Oracle modalities

Andrew W Swan

Carnegie Mellon University

May 23, 2023

What is an oracle?

Definition (Turing '36)

A partial function $\mathbb{N} \to \mathbb{N}$ is *computable* if it can be computed by a Turing machine (a computer program).

Key idea: We can encode computer programs as natural numbers. We write the partial function encoded by e as φ_e .

Theorem (Turing '36)

There is at least one non computable function.

Proof.

$$\kappa(n) := egin{cases} 1 & arphi_n(n) \downarrow = 0 \ 0 & ext{otherwise} \end{cases}$$



Definition (Turing '39)

An oracle Turing machine is a computer program that can query information from an outside source (an oracle). We say a partial function $f: \mathbb{N} \to 2$ is computable relative to $\chi: \mathbb{N} \to 2$ if we can compute f using χ as an oracle.

We also say that f is *Turing reducible to* χ and write $f \leq_{\mathcal{T}} \chi$. Note that this defines a preorder on functions $\mathbb{N} \to 2$. We refer to the poset reflection of this preorder as the *Turing degrees*.

Example

A web browser can send queries (http requests) to a server and receive back information (webpages).

Queries can depend on the result of previous queries. E.g. a webbrowser can request all the images mentioned on a webpage that it just received.

Computability in topos theory

Theorem (Hyland '82)

The Turing degrees embed into the lattice of subtoposes of the effective topos, \mathcal{E} ff.

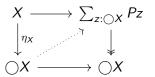
Key ideas:

- ▶ Set is a subtopos of $\mathcal{E}ff$. Write ∇ for the corresponding sheafification monad.
- ► For objects $X, Y \in \mathcal{E}ff$, every morphism $X \to Y$ is computable.
- Applying ∇ "erases computational information:" we can think of maps $\nabla X \to \nabla Y$ as non computable functions $X \to Y$.
- ▶ For a given $\chi: \nabla X \to \nabla Y$, we can consider the largest subtopos of $\mathcal{E}ff$ containing χ . The morphisms in the subtopos are *computable relative to* χ .

Modalities

Definition (Rijke, Shulman, Spitters)

A uniquely eliminating modality is an operation on types $\bigcirc: \mathcal{U} \to \mathcal{U}$ together with unit $\eta_X: X \to \bigcirc X$ for each $X: \mathcal{U}$ such that the canonical map $\prod_{z:\bigcirc X} \bigcirc (P(z)) \to \prod_{x:X} \bigcirc P(\eta_X(x))$ is an equivalence for $X: \mathcal{U}$ and $P:\bigcirc X \to \mathcal{U}$:



A type X is

- ▶ \bigcirc -modal if $\eta_X : X \to \bigcirc X$ is an equivalence.
- ▶ \bigcirc -separated if for all x, y : X, x = y is \bigcirc -modal.
- O-connected if OX is contractible.

Definition

Let $a: A \vdash B(a)$ be a family of types. A type X is B-null if for all a: A the canonical map $X \to X^{B(a)}$ is an equivalence:



Theorem (Rijke, Shulman, Spitters)

There is a modality \bigcirc_B , defined as a higher inductive type, such that a type is \bigcirc_B -modal precisely if it is B-null.

Cubical Assemblies

Carrying out the construction of cubical sets internally in the category of assemblies, we can get a realizability model of HoTT:

Theorem (Uemura)

The category of cubical assemblies consists of cubical sets constructed internally in the lcc of assemblies. Cubical assemblies form a model of cubical type theory and thereby HoTT.

Theorem (S, Uemura)

Cubical assemblies have a reflective subuniverse that satisfies Church's thesis "all functions are computable."

Cubical Assemblies

Carrying out the construction of cubical sets internally in the category of assemblies, we can get a realizability model of HoTT:

Theorem (Uemura)

The category of cubical assemblies consists of cubical sets constructed internally in the lcc of assemblies. Cubical assemblies form a model of cubical type theory and thereby HoTT.

Theorem (S, Uemura)

Cubical assemblies have a reflective subuniverse that satisfies Church's thesis "all functions are computable."

We can use Church's thesis to give an example of a map $\chi:\mathbb{N}\to\nabla 2$ that does not extend to a map $\mathbb{N}\to 2$: the characteristic function of the halting set.

¬¬-sheafification

Definition

Write ∇ for $\neg\neg$ -sheafification, i.e. nullification of all propositions P such that $\neg\neg P$ is true.

In general the existence of ∇ in cubical assemblies is problematic due to size issues. However, for many purposes we can use the 0-truncated version.

Theorem (S)

 ∇_0 , the modality reflecting onto 0-truncated $\neg\neg$ -sheaves, exists in cubical assemblies. Moreover, we can describe it explicitly: $\nabla_0 X$ is the discrete, uniform cubical assembly on the connected components of X.

Oracle modalities

Definition

For oracles \bigcirc and \bigcirc' we say \bigcirc is *Turing reducible* to \bigcirc' and write $\bigcirc \leq_{\mathcal{T}} \bigcirc'$ if every \bigcirc -connected type is \bigcirc' -connected.

