

16

A note on the Sarkisov program

Yifei Chen¹, and Yanze Wang²

Abstract

The purpose of this note is to introduce three methods of the Sarkisov program, which aims to factorize birational maps of log Mori fibre spaces.

16.1 Introduction

The minimal model program (MMP) aims to classify varieties up to birational equivalence. Conjecturally, any variety is either birational to a minimal model or a Mori fibre space. The representative in each birational class is possibly not unique. It is natural to ask what is the relation between representatives of a birational class.

For minimal models, Kawamata shows that:

Theorem 16.1.1 [Kaw08, Theorem 1] *Let (W, B_W) be a \mathbb{Q} -factorial terminal pair, and $(X, B), (Y, D)$ two minimal models of (W, B_W) . Then the birational map $X \dashrightarrow Y$ may be factored as a sequence of $(K_X + B)$ -flops.*

For Mori fibre spaces, the Sarkisov program shows that:

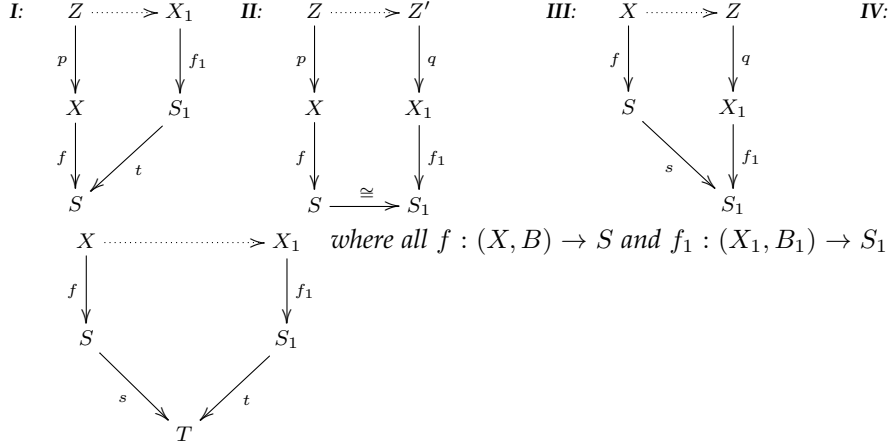
Theorem 16.1.2 *Let $f : (X, B) \rightarrow S$ and $f' : (X', B') \rightarrow S'$ be two MMP-related \mathbb{Q} -factorial klt log Mori fibre spaces with the induced birational map Φ :*

$$\begin{array}{ccc} (X, B) & \xrightarrow{\Phi} & (X', B') \\ f \downarrow & & \downarrow f' \\ S & & S' \end{array}$$

¹ ~~Academy of Mathematics and Systems Science, Chinese Academy of Sciences, No. 55 Zhongguancun East Road, Haidian District, Beijing 100190, P. R. China, yifeichen@amss.ac.cn~~

² ~~Academy of Mathematics and Systems Science, Chinese Academy of Sciences, No. 55 Zhongguancun East Road, Haidian District, Beijing 100190, P. R. China, wangyanze@amss.ac.cn~~
MSC2010 Primary 14E30, Secondary 14B05.

Then modulo isomorphisms, Φ can be decomposed into a sequence of the following four types of Sarkisov links:



are log Mori fibre spaces, all p, q are divisorial contractions, all s, t are relative Picard number one contractions, and all dash arrows are a composition of flips (or flops in Section 16.5).

The Sarkisov program has its origin in the birational classification of ruled surfaces [Sar80], [Sar82]. The complete proof of the Sarkisov program for terminal threefolds is given by Corti [Cor95]. The original idea of Sarkisov is constructing Sarkisov links inductively. Choosing a linear system \mathcal{H} (or a general divisor $H \in \mathcal{H}$) which defines the birational map $\Phi : X \dashrightarrow X'$, the first Sarkisov link $\psi_1 : X \dashrightarrow X_1$ comes from a ray game which is a special kind of MMP depending on \mathcal{H} (H). Then we replace $\Phi : X \dashrightarrow X'$ by $\Phi \circ \psi_1^{-1} : X_1 \dashrightarrow X'$ and repeat the process. The Sarkisov degree (μ, λ, e) is designed to prove the termination. The invariant (nef threshold) μ lies in a discrete set due to the boundedness of Fano varieties. The invariants (canonical threshold) λ and (the number of crepant divisors) e are related to the singularities of K_X with respect to H . The Sarkisov degree drops after the replacement, and the Sarkisov program terminates after finitely many steps. Bruno and Matsuki [BM97] generalize this method to the case of \mathbb{Q} -factorial klt threefolds. Moreover, they outline the Sarkisov program for \mathbb{Q} -factorial klt pairs in any dimension. After important advances in the minimal model program, such as the termination of the MMP with scaling [BCHM], the ACC of lcts [HM14], the boundedness of δ -lc Fano varieties [Bir19], [Bir21], the program they outlined works partially. The main remaining open question is related to the termina-

tion of flips and ~~ascending chain condition~~ (or finiteness) of local log canonical thresholds. We call this approach the original method. We are not aware of detailed references discussing the original method for higher dimensional klt pairs, and therefore we discuss this in detail in [Section 3](#).

Using the finiteness of weak log canonical models established in [\[BCHM\]](#), Hacon [\[Hac12\]](#) gives another approach to the Sarkisov program, which is called the double scaling method and is known to terminate in all dimensions. Both approaches construct the Sarkisov links by \mathbb{A}^1 -ray games. But in this approach, a common log resolution (W, B_W) of two Mori fibre spaces is fixed as the roof of the program such that each Sarkisov link is “under the roof” and each Mori fibre space in the Sarkisov links is a weak log canonical model of W . The termination of the method of double scaling can then be shown using techniques similar to the termination of flips with scaling. Liu [\[Liu21\]](#) generalizes Hacon’s method to generalized pairs. We introduce the double scaling method in [Section 4](#).

Using the idea of Shokurov’s polytopes [\[Sho96\]](#), [\[CS11\]](#), Hacon and McKernan [\[HM13\]](#) give a different approach to the Sarkisov program without using \mathbb{A}^1 -ray games. Let W be a common log resolution of $(X, B) \rightarrow S$ and $(X', B') \rightarrow S'$, then there are divisors D and D' on W such that S and S' are ample models of W for $K_W + D$ and $K_W + D'$ respectively. Moreover, there are some other divisors D_i in the polytope of boundaries of W corresponding to other Mori fibre spaces $X_i \rightarrow S_i$ and ample models S_i of W . Then there is a path in the polytope connecting these divisors D_i and it gives a decomposition of Φ into Sarkisov links. Miyamoto [\[Miy19\]](#) uses this method to show that the Sarkisov program works for lc log surfaces or \mathbb{Q} -factorial log surfaces over an algebraically closed field of any characteristic. We call this approach the polytope method and introduce it in [Section 5](#).

In [Section 6](#), we give examples to illustrate each method of the Sarkisov program.

The Sarkisov program has many applications, such as the classical result for the Cremona group of rank 2. That is, any birational automorphism of the projective plane is a composition of automorphisms of the projective plane and standard quadratic transforms (see [\[KSC04\]](#), [Chapter 2](#)). Takahashi [\[Tak95\]](#) establishes the Sarkisov program for log surfaces and obtains another proof for the classical algebraic result: any automorphism of the affine plane is a composition of linear trans-

formations and upper triangular transformations (see [Mat02], Chapter 13). For more applications, we refer to [Lam22] by Lamy.

Acknowledgements

Both authors are partially supported by the NSFC grant No. 12271384. The authors thank Professor Christopher Hacon for numerous corrections to the paper. The authors thank the referee for valuable corrections and suggestions, Yi Gu and Jinsong Xu for useful discussions, and Professor Nobuyoshi Takahashi for sharing his master thesis [Tak95] with us.

16.2 Preliminaries

Throughout this note, all varieties are over \mathbb{C} , the field of complex numbers.

16.2.1 MMP

We call the varieties that appear while running an MMP, the **results** of the MMP, and the varieties that appear at the end of the MMP (which are either minimal models or Mori fibre spaces) are the **outputs** of the MMP.

Definition 16.2.1 Let (X, B) be a pair and let $f : Y \rightarrow X$ be a log resolution of (X, B) . Suppose

$$K_Y + C = f^*(K_X + B),$$

then the discrepancy of a divisor E is

$$a(E; X, B) = -\text{mult}_E C.$$

Moreover, let

$$\text{discrep}(X, B) := \inf\{a(E; X, B) : E \text{ is an exceptional divisor over } X\}$$

and

$$\text{totdiscrep}(X, B) := \inf\{a(E; X, B) : E \text{ is a divisor over } X\}.$$

Theorem 16.2.2 [BCHM, Corollary 1.4.2] Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties, and let (X, B) be a \mathbb{Q} -factorial

klt pair where $K_X + B$ is \mathbb{R} -Cartier and B is π -big. Let $C \geq 0$ be an \mathbb{R} -divisor. If $K_X + B + C$ is klt and π -nef, then we may run the $(K_X + B)$ -MMP over U with scaling of C and this MMP terminates.

Theorem 16.2.3 [BCHM, Corollary 1.3.3] Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties, and let (X, B) be a \mathbb{Q} -factorial klt pair where $K_X + B$ is \mathbb{R} -Cartier. If $K_X + B$ is not π -pseudo-effective, then we may run the $(K_X + B)$ -MMP over U and end with a Mori fibre space $g : Y \rightarrow Z$.

Corollary 16.2.4 [Hac12, Corollary 13.7] Let (X, B) be a klt pair and \mathfrak{C} be any set of exceptional divisors E of discrepancy $a(E; X, B) \leq 0$. Then there is a birational morphism $f : Z \rightarrow X$ and a \mathbb{Q} -divisor B_Z such that:

- 1 (Z, B_Z) is klt;
- 2 E is an f -exceptional divisor if and only if $E \in \mathfrak{C}$;
- 3 $\text{mult}_E B_Z = -a(E; X, B)$ if $E \in \mathfrak{C}$, and $f_* B_Z = B$ and $K_Z + B_Z = f^*(K_X + B)$.

In particular, if we take \mathfrak{C} to be the set consisting of all exceptional divisors E of discrepancy $a(E; X, B) \leq 0$, then Z is called a **terminalization** of X ; if we take \mathfrak{C} to be the set consisting of only one exceptional divisor E of discrepancy $a(E; X, B) \leq 0$, then $f : Z \rightarrow X$ is called a **divisorial extraction**.

Definition 16.2.5 [BM97, Definition 3.3] Two or more pairs $\{(X_i, B_i)\}$ are called **MMP-related** if they are results of $(K_W + B_W)$ -MMPs starting from a given log smooth pair (W, B_W) .

Lemma 16.2.6 [BM97, Proposition 3.4] Let $\{(X_l, B_l)\}$ be a finite set of birational \mathbb{Q} -factorial klt pairs, then the following are equivalent:

- 1 They are MMP-related;
- 2 There is a log smooth pair (W, B_W) , and projective birational morphisms $f_l : W \rightarrow X_l$ dominating each X_l , such that $f_{l*} B_W = B_l$ and

$$K_W + B_W = f_l^*(K_{X_l} + B_l) + \sum_{\text{exceptional}} a_{li} E_{li}$$

with $a_{li} > 0$ for all f_l -exceptional divisors E_{li} ;

- 3 For any two pairs $(X, B = \sum_i b_i B_i), (X', B' = \sum_j b'_j B'_j)$ in the set, $a(B_i; X', B') \geq -b_i$ with strict inequality holding if and only if B_i is exceptional over X' , and $a(B'_j; X, B) \geq -b'_j$ with strict inequality holding if and only if B'_j is exceptional over X .

Proof We give a sketch proof for (3) \implies (2). Let W be a common resolution which dominates each pair $(X_l, B_l = \sum b_{li} B_{li})$ with a birational projective morphism $f_l : W \rightarrow X_l$ and that the union $f_{l*}^{-1} B_l \cup E_{li}$ is a divisor with only normal crossing. Let $B_W = \sum_t d_t D_t$ where $d_t = b_{li}$ if D_t coincides with any component of $\cup_l f_{l*}^{-1} B_l$, and $d_t = 1$ if B_t is an exceptional divisor over any of X_l . This is well defined thanks to the condition (3). The inequality condition in the ramification formula for the log pair (W, B_W) also follows from (3). \square

16.2.2 Models

Definition 16.2.7 [HM13, §2] A rational map $f : X \dashrightarrow Y$ is called a **rational contraction** if there is a resolution $p : W \rightarrow X$ and $q : W \rightarrow Y$ of f such that p and q are contraction morphisms and p is birational. We say that f is a **birational contraction** if q is, in addition, birational and every p -exceptional divisor is q -exceptional. If in addition, f^{-1} is also a **birational contraction**, then f is called a **small birational map**.

