

# R&D Document: OSI Model Layers and Functionality

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## Introduction

The Open Systems Interconnection (OSI) model provides a conceptual framework for understanding network communication. Developed by the International Organization for Standardization in 1984, this seven-layer model standardizes communication functions across different network protocols and technologies. Each layer performs specific functions and communicates with adjacent layers through well-defined interfaces.

## Physical Layer (Layer 1)

The physical layer handles the transmission of raw bits over physical media. This layer deals with electrical, mechanical, and procedural specifications for network hardware. Physical layer components include cables, connectors, hubs, repeaters, and network interface cards.

Signal transmission occurs through various media types including copper wires, fiber optic cables, and wireless radio frequencies. The physical layer defines voltage levels, cable distances, and connector pinouts. For Ethernet networks, this layer specifies the RJ-45 connector and twisted pair cable requirements.

Encoding schemes convert digital bits into electrical signals suitable for transmission. Common encoding methods include Manchester encoding and Non-Return-to-Zero (NRZ) encoding. The physical layer also handles collision detection in shared media environments and manages signal amplification through repeaters.

Network topologies such as bus, star, and ring configurations are implemented at this layer. The physical layer does not understand data content but simply transmits bits as received from the data link layer. Error detection and correction occur at higher layers, making the physical layer responsible only for reliable bit transmission.

## Data Link Layer (Layer 2)

The data link layer provides node-to-node delivery across a single network segment. This layer encapsulates network layer packets into frames and manages access to the physical medium. Frame formatting includes header information, payload data, and error detection codes.

Media Access Control (MAC) addresses identify devices within a broadcast domain. The data link layer maintains MAC address tables to determine frame forwarding decisions. Collision detection and avoidance mechanisms prevent multiple devices from transmitting simultaneously on shared media.

Error detection uses cyclic redundancy checks (CRC) to identify transmission errors. When errors are detected, the data link layer requests retransmission from the sender. Flow control mechanisms prevent fast senders from overwhelming slower receivers.

Logical Link Control (LLC) provides an interface between the data link layer and network layer. LLC handles acknowledgments, retransmissions, and flow control for connection-oriented services. Common data link protocols include Ethernet, Wi-Fi, and Point-to-Point Protocol (PPP).

## **Network Layer (Layer 3)**

The network layer handles end-to-end packet delivery across multiple networks. This layer implements logical addressing through IP addresses and performs routing decisions to determine optimal paths through interconnected networks. Routers operate primarily at this layer.

Internet Protocol (IP) serves as the primary network layer protocol in modern networks. IP addresses provide hierarchical addressing that enables scalable routing across the global internet. Subnet masks determine network boundaries and facilitate address aggregation.

Routing protocols exchange topology information between routers to build routing tables. Distance vector protocols like RIP use hop count metrics, while link state protocols like OSPF maintain detailed network topology databases. Path selection algorithms choose optimal routes based on various metrics including bandwidth, delay, and cost.

Fragmentation and reassembly handle packets that exceed maximum transmission unit (MTU) sizes. Large packets are divided into smaller fragments for transmission across networks with different MTU requirements. Destination hosts reassemble fragments into original packets.

## **Transport Layer (Layer 4)**

The transport layer provides reliable end-to-end communication between applications running on different hosts. This layer manages connection establishment, data segmentation, flow control, and error recovery. Port numbers identify specific applications and enable multiplexing of multiple connections.

Transmission Control Protocol (TCP) offers connection-oriented, reliable communication with guaranteed delivery and ordering. TCP implements acknowledgment mechanisms, retransmission timers, and congestion control algorithms. Three-way handshake establishment and four-way connection termination ensure proper connection management.

User Datagram Protocol (UDP) provides connectionless, unreliable communication suitable for applications requiring low latency. UDP offers minimal overhead but does not guarantee delivery or ordering. Applications using UDP must implement their own reliability mechanisms if needed.

Flow control prevents buffer overflow at receiving applications. TCP uses sliding window protocols to regulate data transmission rates based on receiver capabilities. Congestion control algorithms adjust transmission rates to prevent network congestion and maintain optimal performance.

## **Session Layer (Layer 5)**

The session layer manages communication sessions between applications on different hosts. This layer handles session establishment, maintenance, and termination. Session management includes authentication, authorization, and session recovery after network failures.

Dialogue control determines communication patterns between applications. Full-duplex communication allows simultaneous bidirectional data exchange, while half-duplex requires alternating transmission direction. The session layer coordinates these communication modes.

Checkpointing and recovery mechanisms enable session resumption after interruptions. Long file transfers can resume from checkpoint positions rather than restarting completely. Session layer protocols implement these recovery features for improved reliability.

Remote procedure calls (RPC) enable applications to invoke functions on remote systems transparently. The session layer handles RPC session management, parameter marshalling, and result delivery. Common session layer implementations include SQL sessions and NetBIOS sessions.

## **Presentation Layer (Layer 6)**

The presentation layer handles data formatting, encryption, and compression services. This layer ensures that data sent by applications on one system can be properly interpreted by applications on different systems. Character encoding, data compression, and cryptographic services operate at this layer.

Data encryption protects information confidentiality during transmission. Symmetric encryption uses shared keys for fast bulk data encryption, while asymmetric encryption provides secure key exchange. Digital signatures ensure data integrity and authentication.

Compression algorithms reduce data size to improve transmission efficiency. Lossless compression maintains data integrity while reducing bandwidth requirements. Different compression algorithms optimize for various data types including text, images, and multimedia content.

Character encoding conversion handles different text representations across systems. ASCII, Unicode, and EBCDIC encoding schemes require translation for proper data interpretation. The presentation layer performs these conversions transparently to applications.

## **Application Layer (Layer 7)**

The application layer provides network services directly to end users and applications. This layer implements protocols that applications use to access network resources. Common application layer protocols include HTTP, SMTP, FTP, and DNS.

Web browsing relies on HTTP and HTTPS protocols for document retrieval and form submission. Web browsers act as HTTP clients, sending requests to web servers and displaying received content. HTTPS adds encryption for secure communication.

Email services use SMTP for message transmission and POP3 or IMAP for message retrieval. Email clients communicate with mail servers using these protocols to send and receive messages. MIME encoding handles multimedia attachments.

File transfer protocols enable remote file access and transfer. FTP provides basic file transfer capabilities, while SFTP adds encryption for secure transfers. File sharing protocols like SMB enable network file system access.

Domain Name System (DNS) translates human-readable domain names into IP addresses. DNS queries traverse a hierarchical namespace to resolve names to addresses. DNS caching improves performance by storing frequently accessed records locally.

## **Layer Interactions and Communication**

Each OSI layer communicates with adjacent layers through service primitives and protocol data units. Upper layers request services from lower layers and provide services to layers above. Data encapsulation adds layer-specific headers as information flows down the stack.

Protocol data units have different names at each layer. Application data becomes segments at the transport layer, packets at the network layer, frames at the data link layer, and bits at the physical layer. Each layer adds its own header information for proper processing.

Peer-to-peer communication occurs between corresponding layers on different systems. Network layer protocols on different hosts communicate using network layer headers, while transport layer protocols exchange transport layer information. This abstraction enables modular protocol design.

## **Conclusion**

The OSI model provides a comprehensive framework for understanding network communication processes. While real-world protocol implementations may not strictly follow OSI layer boundaries, the model remains valuable for education, troubleshooting, and protocol design. Understanding each layer's functions and interactions enables effective network design and problem resolution in complex networking environments.