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## 第1章 Sarkisov 纲领

### 1.1 下降法

首先回顾 Corti [3] 给出的三维终端奇点的 Sarkisov 纲领。令  $f : X \rightarrow S$  和  $f' : X' \rightarrow S'$  是双有理等价的两个有终端奇点的三维森纤维空间。 $S'$  上的丰沛除子  $A'$  使得对某个  $\mu' > 0$  有  $X'$  上的一般的丰沛除子  $H'$  满足  $H' \sim -\mu' K_{X'} + f'^* A'$ ，并令  $H$  是  $H'$  在  $X$  上的双有理变换 (birational transform)。取一个公共解消  $p : W \rightarrow X$  和  $q : W \rightarrow X'$ 。

(1) 令  $\mu = \max\{c \in \mathbb{R} : K_X + \frac{1}{c}H \text{ 在 } S \text{ 上数值有效}\}$ ;

(2) 令  $\lambda = \min\{c \in \mathbb{R} : (X, \frac{1}{c}H) \text{ 有典范奇点}\}$ ;

(3) 令  $e = (X, \frac{1}{\lambda}H)$  的无差异的例外除子的个数。

如果  $\lambda \leq \mu$ ，在  $X$  运行相对于恰当基底的  $(K_X + \frac{1}{\mu}H)$ -MMP；如果  $\lambda > \mu$  则构造一个除子解压 (divisorial extraction)  $p : Z \rightarrow X$ ，并运行相对于  $S$  的  $(K_Z + \frac{1}{\lambda}H_Z)$ -MMP，这样得到第一个 Sarkisov 连接  $\psi_1 : X \dashrightarrow X_1$ 。这两种情况都是 2-ray games。用  $X_1$  和  $\Phi_1 = \Phi \circ \psi_1^{-1} : X_1 \dashrightarrow X'$  替换  $X$  和  $\Phi$ ，并重复这个过程，这样递归地构造一系列 Sarkisov 连接。在这个过程中不变量  $(\mu, \lambda, e)$  将按字典序下降，最终得到  $\Psi_N : X_{N-1} \dashrightarrow X_N$ ，且  $X_N \cong X'$ 。这就是三维终端奇点代数簇的 Sarkisov 纲领。

对于  $\mathbb{Q}$ -分解的有 klt 奇点的代数簇对，考虑 MMP-相关的森纤维空间  $(X, B)$  和  $(X', B')$ 。一个自然的想法是按如下定义  $\mu$  和  $\lambda$ ：

(1) 令  $\mu = \max\{c \in \mathbb{R} : K_X + B + \frac{1}{c}H \text{ 在 } S \text{ 上数值有效}\}$ ;

(2) 令  $\lambda = \min\{c \in \mathbb{R} : (X, B + \frac{1}{c}H) \text{ 有典范奇点}\}$ ;

(3) 令  $e = (X, B + \frac{1}{\lambda}H)$  的无差异的例外除子的个数。

对  $\lambda$  的定义将导致一些困难。当  $\lambda > \mu$  时，为了构造 Sarkisov 连接需要在一个除子解压  $p : Z \rightarrow X$  上运行  $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -MMP。这个除子解压会解压出一个素除子  $E$ ，这个素除子  $E$  在  $Z$  的边界  $B_Z$  中的系数是 1。如果  $E$  是  $(X', B')$  的边界  $B'$  的一项，那么  $E$  在  $B'$  中的系数小于 1，这两者不匹配。另一方面，这是需要在具有 lc 奇点的代数簇对上运行 MMP，这比在具有 klt 奇点的代数簇对上的 MMP 有技术上的困难。除此之外，由于具有 klt 奇点的 Fano 代数簇对的有界性失效，在证明 Sarkisov 纲领的终结性也有困难。

Bruno 和 Matsuki 给出了  $\lambda$  的另一种定义 (见 1.3)，且取决于特定的一个包含  $(X, B)$  和  $(X', B')$  的代数簇对的集合  $C_\theta$ ，这个集合满足：

