# VANISHING THEOREMS FOR DE RHAM COMPLEX OF UNITARY LOCAL ${\bf SYSTEM}$

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# ABSTRACT

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This is the abstract.

# TABLE OF CONTENTS

		F	Page
A]	BSTR	RACT	iv
1	Prel	iminaries	1
	1.1	Local System and canonical connection	1
	1.2	Unitary local system on the complement of a normal crossing divisor .	5
		1.2.1 Residue Map	5
		1.2.2 Weight Filtration on the De Rham Complex	8
		1.2.3 Mixed Hodge Theory on the De Rham Complex	10
2	Vani	ishing Theorems	15
	2.1	Vanishing Theorem on the De Rham Complex	15
	2.2	From the Perspective of Higgs Bundle	23
	2.3	Partial Weight Filtration on the De Rham Complex	24
	2.4	Mixed Hodge Theory on the De Rham Complex with Partial Weight Filtration	28
	2.5	Graded Vanishing Theorem on the De Rham Complex	34
Rl	EFER	RENCES	38

# 1. PRELIMINARIES

In this chapter, we will first review the theory of local system and its canonical extension. Then, we will give a more comprehensive study of unitary local system on the complement of a normal crossing divisor which is the subject of interest of this thesis work. This includes

- Residue map on the De Rham complex of a unitary local system;
- Weight filtration on the De Rham complex;
- Abstract Hodge theory on the De Rham complex;

This part of the preliminary work will show the aforementioned De Rham complex is a cohomological mixed Hodge complex, which is a framework developed by Deligne in [1] and [3]. An important property enjoyed by a cohomological mixed Hodge complex is the degeneration of Hodge spectral sequence at  $E_1$ -stage. This is an important component of the proof of the vanishing theorem ??.

### 1.1 Local System and canonical connection

Let Y be a complex manifold. A local system L on Y with value in  $\mathbb{C}^r$  is a sheaf on Y such that

- Y has an open cover by  $U_i$ , such that restriction of L on  $U_i$  is isomorphic to the constant sheaf  $F_i = \mathbb{C}^r$ ;
- On the double overlap  $U_{ij} = U_i \cap U_j$ , there is an isomorphism

$$g_{ij}: F_i|_{U_{ij}} \to F_j|_{U_{ij}}$$

•  $g_{ij}$  satisfy cocycle condition on triple intersection;

**Example 1** Let Y be the punctured complex unit disk with coordinate z. The solution to the differential equation

$$\frac{df}{dz} = \frac{1}{2} \frac{f}{z}$$

is generated by multi-valued function  $f = z^{\frac{1}{2}}$  over  $\mathbb{C}$ . For each point  $y \in Y$ , there is an open set  $U_y$  on which one can choose a branch for  $\log z$  and make f a well-defined holomorphic function. The solution to the above differental equation on Y form a local system with value in  $\mathbb{C}$  on Y. It is clear that this local system does not have a global section.

Assume Y is connected.

Call  $g_{ij}$  the transition functions of L.

**Lemma 1** Two local systems E and E' on Y are isomorphic if there is an linear map E  $A \in GL(\mathbb{C}, r)$  such that

$$g_{ij} = Ag'_{ij}A^{-1}$$

**Proof** Let  $\phi : \mathcal{L} \to \mathcal{L}'$  be an isomorphism.

**Lemma 2** If Y is a simply connected topological space, then Y admits no nontrivial local system.

Then, we have

**Theorem 1.1.1** Fix a point  $y \in Y$ . Then, there is a natural bijection between isomorphism classes of local system with value in  $\mathbb{C}^r$  and the set of representations

$$\pi_1(Y,y) \to GL(\mathbb{C},r)$$

modulo the action of  $GL(\mathbb{C},r)$  by conjugation.

**Proof** We give a sketch here. For more detailed discussion, see [?] Chapter 3. Fix a local system L, we construct its corresponding representation  $\rho(L)$ : Let  $\gamma$  be a loop at y. For each point  $z \in \gamma$ , there is an open set  $U_z$  on which L is isomorphic to the

constant sheaf. One can find finitely many points  $y_1 = y, y_2, \dots, y_n$  such that  $U_{y_i}$  cover  $\gamma$ . Take  $g_{in}$  to be the transition function of L on  $U_1 \cap U_n$ .  $g_{in}$  represents the image of  $\gamma$  in  $GL(\mathbb{C}, r)$ .

From the lemma above, we see that if L' is isomorphic to L, then its representation  $\rho(L')$  is conjugate to  $\rho(L)$ .