Definition

Given $\chi: A \to \nabla B$ the associated *oracle modality*, \bigcirc_{χ} is the nullification of $a: A \vdash \chi(a) \downarrow$.

Intuition:

- ▶ Any function in cubical sets appears as a map $\chi: A \to \nabla B$ in cubical assemblies.
- ightharpoonup is the smallest modality forcing χ to be a total function.

Since \bigcirc_{χ} is a special case of nullification, we can view it as a HIT. The point constructors are the same as I/O monads (free monads on polynomial endofunctors), but it also has path constructors:

- ► For x: X we have $\eta(x): \bigcirc_{\chi} X$. "Everything computable without the oracle is still computable with it."
- ▶ Given a: A and $f: \chi(a) \downarrow \to \bigcirc_{\chi} X$, then $\sup(a, f): \bigcirc_{\chi} X$. "If we can compute an element of $\bigcirc_{\chi} X$ by querying the oracle at a, then it is oracle computable."

Since \bigcirc_{χ} is a special case of nullification, we can view it as a HIT. The point constructors are the same as I/O monads (free monads on polynomial endofunctors), but it also has path constructors:

- ► For x: X we have $\eta(x): \bigcirc_{\chi} X$. "Everything computable without the oracle is still computable with it."
- ▶ Given a: A and $f: \chi(a) \downarrow \to \bigcirc_{\chi} X$, then $\sup(a, f): \bigcirc_{\chi} X$. "If we can compute an element of $\bigcirc_{\chi} X$ by querying the oracle at a, then it is oracle computable."
- ▶ If $z: \chi(a) \downarrow$ then $\sup(a, f) = f(z)$. "Only the final value of the computation matters: computing the same thing with the oracle and without the oracle are propositionally equal."

Since \bigcirc_{χ} is a special case of nullification, we can view it as a HIT. The point constructors are the same as I/O monads (free monads on polynomial endofunctors), but it also has path constructors:

- ► For x: X we have $\eta(x): \bigcirc_{\chi} X$. "Everything computable without the oracle is still computable with it."
- ▶ Given a: A and $f: \chi(a) \downarrow \to \bigcirc_{\chi} X$, then $\sup(a, f): \bigcirc_{\chi} X$. "If we can compute an element of $\bigcirc_{\chi} X$ by querying the oracle at a, then it is oracle computable."
- If $z: \chi(a) \downarrow$ then $\sup(a, f) = f(z)$. "Only the final value of the computation matters: computing the same thing with the oracle and without the oracle are propositionally equal."
- The above two constructors also apply to paths. "If we can compute a path using an oracle query to a, then the path belongs to ○√√."

Dimension shift

Theorem (Christensen, Opie, Rijke, Scoccola)

For any modality \bigcirc there is a modality \bigcirc = such that a type X is \bigcirc =-modal iff it is \bigcirc -separated. For nullification of a : $A \vdash B(a)$ we can describe it explicitly as nullification of the pointwise suspension a : $A \vdash \Sigma B(a)$.

Dimension shift

Theorem (Christensen, Opie, Rijke, Scoccola)

For any modality \bigcirc there is a modality \bigcirc = such that a type X is \bigcirc =-modal iff it is \bigcirc -separated. For nullification of $a:A \vdash B(a)$ we can describe it explicitly as nullification of the pointwise suspension $a:A \vdash \Sigma B(a)$.

Intuition: We can use an oracle χ to construct paths in $\bigcirc_{\chi}^= X$ but not points. More formally,

Observation

Any $\neg\neg$ -separated set is $\bigcirc_{\chi}^{=}$ -separated.

Any map $\mathbb{N} \to \bigcirc_{\chi}^{=} \mathbb{N}$ is computable, but e.g. there can be a map $\mathbb{N} \to \bigcirc_{\chi}^{=} \mathbb{S}^1$ equal to loop if $\varphi_e e \downarrow$ and otherwise refl. In fact,

$$\pi_1(\bigcirc_{\chi}^{=}\mathbb{S}^1) = \bigcirc_{\chi}\mathbb{Z} \neq \bigcirc_{\chi}^{=}\mathbb{Z} = \mathbb{Z}$$

Observation

Suppose that $\bigcirc_{\beta} \leq_{\mathcal{T}} \bigcirc_{\alpha}^{=}$. Then β is computable.

Proof.

The generators of \bigcirc_{β} are -1-truncated. Hence they are $\bigcirc_{\alpha}^=$ -modal. By the assumption $\bigcirc_{\beta} \leq_{\mathcal{T}} \bigcirc_{\alpha}^=$ they are also \bigcirc_{α} -connected. Hence they are contractible, which can only happen when β is already computable.

Q: What happens in higher dimensions, when we can no longer assume the generators are *n*-truncated?

- ► The Turing degrees and the homotopy groups of spheres are both well studied objects with rich mathematical structure.
- ► There are very simple examples of modalities in cubical assemblies that inherit characterisitics from both structures.
- We leave it for future work to find more interesting examples of interaction between computability theory and homotopy theory.

Some related results have been formalised in cubical Agda https://github.com/awswan/oraclemodality.

Thanks for your attention!