

Ch #3

"Process"

Q. What is Process?

- ⇒ A process is a program in execution including the current values of variables, registers, of program counter.
- ⇒ Process is the "activity".
- ⇒ A process is an active entity with a program counter specifying the next instruction to execute and a set of associated resources.
- ⇒ Two processes may be associated with the same program.
- ⇒ A process is controlled and scheduled by the OS and execute in sequence.

Memory layout of a process /

Attributes of process / Components of Process

- ⇒ The memory layout of a process is typically divided into multiple sections:
- ⇒ These sections or attributes include:
 - Text section:
 - Contains the executable code
 - The text and data sections are fixed, as their sizes do not change during program run time.

Data section:

- Contains the global variables
- Can grow and shrink during program execution

Heap section:

- memory that is dynamically allocated during program run time.

Stack section:

- Temporary data storage when invoking functions such as function addresses, local variables and function parameters.

Process States or life cycle:

State:

- Process state describes the nature of the current activity of that process.
- As process executes, it changes state.

=> when a process runs, it goes through many states.

=> Different OS have different states.

1) New State:

=> This is the first state of the process life cycle.

=> When process creation is taking place, the process is in new state.

=> Stored process on secondary storage.

2) Ready State:

=> After being loaded into the main memory and ready for execution.

=> In this state the process is placed in the queue of processes which are waiting for the processes of CPU allocation.

=> Many processes may be in ready state in multiprogramming environment.

=> when the process is in the creation is in a new state and when the process gets created is in the ready state.

3) Running State:

=> After being allotted the CPU in the process for instruction executions, the process state changes from ready to running state.

4) Block or Wait State:

⇒ when the process is executing the instructions, the process might require carrying out a few tasks which might not require CPU.

⇒ if the process requires performing I/O task or the process needs some resources which are already acquired by other processes, during such conditions process is brought back into the main memory and the state is changed to blocking or wait for the state.

⇒ Process is placed in the queue of processes that are in waiting or block state in the main memory.

⇒ The process advances to the ready state after the I/O operation is completed or the resource becomes available.

5) Terminated or completed:-

⇒ when the entire set of instructions is executed and the process is completed.

The process is changed to terminated completed state.

⇒ During this state the PCB of the process is also deleted.

⇒ It is possible that there are multiple processes present in the main memory at the same time.

6) Suspend ready:

⇒ If a process with a higher priority needs to be executed while the main memory is full, the process goes from ready to suspend ready state.

⇒ Moving a lower-priority process from the ready state to the suspended ready state frees up space in the ready state for a higher-priority process.

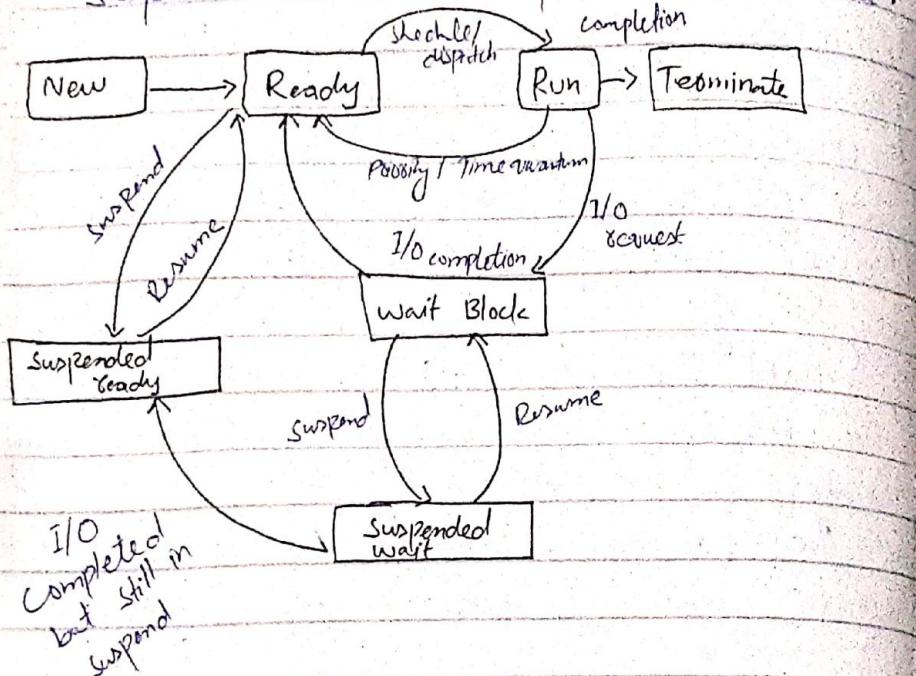
⇒ until the main memory becomes available, the process stays in the suspended-ready state.

