A Univalent Formalization of Affine Schemes in Cubical Agda

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$$p: I \to A$$
 with $p(i_0) = x \& p(i_1) = y$

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- Everything computes!
- ⇒ Great for univalent formalization of set-level constructive mathematics in the spirit of Voevodsky [2015]

A brief history of formalizing schemes

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All use non-constructive "Hartshorne" approach...

⇒ Formalize constructive "lift from basis" approach in Cubical Agda (following Coquand et al. [2009] with crucial help from univalence)

Structure sheaf on the Zariski lattice (over R)

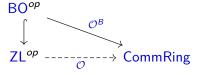
classically: compact open sets
$$U\subseteq \operatorname{Spec}(R)=\{\mathfrak{p} \text{ prime ideal}\}$$
 constructively: f.g. ideals $\mathfrak{a},\mathfrak{b}$ modulo $\sqrt{\mathfrak{a}}=\sqrt{\mathfrak{b}}$
$$\mathcal{O}:\operatorname{ZL}_R^{op}\to\operatorname{CommRing}$$

$$D(f)\mapsto R[1/f]$$
 generators, $f\in R$ classically: $\{\mathfrak{p}\mid f\notin \mathfrak{p}\}$ ring of fractions of the form r/f^n constructively: equiv. class of $\langle f\rangle$

Comparison lemma for sheaves on distributive lattices

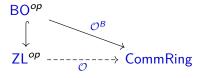
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For Kan-extension to exist need *small* ZL! Construction due to Español [1983]:

$$ZL = List R / _\sim _$$

$$[x_1, \dots, x_n] \sim [y_1, \dots, y_m] = \forall i. \ x_i \in \sqrt{\langle y_1, \dots, y_m \rangle}$$

& $\forall i. \ y_i \in \sqrt{\langle x_1, \dots, x_n \rangle}$

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$$\mathcal{O}^{B}: \Sigma[\ \mathfrak{a} \in \mathsf{ZL}\]\ \big(\underbrace{\exists [\ f \in R\]\ (D(f) \equiv \mathfrak{a})}_{\mathsf{h-prop}}\big) \ \to \ \underbrace{\mathsf{CommRing}}_{\mathsf{h-groupoid}}$$

with
$$\mathcal{O}^B(\mathfrak{a}, | f, p |) = R[1/f]$$

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Textbook-argument: $D(f) = D(g) \Rightarrow canonical iso R[1/f] \cong R[1/g]$

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$$\begin{split} D(f) &\leq D(g) \iff \sqrt{\langle \ f \ \rangle} \subseteq \sqrt{\langle \ g \ \rangle} \iff g \in R[1/f]^{\times} \\ &\Leftrightarrow \exists ! \ \varphi : R[1/g] \to R[1/f] \ \text{s.t.} \ \varphi(\times/1) = \times/1 \ \text{for} \ x \in R \\ &\Leftrightarrow \ \mathsf{isContr} \ \Big(Hom_R \big(R[1/g], R[1/f] \big) \Big) \end{split}$$

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$$\Leftrightarrow \text{ isContr} \left(Hom_R(R[1/g], R[1/f]) \right)$$

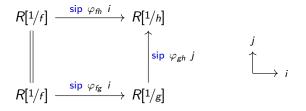
And by using univalence/the SIP for R-Alg:

$$D(f) \equiv D(g) \Rightarrow \text{isContr} (R[1/f] \equiv R[1/g])$$

(center of contraction: sip applied to unique iso $\varphi_{fg}:R[1/f]\cong R[1/g]$)

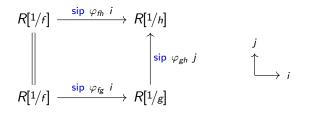
Overcoming the h-level mismatch (in R-Alg)

By a result due to Kraus [2015] we need: for each f g h : R with $D(f) \equiv D(g) \equiv D(h)$, a filler of the square



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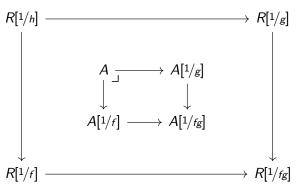


Proof: This is equivalent to giving a path

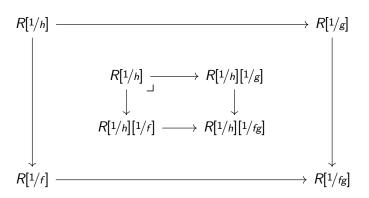
$$\operatorname{sip}\,\varphi_{\mathit{fh}} \equiv \operatorname{sip}\,\varphi_{\mathit{fg}} \bullet \operatorname{sip}\,\varphi_{\mathit{gh}}$$

Goal: outer square is pullback

Lemma: $\langle f, g \rangle = A \Rightarrow \text{pullback square}$

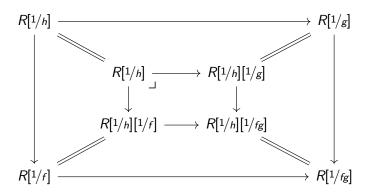


Goal: outer square is pullback Lemma with $\langle f/1, g/1 \rangle = R[1/h] \Rightarrow$ pullback square



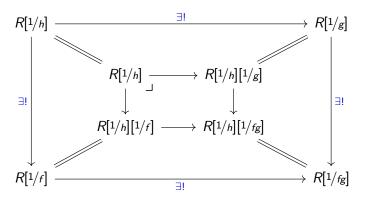
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• transport along paths of rings, e.g. $R[1/h][1/f] \equiv R[1/hf] \equiv R[1/f]$



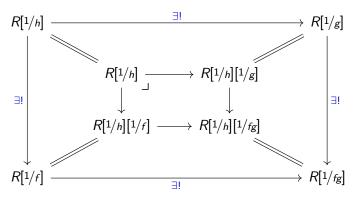
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- get dependent paths between morphisms for free
- ullet forgetful functor pres. limits \Rightarrow pullback square in comm. rings



Summary & future work

We presented the outline of a formalization of affine schemes that:

- uses a point-free, constructive Zariski lattice but follows (& elaborates) the textbook strategy $D(f) \mapsto R[1/f]$
- uses a simple algebraic observation and univalence to make the construction work out of the box! (sort of)

What lies ahead:

- define (spectral) schemes as ringed lattice
- show that classically those are actually quasi-compact, quasi-separated schemes
- projective schemes

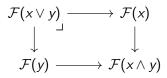
Thank You

Sheaves

Idea: restrict sheaf definition for locales to finite covers.

Presheaf $\mathcal{F}: L^{op} \to \mathcal{C}$ is sheaf on distributive lattice L iff:

- ullet $\mathcal{F}(\perp)$ is the terminal object in \mathcal{C}
- $\forall x, y \in L$ the following is a pullback square



Links to library

- The Zariski lattice
- General construction of presheaf and lemma for sheaf property (lines 532 & 633)
- Key lemma from univalence (line 298)

Or just click your way through, starting here (def. of the structure sheaf)

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