



Frequency control and stability requirements on hydro power plants

Sigurd Hofsmo Jakobsen

Department of Electric Power Engineering

October 31, 2019



Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

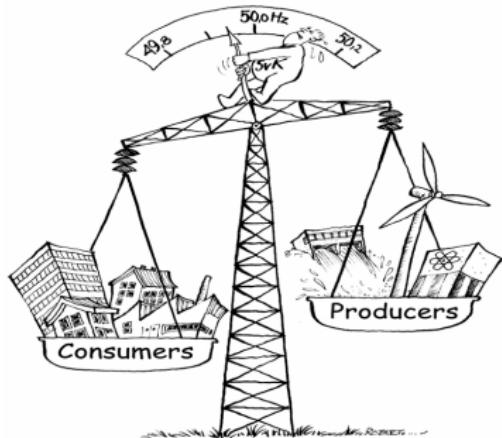
The best way to do the identification Paper VI

Conclusions and further work



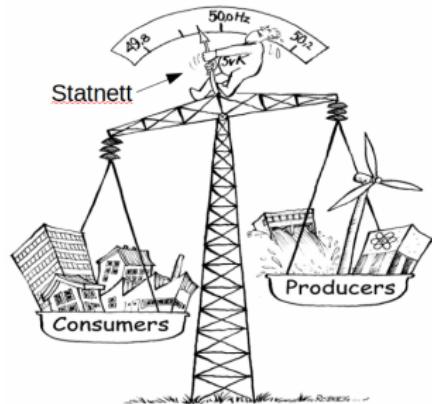
Load and production balancing

- The power system frequency measures the power balance.



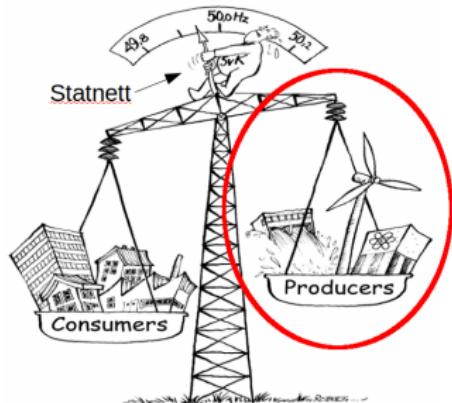
Load and production balancing

- The power system frequency measures the power balance.
- It is the responsibility of the TSOs to control the frequency.



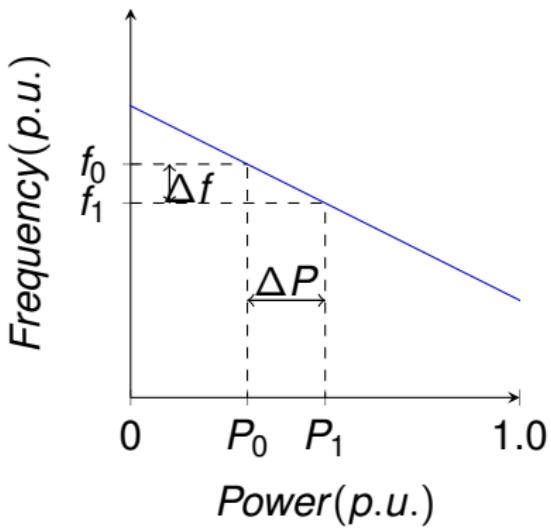
Load and production balancing

- The power system frequency measures the power balance.
- It is the responsibility of the TSOs to control the frequency.
- However, it is the power plant owners who can control the frequency.

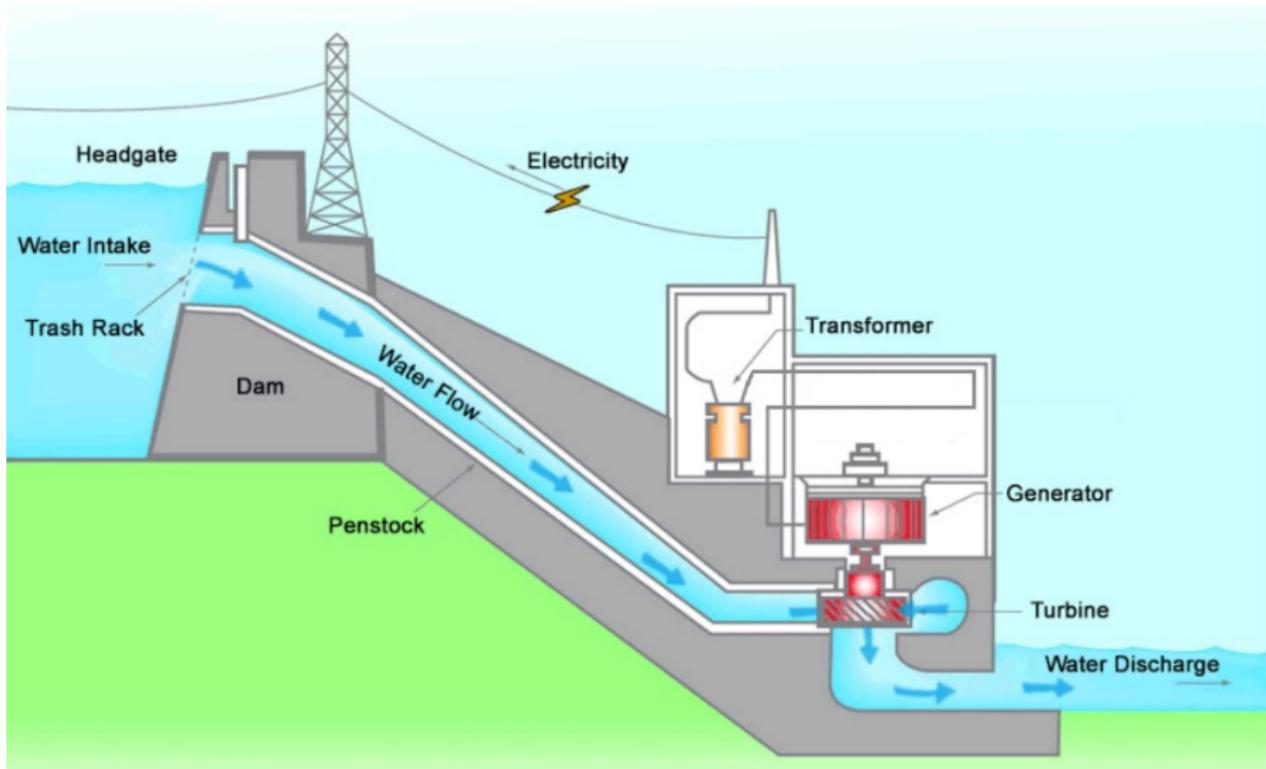


Load and production balancing

- The power system frequency measures the power balance.
- It is the responsibility of the TSOs to control the frequency.
- However, it is the power plant owners who can control the frequency.
- The TSOs pay all power plant owners above a certain size to provide frequency control.(droop $\rho = \Delta f / \Delta P$)

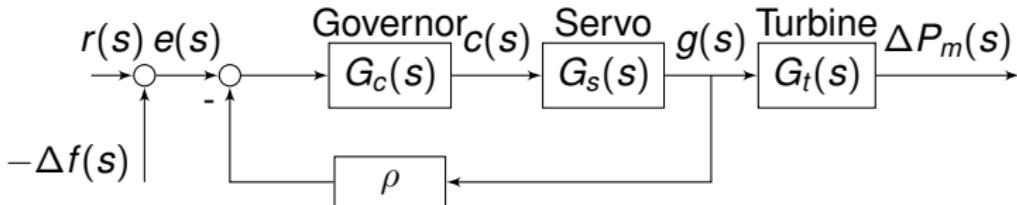


Hydro power plant



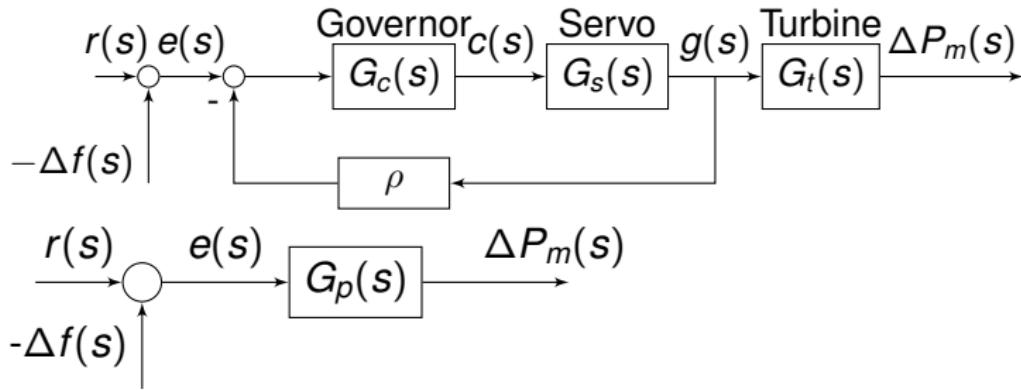
Implementation of the frequency containment process

- $r(s)$ Reference frequency
- $e(s)$ Control error
- $f(s)$ Frequency
- $c(s)$ Control signal
- $g(s)$ Guide vane opening
- $\Delta P_m(s)$ Mechanical power



Implementation of the frequency containment process

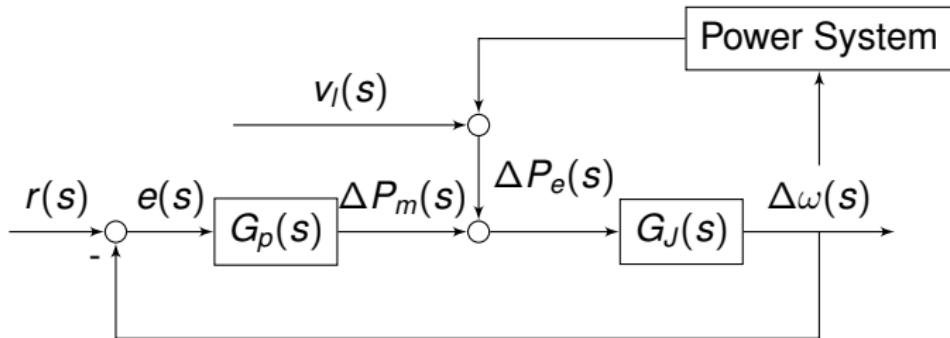
- $r(s)$ Reference frequency
- $e(s)$ Control error
- $f(s)$ Frequency
- $c(s)$ Control signal
- $g(s)$ Guide vane opening
- $\Delta P_m(s)$ Mechanical power



The frequency containment process $G_p(s)$ in the power system



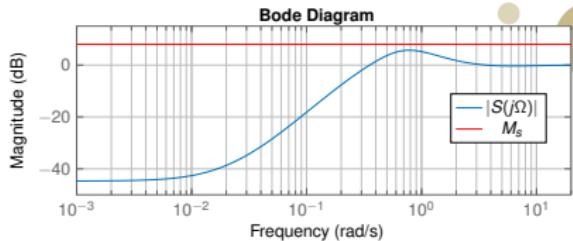
- $G_J(s)$ represents the swing dynamics of the power plant.
- $v_l(s)$ represents stochastic load.