Definition 16.2.8 [BCHM, Definition 3.6.1] Let $f : X \dashrightarrow Y$ be a birational map of normal quasi-projective varieties, and $p : W \rightarrow X$ and $q : W \rightarrow Y$ a resolution of indeterminacy of f . Let D be an \mathbb{R} -Cartier divisor on X such that $D_Y = f_* D$ is also \mathbb{R} -Cartier. Then f is called **D -non-positive** (respectively **D -negative**) if

- f does not extract any divisor;
- $E = p^* D - q^* D_Y$ is effective and exceptional over Y (respectively $\text{Supp } p_* E$ contains all f -exceptional divisors).

Recall the definitions of models in [BCHM].

Definition 16.2.9 [BCHM, Definition 3.6.5] Let $\pi : (X, D) \rightarrow U$ be a projective morphism of normal quasi-projective varieties and let D be an \mathbb{R} -Cartier divisor on X . Let $f : X \dashrightarrow Y$ be a birational map over U , then Z is a **semiample model** for D over U if f is $(K_X + D)$ -non-positive and $K_Y + f_* D$ is semiample over U .

Let $g : X \dashrightarrow Z$ be a rational map over U , then Z is an **ample model** for D over U if there is an ample divisor H over U on Z such that if $p : W \rightarrow X$ and $q : W \rightarrow Z$ resolves g , then q is a contraction morphism, and we may write $p^* D \sim_{\mathbb{R}, U} q^* H + E$, where $E \geq 0$ and for any $B \in |p^* D/U|_{\mathbb{R}}$, then $B \geq E$.

Definition 16.2.10 [BCHM, Definition 3.6.7] Let $\pi : (X, D) \rightarrow U$ be a

projective morphism of normal quasi-projective varieties, if $K_X + D$ is log canonical and $f : X \dashrightarrow Y$ is a birational contraction, then define:

- 1 Y is a ~~weak log canonical model~~ for $K_X + D$ over U if f is $(K_X + D)$ -non-positive and $K_Y + f_*D$ is nef over U ;
- 2 Y is the ~~log canonical model~~ for $K_X + D$ over U if f is $(K_X + D)$ -non-positive and $K_Y + f_*D$ is ample over U ;
- 3 Y is a ~~log terminal model~~ for $K_X + D$ over U if f is $(K_X + D)$ -negative and $K_Y + f_*D$ is dlt and nef over U and Y is \mathbb{Q} -factorial.

Lemma 16.2.11 [BCHM, Lemma 3.6.6] *Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties and let D be an \mathbb{R} -Cartier divisor on X .*

- 1 If $g_i : X \dashrightarrow X_i, i = 1, 2$ are two ample models of D over U , then there is an isomorphism $h : X_1 \rightarrow X_2$ and $g_2 = h \circ g_1$.
- 2 If $f : X \dashrightarrow Y$ is a semiample model of D over U , then the ample model $g : X \dashrightarrow Z$ of D over U exists and $g = h \circ f$, where $h : Y \rightarrow Z$ is a contraction and $f_*D \sim_{\mathbb{R}, U} h^*H$ for the ample divisor H corresponding to the ample model Z .
- 3 If $f : X \dashrightarrow Y$ is a birational map over U , then f is the ample model of D over U if and only if f is a semiample model of D over U and f_*D is ample over U .

By the above lemma, there is another definition of log canonical models:

Definition 16.2.12 Let $\pi : (X, D) \rightarrow U$ be a projective morphism of normal quasi-projective varieties, $K_X + D$ log canonical and $f : X \dashrightarrow Y$ a birational map that extracts no divisors, then Y is the ~~log canonical model~~ if it is the ample model.

Furthermore, for big boundaries, we have

Lemma 16.2.13 [BCHM, Lemma 3.9.3] *Let $\pi : (X, B) \rightarrow U$ be a projective morphism of normal quasi-projective varieties. Suppose (X, B) is a klt pair and B is big over U . If $f : X \dashrightarrow Y$ is a weak log canonical model over U , then*

- f is a semiample model over U ;
- the ample model $g : X \dashrightarrow Z$ over U exists;
- there is a contraction $h : Y \rightarrow Z$ such that $K_Y + f_*B \sim_{\mathbb{R}, U} h^*H$ for some ample \mathbb{R} -divisor H on Z over U .

Definition 16.2.14 [BCHM, Definition 1.1.4] Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties, and let V be a finite-dimensional affine subspace of $\mathrm{WDiv}_{\mathbb{R}}(X)$ defined over the rational numbers. Fix an \mathbb{R} -divisor $A \geq 0$, and then define

$$\mathcal{L}_A(V) = \{D = A + B : B \in V, K_X + D \text{ is log canonical and } B \geq 0\}$$

$$\mathcal{E}_{A,\pi}(V) = \{D \in \mathcal{L}_A(V) : K_X + D \text{ is pseudo effective over } U\}$$

Given a birational contraction $f : X \dashrightarrow Y$, define

$$\mathcal{W}_{A,\pi,f}(V) = \{D \in \mathcal{E}_A(V) : f \text{ is a weak log canonical model of } (X, D) \text{ over } U\}$$

Given a rational contraction $g : X \dashrightarrow Z$ over U , define

$$\mathcal{A}_{A,\pi,g}(V) = \{D \in \mathcal{E}_A(V) : g \text{ is the ample model of } (X, D) \text{ over } U\}$$

In addition, let $\mathcal{C}_{A,\pi,g}(V)$ denote the closure of $\mathcal{A}_{A,\pi,g}(V)$ in $\mathcal{L}_A(V)$.

If the base U is clear, or it is a point, then we may omit π and simply write $\mathcal{E}_A(V)$ and $\mathcal{A}_{A,f}$.

Theorem 16.2.15 (Finiteness of weak log canonical models, [BCHM, Theorem E]) *Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties, and A be a general divisor relatively ample over U , and $V \subset \mathrm{WDiv}_{\mathbb{R}}(X)$ be a finite-dimensional rational subspace. Suppose that there is a klt pair (X, Δ_0) . Then there are finitely many birational maps $f_i : X \dashrightarrow X_i$ over U , $1 \leq i \leq l$ such that if $f : X \dashrightarrow Y$ is a weak log canonical model of $K_X + D$ over U for some $D \in \mathcal{L}_A(V)$, then there is an index $1 \leq i \leq l$ and an isomorphism $h_i : X_i \rightarrow Y$ such that $f = h_i \circ f_i$.*

16.3 Original method

Recall the Sarkisov program for terminal threefolds by Corti [Cor95]. Suppose $f : X \rightarrow S$ and $f' : X' \rightarrow S'$ are two birational Mori fibre spaces of terminal threefolds. Take an ample divisor A' on S' such that $H' \sim -\mu' K_{X'} + f'^* A'$ is a general ample divisor on X' for some $\mu' > 0$, and let H be the birational transform of H' on X . Take a common resolution $p : W \rightarrow X$ and $q : W \rightarrow X'$.

1. Let $\mu = \max\{c \in \mathbb{R} : K_X + \frac{1}{c}H \text{ is nef over } S\}$;

2. Let $\lambda = \min\{c \in \mathbb{R} : (X, \frac{1}{c}H) \text{ is canonical}\}$.

We run a relative $(K_X + \frac{1}{\mu}H)$ -MMP on X over a suitable base if $\lambda \leq \mu$, or a relative $(K_Z + \frac{1}{\lambda}H_Z)$ -MMP on a higher birational mode Z of X

over S if $\lambda > \mu$ and obtain the first Sarkisov link $\psi_1 : X \dashrightarrow X_1$. They are 2-ray games. By replacing X with X_1 , Φ with $\Phi \circ \psi_1^{-1} : X_1 \dashrightarrow X'$ and repeating the process, we construct a sequence of Sarkisov links. This is the Sarkisov program for terminal threefolds.

For the case of \mathbb{Q} -factorial klt pairs, let (X, B) and (X', B') be two MMP-related Mori fibre spaces. In this section, we assume B and B' are \mathbb{Q} -divisors. A natural idea to define μ and λ is as follows:

- 1 Let $\mu = \max\{c \in \mathbb{R} : K_X + B + \frac{1}{c}H \text{ is nef over } S\}$.
- 2 Let $\lambda = \min\{c \in \mathbb{R} : (X, B + \frac{1}{c}H) \text{ is log canonical}\}$.

This definition of λ leads some difficulties. If $\lambda > \mu$, to construct the Sarkisov link one needs to run a $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -MMP on a higher model Z of X which extracts a prime divisor E . The coefficient of E in the boundary B_Z is 1. If E is a component of B' , then it is not compatible with the coefficient of E in B' which is less than 1. Moreover, one has to run lc MMP, which is technically more difficult than klt MMP. Besides, there are troubles showing the termination of the Sarkisov program, since the boundedness of klt Fano varieties fails.

Bruno and Matsuki give another definition of λ for the case of klt pairs (see Definition 16.3.5) with respect to a special collection \mathcal{C}_θ containing (X, B) and (X', B') such that:

- For any two pairs in \mathcal{C}_θ , there is a common log resolution lying in \mathcal{C}_θ . Let (W, B_W) in \mathcal{C}_θ be a common log resolution with $p : W \rightarrow (X, B)$ and $q : W \rightarrow (X', B')$, then (W, B_W) is klt, and $p_*B_W = B$ and $q_*B_W = B'$. (By the construction of Z , the condition $q_*B_W = B'$ implies that the coefficients of B_Z are compatible with B' .)
- One can run the $(K_X + B + cH)$ -MMPs and the $(K_Z + B_Z + cH_Z)$ -MMPs for any pairs (X, B) and (Z, B_Z) in \mathcal{C}_θ and all results of these MMPs belong to \mathcal{C}_θ .
- All pairs in the collection \mathcal{C}_θ are δ -lc for some positive number δ depending on the function θ .

16.3.1 Preliminaries

Let $K = K(X)$ be the function field, and let $\Sigma = \{\nu\}$ be the set of discrete valuations of the field.

Definition 16.3.1 [BM97, Definition 3.5] Let $\theta : \Sigma \rightarrow [0, 1]_{\mathbb{Q}}$ be a function. Then we can define a collection \mathcal{C}_θ of pairs associated to θ , consisting of klt pairs $(X, B = \sum a_i B_i)$ satisfying

1. $a_i = \theta(B_i)$;
2. $a(E; X, B) > -\theta(E)$ for all E exceptional over X .

For example, let $\theta \equiv 0$ be constant, then \mathcal{C}_θ is the collection of all terminal varieties Y without boundary and birational to X . Furthermore, we can define the θ -discrepancy:

Definition 16.3.2 (θ -discrepancy) Let \mathcal{C}_θ be a collection of varieties as above. Let (X, B) be a pair with function field $K(X) = K$. Let $f : Y \rightarrow X$ be a log resolution of (X, B) . Suppose

$$K_Y + B_Y + C = f^*(K_X + B)$$

where $B_Y = f_*^{-1}B + \sum_{E_i \text{ exc}} \theta(E_i)E_i$, then the θ -discrepancy of the exceptional divisor E_i over X is

$$a_\theta(E_i; X, B) = -\text{mult}_{E_i} C.$$

Or equivalently, we have

$$a_\theta(E_i; X, B) = a(E_i; X, B) + \theta(E_i).$$

A pair (X, B) is called θ -canonical (respectively θ -terminal) if $a_\theta(E; X, B) \geq 0$ (respectively $a_\theta(E; X, B) > 0$) for all exceptional divisors E over X .

Note that a θ -canonical pair is not always in \mathcal{C}_θ . Lemma 3.6 in [BM97] can be generalized for higher-dimensional \mathbb{Q} -factorial klt Mori fibre spaces:

Proposition 16.3.3 Let $f : (X, B) \rightarrow S$ and $f' : (X', B') \rightarrow S'$ be two MMP-related \mathbb{Q} -factorial klt Mori fibre spaces, inducing a birational map Φ :

$$\begin{array}{ccc} (X, B) & \xrightarrow{\Phi} & (X', B') \\ f \downarrow & & \downarrow f' \\ S & & S' \end{array}$$

Suppose $B = \sum_i b_i B_i + \sum_j d_j D_j$ and $B' = \sum_j d'_j D_j + \sum_k b'_k B'_k$, where B_i are divisors on X but not on X' , B'_k are divisors on X' but not on X , and D_j are divisors on both X and X' . By Lemma 16.2.6, $d_j = d'_j$. Take a rational number $\epsilon < 1$ such that $\epsilon > -\text{totdiscrep}(X, B) - \text{totdiscrep}(X', B')$, and take the function $\theta : \{\nu\} \rightarrow [0, 1)_{\mathbb{Q}}$ as follows:

- $\theta(B_i) = b_i, \theta(D_j) = d_j, \theta(B'_k) = b'_k$;
- $\theta(E) = \epsilon$ if E is exceptional over both X and X' ;

- $\theta(D) = 0$ if D is a divisor on both X and X' , but not a component of B or B' .

