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Chapter

Mathematical Remarks

1.1 Functional Derivative

1.1.1 Definition

Consider a quantity I defined as follows:

$$I := \int_{A}^{B} dx F(x) \tag{1.1}$$

Notice that I is not really a function of x, but if you had to say, it is more a "function" of F - may be $F(x) = e^x$, or $F(x) = ax^2 + bx + c$, or, etc. So, to denote the dependence of I on the function F, we write

$$I[f] := \int_{A}^{B} dx F(x) \tag{1.2}$$

This is called a (linear) functional. Now, imagine that F is a function of f, for example, $F[f] = f(x)^2$. By chain rule, a small change in F, denoted as δF , can be expressed as:

$$\delta F[f] = F[f + \delta f] - F[f] \tag{1.3}$$

$$= \frac{\partial F}{\partial f} \,\delta f \tag{1.4}$$

so, similarly,

$$\delta I[F] = I[F + \delta F] - I[F] \tag{1.5}$$

$$= \int_{A}^{B} dx \, \delta F[f] \tag{1.6}$$

$$= \int_{A}^{B} dx \, \frac{\partial F}{\partial f} \, \delta f \tag{1.7}$$

Then, the **functional derivative** of I with respect to f, $\frac{\delta I}{\delta f}$, is defined as follows:

Definition 1.1: Functional Derivative

If a function $\phi(x)$ exists, such that

$$\delta I = \int_{A}^{B} dx \, \phi(x) \, \delta f(x), \tag{1.8}$$

we say that $\phi(x)$ is the **functional derivative** of I with respect to f, and denote it as

$$\frac{\delta I}{\delta f(x)} := \phi(x) \iff \delta I := \int_{B}^{A} dx \, \frac{\delta I}{\delta f(x)} \, \delta f(x). \tag{1.9}$$

Immidiately, by comparing Eq.(1.9) and Eq.(1.7), we see the following relation:

Theorem 1.1.1 Functional-density relation

For a quantity I[F] and its density F[f(x)], the functional derivative satisfies the following relation:

$$I = \int_{A}^{B} dx \, F[f(x)] \quad \Longrightarrow \quad \frac{\delta I}{\delta f(x)} = \frac{\partial F[f(x)]}{\partial f(x)} \tag{1.10}$$

1.1.2 Two function case

Consider a case where I is the functional of F, which is also a functional of f and g:

$$I[F[f,g]] = \int_{B}^{A} dx \, F[f(x), g(x)] \tag{1.11}$$

Or more generally, if a function D(x) satisfies the following Now, let us add some small change of f, δf :

$$I[F[f + \delta f, g]] = \int_{B}^{A} dx \, F[f(x) + \delta f(x), g(x)]$$
 (1.12)

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial f} \, \delta f \tag{1.13}$$

and similarly, by adding δq ,

$$I[F[f,g+\delta g]] = \int_{B}^{A} dx \, F[f(x),g(x)+\delta g(x)] \tag{1.14}$$

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial g} \, \delta g \tag{1.15}$$

Combining these two, we have

$$I[F[f+\delta f, g+\delta g]] = \int_{B}^{A} dx \, F[f(x)+\delta f(x), g(x)+\delta g(x)] \qquad (1.16)$$

$$= \int_{B}^{A} dx \, F[f, g] + \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial g} \, \delta g \qquad (1.17)$$

$$\implies I[F[f+\delta f,g+\delta g]] - I[F[f,g]] = \int_{B}^{A} dx \, \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial g} \, \delta g \tag{1.18}$$

In this case, we just like our normal derivative, we should denote the LHS as:

$$\delta I := \int_{B}^{A} dx \, \frac{\partial F}{\partial f} \, \delta f + \frac{\partial F}{\partial g} \, \delta g \tag{1.19}$$

or alternatively,

$$\delta I := \int_{B}^{A} dx \, \frac{\delta I}{\delta f} \, \delta f + \frac{\delta I}{\delta g} \, \delta g \tag{1.20}$$