Stability requirements for frequency control

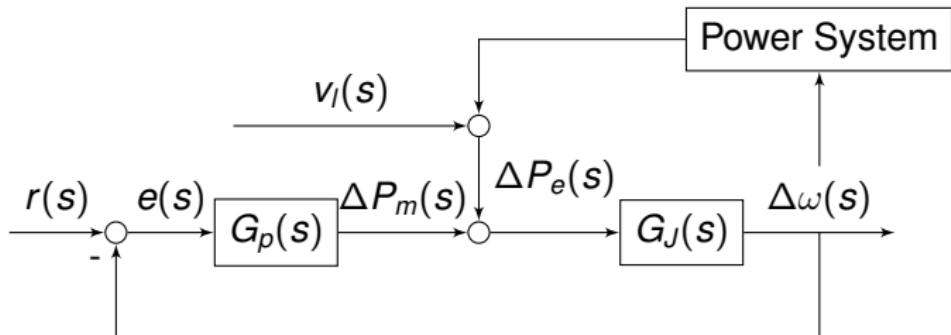
- Stability margin from control theory:

$$\max |S(j\Omega)| < M_s \quad (1)$$



- where:

$$S(s) = \frac{1}{1 + G_p(s)G_J(s)} \quad (2)$$



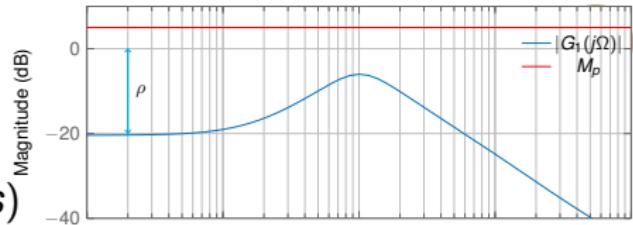
Performance requirements for frequency control

- We want to contain frequency deviations.

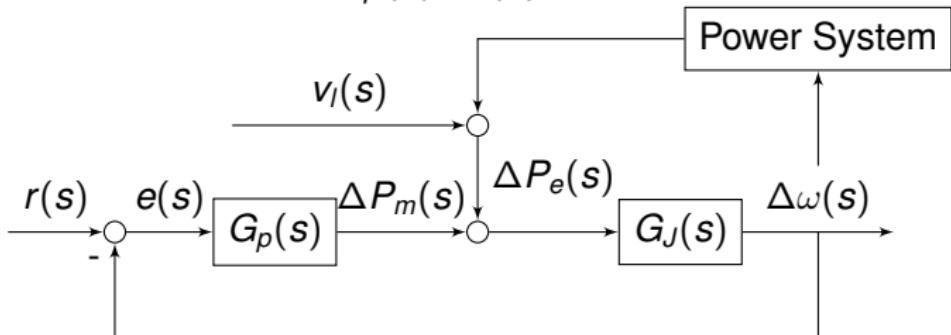
$$\Delta\omega(s) = \frac{G_J}{1 + G_p(s)G_J(s)} \Delta P_e(s) \quad (3)$$

- Define

$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)} \quad (4)$$

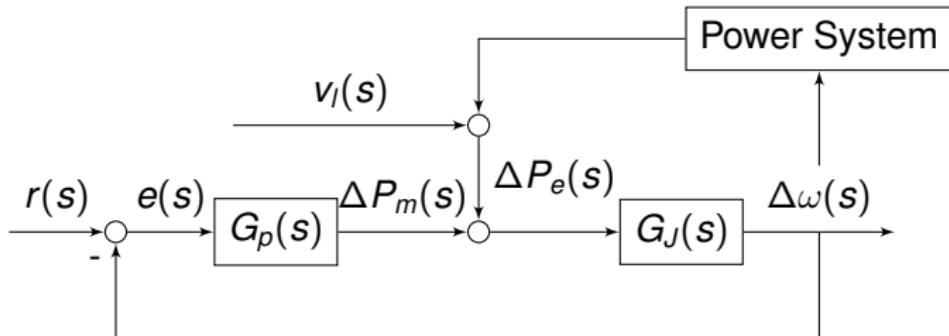


$$|G_1(j\Omega)| < M_p \quad (5)$$



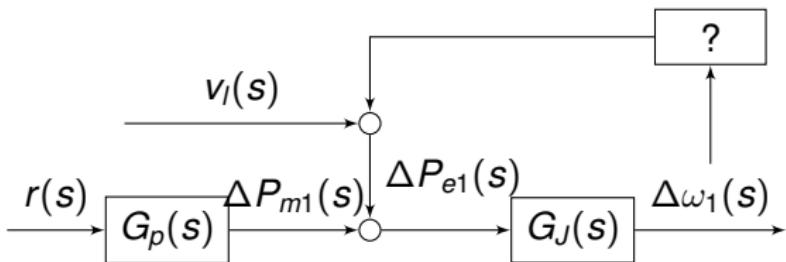
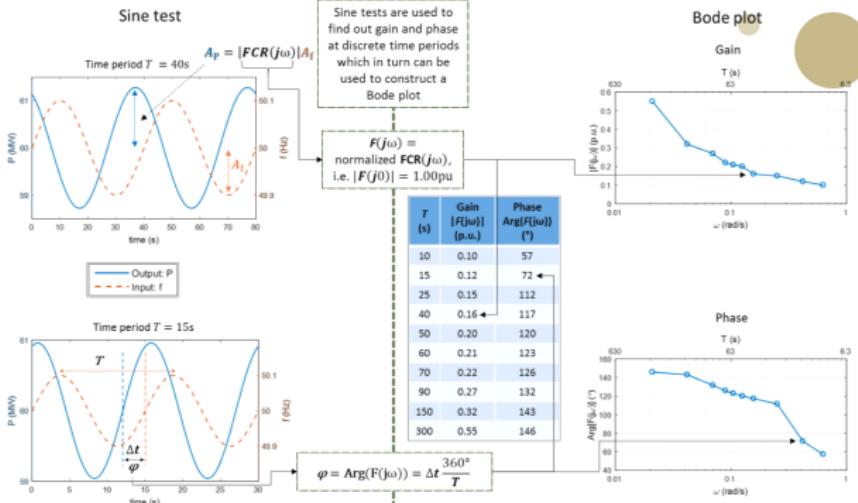
Future of frequency control

- Power plants have to show that they fulfill:
 - Stability requirement $|S(j\Omega)| < M_s$
 - Performance requirement $|G_1(j\Omega)| < M_p$
- To do this they need models of:
 - $G_p(s)$
 - and $G_J(s)$



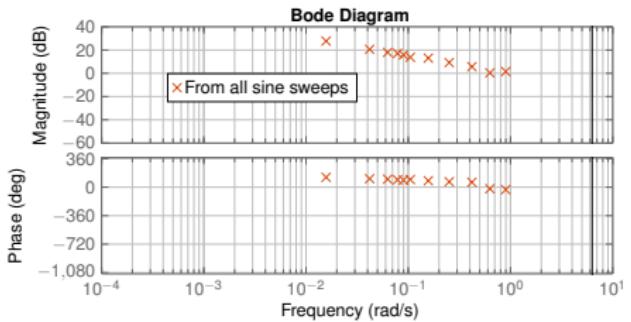
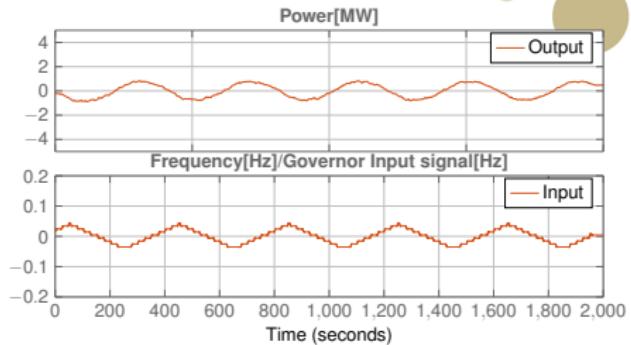
Industry proposed tests

- Time consuming.
- Use system estimate for $G_J(s)$.
- Input $r(s)$
- Output $\Delta P_e(s)$



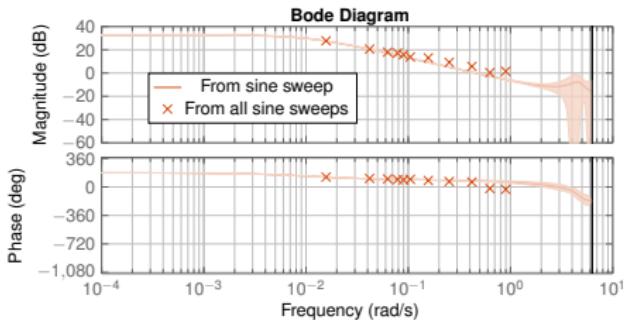
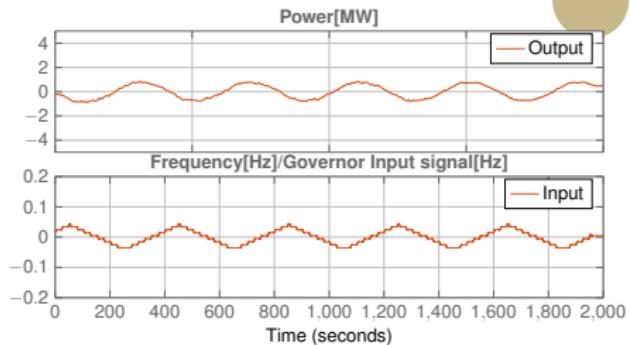
Example from real tests

- The power plant needs to be disconnected
- Takes up to 20 hours.



Example from real tests

- The power plant needs to be disconnected
- Takes up to 20 hours.
- Only one sine test needed with system identification.



Motivation

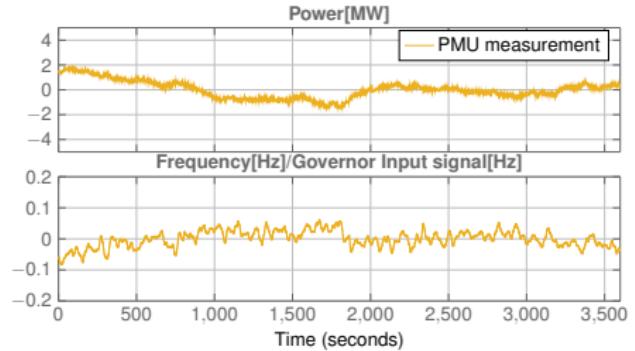


— Can we do the tests easier?