- 对任意两个  $C_\theta$  中的代数簇对  $(X, B), (X', B')$ , 存在  $C_\theta$  中的代数簇对  $(W, B_W)$  和算术公共解消  $p : W \rightarrow (X, B)$  和  $q : W \rightarrow (X', B')$ , 使得  $(W, B_W)$  具有 klt 奇点且  $p_* B_W = B, q_* B_W = B'$ 。
- 在集合中的任意代数簇对  $(X, B)$  和  $(Z, B_Z)$  上可以运行  $(K_X + B + cH)$ -MMP 和  $(K_Z + B_Z + cH_Z)$ -MMP, 并且所有结果都任然在集合  $C_\theta$  中;
- 所有  $C_\theta$  中的代数簇对都具有  $\delta$ -lc 奇点, 其中  $\delta$  是取决于  $C_\theta$  的正实数。

### 1.1.1 前置知识

令  $K = K(X)$  是双有理等价类的有理函数域 (注意到双有理等价的代数簇有相同的有理函数域) 令  $\Sigma = \{v\}$  是有理函数域的离散赋值的集合。

**定义 1.1.** [4, Definition 3.5] 取一个函数  $\theta : \Sigma \rightarrow [0, 1)_{\mathbb{Q}}$ , 那么可以定义关于  $\theta$  的集合  $C_\theta$ , 包含满足下列条件的具有 klt 奇点的代数簇对  $(X, B = \sum a_i B_i)$ :

- (1)  $a_i = \theta(B_i)$ ;
- (2) 对所有  $X$  上的例外除子  $E$  有  $a(E; X, B) > -\theta(E)$ 。

注. 例如取  $\theta \equiv 0$  为常值函数, 那么  $C_\theta$  是所有和  $X$  双有理等价的具有终端奇点的代数簇  $Y$  (不带有边界)。

根据这个集合可以定义  $\theta$ -差异数 ( $\theta$ -discrepancy):

**定义 1.2** ( $\theta$ -差异数). 令  $C_\theta$  为上述代数簇对的集合, 且  $(X, B)$  是有理函数域满足  $K(X) = K$  的代数簇对。令  $f : Y \rightarrow X$  是  $(X, B)$  的一个算术奇点解消, 有分歧等式:

$$K_Y + B_Y + C = f^*(K_X + B)$$

其中  $B_Y = f_*^{-1} B + \sum_{E_i \text{ exc}} \theta(E_i) E_i$ 。则  $X$  的例外除子  $E_i$  的  $\theta$ -差异数定义为

$$a_\theta(E_i; X, B) = -\text{mult}_{E_i} C.$$

或等价的, 可以定义为

$$a_\theta(E_i; X, B) = a(E_i; X, B) + \theta(E_i).$$

如果  $(X, B)$  上的所有例外除子  $E$  满足  $a_\theta(E; X, B) \geq 0$  (对应的,  $a_\theta(E; X, B) > 0$ ), 则称代数簇对  $(X, B)$  具有  $\theta$ -典范奇点 (对应的,  $\theta$ -终端奇点)。

注.  $\theta$ -典范代数簇对并不总在集合  $C_\theta$  中。

Bruno 和 Matsuki 的 [4, Lemma 3.6] 构造了运行 Sarkisov 纲领所需要的集合  $C_\theta$ :

**命题 1.1.** 令  $f : (X, B) \rightarrow S$  和  $f' : (X', B') \rightarrow S'$  是两个 MMP-相关的具有 *klt* 奇点的  $\mathbb{Q}$ -分解纤维空间，有双有理映射  $\Phi$ :

$$\begin{array}{ccc} (X, B) & \xrightarrow{\Phi} & (X', B') \\ f \downarrow & & \downarrow f' \\ S & & S' \end{array}$$

假设  $B = \sum_i b_i B_i + \sum_j d_j D_j$  和  $B' = \sum_j d'_j D_j + \sum_k b'_k B'_k$ ，其中  $B_i$  是在  $X$  上但不在  $X'$  上的除子， $B'_k$  是在  $X'$  上但不在  $X$  上的除子，而  $D_j$  是在  $X$  和  $X'$  上的除子。由引理??，有  $d_j = d'_j$ 。取一个有理数  $\epsilon$  满足

$$-\text{totdiscrep}(X, B), -\text{totdiscrep}(X', B') < \epsilon < 1$$

并按如下定义函数  $\theta : \{v\} \rightarrow [0, 1)_{\mathbb{Q}}$  :

- 对于边界  $B, B'$  的除子，有  $\theta(B_i) = b_i, \theta(D_j) = d_j, \theta(B'_k) = b'_k$ ;
- 如果  $E$  是  $X$  和  $X'$  上的例外除子，则  $\theta(E) = \epsilon$ ;
- 如果  $D$  是  $X$  和  $X'$  上的除子，但不是  $B$  或  $B'$  的部分，则  $\theta(D) = 0$ 。

