

# OPERATING SYSTEMS

## Chapter 03a

# File-System Interface



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- **File Concept**
- **Access Methods**
- **Disk and Directory Structure**
- **File-System (FS) Mounting**
- **File Sharing**
- **Protection**

- To explain the function of FSs
- To describe the interfaces to FSs
- To discuss FS design tradeoffs, including access methods, file sharing, file locking, and directory structures
- To explore FS protection

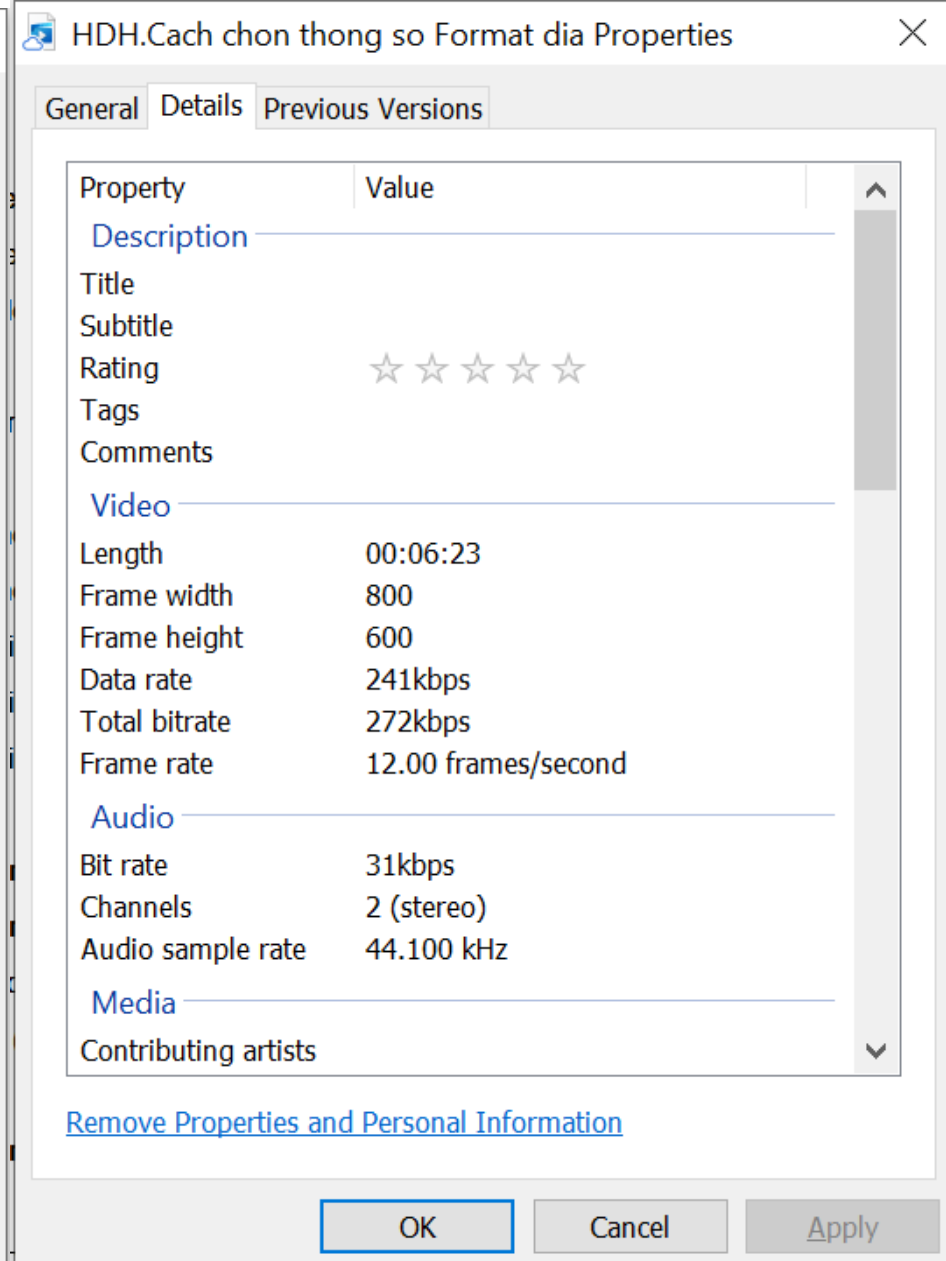
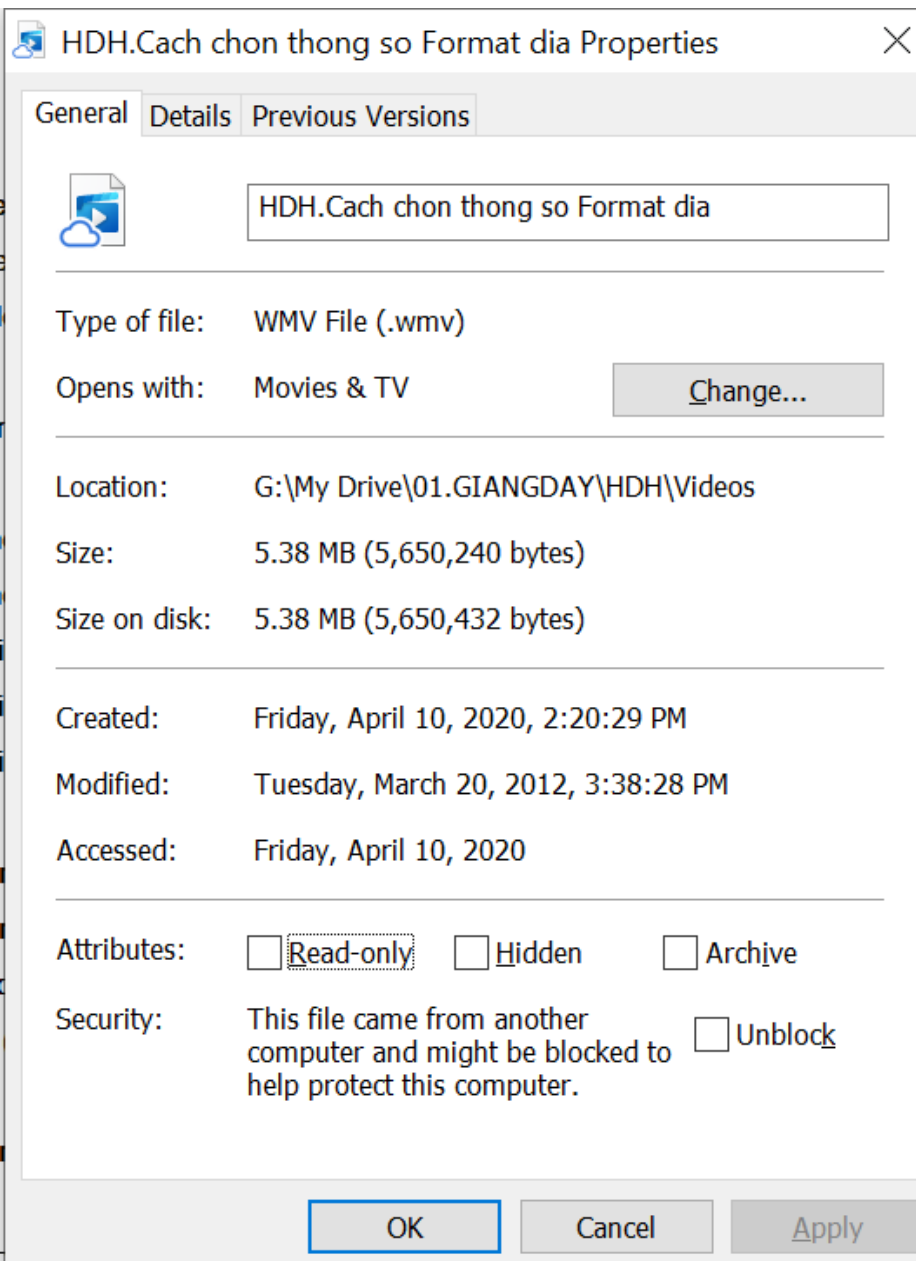
- Computer store information in storage media (*disk, disc, flash card,...*). The OS provides a logical view of them, and this logical storage unit is a file.
- The information stored in files are not lost during power failures. A file is named collection of related information that is stored on physical storage.
- A file is a sequence of bits, bytes, lines, or records defined by its owner or creator.