Motivation



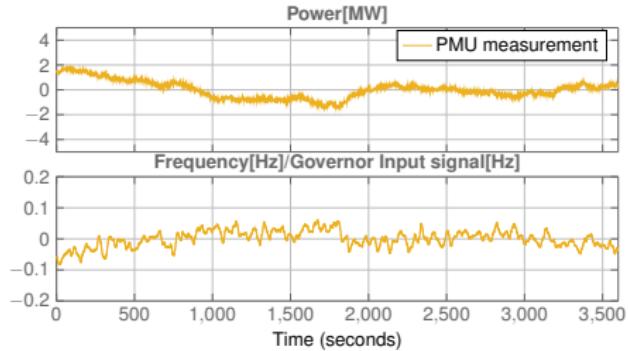
- Can we do the tests easier?
- The power system is never really in steady state.



Motivation



- Can we do the tests easier?
- The power system is never really in steady state.
- Can the power plant dynamics be identified from normal operation measurements?



Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

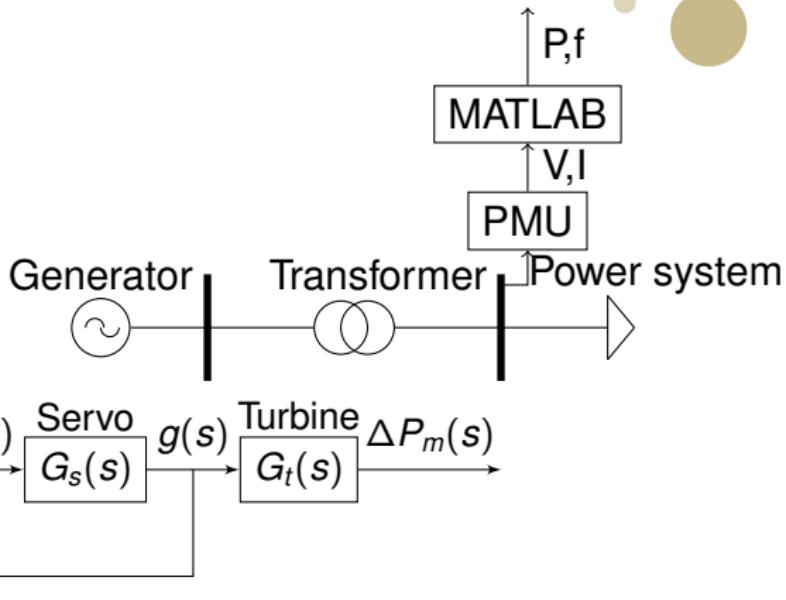
The best way to do the identification Paper VI

Conclusions and further work



Background

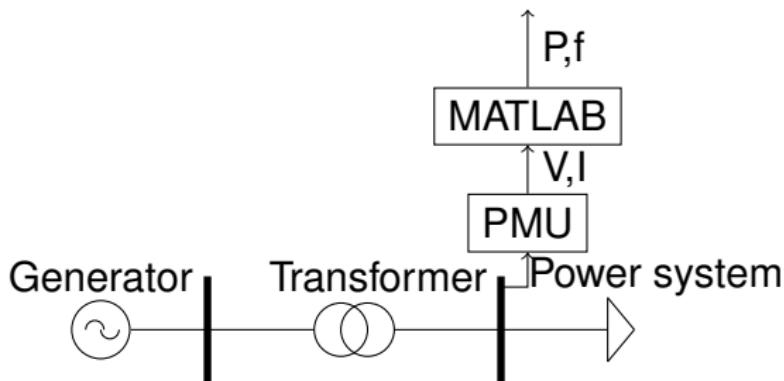
- Idea from¹ can $G_p(s)$ be identified using PMUs?



¹Dinh Thuc Duong et al. "Estimation of Hydro Turbine-Governor's Transfer Function from PMU Measurements". In: IEEE PES General Meeting. Boston: IEEE, July 2016

Methodology

- Collect data from PMUs.
- Preprocess data.
- Calculate power and frequency from the measurements.
- Identify models.
- Validate models.



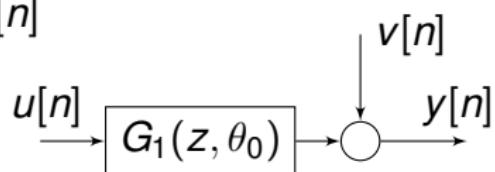
System identification basic

- Assume that a data set $Z^N = \{u[n], y[n] | n = 1 \dots N\}$ has been collected.
- The dataset Z^N is assumed generated by

$$\mathcal{S} : y[n] = G_1(z, \theta_1)u[n] + H_1(z, \theta_1)e[n] \quad (6)$$

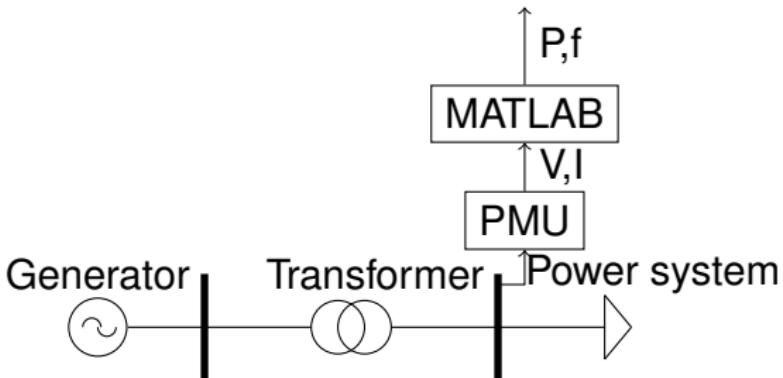
- Using the data set Z^N we want to find the parameter vector θ^N minimizing

$$\hat{\theta}_N = \arg \min_{\theta} \frac{1}{N} \sum_{n=1}^N [H_1^{-1}(z, \theta)(y[n] - G_1(z, \theta)u[n])]^2 \quad (7)$$



Main contributions

- Promising results for 19 datasets.



Main contributions

- Promising results for 19 datasets.
- Developed code for interfacing with the PMU data.

The screenshot shows a GitHub repository page for 'Hofsmo/turb_fit'. The repository has 23 commits, 1 branch, 0 releases, and 1 contributor. The latest commit was on Oct 29, 2018. The commits are listed below:

Commit	Message	Date
LICENSE	Initial commit	2 years ago
README.md	Update README.md	2 years ago
bode_to_csv.m	I added find_inertia and bode to csv	last year
create_G0.m	I added find_inertia and bode to csv	last year
drop_Jacobi_m.m	Added drop_Jacobi	last year
find_inertia.m	I added find_inertia and bode to csv	last year
linearize_hygo.m	Commit before pull	last year
prepare_case.m	Added detrend to prepare_case	last year
read_fcp_data.m	Added function for reading data from fcp files	last year
read_pmu.m	Added and renamed files from old toolbox	2 years ago
read_simulation.m	Added and renamed files from old toolbox	2 years ago

The README.md file contains the following text:

```
turb_fit

This toolbox provides functions useful for identifying hydro turbines using PMU measurements and signals from the plant.
```

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

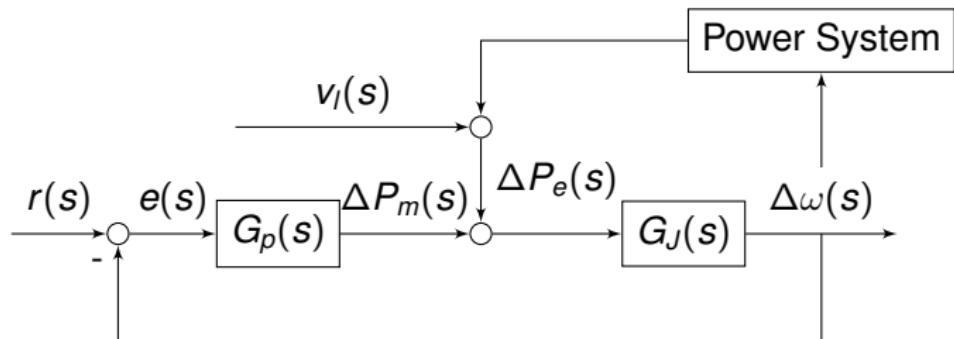
The best way to do the identification Paper VI

Conclusions and further work



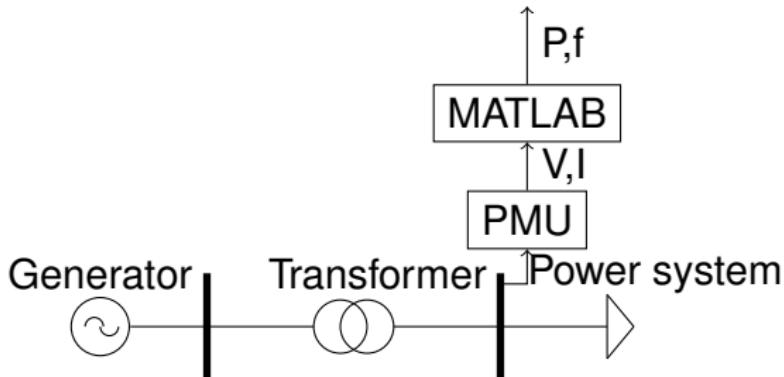
Motivation

- Create a model for analysing the identifiability of hydro power plant dynamics.



What do we need to model?

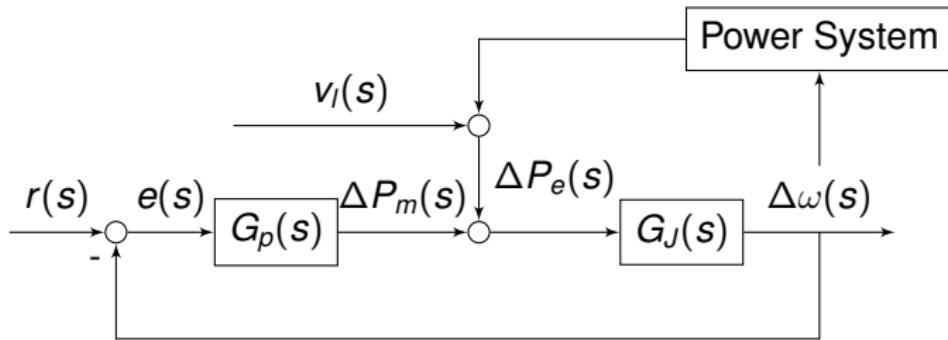
- From the PMU we get
 - Power: $\Delta P_e(s)$.
 - Frequency: $\Delta f(s) \approx 2\pi\Delta\omega(s)$.



What do we need to model?