那么定义 1.1 构造的集合  $C_\theta$  满足:

- (1)  $(X, B)$  和  $(X', B')$  在集合  $C_\theta$  中;
- (2) 对  $C_\theta$  中任意有限多个具有 *klt* 奇点的代数簇对  $\{(X_l, B_l)\}$ ，有  $(Z, B_Z) \in C_\theta$  射影双有理态射  $Z \rightarrow X_l$  使得  $X_l$  是相对于  $X_l$  的  $(K_Z + B_Z)$ -MMP 的输出，因此也是相对于  $\text{Spec } \mathbb{C}$  的  $(K_Z + B_Z)$ -MMP 结果;
- (3) 任何从  $C_\theta$  中一个元素出发的  $(K + B)$ -MMP，其结果依然落入  $C_\theta$ 。如果对任何  $c \in \mathbb{Q}_{>0}$  和无基点的除子  $H$  给出的  $(K + B + cH)$ -MMP 也成立。

注. 令  $\delta = 1 - \epsilon$ ，那么所有  $C_\theta$  中的代数簇对都是具有  $\delta$ -lc。

使用命题 1.1 中的假设和记号，可以定义 Sarkisov 次数 (Sarkisov degree)。取  $S'$  上的非常丰沛的除子  $A'$  和足够大和可除的整数  $\mu' > 1$  使得

$$\mathcal{H}' = |-\mu'(K_{X'} + B') + f'^* A'|$$

是  $X'$  在  $\text{Spec } \mathbb{C}$  上的非常丰沛的完全线性系。令  $(W, B_W)$  是  $X$  和  $X'$  在  $C_\theta$  中的公共算术解消，有射影态射  $\sigma : W \rightarrow X$  和  $\sigma' : W \rightarrow X'$  满足  $\sigma_* B_W = B, \sigma'_* B_W = B'$ 。令  $\mathcal{H}_W := \sigma'^* \mathcal{H}'$ ，那么  $\mathcal{H} := \Phi_*^{-1} \mathcal{H}' = \sigma_* \mathcal{H}_W$ 。进一步，如果  $\mathcal{H}$  不是无基点的，那么

$$\sigma^* \mathcal{H} = \mathcal{H}_W + F$$

其中  $F = \sum f_l F_l \geq 0$  是固定部分 (fixed part)。取线性系  $\mathcal{H}'$  中的一个一般除子  $H'$  使得  $H_W := \sigma'^* H' = \sigma'^{-1} H' \in \mathcal{H}_W$ ，并记  $H := \Phi_*^{-1} H' = \sigma_* H_W$ 。那么  $H$  是  $f$ -丰沛的，且  $\sigma^* H = H_W + F$ 。通过取进一步的解消，不妨设  $H_W$  与  $\sigma$  和  $\sigma'$  的例外除子各部分光滑且互相横截相交 (即  $(W, H_W + \text{Exc } \sigma + \text{Exc } \sigma')$  是算术光滑的)。

接下来定义在  $C_\theta$  中关于  $H'$  (或  $\mathcal{H}'$ ) 的 Sarkisov 次数：

**定义 1.3.** [4, Definition 3.8]  $C_\theta$  中关于  $H'$  (或  $\mathcal{H}'$ ) 的 Sarkisov 次数是一个按字典序排序的三元组  $(\mu, \lambda, e)$ ，其中：

- **数值有效阈值  $\mu$ ：** 令  $C \subset X$  是被  $f$  压缩的曲线，那么

$$\mu := -\frac{H.C}{(K_X + B).C}$$

即  $K_X + B + \frac{1}{\mu} H \equiv_S 0$ ；

- **$\theta$ -典范阈值  $\frac{1}{\lambda}$ ：** 若  $\mathcal{H}$  无基点则定义  $\lambda = 0$ ；否则定义

$$\frac{1}{\lambda} := \max\{t : a_\theta(E; X, B + tH) \geq 0, \forall X \text{ 上例外除子 } E\}$$

