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## 0.1 Hamilton Formalism

## 0.1.1 Legendre Transformation

Now, remember that we obtained the **Hamiltonian** H of the system through the **Legendre transformation** of the Lagrangian L:

$$H(q_i, p_i) = \sum_{i} p_i \dot{q}_i - L(q_i, \dot{q}_i)$$
(0.1)

where i represents each degree of freedom of the system.

In the field, the degree of freedom is infinite - each indexed by the spatial position  $\vec{x}$ , then,

## Definition 0.1: Hamiltonian of a Field

The **Hamiltonian** of a field  $\psi(\vec{x},t)$  (whose canonical conjugate field is  $\pi(\vec{x},t)$ ) is defined as

$$H[\psi, \partial_i \psi, \pi] = \int d^3 \vec{x} \left[ \pi \cdot \partial_t \psi \right] - L = \int d^3 \vec{x} \left[ \pi \cdot \partial_t \psi - \mathcal{L} \right]$$
 (0.2)

thus, we should define the **Hamiltonian density**  $\mathcal{H}$  as:

## **Definition 0.2: Hamiltonian Density**

**Hamiltonian density**  $\mathcal{H}$  is defined as the Hamiltonian per unit volume of the field:

$$\mathcal{H}(\psi, \partial_i \psi, \pi, t) = \pi \cdot \partial_t \psi - \mathcal{L}(\psi, \partial_i \psi, \partial_t \psi, t) \tag{0.3}$$

which satisfies:

$$\int d^3 \vec{x} \, \mathcal{H}(\psi, \partial_i \psi, \pi, t) = H[\psi, \partial_i \psi, \pi, t]$$
(0.4)

which makes the Hamiltonian H a functional of the field  $\psi$ , its spatial derivatives  $\partial_i \psi$ , and the conjugate field  $\pi$ .

## Note:

Similarly to the discrete case, we can write the Lagrangian density  $\mathcal{L}$  in terms of the Hamiltonian density  $\mathcal{H}$ :

$$\mathcal{L}[\psi(\vec{x},t),\pi(\vec{x},t)] = \pi \cdot \partial_t \psi - \mathcal{H}[\psi(\vec{x},t),\pi(\vec{x},t)]$$
(0.5)

where

$$\partial_t \psi = \frac{\partial \mathcal{H}[\psi, \pi]}{\partial \pi} \tag{0.6}$$

We are also interested in the **canonical equations** of fields, which are derived from the variational principle:

$$\delta S = 0 \iff \delta \int dt \int d^3 \vec{x} \mathcal{L} = \delta \int dt \int d^3 \vec{x} \, \pi \cdot \partial_t \psi - \mathcal{H}$$
 (0.7)

The variation on this integral can be expanded as follows:

$$\delta S = \int dt \int d^3 \vec{x} \, \delta \pi \, \partial_t \psi + \pi \delta(\partial_t \psi) - \delta \mathcal{H}$$
(0.8)

$$= \int dt \int d^3 \vec{x} \, \delta \pi \, \partial_t \psi + \pi \, \partial_t (\delta \psi) - \frac{\partial \mathcal{H}}{\partial \psi} \delta \psi - \frac{\partial \mathcal{H}}{\partial \pi} \delta \pi - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \delta (\partial_i \psi)$$
(0.9)

$$= \int dt \int d^3 \vec{x} \left( \partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} \right) \delta \pi + \left( \pi \, \partial_t (\delta \psi) - \frac{\partial \mathcal{H}}{\partial \psi} \delta \psi - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \, \partial_i (\delta \psi) \right) \tag{0.10}$$

the  $\delta\psi$  term can be integrated by parts:

$$\int dt \int d^3 \vec{x} \,\pi \,\partial_t(\delta \psi) - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \,\partial_i(\delta \psi) \tag{0.11}$$

$$= \int dt \int d^3 \vec{x} \,\,\partial_t(\pi \delta \psi) - \int dt \int d^3 \vec{x} \,\,\partial_t \pi \cdot \delta \psi \tag{0.12}$$

$$-\int dt \int d^3\vec{x} \,\,\partial_i \left( \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \delta \psi \right) + \int dt \int d^3\vec{x} \,\,\partial_i \left( \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right) \delta \psi$$

$$= \int d^{3}\vec{x} \left[\pi \delta \psi\right]_{\text{boundary}} - \int dt \int d^{3}\vec{x} \, \partial_{t}\pi \delta \psi$$