- From the PMU we get
 - Power: $\Delta P_e(s)$.
 - Frequency: $\Delta f(s) \approx 2\pi\Delta\omega(s)$.
- We need to model how $\Delta P_e(s)$ and $\Delta f(s)$ is related through the power system.
- We need to model the external perturbation.
- We also need to model the power plant consisting of $G_p(s)$ and $G_J(s)$.

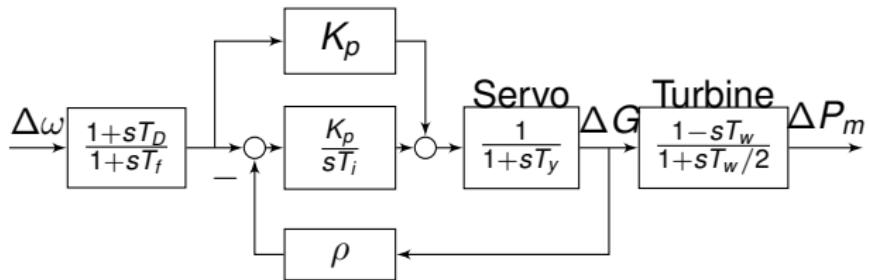


Power plant model



- Model for $G_p(s)$
- Model for $G_J(s)$

$$G_J(s) = \frac{1}{2Hs + K_d} \quad (8)$$



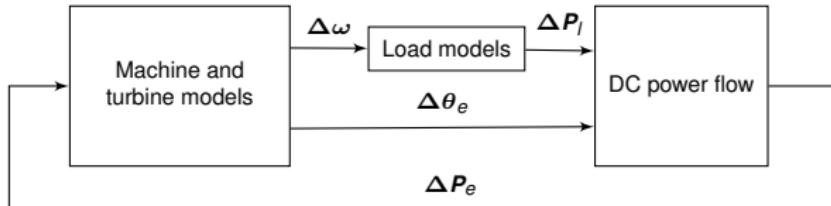
Power system model

- The frequency and power system angle is related.
- The angle and power is related.
- On matrix form.

$$\Delta\theta(s) = \frac{2\pi f_s}{s} f(s) \quad (9)$$

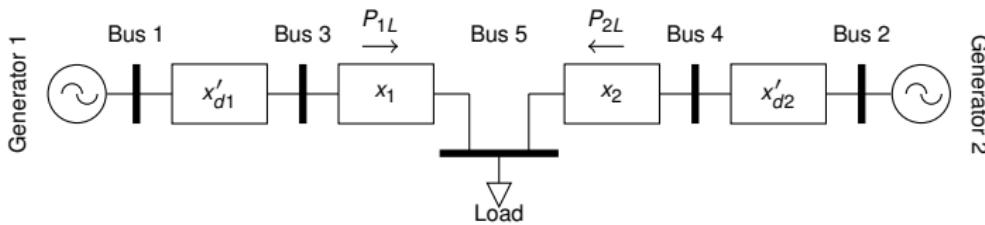
$$P_k \approx \sum_{m \in \Omega_k} x_{km}^{-1} \theta_{km} \quad (10)$$

$$\mathbf{P} = \mathbf{Y}\boldsymbol{\theta} \quad (11)$$



Single line diagram of test system

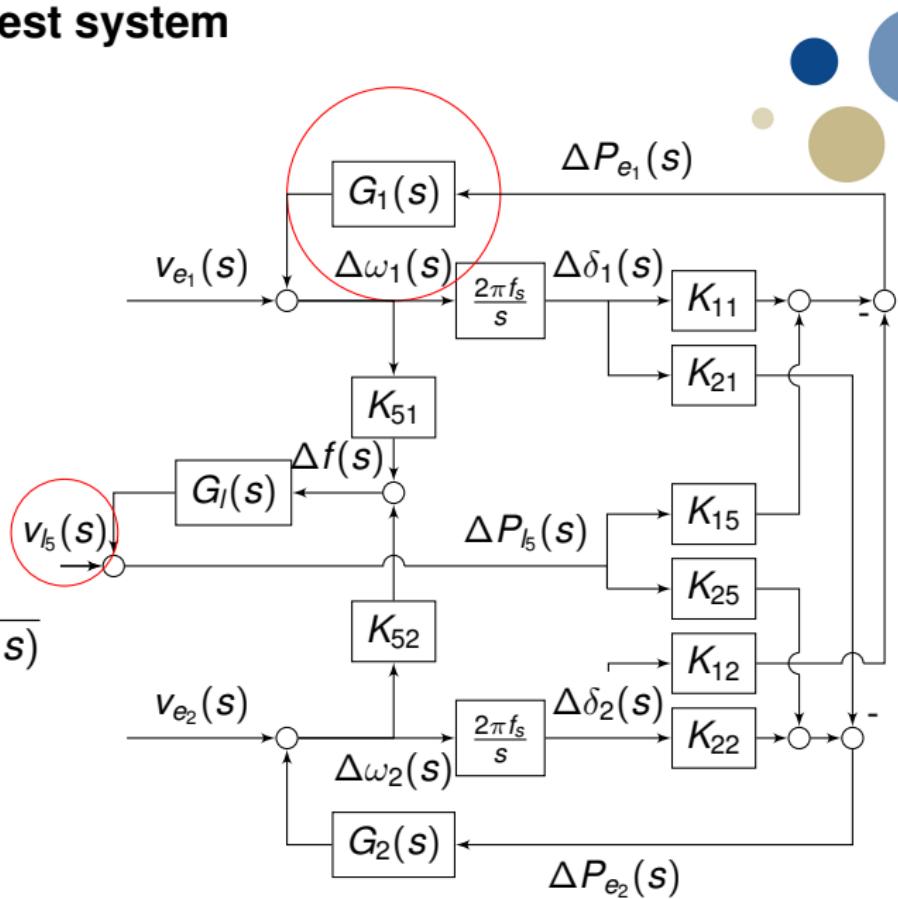
- Generator 1 is the plant we study.
- Generator 2 represents the rest of the power system.
- The load represents the stochastic behaviour of all loads.
- x_1 and x_2 are line reactances.
- x'_{d1} and x'_{d2} are generator reactances.



Block diagram of test system

- $G_1(s)$ the plant we investigate.
- $v_{l5}(s)$ external perturbation.

$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$



Main contributions



- Developed simple test system for analysing power plant identifiability using PMUs.
- Developed simple test system used in the proceeding papers for simulations.

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

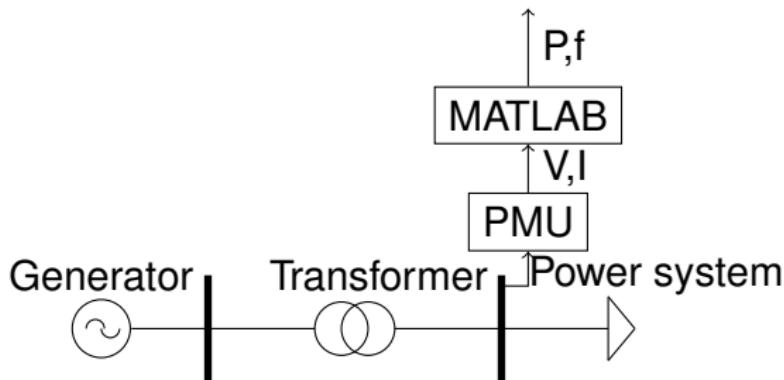
The best way to do the identification Paper VI

Conclusions and further work



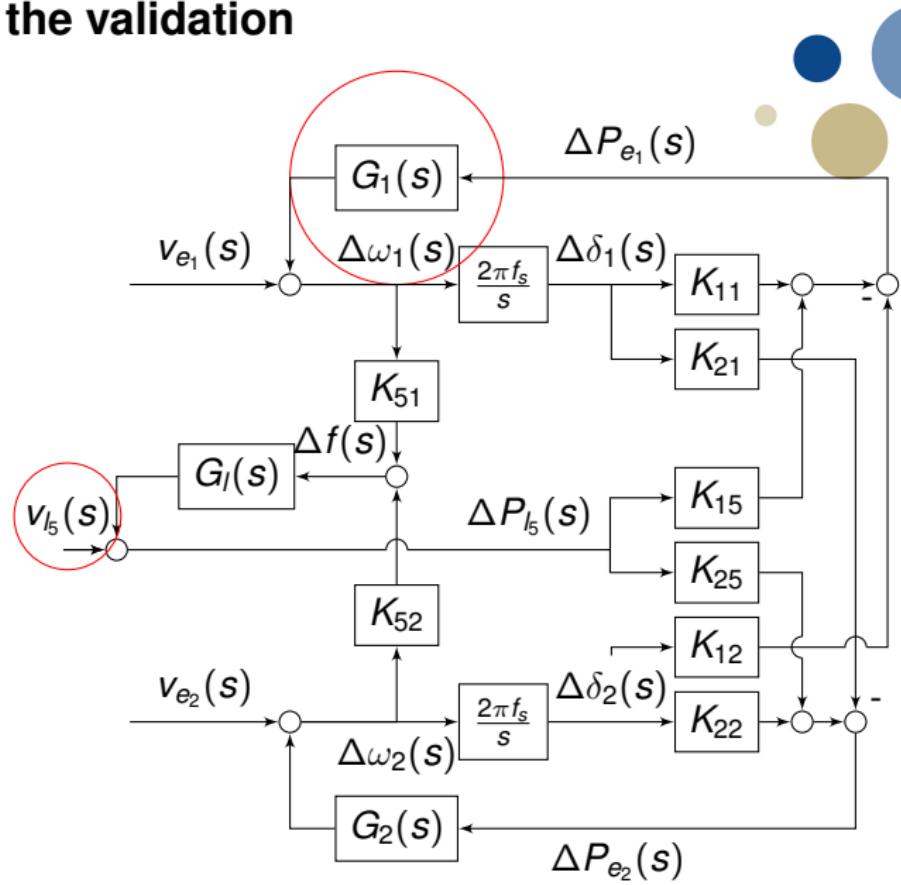
Background

- To validate the PMU method analytically.



Modelled used for the validation

- The system we want to identify is $G_1(s)$.
- The external perturbation to the system is $v_I(s)$.



