

# Vector fitting for estimation of turbine governor parameters

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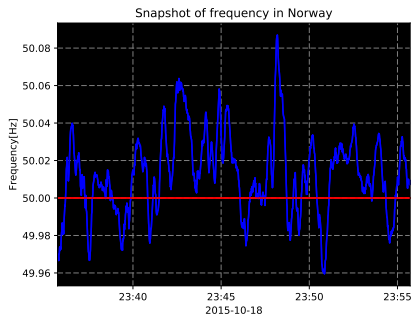
March 31, 2017

# Outline

- 1 Background
- 2 Vector fitting
- 3 Method for identifying governor transfer functions
- 4 Results
- 5 Conclusions
- 6 References

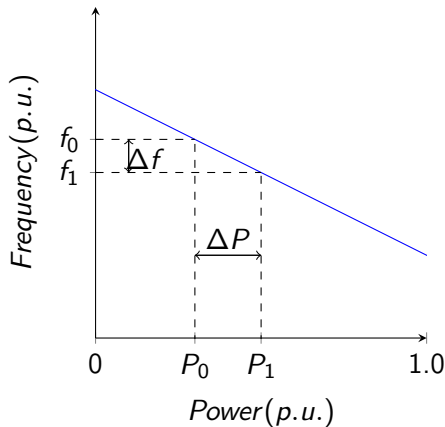
# Frequency quality

- There are concerns about the frequency quality in the Nordic countries



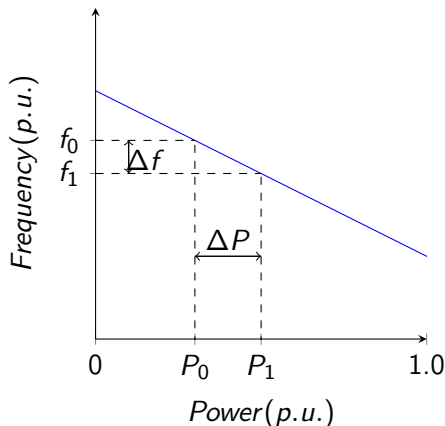
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- To prevent large deviations in frequency generators participate in frequency containment control (FCR)



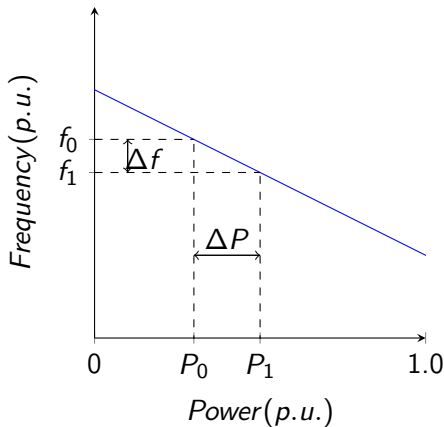
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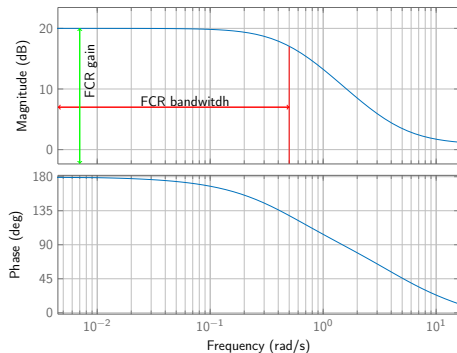
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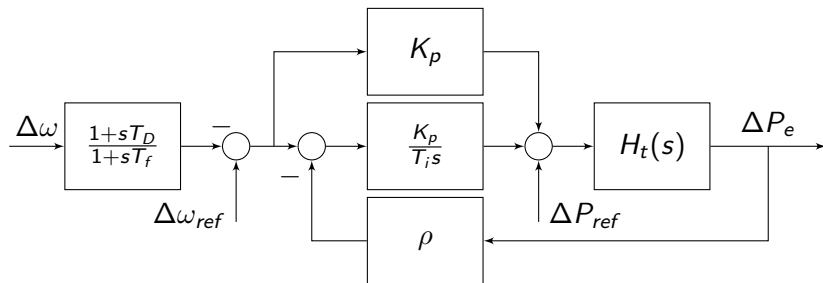
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- Generators of a certain size have to contribute to this control
- It is of interest to monitor the generators' FCR performance with respect to both gain and bandwidth



# Hydro turbine governors

- Typically implemented as a PID controller



$$H = -K_p \frac{1+sT_D}{1+sT_f} \cdot \frac{1+sT_i}{\rho K_p + sT_i} \quad (1)$$

From (1) one can see that the system's poles will be placed at:

$$p_1 = -\frac{1}{T_f}, \quad p_2 = -\frac{\rho K_p}{T_i} \quad (2)$$



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- Can the identification be done using ambient power system data?
- Is vector fitting a suitable method for doing the identification?

# Previous work

- The ARX<sup>1</sup> model structure was used on parts of the same dataset as used in this study in [1]
- The authors of [2] use constrained optimization on disturbance data from the Crete power system.
- and the authors of [3] apply an unscented Kalman filter to the measurements from a trip event in the Midcontinent Independent System.
- Other studies using only simulation data also exist.