Then the collection \mathcal{C}_θ satisfies

1. (X, B) and (X', B') belong to \mathcal{C}_θ .
2. For any finitely many klt pairs $\{(X_l, B_l)\}$ in \mathcal{C}_θ , there is an object $(Z, B_Z) \in \mathcal{C}_\theta$ and projective birational morphisms $Z \rightarrow X_l$ such that each X_l is the output of a $(K_Z + B_Z)$ -MMP over X_l (and hence a result of the $(K_Z + B_Z)$ -MMP over $\text{Spec } \mathbb{C}$).
3. Any $(K + B)$ -MMP starting from an object in \mathcal{C}_θ stays inside \mathcal{C}_θ , and so does any $(K + B + cH)$ -MMP where H is base point free and $c \in \mathbb{Q}_{>0}$.

Remark 16.3.4 Let $\delta = 1 - \epsilon$, then all pairs in \mathcal{C}_θ are δ -lc.

With the notations and assumptions in Proposition 16.3.3, we shall define the Sarkisov degree. Take a very ample divisor A' on S' and a sufficiently large and divisible integer $\mu' > 1$ such that

$$\mathcal{H}' = |-\mu'(K_{X'} + B') + f'^*A'|$$

is a very ample complete linear system on X' over $\text{Spec } \mathbb{C}$. Let (W, B_W) be a common log resolution of X and X' in \mathcal{C}_θ with projective birational morphisms $\sigma : W \rightarrow X$, $\sigma' : W \rightarrow X'$ and $\sigma_*B_W = B$, $\sigma'_*B_W = B'$. Let $\mathcal{H}_W := \sigma'^*\mathcal{H}'$ and then $\mathcal{H} := \Phi_*^{-1}\mathcal{H}' = \sigma_*\mathcal{H}_W$. Furthermore, if \mathcal{H} is not base point free, then

$$\sigma^*\mathcal{H} = \mathcal{H}_W + F$$

where $F = \sum f_l F_l \geq 0$ is the fixed part. Take a general member H' of the linear system \mathcal{H}' such that $H_W := \sigma'^*H' = \sigma'^{-1}H' \in \mathcal{H}_W$, and let $H := \Phi_*^{-1}H' = \sigma_*H_W$, then H is f -ample and $\sigma^*H = H_W + F$. By taking a further resolution, we may assume H_W is smooth and crosses normally with the exceptional locus of σ and σ' .

Now we can define the Sarkisov degree with respect to H' (or \mathcal{H}') in \mathcal{C}_θ .

Definition 16.3.5 [BM97, Definition 3.8] The Sarkisov degree of (X, B) with respect to H' (or \mathcal{H}') in \mathcal{C}_θ is a triple (μ, λ, e) ordered lexicographically:

- **Nef threshold μ :** Let $C \subset X$ be a curve contracted by f , then

$$\mu := -\frac{H.C}{(K_X + B).C} \quad |$$

that is, $K_X + B + \frac{1}{\mu}H \equiv_S 0$;

- **θ -canonical threshold $\frac{1}{\lambda}$** : $\lambda = 0$ if \mathcal{H} is base point free; otherwise,

$$\frac{1}{\lambda} := \max\{t : a_\theta(E; X, B + tH) \geq 0, \forall E \text{ exceptional over } X\}$$

- **Number of $(K_X + B_X + \frac{1}{\mu}H)$ -crepant divisors**: Let $e = 0$ if \mathcal{H} is base point free (and hence $\lambda = 0$); otherwise

$$e = \#\{E; E \text{ is } \sigma\text{-exceptional and } a_\theta(E; X, B + \frac{1}{\lambda}H) = 0\}$$

Remark 16.3.6 1. The Sarkisov degree is dependent on the choice of A' , H' and θ .

2. Take a common log resolution $(W, B_W) \in \mathcal{C}_\theta$ with $B_W = \sum \theta(E)E$ and projective birational morphisms $\sigma : W \rightarrow X$, $\sigma' : W \rightarrow X'$. Since $\sigma^*\mathcal{H} = \mathcal{H}_W + \sum f_l F_l$, we have the ramification formula:

$$K_W + B_W + tH_W = \sigma^*(K_X + B + tH) + \sum (a_l - t f_l)E_l$$

where $\sum a_l E_l$ is effective and supported on $\text{Exc } \sigma$. Then $\lambda := \max\{\frac{f_l}{a_l}\}$. If \mathcal{H} is base point free, then $\sum f_l F_l = 0$ and $\lambda = 0$.

3. e is the number of components in $\sum (a_l - \frac{1}{\lambda} f_l)E_l$ with coefficient 0 in the formula

$$K_W + B_W + \frac{1}{\lambda}H_W = \sigma^*(K_X + B + \frac{1}{\lambda}H) + \sum (a_l - \frac{1}{\lambda} f_l)E_l.$$

Such prime divisors E_1, \dots, E_e are called $(K_X + B + \frac{1}{\lambda}H)$ - θ -crepant.

We also need some extraction maps in this collection;

Lemma 16.3.7 Using the notations in the definition of Sarkisov degree and assuming $\lambda \neq 0$, there is a contraction $f : Z \rightarrow X$ such that

- $(Z, B_Z) \in \mathcal{C}_\theta$ and $(Z, B_Z + \frac{1}{\lambda}H_Z)$ is θ -terminal and \mathbb{Q} -factorial;
- $\rho(Z) = \rho(X) + 1$;
- f is $(K_X + B + \frac{1}{\lambda}H)$ -crepant, that is

$$K_Z + B_Z + \frac{1}{\lambda}H_Z = f^*(K_X + B + \frac{1}{\lambda}H).$$

Proof We follow the idea of the proof in [BM97, Proposition 1.6]. Let $(W, B_W) \in \mathcal{C}_\theta$ and $\sigma : W \rightarrow X$, $\sigma' : W \rightarrow X'$ be the common resolution as in Definition 16.3.5, and suppose E_1, \dots, E_e are $(K_X + B + \frac{1}{\lambda}H)$ - θ -crepant divisors after renumbering. Then we have

$$K_W + B_W + \frac{1}{\lambda}H_W = \sigma^*(K_X + B + \frac{1}{\lambda}H) + \sum_{l=1}^e 0 \cdot E_l + \sum_{l>e} (a_l - \frac{1}{\lambda}f_l)E_l.$$

We run the $(K_W + B_W + \frac{1}{\lambda}H_W)$ -MMP over X with scaling of some ample divisor, then the MMP ends with a minimal model $p : (Y, B_Y + \frac{1}{\lambda}H_Y) \rightarrow X$ for $(W, B_W + \frac{1}{\lambda}H_W)$ over X and the exceptional locus of p is exactly $\cup_{i=1}^e E_i$ and p is crepant:

$$K_Y + B_Y + \frac{1}{\lambda}H_Y = p^*(K_X + B + \frac{1}{\lambda}H).$$

Then we run the $(K_Y + B_Y)$ -MMP over X with scaling of some ample divisor. This ends with the minimal model (X, B) of (Y, B_Y) over X . Let $f : Z \rightarrow X$ be the last contraction in the MMP, and f is the required extraction map. \square

16.3.2 Flowchart for the Sarkisov program

We follow [BM97, §1] in this subsection.

If $\lambda \leq \mu$ and $K_X + B + \frac{1}{\mu}H$ is nef, the two Mori fibre spaces are isomorphic by following Theorem and the program stops.

Theorem 16.3.8 (Noether-Fano-Iskovskikh Criterion): *Notations as in the definition of Sarkisov degree, then*

1. $\mu \geq \mu'$;

2. If $\mu \geq \lambda$ and $(K_X + B + \frac{1}{\mu}H)$ is nef, then Φ is an isomorphism of Mori fibre spaces. That is, we have a commutative diagram:

$$\begin{array}{ccc} X & \xrightarrow[\Phi]{\cong} & X' \\ f \downarrow & & \downarrow f' \\ S & \xrightarrow{\cong} & S' \end{array}$$

Proof We follow the ideas of the proofs in [Hac12, Claim 13.20], [Liu21, Theorem 5.1] and [Cor95, Theorem 4.2]:

1. We only need to show $(K_X + B + \frac{1}{\mu'}H)$ is f -nef. Let $\sigma : W \rightarrow X$ and $\sigma' : W \rightarrow X'$ be a common resolution. Consider the ramification

formulas:

$$\begin{aligned} K_W + B_W + \frac{1}{\mu'} H_W &= \sigma'^*(K_{X'} + B' + \frac{1}{\mu'} H') + \sum e'_j E_j + \sum g'_k G'_k \\ &= \sigma^*(K_X + B + \frac{1}{\mu'} H) + \sum g_i G_i + \sum e_j E_j \end{aligned}$$

where $\{G_i\}, \{E_j\}$ are σ -exceptional divisors, and $\{E_j\}, \{G'_k\}$ are σ' -exceptional divisors. Since $H_W = \sigma'^* H'$, $g'_k > 0$ or there is no such G'_k . Then take a general curve $C \subset X$ contracted by f , such that its strict transform \tilde{C} on W is disjoint from G_i, E_j , and is not contained in G'_k . Then we have:

$$\begin{aligned} C \cdot \left(K_X + B + \frac{1}{\mu'} H \right) &= \tilde{C} \cdot \left(\sigma^* \left(K_X + B + \frac{1}{\mu'} H \right) + \sum g_i G_i + \sum e_j E_j \right) \\ &= \tilde{C} \cdot \left(\sigma'^* \left(K_{X'} + B' + \frac{1}{\mu'} H' \right) + \sum e'_j E_j + \sum g'_k G'_k \right) \\ &= \tilde{C} \cdot \sigma'^* f'^* A' + \tilde{C} \cdot \left(\sum g'_k G'_k \right) \geq 0. \end{aligned}$$

This implies $(K_X + B + \frac{1}{\mu'} H)$ is f -nef and $\mu \geq \mu'$.

First we show that $\mu = \mu'$. By (1), we only need to show $(K_{X'} + B' + \frac{1}{\mu} H')$ is f' -nef. Indeed, same as (1), we can take a general curve C' on X' contracted by f' , such that its strict transform \tilde{C}' on W is disjoint from G'_k, E_j , and is not contained in G_i and $C' \cdot (K_{X'} + B' + \frac{1}{\mu} H') \geq 0$.

Then we show they are isomorphic. Take a very ample divisor D on X and let D' be its strict transform on X' . Then D' is f' -ample, thus there exists $0 < d \ll 1$ such that the following holds:

- $K_X + B + \frac{1}{\mu} H + dD$ is ample;
- $K_{X'} + B' + \frac{1}{\mu} H' + dD'$ is ample.

Therefore, X and X' are both log canonical models of $(W, B_W + \frac{1}{\mu} H_W + dD_W)$ hence $X \cong X'$. Furthermore, f and f' are contractions of the same numerical curve class, thus the two log Mori fibre spaces are isomorphic.

□

Otherwise, if the condition of the Noether-Fano-Iskovskikh Criterion does not hold,

Claim 16.3.9 If $\lambda \leq \mu$ and $K_X + B + \frac{1}{\mu} H$ is not nef, then there is a contraction $f : X \rightarrow T$ and a Sarkisov link $\psi_1 : X \dashrightarrow X_1$ of type III or IV.

2 If $\lambda > \mu$, then there is a divisorial extraction $p : Z \rightarrow X$ and a Sarkisov link $\psi_1 : X \dashrightarrow X_1$ of type I or II.

