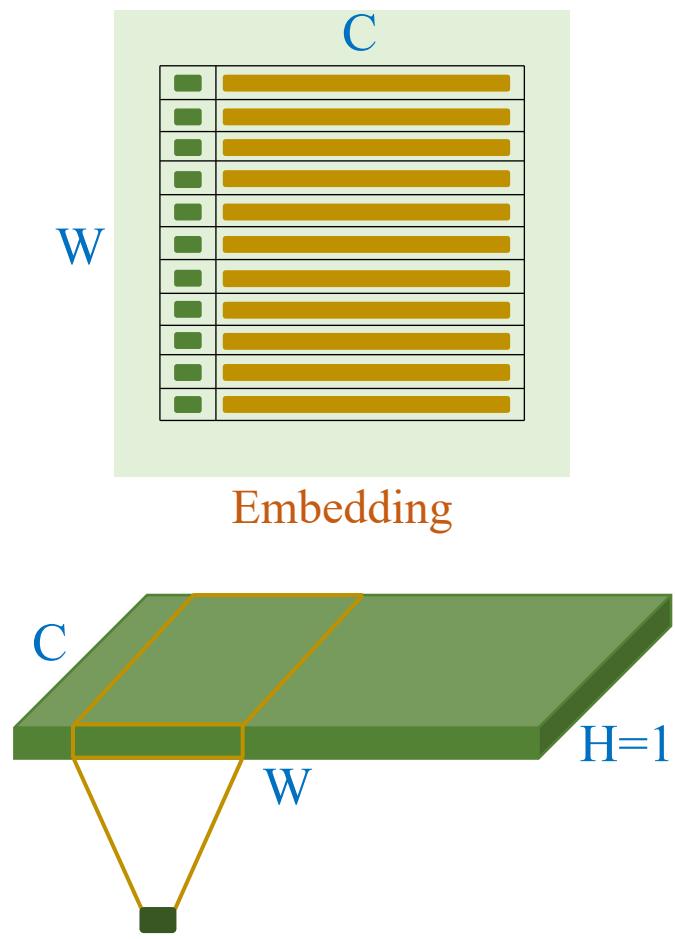


Transformer for Text Classification

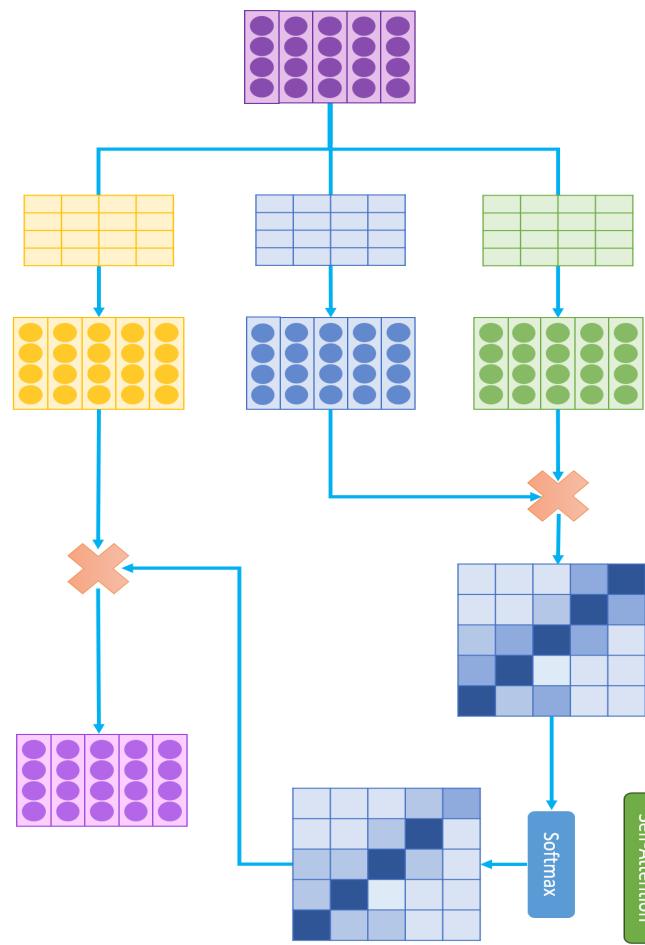
Quang-Vinh Dinh
Ph.D. in Computer Science

Objectives

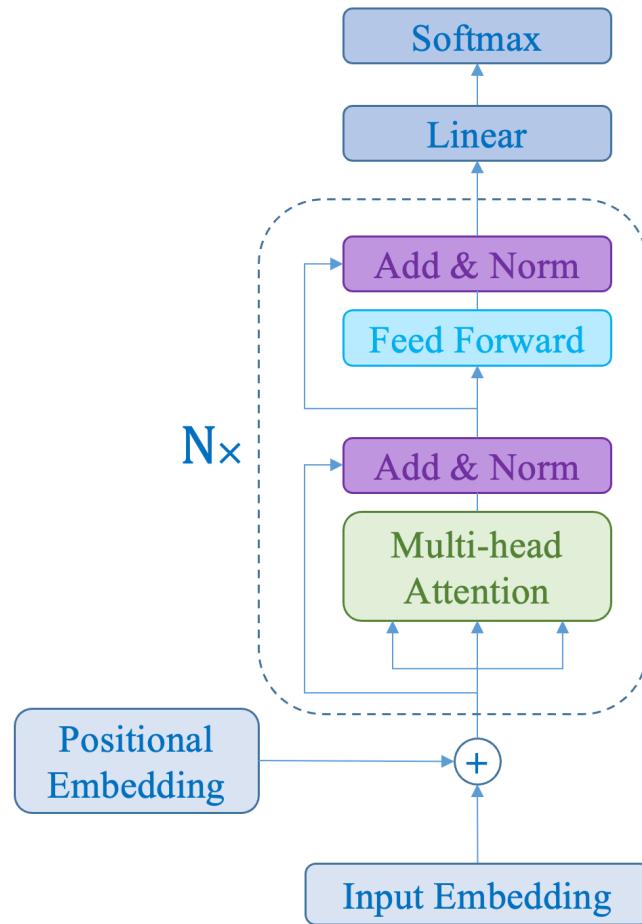
Text Classification



RNN → Transformer



Application



Outline

SECTION 1

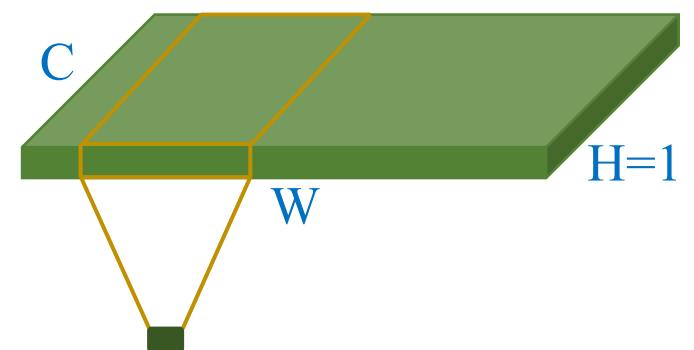
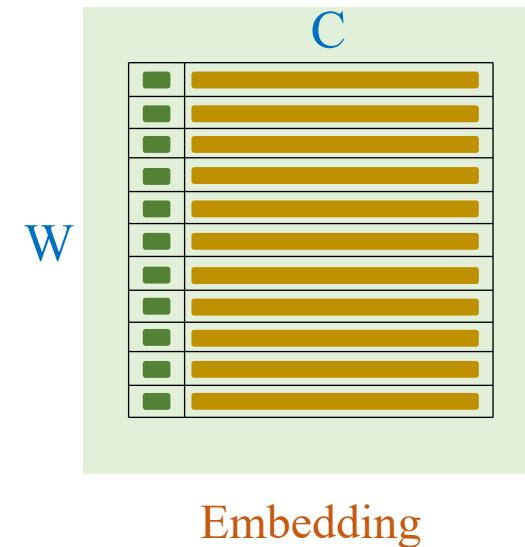
Text Classification

SECTION 2

RNN → Transformer

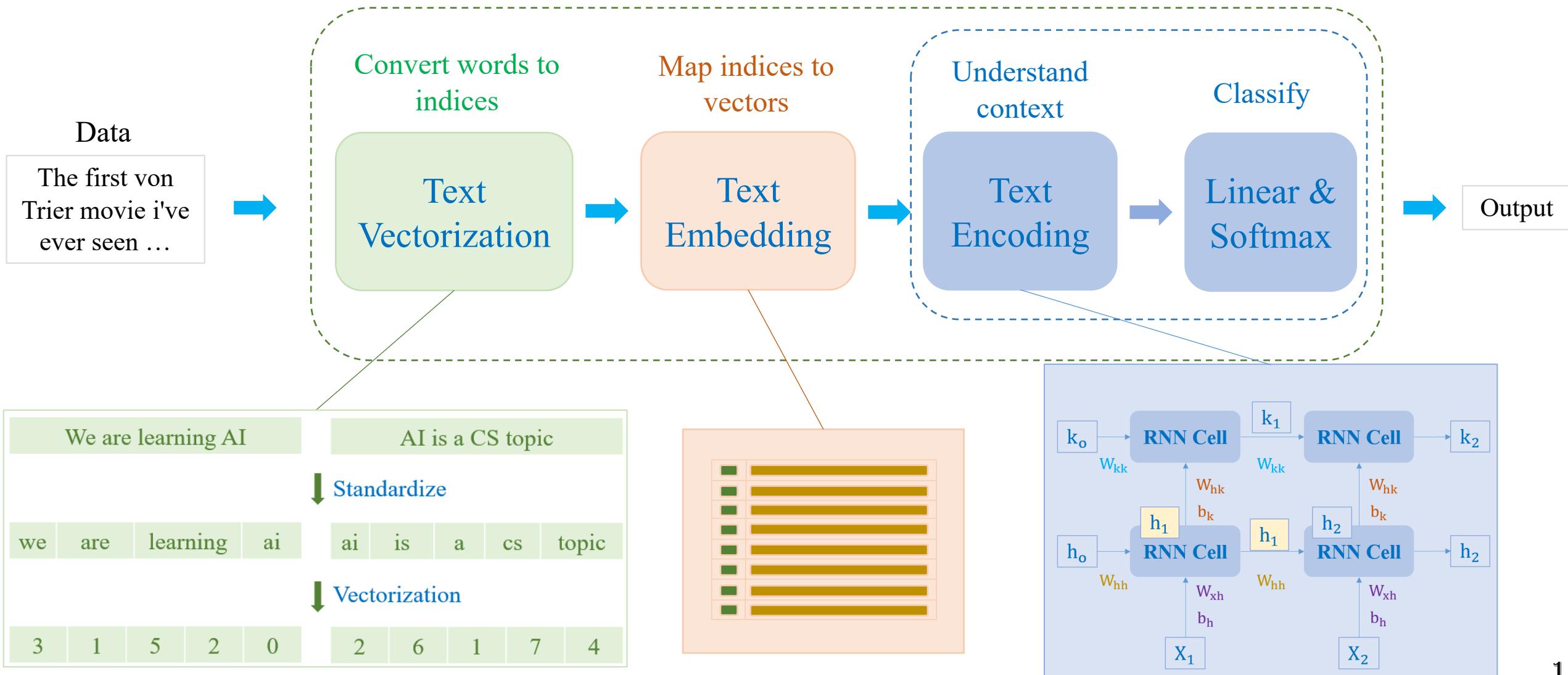
SECTION 3

Application



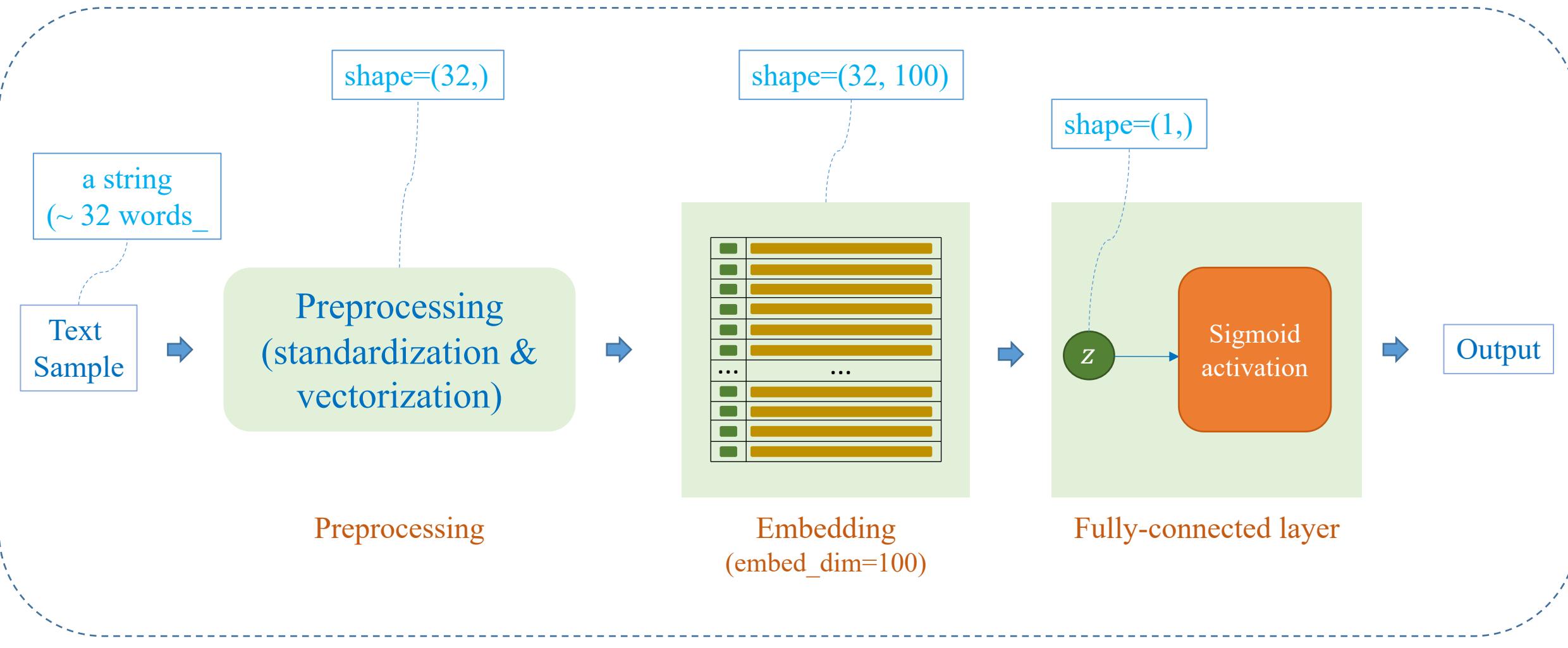
Text Classification

❖ Text classification model



Text Classification

❖ Simple approach (binary classification)



Preprocessing

index	0	1	2	3	4	5	6	7
word	[UNK]	pad	ai	a	are	cs	is	learning

- ## - Example corpus

sample1: ‘We are learning AI’

sample2: ‘AI is a CS topic’

(1) Build vocabulary from corpus

We are learning AI

AI is a CS topic

↓ Standardize

we | are | learning | ai | ai | is | a | cs | topic

Thành phần	Vai trò
Tokenizer	Lớp trung tâm, điều phối toàn bộ pipeline tokenize
WordLevel	Mô hình token hóa ở mức từ (word → id)
WordLevelTrainer	Cách học vocab (tần suất, giới hạn kích thước)
Whitespace	Pre-tokenizer tách từ theo khoảng trắng

Preprocessing

index	0	1	2	3	4	5	6	7
word	[UNK]	pad	ai	a	are	cs	is	learning

- Example corpus

sample1: 'We are learning AI'

sample2: 'AI is a CS topic'

(1) Build vocabulary from corpus

(2) Transform text into features

We are learning AI

AI is a CS topic

↓ Standardize

we | are | learning | ai

ai | is | a | cs | topic

↓ Vectorization

0 | 4 | 7 | 2 | 1

2 | 6 | 3 | 5 | 0

sample1 = 'We are learning AI'

tokens = ['We', 'are', 'learning', 'AI']

tokens_id = [0, 4, 7, 1]

```
output = tokenizer.encode(sample1)
print(output.tokens)
print(output.ids)
```

['<unk>', 'are', 'learning', 'ai', '<pad>']
[0, 4, 7, 2, 1]

```
output = tokenizer.encode(sample2)
print(output.tokens)
print(output.ids)
```

['ai', 'is', 'a', 'cs', '<unk>']
[2, 6, 3, 5, 0]

Embedding Layer

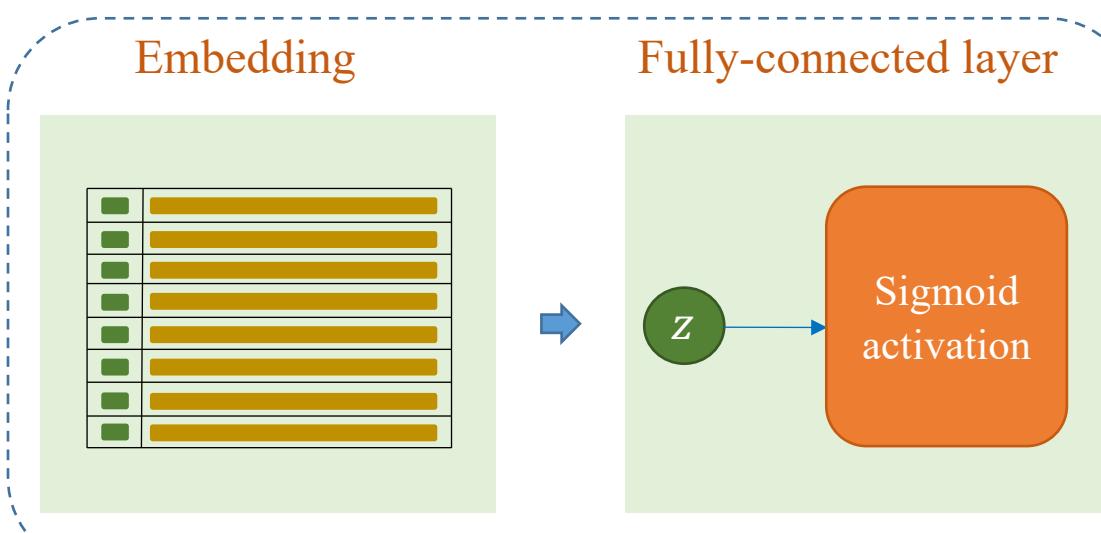
index	word
0	[UNK]
1	[pad]
2	ai
3	a
4	are
5	cs
6	is
7	learning

We are learning AI

0
4
7
2
1

Parameter containing:
tensor([[-0.1882, 0.5530, 1.6267, 0.7013],
 [1.7840, -0.8278, -0.2701, 1.3586],
 [1.0281, -1.9094, 0.3182, 0.4211],
 [-1.3083, -0.0987, 0.7647, -0.3680],
 [0.2293, 1.3255, 0.1318, 2.0501],
 [0.4058, -0.6624, -0.8745, 0.7203],
 [0.5582, 0.0786, -0.6817, 0.6902],
 [0.4309, -1.3067, -0.8823, 1.5977]],

```
1 import torch.nn as nn  
2  
3 vocab_size = 8  
4 embed_dim = 4  
5 embedding = nn.Embedding(vocab_size,  
6 | | | | | | | | embed_dim)
```

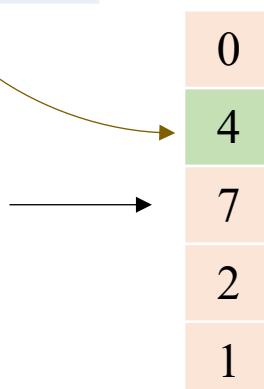


Embedding Layer

(3) Embedding layer

index	word
0	[UNK]
1	[pad]
2	ai
3	a
4	are
5	cs
6	is
7	learning

We are learning AI



changed

Parameter containing:

```
tensor([-0.1882,  0.5530,  1.6267,  0.7013],  
      [ 1.7840, -0.8278, -0.2701,  1.3586],  
      [ 1.0281, -1.9094,  0.3182,  0.4211],  
      [-1.3083, -0.0987,  0.7647, -0.3680],  
      [ 0.2293,  1.3255,  0.1318,  2.0501],  
      [ 0.4058, -0.6624, -0.8745,  0.7203],  
      [ 0.5582,  0.0786, -0.6817,  0.6902],  
      [ 0.4309, -1.3067, -0.8823,  1.5977]],
```

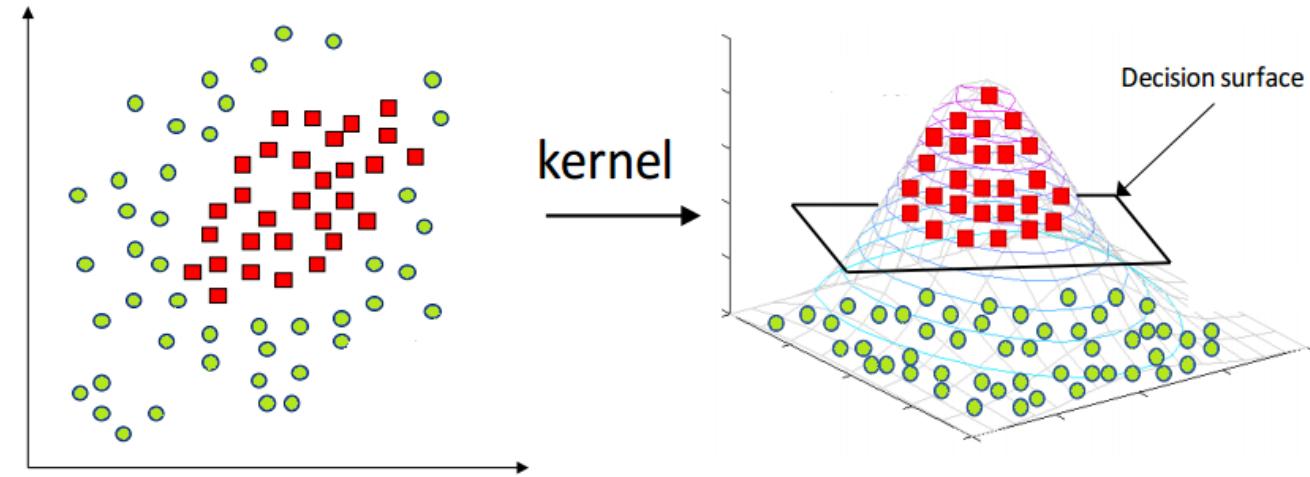
Parameter containing:

```
tensor([-0.1872,  0.5540,  1.6277,  0.7023],  
      [ 1.7830, -0.8268, -0.2711,  1.3576],  
      [ 1.0291, -1.9084,  0.3192,  0.4201],  
      [-1.3083, -0.0987,  0.7647, -0.3680],  
      [ 0.2303,  1.3245,  0.1308,  2.0511],  
      [ 0.4058, -0.6624, -0.8745,  0.7203],  
      [ 0.5582,  0.0786, -0.6817,  0.6902],  
      [ 0.4299, -1.3077, -0.8833,  1.5967]],
```

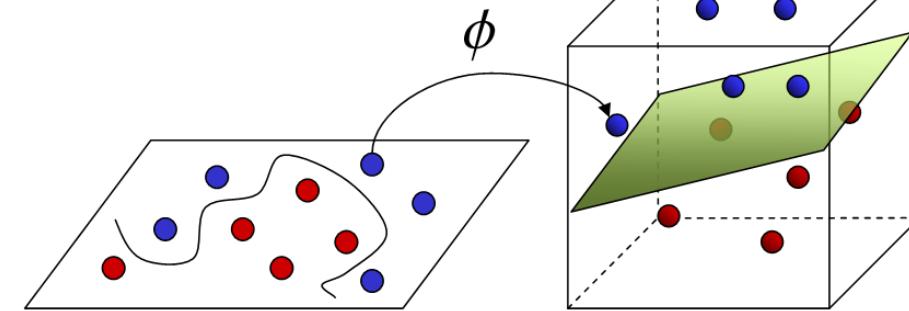
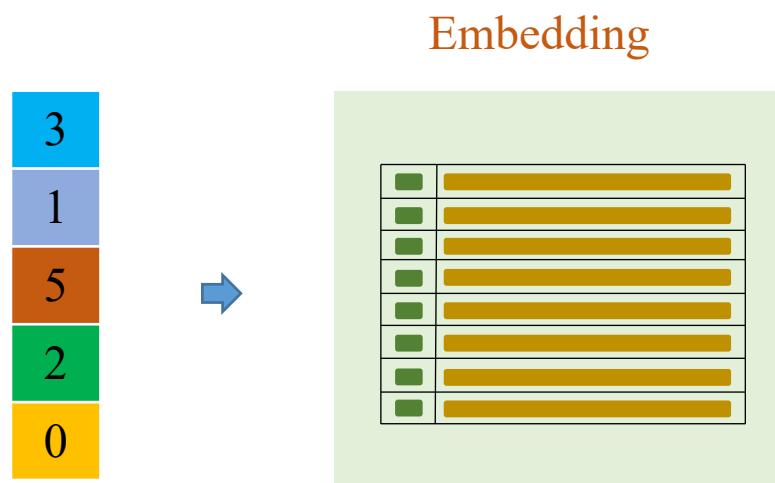
Word Embedding

❖ Why?