- **$(K_X + B_X + \frac{1}{\mu} H)$ -无差别除子个数：**  $e = 0$  若  $\mathcal{H}$  无基点 (此时  $\lambda = 0$ ) 则定义  $e = 0$ ；否则定义

$$e = \#\{E; E \text{ 是 } \sigma\text{-例外除子, 且 } a_\theta(E; X, B + \frac{1}{\lambda} H) = 0\}$$

注. (1) Sarkisov 次数取决于  $A', H'$  和  $\theta$  的选取。

- (2) 取公共算术解消  $(W, B_W) \in C_\theta$ ，其中  $B_W = \sum \theta(E)E$ ，并且有射影双有理态射  $\sigma : W \rightarrow X, \sigma' : W \rightarrow X'$ 。由于  $\sigma^* H = H_W + \sum f_l F_l$ ，所以有分歧等式：

$$K_W + B_W + tH_W = \sigma^*(K_X + B + tH) + \sum (a_l - t f_l) E_l$$

其中  $\sum a_l E_l$  是有效除子且支撑在  $\text{Exc } \sigma$  上。那么  $\lambda := \max\{\frac{f_l}{a_l}\}$ 。如果  $\mathcal{H}$  是无基点的，那么  $\sum f_l F_l = 0$  且  $\lambda = 0$ 。

- (3)  $e$  是公式

$$K_W + B_W + \frac{1}{\lambda} H_W = \sigma^*(K_X + B + \frac{1}{\lambda} H) + \sum (a_l - \frac{1}{\lambda} f_l) E_l.$$

中系数  $\sum (a_l - \frac{1}{\lambda} f_l) E_l$  为 0 的部分的个数。这样的素除子  $E_1, \dots, E_e$  称作  $(K_X + B + \frac{1}{\lambda} H)$ - $\theta$ -无差别的。

需要构造在集合  $C_\theta$  中的解压态射：

**引理 1.2.** 使用定义 1.3 中的记号，并假设  $\lambda \neq 0$ ，那么存在压缩态射  $f : Z \rightarrow X$  满足：

- $(Z, B_Z) \in C_\theta$  and  $(Z, B_Z + \frac{1}{\lambda}H_Z)$  is  $\theta$ -terminal and  $\mathbb{Q}$ -factorial;
- $\rho(Z) = \rho(X) + 1$ ;
- $f$  is  $(K_X + B + \frac{1}{\lambda}H)$ -crepant, that is

$$K_Z + B_Z + \frac{1}{\lambda}H_Z = f^*(K_X + B + \frac{1}{\lambda}H).$$

**证明.** We follow the idea of the proof in [4, Proposition 1.6]. Let  $(W, B_W) \in C_\theta$  and  $\sigma : W \rightarrow X, \sigma' : W \rightarrow X'$  be the common resolution as in Definition 1.3, and suppose  $E_1, \dots, E_e$  are  $(K_X + B + \frac{1}{\lambda}H)$ - $\theta$ -crepant divisors after renumbering. Then we have

$$K_W + B_W + \frac{1}{\lambda}H_W = \sigma^*(K_X + B + \frac{1}{\lambda}H) + \sum_{l=1}^e 0 \cdot E_l + \sum_{l>e} (a_l - \frac{1}{\lambda}f_l)E_l.$$

We run the  $(K_W + B_W + \frac{1}{\lambda}H_W)$ -MMP over  $X$  with scaling of some ample divisor, then the MMP ends with a minimal model  $p : (Y, B_Y + \frac{1}{\lambda}H_Y) \rightarrow X$  for  $(W, B_W + \frac{1}{\lambda}H_W)$  over  $X$  and the exceptional locus of  $p$  is exactly  $\cup_{i=1}^e E_i$  and  $p$  is crepant:

$$K_Y + B_Y + \frac{1}{\lambda}H_Y = p^*(K_X + B + \frac{1}{\lambda}H).$$

Then we run the  $(K_Y + B_Y)$ -MMP over  $X$  with scaling of some ample divisor. This ends with the minimal model  $(X, B)$  of  $(Y, B_Y)$  over  $X$ . Let  $f : Z \rightarrow X$  be the last contraction in the MMP, and  $f$  is the required extraction map.  $\square$

### 1.1.2 Flowchart for the Sarkisov program

We follow [4, §1] in this subsection.