- Text file – It has a sequence of characters.
- Source file – It has subroutines and function that are compiled later (*to object / executable file*)
- Executable file – The binary code that the loader brings to memory for execution (*is stored in an exe file*)
- Image file – It has visual information such as photographs, vectors art and so on.
- Audio /Video file

They can be different on many OSs. Common properties:

- **Name** – unique name for a human to recognize the file.
- **Identifier** – A unique number tag that identifies the file in the FS.
- **Type** – Information about the file to get support from the system.
- **Size** – The current size of the file in bytes, kilobytes, or words.
- **Access Control** – Defines who could read, write, execute, change, or delete the file in the system.
- **Time, Date, and User identification** –kept for date created, last modified, or accessed and so on.

# An Video file properties on Windows OS



- **Create**
- **Write** – at **write pointer** location
- **Read** – at **read pointer** location
- **Reposition within file** - **seek**
- **Delete**
- **Truncate**
- ***Open* ( $F_i$ )** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory



Several pieces of data are needed to manage open files:

- **Open-file table:** tracks open files
- **File pointer:** pointer to last read/write location, per process that has the file open
- **File-open count:** counter of number of times a file is open – to allow removal of data from open-file table when last processes closes it
- **Disk location of the file:** cache of data access information
- **Access rights:** per-process access mode information

- Provided by some operating systems and file systems
  - Similar to reader-writer locks
  - **Shared lock** similar to reader lock – several processes can acquire concurrently
  - **Exclusive lock** similar to writer lock
- Mediates access to a file
- Mandatory or advisory:
  - **Mandatory** – access is denied depending on locks held and requested
  - **Advisory** – processes can find status of locks and decide what to do

- None - sequence of words, bytes
- Simple record structure
  - Lines
  - Fixed length
  - Variable length
- Complex Structures
  - Formatted document
  - Relocatable load file
- Can simulate last two with first method by inserting appropriate control characters
- Who decides:
  - Operating system
  - Program

# Access Methods

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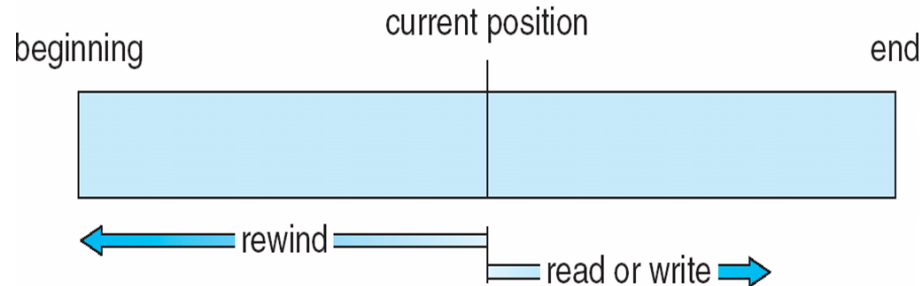
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- A file is fixed length **logical records**
- **Sequential Access**
- **Direct Access**
- **Other Access Methods**

# Sequential Access

- Operations
  - read next
  - write next
  - Reset
  - no read after last write (rewrite)

- Figure



- Operations
  - **read  $n$**
  - **write  $n$**
  - **position to  $n$** 
    - **read next**
    - **write next**
    - **rewrite  $n$**

$n$  = **relative block number**

- Relative block numbers allow OS to decide where file should be placed

# Simulation of Sequential Access on Direct-access File

sequential access	implementation for direct access
<i>reset</i>	<i>cp = 0;</i>
<i>read next</i>	<i>read cp;</i> <i>cp = cp + 1;</i>
<i>write next</i>	<i>write cp;</i> <i>cp = cp + 1;</i>

# Other Access Methods

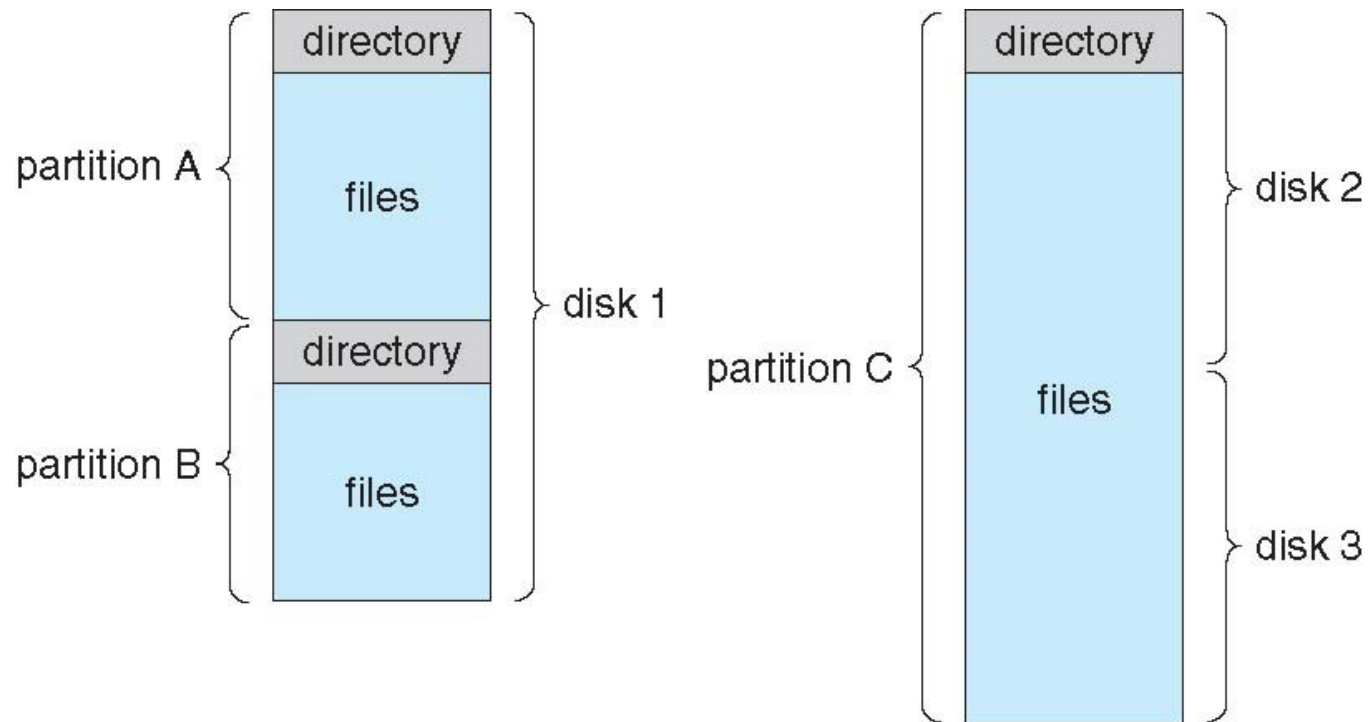
- Can be other access methods built on top of base methods
- General involve creation of an **index** for the file
- Keep index in memory for fast determination of location of data to be operated on (consider Universal Produce Code plus record of data about that item)
- If the index is too large, create an in-memory index, which an index of a disk index
- IBM indexed sequential-access method (ISAM)
  - Small master index, points to disk blocks of secondary index
  - File kept sorted on a defined key
  - All done by the OS
- VMS OS provides index & relative files as another example



