Syntax for two-level type theory

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1 Introduction

In homotopy type theory [13] (HoTT), properties that are not invariant under homotopy cannot be expressed internally. An important case is the concept of semisimplicial types, whose definition is so far elusive in HoTT. Voevodsky defined a special Homotopy Type System [14] (HTS) as a formal theory which allows constructions that require access to non-homotopy-invariant notions. Two-Level Type Theory [2] (2LTT) is envisioned to be a variant of HTS, and is composed of two separate levels of types: the outer level is Martin-Löf type theory plus the uniqueness of identity proofs [12] (UIP); the inner level is HoTT. These levels are related by a conversion function from the inner to the outer level that preserves context extensions.

The paper [2] proposes a semantics for 2LTT based on categories with families [7], which justifies reasoning *inside* the inner system with the full power of HoTT, and reasoning *about* the inner system within the outer system to circumvent a number of expressive limits of the former. With this approach it is possible to study properties of HoTT syntactically in the two-level system, and, by conservativity [4], to reflect them back in the HoTT world. Among the applications of this approach are results on Reedy fibrant diagrams [2], the Univalence Principle [1], and internal ∞ -categories with families [8], which have been suggested as a way to overcome known difficulties one encounters when formalising type theory in type theory. In summary, despite the intrinsic expressive and proving power of HoTT, a wide range of results rely on meta-reasoning and meta-principles, which cannot entirely be formalised within the theory. The two-level approach formalises these meta-principles in a theory which is compatible both technically and philosophically with HoTT, allowing for their mechanisation. However, the syntax of 2LTT is just sketched in [2].

2 Syntax

In this contribution, we propose a system of inference rules for 2LTT with an infinite hierarchy of Tarski-style universes as uniform constructions [10]; the rules allow us to define the syntax in detail, clearly illustrating the behaviour of the two levels, and how they interact. In contrast to [2], we pay particular attention to the definition of Tarski-style universes, following the guidelines of [10]: other than the function El_i , which maps the codes $A:\mathcal{U}_i$ into types $\mathsf{El}_i(A)$ type and is present in [2], we introduce a function lift_i mapping terms of one universe $A:\mathcal{U}_i$ into terms of the next one, lift_i(A): \mathcal{U}_{i+1} . In [2], the lift operation is not present, and the universes are cumulative. In our system those two functions commute: if $\Gamma \vdash A:\mathcal{U}_i$, then $\Gamma \vdash \mathsf{El}_{i+1}(\mathsf{lift}_i(A)) \equiv \mathsf{El}_i(A)$ type. The same happens for inner types; indeed, A type means that A is an outer type, while A type^o means that A is an inner type. This emphasises another difference between our approach and the $2\mathsf{LTT}$ paper: we do not have a size for types; on the contrary, in [2] it is specified as A type_i or A type_i: if $A:\mathcal{U}_i$, then $\mathsf{El}_i(A)$ type_i. Moreover, besides the conversion function c from inner to outer types introduced in [2], we define a conversion function c' from

inner to outer codes, i.e., terms of the universes: if $A: \mathcal{U}_i^o$, then $c'(A): \mathcal{U}_i$. It is required that El, lift, c and c' commute. We formalise the fact that the conversion function preserves context extension by introducing a notion of equivalence between contexts together with the rule

$$\frac{\Gamma \vdash A \operatorname{type}^o}{\Gamma, x : A \operatorname{ctx} \equiv \Gamma, y : c(A) \operatorname{ctx}} \equiv -\operatorname{ctx-EXT}$$

Then, we define a generalisation of the notion of category with families which allows us to interpret our formalisation of the two levels and the Tarski-style universes, called *two-level model*, together with a notion of morphism between models. We plan to show the compatibility of our system with the (almost) standard semantics for 2LTT by proving an initiality result; this will essentially extend recent work for Martin-Löf type theory by Brunerie, de Boer, Lumsdaine, and Mörtberg [3, 9, 6]. We define the syntactical two-level model by quotienting the syntax, similar to [11, 5], and prove that it is the initial object in the category of models.

Our long term goal is to develop the basis for a proof assistant that implements 2LTT and allows one to use additional inner and outer axioms, some of which have been already suggested [2], to formalise in parallel the inner and outer levels, and their relations.

3 Open questions

There are some open issues, which we hope to understand better in the future:

- 1. Can some of the conversion, lift and El maps be made "silent", to make the use of a potential proof assistant more convenient?
- 2. The rule $\equiv -\text{ctx} \text{EXT}$ aims to avoid cumulativity (which can create some difficulties with typing), but remain as close as possible to it. What models can we hope for?
- 3. In the current version, we use judgmental equality of contexts; is this too strict for the purpose of construction of models? What are the proof-theoretic consequences?

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