If  $\lambda \leq \mu$  and  $K_X + B + \frac{1}{\mu}H$  is nef, the two Mori fibre spaces are isomorphic by following Theorem and the program stops:

**定理 1.3.** (Noether-Fano-Iskovskikh Criterion): Notations as in the definition of Sarkisov degree, then

- (1)  $\mu \geq \mu'$ ;
- (2) If  $\mu \geq \lambda$  and  $(K_X + B + \frac{1}{\mu}H)$  is nef, then  $\Phi$  is an isomorphism of Mori fibre spaces. That is, we have a commutative diagram:

$$\begin{array}{ccc} X & \xrightarrow[\Phi]{\sim} & X' \\ f \downarrow & & \downarrow f' \\ S & \xrightarrow{\sim} & S' \end{array}$$

证明. We follow the ideas of the proofs in [6, Claim 13.20], [7, Theorem 5.1] and [3, Theorem 4.2]:

- (1) We only need to show  $(K_X + B + \frac{1}{\mu'}H)$  is  $f$ -nef. Let  $\sigma : W \rightarrow X$  and  $\sigma' : W \rightarrow X'$  be a common resolution. Consider the ramification formulas:

$$\begin{aligned} K_W + B_W + \frac{1}{\mu'}H_W &= \sigma'^*(K_{X'} + B' + \frac{1}{\mu'}H') + \sum e'_j E_j + \sum g'_k G'_k \\ &= \sigma^*(K_X + B + \frac{1}{\mu'}H) + \sum g_i G_i + \sum e_j E_j \end{aligned}$$

Here  $\{G_i\}, \{E_j\}$  are  $\sigma$ -exceptional divisors, and  $\{E_j\}, \{G'_k\}$  are  $\sigma'$ -exceptional divisors. Since  $H_W = \sigma'^*H'$ ,  $g'_k > 0$  or there is no such  $G'_k$ . Then take a general curve  $C \subset X$  contracted by  $f$ , such that its strict transform  $\tilde{C}$  on  $W$  is disjoint from  $G_i, E_j$ , and is not contained in  $G'_k$ . Then we have:

$$\begin{aligned} C \cdot \left( K_X + B + \frac{1}{\mu'}H \right) &= \tilde{C} \cdot \left( \sigma^* \left( K_X + B + \frac{1}{\mu'}H \right) + \sum g_i G_i + \sum e_j E_j \right) \\ &= \tilde{C} \cdot \left( \sigma'^* \left( K_{X'} + B' + \frac{1}{\mu'}H' \right) + \sum e'_j E_j + \sum g'_k G'_k \right) \\ &= \tilde{C} \cdot \sigma'^* f'^* A' + \tilde{C} \cdot \left( \sum g'_k G'_k \right) \geq 0. \end{aligned}$$

This implies  $(K_X + B + \frac{1}{\mu'}H)$  is  $f$ -nef and  $\mu \geq \mu'$ ;

- (2) First we show that  $\mu = \mu'$ . By (1), we only need to show  $(K_{X'} + B' + \frac{1}{\mu}H')$  is  $f'$ -nef. Indeed, same as (1), we can take a general curve  $C' \subset X'$  contracted by  $f'$ , such that its strict transform  $\tilde{C}'$  on  $W$  is disjoint from  $G'_k, E_j$ , and is not contained in  $G_i$  and  $C' \cdot \left( K_{X'} + B' + \frac{1}{\mu}H' \right) \geq 0$ .

Then we show they are isomorphic. Take a very ample divisor  $D$  on  $X$  and let  $D'$  be its strict transform on  $X'$ . Then  $D'$  is  $f'$ -ample, thus there exists  $0 < d \ll 1$  such that the following holds:

- $K_X + B + \frac{1}{\mu}H + dD$  is ample;
- $K_{X'} + B' + \frac{1}{\mu}H' + dD'$  is ample.

Therefore,  $X$  and  $X'$  are both log canonical models of  $(W, B_W + \frac{1}{\mu}H_W + dD_W)$ , hence  $X \cong X'$ . Furthermore,  $f$  and  $f'$  are contractions of the same numerical curve class, thus the two log Mori fibre spaces are isomorphic.

□

Otherwise, if the condition of the Noether-Fano-Iskovskikh Criterion does not hold:



- (1) If  $\lambda \leq \mu$  and  $K_X + B + \frac{1}{\mu}H$  is not nef, then there is a contraction  $f : X \rightarrow T$  and a Sarkisov link  $\psi_1 : X \dashrightarrow X_1$  of type III or IV;
- (2) If  $\lambda > \mu$ , then there is a divisorial extraction  $p : Z \rightarrow X$  and a Sarkisov link  $\psi_1 : X \dashrightarrow X_1$  of type I or II.