1.1.3 Euler-Lagrange Equation

For the two function case, especially consider that $g = \frac{df}{dx}$, and let us see what happens. Specifically, let us set that $\delta f(A) = \delta f(B) = 0$. Then, we have:

$$\frac{\delta I}{\delta g} \, \delta g = \frac{\delta I}{\delta \frac{df}{dx}} \, \delta \frac{df}{dx} \tag{1.21}$$

we can change the order of the derivative:

$$= \frac{\delta I}{\delta \frac{df}{dx}} \frac{d \, \delta f}{dx} \tag{1.22}$$

from the differentiation of a product, we have

$$= \frac{d}{dx} \left(\frac{\delta I}{\delta \left(\frac{df}{dx} \right)} \, \delta f \right) - \frac{d}{dx} \frac{\delta I}{\delta \left(\frac{df}{dx} \right)} \, \delta f \tag{1.23}$$

Now, substituting this to the two function case, we get:

$$\delta I = \int_{B}^{A} dx \left[\left(\frac{\delta I}{\delta f} - \frac{d}{dx} \frac{\delta I}{\delta \left(\frac{df}{dx} \right)} \right) \delta f + \frac{d}{dx} \left(\frac{\delta I}{\delta \left(\frac{df}{dx} \right)} \delta f \right) \right]$$
(1.24)

the total derivative term is zero, since $\delta f(A) = \delta f(B) = 0$. Thus, we have

$$\delta I = \int_{B}^{A} dx \left(\frac{\delta I}{\delta f} - \frac{d}{dx} \frac{\delta I}{\delta \left(\frac{df}{dx} \right)} \right) \delta f \tag{1.25}$$

and since $I = \int_B^A dx \, F[f(x), g(x)]$, we can say that

$$\frac{\partial F}{\partial f} = \frac{\delta I}{\delta f}, \quad \frac{\partial F}{\partial g} = \frac{\delta I}{\delta g}$$
 (1.26)

Then

$$\delta I = \int_{B}^{A} dx \left(\frac{\partial F}{\partial f} - \frac{d}{dx} \frac{\partial F}{\partial \left(\frac{df}{dx} \right)} \right) \delta f \tag{1.27}$$

And if we somehow want to find a minimum of I, we can set $\delta I = 0$:

$$\implies \frac{\partial F}{\partial f} - \frac{d}{dx} \frac{\partial F}{\partial \left(\frac{df}{dx}\right)} = 0 \tag{1.28}$$

This is called the **Euler-Lagrange equation**.

Theorem 1.1.2 Euler-Lagrange Equation

For a functional $I[F(f, \frac{df}{dx})]$ to be stationary, $(\delta I = 0)$, the **Euler-Lagrange equation** must be satisfied:

$$\delta I \left[F(f, \frac{df}{dx}) \right] \iff \frac{\partial F}{\partial f} - \frac{d}{dx} \left(\frac{\partial F}{\partial \left(\frac{df}{dx} \right)} \right) = 0 \tag{1.29}$$

1.1.4 Important Property

In general, consider that the functional F is a function of $f_1(t), f_2(t), \ldots, f_n(t)$:

$$F[f_1, f_2, \dots, f_n] \implies \delta F = \sum_{j=1}^n \frac{\delta F}{\delta f_j(t)} \, \delta f_j(t)$$
 (1.30)

If $F = f_i$, we expect that

$$\frac{\delta f_i}{\delta f_i} = 1 \implies \delta f_i = \sum_{j=1}^n \frac{\delta f_i}{\delta f_j} \delta f_j \implies \frac{\delta f_i}{\delta f_j} = \delta_{ij}$$
(1.31)

Similarly, consider a continuous case where F is a function of f(x):,

$$F[f(x,t)] \implies \delta F = \int_{A}^{B} dx' \frac{\delta F}{\delta f(x',t)} \delta f(x',t)$$
 (1.32)

Note the distinction between the variable x and the integration variable x'. This is because x is an "index" of f(t): $f_i \to f(x)$. Then, if we set F = f(x), we expect that

$$\frac{\delta f(x,t)}{\delta f(x,t)} = 1 \implies \delta f(x,t) = \int_A^B dx' \frac{\delta f(x,t)}{\delta f(x',t)} \delta f(x',t) \tag{1.33}$$