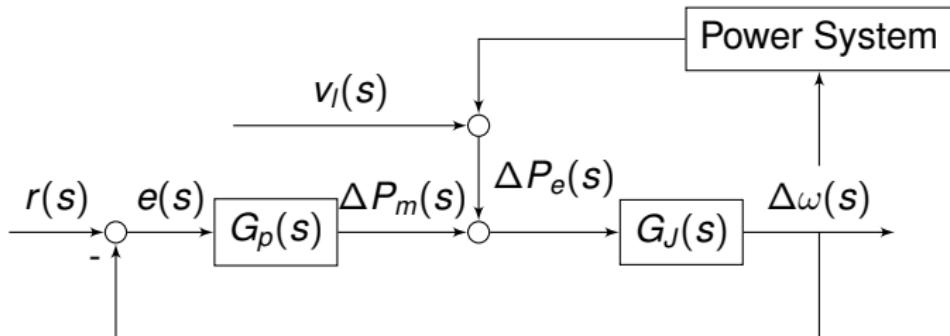
What can we identify using a PMU

- $\Delta\omega(s)$ is related to $\Delta P_e(s)$ by:

$$\Delta\omega(s) = \frac{G_J}{1 + G_p(s)G_J(s)} \Delta P_e(s)$$

- This means that we can identify:

$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$



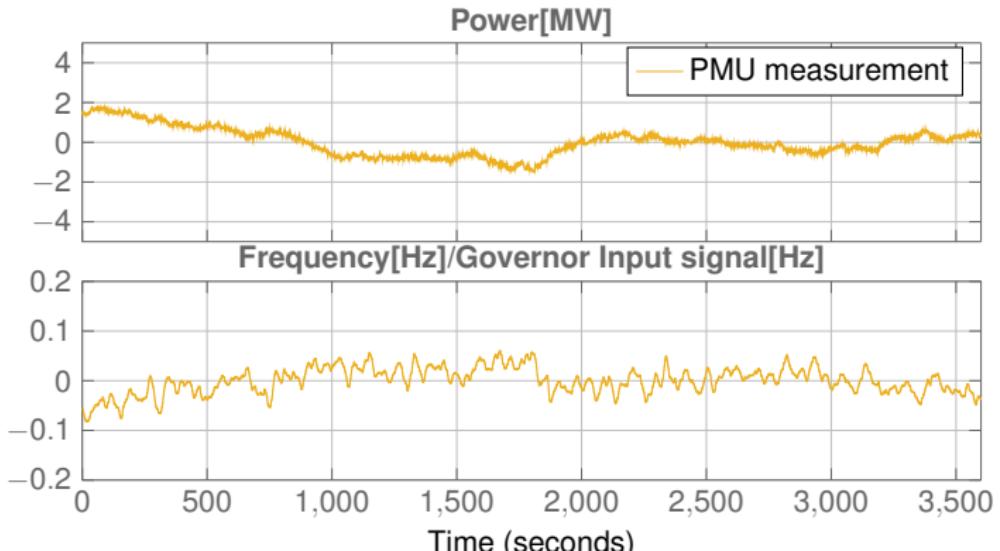
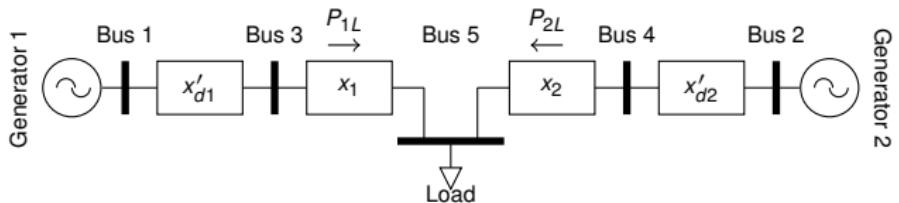
Assumptions for the theoretical validation



- The system is excited by a load acting as a filtered white noise process
- The measurement error of the electrical power is negligible.
- The measured frequency is a good estimate of the generator speed.

Assumptions for the theoretical validation

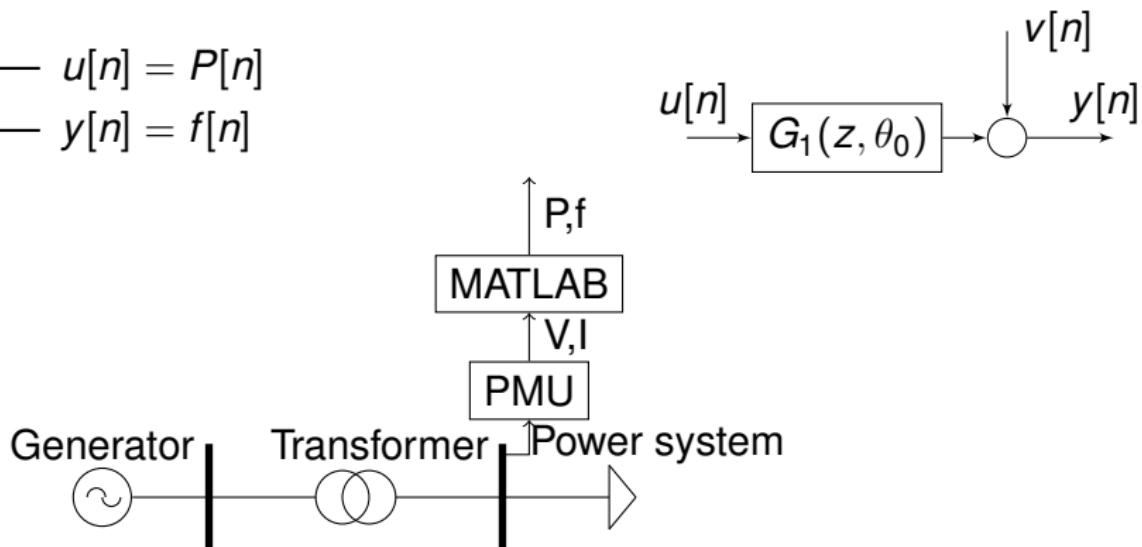
The system is excited by a load acting as a filtered white noise process



Assumptions for the theoretical validation

The measurement error of the electrical power is negligible

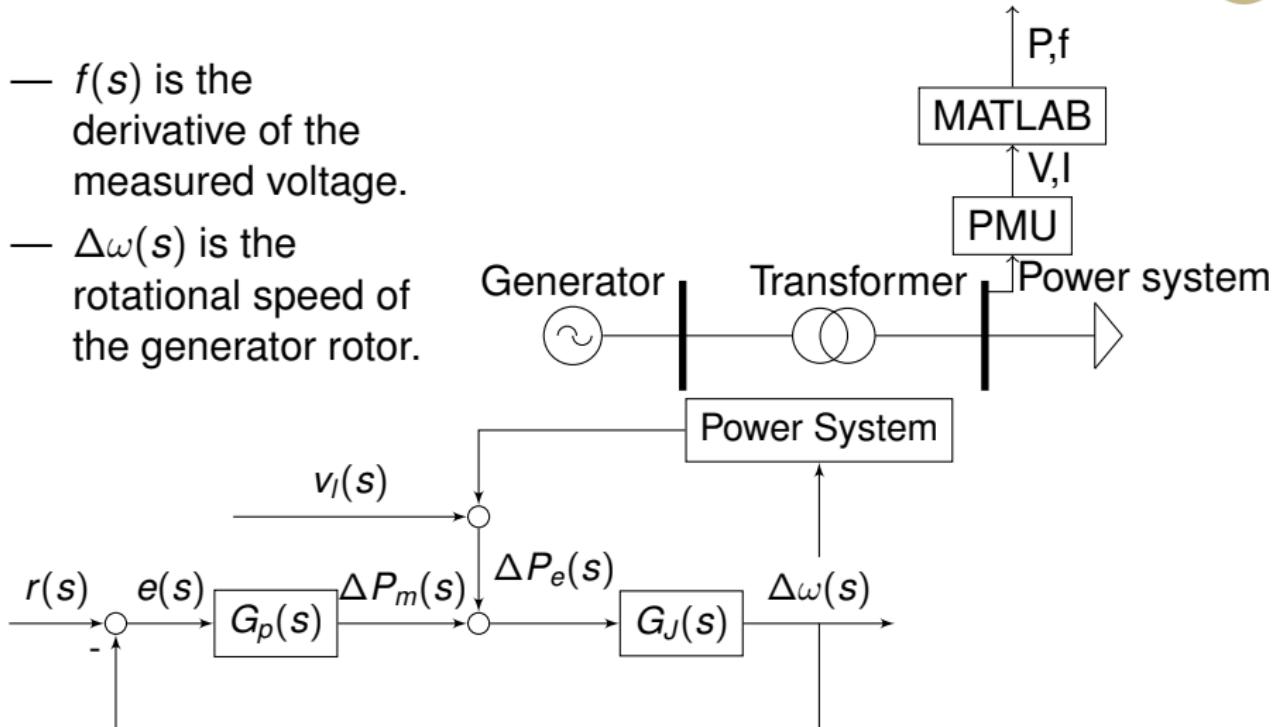
- $u[n] = P[n]$
- $y[n] = f[n]$



Assumptions for the theoretical validation

The measured frequency is a good estimate of the generator speed

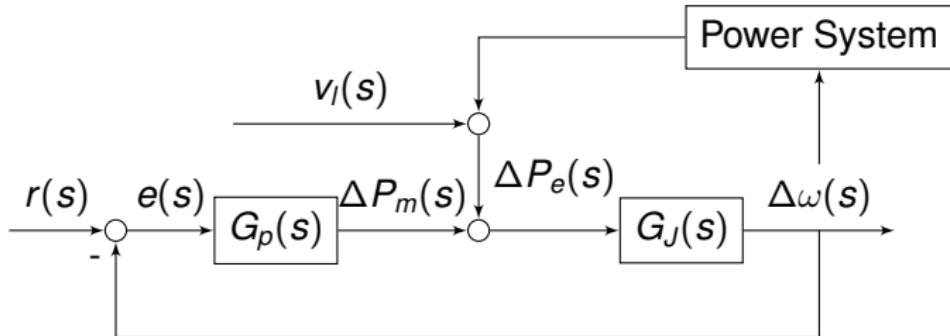
- $f(s)$ is the derivative of the measured voltage.
- $\Delta\omega(s)$ is the rotational speed of the generator rotor.