$$- \int dt \int_{\text{boundary}} dS \, \frac{\partial \mathcal{H}}{\partial(\partial_{i}\psi)} \delta \psi + \int dt \int d^{3}\vec{x} \, \partial_{i} \left(\frac{\partial \mathcal{H}}{\partial(\partial_{i}\psi)}\right) \delta \psi$$

$$(0.13)$$

since  $\delta\psi$  at the boundary is zero, first term vanishes, and we have:

$$\int dt \int d^3 \vec{x} \,\pi \,\partial_t (\delta \psi) - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \,\partial_i (\delta \psi) = -\int dt \int d^3 \vec{x} \,\partial_t \pi \delta \psi + \int dt \int d^3 \vec{x} \,\partial_i \left( \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right) \delta \psi$$
(0.14)

$$= -\int dt \int d^3\vec{x} \left[ \partial_t \pi - \nabla \cdot \left( \frac{\partial \mathcal{H}}{\partial (\nabla \psi)} \right) \right] \delta \psi \tag{0.15}$$

and thus the variation of the action becomes:

$$\delta S = \int dt \int d^3 \vec{x} \left( \partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} \right) \delta \pi - \left( \partial_t \pi - \nabla \cdot \left( \frac{\partial \mathcal{H}}{\partial (\nabla \psi)} \right) \right) \delta \psi$$
 (0.16)

for the action to be stationary, the integrand must vanish:

$$\begin{cases}
\partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} &= 0 \\
\partial_t \pi + \frac{\partial \mathcal{H}}{\partial \psi} - \partial_i \left( \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right) &= 0
\end{cases}
\iff
\begin{cases}
\frac{\partial \psi(\vec{x}, t)}{\partial t} &= \frac{\partial \mathcal{H}[\psi, \pi]}{\partial \pi} \\
\frac{\partial \pi(\vec{x}, t)}{\partial t} &= -\frac{\partial \mathcal{H}[\psi, \pi]}{\partial \psi} + \partial_i \left( \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right)
\end{cases} (0.17)$$

Thus we have the **canonical equations of fields**:

## **Theorem 0.1.1** Canonical Equations of Fields

The variational principle in Hamilton formalism leads to the **canonical equations of fields**:

$$\frac{\partial \psi(\vec{x}, t)}{\partial t} = \frac{\partial \mathcal{H}(\psi, \partial_i \psi, \pi)}{\partial \pi}, \quad \frac{\partial \pi(\vec{x}, t)}{\partial t} = -\frac{\partial \mathcal{H}(\psi, \partial_i \psi, \pi)}{\partial \psi} + \partial_i \left(\frac{\partial \mathcal{H}(\psi, \partial_i \psi, \pi)}{\partial (\partial_i \psi)}\right) \quad (0.18)$$

## **Corollary 0.1.1** Canonical Equations of Fields using H

Using Theorem 1.1.1, the **canonical equations of fields** can be re-written using the Hamiltonian H instead of the Hamiltonian density  $\mathcal{H}$ :

$$\frac{\partial \psi(\vec{x},t)}{\partial t} = \frac{\delta H[\psi,\pi]}{\delta \pi}, \quad \frac{\partial \pi(\vec{x},t)}{\partial t} = -\frac{\delta H[\psi,\pi]}{\delta \psi}$$
(0.19)

## 0.1.3 Poisson Bracket

In the discrete case, the time evolution of a physical quantity  $X(q_i, p_i, t)$ ,  $\dot{X}$  can be written as:

$$\dot{X} = \frac{dX}{dt} = \frac{\partial X}{\partial t} + \sum_{i} \left( \frac{\partial X}{\partial q_i} \dot{q}_i + \frac{\partial X}{\partial p_i} \dot{p}_i \right) = \frac{\partial X}{\partial t} + \{X, H\}$$
 (0.20)

In the continous case, a physical quantity X should be an integral of "density"  $\tilde{X}$  over some volume:

$$X = \int_{V} d^{3}\vec{x'}\,\tilde{X}(\vec{x'},t) \tag{0.21}$$

and assume that  $\tilde{X}$  is a function of the field  $\psi(\vec{x},t)$  and its conjugate field  $\pi(\vec{x},t)$  (which makes X a functional of the fields):

$$\Longrightarrow X[\psi, \pi, t] = \int_{V} d^{3}\vec{x'} \, \tilde{X}(\psi(\vec{x'}, t), \pi(\vec{x'}, t), t) \tag{0.22}$$