- 1) Continuous values
- 2) Higher dimensions



<https://codatalicious.medium.com/kernels-ee967067aa9>



<https://towardsdatascience.com/the-kernel-trick-c98cdbcaeb3f>

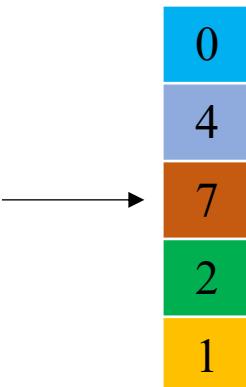
Word Embedding

Convert from text to numbers

index	word
0	[UNK]
1	[pad]
2	ai
3	a
4	are
5	cs
6	is
7	learning

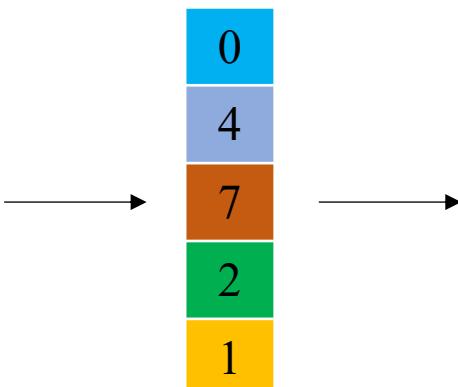
A sample X

We are learning AI



A sample X

We
are
learning
AI
<pad>



Parameter containing:

```
tensor([[-0.1882,  0.5530,  1.6267,  0.7013],  
       [ 1.7840, -0.8278, -0.2701,  1.3586],  
       [ 1.0281, -1.9094,  0.3182,  0.4211],  
       [-1.3083, -0.0987,  0.7647, -0.3680],  
       [ 0.2293,  1.3255,  0.1318,  2.0501],  
       [ 0.4058, -0.6624, -0.8745,  0.7203],  
       [ 0.5582,  0.0786, -0.6817,  0.6902],  
       [ 0.4309, -1.3067, -0.8823,  1.5977]],  
      requires_grad=True)
```

X₁: [-0.1882, 0.5530, 1.6267, 0.7013]

X₂: [0.2293, 1.3255, 0.1318, 2.0501]

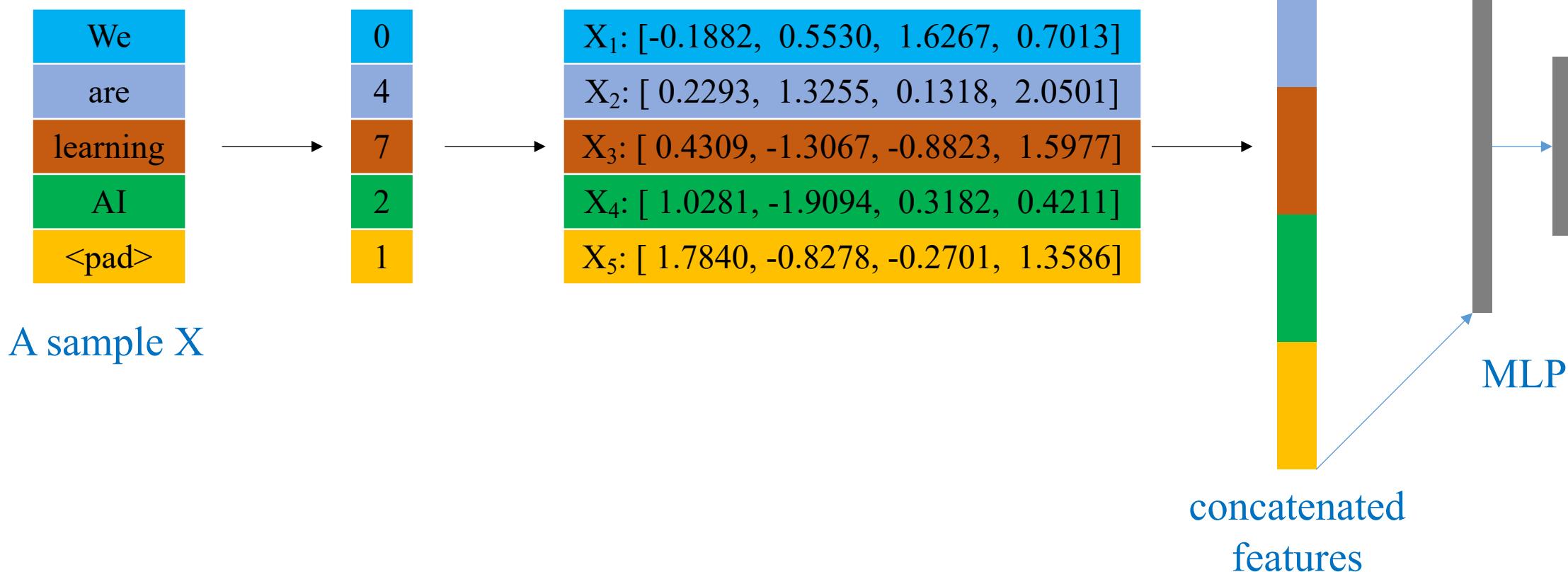
X₃: [0.4309, -1.3067, -0.8823, 1.5977]

X₄: [1.0281, -1.9094, 0.3182, 0.4211]

X₅: [1.7840, -0.8278, -0.2701, 1.3586]

How to deal with this input?

- ❖ Simplest idea: Based on MLP
- ❖ Concatenate all the features



Text Classification: Example 1

Step-by-Step Example: Text Classification

Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1

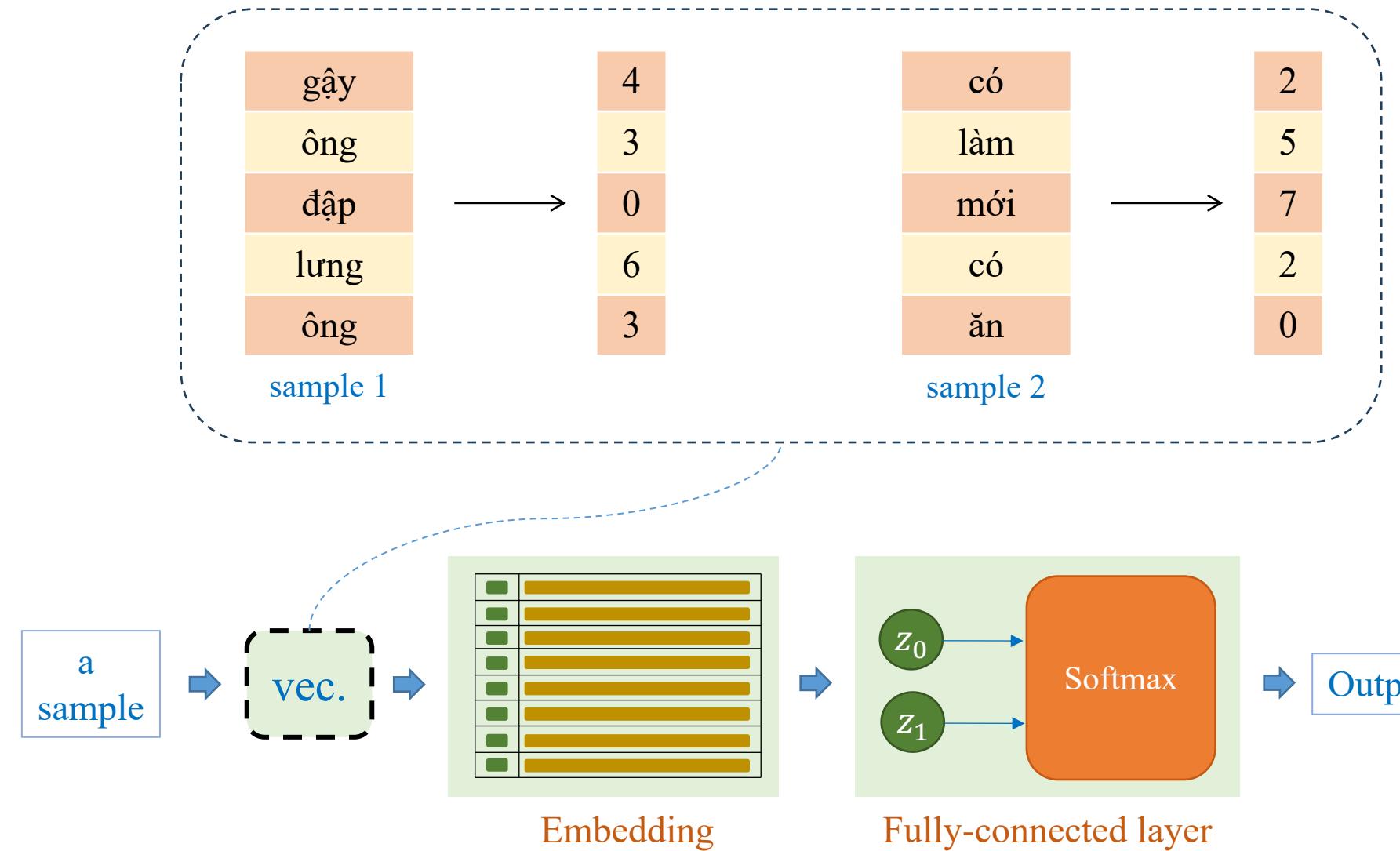
Training data

- negative (0)
- positive (1)

building dictionary

index	word
0	[UNK]
1	[pad]
2	có
3	ông
4	gập
5	làm
6	lưng
7	mới

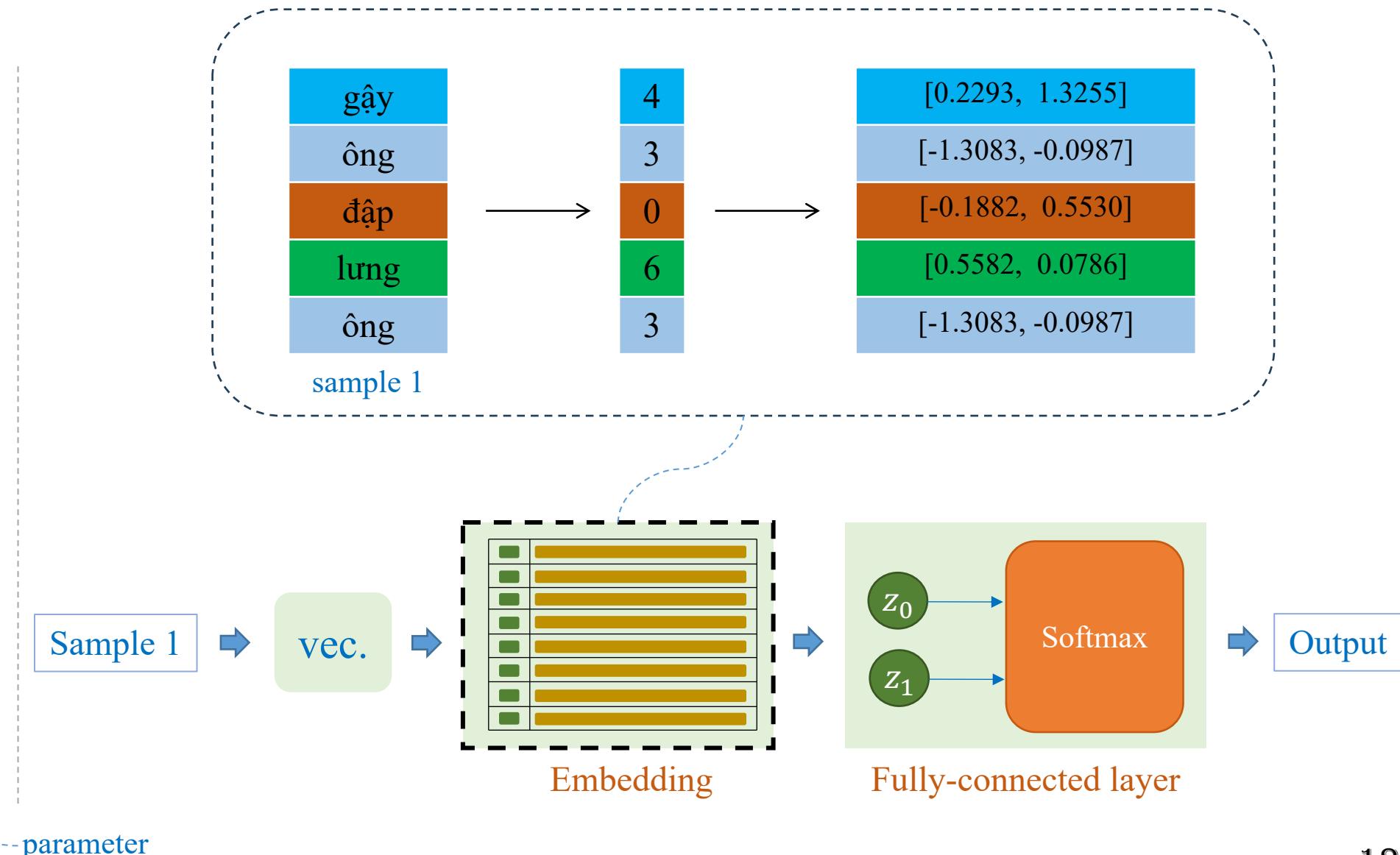
vocab size = 8
sequence length = 5



Step-by-Step Example: Text Classification

Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1
0	[-0.1882, 0.5530]
1	[1.7840, -0.8278]
2	[1.0281, -1.9094]
3	[-1.3083, -0.0987]
4	[0.2293, 1.3255]
5	[0.4058, -0.6624]
6	[0.5582, 0.0786]
7	[0.4309, -1.3067]

Embedding 8×2
(Random initialization)

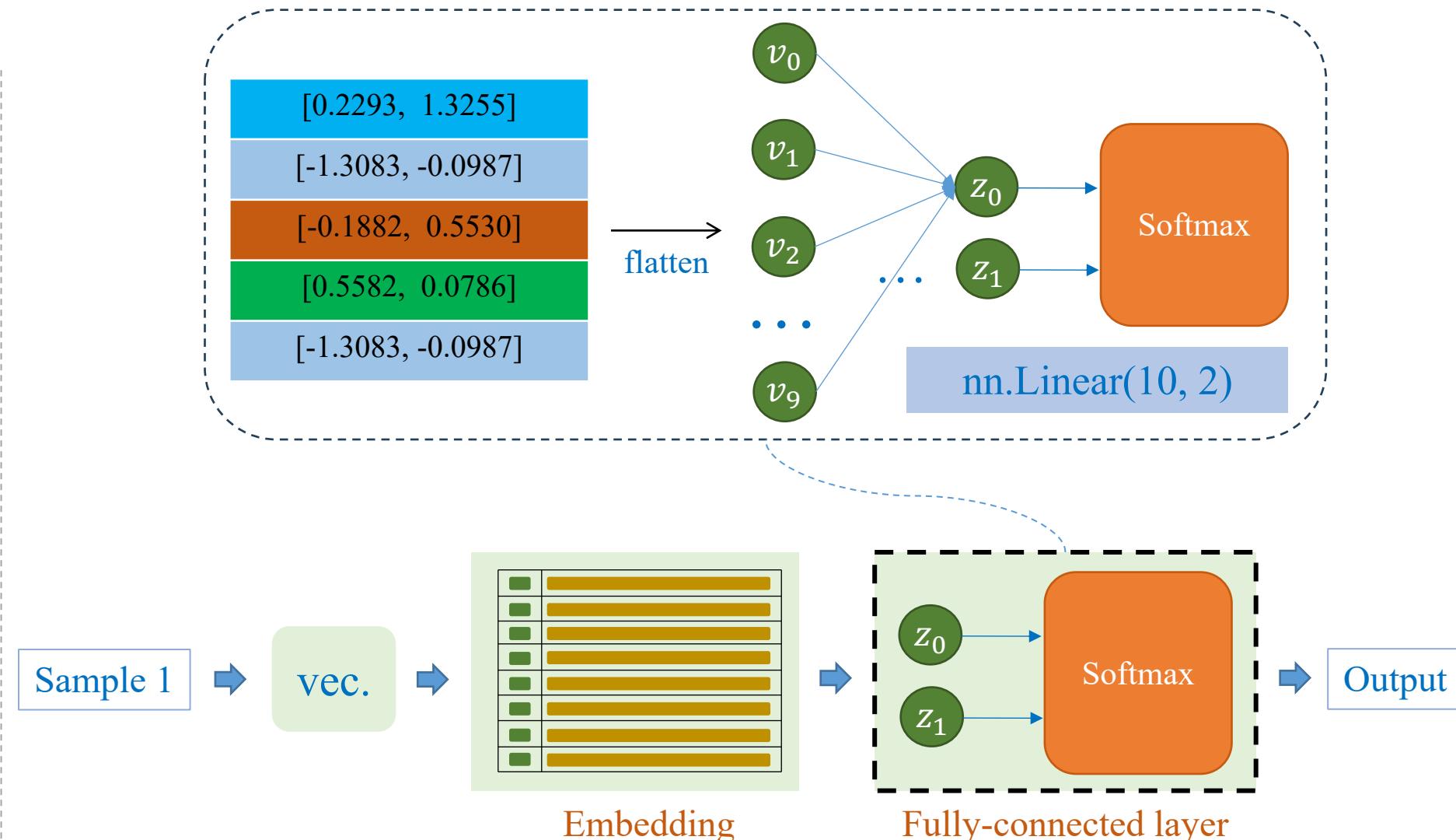


Step-by-Step Example: Text Classification

Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1

0	[-0.1882, 0.5530]
1	[1.7840, -0.8278]
2	[1.0281, -1.9094]
3	[-1.3083, -0.0987]
4	[0.2293, 1.3255]
5	[0.4058, -0.6624]
6	[0.5582, 0.0786]
7	[0.4309, -1.3067]

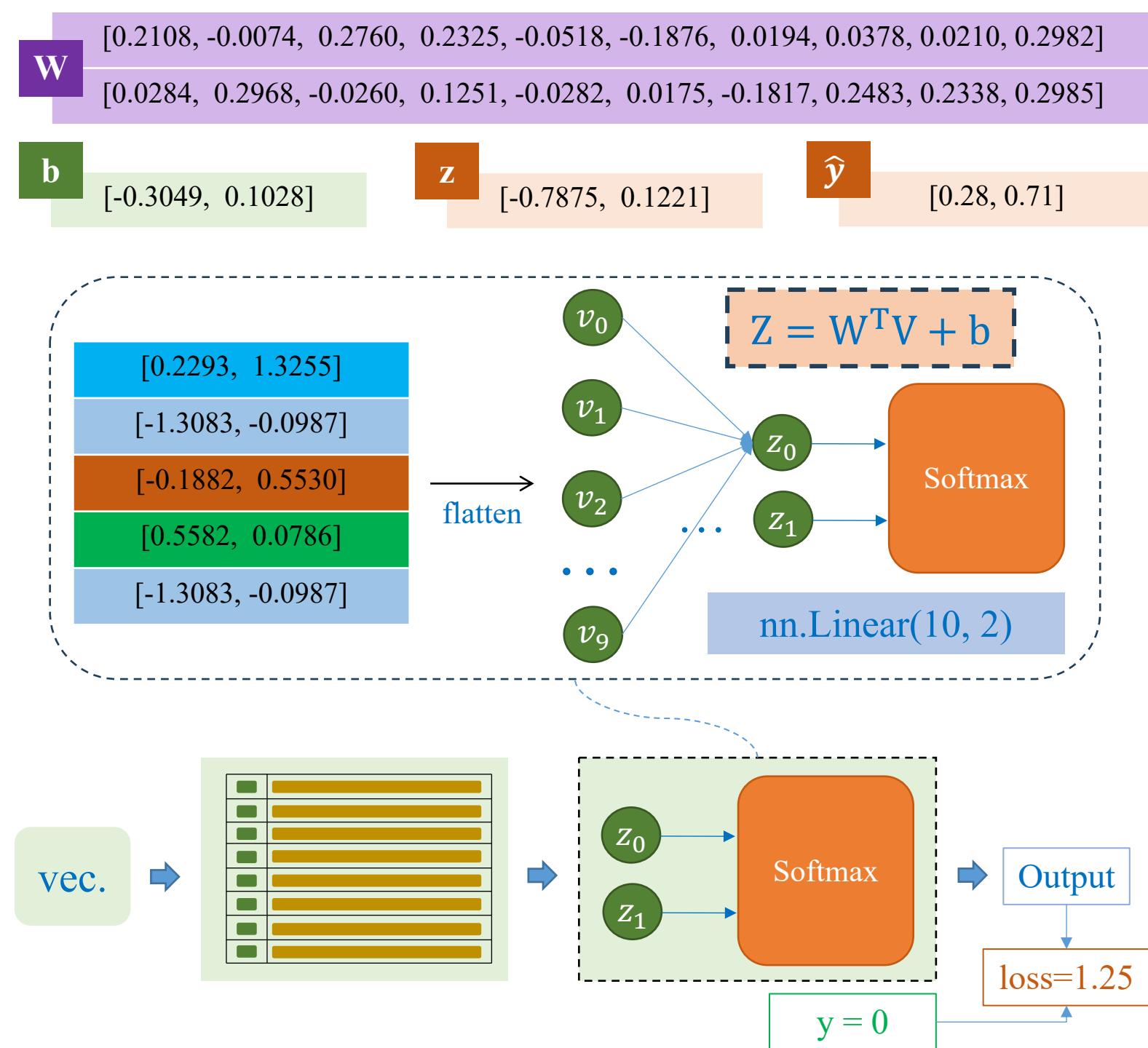
Embedding



Example Text Classification

Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1
0	[-0.1882, 0.5530]
1	[1.7840, -0.8278]
2	[1.0281, -1.9094]
3	[-1.3083, -0.0987]
4	[0.2293, 1.3255]
5	[0.4058, -0.6624]
6	[0.5582, 0.0786]
7	[0.4309, -1.3067]