# Disk Structure

- Disk can be subdivided into **partitions**
- Disks or partitions can be **RAID** protected against failure
- Disk or partition can be used **raw** – without a FS, or **formatted** with a FS
- Partitions also known as minidisks, slices
- Entity containing FS is known as a **volume**
- Each volume containing a FS also tracks that FS's info in **device directory** or **volume table of contents**
- In addition to **general-purpose FSs** there are many **special-purpose FSs**, frequently all within the same OS or computer

# A Typical File-system Organization

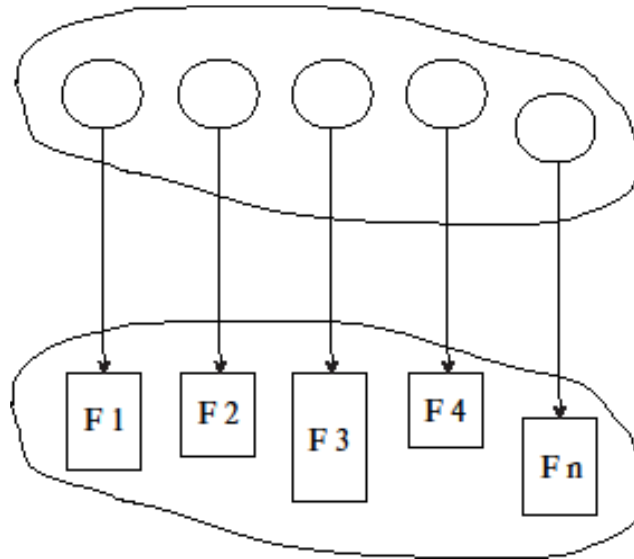


# Types of File Systems

- We mostly talk of general-purpose file systems
- But systems frequently have many file systems, some general- and some special- purpose
- Consider Solaris has
  - tmpfs – memory-based volatile FS for fast, temporary I/O
  - objfs – interface into kernel memory to get kernel symbols for debugging
  - ctfs – contract file system for managing daemons
  - lofs – loopback file system allows one FS to be accessed in place of another
  - procfs – kernel interface to process structures
  - ufs, zfs – general purpose file systems

# Directory Structure

- A collection of nodes containing information about all files



- Both the directory structure and the files reside on disk

# Operations Performed on Directory

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- Search for a file
- Create a file
- Delete a file
- List a directory
- Rename a file
- Traverse the file system

