

Database Systems, CSCI 4380-01  
Homework #2 Solutions  
Due Thursday February 10, 2011 at 2 pm

**Please submit your answers as a text or PDF file via RPILMS assignment drop box function.**

**Question 1 (20+10 bonus points).** You are given the following simple relations (for simplicity, we are using unique integer ids as identifiers in this example):

Person(id, name, countryOfBirth, yearOfBirth)

Topics(id, title)

Books(id, title, publisher, isbn, versionNo, publicationYear)

BookAuthor(book\_id, person\_id)

BookEditor(book\_id, person\_id)

BookTopic(book\_id, topic\_id)

Write the following queries using relational algebra.

- (a) Find people who are both an author and editor of the same book on topic 'Databases'.

**Answer:**

$R1 := \pi_{person\_id, book\_id}(BookAuthor) \cap \pi_{person\_id, book\_id}(BookEditor)$

$R2 := \pi_{id}(\sigma_{title='DataBase'}Topics)$

$R3 := \pi_{book\_id}(R2 \bowtie_{id=topic\_id} BookTopic)$

$\pi_{person\_id}(R1 \bowtie BookTopic)$

- (b) Find the latest version of all books on 'Artificial Intelligence' topic (use versionNo).

**Answer:**

$R1 := \pi_{id}(\sigma_{title='Artificial Intelligence'}Topics)$

$R2 := \pi_{book\_id}(R1 \bowtie_{id=topic\_id} BookTopic)$

$R3 := \pi_{id, versionNo}(Books \bowtie_{id=book\_id} R2)$

$R4 := \rho_{R4(id2, versionNo2)}(R3)$

$R5 := \pi_{id, versionNo}(R3 \bowtie_{versionNo < versionNo2} R4)$

$\pi_{id}(R3) - \pi_{id}(R5)$

- (c) **Bonus.** Find people who authored a book every year between 1990 and 2000. Assume that the database contains at least one book published every year between 1990 and 2000.

**Answer:**

**Solution 1:**

$R1 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$

$R2 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$

$R3 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R4 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R5 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R6 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R7 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R8 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R9 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R10 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R11 := \pi_{person\_id}(BookAuthor \bowtie_{book\_id = id} (\sigma_{publication\_year \geq 1990} Books))$   
 $R1 \cap R2 \cap R3 \cap R4 \cap R5 \cap R6 \cap R7 \cap R8 \cap R9 \cap R10 \cap R11$

**Solution 2:**

$R1 := \pi_{publication\_year}(\sigma_{publication\_year \geq 1990 \text{ and } publication\_year \leq 2000} Books)$   
 Find the books that published between 1990 to 2000.

$R2 := \pi_{person\_id} BookAuthor$   
 Project the person\_id of Bookauthors

$R3 := R2 \times R1$

Use Cartesian join to create a relation that has one attribute contains all the bookauthor and one attribute contains year from 1990 to 2000.

$R4 := \pi_{person\_id, year}(\sigma_{publication\_year \geq 1990 \text{ and } publication\_year \leq 2000} Books)$   
 Create a relation that all the bookauthor id with the year that they have publication.

$R5 := \pi_{person\_id}(R3 - R4)$   
 Select the bookauthors who didn't author at least one book published every year between 1990 and 2000.

$R6 := \pi_{person\_id} R4 - R5$   
 Return the bookauthors who authored a book every year between 1990 and 2000.

**Question 2 (10+20+20 points).** You are given the following functional dependency set for relation  $R(A, B, C, D, E, F, G)$ . Assume these dependencies form a minimal basis.

$$\mathcal{F} = \{A \rightarrow BC, AD \rightarrow F, AF \rightarrow E\}$$

- (a) Based on these functional dependencies, what are the keys for  $R$ ?

**Answer:**

The key is  $\{A, D, G\}$

- (b) Is this relation in BCNF? If not, list all functional dependencies that violate BCNF and convert it to BCNF using BCNF decomposition.