Embedding



Example Text Classification

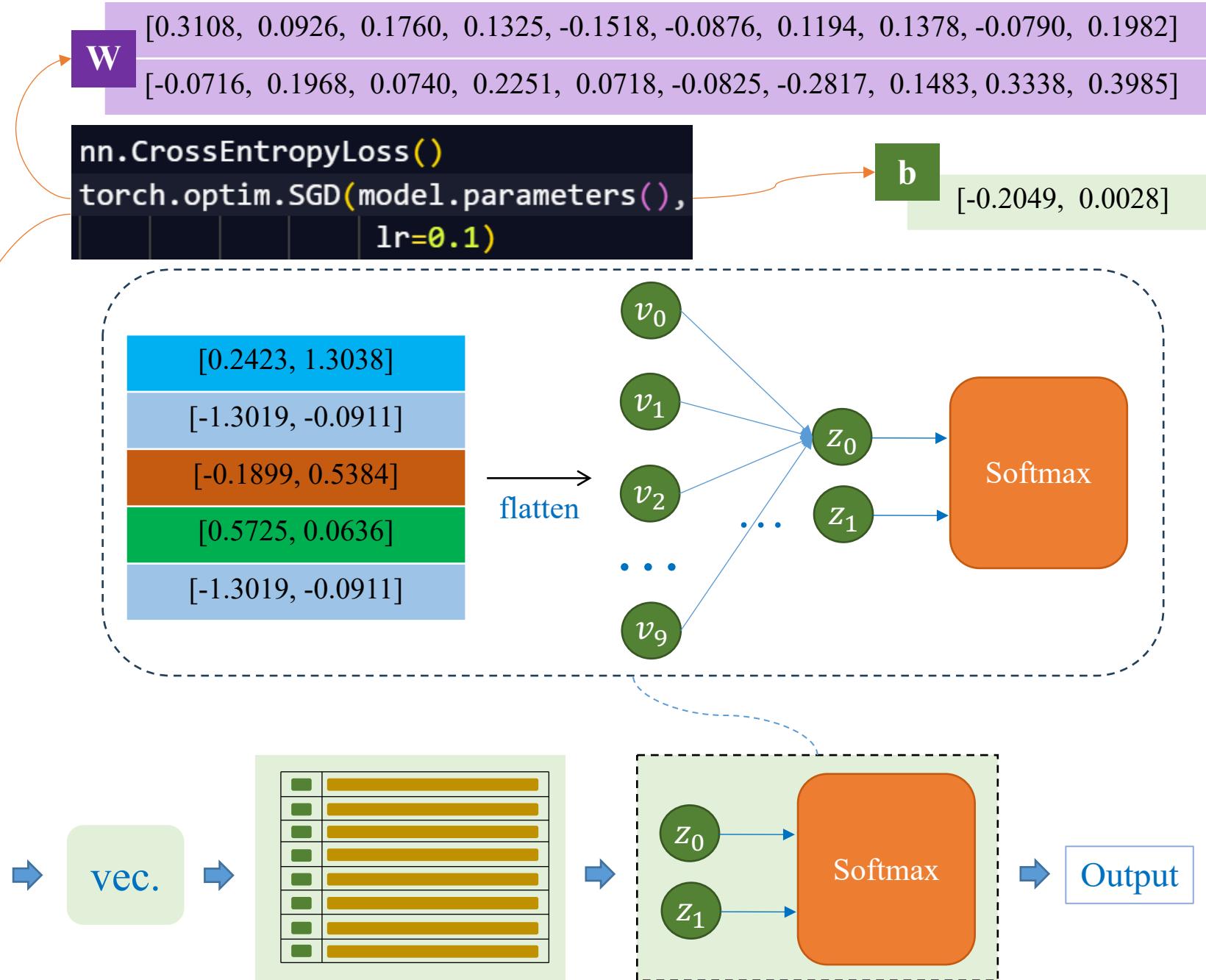
Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1

	embedding
0	[-0.1899, 0.5384]
1	[1.7840, -0.8278]
2	[1.0281, -1.9094]
3	[-1.3019, -0.0911]
4	[0.2423, 1.3038]
5	[0.4058, -0.6624]
6	[0.5725, 0.0636]
7	[0.4309, -1.3067]

Embedding

$$\theta_t = \theta_{t-1} - \eta \nabla_{\theta} L$$

update



Example Text Classification

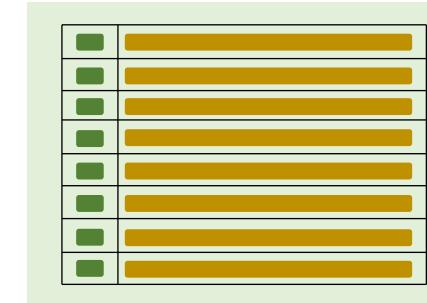
Doc	Label
gây ông đập lưng ông	0
có làm mới có ăn	1
0	[-0.1899, 0.5384]
1	[1.7840, -0.8278]
2	[1.0281, -1.9094]
3	[-1.3019, -0.0911]
4	[0.2423, 1.3038]
5	[0.4058, -0.6624]
6	[0.5725, 0.0636]
7	[0.4309, -1.3067]

Embedding

Model is learning

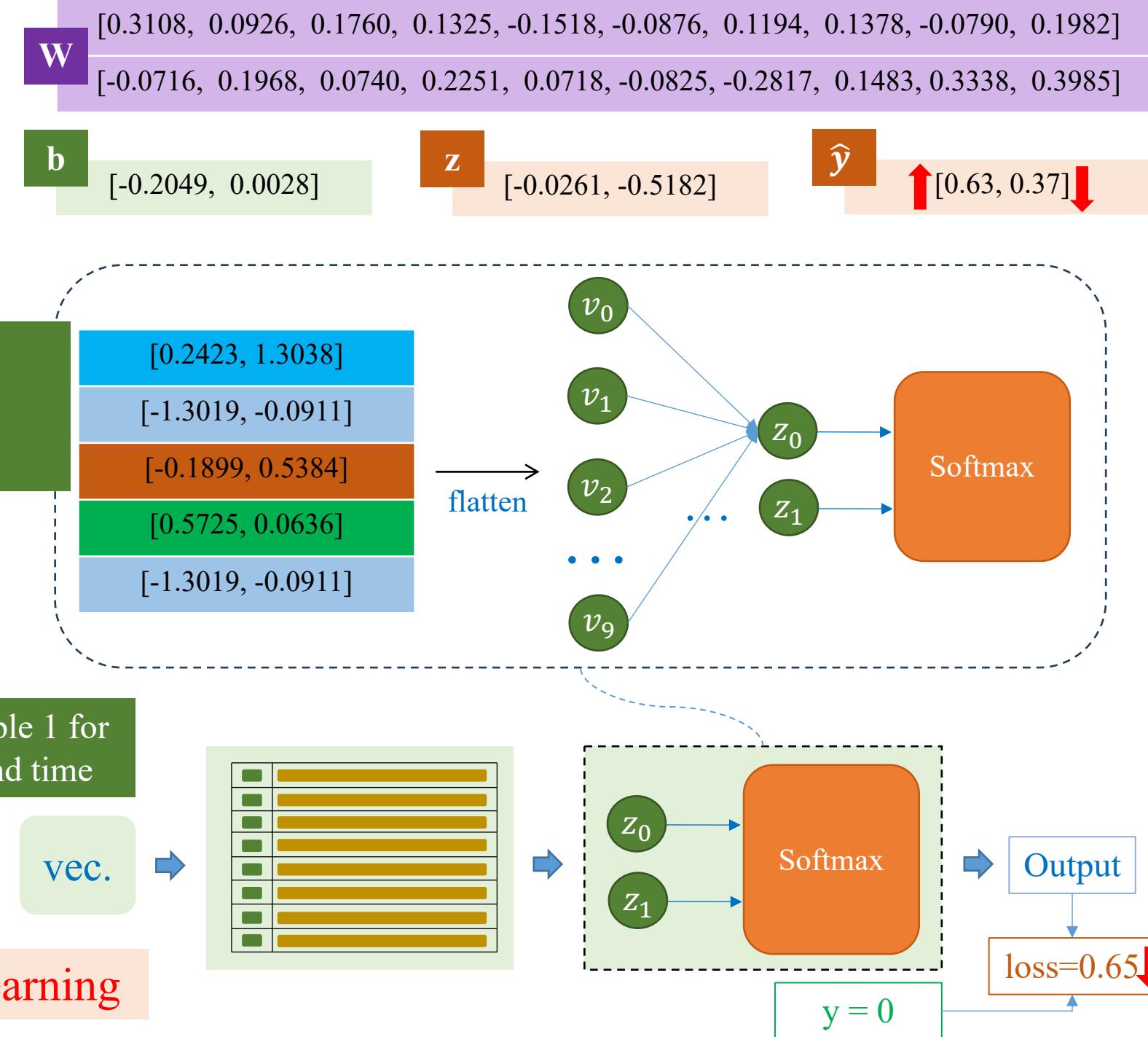
Sample 1

vec.



Feed sample 1 for
the second time

- Loss reduces
- \hat{y}_0 increases
- \hat{y}_1 reduces



Linear Layer

2.2.text_classification_MLP2.ipynb

V_1	[-0.40, 0.66, -0.87]
V_2	[0.43, -1.30, 0.88]
V_3	[-0.18, 0.55, 1.62]
V_4	[-1.30, 0.09, 0.76]

Vectorized and Embedded Input
shape = (N=1, L=4, E_dim=3)

W	[0.3847, -0.4621, 0.1749]
	[-0.3024, -0.1529, 0.4329]
b	[0.3548, -0.2819]

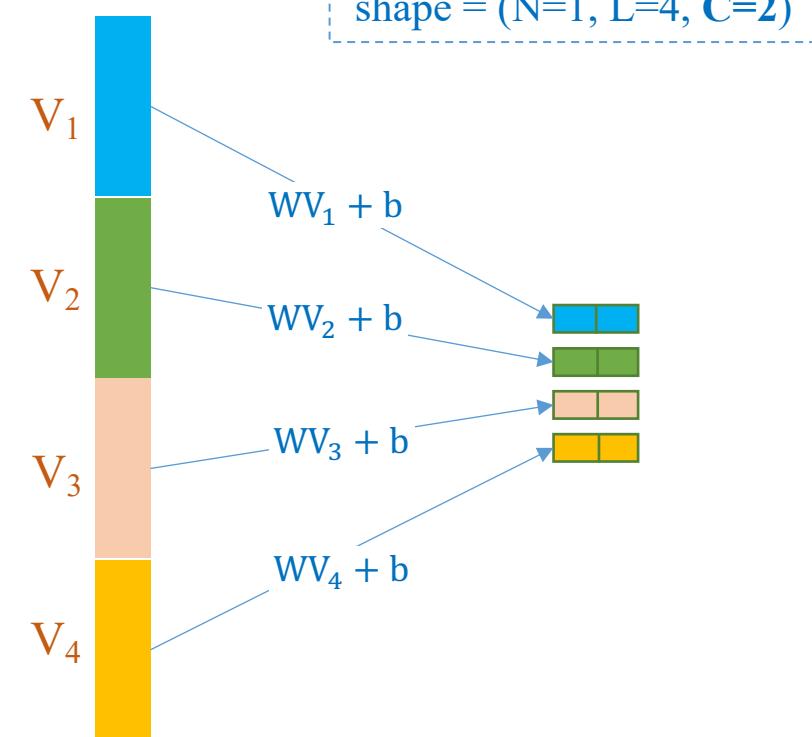
[0.58, -0.45]
[-0.09, -0.23]
[0.82, -1.67]
[-1.83, 0.47]

```
import torch
import torch.nn as nn

linear = nn.Linear(3, 2)
input = torch.randn(1, 4, 3)
output = linear(input)
print(output.shape)

✓ 0.0s

torch.Size([1, 4, 2])
```



