Internal and Observational Parametricity for Cubical Agda

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Question

Best way to provide *free theorems* to the proof assistant user?

A free theorem

Conjecture (normal DTT)

$$p: (X: \mathsf{Type}) o X o X o X$$
 then $p \equiv \lambda X \times y. \times \mathsf{OR} \qquad p \equiv \lambda X \times y. y$

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$$p: (X: \mathsf{Type}) \to X \to X \to X$$
 then
$$p \equiv \lambda X \times y. \times \qquad \mathsf{OR} \qquad p \equiv \lambda X \times y. \ y$$

Intuition

- p can not case on X : Type
- i.e. *p* is "parametric"

[Reynolds 1983] gived us a definition

p is parametric



• p preserves logical relations

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for $p:(X:\mathsf{Type})\to X\to X\to X$ this unfolds to

• $(R: X_0 \rightarrow X_1 \rightarrow \mathsf{Type}) \rightarrow$

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- $R(p X_0 x_0 y_0)(p X_1 x_1 y_1)$

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- $R(p X_0 x_0 y_0)(p X_1 x_1 y_1)$
- fact: p param. $\Longrightarrow (p \equiv \lambda X \times y. \times OR \quad p \equiv \lambda X \times y. y)$

2 solutions

Q: Bring free theorems to proof assistants?

A1: Parametricity translations (only alluded to)

A2: Internally parametric DTTs note: interval-based

As we will see, A1 & A2 offer opposite tradeoffs

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Bridges are synthetic log. relations $\overline{M}: \operatorname{Bridge_{Mon}} M_0 M_1$ Paths are synthetic isomorphisms $\overline{M}: M_0 \equiv_{\operatorname{Mon}} M_1$

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• In this setting, param. = functions preserve bridges

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\vdash \mathsf{intparam} : (p : (a : A) \to B \ a) \to (\overline{a} : \mathsf{Bridge}_A \ a_0 \ a_1) \to \mathsf{BridgeP}_{x. \ B(\overline{a} \ x)} \ (p \ a_0) \ (p \ a_1) \\ \vdash \mathsf{intparam} \ p \ \overline{a} := \lambda x. \ p \ (\overline{a} \ x)
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• (and free theorems can be proved from intparam + other prims)

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E.g. [Cavallo & Harper 2021] (CH):

Internal parametricity for cubical type theory extends HoTT!

Agda --bridges implements (variant of) CH on top of Agda --cubical

 $\begin{array}{cccc} \mathsf{HoTT} \ (\mathsf{cart}. \ \mathsf{CTT}) & \longrightarrow & \mathsf{CH} \\ & \mathsf{Agda} \ \mathsf{--cubical} & \longrightarrow & \mathsf{Agda} \ \mathsf{--bridges} \end{array}$

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- First practical proof assistant with internal parametricity
- Agda --bridges successfully typechecks the cubical library
- Thus univalence and funExt available

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- Essentially

$$\mathsf{Bridge}_{\mathcal{A}}\,\mathsf{a}_0\,\mathsf{a}_1\approx\{\overline{\mathsf{a}}:\mathsf{BI}\to \mathsf{A}|\,\overline{\mathsf{a}}\,\mathsf{bi}0=\mathsf{a}_0,\,\overline{\mathsf{a}}\,\mathsf{bi}1=\mathsf{a}_1\}$$

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Rules enforce: BI inputs are consumed sub-structurally ("affinely")

Some equations of CH can not be stated without that

Impl: raise freshness constraints at TC time

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Extension of @tick annotation from Agda --guarded

• Similarly, \exists types of dependent bridges BridgeP... $(\bar{a} : \forall (x : BI)...)$

Other Agda --bridges primitives

$$\begin{array}{c} \operatorname{\mathsf{extent}} A \, B \, (\mathit{f}_0 \, \mathit{f}_1 \, : \, A \to B) \, : \\ (\forall \mathit{a}_0 \, \mathit{a}_1. \, \mathsf{Bridge}_A \mathit{a}_0 \, \mathit{a}_1 \to \mathsf{Bridge}_B \, (\mathit{f}_0 \, \mathit{a}_0) \, (\mathit{f}_1 \, \mathit{a}_1)) & \longrightarrow & \mathsf{Bridge}_{A \to B} \, \mathit{f}_0 \, \mathit{f}_1 \\ \\ \operatorname{\mathsf{Gel}} A_0 \, A_1 \, : \, (A_0 \to A_1 \to \mathsf{Type}) & \longrightarrow & \mathsf{Bridge}_{\mathsf{Type}} \, A_0 \, A_1 \end{array}$$

