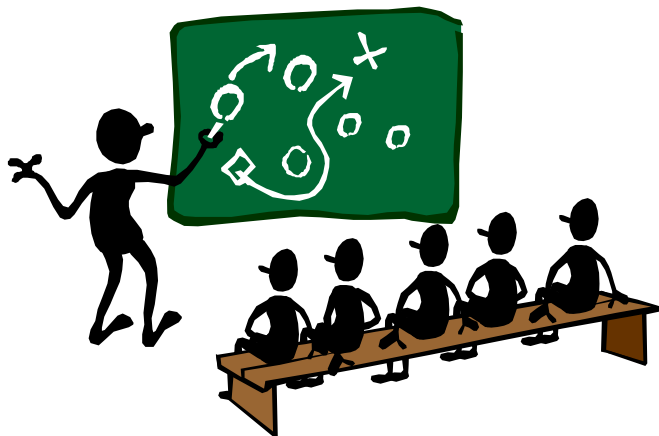


# Algorithms – Chapter 12

## Binary Search Trees



*Juinn-Dar Huang*

*Professor*

*jdhuang@mail.nctu.edu.tw*

*September 2007*

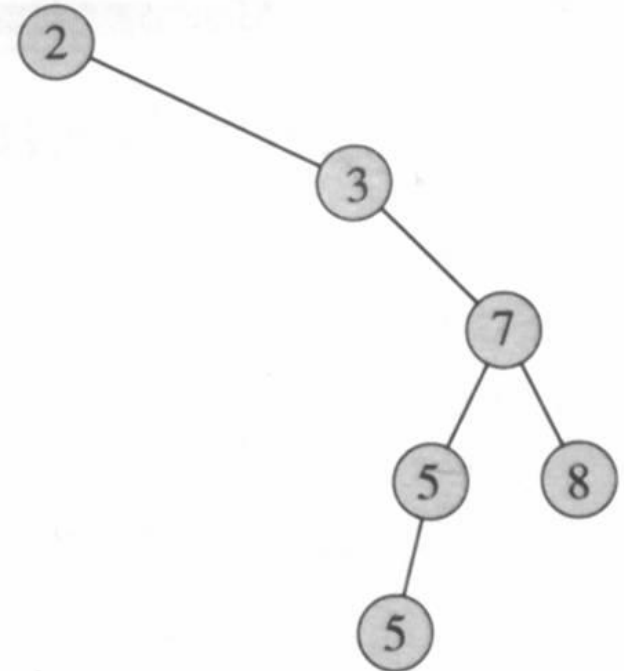
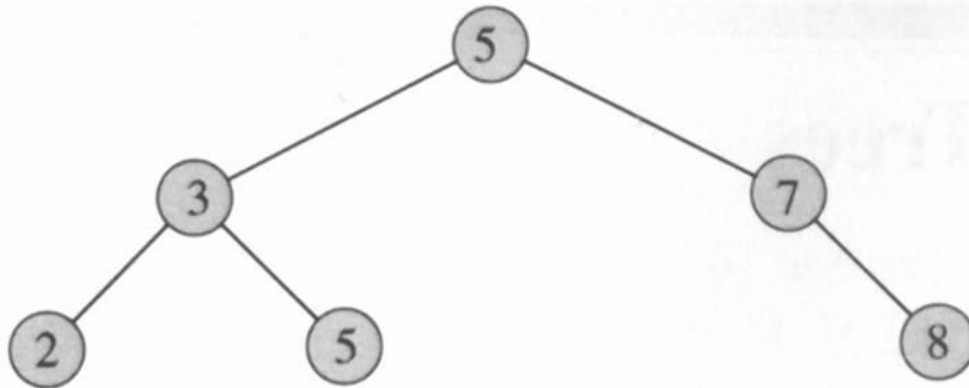
*Rev. '08, '11, '12, '15, '16, '18, '19, '20*

# Binary Search Tree (BST)

- BSTs support
  - search, sort
  - minimum, maximum
  - predecessor, successor
  - insert, delete
- Basic operations on BSTs
  - time is proportional to the **height** of tree
- For a binary tree with  $n$  nodes
  - minimum height:  $\Theta(\lg n)$  (e.g., complete binary tree)
  - maximum height:  $\Theta(n)$  (e.g., skewed binary tree)

