

Here is the generated checklist in Markdown format:

# Identity and Purpose

## AI/ML Constituent Requirements Capture

- Has captured all requirements necessary to design and implement the AI/ML constituent?
  - ☐ Yes / No
- Has captured:
  - ☐ Safety requirements allocated to the AI/ML constituent? (e.g. performance, reliability, resilience)
    - Yes / No
  - ☐ Information security requirements allocated to the AI/ML constituent?
    - Yes / No
  - ☐ Functional requirements allocated to the AI/ML constituent?
    - Yes / No
  - ☐ Operational requirements allocated to the AI/ML constituent (including ODD monitoring and performance monitoring)?
    - Yes / No
  - ☐ Other non-functional requirements allocated to the AI/ML constituent (e.g. scalability)?
    - Yes / No
  - ☐ Interface requirements?
    - Yes / No

## AI/ML Constituent ODD Definition

- Has defined the set of parameters pertaining to the AI/ML constituent ODD?
  - ☐ Yes / No
- Are these parameters traced to the corresponding parameters pertaining to the OD when applicable?
  - ☐ Yes / No

## Configuration Management

- Has applied configuration management principles to the AI/ML constituent life-cycle

data, including:

- ☐ Identification of configuration items?
  - ☐ Yes / No
- ☐ Versioning?
  - ☐ Yes / No
- ☐ Baselineing?
  - ☐ Yes / No
- ☐ Change control?
  - ☐ Yes / No
- ☐ Reproducibility?
  - ☐ Yes / No
- ☐ Problem reporting?
  - ☐ Yes / No
- ☐ Archiving and retrieval, and retention period?
  - ☐ Yes / No

### **Quality and Process Assurance**

- Has ensured that quality/process assurance principles are applied to the development of the AI-based system with required independence level?
  - ☐ Yes / No

### **Reuse of AI/ML Models**

- Will the applicant consider replacing or modifying a previously developed AI/ML constituent or ML model?
  - ☐ Yes / No
- Are there plans for incorporating already trained ML models (open source models or COTS ML models) in the design of an AI/ML constituent?
  - ☐ Yes / No

Note: This checklist is generated based on the provided text and may not cover all aspects of the requirement.