

Experiments and Results

Two Approaches

1. CNNs train from scratch on Covid Dataset
2. Transfer learning from pre-trained models (publicity available) + Fine Tuning on Covid dataset

Notebook Setting (Offline)

| Model | FL or ML | Precision | Recall | F1 | Accuracy | Loss |
|----------------------------------|-----------|-----------|--------|--------|----------|-----------------------|
| CNN (Scratch) | ML | 96.94% | 94.37% | 95.63% | 98.44% | 0.0013046801645677075 |
| | FL | 89.86% | 94.42% | 91.38% | 96.06% | 0.10101205219204228 |
| Diff % | ML vs. FL | 7.08% | 0.05% | 4.25% | 2.38% | 0.0997073720274745725 |
| CNN (Pretrained) | ML | 97.42% | 93.17% | 95.05 | 98.44% | 0.0013672651553023902 |
| | FL | 95.80% | 99.70% | 97.67% | 96.01 | 0.08027677967523535 |
| Diff % | ML vs FL | 1.62% | 6.53% | 2.62% | 2.43% | 0.0789095145199329598 |
| Improve % Pre-trained vs Scratch | ML | 0.48% | 1.2% | 0.58% | 0% | 0.0000625849907346827 |
| | FL | 5.94% | 5.26% | 6.29% | 0.03% | 0.02073527251680693 |

A. Client-Server Setting (Online)

FL Server

Client Notebooks

| Model | FL or ML | Precision | Recall | F1 | Accuracy | Loss |
|------------------|-------------------------------|--------------------|--------|--------------------|--------------------|---------------------|
| CNN (Scratch) | FL | 0.9615384615384616 | 1.0 | 0.9803921568627451 | 0.96875 | 0.03176596760749817 |
| Diff % | Notebook vs. FL Client Server | 0.02% | 0.056% | 0.011% | 0.008% | 0.07% |
| CNN (Pretrained) | FL | 0.9422792022792023 | 1.0 | 0.9702819256608092 | 0.9526041666666667 | 0.2634122781455517 |
| Diff % | Notebook vs. FL Client Server | 0.015% | 0.01% | 0.065% | 0.08% | 0.18% |

B. Client Server Setting (Online)

FL Server

Client Server

| Model | FL or ML | Precision | Recall | F1 | Accuracy | Loss |
|------------------|-------------------------------|-----------|--------|--------|----------|-----------------------|
| CNN (Scratch) | FL | 78.57% | 88% | 83.02% | 92.86% | 0.0002678545154530809 |
| Diff % | Notebook vs. FL Client Server | 11.29% | 6.42% | 8.36% | 3.2% | 0.1007441976765891991 |
| CNN (Pretrained) | FL | | | | | |
| Diff % | Notebook vs. FL Client Server | | | | | |

Experimental Setup

1. K-fold cross validation experiments
2. Hyper-parameter tuning/optimisation (?)

Metrics:

1. Average of the K -folds (both for training and test sets). In addition to the metrics shown in table (above), the following would be also computed
 - a. Confusion Matrix (TP, FP, TN, FN)
 - b. Loss and convergence plots (on training sets)

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Table -1 :

1. Similar settings across all experiments (as shown in the columns)
 - a. The FL training process should be same
 - i. create fl_client
 - ii. fl_client set model weights (copy.deepcopy(server.global weights))
 - iii. local gradients that should be pass per each client to server and server maintain or adds on the fly.
 - iv. del fl_client
 - b. The clients – data loader that should be same
 - c. Hyper-parameters should be same (lr=3e-3 ADAM optimizer in FL)

| | ML (Notebook) | FL (Notebook) | FL (Client Server) |
|---------|---|--|--|
| DarkNet | train&test set 80 : 20 Loss: 0.0002619297844148605 Accuracy: 1.0 Precision: 1.0 Recall: 1.0 F1: 1.0 MAP: Epoch to convergence: 20 Test: Loss: 0.0013046801645677075 Accuracy: 0.984375 Precision: 0.9694444444444444 Recall: 0.9436507936507935 F1: 0.9562850729517396 | train&test set 80 : 20 Loss: 0.02034601407406929 Accuracy: 0.994140625 Precision: 0.9813988095238096 Recall: 0.9923363095238096 F1: 0.9867741580773125 MAP: Epoch to convergence: 30 Test: Loss: 0.10101205219204228 Accuracy: 0.9605902777777777 Precision: 0.8986202686202686 Recall: 0.9442526455026455 F1: 0.9138210131545551 | train&test set 80 : 20 Loss: 0.011830077102786163 Accuracy: 0.996031746031746 Precision: 1.0 Recall: 0.9949238578680203 F1: 0.9974554707379136 MAP: Epoch to convergence: 35 Test Loss: 0.03176596760749817 Accuracy: 0.96875 Precision: 0.9615384615384616 Recall: 1.0 F1: 0.9803921568627451 |
| CHEXNET | train&test set : 80 : 20 Loss: Accuracy: Precision: Recall: F1: MAP: Epoch to convergence: | train&test set 80 : 20 Loss: 0.2 Accuracy: 86% at 25th Precision: 0.9 Recall: 1 F1: 0.95 MAP: Epoch to convergence: | train&test set 80 : 20 Loss: Accuracy: Precision: Recall: F1: MAP: Epoch to convergence: |

| | | | |
|---------|--|--|--|
| Covnext | train&test set : 80 : 20 | train&test set : 80 : 20 | train&test set 80 : 20 |
| | Training: Loss: 0.010672322678146884 Accuracy: 0.9993489583333334 Precision: 1.0 Recall: 0.9973958333333334 F1: 0.9986928104575163 MAP: Epoch to convergence: 20 Test: Loss: 0.0013672651553023902 Accuracy: 0.984375 Precision: 0.9742063492063492 Recall: 0.9317460317460317 F1: 0.9505450926139657 | Training: Loss: 0.053820274906077735 Accuracy: 0.9867621527777778 Precision: 0.9852757057826399 Recall: 0.9983333333333334 F1: 0.9917514055367164 MAP: Epoch to convergence: 50 Test: Loss: 0.08027677967523535 Accuracy: 0.9609375 Precision: 0.9579552790759688 Recall: 0.9969135802469137 F1: 0.9767397542647266 | Loss: 0.28558457642793655 Accuracy: 0.9485677083333334 Precision: 0.9425340761089817 Recall: 0.9950728155339805 F1: 0.9680911446957281 MAP: Epoch to convergence: 30 Test Set Loss: 0.2634122781455517 Accuracy: 0.9526041666666667 Precision: 0.9422792022792023 Recall: 1.0 F1: 0.9702819256608092 |

Communication Cost – FL Client Server (Production settings)

| | Model Payload | Response Times | Convergence analysis |
|---------|--|--|----------------------|
| DarkNet | Upload size, (KB/MB --) 4564.15 KB Download size (KB/MB --) 25355.24 KB | upload time download time model update time on server model update time on client | |
| Covnext | Upload size, (KB/MB --) Download size (KB/MB --) | upload time download time model update time on server model update time on client | |
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