+ rules proving they are \simeq

Also: redesigned transp, hcomp operations from --cubical See paper

Low level free theorem in Agda --bridges

```
lowChurchBool: (\forall (X : \mathsf{Type}) \to X \to X \to X) \simeq \mathsf{Bool} -- Church encoding
lowChurchBool = isoToEquiv (iso chToBool boolToCh (\lambda { true \rightarrow refl ; false \rightarrow refl })
  \lambda k \rightarrow \text{funExt } \lambda A \rightarrow \text{funExt } \lambda t \rightarrow \text{funExt } \lambda f \rightarrow \text{param-prf } k A t f
  where
     boolToCh : Bool \rightarrow (\forall (X : Type) \rightarrow X \rightarrow X \rightarrow X)
     boolToCh true X \times t \times f = xt
     boolToCh false X \times t \times f = xf
     chToBool : (\forall (X : \mathsf{Type}) \to X \to X \to X) \to \mathsf{Bool}
     chToBool k = k Bool true false
     module CH-inverse-cond (k: \forall (X: \mathsf{Type}) \to X \to X) (A: \mathsf{Type}) (t \ f: A) where
        R: Bool \rightarrow A \rightarrow Type
        R = \lambda \ b \ a \rightarrow (boolToCh \ b \ A \ t \ f) \equiv a
        k\text{-Gelx}: (@tick \ x : BI) 	o Gel \ Bool \ A \ R \ x 	o Gel \ Bool \ A \ R \ x
        k-Gelx x = k (Gel Bool A R x)
        k-Gelx-gel-gel : (\mathbb{Q}tick x : \mathsf{BI}) \to Gel Bool A R x
        k-Gelx-gel-gel x = \text{k-Gelx } x \text{ (gel true } t \text{ (refl) } x) \text{ ((gel false } f \text{ (refl) } x))
        asBdg : BridgeP (\lambda x \rightarrow \text{Gel Bool } A R x) (k Bool true false) (k A t f)
        asBdg x = k-Gelx-gel-gel x
        param-prf : R (k Bool true false) (k A t f)
        param-prf = ungel \{R = R\} \lambda x \rightarrow asBdg x
     open CH-inverse-cond
```

Drawbacks

Low-level proofs work but

- Require familiarity with extent, Gel, substructural BI
- Lack compositionality. How to reuse for similar free theorems?
- From experience, do not scale

Internally param. DTT vs translations

	Low-level Agdabridges	Param. translation
$Bool \simeq \forall X.X \to X \to X$	✓	X
proof work	✗ (understand Gel,)	✓ (call the transl.)
proof reuse	×	/

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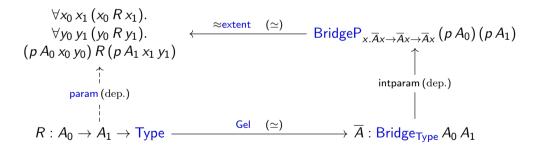
Contrib. # 2: attempt at merging 2 methods In 2 steps.

Step 1: Structure relatedness principle is enough

param = preservation of **logical relations** (not just bridges) How to get param? E.g. for $p: \forall X. X \to X \to X$

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$$\forall x_0 \ x_1 \ (x_0 \ R \ x_1).$$

$$\forall y_0 \ y_1 \ (y_0 \ R \ y_1).$$

$$(p \ A_0 \ x_0 \ y_0) \ R \ (p \ A_1 \ x_1 \ y_1)$$

$$\uparrow$$

$$param \ (dep.)$$

$$\downarrow$$

$$R : A_0 \rightarrow A_1 \rightarrow \mathsf{Type}$$

$$\Rightarrow extent \ (\simeq)$$

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$$\Rightarrow \mathsf{BridgeP}_{x.\overline{A}x \rightarrow \overline{A}x \rightarrow \overline{A}x} \ (p \ A_0) \ (p \ A_1)$$

$$\uparrow$$

$$\mathsf{intparam} \ (dep.)$$

$$\downarrow$$

$$R : BridgeT_{\mathsf{type}} \ A_0 \ A_1$$

 $SRP = horizontal \simeq$'s at all types and type families

Stating the SRP (meta)

The SRP is a bridge version of the SIP. The SRP at *A* : Type reads:

• There is an "observational" characterization $Bridge_A a_0 a_1 \simeq ...$

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Examples

- For Type and Π, use Gel and extent
- For Mon, log. relations of monoids
- For hSet, hset-valued relations ,etc,...