Outline

SECTION 1

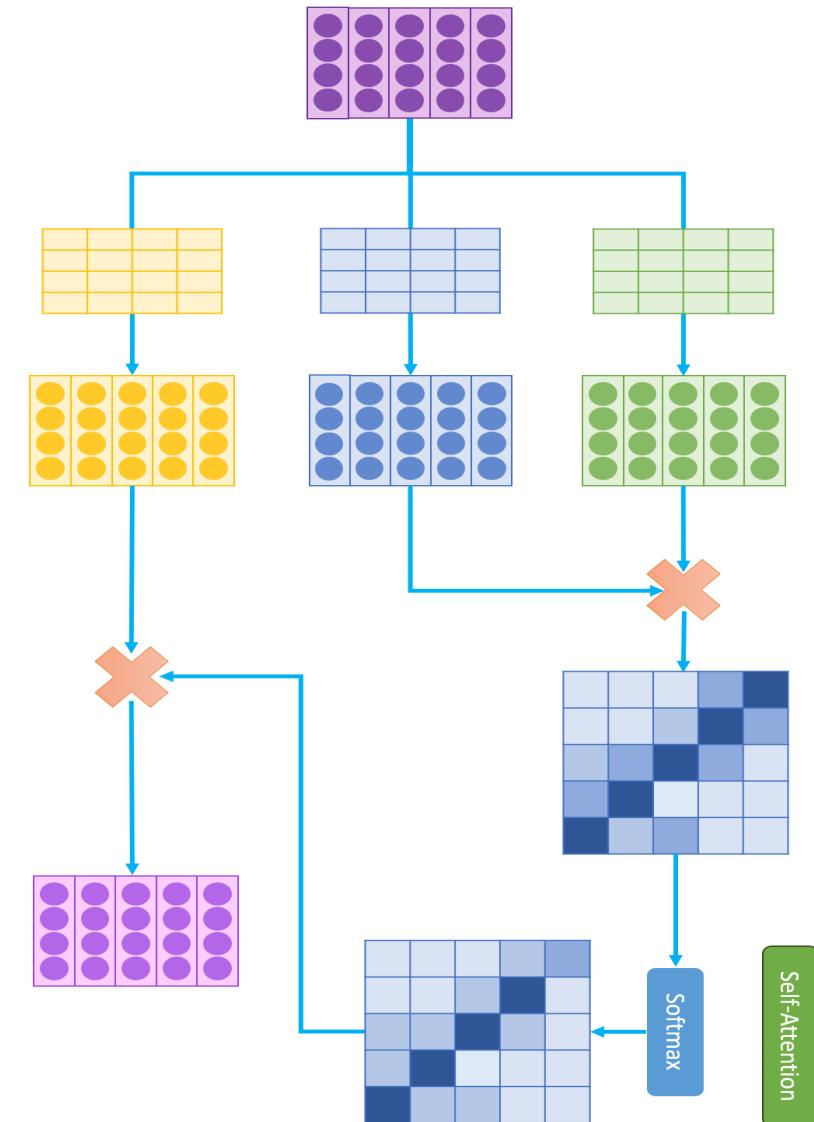
Text Classification

SECTION 2

RNN → Transformer

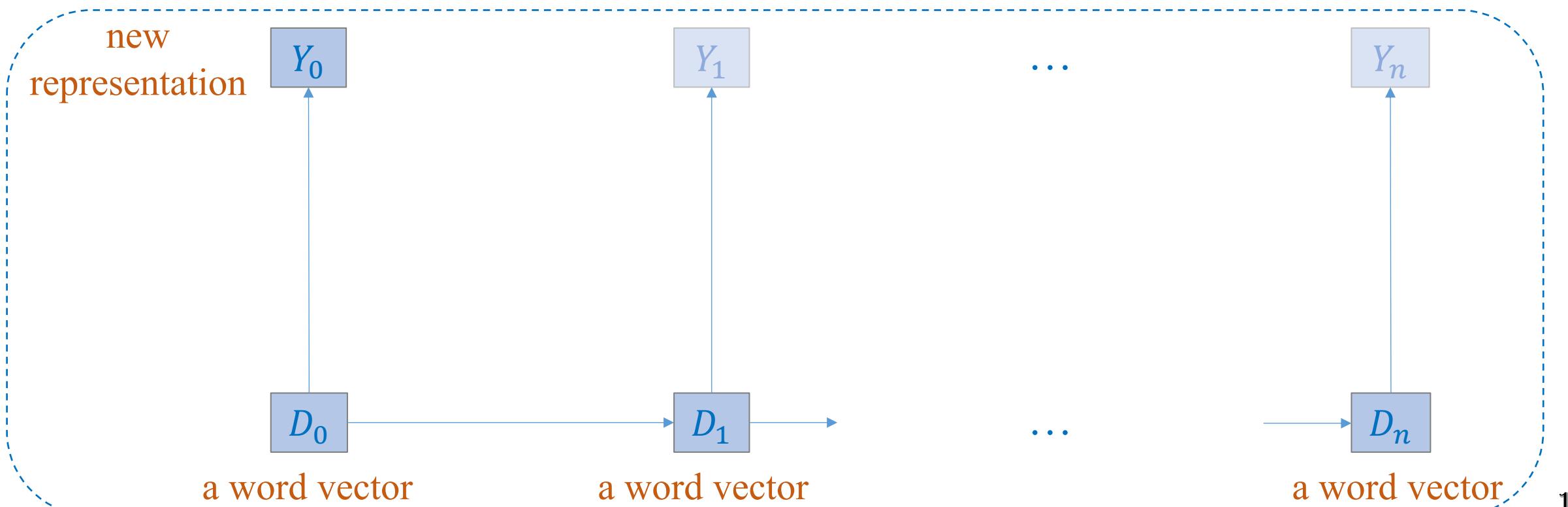
SECTION 3

Application



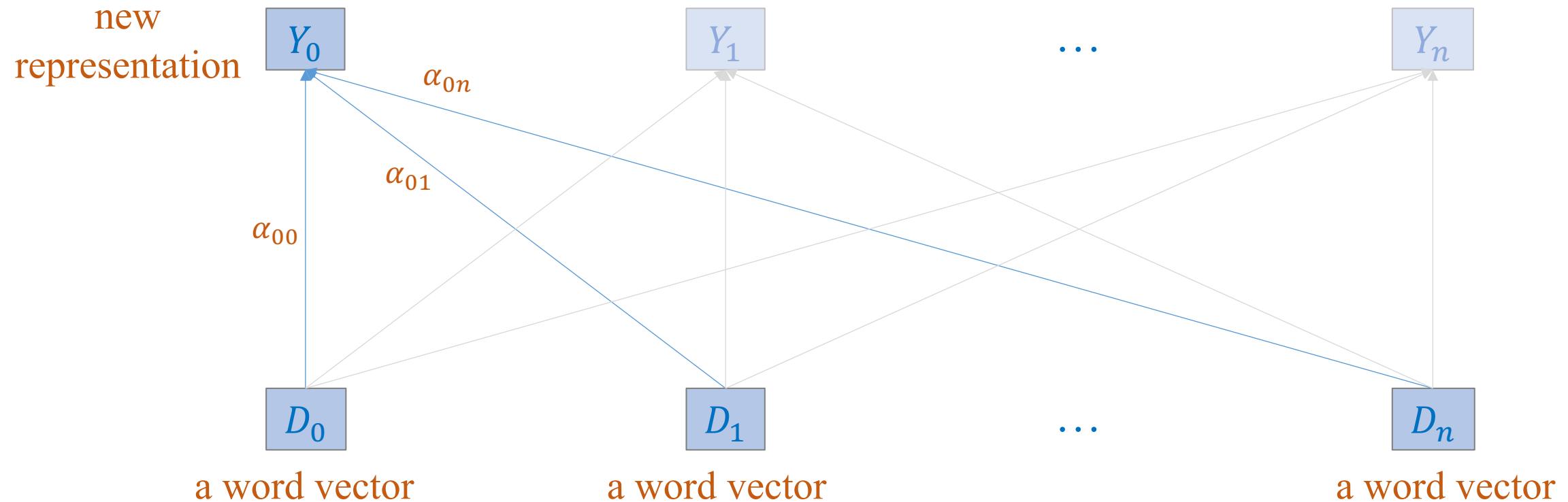
From RNNs to Transformers

❖ RNN/LSTM/GRU Limitations



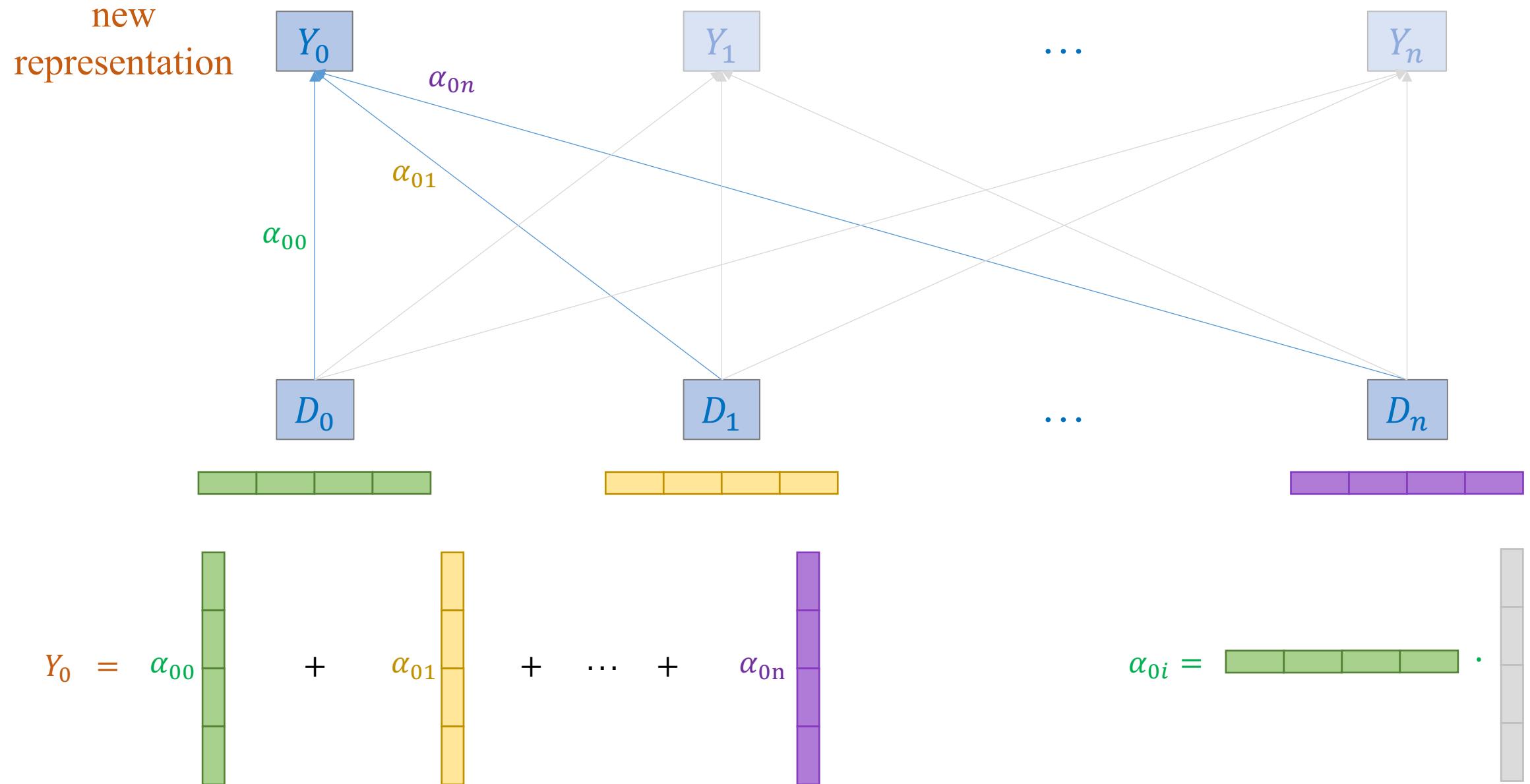
From RNNs to Transformers

❖ Desire properties



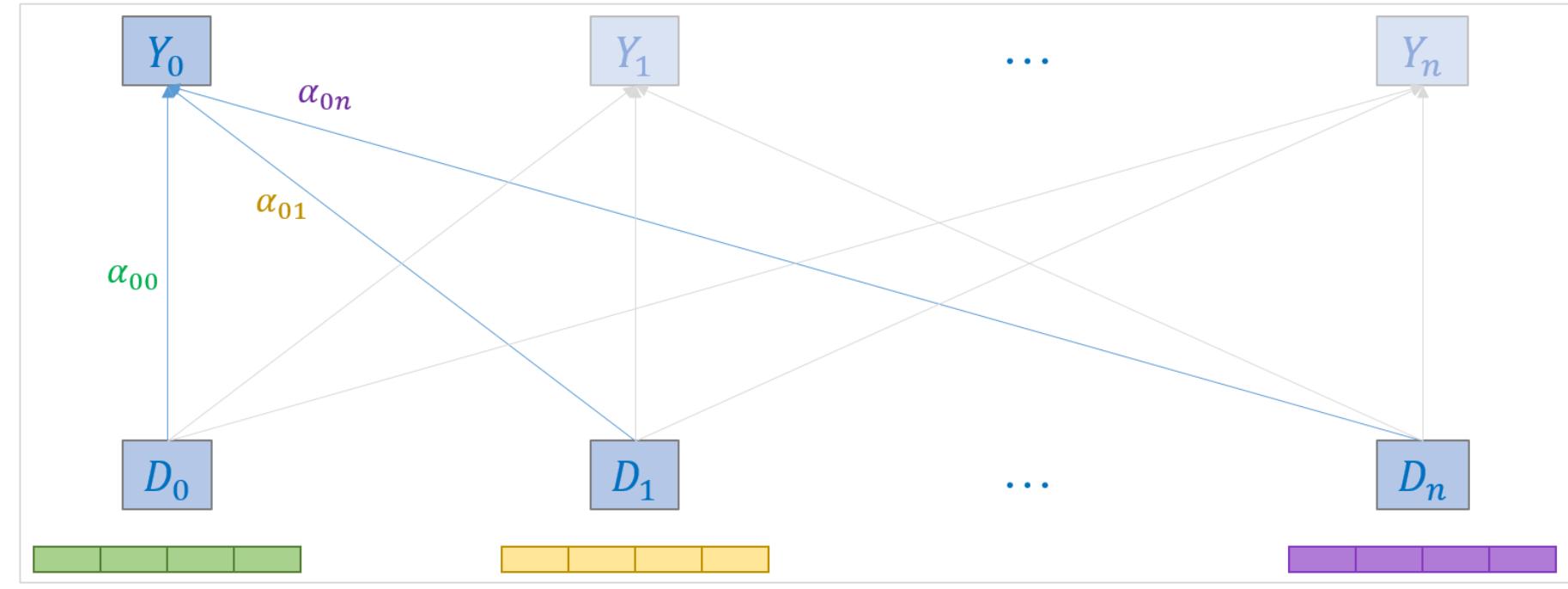
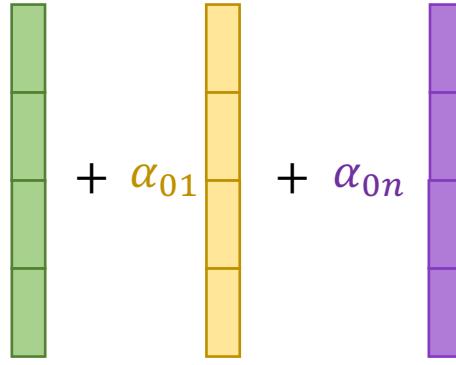
$$Y_0 = \alpha_{00}D_0 + \alpha_{01}D_1 + \cdots + \alpha_{0n}D_n$$

Desire Property



Desire Property

$$Y_0 = \alpha_{00} + \alpha_{01} + \alpha_{0n}$$



$$\alpha_{00} = \text{[green bar]} \cdot \text{[green vertical vector]}$$

$$\alpha_{01} = \text{[green bar]} \cdot \text{[orange vertical vector]}$$

$$\alpha_{0n} = \text{[green bar]} \cdot \text{[purple vertical vector]}$$

Context Awareness

$$\alpha_{00} = \begin{array}{c} \text{[green bar]} \\ \cdot \\ \text{[green bar]} \end{array}$$
$$\alpha_{01} = \begin{array}{c} \text{[green bar]} \\ \cdot \\ \text{[yellow bar]} \end{array}$$
$$\alpha_{0n} = \begin{array}{c} \text{[green bar]} \\ \cdot \\ \text{[purple bar]} \end{array}$$

$$\begin{array}{c} \text{[green bar]} \\ \cdot \\ \left(\begin{array}{ccc} \text{[green bar]} & \text{[yellow bar]} & \text{[purple bar]} \\ \cdot & \ddots & \cdot \end{array} \right) \end{array} = [\alpha_{00} \ \alpha_{01} \ \dots \ \alpha_{0n}]$$

Context Awareness

$$\alpha_{10} = \begin{array}{|c|c|c|c|c|}\hline\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}\hline\end{array} \cdot \begin{array}{|c|}\hline\textcolor{red}{\square}\hline\end{array}$$
$$\alpha_{11} = \begin{array}{|c|c|c|c|c|}\hline\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}\hline\end{array} \cdot \begin{array}{|c|c|}\hline\textcolor{red}{\square}&\textcolor{red}{\square}\hline\end{array}$$
$$\alpha_{1n} = \begin{array}{|c|c|c|c|c|}\hline\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}&\textcolor{blue}{\square}\hline\end{array} \cdot \begin{array}{|c|c|c|}\hline\textcolor{red}{\square}&\textcolor{red}{\square}&\textcolor{red}{\square}\hline\end{array}$$

$$\begin{bmatrix} \text{yellow} & \text{yellow} & \text{yellow} & \text{yellow} \end{bmatrix} \cdot \begin{pmatrix} \text{green} \\ \text{yellow} \\ \dots \\ \text{purple} \end{pmatrix} = [\alpha_{10} \ \alpha_{11} \ \dots \ \alpha_{1n}]$$

Context Awareness

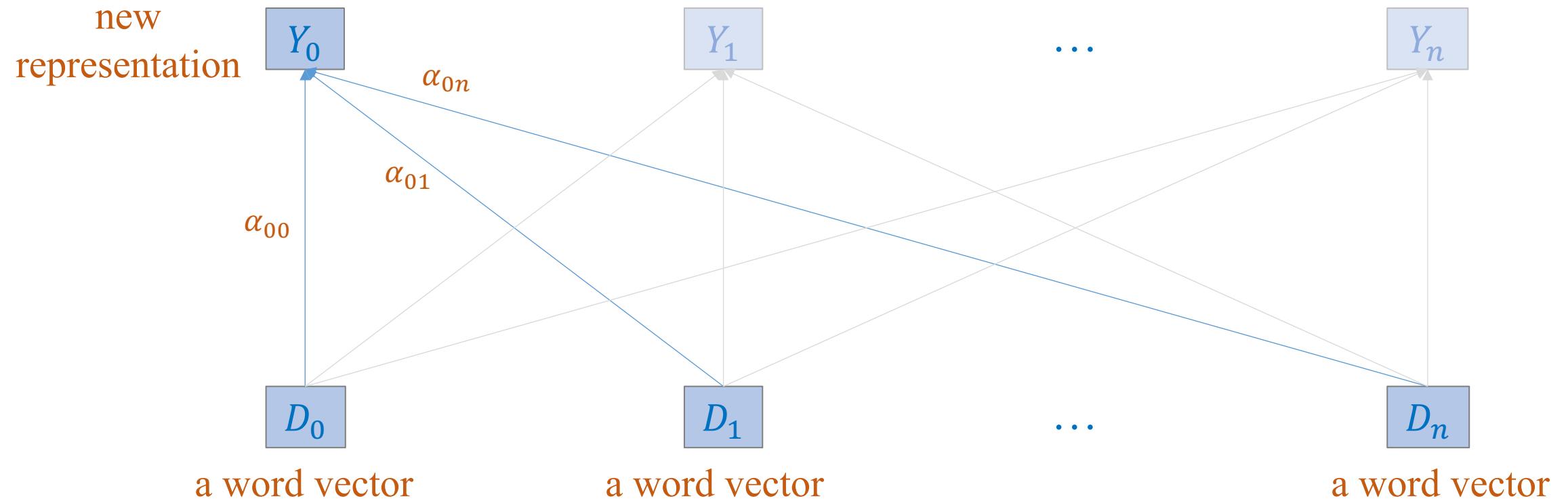
$$\alpha_{n0} = \begin{array}{c|c|c|c|c|c} \text{purple} & \text{purple} & \text{purple} & \text{purple} & \text{purple} & \end{array} \cdot \begin{array}{c} \text{green} \\ \text{green} \\ \text{green} \end{array}$$
$$\alpha_{n1} = \begin{array}{c|c|c|c|c|c} \text{purple} & \text{purple} & \text{purple} & \text{purple} & \text{purple} & \end{array} \cdot \begin{array}{c} \text{orange} \\ \text{orange} \\ \text{orange} \end{array}$$
$$\alpha_{nn} = \begin{array}{c|c|c|c|c|c} \text{purple} & \text{purple} & \text{purple} & \text{purple} & \text{purple} & \end{array} \cdot \begin{array}{c} \text{purple} \\ \text{purple} \\ \text{purple} \end{array}$$

$$\begin{array}{c|c|c|c|c} \text{purple} & \text{purple} & \text{purple} & \text{purple} & \end{array} \cdot \left(\begin{array}{c|c|c|c} \text{green} & \text{orange} & \dots & \text{purple} \\ \text{green} & \text{orange} & & \text{purple} \\ \text{green} & \text{orange} & & \text{purple} \end{array} \right) = [\alpha_{n0} \ \alpha_{n1} \ \dots \ \alpha_{nn}]$$

$$\begin{array}{c}
\text{[green]} \cdot \left(\begin{array}{ccc} \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \end{array} \right) = [\alpha_{00} \ \alpha_{01} \ \dots \ \alpha_{0n}] \\
\text{[yellow]} \cdot \left(\begin{array}{ccc} \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \end{array} \right) = [\alpha_{10} \ \alpha_{11} \ \dots \ \alpha_{1n}] \\
\dots \\
\text{[purple]} \cdot \left(\begin{array}{ccc} \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \end{array} \right) = [\alpha_{n0} \ \alpha_{n1} \ \dots \ \alpha_{nn}]
\end{array}$$

$\left(\begin{array}{ccc} \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \end{array} \right) \cdot \left(\begin{array}{ccc} \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \\ \text{[green]} & \text{[yellow]} & \dots \end{array} \right)$
 $= \begin{bmatrix} \alpha_{00} & \alpha_{01} & \dots & \alpha_{0n} \\ \alpha_{10} & \alpha_{11} & \dots & \alpha_{1n} \\ \dots \\ \alpha_{n0} & \alpha_{n1} & \dots & \alpha_{nn} \end{bmatrix}$
 $= \begin{bmatrix} \alpha_0 \\ \alpha_1 \\ \dots \\ \alpha_n \end{bmatrix}$

$\alpha = DD^T$



$$Y_0 = \alpha_{00}D_0 + \alpha_{01}D_1 + \dots + \alpha_{0n}D_n$$

weight: determine how much X_0 contributes to Y_0

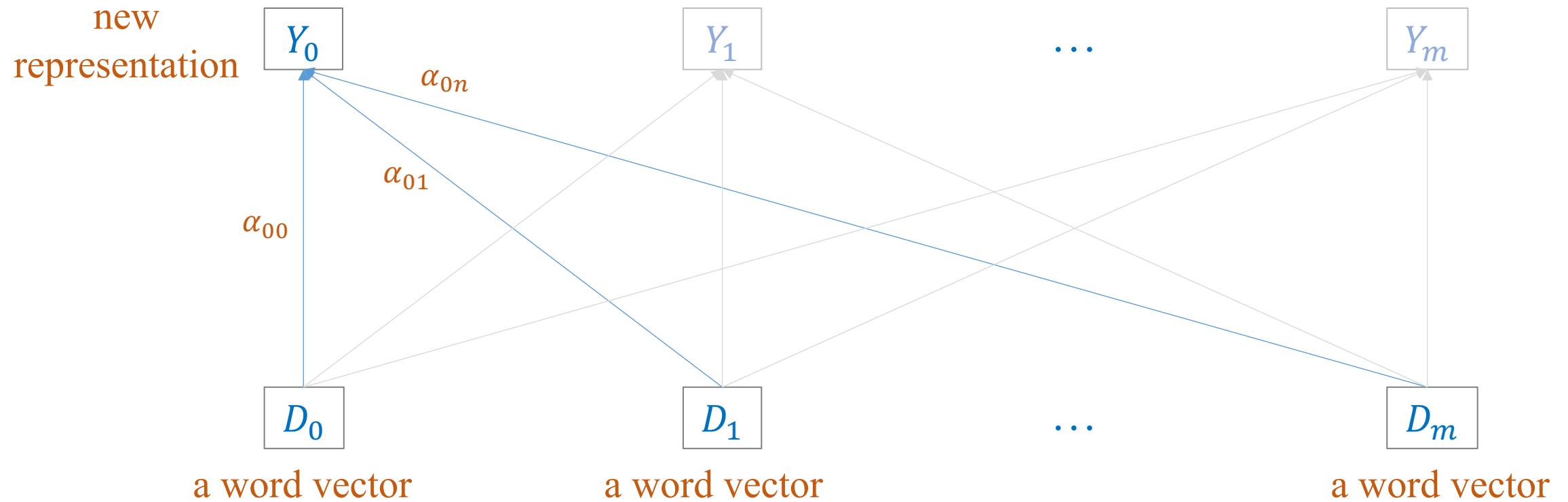
$$\begin{pmatrix} (\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ (\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ (\alpha_{n0}, \alpha_{n1}, \dots, \alpha_{nn}) \end{pmatrix}$$

$$\begin{pmatrix} \text{softmax}(\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ \text{softmax}(\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ \text{softmax}(\alpha_{n0}, \alpha_{n1}, \dots, \alpha_{nn}) \end{pmatrix}$$

normalization

1

$$\alpha = \text{softmax}(DD^T)$$



$$Y_0 = \alpha_{00}D_0 + \alpha_{01}D_1 + \dots + \alpha_{0n}D_n$$

weight: determine how much X_0 contributes to Y_0

$$\begin{pmatrix} (\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ (\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ (\alpha_{m0}, \alpha_{m1}, \dots, \alpha_{nn}) \end{pmatrix}$$

$$\begin{pmatrix} \text{softmax}(\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ \text{softmax}(\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ \text{softmax}(\alpha_{n0}, \alpha_{n1}, \dots, \alpha_{nn}) \end{pmatrix}$$

weight vector

2

$$\alpha = \text{softmax}\left(\frac{DD^T}{\sqrt{d}}\right)$$

Context Awareness

❖ Contextualized representation

$$Y_0 = \alpha_{00}D_0 + \alpha_{01}D_1 + \dots + \alpha_{0n}D_n$$

weight: determine how much X_0 contributes to Y_0

$$\begin{pmatrix} (\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ (\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ (\alpha_{n0}, \alpha_{n1}, \dots, \alpha_{nn}) \end{pmatrix}$$

$$\begin{pmatrix} \text{softmax}(\alpha_{00}, \alpha_{01}, \dots, \alpha_{0n}) \\ \text{softmax}(\alpha_{10}, \alpha_{11}, \dots, \alpha_{1n}) \\ \dots \\ \text{softmax}(\alpha_{n0}, \alpha_{n1}, \dots, \alpha_{nn}) \end{pmatrix}$$

weight vector

$$\alpha = \text{softmax}\left(\frac{DD^T}{\sqrt{d}}\right)$$

$$Y = \begin{bmatrix} \alpha_{0i} & \alpha_{01} & \dots & \alpha_{0n} \\ \alpha_{1i} & \alpha_{11} & \dots & \alpha_{1n} \\ \dots & & & \\ \alpha_{ni} & \alpha_{n1} & \dots & \alpha_{nn} \end{bmatrix} \begin{bmatrix} D_0 \\ D_1 \\ \dots \\ D_n \end{bmatrix} = \begin{bmatrix} Y_0 \\ Y_1 \\ \dots \\ Y_n \end{bmatrix}$$

Contextualized representation

$$Y = \alpha D = \text{softmax}\left(\frac{DD^T}{\sqrt{d}}\right)D$$

❖ Implementation

❖ Implementation

```
1 class MyMultiheadAttention(nn.Module):
2     def __init__(self, embed_dim):
3         super(MyMultiheadAttention, self).__init__()
4         self.embed_dim = embed_dim
5
6     def forward(self, query, key, value):
7         scores = torch.matmul(query, key.transpose(-2, -1)) / (self.embed_dim ** 0.5)
8         attention_weights = F.softmax(scores, dim=-1)
9         output = torch.matmul(attention_weights, value)
10
11    return output
12
13 class TransformerTextCls(nn.Module):
14     def __init__(self, vocab_size, max_length, embed_dim, dropout, device):
15         super().__init__()
16         self.word_emb = nn.Embedding(num_embeddings=vocab_size, embedding_dim=embed_dim)
17         self.attn = MyMultiheadAttention(embed_dim=embed_dim)
18         self.fc = nn.Linear(in_features=200*32, out_features=2)
19
20     def forward(self, x):
21         output = self.word_emb(x)
22         query, key, value = output, output, output
23         attn_output = self.attn(query, key, value)
24
25         output = nn.Flatten()(output)
26         output = self.fc(output)
27         return output
```

$$X = \begin{bmatrix} X_0 \\ X_1 \\ \dots \\ X_n \end{bmatrix} \in \mathcal{R}^{n \times d}$$

$$W_Q = [\theta_{q0} \quad \theta_{q1} \dots \quad \theta_{qm}] \in \mathcal{R}^{d \times m}$$

$$W_K = [\theta_{k0} \quad \theta_{k1} \dots \quad \theta_{km}] \in \mathcal{R}^{d \times m}$$