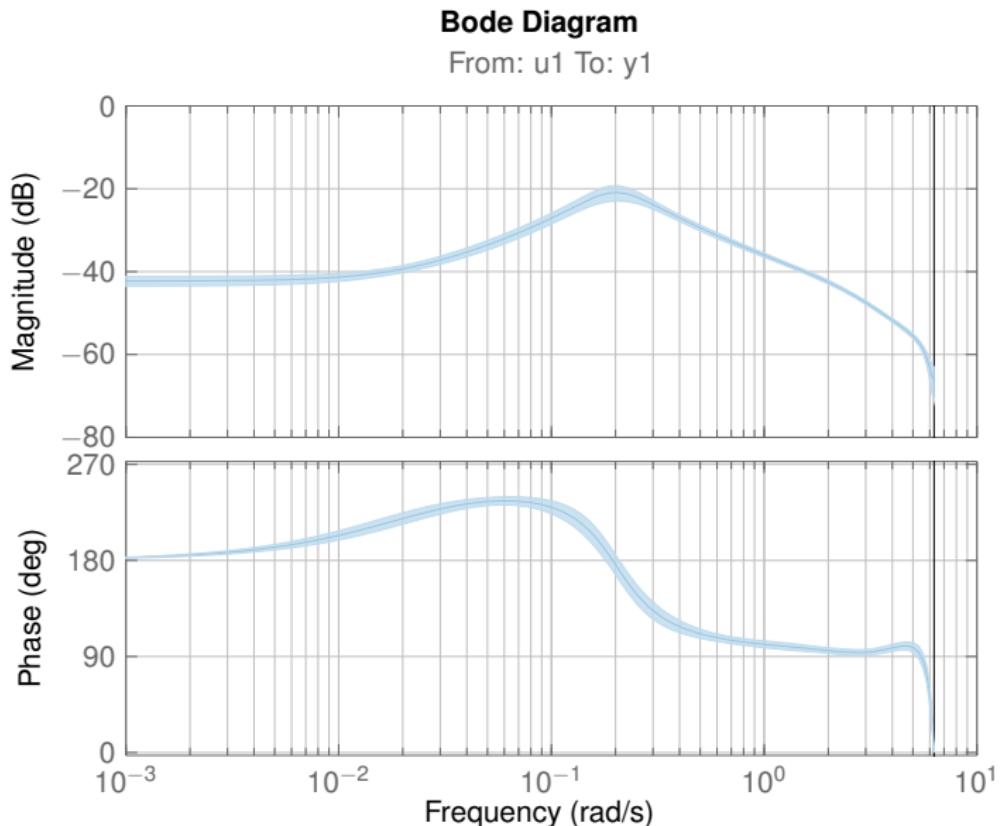
Results from the theoretical validation

- A consistent estimate of $G_1(s)$ can be obtained by using:
 - Measured PMU frequency as the output $u[n]$
 - Measured PMU power as the input $y[n]$
 - If there is a delay between $\Delta\omega(s)$ and $\Delta P_e(s)$.

$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$



Model obtained using PMU data



Main contributions



- To show that the transfer function that can be identified using a PMUs is $G_1(s)$.
- To prove under which conditions a consistent estimate of $G_1(s)$ is possible.
- To demonstrate the theory for identification of $G_1(s)$ on a real dataset.

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

The best way to do the identification Paper VI

Conclusions and further work



Motivation

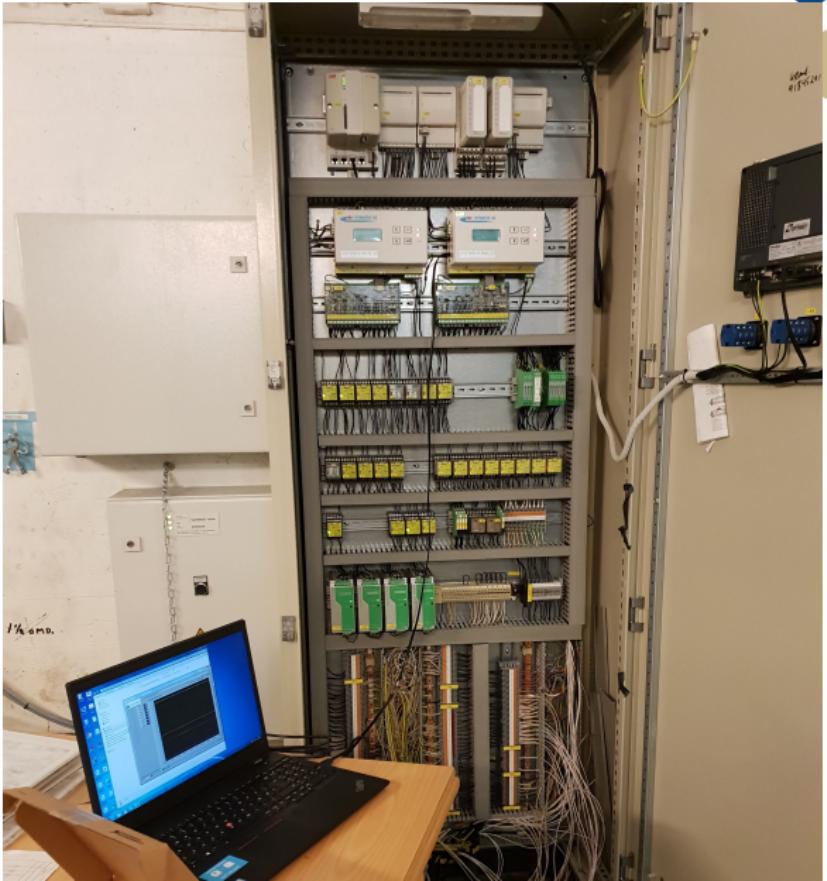


- Relate the results from Paper III and the new requirements.
- Test the methods on more real datasets.
- Demonstrate that industry proposed tests can be done easier.
- Less theoretical presentation in a more industry focused conference.

Power plant location



Getting data from the control system

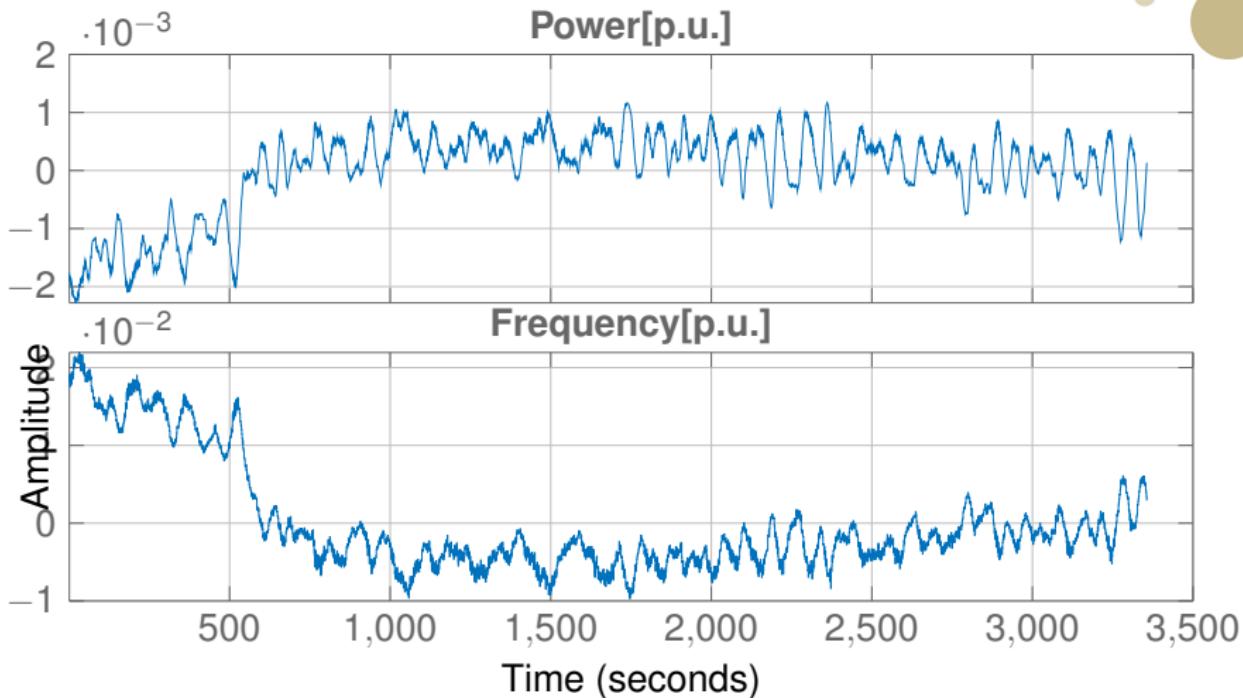


- Collected Electric power ΔP_e
- and power system frequency f .

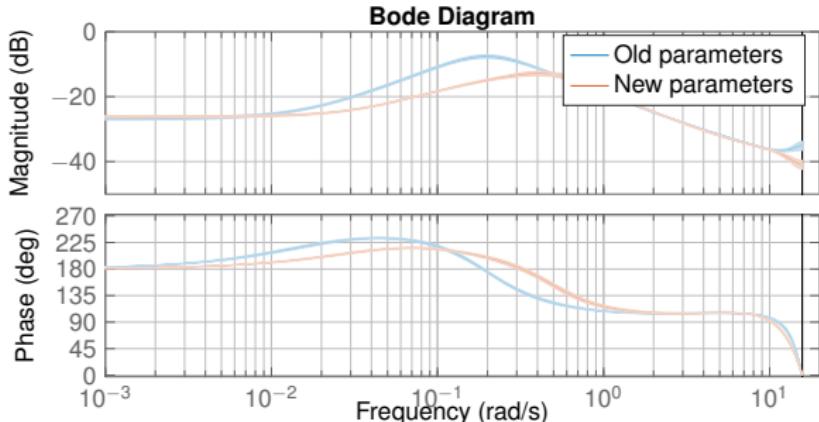
Measurement transformer



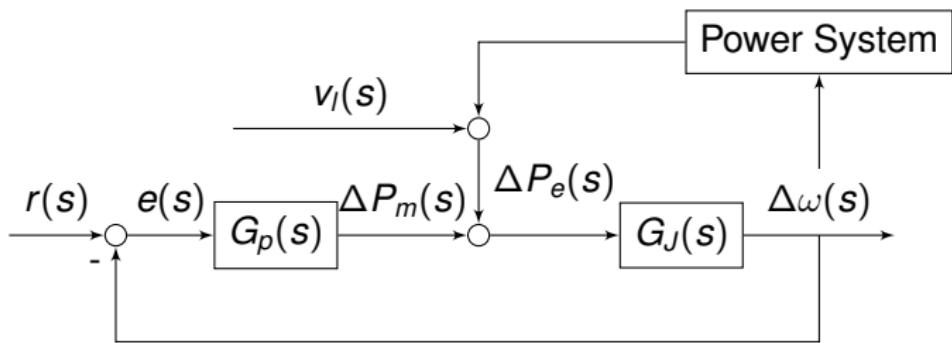
Example of dataset



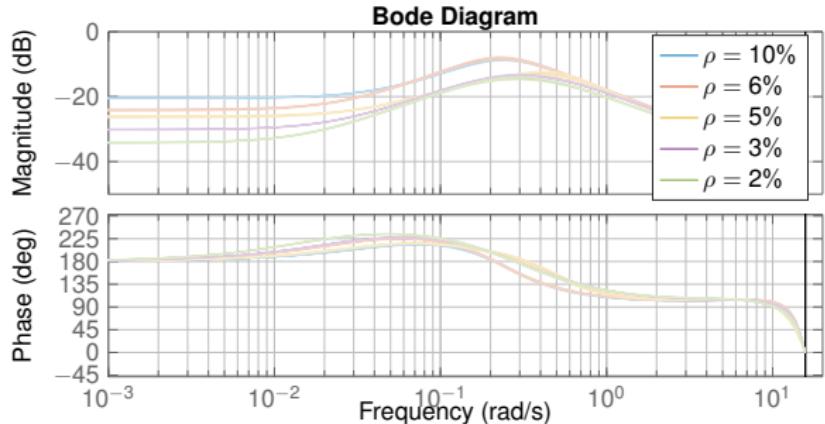
Detecting a new tuning



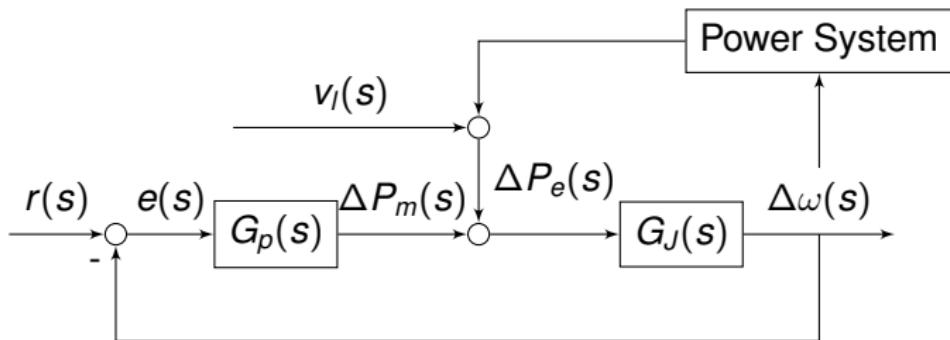
$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$