证明. (1) By assumption,  $\lambda \leq \mu$  and  $K_X + B + \frac{1}{\mu}H$  is not nef. Suppose  $f$  is the contraction of a  $(K_X + B)$ -negative extremal ray  $R = \overline{\text{NE}}(X/S)$ , then  $(K_X + B + \frac{1}{\mu}H).R = 0$  by definition of  $\mu$ . There is an extremal ray  $P \subset \overline{\text{NE}}(X)$  such that  $(K_X + B + \frac{1}{\mu}H).P < 0$  and  $F := P + R$  is an extremal face (see [3, 5.4.2] for the details). Take  $0 < \delta \ll 1$  such that  $(K_X + B + (\frac{1}{\mu} - \delta)H).P < 0$ , then  $(K_X + B + (\frac{1}{\mu} - \delta)H).R < 0$  since  $H$  is  $f$ -ample. Therefore,  $F$  is a  $(K_X + B + (\frac{1}{\mu} - \delta)H)$ -negative extremal face. Since  $(X, B + (\frac{1}{\mu} - \delta)H)$  is klt, there is a contraction  $g : X \rightarrow T$  with respect to  $F$  factoring through  $f : X \rightarrow S$ . Since  $(X, B + \frac{1}{\mu}H)$  is klt, and  $\rho(X/T) = 2$ , we can run the  $(K_X + B + \frac{1}{\mu}H)$ -MMP over  $T$  with scaling of some ample divisor. Since  $B + \frac{1}{\mu}H$  is relatively big, the MMP terminates. There are the following cases:

- (1).1 After finitely many flips  $X \dashrightarrow Z$ , the first non-flip contraction is a divisorial contraction  $p : Z \rightarrow X_1$ , which is then followed by a log Mori fibre space  $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow S_1$ . The contraction  $f_1$  is also a log Mori fibre space of  $(X_1, B_1)$ . This is a link of type III.
- (1).2 After finitely many flips  $X \dashrightarrow X_1$ , the first non-flip contraction is a log Mori fibre space  $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow S_1$ . The contraction  $f_1$  is also a log Mori fibre space of  $(X_1, B_1)$ . This is a link of type IV.
- (1).3 After finitely many flips  $X \dashrightarrow Z$ , the first non-flip contraction is a divisorial contraction  $p : Z \rightarrow X_1$  with

$$K_Z + B_Z + \frac{1}{\mu}H_Z = p^*(K_{X_1} + B_1 + \frac{1}{\mu}H_1) + eE$$

where  $e > 0$  and  $E = \text{Exc } p$  and  $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow T$  is a log minimal model of  $(X, B + \frac{1}{\mu}H)$  over  $T$ . In fact the only ray of  $\overline{\text{NE}}(X_1/T)$  is  $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$ -trivial and hence is  $(K_{X_1} + B_1)$ -negative. Therefore,  $f_1 : (X_1, B_1) \rightarrow T$  is a log Mori fibre space. Take  $S_1 = T$ . This is a link of type III.

- (1).4 After finitely many flips  $X \dashrightarrow X_1$ , the  $(K_X + B + \frac{1}{\mu}H)$ -MMP ends with a log minimal model  $(X_1, B_1 + \frac{1}{\mu}H_1)$  over  $T$ . Then there is an extremal ray  $R$  of  $\overline{\text{NE}}(X_1/T)$ , which is  $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$ -trivial and  $(K_{X_1} + B_1)$ -negative.

Let  $f_1 : X_1 \rightarrow S_1$  be the contraction with respect to  $R$ . This is a link of type IV.