**Remark 1** For a local system L on Y, we don't get a representation  $\pi_1(Y,y) \to GL(\mathbb{C},r)$  out of box, i.e.there is no "canonical representation" associated to L

For each local system L, we call any representation  $\pi_1(Y, y) \to GL(\mathbb{C}, r)$  corresponding to the isormophism class of L a monodromy representation of L. Fix a monodromy representation

$$\rho: \pi_1(Y, y) \to \mathrm{GL}(\mathbb{C}, r)$$

For a loop  $\tau \in \pi_1(Y, y)$ , its image  $\rho(\tau)$  is called the <u>monodromy of L along  $\tau$ </u>. In Example 1, the monodromy of the solution to the differential equation

$$\frac{df}{dz} = \frac{1}{2} \frac{f}{z}$$

along the unit circle is -1, which is precisely the change undergoes  $z^{\frac{1}{2}}$  when switching from one branch to the next.

#### 1.1.1 Canonical extension of a local system

Let X be a complex manifold, and let  $D \subset X$  be a normal crossing divisor. Let Y = X - D, and L be a local system of rank r on Y. Deligne constructed a vector bundle bundle E on X with a flat log connection

$$\nabla: E \to E \otimes \Omega_X(\log D)$$

such that the flat sections of  $\nabla$  coicide with L when restricted to Y. Furthermore, the real part of eigenvalues of the residue of  $\nabla$  lie in [0,1). We will review the construction here:

We will construct  $(E, \nabla)$  locally, then show these local objects are uniquely determined by the local system Ł. This implies that the local objects glue to a global object.

Fix a point  $x \in X$ , and let  $U \subset X$  be a polydisk neighborhood of x. Let  $(z_1, \dots, z_n)$  be analytic coordinate on U such that  $D \cap U = D_1 + \dots + D_s$  is defined by  $z_1 \dots z_s = 0$ . Let  $Y_U = Y \cap U$ .  $Y_U$  is homotopic to

$$\overbrace{S^1 \times \cdots \times S^1}^s$$

Therefore,  $\pi_1(Y)$  is a free abelian group of rank r, each generator is represented by a small circle around  $D_i$ .

Let  $\gamma_i$  be the monodromy of L around  $D_i$ . Just like in Example 1, we will construct a system of differential equations, whose solution can be identified with the sections of L. Consider the system

$$\frac{\partial f_i}{\partial z_j} = \sum_{k=1}^r a_{ik}^j f_k \frac{1}{z_j}$$

 $k = 1, \dots, r, j = 1, \dots, s$ . Let f denote the vector  $(f_1, \dots, f_r)^T$ , and let  $A_j$  denote the matrix  $(a_i^j k)$ . Then, the above system can be compactly written as

$$df - \sum_{j=1}^{r} A_j f \frac{dz_j}{z_j}$$

Just like Example 1, the solution to the above system can be represented by the multi-valued section

$$f = z_1^{A_1} \cdots z_r^{A_r}$$

The monodromy of f with respect to  $z_j$  is  $e^{2\pi i A_j}$  (Here  $i = \sqrt{-1}$ ).

This means,

$$e^{2\pi i A_j} = \gamma_i$$

and therefore, to construct the system

$$df - \sum_{j=1}^{r} A_j f \frac{dz_j}{z_j}$$

it remains to make sense of  $\log A_i$ .

# 1.1.2 Logarithm of complex-valued matrices

Given a matrix B, a matrix A is said to be a <u>matrix logarithm</u> of B if  $e^A = B$  where exponential is defined in terms of power series expansion. Write  $A = \log B$ , if A is a matrix logarithm of B.

**Lemma 3** Let B be a complex-valued matrix. Write  $B = VJV^{-1}$ , where J is the Jordan canonical form of B. Then, if  $\log J$  exists, then  $V \log JV^{-1}$  is a logarithm of B

**Proof** It is enough to show that

$$e^{V\log JV^{-1}} = VJV^{-1}$$

This follows directly from the power series expansion of  $e^{V \log JV^{-1}}$ .

The above lemma shows that to define  $\log B$ , one can assume B is a Jordan block. Suppose B is a Jordan block of dimension n with generalized eigenvalue  $\lambda$ . Then,

$$B = \lambda(I + K)$$

where K is a  $n \times n$  nilpotent matrix.

Use the formal power series expansion

$$\log(1+x) = \sum_{n=0}^{\infty} (-1)^{n+1} \frac{x^n}{n}$$

one gets

$$\log B = \log(\lambda(I + K)) = \log(\lambda I) \log(I + K) = (\log \lambda)I + K - \frac{K^2}{2} + \frac{K^3}{3} + \cdots$$

The infinite sum is actually finite, because K is a nilpotent matrix. Therefore, by specifying a branch for  $\log \lambda$ , one can define  $\log B$ .