# Property of BST

- Property
  - Let  $x$  be a node in a binary search tree
  - If  $y$  is a node in the **left** subtree of  $x \rightarrow \text{key}[y] \leq \text{key}[x]$
  - If  $y$  is a node in the **right** subtree of  $x \rightarrow \text{key}[x] \leq \text{key}[y]$



# Tree Walks

- 3 types of tree walks
  - inorder: LVR
  - preorder: VLR
  - postorder: LRV
- Inorder walk on a BST produces a sorted list

# Inorder Tree Walks

INORDER-TREE-WALK( $x$ )

```
1 if  $x \neq nil$ 
2   then INORDER-TREE-WALK( $left[x]$ )
3       print  $key[x]$ 
4       INORDER-TREE-WALK( $right[x]$ )
```

- If  $x$  is the root of  $n$ -node tree, then the call INORDER-TREE-WALK( $x$ ) takes  $\Theta(n)$  time
  - because 2 calls for every node in the tree

# Recursive Search on BSTs

TREE-SEARCH( $x, k$ )

```
1 if  $x = nil$  or  $k = key[x]$ 
2   then return  $x$ 
3 if  $k < key[x]$ 
4   then return TREE-SEARCH(left[ $x$ ],  $k$ )
5   else return TREE-SEARCH(right[ $x$ ],  $k$ )
```