# Directory Organization

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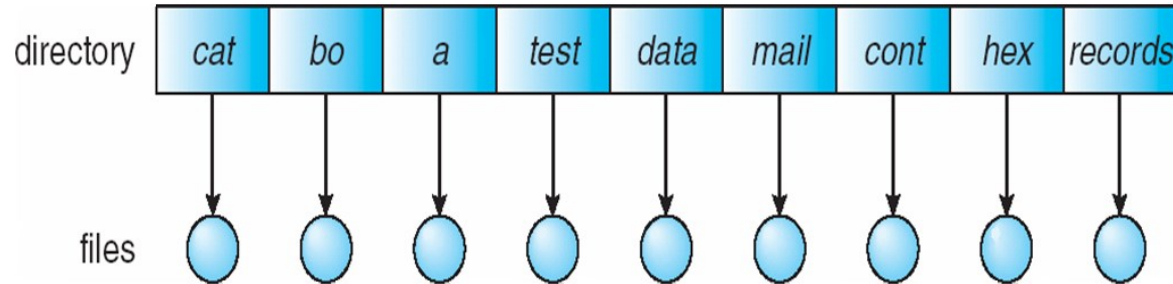
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- Efficiency – locating a file quickly
- Naming – convenient to users
  - Two users can have same name for different files
  - The same file can have several different names
- Grouping – logical grouping of files by properties, (e.g., all Java programs, all games, ...)