Then the time evolution of X can be written as:

$$\frac{dX[\psi,\pi,t]}{dt} = \frac{d}{dt} \int_{V} d^{3}\vec{x'} \, \tilde{X}(\psi(\vec{x'},t),\pi(\vec{x'},t),t) = \int_{V} d^{3}\vec{x'} \, \frac{d\tilde{X}(\psi(\vec{x'},t),\pi(\vec{x'},t),t)}{dt}$$
(0.23)

$$= \int_{V} d^{3}\vec{x'} \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial t} + \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial \psi} \partial_{t}\psi + \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial \pi} \partial_{t}\pi$$
 (0.24)

$$= \int_{V} d^{3}\vec{x'} \frac{\partial \tilde{X}}{\partial t} + \int_{V} d^{3}\vec{x'} \left( \frac{\partial \tilde{X}}{\partial \psi} \frac{\partial \mathcal{H}}{\partial \pi} - \frac{\partial \tilde{X}}{\partial \pi} \frac{\partial \mathcal{H}}{\partial \psi} \right)$$
(0.25)

Using Theorem 1.1.1, the partial derivatives can be replaced with functional derivatives:

$$\frac{\partial \tilde{X}}{\partial t} = \frac{\delta X}{\delta t}, \quad \frac{\partial \mathcal{H}}{\partial \psi} = \frac{\delta H}{\delta \psi}, \quad \frac{\partial \mathcal{H}}{\partial \pi} = \frac{\delta H}{\delta \pi}$$
 (0.26)

Thus, we can write the time evolution of X as:

$$\frac{dX[\psi,\pi,t]}{dt} = \frac{\partial X[\psi,\pi,t]}{\partial t} + \int d^3\vec{x'} \left( \frac{\delta X}{\delta \psi} \frac{\delta H}{\delta \pi} - \frac{\delta X}{\delta \pi} \frac{\delta H}{\delta \psi} \right) \tag{0.27}$$

If we were to write the coordinates explicitly,

$$\frac{dX[\psi,\pi,t]}{dt} = \frac{\partial X}{\partial t} + \int d^3\vec{x'} \left( \frac{\delta X[\psi,\pi,t]}{\delta \psi(\vec{x'},t)} \frac{\delta H[\psi,\pi,t]}{\delta \pi(\vec{x'},t)} - \frac{X[\psi,\pi,t]}{\delta \pi(\vec{x'},t)} \frac{\delta H[\psi,\pi,t]}{\delta \psi(\vec{x'},t)} \right)$$
(0.28)

Comparing with the discrete case, we can define the **Poisson bracket** of two physical quantities X and Y as:

## Definition 0.3: Poisson Bracket of a Field

The **Poisson bracket** of two physical quantities  $X[\psi, \pi, t]$  and  $Y[\psi, \pi, t]$  is defined as:

$$\{X, Y\} = \int d^3 \vec{x'} \left( \frac{\delta X}{\delta \psi(\vec{x'}, t)} \frac{\delta Y}{\delta \pi(\vec{x'}, t)} - \frac{\delta X}{\delta \pi(\vec{x'}, t)} \frac{\delta Y}{\delta \psi(\vec{x'}, t)} \right)$$
(0.29)

#### **Theorem 0.1.2** Time Evolution of a Physical Quantity

The time evolution of a physical quantity  $X[\psi, \pi, t]$  can be written as:

$$\frac{dX}{dt} = \frac{\partial X}{\partial t} + \{X, H\} \tag{0.30}$$

#### **Theorem 0.1.3** Poisson Brackets of Fields

For  $\psi$  and its conjugate field  $\pi$ , the Poisson bracket satisfies the following properties:

$$\{\psi(\vec{x},t), \, \pi(\vec{x'},t)\} = \delta^3(\vec{x}-\vec{x'})$$
 (0.31)

$$\{\psi(\vec{x},t), \psi(\vec{x'},t)\} = 0$$
 (0.32)

$$\left\{ \psi(\vec{x}, t), \, \pi(\vec{x'}, t) \right\} = \delta^{3}(\vec{x} - \vec{x'})$$

$$\left\{ \psi(\vec{x}, t), \, \psi(\vec{x'}, t) \right\} = 0$$

$$\left\{ \pi(\vec{x}, t), \, \pi(\vec{x'}, t) \right\} = 0$$

$$(0.32)$$

# Chapter

## **Mathematical Remarks**

## 1.1

## **Functional Derivative**

## 1.1.1

#### **Definition**

Consider a quantity I defined as follows:

$$I := \int_{A}^{B} dx \, F(x) \tag{1.1}$$

Notice that I is not really a function of x, but if you had to say, it is more a "function" of F may be  $F(x) = e^x$ , or  $F(x) = ax^2 + bx + c$ , or, etc. So, to denote the dependence of I on the function F, we write