Comparing with this with the definition of **Dirac delta function**:

Definition 1.2: Dirac Delta Function

The **Dirac delta function** $\delta(x)$ is defined as a function that satisfies the following property:

$$\int dx' \, \delta(x' - x) \varphi(x') = \varphi(x), \quad \forall \varphi(x') \in C^{\infty}$$
(1.34)

we have

Theorem 1.1.3 Property of Functional Derivative

For a functional $f_i(t)$ or f(x,t), the functional derivative satisfies the following property:

$$\frac{\delta f_i(t)}{\delta f_j(t)} = \delta_{ij}, \quad \text{or} \quad \frac{\delta f(x,t)}{\delta f(x',t)} = \delta(x-x')$$
(1.35)

1.1.5 In a n-dimensional space

In the previous discussions, we have considered the functional I as an integral on 1D space represented by x. Here, we aim to generalize the discussion to n-dimensional space, e.g. \mathbb{R}^n . For \mathbb{R}^n , let us define a functional I and functional derivative as follows:

Definition 1.3: Functional on \mathbb{R}^n

A quantity $I \in \mathbb{R}$ defined on $V \subset \mathbb{R}^n$ is called a (linear) functional if it can be expressed as follows:

$$I[f_i] := \int_{x \in V} d^n x \, F(f_i(x)) = \int_V d^n x \, F(f_i(x)), \quad i \in \mathbb{N}$$
 (1.36)

Definition 1.4: Functional Derivative on \mathbb{R}^n

Then, the variation of the functional δI can be expressed as:

$$\delta I = \delta \int_{V} d^{n}x' F(f_{j}(x')) = \int_{V} d^{n}x' \ \delta F(f_{j}(x')) = \int_{V} d^{n}x' \sum_{i} \frac{\partial F}{\partial f_{j}(x')} \delta f_{j}(x') \tag{1.37}$$

Now,

$$\delta f_j(x') = \int_V d^n x \, \delta^n(x - x') \delta f_j(x) \tag{1.38}$$

$$= \int_{V} d^{n}x \sum_{i} \delta_{ij} \, \delta^{n}(x - x') \delta f_{i}(x)$$
 (1.39)

$$\frac{\delta f_j(x')}{\delta f_i(x)} = \delta_{ij} \, \delta^n(x - x') \tag{1.40}$$

so,

$$\frac{\delta I}{\delta f_i(x)} = \int_V d^n x' \frac{\partial F}{\partial f_i(x')} \delta(x - x') = \frac{\partial F}{\partial f_i(x)}$$
(1.41)

thus we see that the functional-density relation still holds:

Theorem 1.1.4 Functional-density relation

For a quantity I[F] and its density $F[f_i(x)]$, the functional derivative satisfies the following relation:

$$I = \int_{V} d^{n}x F[f_{j}(x)] \qquad \Longrightarrow \qquad \frac{\delta I[f_{j}]}{\delta f_{i}(x)} = \frac{\partial F[f_{j}]}{\partial f_{i}(x)} \tag{1.42}$$

or equivalently,

$$\frac{\delta f_j(x')}{\delta f_i(x)} = \delta_{ij} \, \delta^n(x - x') \tag{1.43}$$

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Chapter

2 Analytical Mechanics

Chapter

Physics of Fields

3.1 Hamilton Formalism

3.1.1 Legendre Transformation

Now, remember that we obtained the **Hamiltonian** H of the system through the **Legendre transformation** of the Lagrangian L:

$$H(q_i, p_i) = \sum_{i} p_i \dot{q}_i - L(q_i, \dot{q}_i)$$
(3.1)

where i represents each degree of freedom of the system.