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<sup>1</sup>Autoregressive exogenous

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# Vector fitting basics

- Vector fitting fits a transfer function to measured input and output data
- Finding the parameters in (3) is a nonlinear optimization.
- The idea behind vector fitting presented in [4] is to formulate the augmented problem (4) with known poles  $\tilde{p}_i$ .

$$Y(s) = H(s) \cdot U(s) \quad (3)$$

$$H(s) = d + \sum_{i=1}^{n_p} \frac{r_i}{s - p_i} \quad (4)$$

$$\sigma(s)H(s) = d + \sum_{i=1}^{n_p} \frac{r_i}{s - \tilde{p}_i} \quad (5)$$



# Vector fitting basics continued

- How do we choose  $\sigma(s)$ ?

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- First rewrite (3) and (4).

$$H(s) = \frac{\prod_{i=1}^{n_z}(1 - z_i)}{\prod_{i=1}^{n_p}(1 - p_i)} \quad (6)$$

$$\sigma(s)H(s) = \frac{\prod_{i=1}^{n_z}(1 - z_i)}{\prod_{i=1}^{n_p}(1 - \tilde{p}_i)} \quad (7)$$

# Vector fitting basics continued

- How do we choose  $\sigma(s)$ ?
- First rewrite (3) and (4).
- We can see that the zeros of  $\sigma(s)$  must cancel the poles of (6)

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# Vector fitting basics continued

- (4) can now be solved by measuring  $H(s)$  at multiple frequencies and multiplying with (5) which gives (6)

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$$\sigma(s) = 1 + \sum_{i=1}^{n_p} \frac{k_i}{s - \tilde{p}_i} \quad (5)$$

$$d + \sum_{i=1}^{n_p} \frac{r_i}{s - \tilde{p}_i} = \left(1 + \sum_{i=1}^{n_p} \frac{k_i}{s - \tilde{p}_i}\right) H_{measured}(s) \quad (6)$$

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# Vector fitting basics continued

- (4) can now be solved by measuring  $H(s)$  at multiple frequencies and multiplying with (5) which gives (6)
- In (6) the unknowns are  $d, r_i, k_i$  for  $\tilde{p}_i$  an initial guess is used.
- The procedure is performed again with the calculated zeros of (4) as the updated starting poles  $\tilde{p}_i$

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- Mathematically the criterion is formulated as follows.

$$\left\| \frac{\tilde{k}_1}{\tilde{p}_1}, \dots, \frac{\tilde{k}_{n_p}}{\tilde{p}_{n_p}} \right\| < \epsilon \quad (7)$$

# Method for model order reduction

- The order of the obtained models are reduced by discarding residues according to:

$$\left| \frac{r_i}{p_i} \right| < \epsilon, i \in n_p \quad (8)$$

# Vector fitting in the time domain

- Multiplying the augmented problem (4) by the input and performing Laplace inverse gives vector fitting in the time domain [6]:

$$y(t) \approx \tilde{d}x(t) + \sum_{i=1}^{n_p} \tilde{r}_i x_i - \sum_{i=1}^{n_p} \tilde{k}_i y_i \quad (9)$$

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- In (9)  $x_i$  and  $y_i$  are the solutions of convolution integrals solved numerically using the trapezoidal rule:

$$x_i = \int_0^t e^{\tilde{p}_i(t-\tau)} x_i(\tau) d\tau \quad (10)$$


$$y_i = \int_0^t e^{\tilde{p}_i(t-\tau)} y_i(\tau) d\tau \quad (11)$$







# Code for vector fitting

- The vector fitting implementation used in this work is available on GitHub: <https://github.com/Hofsmo/vectorFitting>

Branch: **master** ▾
New pull request

Create new file
Upload files
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 **Hofsmo** Merge branch 'master' of github.com:Hofsmo/vectorFitting
Latest commit dff0042 on 20 Feb


 <a href="#">MATLAB</a>	On branch master	a month ago
 <a href="#">Python</a>	Changes to be committed:	a year ago
 <a href="#">LICENSE</a>	Initial commit	a year ago
 <a href="#">README.md</a>	Update README.md	2 months ago
 <a href="#">Vector_fitting_for_estimation_of_tu...</a>	Add files via upload	2 months ago
 <a href="#">license</a>	On branch master Why does the license file keep changing name?	3 months ago







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- The original vector fitting implementation in the frequency domain is available on <https://www.sintef.no/projectweb/vectfit/>

Branch: **master** ▾
New pull request

Create new file
Upload files
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 <b>MATLAB</b>	On branch master	a month ago
 <b>Python</b>	Changes to be committed:	a year ago
 <b>LICENSE</b>	Initial commit	a year ago
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The method was designed such that the following questions could be answered regarding:

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- 3 Can the results be obtained using a small measurement time window?
- 4 Is the method fast?

# Steps in the identification method

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- The data sets are one hour long and the sampling frequency was 50Hz.
- Data sets with obvious nonlinearities such as ramping and saturation were discarded.

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- For a partition with length five minutes that adds up to 132 possibilities for cross validation.
- Self validation was not allowed.

