

# Exercise 4

Holo

Sunday 2<sup>nd</sup> July, 2023

**Exercise 1.** *Dense projections and Quotient posets*

**Part 1.1.**

Let  $\pi, \mathbb{P}, \mathbb{Q}$  and  $\Gamma_{\mathbb{Q}}^{\mathbb{P}}$  be as in the question, let  $G$  be any  $M$ -generic subset of  $\mathbb{P}$  and let  $\Gamma = (\Gamma_{\mathbb{Q}}^{\mathbb{P}})_G, \Gamma' = (\tilde{\pi}''\Gamma_{\mathbb{P}})_G$ .

Let  $p, q \in \Gamma$  and  $p', q' \in \Gamma'$  be strengthening of  $p, q$  respectively, let  $t, r \in G$  be such that  $\pi(t) = p', \pi(r) = q'$ , because  $G$  is generic we have  $t, r < w \in G$ , and because  $\pi$  is a weak homomorphism,  $\pi(w) > q', p'$  hence  $\pi(w) > q, p$ , and almost by definition  $\pi(w) \in \Gamma$ .

Because  $\Gamma$  is closed downwards by definition, we only left to show that it intersect with every maximal anti-chain of  $\mathbb{Q}$  that is in  $M$ , let  $A$  be such maximal anti-chain. For each  $x \in \mathbb{Q}$  let  $x^\dagger$  be a maximal anti-chain of  $\{y \in \pi''\mathbb{P} \mid y \geq x\}$ , and let  $A^\dagger = \bigcup \{y^\dagger \mid y \in A\}$ , because  $\pi''\mathbb{P}$  is dense, we have that  $A^\dagger$  is a maximal anti-chain as well.

Let  $A^\ddagger$  be the inverse image of  $A^\dagger$  by  $\pi$ , because  $\pi$  preserve incompatibility we must have that  $A^\ddagger$  contains a maximal anti-chain, let  $t \in A^\ddagger \cap G$ ,  $\pi(t) \in A^\dagger \cap \Gamma$ . By construction of  $A^\dagger$  there exists some  $p \in A$  such that  $\pi(t) > p$ , and because  $\Gamma$  is closed downwards we have that  $p \in A \cap \Gamma$ , hence  $M[G] \models \text{"}\Gamma \text{ is generic over } M \text{ for } \mathbb{Q}\text{"}$ .

Finally because  $G$  was arbitrary we can conclude that  $0_{\mathbb{P}}$  forces that.

**Part 1.2.**

Let  $G \subseteq \mathbb{P}$  be generic.

Notice that  $H$  is exactly  $\Gamma$  from (1.1), so  $H$  is generic over  $M$  for  $\mathbb{Q}$ .

Because being downwards closed is downwards absolute (from  $\mathbb{P}$  to  $\mathbb{P}/_{\pi}H$ ) and every 2 elements having a common extension in the subset is absolute (again from  $\mathbb{P}$  to  $\mathbb{P}/_{\pi}H$ ) we only need to care about it intersecting every maximal anti-chain of  $\mathbb{P}/_{\pi}H$  in  $M[H]$ .

Let  $p, q \in \mathbb{P}/_{\pi}H$ , by definition  $\pi(p), \pi(q) \in H \implies \pi(p) \parallel \pi(q) \implies p \parallel q$ , the last implication is because  $\pi$  is homomorphism and the one before that is because  $H$  is a generic filter.

Let  $A \subseteq \mathbb{P}/_{\pi}H$  be any maximal anti-chain in  $M[H]$ , that is  $A = \{p\}$  for some  $p \in \mathbb{P}/_{\pi}H$  (as any 2 elements of the quotient poset are compatible), so  $A \in M$ . Extend  $A$  to  $A'$  a maximal anti-chain in  $\mathbb{P}$ , because  $G$  is  $M$  generic for  $\mathbb{P}$  we know that  $G \cap A' \neq \emptyset$ , but  $G \subseteq \mathbb{P}/_{\pi}H$  so  $G \cap A' = A \implies G \cap A = A \neq \emptyset$ .

For the other direction, let  $G$  be any subset of  $\mathbb{P}$  such that  $H$  is  $M$ -generic for  $\mathbb{Q}$  and  $G$  is  $M[H]$ -generic for  $\mathbb{P}/_\pi H$ .

Similarly to before, every 2 elements in  $\mathbb{P}/_\pi H$  are compatible, in particular any 2 elements in  $G$  are compatible, as  $G \subseteq \mathbb{P}/_\pi H$ .

Let  $p \in G$  and  $q < p$ , because  $\pi$  is a weak homomorphism we have that  $\pi(q) < \pi(p) \in H$ , so  $\pi(q) \in H \implies q \in \mathbb{P}/_\pi H$ , because  $q < p \in \mathbb{P}/_\pi H$  and  $G$  is closed downwards in  $\mathbb{P}/_\pi H$  we must have that  $q \in G$ .

Lastly, let  $p, q \in \mathbb{P}/_\pi H$ , let  $D_{p,q} = \{r \in \mathbb{P} \mid r \geq p, q \vee r \perp p \vee r \perp q\}$  and  $D' = \pi'' D_{p,q}$ , because  $D_{p,q}$  is dense and  $\pi$  is dense homomorphism we know that  $D'$  is dense hence intersecting  $H$ , let  $r \in D_{p,q}$  be such that  $\pi(r) \in D' \cap H$ . Because  $\pi(r) \in H$  we have that  $r \in \mathbb{P}/_\pi H$  but because every 2 elements of  $\mathbb{P}/_\pi H$  are compatible in  $\mathbb{P}$ , we have that  $r \parallel p$  and  $r \parallel q$ , by construction of  $D_{p,q}$  we get that  $r \geq p, q$ .