# Single-Level Directory

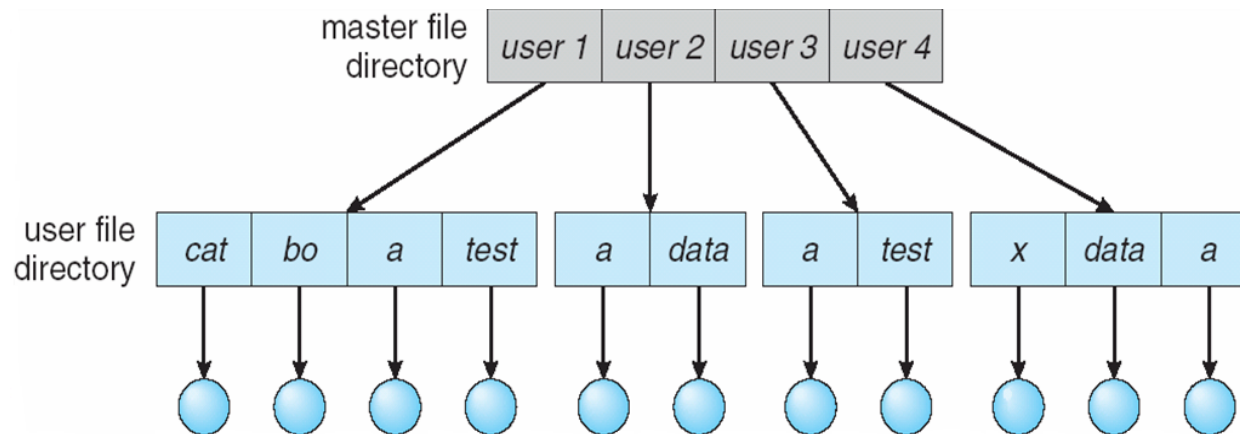
- A single directory for all users



- Naming problem
- Grouping problem

# Two-Level Directory

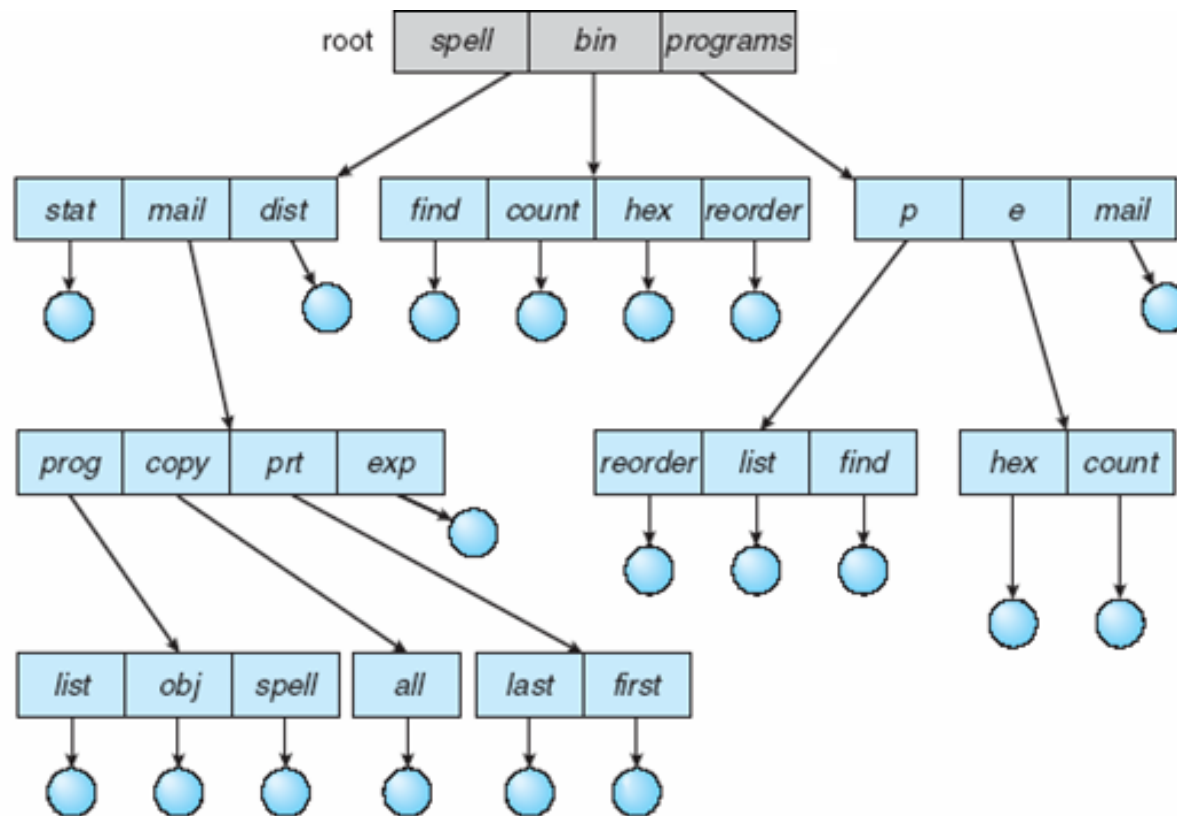
- Separate directory for each user



- Path name
- Can have the same file name for different user
- Efficient searching
- No grouping capability

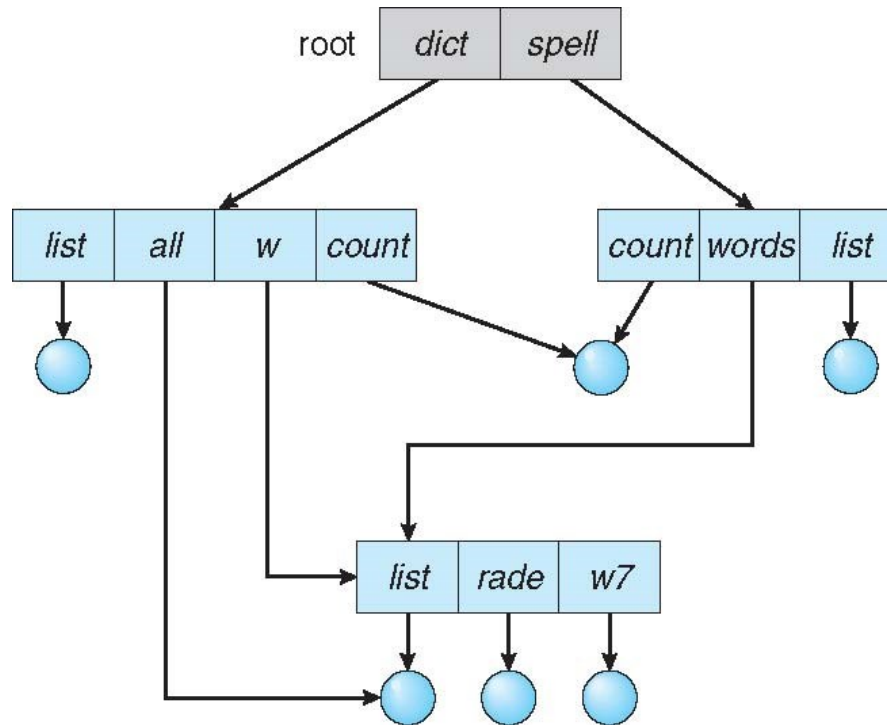


# Tree-Structured Directories



# Acyclic-Graph Directories

- Have shared subdirectories and files
- Example



# Acyclic-Graph Directories (Cont.)

- Two different names (aliasing)
- If *dict* deletes *w/list*  $\Rightarrow$  dangling pointer

## Solutions:

- Backpointers, so we can delete all pointers.
  - Variable size records a problem
- Backpointers using a daisy chain organization
- Entry-hold-count solution
- New directory entry type
  - **Link** – another name (pointer) to an existing file
  - **Resolve the link** – follow pointer to locate the file