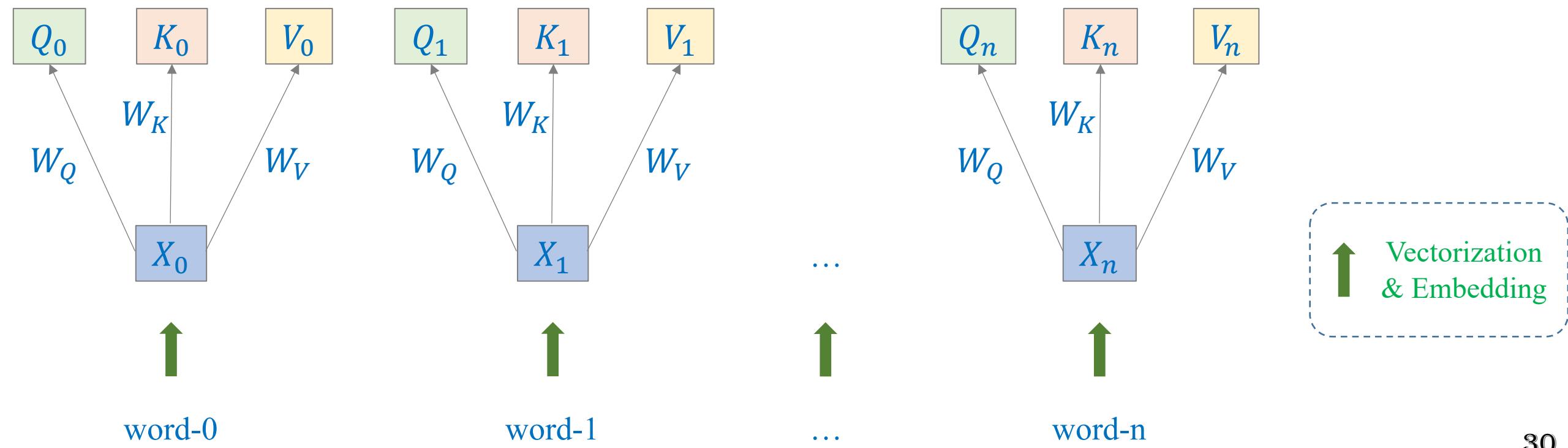
$$W_V = [\theta_{v0} \quad \theta_{v1} \dots \quad \theta_{vm}] \in \mathcal{R}^{d \times m}$$

$$W_O = [\theta_0 \quad \theta_1 \dots \quad \theta_o] \in \mathcal{R}^{m \times o}$$

$$\text{Query } Q = XW_Q \in \mathcal{R}^{n \times m}$$

$$\text{Key } K = XW_K \in \mathcal{R}^{n \times m}$$

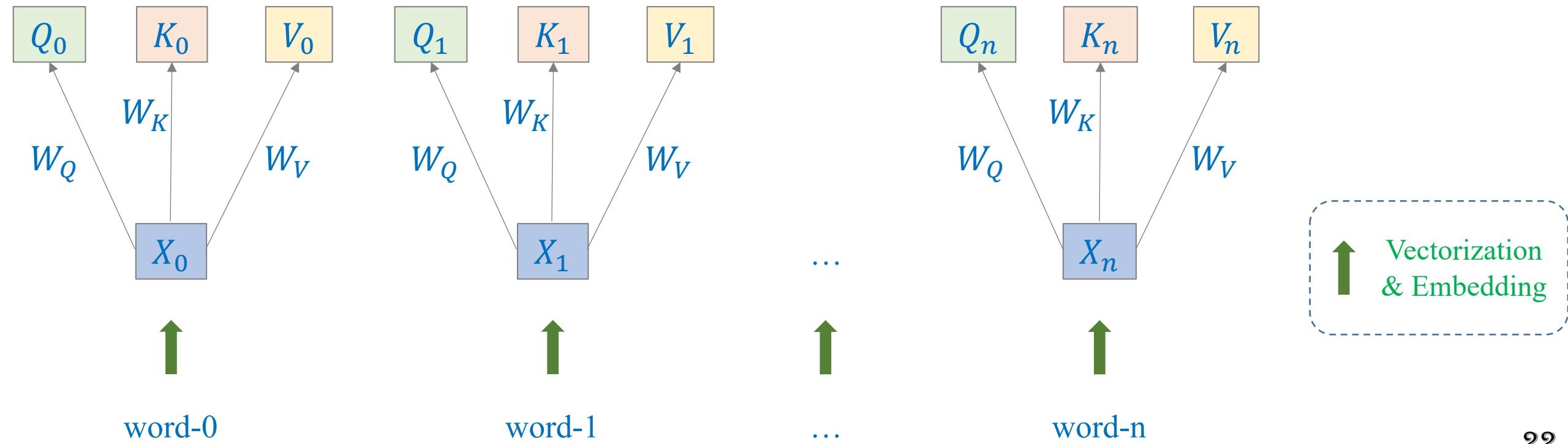
$$\text{Value } V = XW_V \in \mathcal{R}^{n \times m}$$



Contextualized representation

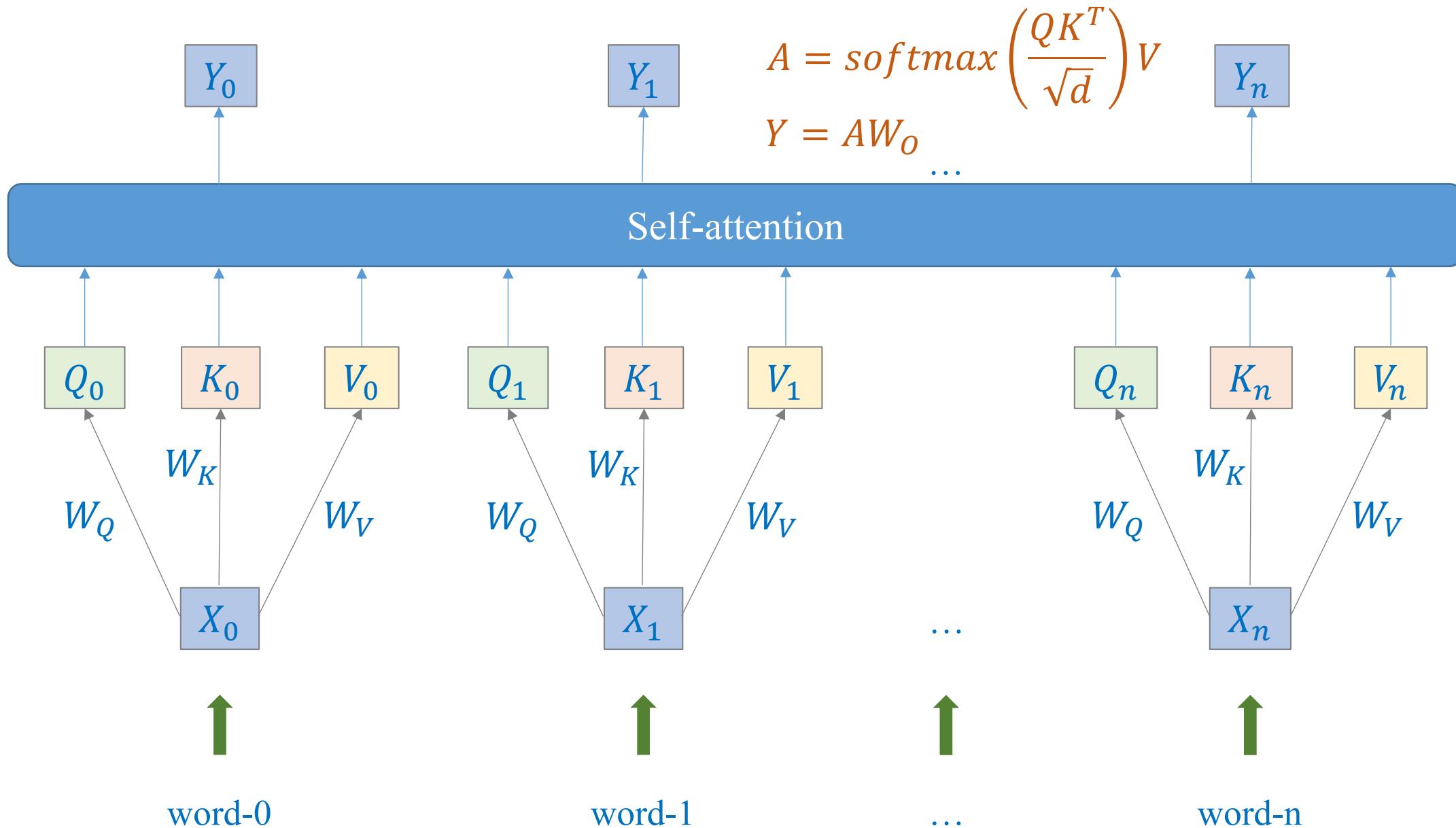
$$Y = \alpha D = \text{softmax}\left(\frac{DD^T}{\sqrt{d}}\right)D$$

$$Y = \text{softmax}\left(\frac{QK^T}{\sqrt{d}}\right)V$$



Self-Attention

```
x = torch.tensor([[[[-0.1, 0.1, 0.3], [ 0.4, -1.1, -0.3]]]])  
layer = nn.MultiheadAttention(embed_dim=3, num_heads=1, batch_first=True)  
output_tensor, attn_output_weights = layer(query=x, key=x, value=x)
```



Self-Attention

❖ Example 1

$$X = [-0.1 \ 0.1 \ 0.3]$$

$$W_Q = \begin{bmatrix} -0.35 & 0.51 & 0.50 \\ 0.36 & -0.47 & -0.29 \\ -0.51 & -0.14 & -0.56 \end{bmatrix}$$

$$W_V = \begin{bmatrix} -0.41 & 0.39 & -0.65 \\ -0.40 & -0.07 & -0.34 \\ -0.55 & -0.13 & -0.29 \end{bmatrix}$$

$$W_K = \begin{bmatrix} -0.49 & -0.68 & 0.18 \\ -0.44 & -0.46 & 0.18 \\ 0.07 & -0.10 & 0.44 \end{bmatrix}$$

$$W_O = \begin{bmatrix} -0.36 & -0.08 & 0.32 \\ 0.27 & 0.05 & 0.15 \\ -0.05 & -0.28 & 0.05 \end{bmatrix}$$

$$Q = XW_Q = [-0.1 \ 0.1 \ 0.3] \begin{bmatrix} -0.35 & 0.51 & 0.50 \\ 0.36 & -0.47 & -0.29 \\ -0.51 & -0.14 & -0.56 \end{bmatrix} = [-0.08 \ -0.14 \ -0.24]$$

$$K = XW_K = [-0.1 \ 0.1 \ 0.3] \begin{bmatrix} -0.49 & -0.68 & 0.18 \\ -0.44 & -0.46 & 0.18 \\ 0.07 & -0.10 & 0.44 \end{bmatrix} = [0.02 \ -0.01 \ 0.13]$$

$$V = XW_V = [-0.1 \ 0.1 \ 0.3] \begin{bmatrix} -0.41 & 0.39 & -0.65 \\ -0.40 & -0.07 & -0.34 \\ -0.55 & -0.13 & -0.29 \end{bmatrix} = [-0.16 \ -0.08 \ -0.05]$$

Self-Attention

❖ Example 1

approximately

$$\begin{aligned} A &= \text{softmax}\left(\frac{QK^T}{\sqrt{d}}\right)V \\ &= \text{softmax}([-0.08 \ -0.14 \ -0.24]) \begin{bmatrix} 0.02 \\ -0.01 \\ 0.13 \end{bmatrix} \frac{1}{\sqrt{d}} [-0.16 \ -0.08 \ -0.05] \\ &= \text{softmax}([-0.0198]) [-0.16 \ -0.08 \ -0.05] \\ &= [-0.16 \ -0.08 \ -0.05] \end{aligned}$$

$$Y = AW_O = [-0.16 \ -0.08 \ -0.05] \begin{bmatrix} -0.36 & -0.08 & 0.32 \\ 0.27 & 0.05 & 0.15 \\ -0.05 & -0.28 & 0.05 \end{bmatrix} = [-0.16 \ -0.08 \ -0.05]$$

Self-Attention

❖ Example 2

$$W_Q = \begin{bmatrix} -0.35 & 0.51 & 0.50 \\ 0.36 & -0.47 & -0.29 \\ -0.51 & -0.14 & -0.56 \end{bmatrix}$$

$$W_K = \begin{bmatrix} -0.49 & -0.68 & 0.18 \\ -0.44 & -0.46 & 0.18 \\ 0.07 & -0.10 & 0.44 \end{bmatrix}$$

$$W_V = \begin{bmatrix} -0.41 & 0.39 & -0.65 \\ -0.40 & -0.07 & -0.34 \\ -0.55 & -0.13 & -0.29 \end{bmatrix}$$

$$W_O = \begin{bmatrix} -0.36 & -0.08 & 0.32 \\ 0.27 & 0.05 & 0.15 \\ -0.05 & -0.28 & 0.05 \end{bmatrix}$$

$$X = \begin{bmatrix} -0.1 & 0.1 & 0.3 \\ 0.4 & -1.1 & -0.3 \end{bmatrix}$$

$$A = \text{sigmoid}\left(\frac{QK^T}{\sqrt{d}}\right)V$$

$$Q = XW_Q = \begin{bmatrix} -0.1 & 0.1 & 0.3 \\ 0.4 & -1.1 & -0.3 \end{bmatrix} \begin{bmatrix} -0.35 & 0.51 & 0.50 \\ 0.36 & -0.47 & -0.29 \\ -0.51 & -0.14 & -0.56 \end{bmatrix}$$

$$= \begin{bmatrix} -0.08 & -0.14 & -0.24 \\ -0.39 & 0.77 & 0.69 \end{bmatrix}$$

$$K = XW_K = \begin{bmatrix} -0.1 & 0.1 & 0.3 \\ 0.4 & -1.1 & -0.3 \end{bmatrix} \begin{bmatrix} -0.49 & -0.68 & 0.18 \\ -0.44 & -0.46 & 0.18 \\ 0.07 & -0.10 & 0.44 \end{bmatrix}$$

$$= \begin{bmatrix} 0.02 & -0.01 & 0.13 \\ 0.27 & 0.27 & -0.26 \end{bmatrix}$$

$$V = XW_V = \begin{bmatrix} -0.1 & 0.1 & 0.3 \\ 0.4 & -1.1 & -0.3 \end{bmatrix} \begin{bmatrix} -0.41 & 0.39 & -0.65 \\ -0.40 & -0.07 & -0.34 \\ -0.55 & -0.13 & -0.29 \end{bmatrix}$$

$$= \begin{bmatrix} -0.16 & -0.08 & -0.05 \\ 0.45 & 0.27 & 0.20 \end{bmatrix}$$

Self-Attention

❖ Example 2

approximately

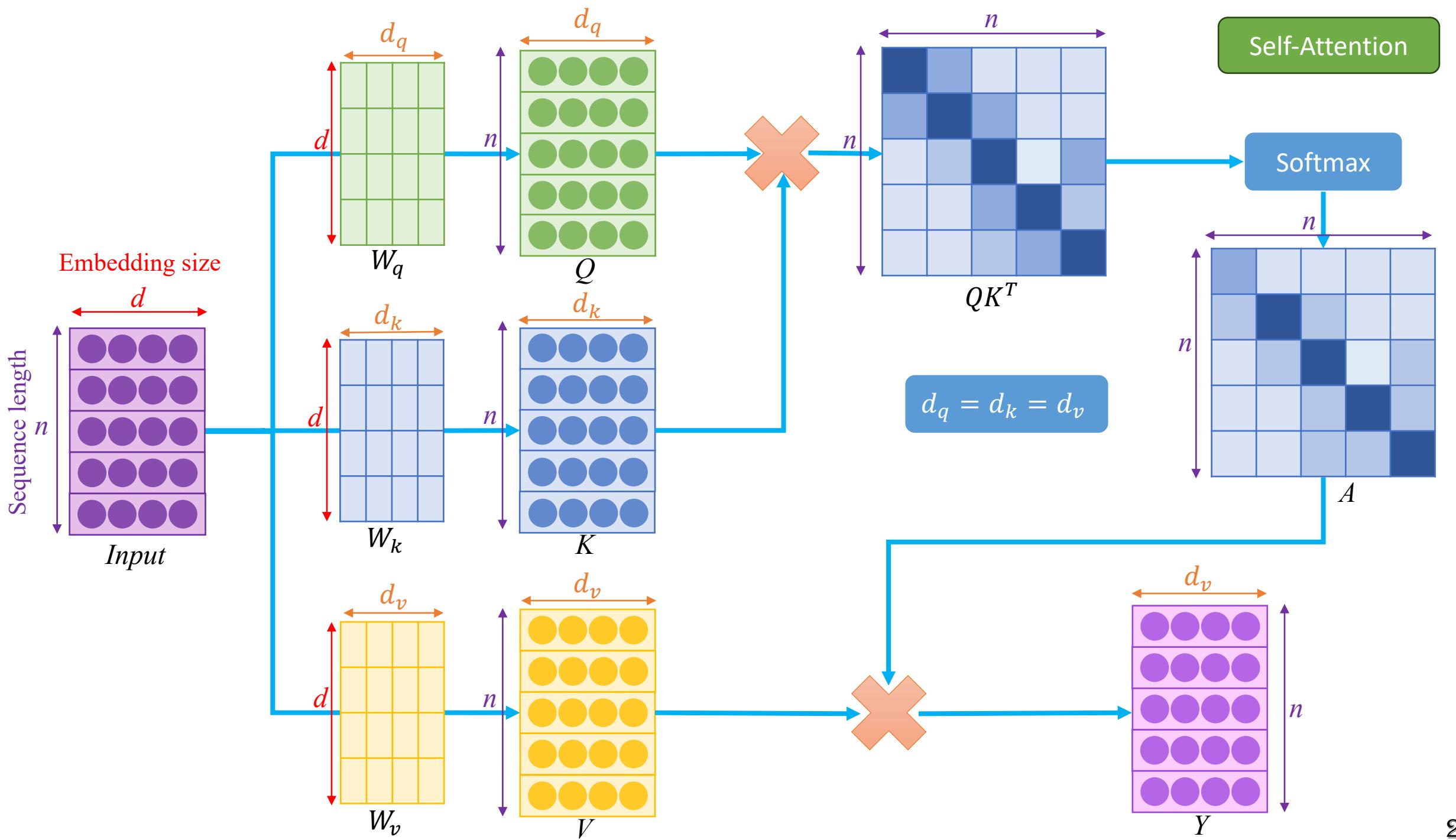
$$A = \text{softmax} \left(\frac{QK^T}{\sqrt{d}} \right) V$$

$$= \text{softmax} \left(\begin{bmatrix} -0.08 & -0.14 & -0.24 \\ -0.39 & 0.77 & 0.69 \end{bmatrix} \begin{bmatrix} 0.02 \\ -0.01 \\ 0.13 \end{bmatrix} \begin{bmatrix} 0.27 \\ 0.27 \\ -0.26 \end{bmatrix} \frac{1}{\sqrt{d}} \right) \begin{bmatrix} -0.16 & -0.08 & -0.05 \\ 0.45 & 0.27 & 0.20 \end{bmatrix}$$

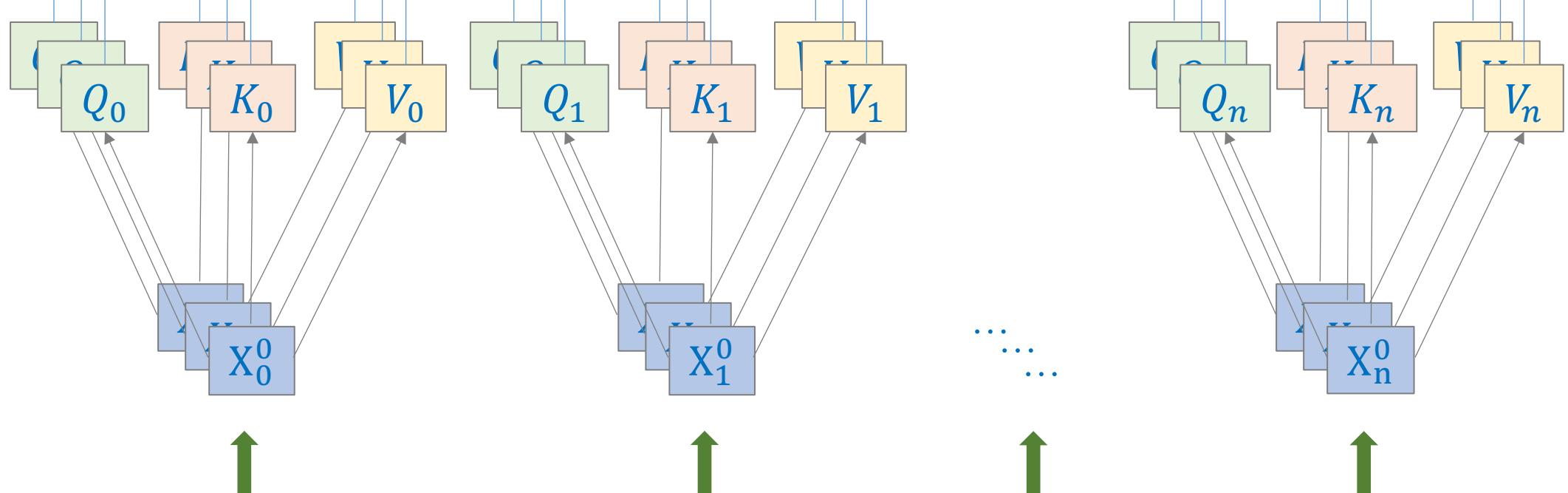
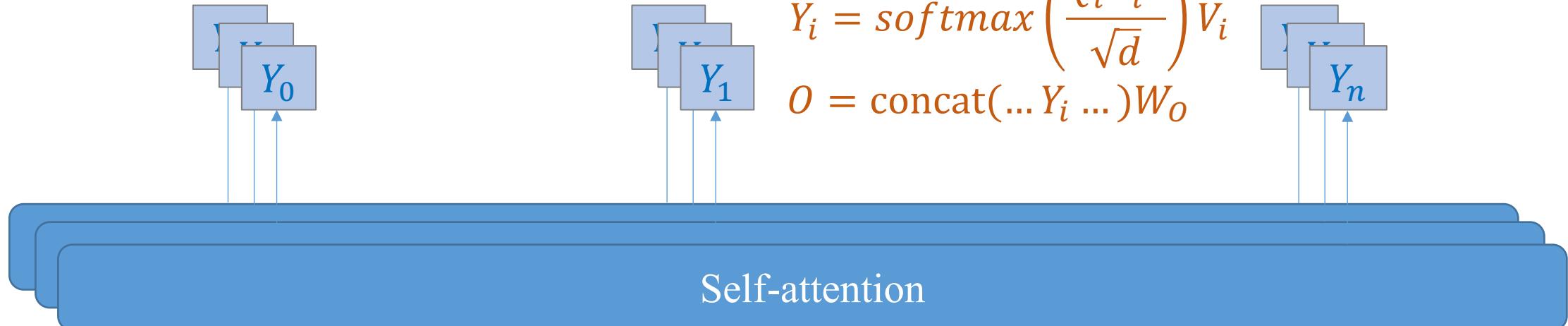
$$= \text{softmax} \left(\begin{bmatrix} -0.019 \\ 0.043 \end{bmatrix} \begin{bmatrix} 0.002 \\ -0.046 \end{bmatrix} \begin{bmatrix} -0.16 & -0.08 & -0.05 \\ 0.45 & 0.27 & 0.20 \end{bmatrix} \right)$$

$$= \begin{bmatrix} 0.49 \\ 0.52 \end{bmatrix} \begin{bmatrix} 0.51 \\ 0.48 \end{bmatrix} \begin{bmatrix} -0.16 & -0.08 & -0.05 \\ 0.45 & 0.27 & 0.20 \end{bmatrix} = \begin{bmatrix} 0.14 & 0.09 & 0.07 \\ 0.12 & 0.08 & 0.06 \end{bmatrix}$$

$$Y = AW_o = \begin{bmatrix} 0.14 & 0.09 & 0.07 \\ 0.12 & 0.08 & 0.06 \end{bmatrix} \begin{bmatrix} -0.36 & -0.08 & 0.32 \\ 0.27 & 0.05 & 0.15 \\ -0.05 & -0.28 & 0.05 \end{bmatrix} = \begin{bmatrix} -0.029 & -0.028 & 0.065 \\ -0.025 & -0.025 & 0.058 \end{bmatrix}$$



$$W_O = [\theta_0 \quad \theta_1 \dots \quad \theta_o] \in \mathcal{R}^{h*m \times o}$$