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Assume A has SRP. The SRP at $B: A \rightarrow \mathsf{Type}$ reads:

• There is an "observational" characterization $\operatorname{BridgeP}_{\times. B(\overline{A}\times)} b_0 b_1 \simeq ...$

Example for family List: Type \rightarrow Type BridgeP_{x.List(\overline{A}_x)} as₀ as₁ \simeq {bs | list of bridges}

Proving the SRP by hand is difficult, more so than the SIP.

• extent has *two* bridge types in its domain c.f. funext $(\forall a_0 \ a_1. \ \mathsf{Bridge}_A a_0 \ a_1 \to \mathsf{Bridge}_B (f_0 \ a_0) (f_1 \ a_1)) \simeq \mathsf{Bridge}_{A \to B} f_0 f_1$

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- When proving the SIP, can discard proof-irrelevant fragment of type
 - If line of props $P: I \to \mathsf{Type}$, is $\mathsf{Contr}(\mathsf{PathP}_{i.P\,i}\,p_0\,p_1)$
 - If line of props $P : \mathsf{BI} \to \mathsf{Type}$, is $\mathsf{Prop}(\mathsf{BridgeP}_{x.Px} p_0 p_1)$

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```
Step 2: we have a DSL for SRP proofs. Idea:
```

```
{ types with the SRP } =: "relativistic refl. graphs" c.f. univalent groupoids
```

Step 2: a library for SRP proofs

The library is called ROTT ("relational observational type theory") features observational param as a "rule"

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```
-- 1) write type using ROTT library
X \models X \rightarrow X \rightarrow X: DispRRG TypeRRG
X \models X \rightarrow X \rightarrow X = \rightarrow \text{form } (X \models E \mid X) (\rightarrow \text{form } X \models E \mid X \mid X \models E \mid X) -- \text{der. tree}
-- 2) call param theorem
highChurchBool: (\forall (X : \mathsf{Type}) \to X \to X \to X) \simeq \mathsf{Bool} -- \mathsf{Church} \ \mathsf{encoding}
highChurchBool = isoToEquiv (iso chToBool boolToCh (\lambda { true \rightarrow refl ; false \rightarrow refl })
   \lambda k \rightarrow \mathsf{funExt} \ \lambda A \rightarrow \mathsf{funExt} \ \lambda t \rightarrow \mathsf{funExt} \ \lambda f \rightarrow
   param TypeRRG X\modelsX\rightarrowX\rightarrowX k Bool A (\lambda b a \rightarrow boolToCh b A t f \equiv a) -- param call
      true t refl false f refl)
  where
      boolToCh : Bool \rightarrow (\forall (X : Type) \rightarrow X \rightarrow X \rightarrow X)
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- x no automation for derivation tree (yet?)
- X no support for iterated parametricity
- ROTT is similar in scope to "Internal parametricity, without an interval" – Altenkirch et. al" Discussed in the present session. Note: ROTT has no syntax

Proved examples

- Simple free theorems involving List
- A scheme of Church encodings (containers)
- ullet Reynolds abstraction thm. (pred.) Sys F \longrightarrow RRG
- param for $k : \forall (M : PreMnd)$. List $(MA) \rightarrow MA$ Voigtländer 09

wip

Making Agda --bridges compatible with HITs

Church encodings for QITs (e.g.) read as soundness-completeness

- $\mu F \simeq \forall (A : Alg F). A.carr$
- "Operations obtainable syn. are operations that exist in all models"
- "equations in the syntax are equations in all models" ...
- FreeGrp $A \simeq \forall (Gg : (G : \mathsf{Grp}) \times (A \to G))$. $G.\mathsf{carr}$

What about coinductives?

- $\nu F \simeq \exists (C : \mathsf{Coalg} F). C.\mathsf{carr}$
- where $\exists AB = (\Sigma AB)/\text{bridges}$