That means that every 2 elements in  $\mathbb{P}/_\pi H$  are compatible in  $\mathbb{P}/_\pi H$ , so the only generic filter is  $\mathbb{P}/_\pi H$ , so  $G = \mathbb{P}/_\pi H$ .

Now take  $A$  to be any maximal anti-chain,  $\pi'' A$  is a maximal anti-chain as well, hence  $\{\pi(p)\} = H \cap \pi'' A$  hence  $G \cap A = \mathbb{P}/_\pi H \cap A = \{p\} \neq \emptyset$

### Exercise 2. Collapse Criterion

First note that  $\mathbb{Q}/q$  absorbs  $\mathbb{Q}$ , indeed for each  $M$ -generic for  $\mathbb{Q}/q$  we can take the downwards closure and get an  $M$ -generic for  $\mathbb{Q}$ .

Therefore  $0_{\mathbb{Q}/q} \Vdash |\check{\omega}| = |\check{\delta}|$ , so  $\mathbb{Q}/q$  is not  $\delta$ .c.c. and has a maximal anti-chain of size  $\delta$ .

Let  $\dot{g}$  be a  $\mathbb{Q}$  name that  $0_{\mathbb{Q}} \Vdash \dot{g} : \omega \rightarrow \Gamma_{\mathbb{Q}}$  is surjective"

We will construct recursively an embedding from  $\delta^{<\omega}$  to  $\mathbb{Q}$  as a tree  $T$ :

- $T_\Lambda = 0_{\mathbb{Q}}$
- Let  $t \in \delta^{n+1}$  and assume  $T_t$  is defined, let  $C_t = \{c_t^{(\alpha)} \mid \alpha \in \delta\}$  be a maximal anti-chain of size  $\delta$  in  $\mathbb{Q}/T_t$  such that for each  $c \in C_t$  we have that  $c$  decides the value of  $\dot{g}(\check{n})$  and let  $T_{t \smallfrown \{i\}} = c_t^{(i)}$

Note that the anti-chain above is always well defined, let  $p \in \mathbb{Q}$  be any element and let  $A \subseteq \mathbb{Q}/p$  be any maximal anti-chain that decides  $\dot{g}(\check{n})$ , let  $q \in A$  be any element and let  $B \subseteq \mathbb{Q}/q$  of cardinality  $\delta$ , then  $A' = A \setminus q \cup B$  is a maximal anti-chain of size  $\delta$  that decides  $\dot{g}(\check{n})$ .

This map is clearly an injective homomorphism, to see that it is dense let  $p \in \mathbb{Q}$  be any element, remember that  $p \Vdash \dot{g} : \omega \rightarrow \Gamma_{\mathbb{Q}}$  is surjective and  $\check{p} \in \Gamma_{\mathbb{Q}} = \text{range}(\dot{g})$  which implies  $p \Vdash \exists n \in \check{\omega} \dot{g}(n) = \check{p}$  in particular there exists a name  $\check{n}$  such that  $p \Vdash \dot{g}(\check{n}) = \check{p}$ , let  $m$  be a real natural such that  $p \Vdash \check{m} = \check{n}$ , thus  $p \Vdash \dot{g}(\check{m}) = \check{p}$ .

The set  $\text{Lev}_T(m+1)$  is clearly a maximal anti-chain, so either  $p \in \text{Lev}_T(m+1)$ , in which case we are done, or there exists  $q \in \text{Lev}_T(m+1)$  that is compatible with  $p$ , because  $p, q$  are compatible and both decide  $\dot{g}(\check{m})$  they must agree with one another, that is  $q \Vdash \dot{g}(\check{m}) = \check{p}$ , in particular  $q \Vdash \check{p} \in \text{range}(\dot{g}) = \Gamma_{\mathbb{Q}}$  therefore  $p$  is inside every generic  $G$  that  $q$  is in, in other words  $p < q$ .

Finally, let  $G$  be  $M$ -generic for  $\mathbb{Q}$ , and let  $H'$  be the intersection of  $G$  with the image of the tree, it is simple to see that  $H'$  is  $M$ -generic over  $T$  let  $H''$  be the pre-image of  $H'$  into  $\delta^{<\omega}$ , and let  $H$  be the downwards closure of  $H''$  into an  $M$ -generic for  $\text{Coll}(\omega, \delta)$ .

To see that  $M[G] = M[H]$  first note that because  $H$  is definable in  $M[G]$  (with parameters) it means that  $M[H] \subseteq M[G]$ , to see the other direction, let  $H^\dagger$  be the restriction of  $H$  to  $\delta^{<\omega}$ , let  $H^\ddagger$  be the image of  $H^\dagger$  under the embedding to  $T$ , we can verify that  $G$  is exactly the downward closure of  $H^\ddagger$ .

First because  $H^\ddagger \subseteq G$  the downwards closure of  $H^\ddagger$  is clearly a subset of  $G$ , and the downwards closure is  $M$ -generic for  $\mathbb{Q}$  (as  $T$  is dense).

Let  $p \in G$  be any element, extend  $\{p\}$  to a maximal anti-chain  $A$ ,  $A$  intersects with the downwards closure of  $H^\ddagger$ , but  $p$  is compatible with every element of the downwards closure of  $H^\ddagger$ , so the intersection must be  $\{p\}$ , so  $p$  is in the downwards closure of  $H^\ddagger$ .

So we got that  $G$  is definable in  $M[H]$  (with parameters) and hence  $M[G] \subseteq M[H]$  and we are done.