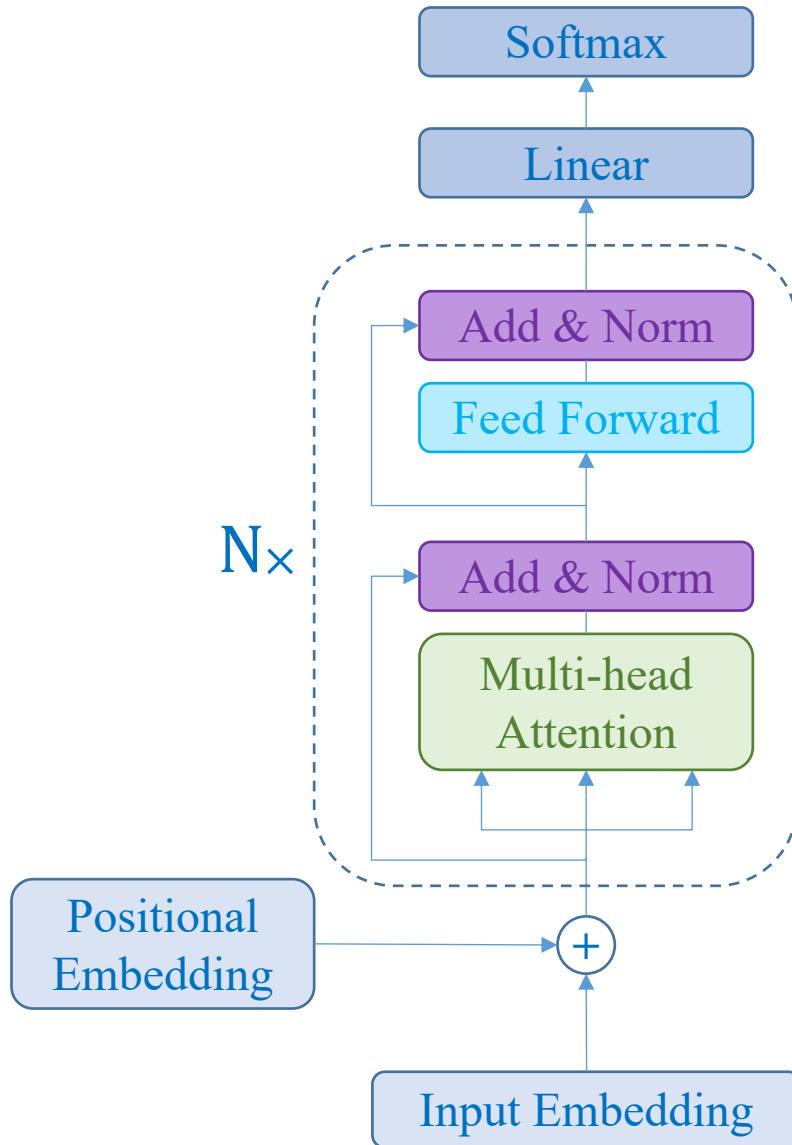
word-0

word-1

...

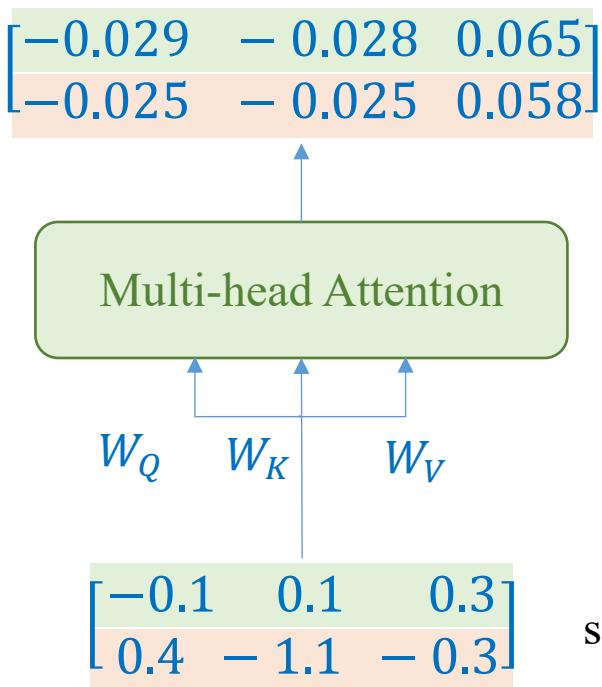
word-n

Transformer Model



```
1 class TransformerTextCls(nn.Module):
2     def __init__(self, vocab_size,
3                  max_length, embed_dim,
4                  num_heads, ff_dim):
5         super().__init__()
6         self.embed_layer = TokenAndPositionEmbedding(vocab_size,
7                                                       embed_dim,
8                                                       max_length)
9
10        self.transformer_layer = TransformerBlock(embed_dim,
11                                               num_heads,
12                                               ff_dim)
13
14        self.pooling = nn.AvgPool1d(kernel_size=max_length)
15        self.fc = nn.Linear(in_features=embed_dim,
16                           out_features=2)
17        self.relu = nn.ReLU()
18
19    def forward(self, x):
20        output = self.embed_layer(x)
21        output = self.transformer_layer(output, output, output)
22        output = self.pooling(output.permute(0,2,1)).squeeze()
23        output = self.fc(output)
24
25        return output
```

Transformer Block



bs = 1
sequence_length = 2
embed_dim = 3

$$W_Q = \begin{bmatrix} -0.35 & 0.51 & 0.50 \\ 0.36 & -0.47 & -0.29 \\ -0.51 & -0.14 & -0.56 \end{bmatrix}$$

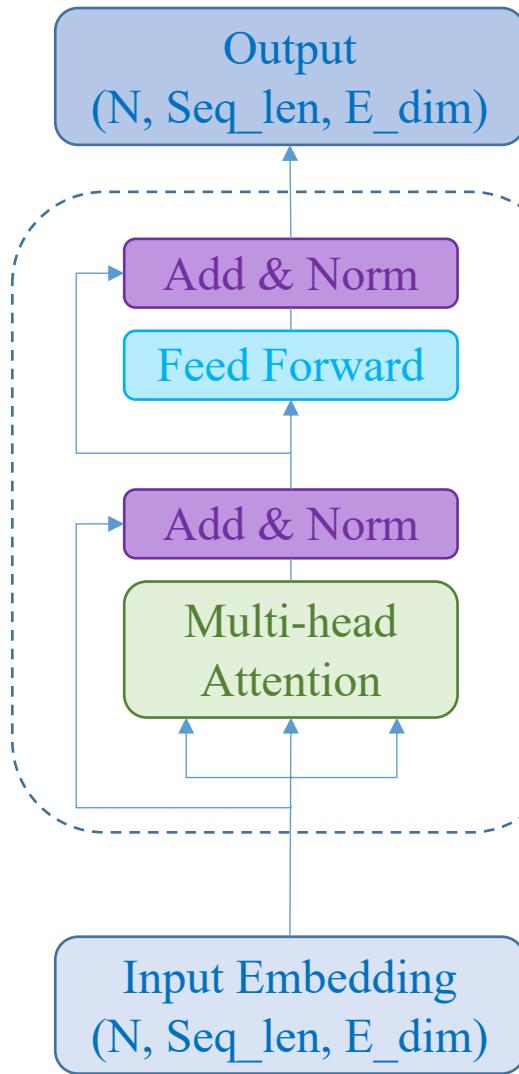
$$W_K = \begin{bmatrix} -0.49 & -0.68 & 0.18 \\ -0.44 & -0.46 & 0.18 \\ 0.07 & -0.10 & 0.44 \end{bmatrix}$$

$$W_V = \begin{bmatrix} -0.41 & 0.39 & -0.65 \\ -0.40 & -0.07 & -0.34 \\ -0.55 & -0.13 & -0.29 \end{bmatrix}$$

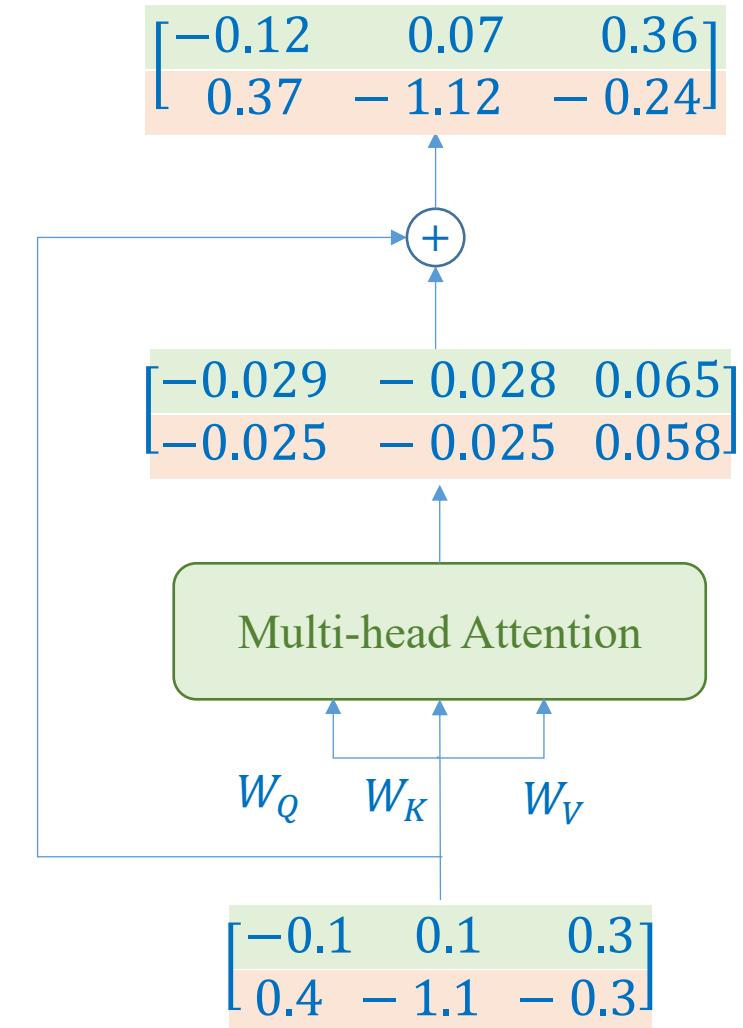
$$W_O = \begin{bmatrix} -0.36 & -0.08 & 0.32 \\ 0.27 & 0.05 & 0.15 \\ -0.05 & -0.28 & 0.05 \end{bmatrix}$$

$$Y = \text{sigmoid}\left(\frac{QK^T}{\sqrt{d}}\right)V$$

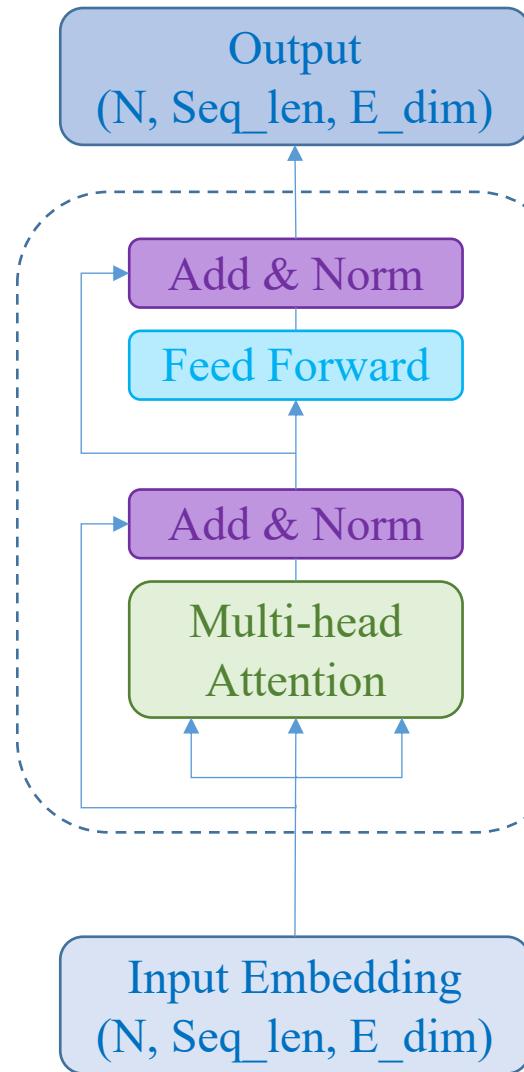
Transformer Block



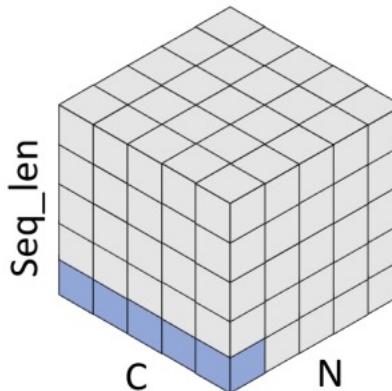
$$Y = \text{softmax} \left(\frac{QK^T}{\sqrt{d}} \right) V$$



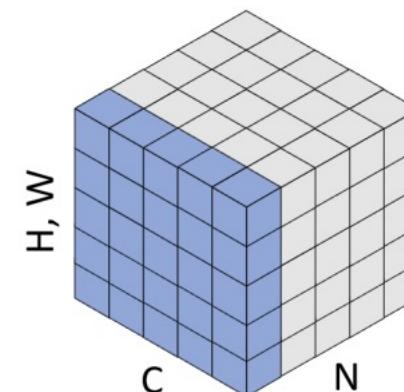
Transformer Block



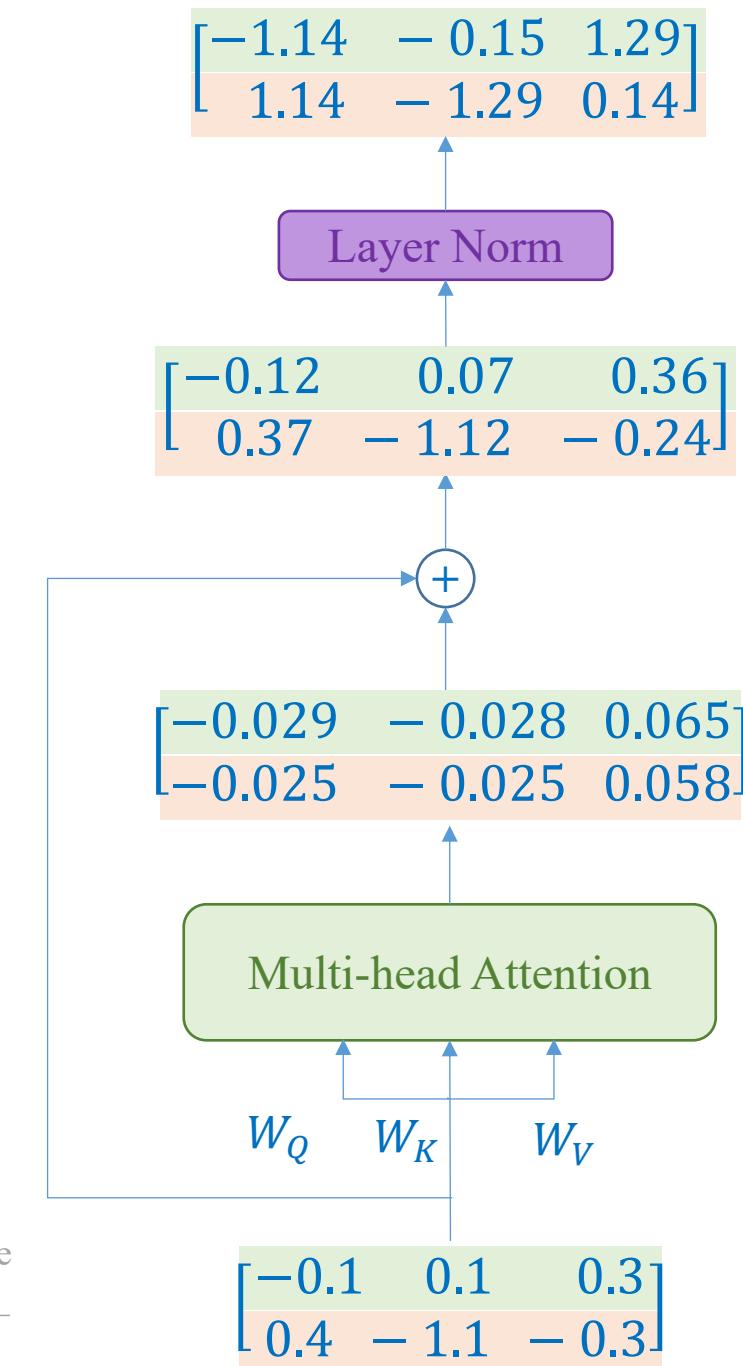
LN in Transformer



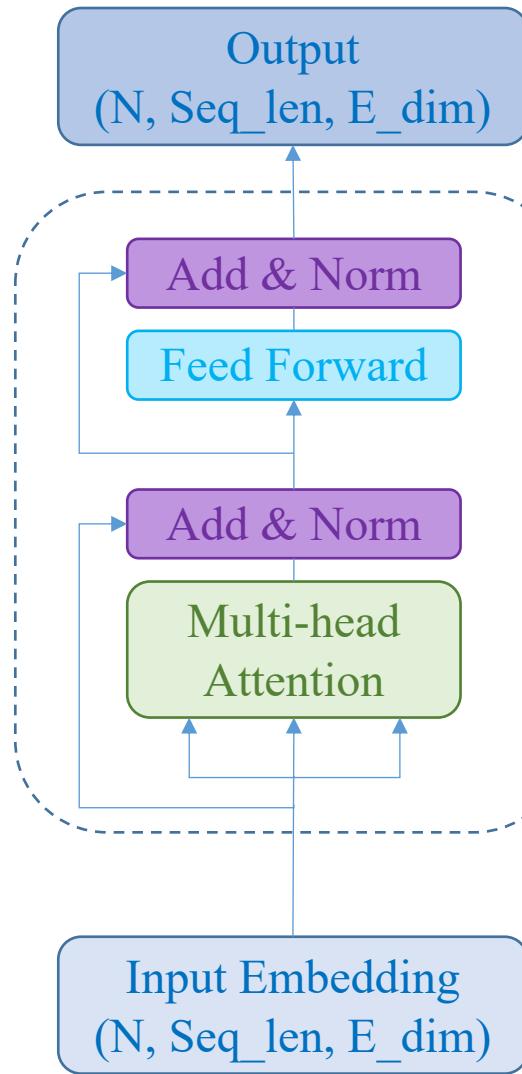
LN in CNNs



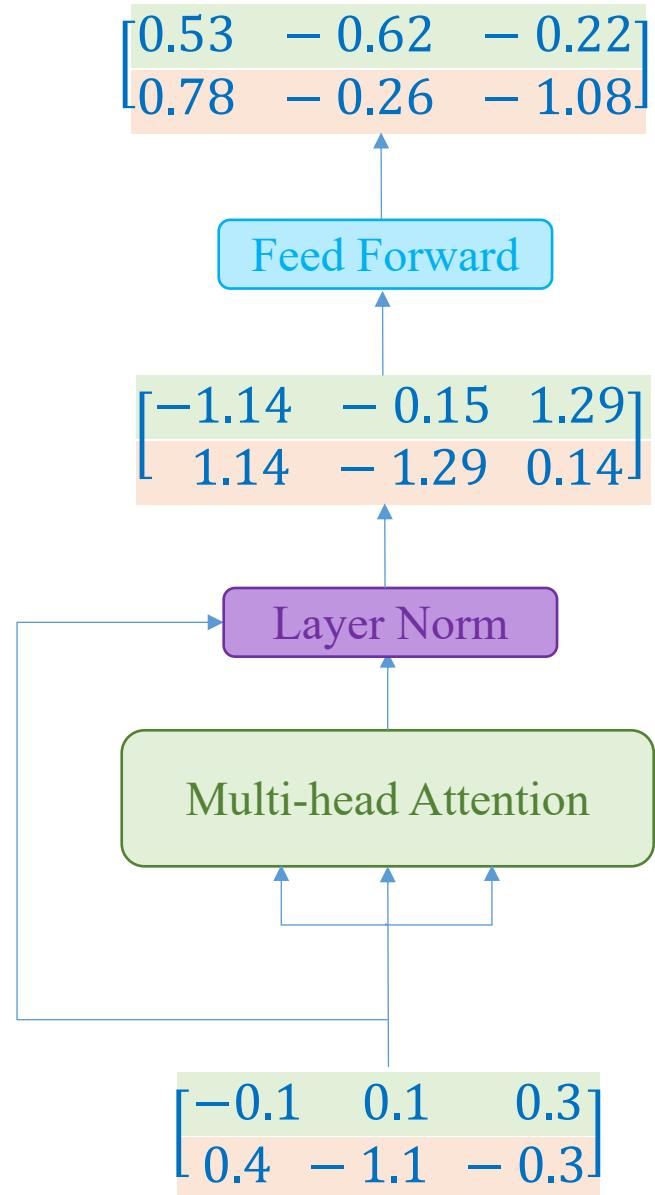
https://openaccess.thecvf.com/content/ICCV2021W/NeurArch/papers/Yao_Leveraging_Batch_Normalization_for_Vision_Transformers_ICCVW_2021_paper.pdf