Detecting droop changes



$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$



Main Contributions



- Proposal for alternative tests.
- Demonstrating that the proposed methods can detect parameter changes.
- Demonstrated that the industry proposed tests can be done easier.

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

The best way to do the identification Paper VI

Conclusions and further work



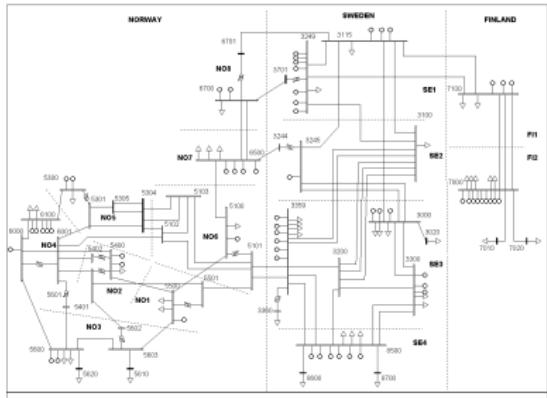
Motivation



- Test with a more detailed power plant model.
- Test with a more detailed power system model.
- Investigate some of the assumptions from previous papers.

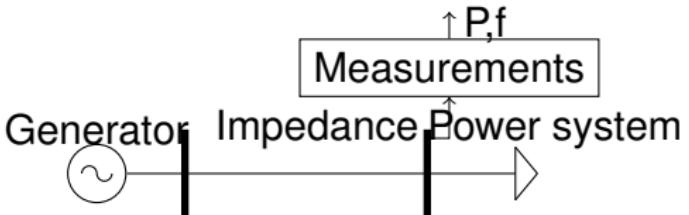
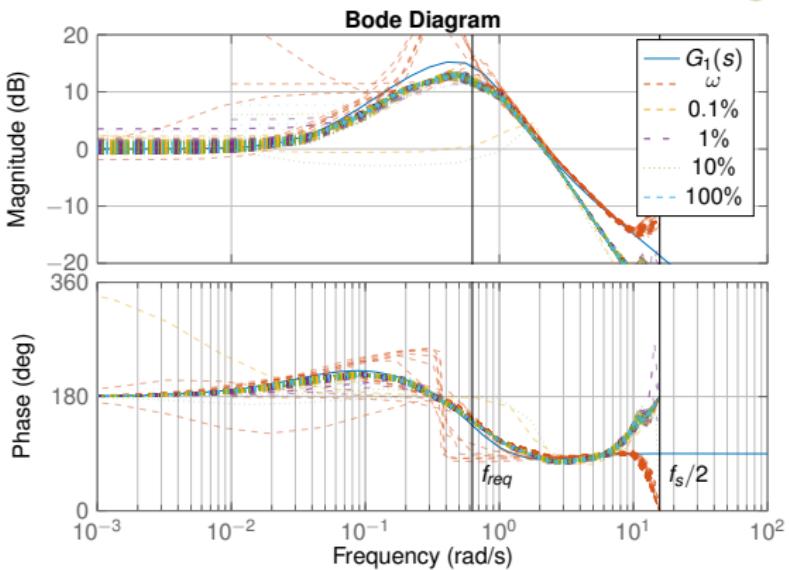
More detailed power system model

- Used the Nordic 44 test system in PSS/E.



Test frequency assumption

- $f(s)$ is the derivative of the measured voltage.
- $\Delta\omega(s)$ is the rotational speed of the generator rotor.
- Increased the impedance between the generator and PMU.
- 100 simulations for each impedance



Main contributions



- Tested the methods with a more detailed power plant model.
- Tested the methods with a more detailed power system model.
- Investigate some of the assumptions from previous papers.

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

The best way to do the identification Paper VI

Conclusions and further work



Motivation



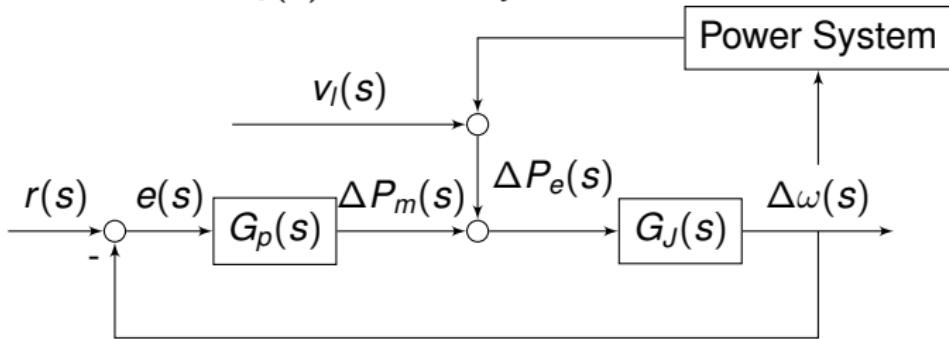
- How to best check the requirements given access to control system data.

What do we want to check

- Stability requirement $|S(j\Omega)| < M_s$
- Performance requirement $|G_1(j\Omega)| < M_p$
- In the requirements this is done by identifying.
 - $G_p(s)$ in open loop
 - and $G_J(s)$ from the system

$$S(s) = \frac{1}{1 + G_p(s)G_J(s)}$$

$$G_1(s) = \frac{G_J}{1 + G_p(s)G_J(s)}$$

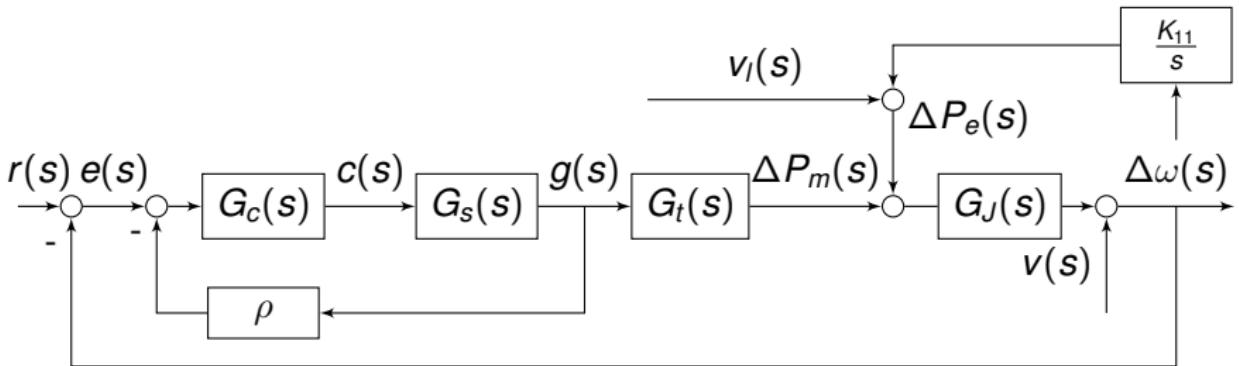


Identify $G_p(s)$ and $G_J(s)$ in closed loop

$$\Delta\omega_1(s) = G_s(s)G_t(s)G_J(s)c(s) - G_J(s)\Delta P_{e1}(s) \quad (12)$$

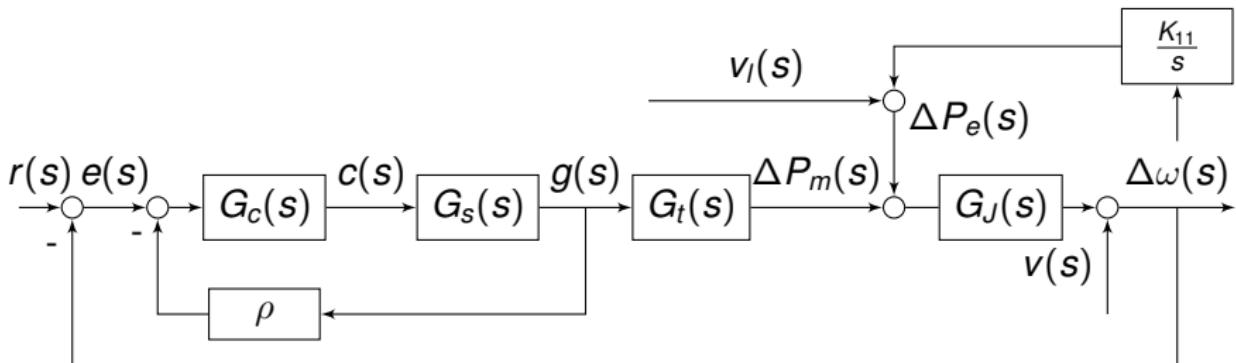
— Assume $G_c(s)$ to be known.

$$G_p(s) = \frac{G_c(s)G_s(s)G_t(s)G_J(s)}{G_J(s)(1 + \rho G_c(s)G_s(s))} \quad (13)$$