**Answer:**

No. This relation is not in BCNF.

The functional dependencies that violate BCNF are  $\{A \rightarrow BC, AD \rightarrow F, AF \rightarrow E\}$ .

Using Algorithm 3.20 on page 92 of the text book gives a set of relations:

Step1:

Because  $AD \rightarrow F$  violates BCNF. Thus decompose  $R$  to:

$R1(A, B, C), \{A \rightarrow BC\}$  ; key:  $A$  in BCNF

R2(A,D,E,F,G),  $\{AD \rightarrow F, AF \rightarrow E\}$  ; key:A,D,G violates BCNF;

Step2:

Since R1 is in BCNF, but R2 violates BCNF, thus decompose R2 to:

R21(A,D,F),  $\{AD \rightarrow F\}$  ; key:A,D in BCNF

R22(A,D,E,G),  $\{AD \rightarrow E\}$  ; key:A,D,G violates BCNF

Step3:

Since R21 is in BCNF, but R22 violates BCNF, thus decompose R22 to:

R221 (A,D,E),  $\{AD \rightarrow E\}$  ; key:A,D in BCNF

R222 (A,D,G); key:A,D,G in BCNF

So, after BCNF decomposition, we have:

R1(A,B,C)

R21(A,D,F)

R221 (A,D,E)

R222 (A,D,G)

- (c) Is this relation in 3NF? If not, list all functional dependencies that violate 3NF and convert it to 3NF using 3NF decomposition.

**Answer:**

No. This relation is not in 3NF.

The functional dependencies that violate 3NF are  $\{A \rightarrow BC, AD \rightarrow F, AF \rightarrow E\}$  .

Using Algorithm 3.26 on page 103 of the text book gives a set of relations:

R1(A,B,C),  $\{A \rightarrow BC\}$  ; key:A in 3NF

R2(A,D,F),  $\{AD \rightarrow F\}$  ; key:A,D in 3NF

R3(A,F,E),  $\{AF \rightarrow E\}$  ; key:A,F in 3NF

Because none of these schema are a key or super key for R, we must add a relation with the key of R as a schema.

R4(A,D,G); key:A,D,G in 3NF

**Question 3 (10 points):** Find a minimal basis that is equivalent to the following set of functional dependencies:

$$\mathcal{F} = \{AF \rightarrow BD, A \rightarrow F, C \rightarrow D, CD \rightarrow E, AB \rightarrow B\}$$

**Answer:**

$$\mathcal{F} = \{A \rightarrow B, A \rightarrow D, A \rightarrow F, C \rightarrow D, C \rightarrow E\}$$

**Step 1** of Minimal Basis Algorithm: Form a basis.

$$AF \rightarrow B$$

$$AF \rightarrow D$$

$$A \rightarrow F$$

$$C \rightarrow D$$

$$CD \rightarrow E$$

$$AB \rightarrow B$$

**Step 2** of algorithm: Remove trivial functional dependencies.

$AB \rightarrow B$  is trivial and can be removed, leaving:

$AF \rightarrow B$

$AF \rightarrow D$

$A \rightarrow F$

$C \rightarrow D$

$CD \rightarrow E$

**Step 3** of Algorithm: if after removing  $X \rightarrow Y$ , the remaining FDs imply  $X \rightarrow Y$ , then we can remove  $X \rightarrow Y$ .

There are no functional dependencies that can be removed in this step.

**Step 4** of Algorithm: Given  $XZ \rightarrow Y$  in  $F$ , remove  $Z$  if unnecessary.

Because  $A \rightarrow F$ , so the  $F$  in  $AF \rightarrow B$ , the  $F$  in  $AF \rightarrow D$  can be removed.

Because  $C \rightarrow D$ , so the  $D$  in  $CD \rightarrow E$  can be removed.

Thus, the final minimal basis is :

$\mathcal{F} = \{A \rightarrow B, A \rightarrow D, A \rightarrow F, C \rightarrow D, C \rightarrow E\}$