- (2) By assumption,  $\lambda > \mu$ . Take an extraction  $p : (Z, B_Z + \frac{1}{\lambda}H_Z) \rightarrow (X, B + \frac{1}{\lambda}H)$  as in Lemma 1.2. That is,  $(Z, B_Z)$  is  $\theta$ -terminal and  $p^*(K_X + B + \frac{1}{\lambda}H) = K_Z + B_Z + \frac{1}{\lambda}H_Z$  where  $B_Z = \sum \theta(E_\nu)E_\nu$ . Then we run the  $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -MMP over  $S$  with scaling of some ample divisor. Since  $Z$  is covered by  $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -negative curves,  $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$  is not relatively pseudo-effective. Hence, this MMP ends with a log Mori fibre space by Theorem ?? . There are two cases:

- (2).1 After finitely many flips  $Z \dashrightarrow Z'$ , the first non-flip contraction is a divisorial contraction  $q : Z' \rightarrow X_1$ , which is then followed by a log Mori fibre space  $f_1 : (X_1, B_1 + \frac{1}{\lambda}H_1) \rightarrow S$ . Let  $S_1 = S$ , then the contraction  $f_1$  is also a log Mori fibre space of  $(X_1, B_1)$ . This is a link of type II.
- (2).2 After finitely many flips  $Z \dashrightarrow X_1$ , the first non-flip contraction is a log Mori fibre space  $f_1 : (X_1, B_1 + \frac{1}{\lambda}H_1) \rightarrow S_1$ . Since  $(K_{X_1} + B_1 + \frac{1}{\lambda}H_1)$  is anti-ample over  $S_1$  and  $H_1$  is  $f_1$ -ample,  $(K_{X_1} + B_1)$  is anti-ample over  $S_1$ . Therefore,  $f_1 : (X_1, B_1) \rightarrow S_1$  is a log Mori fibre space. This is a link of type I.

□

We replace  $(X, B)$  with  $(X_1, B_1)$  and  $\Phi$  with  $\Phi \circ \psi_1^{-1}$ , and repeat the above process.

注. The Sarkisov degree decreases in the flowchart of the Sarkisov program:

- (1) (1).1 For the case 1a and 1b, since  $K_{X_1} + B_1 + \frac{1}{\mu}H_1$  is anti-ample over  $S_1$ , we have  $\mu_1 < \mu$ .
- (1).2 For the case 1c and 1d, since  $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$  is trivial on the ray  $R = \overline{\text{NE}}(X_1/S_1)$  for both cases, we have  $\mu_1 = \mu$ . Notice that  $(X_1, B_1 + \frac{1}{\mu}H_1)$  stays  $\theta$ -canonical, we have  $\lambda_1 \leq \mu = \mu_1$ , thus next link stays in the case 1. Furthermore, for case 1c we have  $\rho(X_1) = \rho(X) - 1$ .

- (2) For the case 2, we have  $\mu_1 \leq \mu$  and  $\lambda_1 \leq \lambda$  and if  $\lambda_1 = \lambda$ , then  $e_1 < e$ .

### 1.1.3 Termination

The original method needs the following to prove the termination:

- (1) the discreteness of nef thresholds  $\mu$ ;
- (2) the termination of flips;
- (3) the ascending chain condition of log canonical thresholds;

- (4) the finiteness of local log canonical thresholds for the Sarkisov program for terminal varieties, and the finiteness of local  $\theta$ -canonical thresholds for the Sarkisov program for the klt pairs.

Suppose there is an infinite sequence, that is, there are infinitely many  $X_i$  and birational maps obtained from the program:

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_i \dashrightarrow \cdots \dashrightarrow X'$$

- (1) Discreteness of nef thresholds holds for all dimensions, by the boundedness of  $\delta$ -lc Fano varieties ([5, Theorem 1.1]). Therefore, we may assume  $\mu_i$  is constant, that is,  $\mu = \mu_0 = \mu_i$  for all  $i$ .
- (2) We can now suppose  $\mu_i$  is constant. If there is a Sarkisov link  $\psi_i$  of type III or IV in the sequence, then any the Sarkisov link  $\psi_j, j > i$  is of type III or IV by Remark 1.1.2. There are only finitely many Sarkisov links of type III since the Picard numbers drop. The case of  $\psi_j, j \gg 0$  being of type IV contradicts the termination of flips. But the termination of flips only holds for threefolds and pseudo-effective fourfolds.
- (3) Suppose all the links are of type I and II. The ascending chain condition of log canonical thresholds holds for all dimensions [? ]. Therefore, there is a positive number  $\alpha$  such that  $(X_i, B_i + \alpha H_i)$  are klt for  $i \gg 0$ , and every Sarkisov link  $\psi_i, i \gg 0$  comes from the  $(K_{Z_i} + B_{Z_i} + \alpha H_{Z_i})$ -MMP over  $S_i$ . This is a contradiction to the finiteness of local  $\theta$ -canonical thresholds ([4, Claim 2.2]).

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