Proof 1 By assumption, $\lambda \leq \mu$ and $K_X + B + \frac{1}{\mu}H$ is not nef. Suppose f is the contraction of a $(K_X + B)$ -negative extremal ray $R = \overline{\text{NE}}(X/S)$, then $(K_X + B + \frac{1}{\mu}H).R = 0$ by definition of μ . There is an extremal ray $P \subset \overline{\text{NE}}(X)$ such that $(K_X + B + \frac{1}{\mu}H).P < 0$ and $F := P + R$ is an extremal face (see [Cor95, 5.4.2] for the details). Take $0 < \delta \ll 1$ such that $(K_X + B + (\frac{1}{\mu} - \delta)H).P < 0$, then $(K_X + B + (\frac{1}{\mu} - \delta)H).R < 0$ since H is f -ample. Therefore, F is a $(K_X + B + (\frac{1}{\mu} - \delta)H)$ -negative extremal face. Since $(X, B + (\frac{1}{\mu} - \delta)H)$ is klt, there is a contraction $g : X \rightarrow T$ with respect to F factoring through $f : X \rightarrow S$. Since $(X, B + \frac{1}{\mu}H)$ is klt, and $\rho(X/T) = 2$, we can run the $(K_X + B + \frac{1}{\mu}H)$ -MMP over T with scaling of some ample divisor. Since $B + \frac{1}{\mu}H$ is relatively big, the MMP terminates. There are the following cases:

- 1 After finitely many flips $X \dashrightarrow Z$, the first non-flip contraction is a divisorial contraction $p : Z \rightarrow X_1$, which is then followed by a log Mori fibre space $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow S_1$. The contraction f_1 is also a log Mori fibre space of (X_1, B_1) . This is a link of type III.
- 2 After finitely many flips $X \dashrightarrow X_1$, the first non-flip contraction is a log Mori fibre space $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow S_1$. The contraction f_1 is also a log Mori fibre space of (X_1, B_1) . This is a link of type IV.
- 3 After finitely many flips $X \dashrightarrow Z$, the first non-flip contraction is a divisorial contraction $p : Z \rightarrow X_1$ with

$$K_Z + B_Z + \frac{1}{\mu}H_Z = p^*(K_{X_1} + B_1 + \frac{1}{\mu}H_1) + eE$$

where $e > 0$ and $E = \text{Exc } p$ and $f_1 : (X_1, B_1 + \frac{1}{\mu}H_1) \rightarrow T$ is a log minimal model of $(X, B + \frac{1}{\mu}H)$ over T . In fact the only ray of $\overline{\text{NE}}(X_1/T)$ is $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$ -trivial and hence is $(K_{X_1} + B_1)$ -negative. Therefore, $f_1 : (X_1, B_1) \rightarrow T$ is a log Mori fibre space. Take $S_1 = T$. This is a link of type III.

- 4 After finitely many flips $X \dashrightarrow X_1$, the $(K_X + B + \frac{1}{\mu}H)$ -MMP ends with a log minimal model $(X_1, B_1 + \frac{1}{\mu}H_1)$ over T . Then there is an extremal ray R of $\overline{\text{NE}}(X_1/T)$, which is $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$ -trivial and $(K_{X_1} + B_1)$ -negative. Let $f_1 : X_1 \rightarrow S_1$ be the contraction with respect to R . This is a link of type IV.

2 By assumption, $\lambda > \mu$. Take an extraction $p : (Z, B_Z + \frac{1}{\lambda}H_Z) \rightarrow$

$(X, B + \frac{1}{\lambda}H)$ as in Lemma 16.3.7. That is, (Z, B_Z) is θ -terminal and $p^*(K_X + B + \frac{1}{\lambda}H) = K_Z + B_Z + \frac{1}{\lambda}H_Z$ where $B_Z = \sum \theta(E_\nu)E_\nu$. Then we run the $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -MMP over S with scaling of some ample divisor. Since Z is covered by $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ -negative curves, $(K_Z + B_Z + \frac{1}{\lambda}H_Z)$ is not relatively pseudo-effective. Hence, this MMP ends with a log Mori fibre space by Theorem 16.2.3. There are two cases:

- 1 After finitely many flips $Z \dashrightarrow Z'$, the first non-flip contraction is a divisorial contraction $q : Z' \rightarrow X_1$, which is then followed by a log Mori fibre space $f_1 : (X_1, B_1 + \frac{1}{\lambda}H_1) \rightarrow S$. Let $S_1 = S$, then the contraction f_1 is also a log Mori fibre space of (X_1, B_1) . This is a link of type II.
- 2 After finitely many flips $Z \dashrightarrow X_1$, the first non-flip contraction is a log Mori fibre space $f_1 : (X_1, B_1 + \frac{1}{\lambda}H_1) \rightarrow S_1$. Since $(K_{X_1} + B_1 + \frac{1}{\lambda}H_1)$ is anti-ample over S_1 and H_1 is f_1 -ample, $(K_{X_1} + B_1)$ is anti-ample over S_1 . Therefore, $f_1 : (X_1, B_1) \rightarrow S_1$ is a log Mori fibre space. This is a link of type I.

□

We replace (X, B) with (X_1, B_1) and Φ with $\Phi \circ \psi_1^{-1}$, and repeat the above process.

Remark 16.3.10 *The Sarkisov degree decreases in the flowchart of the Sarkisov program:*

- 1 1 For the case 11 and 12, since $K_{X_1} + B_1 + \frac{1}{\mu}H_1$ is anti-ample over S_1 , we have $\mu_1 < \mu$.
- 2 For the case 13 and 14, since $(K_{X_1} + B_1 + \frac{1}{\mu}H_1)$ is trivial on the ray $R = \overline{\text{NE}}(X_1/S_1)$ for both cases, we have $\mu_1 = \mu$. Notice that $(X_1, B_1 + \frac{1}{\mu}H_1)$ stays θ -canonical, we have $\lambda_1 \leq \mu = \mu_1$, thus next link stays in the case 1. Furthermore, for case 13 we have $\rho(X_1) = \rho(X) - 1$.
- 2 For the case 2, we have $\mu_1 \leq \mu$ and $\lambda_1 \leq \lambda$ and if $\lambda_1 = \lambda$, then $e_1 < e$.

16.3.3 Termination

The original method needs the following to prove the termination:

- 1 the discreteness of nef thresholds μ ;
- 2 the termination of flips;
- 3 the ascending chain condition of log canonical thresholds;

- 4 the finiteness of local log canonical thresholds for the Sarkisov program for terminal varieties, and the finiteness of local θ -canonical thresholds for the Sarkisov program for the klt pairs.

Suppose there is an infinite sequence, that is, there are infinitely many X_i and birational maps obtained from the program:

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_i \dashrightarrow \cdots \dashrightarrow X'$$

- 1 Discreteness of nef thresholds holds for all dimensions, by the boundedness of δ -lc Fano varieties ([Bir21, Theorem 1.1]). Therefore, we may assume μ_i is constant, that is, $\mu = \mu_0 = \mu_i$ for all i .
- 2 We can now suppose μ_i is constant. If there is a Sarkisov link ψ_i of type III or IV in the sequence, then any ~~the~~ Sarkisov link $\psi_j, j > i$ is of type III or IV by Remark 16.3.10. There are only finitely many Sarkisov links of type III since the Picard numbers drop. The case of $\psi_j, j \gg 0$ being of type IV contradicts the termination of flips. But the termination of flips is only known to hold for threefolds and ~~pesudo~~-effective fourfolds.
- 3 Suppose all the links are of type I and II. The ~~ascending chain condition~~ of log canonical thresholds holds for all dimensions [HMX14]. Therefore, there is a positive number α such that $(X_i, B_i + \alpha H_i)$ are klt for $i \gg 0$, and every Sarkisov link $\psi_i, i \gg 0$ comes from the $(K_{Z_i} + B_{Z_i} + \alpha H_{Z_i})$ -MMP over S_i . This is a contradiction to the finiteness of local θ -canonical thresholds ([BM97, Claim 2.2]).

16.4 Double scaling method

We introduce the ideas of [Hac12, §13] and [Liu21]. Let W be a common resolution of two MMP-related log Mori fibre spaces. Take an ample \mathbb{R} -divisor A on S such that $G \sim_{\mathbb{R}} -(K_X + B) + f^*A$ is a general ample \mathbb{R} -divisor. Similarly, take an ample \mathbb{R} -divisor A' on S' such that $H' \sim_{\mathbb{R}} -(K_{X'} + B') + f'^*A'$ is a general ample \mathbb{R} -divisor. Then $(X, B + G)$ and $(X', B' + H')$ are two weak log canonical models of W (for $K_W + B_W + G_W$ and $K_W + B_W + H_W$). There are finitely many weak log canonical models $(X_i, B_i + g_i G_i + h_i H_i)$ of $(W, B_W + g_i G_W + h_i H_W)$, $0 \leq g_i, h_i \leq 1$, and $\psi_i : X_i \dashrightarrow X_{i+1}$ is a Sarkisov link given by a ~~2~~-ray game.

In this method, we run the Sarkisov program in a smaller collection of varieties compared with the original method. That is, all pairs with

a $(K_W + D)$ -non-positive birational contraction $W \dashrightarrow X_i$, where D varies in a compact subset $\mathcal{E}_A(V)$ of $\mathrm{WDiv}_{\mathbb{R}}(W)$. Using this collection, the termination of the Sarkisov program follows the finiteness of weak log canonical models.

16.4.1 Preliminaries

Let (W, B_W) be a \mathbb{Q} -factorial klt pair and $f : (X, B) \rightarrow S$ and $f' : (X', B') \rightarrow S'$ be two different log Mori fibre spaces which are outputs of $(K_W + B_W)$ -MMPs. We introduce some notations and lemmas.

Definition 16.4.1 Let $f : X \dashrightarrow Y$ be a birational map of normal quasi-projective varieties. If

- f does not extract divisors;
- $a(E; X, B_X) \leq a(E; Y, B_Y)$ for all divisors E over X ,

then we denote $(X, B) \geq (Y, B_Y)$.

In particular, for terminal pairs, we have the following lemma:

Lemma 16.4.2 [Hac12, Lemma 13.8] Let $f : W \dashrightarrow X$ be a birational map where (W, B_W) is terminal. If

- f does not extract divisors;
- $K_X + B$ is nef, where $B = f_* B_W$;
- $a(E; X, B) \geq a(E; W, B_W)$ for all divisors $E \subset W$;

then

- $(W, B_W) \geq (X, B)$;
- (X, B) is klt;
- If $Z \rightarrow X$ is a divisorial extraction of a divisor E with $a(E; X, B) \leq 0$, then E is a divisor on W ;
- If $Z \rightarrow X$ is a terminalization of (X, B) , then $W \dashrightarrow Z$ extracts no divisors.

Conversely, given a klt pair and a non-positive map, we have

Lemma 16.4.3 [Liu21, Lemma 3.5] Let $\sigma : (W, B_W) \dashrightarrow (X, B)$ be a $(K_W + B_W)$ -non-positive birational map such that $\sigma_*(K_W + B_W) = K_X + B$ and (W, B_W) is a \mathbb{Q} -factorial klt pair. Then there is a resolution of indeterminacy $\pi : \tilde{W} \rightarrow W$ and $\tilde{\sigma} : \tilde{W} \rightarrow X$ such that

- $(\tilde{W}, B_{\tilde{W}})$ is \mathbb{Q} -factorial terminal and $\tilde{\sigma}_* B_{\tilde{W}} = B$.

- $\tilde{\sigma}$ is $(K_{\tilde{W}} + B_{\tilde{W}})$ -non-positive and $(\tilde{W}, B_{\tilde{W}}) \geq (X, B)$.

By Lemma 16.4.3, we replace (W, B_W) by a log resolution such that (W, B_W) is terminal and $\sigma : W \rightarrow X$ and $\sigma' : W \rightarrow X'$ are $(K_W + B_W)$ -non-positive morphisms, and $(W, B_W) \geq (X, B), (X', B')$.

Take very general ample \mathbb{R} -divisors A and A' on S and S' such that $G \sim_{\mathbb{R}} -(K_X + B) + f^*A$ and $H \sim_{\mathbb{R}} -(K_{X'} + B') + f'^*A'$ are two ample \mathbb{R} -divisors. Moreover, we may assume G and H satisfy $G_W := \sigma^*G = \sigma_*^{-1}G$ and $H_W := \sigma'^*H = \sigma'^{-1}_*H$. Therefore, $\sigma_*(K_W + B_W + G_W) = K_X + B + G$ is nef, and Lemma 16.4.2 holds. Furthermore, we may assume $(W, B_W + gG_W + hH_W)$ is log smooth and terminal for all $0 \leq g, h \leq 2$ by taking further blow-ups if necessary. **Then we have:**

Theorem 16.4.4 (Sarkisov program with double scaling) [Hac12, Claim 13.12] *Notations as above, there is a finite sequence of Sarkisov links*

$$\begin{array}{ccccccc}
 X = X_0 & \dashrightarrow & X_1 & \dashrightarrow & X_2 & \dashrightarrow & \cdots \dashrightarrow X_N = X' \\
 \downarrow f=f_0 & & \downarrow f_1 & & \downarrow f_2 & & \downarrow f_N \\
 S = S_0 & & S_1 & & S_2 & & S_N = S'
 \end{array}$$

and rational numbers

$$\begin{aligned}
 1 = g_0 &\geq g_1 \geq \cdots \geq g_N = 0 \\
 0 = h_0 &\leq h_1 \leq \cdots \leq h_N = 1
 \end{aligned}$$

such that

1. For each i , $\sigma_i : W \dashrightarrow X_i$ is $(K_W + B_W + g_iG_W + h_iH_W)$ -non-positive, and $(K_{X_i} + B_i + g_iG_i + h_iH_i) = \sigma_{i*}(K_W + B_W + g_iG_W + h_iH_W)$ is nef and is relatively trivial over S_i ;
2. $(W, B_W + g_iG_W + h_iH_W) \geq (X_i, B_i + g_iG_i + h_iH_i)$;
3. Each Sarkisov link $X_i \dashrightarrow X_{i+1}$ is given by a sequence of $(K_{X_i} + B_i + g_iG_i + h_iH_i)$ -trivial maps;
4. The last Mori fibre space $X_N \rightarrow S_N$ is isomorphic to $X' \rightarrow S'$.