$$I[f] := \int_{A}^{B} dx F(x) \tag{1.2}$$

This is called a (linear) functional. Now, imagine that F is a function of f, for example,  $F[f] = f(x)^2$ . By chain rule, a small change in F, denoted as  $\delta F$ , can be expressed as:

$$\delta F[f] = F[f + \delta f] - F[f] \tag{1.3}$$

$$= \frac{\partial F}{\partial f} \,\delta f \tag{1.4}$$

so, similarly,

$$\delta I[F] = I[F + \delta F] - I[F] \tag{1.5}$$

$$= \int_{A}^{B} dx \, \delta F[f] \tag{1.6}$$

$$= \int_{A}^{B} dx \, \frac{\partial F}{\partial f} \, \delta f \tag{1.7}$$

Then, the **functional derivative** of I with respect to f,  $\frac{\delta I}{\delta f}$ , is defined as follows:

## **Definition 1.1: Functional Derivative**

If a function  $\phi(x)$  exists, such that

$$\delta I = \int_{A}^{B} dx \, \phi(x) \, \delta f(x), \tag{1.8}$$

we say that  $\phi(x)$  is the **functional derivative** of I with respect to f, and denote it as

$$\frac{\delta I}{\delta f(x)} := \phi(x) \iff \delta I := \int_{B}^{A} dx \, \frac{\delta I}{\delta f(x)} \, \delta f(x). \tag{1.9}$$

Immidiately, by comparing Eq.(1.9) and Eq.(1.7), we see the following relation:

## **Theorem 1.1.1** Functional-density relation

For a quantity I[F] and its density F[f(x)], the functional derivative satisfies the following relation:

$$I = \int_{A}^{B} dx \, F[f(x)] \qquad \Longrightarrow \qquad \frac{\delta I}{\delta f(x)} = \frac{\partial F[f(x)]}{\partial f(x)} \tag{1.10}$$

## 1.1.2 Two function case

Consider a case where I is the functional of F, which is also a functional of f and g:

$$I[F[f,g]] = \int_{B}^{A} dx \, F[f(x), g(x)] \tag{1.11}$$

Or more generally, if a function D(x) satisfies the following Now, let us add some small change of f,  $\delta f$ :

$$I[F[f + \delta f, g]] = \int_{B}^{A} dx \, F[f(x) + \delta f(x), g(x)]$$
 (1.12)

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial f} \, \delta f \tag{1.13}$$

and similarly, by adding  $\delta q$ ,

$$I[F[f,g+\delta g]] = \int_{R}^{A} dx \, F[f(x),g(x)+\delta g(x)] \tag{1.14}$$

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial g} \, \delta g \tag{1.15}$$

Combining these two, we have

$$I[F[f+\delta f, g+\delta g]] = \int_{B}^{A} dx \, F[f(x) + \delta f(x), g(x) + \delta g(x)] \tag{1.16}$$

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial g} \, \delta g \qquad (1.17)$$

$$\implies I[F[f+\delta f,g+\delta g]] - I[F[f,g]] = \int_{B}^{A} dx \, \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial q} \, \delta g \tag{1.18}$$

In this case, we just like our normal derivative, we should denote the LHS as:

$$\delta I := \int_{B}^{A} dx \, \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial g} \, \delta g \tag{1.19}$$

or alternatively,

$$\delta I := \int_{B}^{A} dx \, \frac{\delta I}{\delta f} \, \delta f + \frac{\delta I}{\delta g} \, \delta g \tag{1.20}$$

## 1.1.3 Euler-Lagrange Equation

For the two function case, especially consider that  $g = \frac{df}{dx}$ , and let us see what happens. Specifically, let us set that  $\delta f(A) = \delta f(B) = 0$ . Then, we have:

$$\frac{\delta I}{\delta g} \, \delta g = \frac{\delta I}{\delta \frac{df}{dx}} \, \delta \frac{df}{dx} \tag{1.21}$$

we can change the order of the derivative:

$$= \frac{\delta I}{\delta \frac{df}{dx}} \frac{d \, \delta f}{dx} \tag{1.22}$$

from the differentiation of a product, we have

$$= \frac{d}{dx} \left( \frac{\delta I}{\delta \left( \frac{df}{dx} \right)} \, \delta f \right) - \frac{d}{dx} \frac{\delta I}{\delta \left( \frac{df}{dx} \right)} \, \delta f \tag{1.23}$$