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# Choice of starting poles

- Starting poles should be chosen in the frequency range of interest.

Minutes	Poles	[0, 0.5]	[0, 0.1]	[0, 0.05]
5	Real	66.66%	66.66%	66.66%
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	Mixed	74.89%	74.69%	74.42%
10	Real	68.45%	68.45%	68.45%
	Complex	72.49%	73.84%	72.75%
	Mixed	73.49%	72.60%	73.73%
15	Real	66.01%	66.01%	66.01%
	Complex	69.72%	70.40%	70.33%
	Mixed	70.86%	70.43%	70.12%
20	Real	70.73%	70.73%	70.73%
	Complex	72.53%	72.27%	71.16%
	Mixed	71.28%	71.91%	72.38%
25	Real	60.27%	60.27%	60.27%
	Complex	63.45%	62.31%	63.45%
	Mixed	63.14%	63.45%	63.45%
30	Real	68.01%	68.01%	68.01%
	Complex	71.75%	71.45%	72.54%
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- Purely complex starting poles performs more or less the same as mixed poles.

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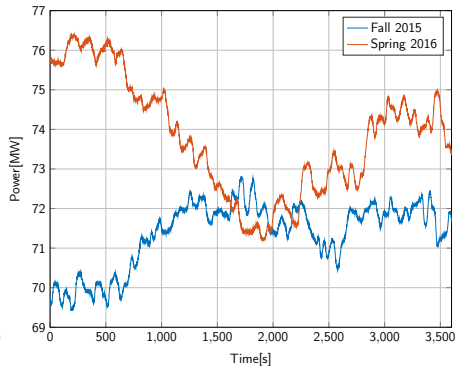
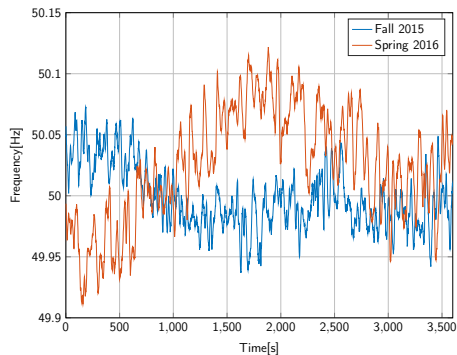


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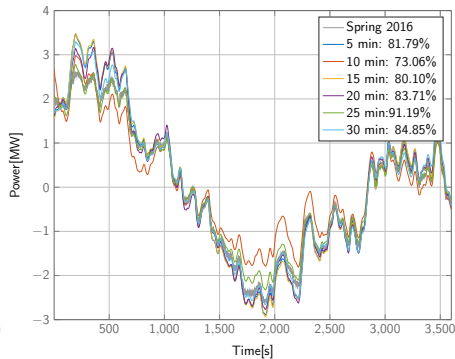
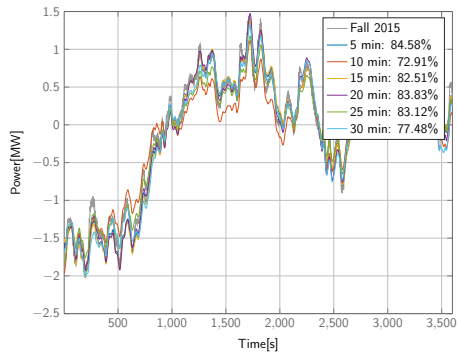
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- The poles were linearly spaced from zero up until the end of the interval.
- Purely complex starting poles performs more or less the same as mixed poles.
- There is little difference between the length of the time windows.

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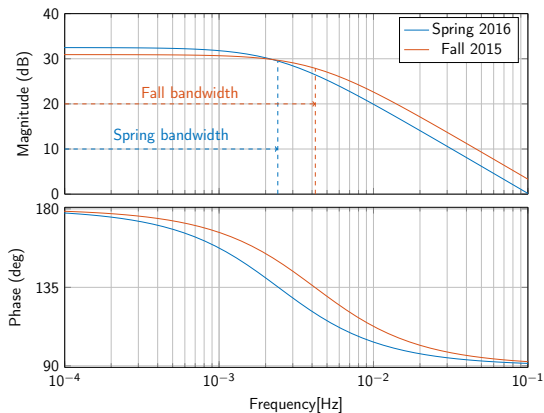
# Cross validation using distant data sets



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# Estimated droop and bandwidth



Dataset	Droop[%]	Bandwidth[mHz]
Fall 2015	10	4.16
Spring 2016	8	2.41

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- It is fast with an execution time around 0.1s
- It is robust, just filter your data and choose poles in the frequency range of interest and it works.
- Good results were obtained with time windows as short as five minutes.

# Conclusions

- Vector fitting obtains good fit normally in the range between 70% and 90%.
- It is fast with an execution time around 0.1s
- It is robust, just filter your data and choose poles in the frequency range of interest and it works.
- Good results were obtained with time windows as short as five minutes.
- One drawback is the lack of uncertainty quantification for identified parameters

# Outline

- 1 Background
- 2 Vector fitting
- 3 Method for identifying governor transfer functions
- 4 Results
- 5 Conclusions
- 6 References**

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