⇒ The process is brought to its ready state when the main memory becomes accessible.

⇒ So whenever the main memory is full the process which is in the ready state is swapped out from main memory to secondary memory.

7) Suspended wait on suspended block

⇒ whenever the process that is in waiting for state or block state in main memory gets to swap out to secondary memory due to main memory being completely full, the process state is changed to suspended wait or suspended blocked state.



State transition in Process:-

New → Ready :-

- Admitted to ready queue and can be considered by CPU scheduler.

Ready → Running

CPU scheduler chooses that process to execute next according to some scheduling algorithm.

Running → Ready

Process has used its current time slice.

Running → Blocked

Process is waiting for I/O operations.

Blocked → Ready

The event has occurred for which the process was waiting.

Process Block Control

⇒ Each process is represented in the OS by a process control block.

⇒ Also called a task control block.

⇒ It is a data structure that contains many pieces of information associated with a specific process.

⇒ Every process has its own PCB.

⇒ The information that is in PCB is:

Process state

- Contains: new, ready, running, halted, State for on.

Program Counter

- Contains: the address of the next instruction to be executed.
- The value of the PC is increased automatically before the next instruction when each instruction is executed.

Process number

- The process id is an identifier for each system process.
- Each process is given a unique number.

Priority

- Contains: the priority of all the processes that has the greatest priority.

Registers

- General purpose register
- When interrupt occurs process state is stored.

CPU scheduling info

- Contains: pointer to scheduling queues, Priority, other scheduling parameters.

Memory management

- Contains: information
- Base register
- Limit register
- Page table
- Segment table

Accounting

- => Amount of CPU and real-time used
- => Time limits
- => account numbers
- => Job or process number

I/O Status information

- List of I/O devices allocated for process
- A list of open files

Note:

- o Each process PCB is stored in the main memory.
- o List in the linked list

Process state
PID
PC
Registers
Memory limit (PCB)
List of open files

Interprocess Communication

⇒ Process executing in the OS may be either independent or dependent processes

<u>Independent Process</u>	<u>Dependent process</u>
<ul style="list-style-type: none"> A process that does not share data with other processes executing in the system & do not affect by any other process is called independent process. 	<ul style="list-style-type: none"> A process is said to be cooperative if it can affect or be affected by other processes or share data with other processes.

Advantages of process cooperation:

- ⇒ information sharing
- ⇒ Computation Speedup
- ⇒ modularity
- ⇒ Convenience.

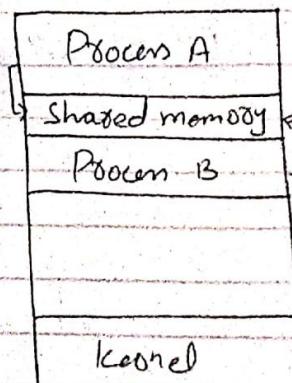
IPC allows two processes to share & send and receive data with each other.

IPC models

- ⇒ There are two models of IPC:
- Shared-memory model
 - Message-passing model

Shared - memory model:

- ⇒ A region of memory is shared by the cooperating processes.
- ⇒ Typically, a shared memory region is resides in the address space.
- ⇒ Processes can exchange information by reading & writing data to the shared regions.



- The process may cooperate by sharing data including memory, data, etc.
- The OS provides data regions

Example:

- ⇒ Producer - Consumer Problem
- ⇒ Computer, Monitor & Keyboard
- ⇒ Client & Server model

Buffers used in Shared-memory model:

⇒ Two types of buffers can be used:

<u>Unbounded buffers</u>	<u>Unbounded buffers</u>
⇒ No limit on the size of the buffer.	⇒ Limit on the size of the buffer.
⇒ Consumer need to be wait for new items.	⇒ Consumer must wait if the buffer is empty. Producer must wait if the buffer is full.