Now, substituting this to the two function case, we get:

$$\delta I = \int_{B}^{A} dx \left[ \left( \frac{\delta I}{\delta f} - \frac{d}{dx} \frac{\delta I}{\delta \left( \frac{df}{dx} \right)} \right) \delta f + \frac{d}{dx} \left( \frac{\delta I}{\delta \left( \frac{df}{dx} \right)} \delta f \right) \right]$$
(1.24)

the total derivative term is zero, since  $\delta f(A) = \delta f(B) = 0$ . Thus, we have

$$\delta I = \int_{B}^{A} dx \left( \frac{\delta I}{\delta f} - \frac{d}{dx} \frac{\delta I}{\delta \left( \frac{df}{dx} \right)} \right) \delta f \tag{1.25}$$

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and since  $I = \int_B^A dx \, F[f(x), g(x)]$ , we can say that

$$\frac{\partial F}{\partial f} = \frac{\delta I}{\delta f}, \quad \frac{\partial F}{\partial g} = \frac{\delta I}{\delta g}$$
 (1.26)

Then

$$\delta I = \int_{B}^{A} dx \left( \frac{\partial F}{\partial f} - \frac{d}{dx} \frac{\partial F}{\partial \left( \frac{df}{dx} \right)} \right) \delta f \tag{1.27}$$

And if we somehow want to find a minimum of I, we can set  $\delta I = 0$ :

$$\implies \frac{\partial F}{\partial f} - \frac{d}{dx} \frac{\partial F}{\partial \left(\frac{df}{dx}\right)} = 0 \tag{1.28}$$

This is called the **Euler-Lagrange equation**.

## **Theorem 1.1.2** Euler-Lagrange Equation

For a functional  $I[F(f, \frac{df}{dx})]$  to be stationary,  $(\delta I = 0)$ , the **Euler-Lagrange equation** must be satisfied:

$$\delta I \left[ F(f, \frac{df}{dx}) \right] \iff \frac{\partial F}{\partial f} - \frac{d}{dx} \left( \frac{\partial F}{\partial \left( \frac{df}{dx} \right)} \right) = 0 \tag{1.29}$$

#### **Important Property** 1.1.4

In general, consider that the functional F is a function of  $f_1(t), f_2(t), \ldots, f_n(t)$ :

$$F[f_1, f_2, \dots, f_n] \implies \delta F = \sum_{j=1}^n \frac{\delta F}{\delta f_j(t)} \, \delta f_j(t) \tag{1.30}$$

If  $F = f_i$ , we expect that

$$\frac{\delta f_i}{\delta f_i} = 1 \implies \delta f_i = \sum_{j=1}^n \frac{\delta f_i}{\delta f_j} \delta f_j \implies \frac{\delta f_i}{\delta f_j} = \delta_{ij}$$
 (1.31)

Similarly, consider a continuous case where F is a function of f(x):,

$$F[f(x,t)] \implies \delta F = \int_{A}^{B} dx' \frac{\delta F}{\delta f(x',t)} \delta f(x',t)$$
 (1.32)

Note the distinction between the variable x and the integration variable x'. This is because x is an "index" of f(t):  $f_i \to f(x)$ . Then, if we set F = f(x), we expect that

$$\frac{\delta f(x,t)}{\delta f(x,t)} = 1 \implies \delta f(x,t) = \int_A^B dx' \frac{\delta f(x,t)}{\delta f(x',t)} \delta f(x',t)$$
 (1.33)

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Comparing with this with the definition of **Dirac delta function**:

## **Definition 1.2: Dirac Delta Function**

The **Dirac delta function**  $\delta(x)$  is defined as a function that satisfies the following property:

$$\int dx' \, \delta(x' - x) \varphi(x') = \varphi(x), \quad \forall \varphi(x') \in C^{\infty}$$
(1.34)

we have

## **Theorem 1.1.3** Property of Functional Derivative

For a functional  $f_i(t)$  or f(x,t), the functional derivative satisfies the following property:

$$\frac{\delta f_i(t)}{\delta f_j(t)} = \delta_{ij}, \quad \text{or} \quad \frac{\delta f(x,t)}{\delta f(x',t)} = \delta(x - x')$$
(1.35)

Also, when I[F] and F are functionals of  $f_i(x)$ ,

$$\delta I = \int_{A}^{B} dx' \, \delta F = \sum_{i} \int_{A}^{B} dx' \, \frac{\delta I}{\delta f_{i}(x')} \, \delta f_{i}(x') \tag{1.36}$$

$$= \sum_{i} \int_{A}^{B} dx' \frac{\partial F}{\partial f_{i}(x')} \, \delta f_{i}(x') \tag{1.37}$$

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