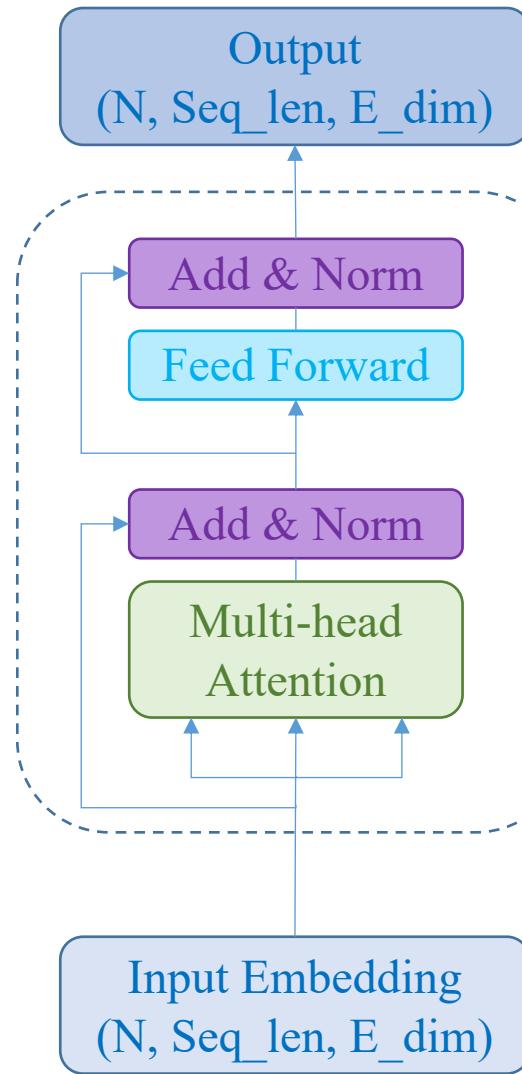
Transformer Block



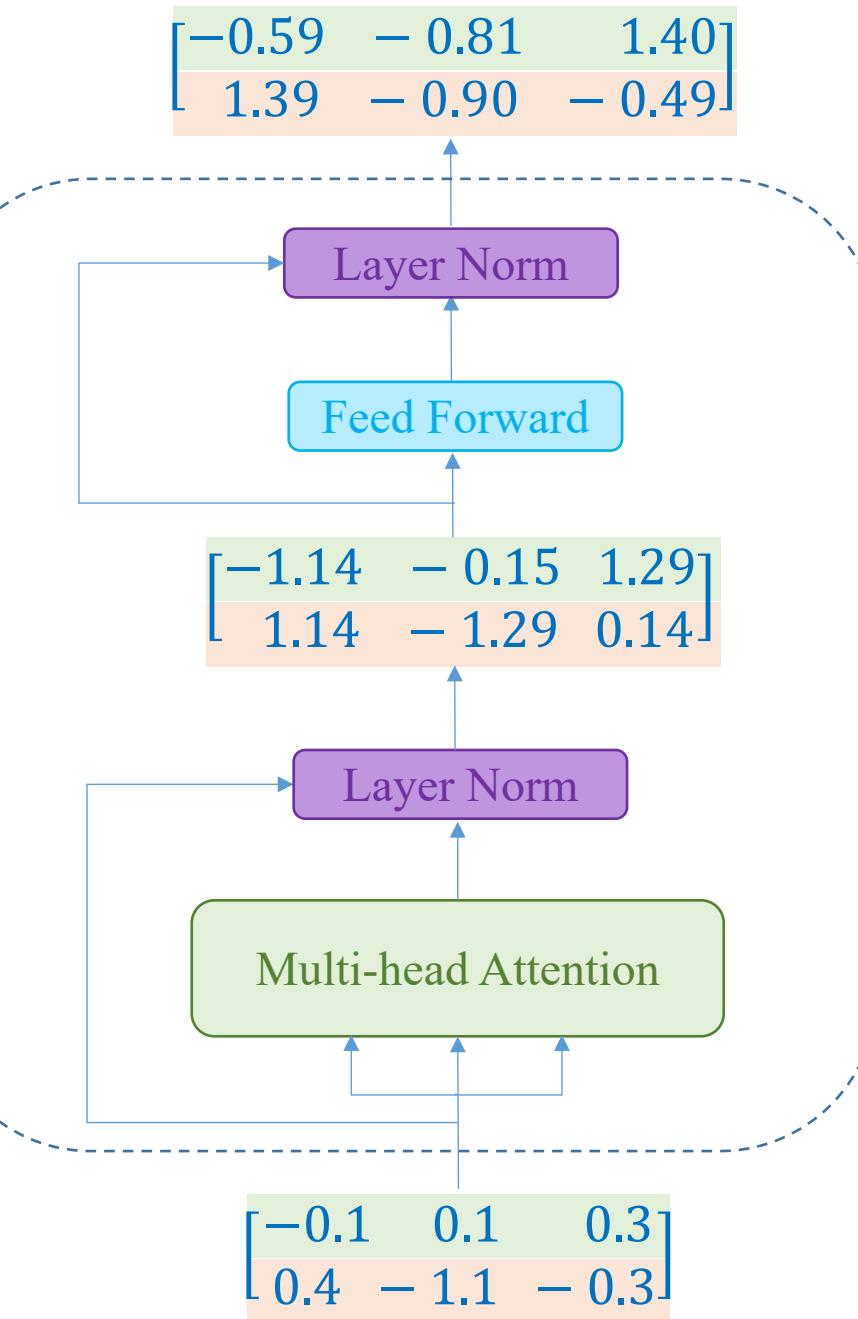
$$W = \begin{bmatrix} 0.15 & 0.39 & -0.34 \\ -0.41 & 0.54 & 0.49 \\ 0.50 & -0.07 & -0.41 \end{bmatrix}$$



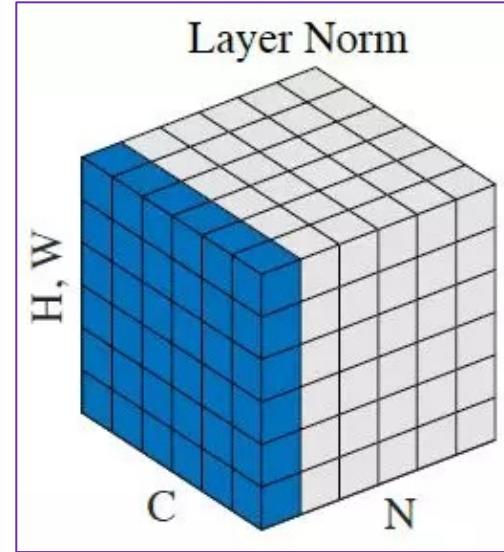
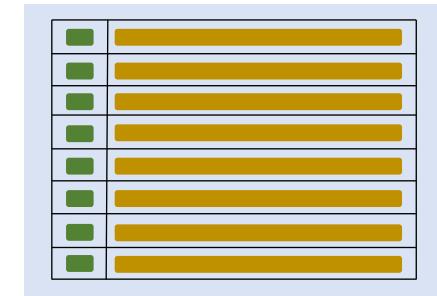
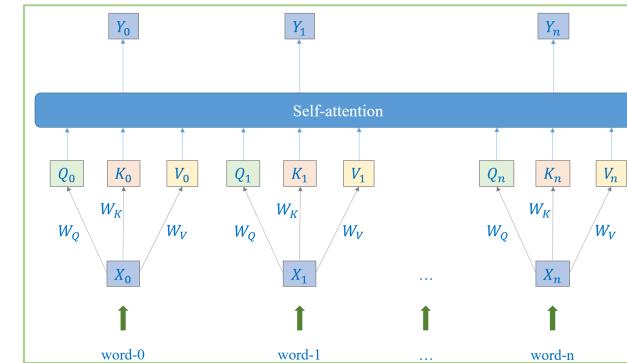
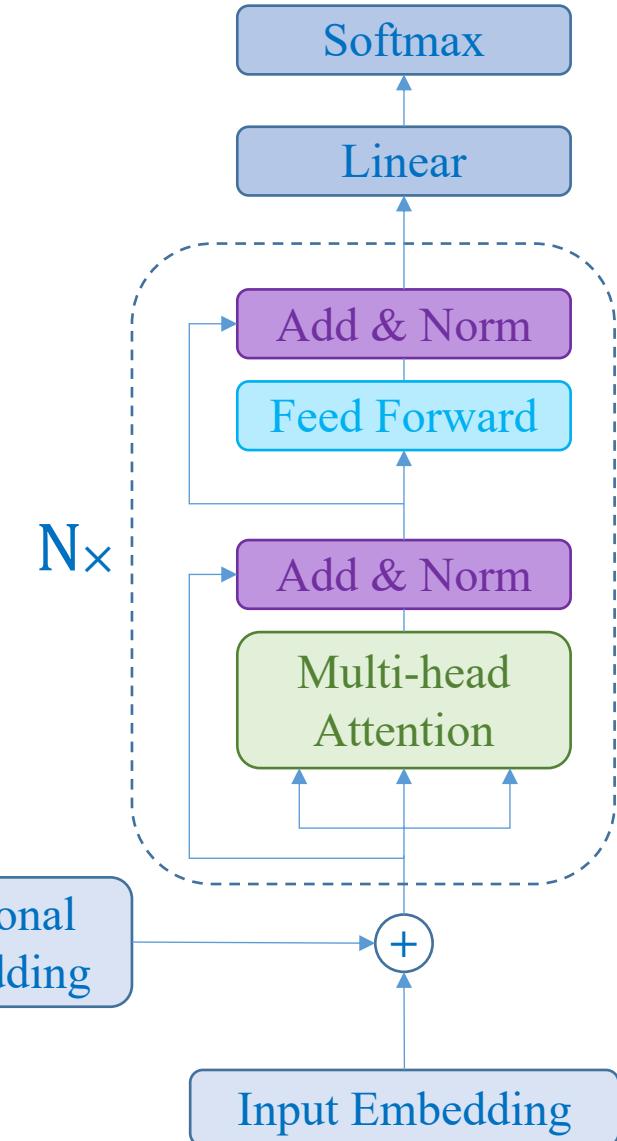
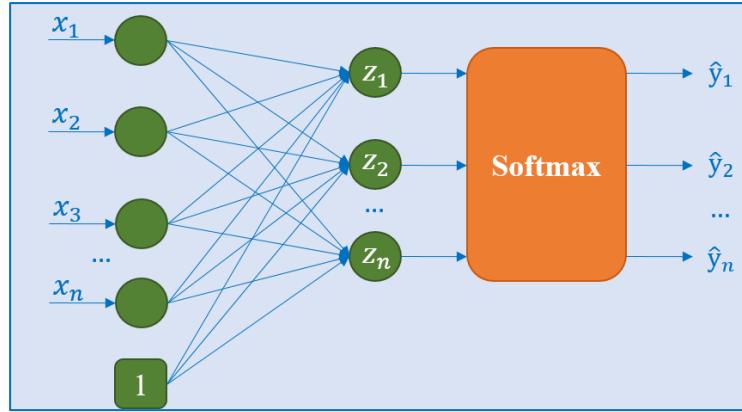
Transformer Block



bs = 1
sequence_length = 2
embed_dim = 3



Transformer Models for Text Classification



<https://arxiv.org/pdf/1803.08494.pdf>

Outline

SECTION 1

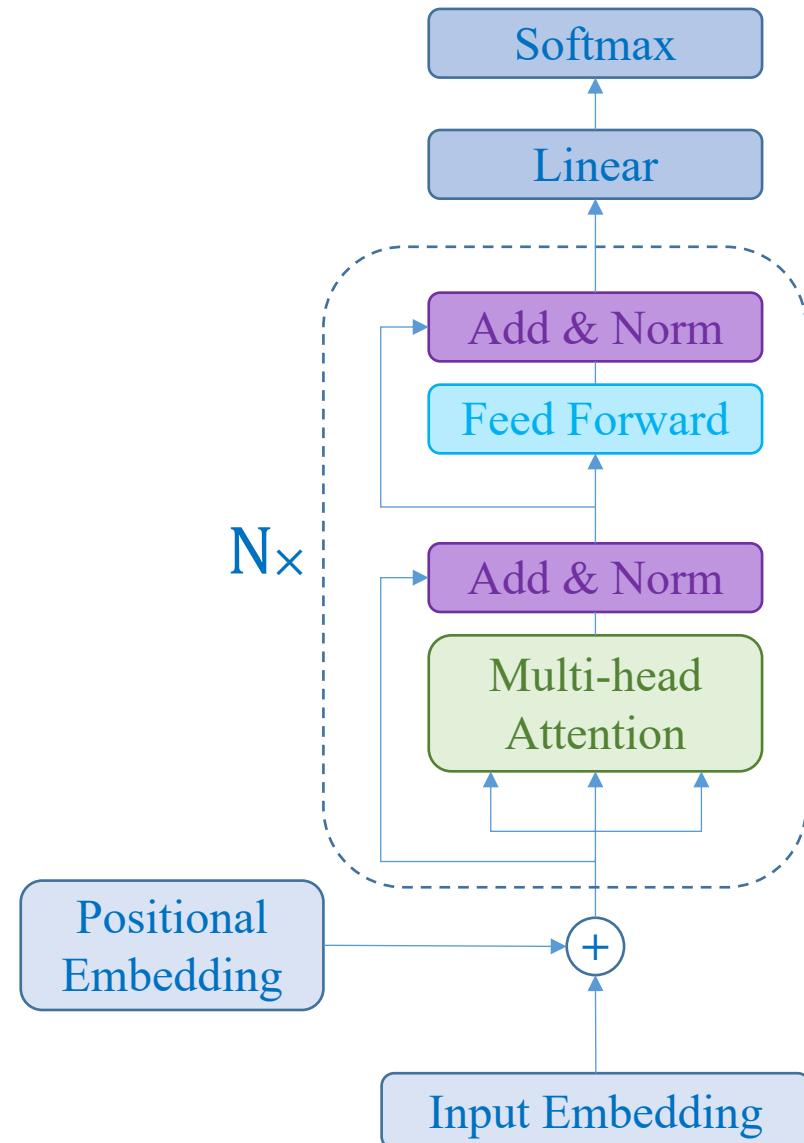
Text Classification

SECTION 2

RNN → Transformer

SECTION 3

Application



Text Classification

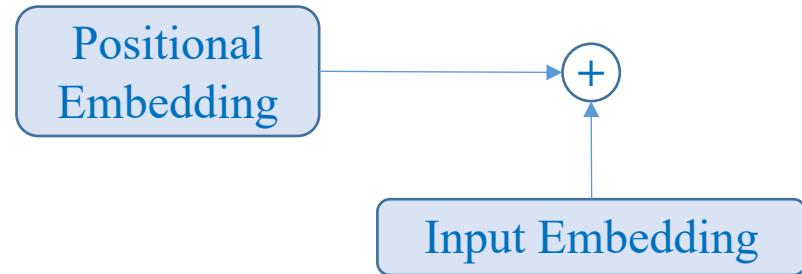
❖ IMDB dataset

- 50,000 movie review for sentiment analysis ([data](#))
- Consist of:
 - + 25,000 movie review for training
 - + 25,000 movie review for testing
- Label: positive – negative = 1 – 1

“A wonderful little production. The filming technique is very unassuming- very old-time-BBC fashion and gives a comforting, and sometimes discomforting, sense of realism to the entire piece ...”	positive
“This show was an amazing, fresh & innovative idea in the 70's when it first aired. The first 7 or 8 years were brilliant, but things dropped off after that. By 1990, the show was not really funny anymore, and it's continued its decline further to the complete waste of time it is today....”	negative
“I thought this was a wonderful way to spend time on a too hot summer weekend, sitting in the air conditioned theater and watching a light-hearted comedy. The plot is simplistic, but the dialogue is witty and the characters are likable (even the well bread suspected serial killer)....”	positive
“BTW Carver gets a very annoying sidekick who makes you wanna shoot him the first three minutes he's on screen.”	negative

Transformer Models for Text Classification

❖ Embedding

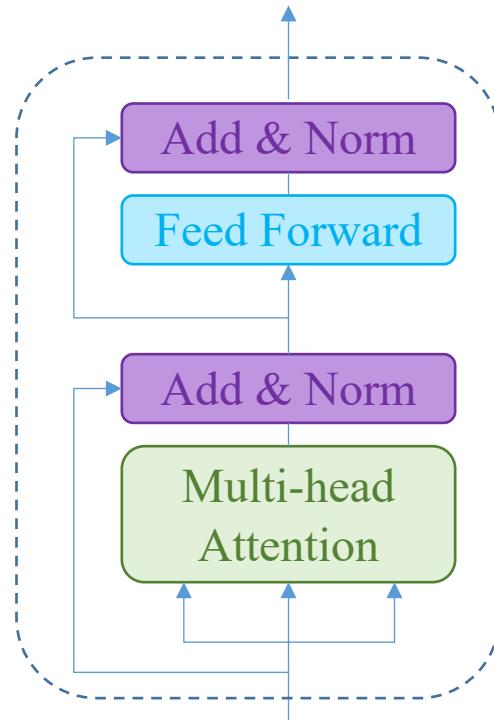


```
class TokenAndPositionEmbedding(nn.Module):
    def __init__(self, vocab_size, embed_dim, max_length):
        super().__init__()
        self.word_emb = nn.Embedding(num_embeddings=vocab_size,
                                    embedding_dim=embed_dim)
        self.pos_emb = nn.Embedding(num_embeddings=max_length,
                                    embedding_dim=embed_dim)

    def forward(self, x):
        N, seq_len = x.size()
        positions = torch.arange(0, seq_len).expand(N, seq_len)
        output1 = self.word_emb(x)
        output2 = self.pos_emb(positions)
        output = output1 + output2
        return output
```

Transformer Models for Text Classification

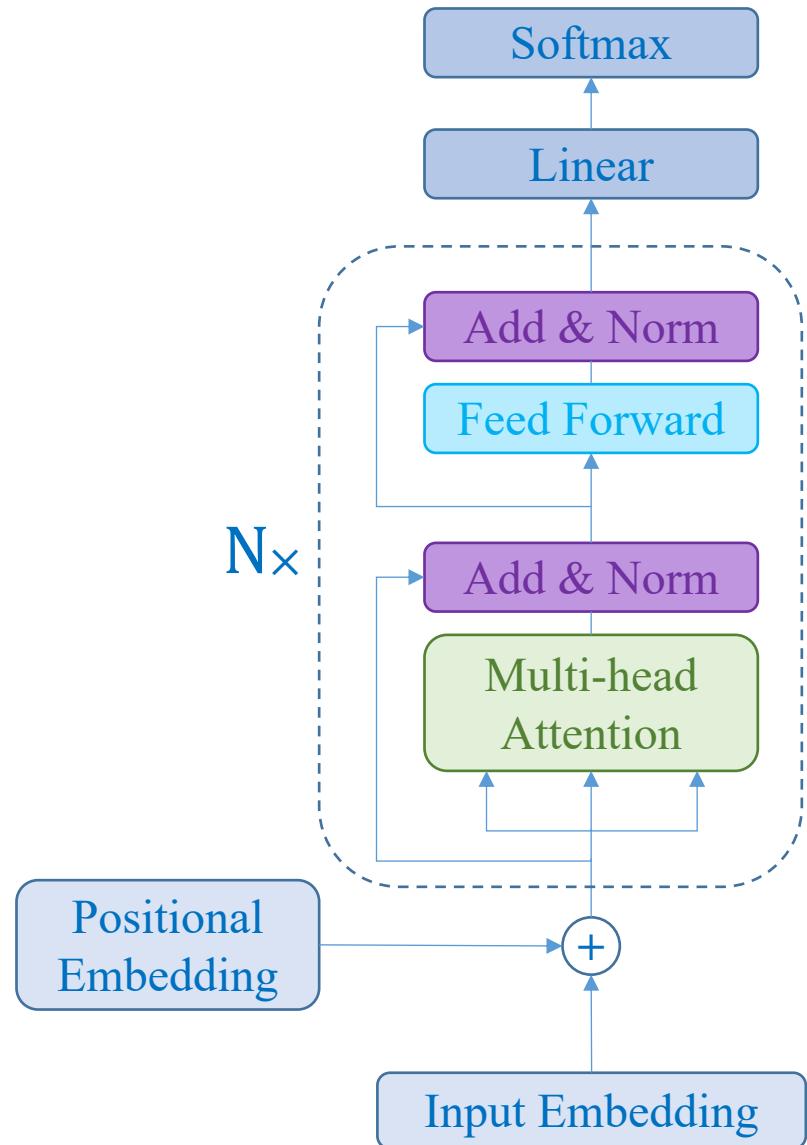
❖ Transformer block



```
class TransformerBlock(nn.Module):
    def __init__(self, embed_dim, num_heads, ff_dim, dropout):
        super().__init__()
        self.attn = nn.MultiheadAttention(embed_dim=embed_dim,
                                         num_heads=num_heads)
        self.ffn = nn.Linear(in_features=embed_dim,
                            out_features=ff_dim)
        self.layernorm_1 = nn.LayerNorm(normalized_shape=embed_dim)
        self.layernorm_2 = nn.LayerNorm(normalized_shape=embed_dim)

    def forward(self, query, key, value):
        attn_output, _ = self.attn(query, key, value)
        out_1 = self.layernorm_1(query + attn_output)
        ffn_output = self.ffn(out_1)
        out_2 = self.layernorm_2(out_1 + ffn_output)
        return out_2
```

Transformer Models for Text Classification



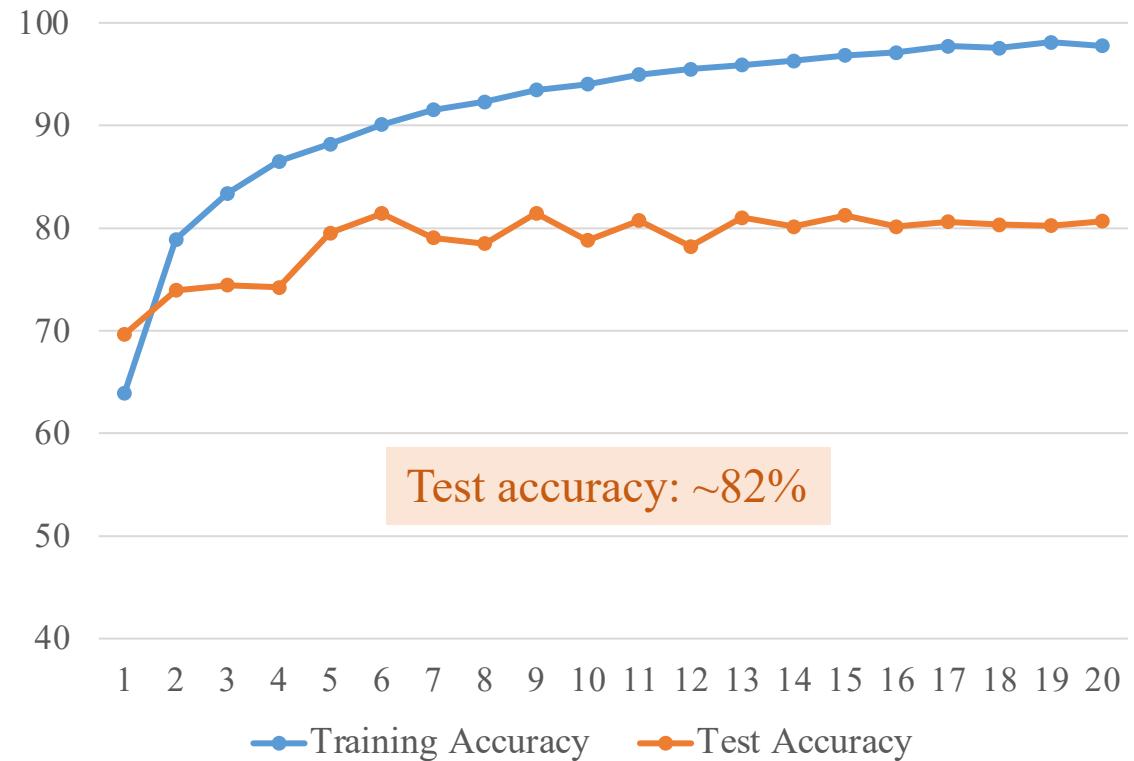
```
class TransformerTextCls(nn.Module):
    def __init__(self, vocab_size,
                 max_length, embed_dim,
                 num_heads, ff_dim,
                 dropout, device):
        super().__init__()
        self.embed_layer = TokenAndPositionEmbedding(vocab_size,
                                                      embed_dim,
                                                      max_length)
        self.transformer_layer = TransformerBlock(embed_dim,
                                                num_heads,
                                                ff_dim)
        self.pooling = nn.AvgPool1d(kernel_size=max_length)
        self.fc = nn.Linear(in_features=embed_dim,
                           out_features=2)
        self.relu = nn.ReLU()

    def forward(self, x):
        output = self.embed_layer(x)
        output = self.transformer_layer(output, output, output)
        output = self.pooling(output.permute(0,2,1)).squeeze()
        output = self.fc(output)

        return output
```