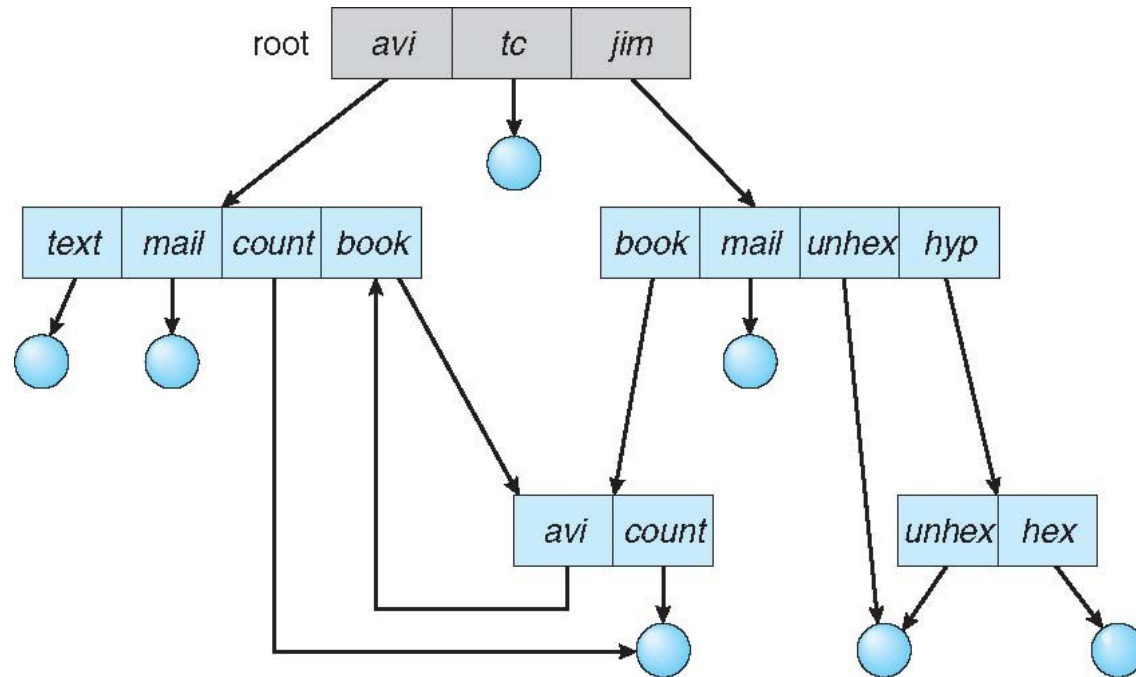
# General Graph Directory

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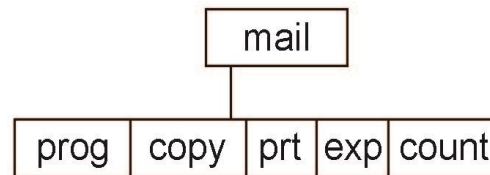


- How do we guarantee no cycles?
  - Allow only links to files not subdirectories
  - **Garbage collection**
  - Every time a new link is added use a cycle detection algorithm to determine whether it is OK

- Can designate one of the directories as the current directory
  - `cd /spell/mail/prog`
  - `type list`
- Creating and deleting a file is done in current directory
- Example of creating a new file
  - If in current directory is `/mail`
  - The command

**`mkdir <dir-name>`**

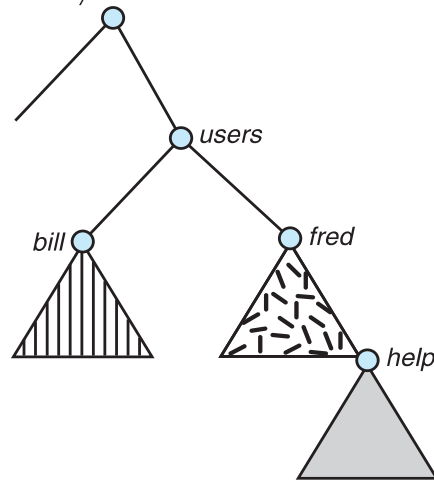
- Results in:



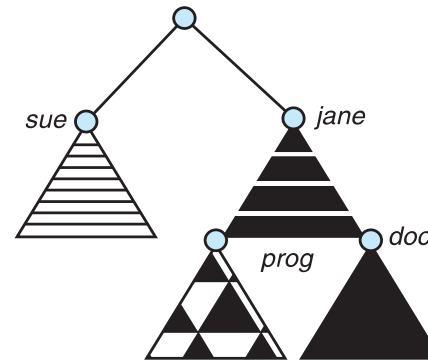
- Deleting “mail”  $\Rightarrow$  deleting the entire subtree rooted by ‘mail’

# File System Mounting

- A file system must be **mounted** before it can be accessed
- Figure (a) is a mounted file system that can be accessed by users.
- Figure (b) is an unmounted files system that cannot be accessed by users