<u>Advantages</u>	<u>Dis-advantages</u>
⇒ Memory communication is faster.	⇒ Synchronization
⇒ Implicit Communication	⇒ memory protection that need to be altered
⇒ less overhead & costed	⇒ Complex to scale well

IPC in message-Passing Systems

- ⇒ Message passing model allows multiple processes to read and write data to the message queue without being connected to each other.
- ⇒ Messages are stored in the queue until their recipient retrieves them.
- ⇒ Message queues are used instead for interprocess communication and are used by most operating systems.
- ⇒ Allow processes to communicate and to synchronize their actions without sharing the same address space.
- ⇒ Useful in distributed environment where the communicating processes may reside on different computers connected by a network.

Example:

- o Internet chat program (multiple) be designed so that chat participants communicate with one another exchanging messages.

Operations Provided by MP:-

=> A message - passing facility provides at least two operations:

- o send (message)
- o receive (message)

- o synchronize
- mutual communication
- indirect communication

Message Size:-

=> Message sent by a process can be either:

- o Fixed size
- o Variable size

- o if only fixed-sized messages can be sent, the system-level implementation is straightforward.
- o makes the task of message-passing more difficult.

Variable size

- o receive or move complex-his implementation

- o Performance task becomes simpler.

Communication Link:-

- o Communication link must exist between them:
- o Physical link
- o Logical link

Issues:

Naming:

- blocking/non-blocking sender
- blocking/non-blocking receiver

Synchronization

- zero capacity
- bounded capacity
- unbounded capacity

(i) Naming:

o

- o It defines how one process can send a message to another process and how a process can receive a message from another.

Direct Communication

Indirect communication

- o Each process that wants to communicate with another process must explicitly come up with recipient or sender.

- o The communication is direct.

* Direc^t communication:

=> In this scheme, the sender and receiver primitives are defined as:

=> send(P, message)

- Send a message to process

=> receive(Q, message)

- Receive a message from process Q.

=> A communication link properties:-

the following properties:-

- o Automatically established
- o Associated with exactly two processes
- o Only one pair of processes like exists exactly one link.

Symmetry

o Both Sender and

the receiving process named the recipient

must name the other the recipient is not
to communicate.

Required to name the
sender.

o send(P, message)

o receive(Q, message)

o receive(Q, message)

Cons:

- o limited modularity

* Indirect communication:

o the messages are sent to a mailbox

from one process to another

o mailbox act as mediator

o Each mailbox has unique identifier

o send(A, message)

o receive(A, message)

=> A communication link properties:-

o Link is established if both message & the
mail box have a shared mailbox

o may be associated with more than two
processes.

o Different links may exist between each pair

of communicating processes with each

link corresponding to one mailbox.

Problem:

if we use the same mailbox for both
How to solve come?

Synchronization:

Blocking Sender:

- o Process is blocked until the message is received by the receiver.

Non-blocking Sender:

- o Sender don't care whether the message is received or not.

Blocking Receiver:

Receiver blocks until a message is committed.

Non-blocking Receiver:

Receiver receives either a valid message or a null.

Buffering:-

⇒ Determines what is the size of buffer.

zero capacity: NO buffering

Bounded capacity: finite size of the buffer

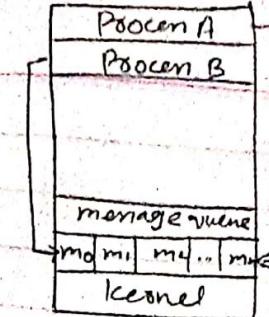
unbounded capacity: Infinite size of the buffer

Advantages

- o Explicit communication
- o No automatic latching

Disadvantages

- o High-message passing overhead.
- o Complex to program



Why a process is called active and a program is call passive entity?

⇒ A program will be inactive unless it is being used. hence it is considered as passive entity and resides in the secondary memory.

⇒ A process gets activated when a program is executed. therefore it is called active entity and it is loaded in the main memory and it also requires some resources.

(Ch #3)

PP-SQ

(2014-2020)

(Total 12+Topics) Q#

- o Why do we call a program process entity and a process active entity? (Repeat)
 - o What does it mean to preempt a process? (Repeat)
 - o What is the ready queue? (Repeat)
 - o What are the states of process? (Repeat)
 - o what is process synchronization?
 - o What is the PCB? (Repeat)
 - o what are the capacities of queues in memory sharing system? (Repeat)
 - o What is the purpose of the PCB?
 - o what do you know about process & program? what is zombie process? what is orphan process?
 - o How parent and child share the address space via fork()?
 - o What is the degree of multi-programming?
 - o what is cascading termination?
long
- Q What is interprocess communication? Explain its different communication strategies?
- Q Explain the process control block?
- Q Explain process creation & termination.