Here trivial map means:

Definition 16.4.5 [Hac12, §13.2] Let $f : X \dashrightarrow Y$ be a rational map of normal quasi-projective varieties over S , and D be an \mathbb{R} -Cartier \mathbb{R} -divisor on X with $f_*D = D_Y$. Then f is called **D -trivial** if D is pull back of an \mathbb{R} -Cartier divisor on S .

16.4.2 Construction of Sarkisov links

In this subsection, we construct the links inductively. Suppose we have $\sigma_i : W \dashrightarrow X_i$ as in Theorem 16.4.4, that is

- $f_i : (X_i, B_i) \rightarrow S_i$ is a log Mori fibre space and $\sigma_{i*}B_W = B_i$;
- $\sigma_i : W \dashrightarrow X_i$ is $(K_W + B_W + g_iG_W + h_iH_W)$ -non-positive, and $(K_{X_i} + B_i + g_iG_i + h_iH_i) = \sigma_{i*}(K_W + B_W + g_iG_W + h_iH_W)$ is nef and is numerically trivial over S_i ;
- $(W, B_W + g_iG_W + h_iH_W) \geq (X_i, B_i + g_iG_i + h_iH_i)$;
- $0 \leq g_i, h_i \leq 1$ are rational numbers.

Then we need to show that there is a Sarkisov link $X_i \dashrightarrow X_{i+1}$ satisfying Theorem 16.4.4. We introduce the following notations which are similar to the Sarkisov degree in the original method:

Definition 16.4.6 Let C_i be a general f_i -vertical curve on X_i , then

- $r_i := \frac{H_i \cdot C_i}{G_i \cdot C_i}$;
- Let Γ be the set of $t \in [0, \frac{g_i}{r_i}]$ such that
 1. $(W, B_W + g_iG_W + h_iH_W + t(H_W - r_iG_W)) \geq (X_i, B_i + g_iG_i + h_iH_i + t(H_i - r_iG_i))$;
 2. $K_{X_i} + B_i + g_iG_i + h_iH_i + t(H_i - r_iG_i)$ is nef.
 Let $s_i = \max \Gamma$;
- Let $D_{W,i} = B_W + g_iG_W + h_iH_W$ and $D_i = B_i + g_iG_i + h_iH_i$. Let $D_{W,i}(t) = B_W + g_iG_W + h_iH_W + t(H_W - r_iG_W)$ and $D_i(t) = B_i + g_iG_i + h_iH_i + t(H_i - r_iG_i)$. Let $g_{i+1} = g_i - r_i s_i$ and $h_{i+1} = h_i + s_i$. Note that $D_{W,i+1} = D_{W,i}(s_i)$.

Then we have (see [Liu21, Lemma 4.4] for details)

1. $r_i > 0$;
2. either $\Gamma = \{0\}$ or Γ is a closed interval;
3. $g_{i+1} = g_i \Leftrightarrow h_{i+1} = h_i \Leftrightarrow s_i = 0$;

Construction of Sarkisov links: If $s_i = \frac{g_i}{r_i}$, then $g_{i+1} = 0$. Let $N = i+1$ and let $f_N : X_N = X_i \rightarrow S_N = S_i$, then $X_N \rightarrow S_N$ is isomorphic to $f' : X' \rightarrow S'$ (see Proposition 16.4.9) and the Sarkisov program stops. Otherwise, if $s_i < \frac{g_i}{r_i}$, then we construct the Sarkisov link $X_i \dashrightarrow X_{i+1}$ as follows:

1. Suppose s_i is not the threshold of condition (1) of Γ . That is, there exists $0 < \epsilon \ll 1$, such that for any divisor E on W , we have

$$a(E; X_i, D_i(s_i + \epsilon)) \geq a(E; W, D_{W,i}(s_i + \epsilon))$$

and $K_{X_i} + D_i(s_i + \epsilon)$ is not nef. Then there is a $\frac{2}{3}$ -dimensional $(K_{X_i} + D_i(s_i + \epsilon) - \delta G_i)$ -negative extremal face F for some $0 < \delta \ll \epsilon$, spanned by $R = \mathbb{R}_{\geq 0}[C_i]$ and another extremal ray P . Hence, there is a contraction $X_i \rightarrow T_i$ corresponding to F factoring through f_i . Then we run the $(K_{X_i} + D_i(s_i + \epsilon))$ -MMP over T_i with scaling. After finitely many flips, we either have a $(K_{X_i} + D_i(s_i + \epsilon))$ -minimal model, a divisorial contraction, or a log Mori fibre space over T_i :

- 1 After finitely many flips $X_i \dashrightarrow X_{i+1}$, there is a log Mori fibre space $X_{i+1} \rightarrow S_{i+1}$. This is a link of type IV.
 - 2 After finitely many flips $X_i \dashrightarrow Z_i$ there is a divisorial contraction $Z_i \rightarrow X_{i+1}$, then let $S_{i+1} = T_i$ and $X_{i+1} \rightarrow S_{i+1}$ is a log Mori fibre space. This is a link of type III.
 - 3 After finitely many flips $X_i \dashrightarrow X_{i+1}$, the contraction $X_{i+1} \rightarrow T_i$ is a log minimal model of $(X_i, D_i(s_i + \epsilon))$ over T_i . Let C' be the strict transform of C_i on X_{i+1} , then $(K_{X_{i+1}} + D_{i+1}(\epsilon)).C' = 0$ and $(K_{X_{i+1}} + B_{i+1}).C' < 0$, therefore there is a contraction $X_{i+1} \rightarrow S_{i+1}$ over T_i , which is a log Mori fibre space. This is a link of type IV.
- 2 Suppose s_i is the threshold of condition (1) of Γ . That is, there exists $0 < \epsilon \ll 1$ and a σ_i -exceptional divisor E_i on W such that

$$a(E_i; X_i, D_i(s_i + \epsilon)) < a(E_i; W, D_{W,i}(s_i + \epsilon)).$$

In this case, we have

$$a(E_i; X_i, D_i(s_i)) = a(E_i; W, D_{W,i}(s_i)) = -\text{mult}_{E_i}(D_{W,i}(s_i)) \leq 0.$$

Let $p_i : Z_i \rightarrow X_i$ be the divisorial extraction of the divisor E_i as in Corollary 16.2.4, and suppose $K_{Z_i} + D_{Z_i}(s_i) = K_{Z_i} + B_{Z_i} + g_{i+1}G_{Z_i} + h_{i+1}H_{Z_i} = p_i^*(K_{X_i} + D_i(s_i))$. Take a sufficiently small δ such that $0 < \delta \ll \epsilon \ll 1$ and

$$K_{Z_i} + \Delta_i = p_i^*(K_{X_i} + D_i(s_i + \epsilon) - \delta G_i)$$

is klt. Then we run the $(K_{Z_i} + \Delta_i)$ -MMP over S_i . Since Z_i is covered by $(K_{Z_i} + \Delta_i)$ -negative curves, it follows that $(K_{Z_i} + \Delta_i)$ is not pseudo-effective over S_i , and this MMP ends with a log Mori fibre space. Moreover, this is an MMP for $p_i^*(K_{X_i} + D_i(s_i + \epsilon) - \delta' G_i)$ for all $0 < \delta' \leq \delta$. After finitely many $(K_{Z_i} + \Delta_i)$ -flips, we either have a log Mori fibre space or a divisorial contraction.

- 1 After finitely many flips $Z_i \dashrightarrow X_{i+1}$, there is a log Mori fibre

space $X_{i+1} \rightarrow S_{i+1}$. This is a link of type I. In this case we have $\rho(X_{i+1}) = \rho(X_i) + 1$.

- 2 After finitely many flips $Z_i \dashrightarrow Z'_{i+1}$, there is a divisorial contraction $q_i : Z'_{i+1} \rightarrow X_{i+1}$ over S_i . Then $X_{i+1} \rightarrow S_i =: S_{i+1}$ is a log Mori fibre space. This is a link of type II.

Claim 16.4.7 By [Hac12, Lemma 13.14-17] and [Liu21, Lemma 4.2], we have:

- 1 $r_i \leq r_{i+1}$. Moreover, in the case 11, we have $r_i < r_{i+1}$.
- 2 Since the birational map $X_i \dashrightarrow X_{i+1}$ is over T_i (respectively over S_i) and $(K_{X_i} + D_i(s_i))$ is numerically trivial over T_i (respectively over S_i) in case 1 (respectively case 2), it follows that $a(E; X_i, D_i(s_i)) = a(E; X_{i+1}, D_{i+1})$ for any divisor E over W and so we have the inequality
$$a(E; X_{i+1}, D_{i+1}) \geq a(E; W, D_{W, i+1}).$$
- 3 In the case 1, for any divisor $E \subset W$, we have $a(E; X_i, D_i(s_i + \epsilon)) \leq a(E; X_{i+1}, D_{i+1}(\epsilon))$ for all $0 < \epsilon \ll 1$. Moreover, since $X_i \not\cong X_{i+1}$, there is a divisor F over W such that $a(F; X_i, D_i(s_i + \epsilon)) < a(F; X_{i+1}, D_{i+1}(\epsilon))$.
- 4 In case 2, for any divisor $E \subset W$, we have $a(E; X_i, D_i(s_i + \epsilon) - \delta G_i) \leq a(E; X_{i+1}, D_{i+1}(\epsilon) - \delta G_{i+1})$ for all $0 < \epsilon \ll 1$. Moreover, since $X_i \not\cong X_{i+1}$, there is a divisor F over W such that $a(F; X_i, D_i(s_i + \epsilon) - \delta G_i) < a(F; X_{i+1}, D_{i+1}(\epsilon) - \delta G_{i+1})$.
- 5 $h_i \leq 1$, and $h_i = 1$ if and only if $g_i = 0$.

16.4.3 Termination

Lemma 16.4.8 [Hac12, Lemma 13.18-19] (or [Liu21, Lemma 4.9]) Suppose we construct a sequence of Sarkisov links:

$$\begin{array}{ccccccc} X = X_0 & \dashrightarrow & X_1 & \dashrightarrow & X_2 & \dashrightarrow & \dots \dashrightarrow X_i \dashrightarrow \dots, \\ f_0 \downarrow & & f_1 \downarrow & & f_2 \downarrow & & f_i \downarrow \\ S = S_0 & & S_1 & & S_2 & & S_i \end{array}$$

then

- 1 There are only finitely many possibilities for $f_i : X_i \rightarrow S_i$ up to isomorphism.
- 2 The Sarkisov program with double scaling of (G_W, H_W) terminates. That is, there exists an integer $N > 0$ such that $g_N = 0$.

Proof $\frac{1}{2}$ This essentially follows the finiteness of weak log canonical models (Theorem 16.2.15). We construct the subspace V of $\mathrm{WDiv}_{\mathbb{R}}(W)$ as follows:

- 1 If $h_k > 0$ for some k . Since H_W is nef and big, there is an ample \mathbb{R} -divisor A_W and an effective \mathbb{R} -divisor C_W such that $H_W \sim_{\mathbb{R}} A_W + C_W$. Let V be the affine space spanned by components of B_W, G_W, H_W, C_W , then for $i > k$:

$$B_W + g_i G_W + h_i H_W \sim_{\mathbb{R}} h_k A_W + B_W + g_i G_W + (h_i - h_k) H_W + h_k C_W =: \Delta_i \in \mathcal{L}_{h_k A_W}(V)$$

- 2 If $h_k = 0$ for all k , then $h_i = 0$ and $g_i = 1$ for all i . Since G_W is nef and big, there is an ample \mathbb{R} -divisor A_W and an effective \mathbb{R} -divisor C_W such that $G_W \sim_{\mathbb{R}} A_W + C_W$. Let V be the affine space spanned by components of B_W, C_W , then

$$B_W + G_W \sim_{\mathbb{R}} A_W + B_W + C_W =: \Delta_i \in \mathcal{L}_{A_W}(V)$$

Then all X_i are weak log canonical models of (W, Δ_i) . By finiteness of weak log canonical models, there are finitely many $\sigma_i : W \dashrightarrow X_i$ up to isomorphism.

From now on, we shall show that for a $\sigma_i : W \dashrightarrow X_i$ there are finitely many log Mori fibre spaces $X_i \rightarrow S_i$ in the sequence up to isomorphism. Indeed, we may assume that there is a k such that $X_i \cong X_k$ for all $i > k$, and f_i is the contraction corresponding to an extremal ray $R_i \subset \overline{\mathrm{NE}}(X_k)$. Then we have $(K_{X_k} + B_k).R_i < 0$ and $(K_{X_k} + B_k + g_i G_k + h_i H_k).R_i = 0$. Furthermore, H_k and G_k are relatively ample over S_i for all $i > k$. **There are three cases.**

- $\frac{1}{2}$ If $h_i = 0$ for all i , hence $g_i = 1$ for all i .