In the field, the degree of freedom is infinite - each indexed by the spatial position \vec{x} , then,

Definition 3.1: Hamiltonian of a Field

The **Hamiltonian** of a field $\psi(\vec{x},t)$ (whose canonical conjugate field is $\pi(\vec{x},t)$) is defined as

$$H[\psi, \partial_i \psi, \pi] = \int d^3 \vec{x} \left[\pi \cdot \partial_t \psi \right] - L = \int d^3 \vec{x} \left[\pi \cdot \partial_t \psi - \mathcal{L} \right]$$
 (3.2)

thus, we should define the **Hamiltonian density** \mathcal{H} as:

Definition 3.2: Hamiltonian Density

Hamiltonian density \mathcal{H} is defined as the Hamiltonian per unit volume of the field:

$$\mathcal{H}(\psi, \partial_i \psi, \pi, t) = \pi \cdot \partial_t \psi - \mathcal{L}(\psi, \partial_i \psi, \partial_t \psi, t) \tag{3.3}$$

which satisfies:

$$\int d^3 \vec{x} \,\mathcal{H}(\psi, \partial_i \psi, \pi, t) = H[\psi, \partial_i \psi, \pi, t] \tag{3.4}$$

which makes the Hamiltonian H a functional of the field ψ , its spatial derivatives $\partial_i \psi$, and the conjugate field π .

Note:

Similarly to the discrete case, we can write the Lagrangian density \mathcal{L} in terms of the Hamiltonian density \mathcal{H} :

$$\mathcal{L}[\psi(\vec{x},t),\pi(\vec{x},t)] = \pi \cdot \partial_t \psi - \mathcal{H}[\psi(\vec{x},t),\pi(\vec{x},t)]$$
(3.5)

where

$$\partial_t \psi = \frac{\partial \mathcal{H}[\psi, \pi]}{\partial \pi} \tag{3.6}$$

3.1.2 Canonical Equations of Fields

We are also interested in the **canonical equations** of fields, which are derived from the variational principle:

$$\delta S = 0 \iff \delta \int dt \int d^3 \vec{x} \mathcal{L} = \delta \int dt \int d^3 \vec{x} \, \pi \cdot \partial_t \psi - \mathcal{H}$$
 (3.7)

The variation on this integral can be expanded as follows:

$$\delta S = \int dt \int d^3 \vec{x} \, \delta \pi \, \partial_t \psi + \pi \delta(\partial_t \psi) - \delta \mathcal{H}$$
(3.8)

$$= \int dt \int d^3 \vec{x} \, \delta \pi \, \partial_t \psi + \pi \, \partial_t (\delta \psi) - \frac{\partial \mathcal{H}}{\partial \psi} \delta \psi - \frac{\partial \mathcal{H}}{\partial \pi} \delta \pi - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \delta (\partial_i \psi)$$
(3.9)

$$= \int dt \int d^3\vec{x} \left(\partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} \right) \delta \pi + \left(\pi \, \partial_t (\delta \psi) - \frac{\partial \mathcal{H}}{\partial \psi} \delta \psi - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \, \partial_i (\delta \psi) \right)$$
(3.10)

the $\delta\psi$ term can be integrated by parts:

$$\int dt \int d^3 \vec{x} \,\pi \,\partial_t(\delta \psi) - \frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \,\partial_i(\delta \psi) \tag{3.11}$$

$$= \int dt \int d^3\vec{x} \,\,\partial_t(\pi\delta\psi) - \int dt \int d^3\vec{x} \,\,\partial_t\pi \cdot \delta\psi \tag{3.12}$$

$$-\int dt \int d^3\vec{x} \,\,\partial_i \left(\frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \delta \psi \right) + \int dt \int d^3\vec{x} \,\,\partial_i \left(\frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right) \delta \psi$$

$$= \int d^3 \vec{x} \left[\pi \delta \psi\right]_{\text{boundary}} - \int dt \int d^3 \vec{x} \,\,\partial_t \pi \delta \psi \tag{3.13}$$

$$-\int dt \int_{\text{boundary}} dS \frac{\partial \mathcal{H}}{\partial(\partial_i \psi)} \delta \psi + \int dt \int d^3 \vec{x} \ \partial_i \left(\frac{\partial \mathcal{H}}{\partial(\partial_i \psi)} \right) \delta \psi$$

the boundary terms vanish, so we end up with:

$$\int dt \int d^3\vec{x} \,\pi \,\partial_t(\delta\psi) - \frac{\partial \mathcal{H}}{\partial(\partial_i\psi)} \,\partial_i(\delta\psi) = -\int dt \int d^3\vec{x} \,\partial_t\pi\delta\psi + \int dt \int d^3\vec{x} \,\partial_i \left(\frac{\partial \mathcal{H}}{\partial(\partial_i\psi)}\right) \delta\psi$$
(3.14)

$$= -\int dt \int d^3\vec{x} \left[\partial_t \pi - \nabla \cdot \left(\frac{\partial \mathcal{H}}{\partial (\nabla \psi)} \right) \right] \delta \psi \tag{3.15}$$

and thus the variation of the action becomes:

$$\delta S = \int dt \int d^3 \vec{x} \left(\partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} \right) \delta \pi - \left(\partial_t \pi - \nabla \cdot \left(\frac{\partial \mathcal{H}}{\partial (\nabla \psi)} \right) \right) \delta \psi$$
 (3.16)

for the action to be stationary, the integrand must vanish:

$$\begin{cases}
\partial_t \psi - \frac{\partial \mathcal{H}}{\partial \pi} &= 0 \\
\partial_t \pi + \frac{\partial \mathcal{H}}{\partial \psi} - \partial_i \left(\frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right) &= 0
\end{cases}
\iff
\begin{cases}
\frac{\partial \psi(\vec{x}, t)}{\partial t} &= \frac{\partial \mathcal{H}[\psi, \pi]}{\partial \pi} \\
\frac{\partial \pi(\vec{x}, t)}{\partial t} &= -\frac{\partial \mathcal{H}[\psi, \pi]}{\partial \psi} + \partial_i \left(\frac{\partial \mathcal{H}}{\partial (\partial_i \psi)} \right)
\end{cases} (3.17)$$

Thus we have the **canonical equations of fields**:

Theorem 3.1.1 Canonical Equations of Fields

The variational principle in Hamilton formalism leads to the **canonical equations of fields**:

$$\frac{\partial \psi(\vec{x},t)}{\partial t} = \frac{\partial \mathcal{H}(\psi,\partial_i\psi,\pi)}{\partial \pi}, \quad \frac{\partial \pi(\vec{x},t)}{\partial t} = -\frac{\partial \mathcal{H}(\psi,\partial_i\psi,\pi)}{\partial \psi} + \partial_i \left(\frac{\partial \mathcal{H}(\psi,\partial_i\psi,\pi)}{\partial(\partial_i\psi)}\right) \quad (3.18)$$

Corollary 3.1.1 Canonical Equations of Fields using H

Using Theorem 1.1.1, the **canonical equations of fields** can be re-written using the Hamiltonian H instead of the Hamiltonian density \mathcal{H} :

$$\frac{\partial \psi(\vec{x}, t)}{\partial t} = \frac{\delta H[\psi, \pi]}{\delta \pi}, \quad \frac{\partial \pi(\vec{x}, t)}{\partial t} = -\frac{\delta H[\psi, \pi]}{\delta \psi}$$
(3.19)

3.1.3 Poisson Bracket

In the discrete case, the time evolution of a physical quantity $X(q_i, p_i, t)$, \dot{X} can be written as:

$$\dot{X} = \frac{dX}{dt} = \frac{\partial X}{\partial t} + \sum_{i} \left(\frac{\partial X}{\partial q_i} \dot{q}_i + \frac{\partial X}{\partial p_i} \dot{p}_i \right) = \frac{\partial X}{\partial t} + \{X, H\}$$
 (3.20)

In the continous case, a physical quantity X should be an integral of "density" \tilde{X} over

some volume:

$$X = \int_{V} d^{3}\vec{x'}\,\tilde{X}(\vec{x'},t) \tag{3.21}$$

and assume that \tilde{X} is a function of the field $\psi(\vec{x},t)$ and its conjugate field $\pi(\vec{x},t)$ (which makes X a functional of the fields):

$$\Longrightarrow X[\psi, \pi, t] = \int_{V} d^{3}\vec{x'} \, \tilde{X}(\psi(\vec{x'}, t), \pi(\vec{x'}, t), t)$$
(3.22)

