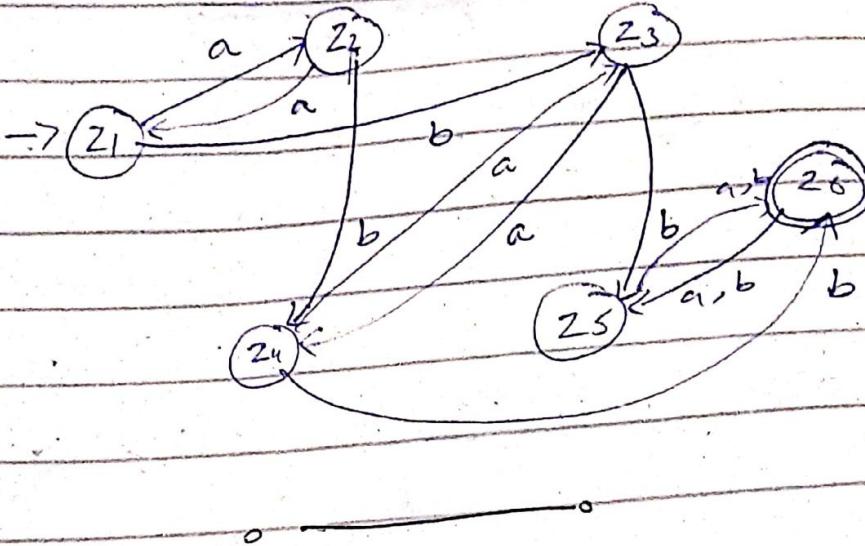
# Intersection Operation

- o FA's will pass strings that will be passed by both FA.
- o resultant FA will pass strings that are common.
- o final state will be that state contains final states of both FA.

## Example



$\delta$	a.	b
$\delta_{V_0} P_0 = z_1$	$\delta_{V_1} P_0 = z_2$	$\delta_{V_1} P_2 = z_3$
$\delta_{V_1} P_0 = z_2$	$\delta_{V_0} P_1 = z_1$	$\delta_{V_0} P_1 = z_4$
$\delta_{V_1} P_1 = z_3$	$\delta_{V_0} P_1 = z_4$	$\delta_{V_0} P_2 = z_5$
$\delta_{V_0} P_1 = z_4$	$\delta_{V_1} P_1 = z_3$	$\delta_{V_1} P_2 = z_6$
$\delta_{V_1} P_2 = z_5$	$\delta_{V_0} P_2 = z_5$	$\delta_{V_0} P_2 = z_5$
$\delta_{V_1} P_2 = z_5$	$\delta_{V_1} P_2 = z_6$	$\delta_{V_1} P_2 = z_6$



## Homomorphism Property:

- ⇒ Also called substitution function.
- ⇒ Regular languages are closed under homomorphism.
- ⇒ Suppose  $\Sigma$  and  $\Gamma$  are alphabets. Then a function  $h: \Sigma^* \rightarrow \Gamma^*$  is called homomorphism.

$$1) h(\epsilon) = \epsilon$$

$$2) h(xyz) = h(x) \cdot h(y) \cdot h(z)$$

Example:  $\Sigma_F = \{a, b\}$        $\Gamma = \{0, 1, 2\}$

$$h(a) = 010, \quad h(b) = 102$$

$$h(abba) = h(a) \cdot h(b) \cdot h(b) \cdot h(a)$$

$$= 010 \cdot 102 \cdot 102 \cdot 010$$