

## Rust Take home Exercise: Merkle Trees

**Note.** For this exercise you should write as you would normally write public production facing code. That means including tests, input validation, documentation, and appropriate handling of edge cases. Please work on your solution in a private Github repository and use git as you would normally. Keep track of the time spend and limit yourself to 4 hours. When you are done, invite <code>@r3ld3v, @dzejkop, @recmo</code>, and <code>@BioMark3r</code> to the private repository.

Make sure to write your implementation in Rust, we suggest using the <u>sha3</u> crate but you are free to use anything else.

## **Binary Merkle Trees**

Given a binary tree:

```
flowchart TD
    subgraph root
        0(["0"])
    end
    subgraph intermediate nodes
        1(["1"])
        2(["2"])
        3(["3"])
        4(["4"])
        5(["5"])
        6(["6"])
    end
    subgraph leaves
        7(["7"])
        8(["8"])
        9(["9"])
        10(["10"])
        11(["11"])
        12(["12"])
```

```
13(["13"])
    14(["14"])
end
0 --> 1
0 --> 2
1 --> 3
1 --> 4
2 --> 5
2 --> 6
3 --> 7
3 --> 8
4 --> 9
4 --> 10
5 --> 11
5 --> 12
6 --> 13
6 --> 14
```

The single top node is called the *root*, the lowest nodes are called *leaves* and the remaining nodes are called *intermediate nodes*. Layers are counted zero based from the root, called the *depth*. The number of layers is called the depth of the tree. The nodes are numbered zero-based left-to-right and top-to-bottom called their *index*.

## Index calculus

In addition to the index, we can also identify the nodes by their depth and offset from the left:

```
flowchart TD

0(["(0,0)"])

1(["(1,0)"])

2(["(1,1)"])

3(["(2,0)"])

4(["(2,1)"])

5(["(2,2)"])

6(["(2,3)"])

0 --> 1

0 --> 2

1 --> 3

1 --> 4

2 --> 5

2 --> 6
```

**Exercise 1.** Write a function that returns for a given (depth, offset) returns the corresponding index.

**Exercise 2.** Write three separate functions that given an index,

- 1. return the (depth, offset),
- 2. return the index of the parent, and
- 3. return the index of the left-most child.

## **Merkle Arboriculture**

To construct a Merkle tree, we assign hash values to each node as follows: Each leaf gets assigned a value and the other nodes have their value computed using the recursive definition:

```
\label{eq:hash} \begin{aligned} & \mathsf{hash}(\mathbf{node}) = \mathsf{SHA3}(\mathsf{hash}(\mathsf{leftChild}(\mathbf{node}) || \mathsf{hash}(\mathsf{rightChild}(\mathbf{node})))) \\ & \mathsf{where} \mid| \ \mathsf{means} \ \mathsf{concatenation}. \end{aligned}
```

**Exercise 3.** Write a data structure to store node hashes. The constructor should take as arguments the desired tree depth and a single initial leaf value to assign to all leaves. Assume  ${\sf depth} < 30$  and it is feasible to store all nodes in memory. Add a method to return the root hash of the tree. Here's an example usage:

**Hint.** Initialization can be done with only  $O(\operatorname{depth})$  invocations of SHA3.

**Exercise 4.** Add a set method that updates a single leaf value and recomputes any affected nodes. Come up with test values for this method

The raison-d'etre of Merkle trees is to *commit* to an array of size n through the root hash and then proof the value of entry i through a  $O(\log n)$  sized proof. The proof consists of the path from leaf to the root and all the sibling hash values along the way.

**Exercise 5.** Create a proof function that given a tree and a leaf index returns a merkle proof for that leaf.

Note that the leaf value, path and sibling hashes are **all** the information you need to recompute the root hash.

**Exercise 6.** Create a verify function that takes a leaf value and Merkle proof and returns a root hash.