Then the time evolution of X can be written as:

$$\frac{dX[\psi, \pi, t]}{dt} = \frac{d}{dt} \int_{V} d^{3}\vec{x'} \, \tilde{X}(\psi(\vec{x'}, t), \pi(\vec{x'}, t), t) = \int_{V} d^{3}\vec{x'} \, \frac{d\tilde{X}(\psi(\vec{x'}, t), \pi(\vec{x'}, t), t)}{dt}$$
(3.23)

$$= \int_{V} d^{3}\vec{x'} \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial t} + \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial \psi} \partial_{t}\psi + \frac{\partial \tilde{X}(\psi, \pi, t)}{\partial \pi} \partial_{t}\pi$$
 (3.24)

$$= \int_{V} d^{3}\vec{x'} \frac{\partial \tilde{X}}{\partial t} + \int_{V} d^{3}\vec{x'} \left(\frac{\partial \tilde{X}}{\partial \psi} \frac{\partial \mathcal{H}}{\partial \pi} - \frac{\partial \tilde{X}}{\partial \pi} \frac{\partial \mathcal{H}}{\partial \psi} \right)$$
(3.25)

Using Theorem 1.1.1, the partial derivatives can be replaced with functional derivatives:

$$\frac{\partial \tilde{X}}{\partial t} = \frac{\delta X}{\delta t}, \quad \frac{\partial \mathcal{H}}{\partial \psi} = \frac{\delta H}{\delta \psi}, \quad \frac{\partial \mathcal{H}}{\partial \pi} = \frac{\delta H}{\delta \pi}$$
 (3.26)

Thus, we can write the time evolution of X as:

$$\frac{dX[\psi,\pi,t]}{dt} = \frac{\partial X[\psi,\pi,t]}{\partial t} + \int d^3\vec{x'} \left(\frac{\delta X}{\delta \psi} \frac{\delta H}{\delta \pi} - \frac{\delta X}{\delta \pi} \frac{\delta H}{\delta \psi} \right)$$
(3.27)

If we were to write the coordinates explicitly,

$$\frac{dX[\psi,\pi,t]}{dt} = \frac{\partial X}{\partial t} + \int d^3\vec{x'} \left(\frac{\delta X[\psi,\pi,t]}{\delta \psi(\vec{x'},t)} \frac{\delta H[\psi,\pi,t]}{\delta \pi(\vec{x'},t)} - \frac{X[\psi,\pi,t]}{\delta \pi(\vec{x'},t)} \frac{\delta H[\psi,\pi,t]}{\delta \psi(\vec{x'},t)} \right)$$
(3.28)

Comparing with the discrete case, we can define the **Poisson bracket** of two physical quantities X and Y as:

Definition 3.3: Poisson Bracket of a Field

The **Poisson bracket** of two physical quantities $X[\psi, \pi, t]$ and $Y[\psi, \pi, t]$ is defined as:

$$\{X, Y\} = \int d^3 \vec{x'} \left(\frac{\delta X}{\delta \psi(\vec{x'}, t)} \frac{\delta Y}{\delta \pi(\vec{x'}, t)} - \frac{\delta X}{\delta \pi(\vec{x'}, t)} \frac{\delta Y}{\delta \psi(\vec{x'}, t)} \right)$$
(3.29)

Theorem 3.1.2 Time Evolution of a Physical Quantity

The time evolution of a physical quantity $X[\psi, \pi, t]$ can be written as:

$$\frac{dX}{dt} = \frac{\partial X}{\partial t} + \{X, H\} \tag{3.30}$$

Theorem 3.1.3 Poisson Brackets of Fields

For ψ and its conjugate field π , the Poisson bracket satisfies the following properties:

$$\{\psi(\vec{x},t), \, \pi(\vec{x'},t)\} = \delta^3(\vec{x}-\vec{x'})$$
 (3.31)

$$\left\{\psi(\vec{x},t),\,\psi(\vec{x'},t)\right\} = 0\tag{3.32}$$

$$\left\{\pi(\vec{x},t),\,\pi(\vec{x'},t)\right\} = 0$$
 (3.33)