Time complexity:  $O(h)$

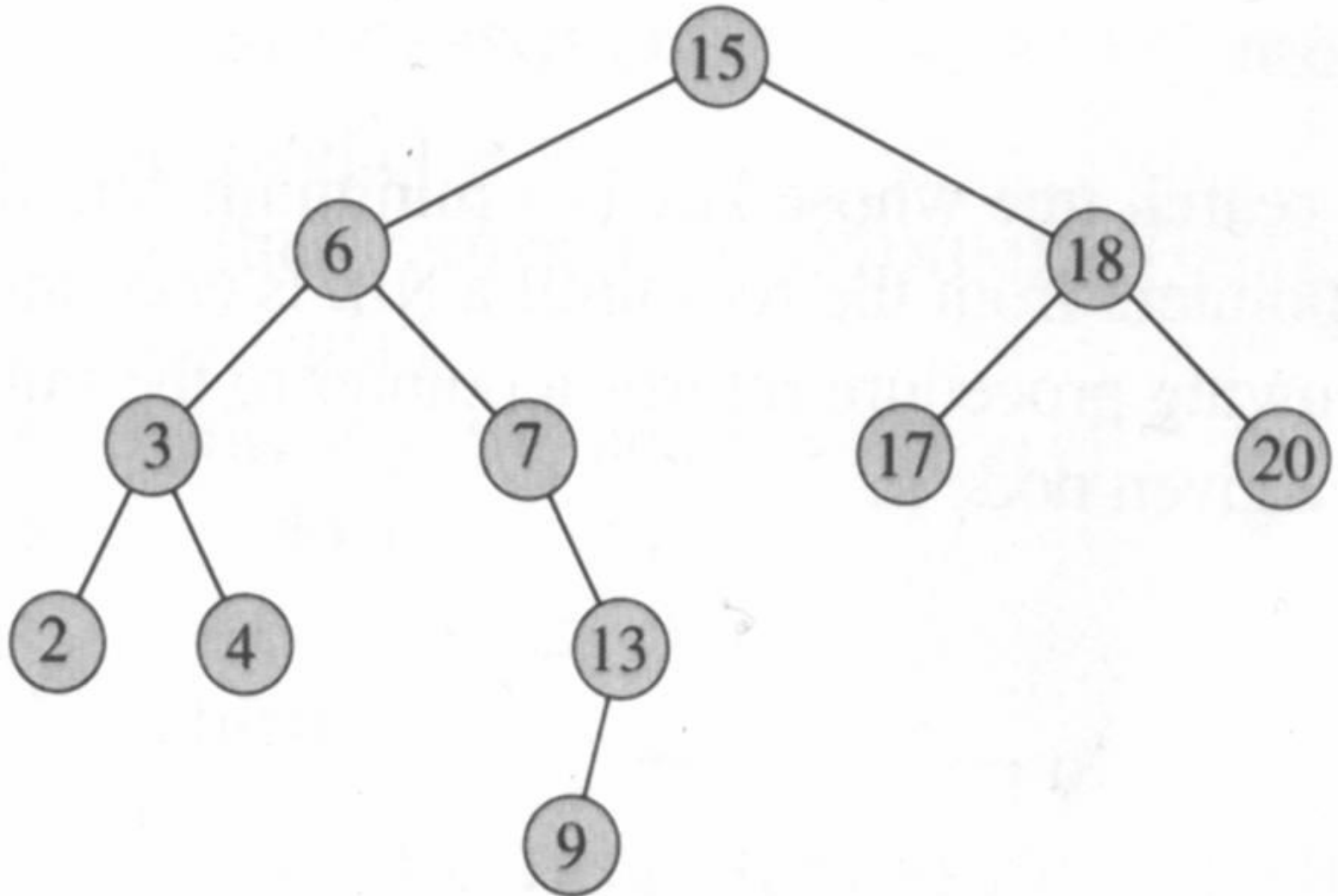
# Iterative Search on BSTs

ITERATIVE-TREE-SEARCH( $x, k$ )

```
1 While  $x \neq nil$  and  $k \neq key[x]$ 
2   do if  $k < key[x]$ 
3     then  $x \leftarrow left[x]$ 
4     else  $x \leftarrow right[x]$ 
5 return  $x$ 
```

**Time complexity:  $O(h)$**

# BST Example





# Minimum and Maximum on BSTs

## TREE-MINIMUM( $x$ )

```
1 while  $left[x] \neq NIL$   
2   do  $x \leftarrow left[x]$   
3 return  $x$ 
```

leftmost element

## TREE-MAXIMUM( $x$ )

```
1 while  $right[x] \neq NIL$   
2   do  $x \leftarrow right[x]$   
3 return  $x$ 
```

rightmost element

Time complexity:  $O(h)$

# Successor on BSTs (1/2)

- Successor of x
  - case 1: if x has a right subtree → the minimum element in the right subtree
  - case 2: if x has no right subtree → the lowest ancestor of x whose left child is x or an ancestor of x
  - case 3: x is the maximum element → no successor

# Successor on BSTs (2/2)

## TREE-SUCCESSOR( $x$ )

```
1  if  $right[x] \neq nil$ 
2      then return TREE-MINIMUM( $right[x]$ )
3   $y \leftarrow p[x]$ 
4  while  $y \neq nil$  and  $x = right[y]$ 
5      do  $x \leftarrow y$ 
6       $y \leftarrow p[y]$ 
7  return  $y$ 
```

Time complexity:  $O(h)$

Try to develop TREE-PREDECESSOR( $x$ )