Since G_i is big for all i , we have $G_k \sim_{\mathbb{R}} A_k + E_k$ for some effective ample \mathbb{R} -divisor A_k and effective \mathbb{R} -divisor E_k . Let $B'_k = B_k + (1 - \epsilon)G_k + \frac{\epsilon}{2}E_k$ for sufficiently small ϵ such that (X_k, B'_k) is klt. Then $(K_{X_k} + B'_k).R_i < 0$ and $(K_{X_k} + B'_k + \frac{\epsilon}{2}A_k).R_i < 0$ for all $i > k$. By the Cone theorem, we have

$$\overline{\mathrm{NE}}(X_k) = \overline{\mathrm{NE}}(X_k)_{K_{X_k} + B'_k + \frac{\epsilon}{2}A_k \geq 0} + \sum_{\alpha \in \Lambda \text{ finite set}} R_{\alpha}.$$

Again, there are finitely many log Mori fibre spaces $f_i : X_i \rightarrow S_i$ of X_k .

- $\frac{2}{2}$ If $h_i > 0$ for some $i > k$, then we may assume $h_k > 0$ after replacing k by i . In this case, we suppose $0 < h_k < 1$.

Since H_k is big, we have $h_k H_k \sim_{\mathbb{R}} A_k + E_k$ for some ample \mathbb{R} -divisor H_k and effective \mathbb{R} -divisor E_k . Let $B'_k = B_k + (1 - \epsilon)h_k H_k +$

ϵE_k for sufficiently small ϵ such that (X_k, B'_k) is klt. Then $(K_{X_k} + B'_k).R_i < 0$ and $(K_{X_k} + B'_k + \epsilon A_k).R_i < 0$ for all $i > k$. By the Cone theorem, we have

$$\overline{\text{NE}}(X_k) = \overline{\text{NE}}(X_k)_{K_{X_k} + B'_k + \epsilon A_k \geq 0} + \sum_{\alpha \in \Lambda \text{ finite set}} R_\alpha.$$

All extremal rays R_i corresponding to f_i for $i > k$ are in the finite set $\{R_\alpha\}_{\alpha \in \Lambda}$, thus there are finitely many log Mori fibre spaces $f_i : X_i \rightarrow S_i$ of X_k .

3. If $h_k = 1$, then the sequence of X_i is finite, and the claim follows.

2. Assume this sequence of Sarkisov links is infinite, then there exists an i such that there are infinitely many $j > i$ such that $f_i : X_i \rightarrow S_i$ and $f_j : X_j \rightarrow S_j$ are isomorphic. Then we have $g_{i+1} = g_{j+1}$ and $h_{i+1} = h_{j+1}$. Since the sequences of h_k and g_k are monotone, we have $h_{i+1} = h_k$ and $g_{i+1} = g_k$ for all $k > i$. Suppose $X_i \dashrightarrow X_{i+1}$ is a Sarkisov link in the case **1** of the Construction in 16.4.2, then the next Sarkisov link is also in case **1**, and all the Sarkisov links after are in the case **1**. Note that $X_i \cong X_j$ and therefore $\rho(X_i) = \rho(X_j)$, the Sarkisov links are all of the type IV. But this contradicts **3** of Claim 16.4.7. Therefore, there is no Sarkisov link of type III or IV after X_i . In other words, the Sarkisov links after X_i are all type I or II in case **2**.

Since $\rho(X_i) = \rho(X_j)$, X_i and X_j are linked by the Sarkisov links of type II. But this contradicts **4** of Claim 16.4.7. □

Proposition 16.4.9 $X_N \rightarrow S_N$ is isomorphic to $X' \rightarrow S'$.

Proof Similarly to **2** of Theorem 16.3.8, we have $h_N = 1$ and hence $X_N \rightarrow S_N$ is isomorphic to $X' \rightarrow S'$. □

16.5 Polytope method

In this section, we follow [HM13]. The approach is different from the previous two approaches as it does not rely explicitly on ray games. We briefly explain the ideas of the method.

Let W be a common log resolution of two MMP-related Mori fibre spaces $X \rightarrow S$ and $Y \rightarrow T$. Take a finite-dimensional affine subspace V of $\text{WDiv}_{\mathbb{R}}(W)$ and an ample \mathbb{Q} -divisor A . Then $\{\mathcal{A}_i = \mathcal{A}_{A, f_i}\}$ is a partition of $\mathcal{E}_A(V)$, and each \mathcal{A}_i corresponds to an ample model of

W . There are morphisms connecting certain ample models (Theorem 16.5.2).

We can find a special 2-dimensional rational affine subspace V (by Lemma 16.5.7) such that

- 1 S, T are ample models of W for some $D_S, D_T \in \mathcal{L}_A(V)$
- 2 D_S and D_T are two points that divide the boundary $\partial\mathcal{L}_A(V)$ into two parts. On one of the parts, there are finitely many segments connecting D_S and D_T , and let D_i be the endpoints of the segments. Each D_i corresponds to a Sarkisov link. (See Figure 16.1, where $D_S = D_0$ and $D_T = D_1$)

Then $X \dashrightarrow Y$ is the composition of these Sarkisov links, and Theorem 16.1.2 follows.

16.5.1 Construction of Sarkisov links

In this subsection, we construct one Sarkisov link. The following theorems show the partition of $\mathcal{E}_A(V)$ corresponding to ample models and morphisms between these ample models.

Theorem 16.5.1 [BCHM, Corollary 1.1.5] *Let $\pi : X \rightarrow U$ be a projective morphism of normal quasi-projective varieties, and $V \subset \mathrm{WDiv}_{\mathbb{R}}(X)$ be a finite-dimensional rational subspace. Suppose that there is a divisor $\Delta_0 \in V$ such that (X, Δ_0) is klt. Let A be a general ample \mathbb{Q} -divisor over U which has no components common with any element of V .*

- 1 *There are finitely many birational maps $f_i : X \dashrightarrow X_i$ over U such that*

$$\mathcal{E}_{A,\pi}(V) = \bigcup_i \mathcal{W}_i$$

where $\mathcal{W}_i = \mathcal{W}_{A,f_i}(V)$ is a rational polytope. Moreover, if $f : X \dashrightarrow Y$ is a log terminal model of $K_X + D$ over U for some $D \in \mathcal{E}_{A,\pi}(V)$, then $f = f_i$ for some i .

- 2 *There are finitely many rational maps $g_j : X \dashrightarrow Z_j$ over U such that*

$$\mathcal{E}_{A,\pi}(V) = \coprod_j \mathcal{A}_j$$

$\{\mathcal{A}_j = \mathcal{A}_{A,\pi,g_j}\}$ is a partition of $\mathcal{E}_A(V)$. Let \mathcal{C}_j be the closure of \mathcal{A}_j in $\mathcal{L}_{A,\pi}(V)$

- 3 *For every f_i there is a g_j and a morphism $h_{ij} : Y_i \rightarrow Z_j$ such that $\mathcal{W}_i \subset \mathcal{C}_j$.*

Theorem 16.5.2 [HM13, Theorem 3.3] Let W be a smooth projective variety, and V be a finite-dimensional affine subspace of $\mathrm{WDiv}_{\mathbb{R}}(W)$ defined over the rational numbers and fix an ample effective \mathbb{Q} -divisor A . Suppose that there is an element D_0 of $\mathcal{L}_A(V)$ such that $K_W + D_0$ is big and klt. Then there are finitely many rational contractions $f_i : W \dashrightarrow X_i$ such that

- 1 $\{\mathcal{A}_i = \mathcal{A}_{A, f_i}\}$ is a partition of $\mathcal{E}_A(V)$. \mathcal{A}_i is a finite union of interiors of rational polytopes. Let \mathcal{C}_i be the closure of \mathcal{A}_i in $\mathcal{L}_A(V)$. If f_i is birational then \mathcal{C}_i is a rational polytope.
- 2 If i, j are two indices such that $\mathcal{A}_j \cap \mathcal{C}_i \neq \emptyset$ then there is a contraction $f_{ij} : X_i \rightarrow X_j$ such that $f_j = f_{ij} \circ f_i$.
- 3 Suppose in addition V spans the Neron-Severi group of W . Pick i such that a connected component \mathcal{C} of \mathcal{C}_i intersects the interior of $\mathcal{L}_A(V)$, the following are equivalent:
 - 1 \mathcal{C} spans V ;
 - 2 If $D \in \mathcal{A}_i \cap \mathcal{C}$ then f_i is a log terminal model of $K_W + D$;
 - 3 f_i is birational and X_i is \mathbb{Q} -factorial.
- 4 Suppose in addition V spans the Neron-Severi group of W . If i, j are two indices such that \mathcal{C}_i spans V and D is a general point of $\mathcal{A}_j \cap \mathcal{C}_i$ which is also a point of interior of $\mathcal{L}_A(V)$, then \mathcal{C}_i and $\overline{\mathrm{NE}}(X_i/X_j)^* \times \mathbb{R}^k$ are locally isomorphic in a neighborhood of D , for some $k \geq 0$. Furthermore, $\rho(X_i/X_j) = \dim \mathcal{C}_i - \dim \mathcal{C}_j \cap \mathcal{C}_i$.

Lemma 16.5.3 [HM13, Corollary 3.4] If V spans the Neron-Severi group of W , then there is a Zariski dense open subset U of the Grassmannian $G(r, V)$ of real affine subspaces of dimension r such that any $[V'] \in U$ defined over the rational numbers satisfies (1-4) of Theorem 16.5.2.

Proof Let $U \subset G(r, V)$ be the set of real affine subspace V' of V of dimension r , which contains no face of any \mathcal{C}_i of $\mathcal{L}_A(V)$. In particular, the interior of $\mathcal{L}_A(V')$ is contained in the interior of $\mathcal{L}_A(V)$. It is clear that any $V' \in U$ defined over the rationals satisfies (1-4) of Theorem 16.5.2. \square

By the above Lemma, from now on in this subsection, we always assume that V has dimension 2 and satisfies (1-4) of Theorem 16.5.2. The following lemma classifies the morphisms in (2) of Theorem 16.5.2 into a divisorial contraction, a small contraction or a log Mori fibre space. In some cases (Lemma 16.5.4-(2)), two small contractions form a flop.

Lemma 16.5.4 [HM13, Lemma 3.5] Let $f : W \dashrightarrow X$ and $g : W \dashrightarrow Y$ be two rational contractions such that $\mathcal{C}_{A,f}$ is of dimension 2 and $\mathcal{O} = \mathcal{C}_{A,f} \cap \mathcal{C}_{A,g}$ is of dimension 1. Assume $\rho(X) \geq \rho(Y)$ and \mathcal{O} is not contained in the boundary of $\mathcal{L}_A(V)$. Let D be an interior point of \mathcal{O} and $B = f_*D$. Then there is a rational contraction $\pi : X \dashrightarrow Y$ and $g = \pi \circ f$ such that either

1 $\rho(X) = \rho(Y) + 1$ and π is $(K_X + B)$ -trivial, and either

1 π is birational and \mathcal{O} is not contained in the boundary of $\mathcal{E}_A(V)$, and either

1 π is a divisorial contraction and $\mathcal{O} \neq \mathcal{C}_{A,g}$, or

2 π is a small contraction and $\mathcal{O} = \mathcal{C}_{A,g}$, or

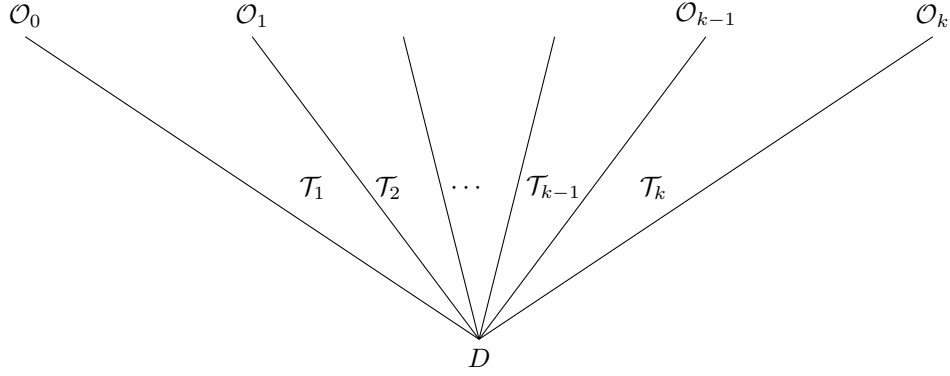
2 π is a log Mori fibre space, and $\mathcal{O} = \mathcal{C}_{A,g}$ is contained in the boundary of $\mathcal{E}_A(V)$, or

2 $\rho(X) = \rho(Y)$, and π is a $(K_X + B)$ -flop and $\mathcal{O} \neq \mathcal{C}_{A,g}$ is not contained in the boundary of $\mathcal{E}_A(V)$.