Transformer Models for Text Classification

❖ Results



Train Transformer from Scratch

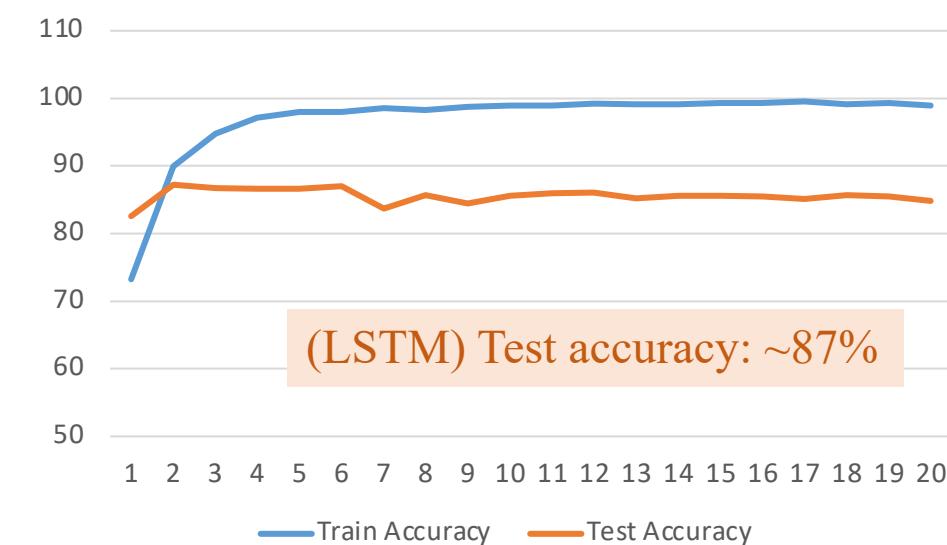
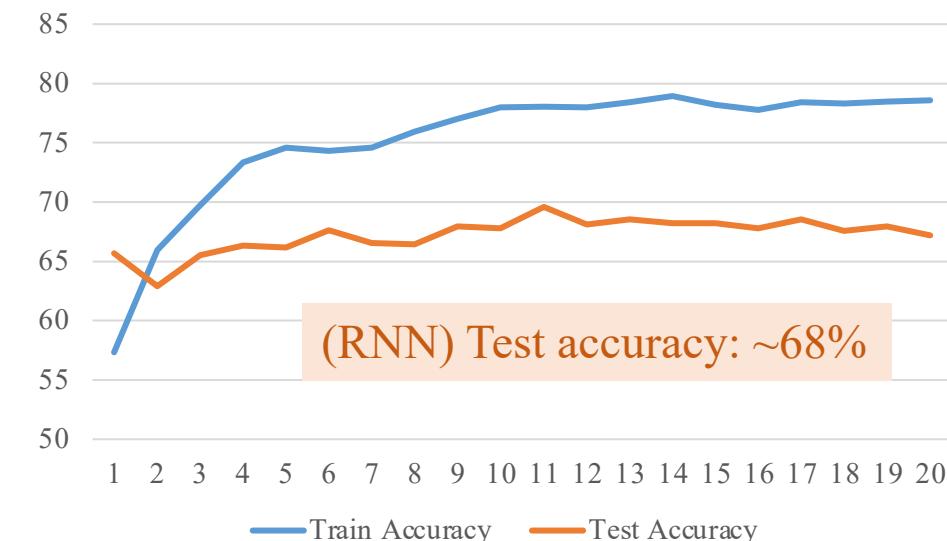
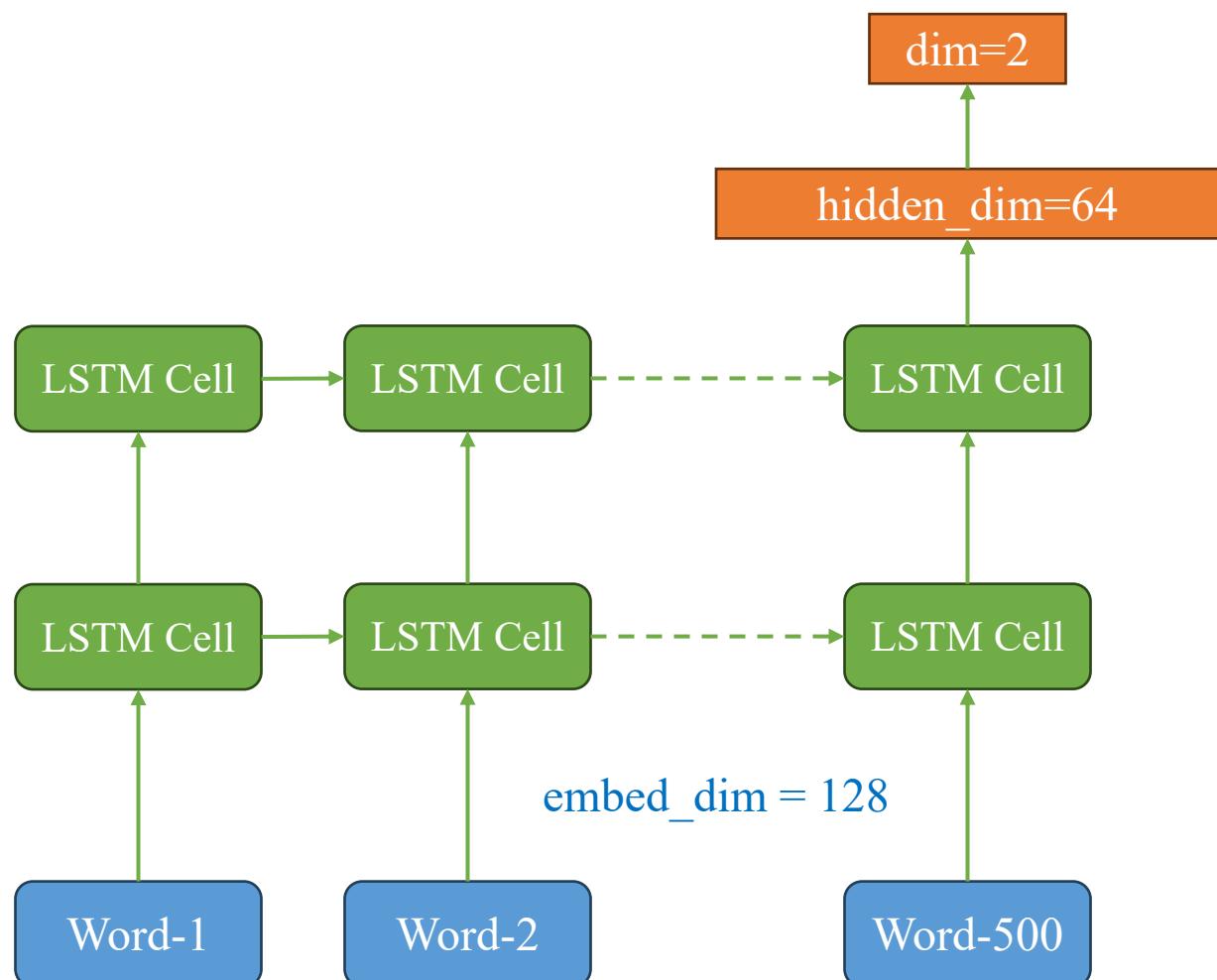
Epoch	Training Loss	Validation Loss	Accuracy
1	0.282000	0.216251	0.915120
2	0.149400	0.200550	0.929160
3	0.102600	0.250929	0.924840
4	0.055700	0.321075	0.930280
5	0.038100	0.344045	0.928200
6	0.021000	0.375231	0.928480
7	0.020200	0.384939	0.928680
8	0.010400	0.413715	0.930320
9	0.009400	0.428601	0.930840

transfer learning

```
{'eval_loss': 0.20054994523525238,  
 'eval_accuracy': 0.92916,  
 'eval_runtime': 253.348,  
 'eval_samples_per_second': 98.678,  
 'eval_steps_per_second': 3.087,  
 'epoch': 10.0}
```

Text Deep Models

Long short-term memory



Text Classification

❖ Tweets dataset

- Training samples: 7613
- Total Number of disaster tweets: 4342
- Total Number of non-disaster tweets: 3271

id	keyword	location	text	target
48	ablaze	Birmingham	@bbcmtd Wholesale Markets ablaze http://t.co/lH	1
49	ablaze	Est. September 2012 - Bri	We always try to bring the heavy. #metal #RT ht	0
144	accident	UK	.@NorwayMFA #Bahrain police had previously c	1
145	accident	Nairobi, Kenya	I still have not heard Church Leaders of Kenya co	0
146	aftershock	Instagram - @heyimginog	@afterShock_DeLo scuf ps live and the game... c	0
1669	bombing	London	Japan marks 70th anniversary of Hiroshima atomi	1
1670	bombing	United States	Japan marks 70th anniversary of Hiroshima atomi	1
6536	injury	beijing .China	Rory McIlroy to Test Ankle Injury in Weekend P	0
6537	injury	MISSING	CLEARED:incident with injury:I-495 inner loop	1

Text Classification

❖ Tweets dataset



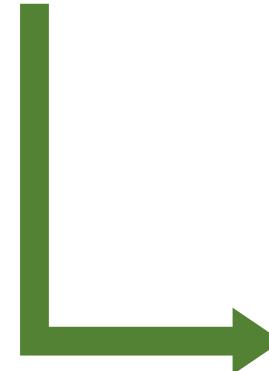
id	keyword	location	text	target
48	ablaze	Birmingham	@bbcmtd Wholesale Markets ablaze http://t.co/lF	1
49	ablaze	Est. September 2012 - Bri	We always try to bring the heavy. #metal #RT htt	0
144	accident	UK	.@NorwayMFA #Bahrain police had previously c	1
145	accident	Nairobi, Kenya	I still have not heard Church Leaders of Kenya co	0
146	aftershock	Instagram - @heyimginog	@afterShock_DeLo scuf ps live and the game... c	0
1669	bombing	London	Japan marks 70th anniversary of Hiroshima atomi	1
1670	bombing	United States	Japan marks 70th anniversary of Hiroshima atomi	1
6536	injury	beijing .China	Rory McIlroy to Test Ankle Injury in Weekend P	0
6537	injury	MISSING	CLEARED:incident with injury:I-495 inner loop	1

```

import pandas as pd
import numpy as np

df = pd.read_csv('train.csv')
df_shuffled = df.sample(frac=1,
                        random_state=42)

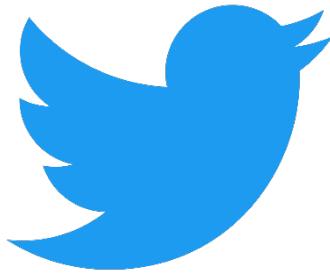
df_shuffled.drop(['id', "keyword", "location"],
                 axis=1, inplace=True)
df_shuffled.reset_index(inplace=True,
                        drop=True)
    
```



text	target
@bbcmtd Wholesale Markets ablaze http://t.co/lF	1
We always try to bring the heavy. #metal #RT htt	0
.@NorwayMFA #Bahrain police had previously c	1
I still have not heard Church Leaders of Kenya co	0
@afterShock_DeLo scuf ps live and the game... c	0
Japan marks 70th anniversary of Hiroshima atomi	1
Japan marks 70th anniversary of Hiroshima atomi	1
Rory McIlroy to Test Ankle Injury in Weekend P	0
CLEARED:incident with injury:I-495 inner loop	1

Text Classification

❖ Tweets dataset



id	keyword	location	text	target
48	ablaze	Birmingham	@bbcmtd Wholesale Markets ablaze http://t.co/lF	1
49	ablaze	Est. September 2012 - Bri	We always try to bring the heavy. #metal #RT htt	0
144	accident	UK	.@NorwayMFA #Bahrain police had previously c	1
145	accident	Nairobi, Kenya	I still have not heard Church Leaders of Kenya co	0
146	aftershock	Instagram - @heyimginog	@afterShock_DeLo scuf ps live and the game... c	0
1669	bombing	London	Japan marks 70th anniversary of Hiroshima atomi	1
1670	bombing	United States	Japan marks 70th anniversary of Hiroshima atomi	1
6536	injury	beijing .China	Rory McIlroy to Test Ankle Injury in Weekend P	0
6537	injury	MISSING	CLEARED:incident with injury:I-495 inner loop	1

```

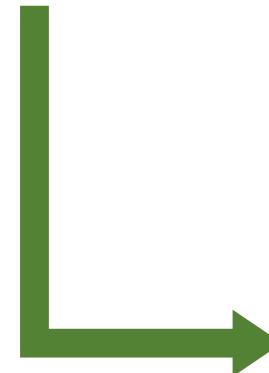
test_df = df_shuffled.sample(frac=0.1, random_state=42)
train_df = df_shuffled.drop(test_df.index)

train_df = train_df.to_numpy()
test_df = test_df.to_numpy()

# ...

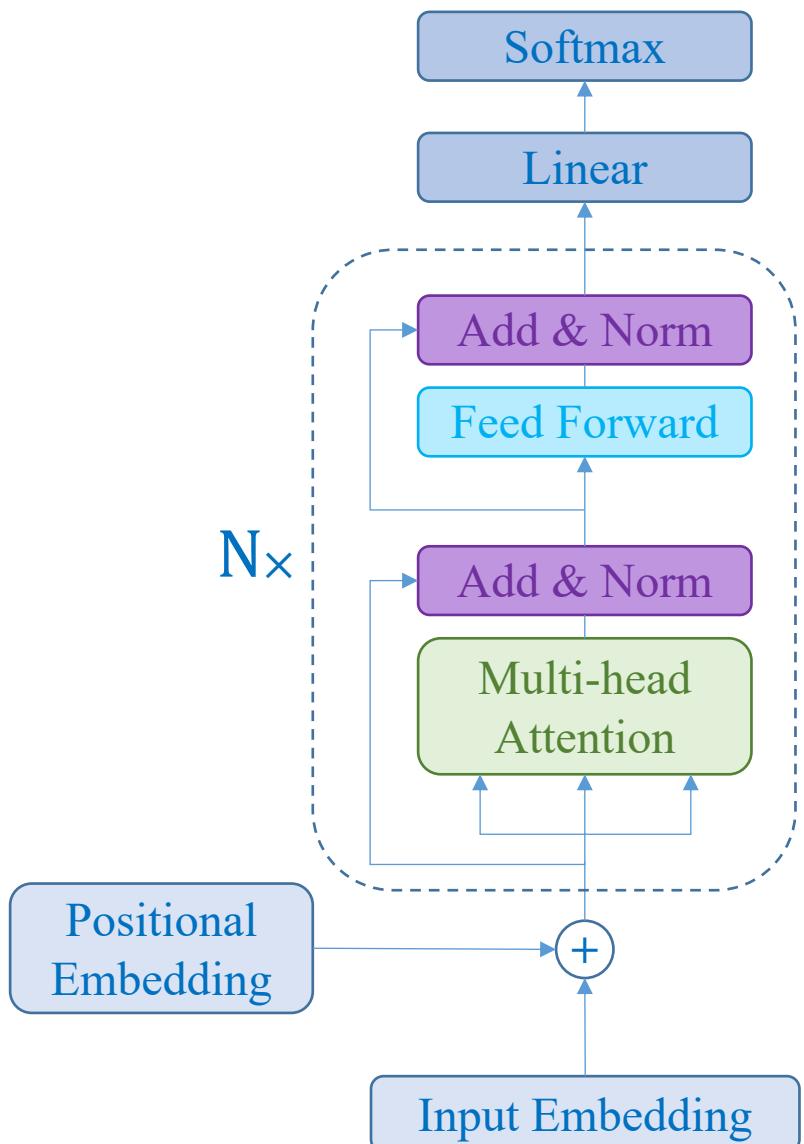
train_loader = DataLoader(train_data,
                          batch_size=32, shuffle=True)
test_loader = DataLoader(test_data,
                        batch_size=32, shuffle=False)

```



text	target
@bbcmtd Wholesale Markets ablaze http://t.co/lF	1
We always try to bring the heavy. #metal #RT htt	0
.@NorwayMFA #Bahrain police had previously c	1
I still have not heard Church Leaders of Kenya co	0
@afterShock_DeLo scuf ps live and the game... c	0
Japan marks 70th anniversary of Hiroshima atomi	1
Japan marks 70th anniversary of Hiroshima atomi	1
Rory McIlroy to Test Ankle Injury in Weekend P	0
CLEARED:incident with injury:I-495 inner loop	1

Transformer Models for Text Classification



```
class TransformerBlock(nn.Module):
    def __init__(self, embed_dim, num_heads, ff_dim):
        # ...

    def forward(self, query, key, value):
        # ...

class TokenAndPositionEmbedding(nn.Module):
    def __init__(self, vocab_size, embed_dim, max_length):
        # ...

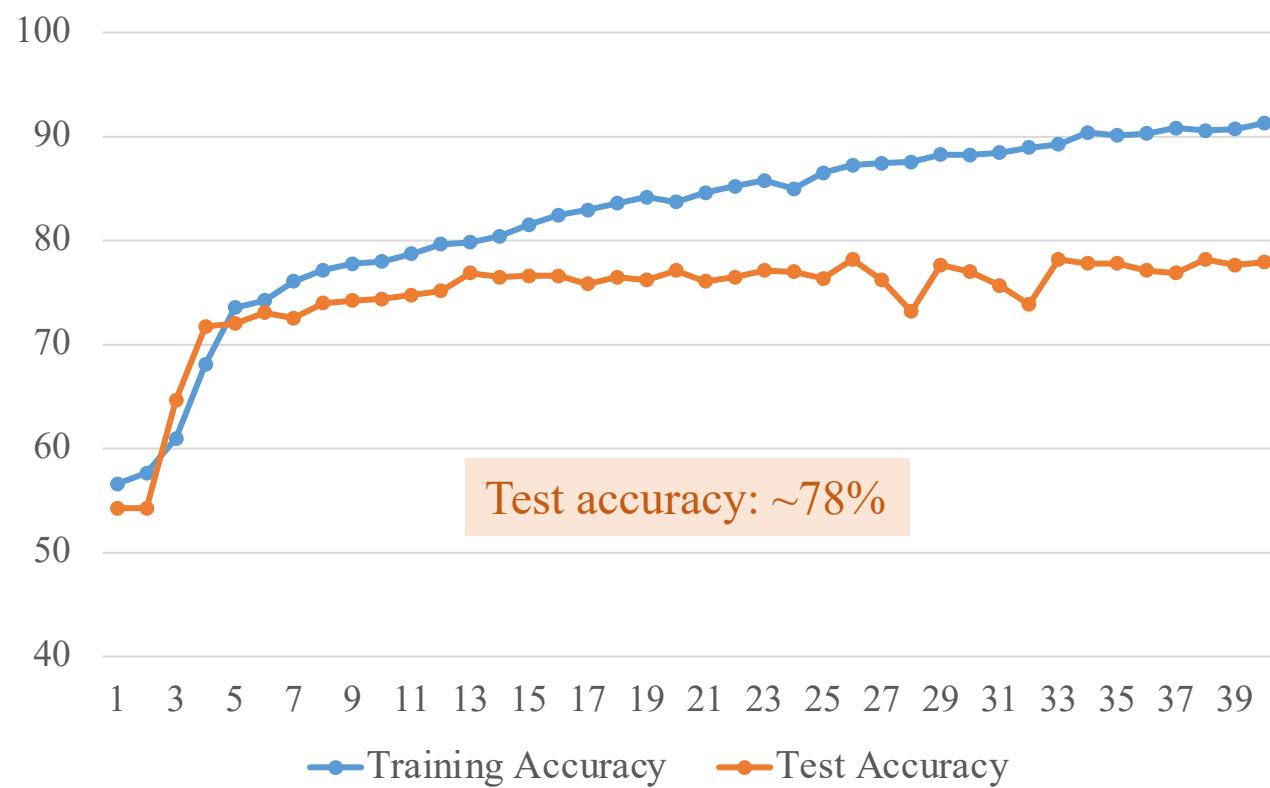
    def forward(self, x):
        # ...

class TransformerTextCls(nn.Module):
    def __init__(self, vocab_size, max_length,
                 embed_dim, num_heads, ff_dim):
        # ...

    def forward(self, x):
        output = self.embed_layer(x)
        output = self.transformer_layer(output, output, output)
        output = self.pooling(output.permute(0,2,1)).squeeze()
        output = self.fc(output)
        return output
```

Text Classification

❖ Results



Train Transformer from Scratch

Epoch	Training Loss	Validation Loss	Accuracy
1	No log	0.410340	0.819974
2	0.448100	0.419809	0.830486
3	0.320300	0.452259	0.822602
4	0.234100	0.545349	0.818660
5	0.176700	0.664652	0.809461
6	0.138900	0.859782	0.814717
14	0.035800	1.288030	0.808147
15	0.035800	1.281955	0.825230
16	0.029600	1.302865	0.819974
17	0.025800	1.324648	0.816032
18	0.022500	1.339616	0.819974
19	0.023800	1.363005	0.818660
20	0.014200	1.395317	0.817346

```
{'eval_loss': 0.41033995151519775,
'eval_accuracy': 0.8199737187910644,
'eval_runtime': 2.696,
'eval_samples_per_second': 282.267,
'eval_steps_per_second': 17.804,
'epoch': 20.0}
```