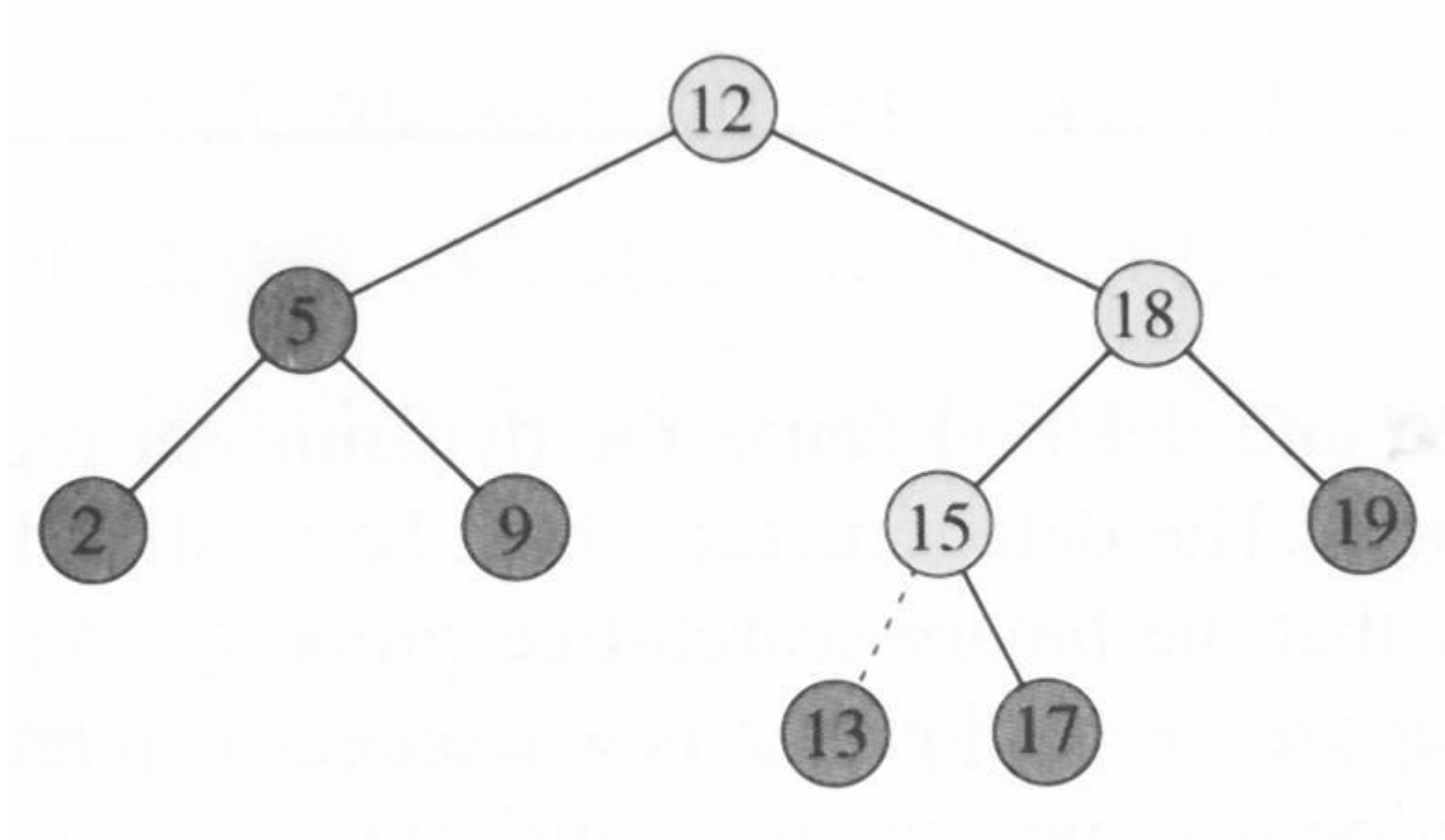
# Insertion on BSTs

TREE-INSERT( $T, z$ )

```
1   $y \leftarrow \text{NIL}$ 
2   $x \leftarrow \text{root}[T]$ 
3  while  $x \neq \text{NIL}$ 
4      do  $y \leftarrow x$ 
5          if  $\text{key}[z] < \text{key}[x]$ 
6              then  $x \leftarrow \text{left}[x]$ 
7              else  $x \leftarrow \text{right}[x]$ 
8   $p[z] \leftarrow y$ 
9  if  $y = \text{NIL}$ 
10     then  $\text{root}[T] \leftarrow z$     ► tree  $T$  was empty
11     else if  $\text{key}[z] < \text{key}[y]$ 
12         then  $\text{left}[y] \leftarrow z$ 
13         else  $\text{right}[y] \leftarrow z$ 
```

Time complexity:  $O(h)$

# Example



# Deletion on BSTs (1/2)

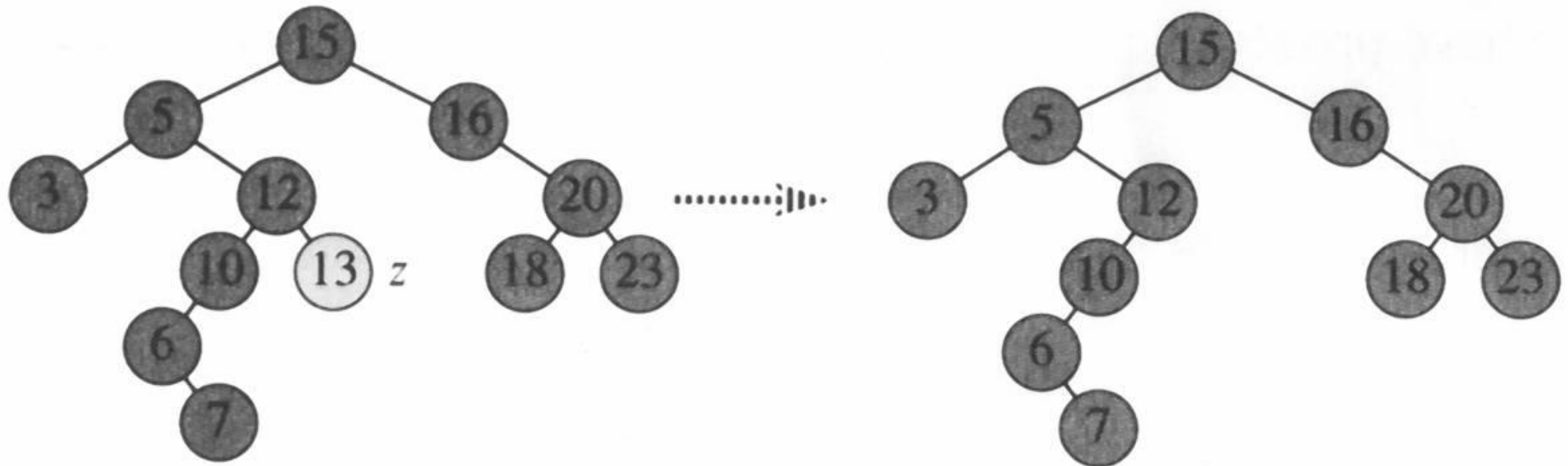
TREE-DELETE( $T, z$ )

```
1  if  $left[z] = \text{NIL}$  or  $right[z] = \text{NIL}$ 
2      then  $y \leftarrow z$   $\blacktriangleright$   $z$  has at most one child
3      else  $y \leftarrow \text{TREE-SUCCESSOR}(z)$   $\blacktriangleright$  2 children
4  if  $left[y] \neq \text{NIL}$ 
5      then  $x \leftarrow left[y]$ 
6      else  $x \leftarrow right[y]$ 
7  if  $x \neq \text{NIL}$ 
8      then  $p[x] \leftarrow p[y]$ 
```