Lemma 16.5.5 [HM13, Lemma 3.6] Let $f : W \dashrightarrow X$ be a birational contraction between \mathbb{Q} -factorial varieties. Suppose (W, D) and $(W, D + A)$ are both klt. If f is the ample model of $(W, D + A)$ and A is ample, then f is a result of the $(K_W + D)$ -MMP.

This lemma guarantees that every variety in the Sarkisov links constructed later is a result of the (W, B_W) -MMP.

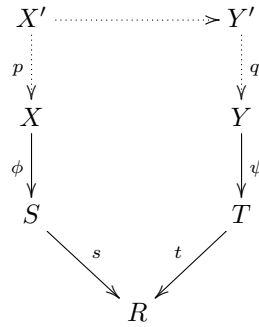
Finally, we show that there is a Sarkisov link corresponding to certain $D \in \mathcal{E}_A(V)$. Let $D = A + B$ be a point of the boundary of $\mathcal{E}_A(V)$ in the interior of $\mathcal{L}_A(V)$. Let $\mathcal{T}_1, \dots, \mathcal{T}_k$ be the polytopes \mathcal{C}_i of dimension 2 containing D . Possibly re-ordering, we may assume that the intersection \mathcal{O}_0 and \mathcal{O}_k of \mathcal{T}_1 and \mathcal{T}_k with boundary of $\mathcal{E}_A(V)$ and $\mathcal{O}_i = \mathcal{T}_i \cap \mathcal{T}_{i+1}$ are one-dimensional. Let $f_i : W \dashrightarrow X_i$ be the birational contraction associated to \mathcal{T}_i and $g_i : W \dashrightarrow S_i$ be the rational contraction associated to \mathcal{O}_i .



Set $f = f_1 : W \dashrightarrow X, g = f_k : W \dashrightarrow Y$ and $\phi : X \rightarrow S = S_0, \psi : Y \rightarrow T = S_k$ and $X' = X_2, Y' = X_{k-1}$ and let $W \dashrightarrow R$ be the ample model of D . Then

Theorem 16.5.6 [HM13, Theorem 3.7] Suppose B_W is any divisor such that (W, B_W) is a log smooth terminal pair and $D - B_W$ is ample. Then ϕ and ψ are log Mori fibre spaces, which are outputs of the $(K_W + B_W)$ -MMP. Moreover, D is contained in more than two polytopes, then ϕ and ψ are connected by a Sarkisov link, where each f_i is a result of running the $(K_W + B_W)$ -MMP.

Proof We may assume $k \geq 3$, and we have



Note that $\rho(X_i/R) \leq 2$ and $\rho(X/S) = \rho(Y/T) = 1$. Thus,

- 1. s is the identity and p is a divisorial contraction (extraction), or
- 2. s is a contraction and p is a flop.

The same holds for q and t . The map $X' \dashrightarrow Y'$ is the composition of the flops. This gives four types of links. \square

16.5.2 Decomposition into Sarkisov links

We need a special resolution W and a special affine subspace $V \subset \text{WDiv}(W)$ as follows.

Lemma 16.5.7 [HM13, Lemma 4.1] *Let $\phi : X \rightarrow S$ and $\psi : Y \rightarrow T$ be two MMP-related log Mori fibre spaces corresponding to two klt projective varieties (X, B_X) and (Y, B_Y) . Then we may find a smooth projective variety W , two birational morphisms $f : W \rightarrow X$ and $g : W \rightarrow Y$, a klt pair (W, B_W) , an ample \mathbb{Q} -divisor A on W and a two-dimensional rational affine subspace V of $\text{WDiv}_{\mathbb{R}}(W)$ such that*

- 1 *If $D \in \mathcal{L}_A(V)$, then $D - B_W$ is ample;*
- 2 *$\mathcal{A}_{A, \phi \circ f}$ and $\mathcal{A}_{A, \psi \circ g}$ are not contained in the boundary of $\mathcal{L}_A(V)$;*
- 3 *V satisfies (1-4) of Theorem 16.5.2;*
- 4 *$\mathcal{C}_{A, f}$ and $\mathcal{C}_{A, g}$ are two-dimensional;*
- 5 *$\mathcal{C}_{A, \phi \circ f}$ and $\mathcal{C}_{A, \psi \circ g}$ are one-dimensional.*

Proof By assumption there is a \mathbb{Q} -factorial klt pair (W, B_W) such that $f : W \dashrightarrow X$ and $g : W \dashrightarrow Y$ are the outputs of the $(K_W + B_W)$ -MMP. Let $p' : W' \rightarrow W$ be any log resolution that resolves the indeterminacy of f and g , then we may write

$$K_{W'} + B_{W'} = p'^*(K_W + B_W) + E' \quad |$$

where $E' \geq 0$ and $B_{W'} \geq 0$ have no common components, and E' is exceptional and $p'_* B_{W'} = B_W$. Pick a divisor $-F$ which is ample over W with $\text{Supp } F = \text{Exc } p'$ such that $K_{W'} + B_{W'} + F$ is klt. As p' is $(K_{W'} + B_{W'} + F)$ -negative and $(K_W + B_W)$ is klt and W is \mathbb{Q} -factorial, the $(K_{W'} + B_{W'} + F)$ -MMP over W terminates with the pair (W, B_W) . Replacing (W, B_W) by $(W', B_{W'} + F)$ we may assume that (W, B_W) is log smooth and f, g are morphisms.

Pick general ample \mathbb{Q} -divisors A, H_1, H_2, \dots, H_k on W such that H_1, \dots, H_k generate the Neron-Severi group of W . Let $H = A + H_1 + \dots + H_k$. Pick sufficiently ample divisors A_S on S and A_T on T such that

$$-(K_X + B_X) + \phi^* A_S \quad \text{and} \quad -(K_Y + B_Y) + \psi^* A_T$$

are both ample. Pick a rational number $0 < \delta < 1$ such that

$$-(K_X + B_X + \delta f_* H) + \phi^* A_S \quad \text{and} \quad -(K_Y + B_Y + \delta g_* H) + \psi^* A_T$$

are both ample and f and g are both $(K_W + B_W + \delta H)$ -negative. Replacing H by δH we may assume that $\delta = 1$. Now pick a \mathbb{Q} -divisor

$B_0 \leq B_W$ such that $A + (B_0 - B_W), -(K_X + f_*B_0 + f_*H) + \phi^*A_S$ and $-(K_Y + g_*B_0 + f_*H) + \psi^*A_T$ are all ample and f and g are both $(K_W + B_0 + \delta H)$ -negative.

Pick general ample \mathbb{Q} -divisors $F_1 \geq 0$ and $G_1 \geq 0$ such that

$F_1 \sim_{\mathbb{Q}} -(K_X + f_*B_0 + f_*H) + \phi^*A_S$ and $G_1 \sim_{\mathbb{Q}} -(K_Y + g_*B_0 + g_*H) + \psi^*A_T$ and

$$K_W + B_0 + H + F + G$$

is klt, where $F = f^*F_1$ and $G = g^*G_1$. Let V_0 be the affine subspace of $\text{WDiv}_{\mathbb{R}}(W)$ which is the translation by B_0 of the vector subspace spanned by H_1, \dots, H_k, F, G . Suppose that $D = A + B \in \mathcal{L}_A(V_0)$. Then

$$D - B_W = (A + B_0 - B_W) + (B - B_0)$$

is ample, as $B - B_0$ is nef by definition of V_0 . Note that

$$B_0 + F + H \in \mathcal{A}_{A, \phi \circ f}(V_0), B_0 + G + H \in \mathcal{A}_{A, \psi \circ g}(V_0)$$

and f , respectively g , is a weak log canonical model of $K_W + B_0 + F + H$, respectively $K_W + B_0 + G + H$. Thus, Theorem 16.5.2 implies that V_0 satisfies (1.4) of Theorem 16.5.2.

Since H_1, \dots, H_k generate the Neron-Severi group of W we may find constants h_1, \dots, h_k such that $G \equiv \sum_{i=1}^k h_i H_i$. Then there is $0 < \delta \ll 1$ such that $B_0 + F + \delta G + H - \delta(\sum_{i=1}^k h_i H_i) \in \mathcal{L}_A(V_0)$ and

$$B_0 + F + \delta G + H - \delta\left(\sum_{i=1}^k h_i H_i\right) \equiv B_0 + F + H.$$

Thus, $\mathcal{A}_{A, \phi \circ f}$ is not contained in the boundary of $\mathcal{L}_A(V_0)$. Similarly, $\mathcal{A}_{A, \psi \circ g}$ is not contained in the boundary of $\mathcal{L}_A(V_0)$. In particular $\mathcal{A}_{A, \phi \circ f}$ and $\mathcal{A}_{A, \psi \circ g}$ span affine hyperplanes of V_0 , since $\rho(X/S) = \rho(Y/T) = 1$.

Let V_1 be the translation by B_0 of the two-dimensional vector space spanned by $F + H - A$ and $G + H - A$. Let V be a small general perturbation of V_1 as in Lemma 16.5.3, which is defined over the rationals. This is the affine subspace we need. \square

Then we can prove the main theorem.

Proof of Theorem 16.1.2 Take $(W, B_W), A$ and V as in the Lemma 16.5.7. Pick $D_0 \in \mathcal{A}_{A, \phi \circ f}$ and $D_1 \in \mathcal{C}_{A, g}$ belonging to the interior of $\mathcal{L}_A(V)$. As V is two-dimensional, removing D_0 and D_1 divides the boundary of $\mathcal{E}_A(V)$ into two parts. The part which consists entirely of

divisors that are not big is contained in the interior of $\mathcal{L}_A(V)$. Consider tracing this boundary from D_0 to D_1 . Then there are finitely many $2 \leq i \leq N$ points D_i which are contained in more than two polytopes $\mathcal{C}_{A,f_i}(V)$. By Lemma 16.5.6, each point D_i gives a Sarkisov link. The birational map $X \dashrightarrow Y$ is the composition of such links. \square

16.6 Examples

In this section, we give an example for each method.

16.6.1 Original method

Let $X = \mathbb{P}^2$ with coordinates $(x_0 : x_1 : x_2)$ and $X' = \mathbb{P}^2$ with coordinates $(y_0 : y_1 : y_2)$. Denote $B = \{x_0 = 0\}$ and $B' = \{y_0 = 0\}$. Take a rational map $\Phi : X \dashrightarrow X'$ defined by

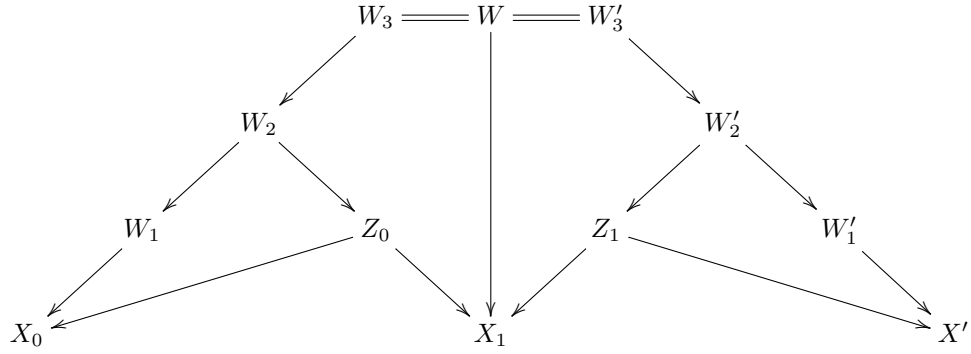
$$\Phi : (x_0 : x_1 : x_2) \dashrightarrow (x_0^2 : x_0x_1 : x_1^2 + x_0x_2)$$

There is a common resolution $\sigma : W \rightarrow X$ and $\sigma' : W \rightarrow X'$, which are both compositions of three blow-ups at indeterminacy points. Precisely, $\pi_1 : W_1 \rightarrow X$ is the blow-up at the indeterminacy point $P_0 \in B$ of Φ . Identify B with its strict transform on W_1 and let E_1 be the exceptional divisor of π_1 . $\pi_2 : W_2 \rightarrow W_1$ is the blow-up at $P_1 = E_1 \cap B$. Identify B and E_1 with their strict transforms on W_2 and let E_2 be the exceptional divisor of π_2 . $\pi_3 : W = W_3 \rightarrow W_2$ is a blow-up at a point $P_2 \in E_2 \setminus (B \cup E_1)$. Identify B, E_1 and E_2 with their strict transforms on W_3 and let E_3 be the exceptional divisor of π_3 . Then $\sigma = \pi_3 \circ \pi_2 \circ \pi_1$ and $W = W_3$ is a common resolution of Φ . Moreover, $\sigma' : W \rightarrow X'$ is the composition of the blowing-down curves $W = W_3 \xrightarrow{\pi_3'} W_2' \xrightarrow{\pi_2'} W_1' \xrightarrow{\pi_1'} X'$ in the order of B, E_2, E_1 .