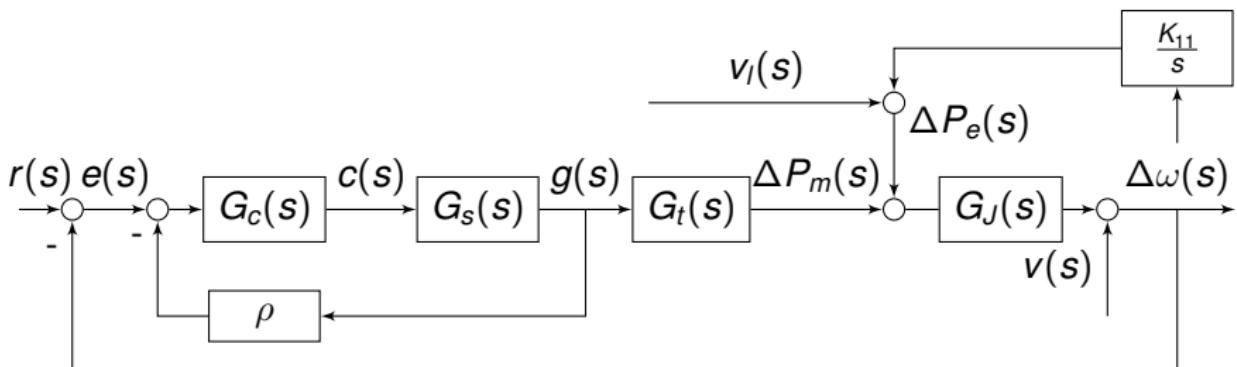
Identify $G_s(s)$ and $S(s)$ directly in closed loop

$$e(s) = G_1(s)\Delta P_{e1}(s) + S(s)r(s) \quad (14)$$



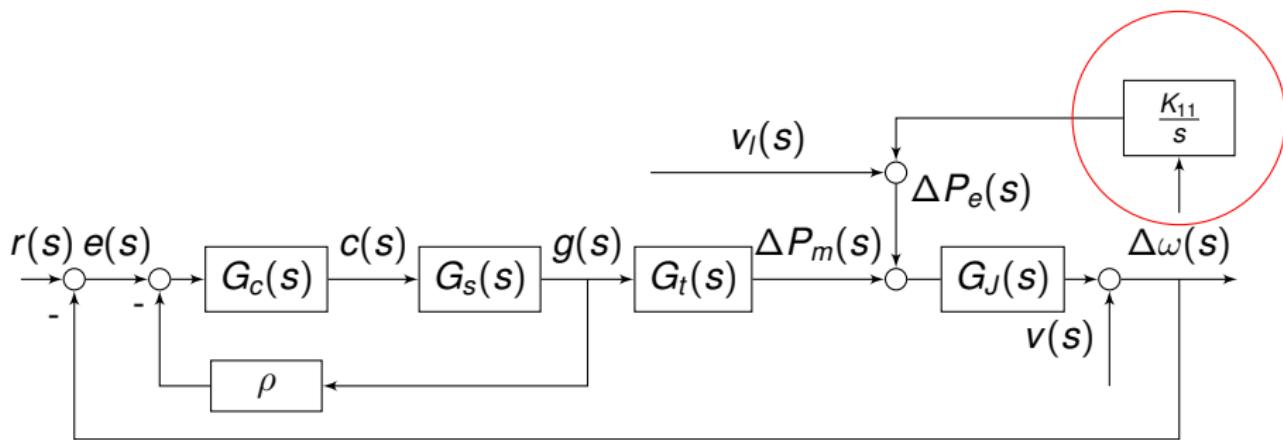
Identifiability

- The systems can be identified



Identifiability

- The systems can be identified
- However, there is a lack of delay
- This is no problem if the effect of $v(s)$ in $\Delta P_e(s)$ is small to the effect of $v_I(s)$.



Identifying $G_p(s)$ and $G_J(s)$

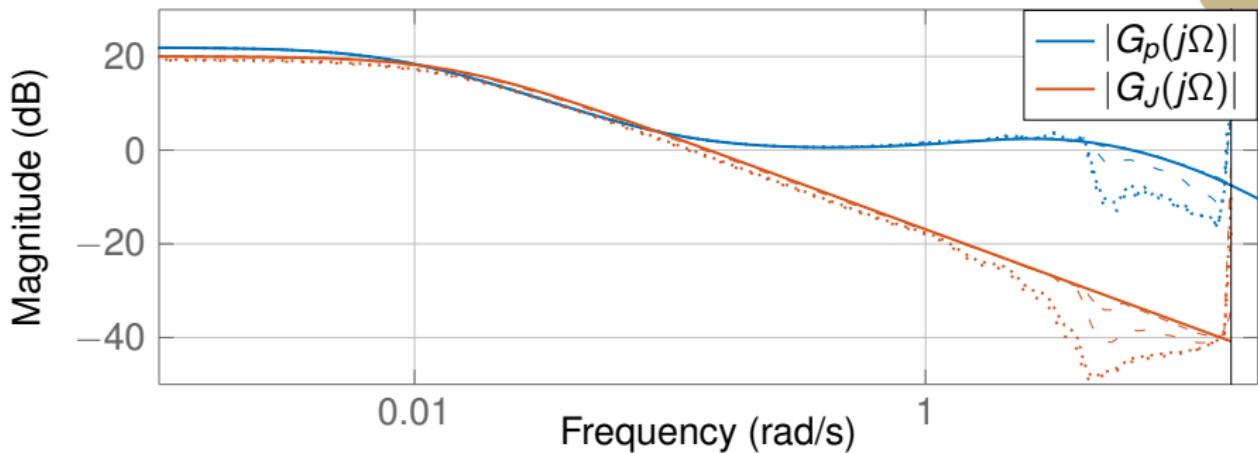


Figure: The mean value of $|G_p(e^{j\Omega}, \hat{\theta}_N)|$ and $|G_J(e^{j\Omega}, \hat{\theta}_N)|$ calculated from the MCS. The solid lines are the analytical calculated versions and the dashed loosely dashed dotted and loosely dotted lines represent an SNR of 50dB, 26dB, 6dB, and 3dB respectively

Identifying $S(s)$

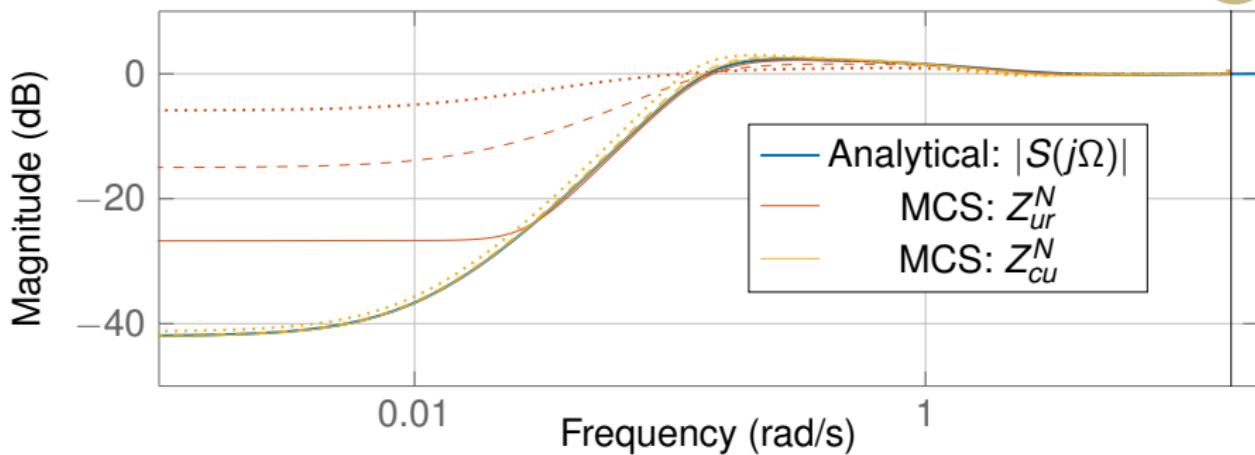


Figure: The mean value of $|S(e^{j\Omega}, \hat{\theta}_N)|$ calculated analytical and from the MCS. The solid, dashed and dotted lines represent an SNR of 50dB, 26dB, and 6dB respectively

Identifying $G_1(s)$

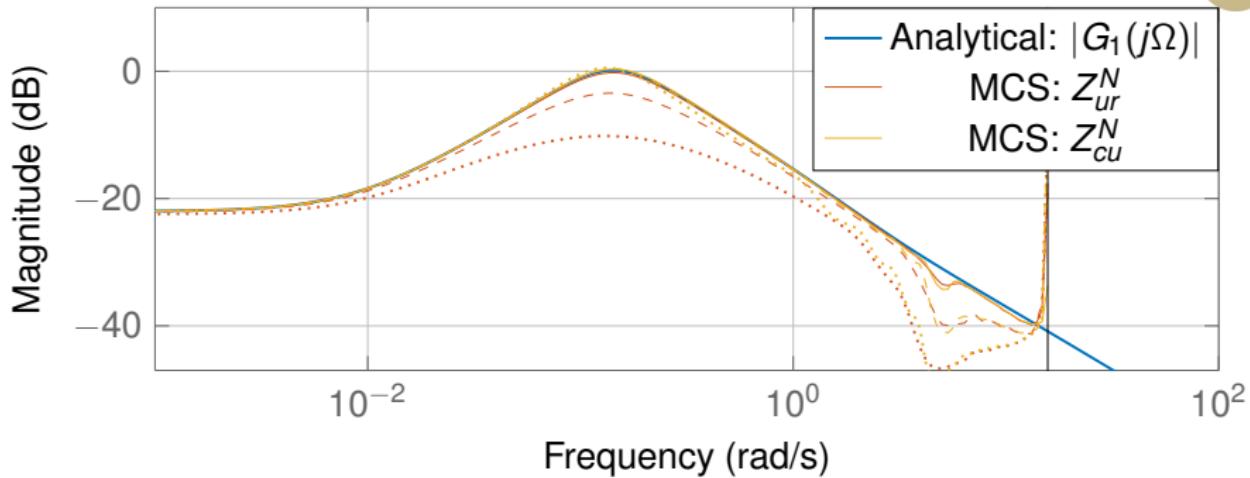


Figure: The mean value of $|G_1(e^{j\Omega}, \hat{\theta}_N)|$ calculated analytical and from the MCS. The solid, dashed and dotted lines represent an SNR of 50dB, 26dB, and 6dB respectively

Main contributions



- Demonstrated two methods for finding transfer functions for checking the requirements in closed loop.
- The best method for finding the transfer functions is to first identify $G_p(s)$ and $G_J(s)$.
- Analytical validation of the demonstrated methods.
- Discussed the delay condition introduced in Paper II.

Outline

Problem

Methodology Paper I

Simple test system Paper II

Theoretical validation Paper III

Tests at Statkraft's power plants Paper IV

Simulation studies Paper V

The best way to do the identification Paper VI

Conclusions and further work



Conclusions



- The requirements can be checked using PMU-measurements, however, the results will be biased for faster dynamics.
- The requirements can be checked using control system measurements in normal operation, however, the results may be biased for faster dynamics.
- The requirements can be checked using measurement from normal operation with extra excitation

Further work



- Validate approaches in the lab
- Solve the delay condition.
- Handle backlash.