# Deletion on BSTs (2/2)

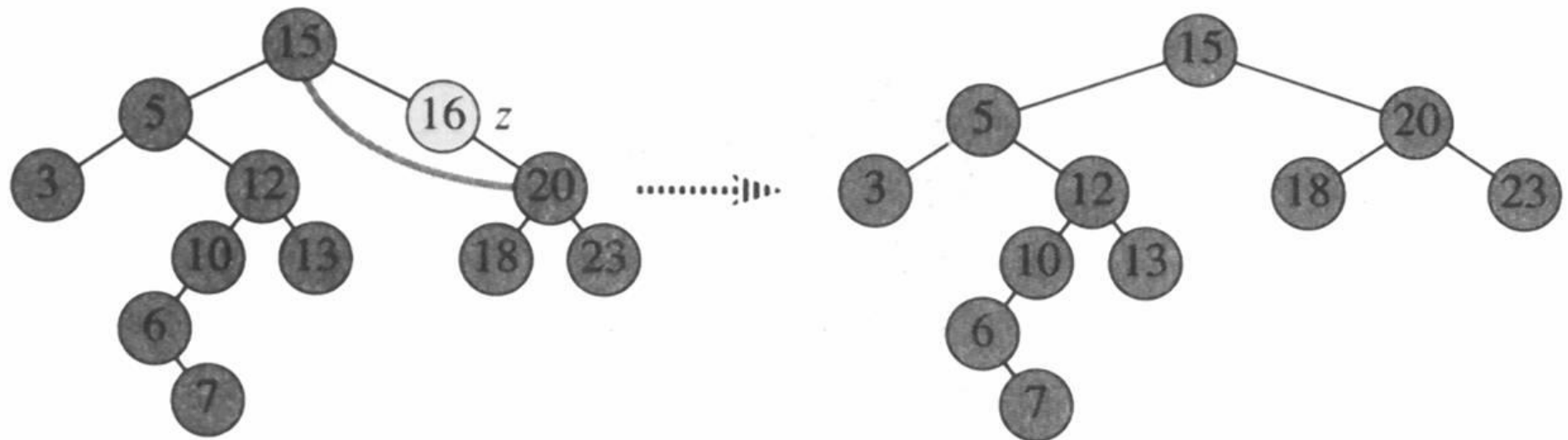
```
9  if  $p[y] = \text{NIL}$ 
10      then  $\text{root}[T] \leftarrow x$ 
11      else if  $y = \text{left}[p[y]]$ 
12          then  $\text{left}[p[y]] \leftarrow x$ 
13          else  $\text{right}[p[y]] \leftarrow x$ 
14  if  $y \neq z$ 
15      then  $\text{key}[z] \leftarrow \text{key}[y]$ 
16      copy  $y$ 's satellite data into  $z$ 
17  return  $y$ 
```

# Case 1: z has no children





## Case 2: z has one child



# Case 3: z has 2 children