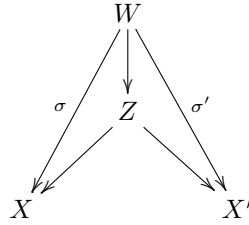
We establish some notations of varieties:

- Let $W_2 \rightarrow Z_0$ be the contraction of E_1 on W_2 , then $Z_0 \rightarrow X_1$ is the contraction of B and $Z_0 \rightarrow X_0$ is the extraction of E_2 on X' ;
- Let $W_2' \rightarrow Z_1$ be the contraction of E_1 on W_2' , then $Z_1 \rightarrow X_1$ is the extraction of E_3 on X_1 , and $Z_1 \rightarrow X'$ is the contraction of E_2 ;
- $W \rightarrow Z$ be the contraction of E_1 and E_2 on W , then $Z \rightarrow X$ is the extraction of E_3 and $Z \rightarrow X'$ is the contraction of B .

That is



and



Consider the pairs (X, bB) and $(X', b'B')$, and take the function θ such that:

- $\theta(B) = b$ and $\theta(B') = b'$;
- $\theta(E_1) = \theta(E_2) = \epsilon$ with $b, b' < \epsilon < 1$.

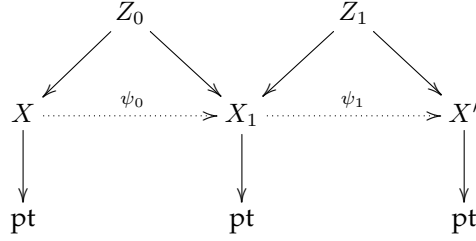
Then we have the ramification formulas:

$$\begin{aligned} K_W + B_W &= \sigma^*(K_X + bB) + (3 - 2b + b')E_3 + (1 - b + \epsilon)E_1 + (2 - 2b + \epsilon)E_2 \\ &= \sigma'^*(K_{X'} + b'B') + (3 - 2b' + b)B + (1 - b' + \epsilon)E_1 + (2 - 2b' + \epsilon)E_2 \end{aligned}$$

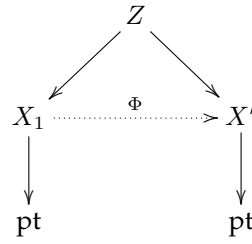
Let $\mathcal{H}' = |\mathcal{O}_{X'}(1)|$ be the very ample complete linear system on X' , then $H \in |\mathcal{O}_X(2)|$.

Different choices of θ and ϵ give different decompositions:

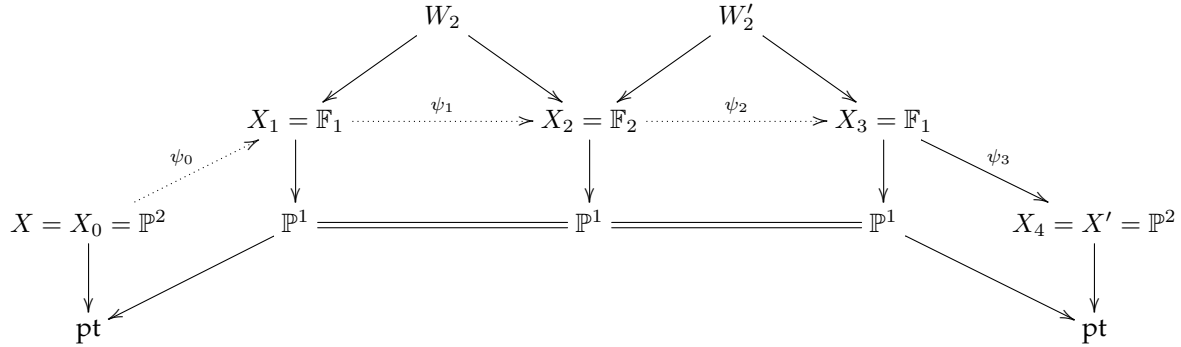
1. If $2b + 2b' \geq 3\epsilon > 0$, then Φ is the composition of two Sarkisov links ψ_0, ψ_1 of type II:



2. If $2b + 2b' < 3\epsilon$, then Φ is just one Sarkisov link of type II:



3. If $\epsilon = b = b' = 0$, then Φ is the composition of four Sarkisov links ψ_i :



16.6.2 Double scaling method

Notations and assumptions as in Section 16.6.1, let $B_W = \frac{1}{2}(B + E_1 + E_3)$ and consider pairs $(X, \frac{1}{2}B)$ and $(X', \frac{1}{2}B')$. Then we have $G = G_0 \sim_{\mathbb{Q}} \frac{5}{2}B$ and $H' \sim_{\mathbb{Q}} \frac{5}{2}B'$.

1. $r_0 = 2$ and $s_0 = \frac{1}{5}$. X_1 is a weak log canonical model of $(W, B_W + \frac{3}{5}G_W + \frac{1}{5}H_W)$;
2. $r_1 = 1$ and $s_1 = \frac{2}{5}$. $X_2 = X'$ is a weak log canonical model of $(W, B_W + \frac{1}{5}G_W + \frac{3}{5}H_W)$.

This gives the same decomposition as in the case (1) in Section 16.6.1.

16.6.3 Polytope method

Let P, Q be two different points on \mathbb{P}^2 and let L be the line passing through P and Q . Let $p : X \rightarrow \mathbb{P}^2$ be the blow-up at P and E_1 be the exceptional divisor. Let $q : Y \rightarrow \mathbb{P}^2$ be the blow-up at Q and E_2 be the exceptional divisor. Let $W \rightarrow \mathbb{P}^2$ be the blow-up of P and Q , then we have contractions $f : W \rightarrow X$ and $g : W \rightarrow Y$. Identify L, E_1 and E_2 with their strict transforms on W . Let $h : W \rightarrow Z$ be the contraction of L , then $Z \cong \mathbb{P}^1 \times \mathbb{P}^1 = \mathbb{F}_0$.

$$\begin{array}{ccccc}
 S \cong \mathbb{P}^1 & \xleftarrow{\phi} & X \cong \mathbb{F}_1 & \xrightarrow{p} & \mathbb{P}^2 \\
 & & \uparrow f & & \uparrow q \\
 & & W & \xrightarrow{g} & Y \cong \mathbb{F}_1 \\
 & \swarrow h & & & \downarrow \psi \\
 Z \cong \mathbb{F}_0 & \xrightarrow{\quad} & & & T \cong \mathbb{P}^1
 \end{array}$$

Note that $X \cong \mathbb{F}_1$, there is a Mori fibre space $\phi : X \rightarrow S \cong \mathbb{P}^1$. Similarly, there is another Mori fibre space $\psi : Y \rightarrow T \cong \mathbb{P}^1$. There is a birational map $\Phi : X \dashrightarrow Y$ induced by p and q . If we take $B_W = \frac{1}{4}L$ on W , then f and g are two log Mori fibre spaces given by the outputs of $(K_W + B_W)$ -MMPs.

Take $A \sim_{\mathbb{Q}} -K_W + \frac{1}{4}L$, and let V be the translation by $\frac{1}{4}L$ of the 2-dimensional vector space spanned by E_1 and E_2 . Then we have $\mathcal{L}_A(V) = \mathcal{E}_A(V)$. Furthermore, $K_W + D \sim_{\mathbb{Q}} \frac{1}{2}L + aE_1 + bE_2$ for $0 \leq a, b \leq 1$ if $D \in \mathcal{E}_A(V)$. The partition of $\mathcal{E}_A(V)$ is Then D_0 and D_1 correspond to log Mori fibre spaces $\phi : X \rightarrow S$ and $\psi : Y \rightarrow T$. D_2, D_3 and D_4 correspond to three Sarkisov links. Therefore, we have a decomposition of $\Phi : X \dashrightarrow Y$ as

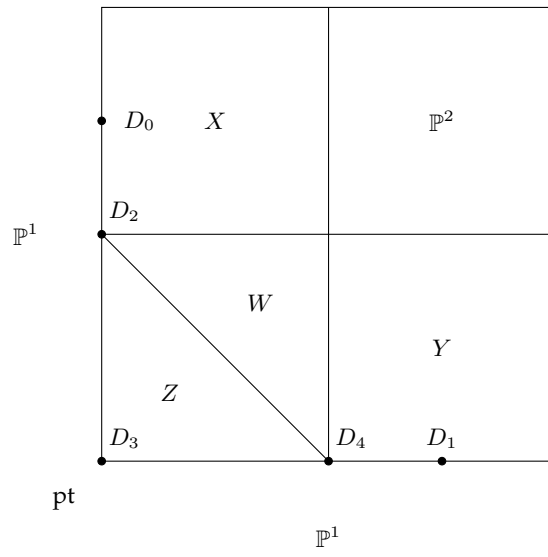
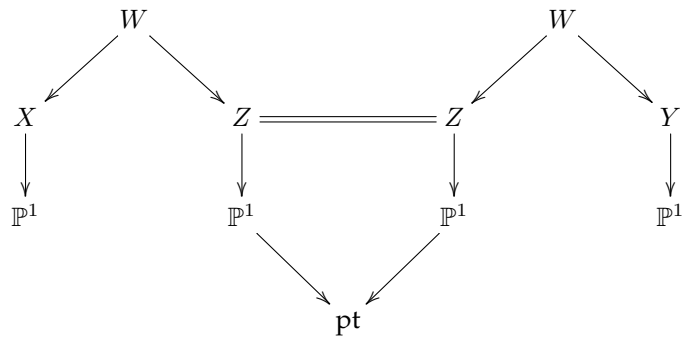


Figure 16.1 Decomposition of $\mathcal{E}_A(V)$



Bibliography



- [Bir19] C. Birkar, *Anti-pluricanonical systems on Fano varieties*, Ann. of Math. (2) **190** (2019), no. 2, 345–463.
- [Bir21] C. Birkar, *Singularities of linear systems and boundedness of Fano varieties*, Ann. of Math. (2) **193** (2021), no. 2, 347–405.
- [BCHM] C. Birkar, P. Cascini, C. D. Hacon, and J. McKernan, *Existence of minimal models for varieties of log general type*, J. Amer. Math. Soc. **23** (2010), no. 2, 405–468.
- [BM97] A. Bruno and K. Matsuki, *Log Sarkisov program*, Internat. J. Math. **8** (1997), no. 4, 451–494.
- [CS11] S. R. Choi and V. V. Shokurov, *Geography of log models: theory and applications*, Cent. Eur. J. Math. **9** (2011), no. 3, 489–534.
- [Cor95] A. Corti, *Factoring birational maps of threefolds after Sarkisov*, Journal of Algebraic Geometry, (4) **190** (1995), no. 2, p. 223–245.
- [Hac12] C. D. Hacon, *The Minimal model program for varieties of log general type*, On the webpage of Hacon, <https://www.math.utah.edu/~hacon/MMP.pdf>.
- [HM13] C. D. Hacon and J. McKernan, *The Sarkisov program*, J. Algebraic Geom. **22** (2013), no. 2, 389–405.
- [HMX14] C. D. Hacon, J. McKernan, and C. Xu, *ACC for log canonical thresholds*, Ann. of Math. (2) **180** (2014), no. 2, 523–571.
- [Kaw08] Y. Kawamata, *Flops Connect Minimal Models*, Publ. Res. Inst. Math. Sci. **44** (2008), no. 2, 419–423.
- [KSC04] J. Kollár, K. Smith, A. Corti, *Rational and nearly rational varieties*. Cambridge Studies in Advanced Mathematics, 92. Cambridge University Press, Cambridge, 2004.
- [Lam22] S. Lamy, *The Cremona group*, preprint.
- [Liu21] J. Liu, *Sarkisov program for generalized pairs*, Osaka J. Math. **58** (2021), no. 4, 899–920.
- [Mat02] K. Matsuki, *Introduction to the Mori program*, Universitext. Springer-Verlag, New York, 2002.
- [Miy19] K. Miyamoto, *The Sarkisov program on log surfaces*, preprint (2019) arXiv:1910.07025 [math.AG].
- [Sar80] V. G. Sarkisov, *Birational automorphisms of conic bundles*, Izv. Akad. Nauk SSSR Ser. Mat. **44** (1980), no. 4, 918–945, 974.
- [Sar82] V. G. Sarkisov, *On conic bundle structures*, Izv. Akad. Nauk SSSR Ser. Mat. **46** (1982), no. 2, 371–408, 432.
- [Sho96] V. V. Shokurov, *3-fold log models*, J. Math. Sci., J. Math. Sci. **81** (1996), no. 3, 2667–2699.
- [Tak95] N. Takahashi, *Sarkisov program for log surfaces*, Tokyo University Master’s Thesis (1995), 395–418.