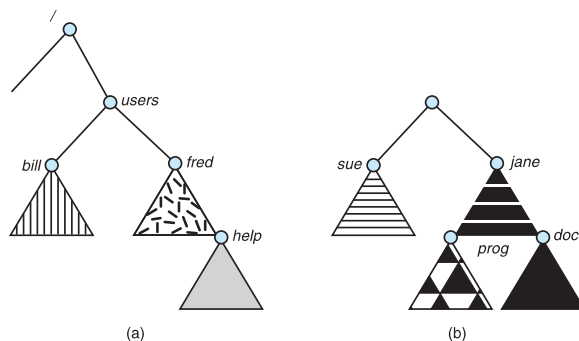


(a)

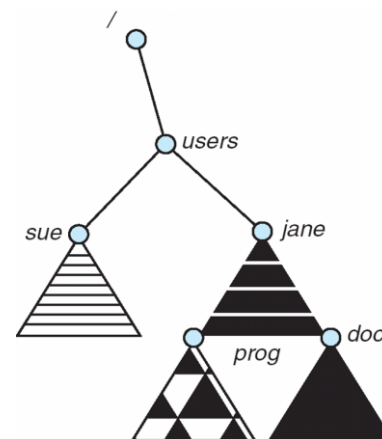


(b)

- Consider the file system of previous slide:



- Mounting (b) over “users” results in



- Sharing of files on multi-user systems is desirable
- Sharing may be done through a **protection** scheme
- On distributed systems, files may be shared across a network
- Network File System (NFS) is a common distributed file-sharing method
- If multi-user system
  - **User IDs** identify users, allowing permissions and protections to be per-user
  - **Group IDs** allow users to be in groups, permitting group access rights
  - Owner of a file / directory
  - Group of a file / directory



# File Sharing – Remote File Systems

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- Uses networking to allow FS access between computing OSs
  - Manually via programs like FTP
  - Automatically, seamlessly using **distributed file systems**
  - Semi automatically via the **world wide web**
- **Client-server** model allows clients to mount remote FSs from servers
  - Server can serve multiple clients
  - Client and user-on-client identification is insecure or complicated
  - **NFS** is standard UNIX client-server file sharing protocol
  - **CIFS** is standard Windows protocol
  - Standard OS file calls are translated into remote calls
- Distributed Information Systems such as LDAP, DNS, NIS, Active Directory implement unified access to information needed for remote computing

# File Sharing – Failure Modes

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- All file systems have failure modes
  - For example, corruption of directory structures or other non-user data, called **metadata**
- Remote file systems add new failure modes, due to network failure, server failure
- Recovery from failure can involve **state information** about status of each remote request
- **Stateless** protocols such as NFS v3 include all information in each request, allowing easy recovery but less security

# File Sharing – Consistency Semantics

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- Specify how multiple users are to access a shared file simultaneously
  - Similar to Ch 5 process synchronization algorithms
    - Tend to be less complex due to disk I/O and network latency (for remote file systems)
  - Andrew File System (AFS) implemented complex remote file sharing semantics
  - Unix file system (UFS) implements:
    - Writes to an open file visible immediately to other users of the same open file
    - Sharing file pointer to allow multiple users to read and write concurrently
  - AFS has session semantics
    - Writes only visible to sessions starting after the file is closed

- File owner/creator should be able to control:
  - What can be done
  - By whom
- Types of access
  - **Read**
  - **Write**
  - **Execute**
  - **Append**
  - **Delete**
  - **List**

# Access Lists and Groups in Unix

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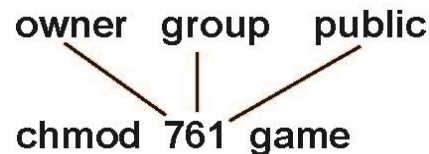
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- Mode of access: read, write, execute
- Three classes of users on Unix / Linux

			RWX
a) owner access	7	⇒	1 1 1
			RWX
b) group access	6	⇒	1 1 0
			RWX
c) public access	1	⇒	0 0 1

- Ask manager to create a group (unique name), say G, and add some users to the group.
- For a file (say *game*) or subdirectory, define an appropriate access.



- Attach a group to a file

**chgrp          G          game**

# A Sample UNIX Directory Listing

```
-rw-rw-r--  1 pbg  staff   31200  Sep  3 08:30  intro.ps
drwx-----  5 pbg  staff    512   Jul  8 09:33  private/
drwxrwxr-x  2 pbg  staff    512   Jul  8 09:35  doc/
drwxrwx---  2 pbg  student  512   Aug  3 14:13  student-proj/
-rw-r--r--  1 pbg  staff   9423   Feb 24 2003  program.c
-rwxr-xr-x  1 pbg  staff  20471   Feb 24 2003  program
drwx--x--x  4 pbg  faculty   512   Jul 31 10:31  lib/
drwx-----  3 pbg  staff   1024   Aug 29 06:52  mail/
drwxrwxrwx  3 pbg  staff    512   Jul  8 09:35  test/
```

# Windows Access-Control List Management

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