### 1.1.3 Construction of canonical extension

Let B be a complex-valued matrix. Suppose all its generalized eigenvalues are non-zero. Then, as we see from above, one can define logarithm of B through its Jordan canonical form. It is uniquely determined once we specify the values of the logarithms of generalized eigenvalues of B. By abuse of notation, we write  $\log B$  as the *principal branch* for the logarithm of B, *i.e.* logarithms of generalized eigenvalues of B are defined using the principal branch.

Back to the local system L defined on Y. The monodromies  $\gamma_i$  are invertible matrices. Therefore, they have nonzero generalized eigenvalues, and one can compute  $\log \gamma_i$ . Then, let  $E = O_X^r$  be the trivial vector bundle on X, and let

$$\nabla: E \to E \otimes \Omega_X(\log D)$$

be the log connection

$$d + A_1 \frac{dz_1}{z_1} + \dots + A_s \frac{dz_s}{z_s}$$

where  $A_i = \frac{1}{2\pi} \log \gamma_i$ . As we have seen in section ??, the solution to the differential equations (on Y) defined by  $\nabla$  has the monodromies dictated by E.

#### 1.2 Unitary local system on the complement of a normal crossing divisor

#### 1.2.1 Residue Map

In this section we will define a residue map  $\operatorname{Res}(E)$  on the complex  $\operatorname{DR}_X(D, E)$ . Similar to the usual residue map on the holomorphic de Rham complex,  $\Omega_X$ ,  $\operatorname{Res}(E)$  will define a weight filtration on  $\operatorname{DR}_X(D, E)$ .  $\operatorname{Res}(E)$  has been defined and studied in [2].

For  $m = 1, \dots, n$ , let  $D_m$  be the union of m-fold intersection of components of D; Let  $\tilde{D}_m$  be the disjoint union of components of  $D_m$ ; Let  $v_m : \tilde{D}_m \to X$  be the composition of the projection map onto  $D_m$  and the inclusion map.  $\tilde{C}_m := v_m^* D_{m+1}$  is either empty or a normal

# Theorem 1.2.1 [2]

- 1.  $V_m := j_*V|_{D_m-D_{m+1}}$  is a unitary local system on  $D_m D_{m+1}$ .
- 2. There exist a unique subvectorbundle  $E_m$  of  $v_m^*E$  and a unique holomorphic integrable connection  $\nabla_m$  on  $E_m$  with logarithmic poles along  $C_m$  such that

$$\ker \nabla_m|_{\tilde{D}_m - \tilde{C}_m} = v_m^{-1} V_m$$

3. There exists a unique subvectorbundle  $E_m^*$  of  $v_m^*E$  with

$$E_m \oplus E_m^* = v_m^* E$$

**Proof** All of the statements above are local. Therefore, we can assume X is a polydisk. Write  $X = \Delta_1 \times \cdots \times \Delta_n$ , and let  $z_i$  be the coordinate on  $\Delta_i$ . Suppose D is defined by

$$z_1 \times \cdots \times z_s = 0$$

1. The local system V on U is equivalent to an unitary representation

$$T:\pi_1(U)\to \mathrm{GL}(r,\mathbb{C})$$

As  $\pi_1(U)$  is abelian and T is unitary, we can simultaneously diagonalize all  $T(\gamma_i)$ , where  $\gamma_i$ 's form a generating set of  $\pi_1(U)$  (see Appendix 1). Therefore, we can assume V is a direct sum of rank 1 unitary local systems. Write

$$V = V^1 \oplus \cdots \oplus V^r$$

For each  $V^i$ , let  $\lambda_{i,j}$  be its monodromy around  $D^j$ . So  $V^i$  extends to  $D^j$  if and only if  $\lambda_{i,j} = 1$ .

Now let  $D^{j1} \cap \cdots \cap D^{jm}$  be one component of  $D_m$ , and let  $x \in D^{j1} \cap \cdots \cap D^{jm}$ . Then, near  $x V_m$  is

$$\bigoplus_{\lambda_{i,j1}=\cdots=\lambda_{i,jm}=1} V^i$$

This shows that  $V_m$  is a unitary local system.

2. The uniqueness of the subvectorbundle  $E_m$  follows from the uniqueness of canonical connection. Therefore, we only need to show the existence part. Use the notation from part 1, and assume V decomposes as direct sum of rank 1 unitary local system  $V^i$ . Let  $E^i$  be the canonical connection of  $V^i$ . Then, it is clear that

$$E_m = \bigoplus_{\lambda_{i,j1} = \dots = \lambda_{i,jm} = 1} v_m^* E^i$$

3. E inheits a flat Hermitian form from V. Define  $E_m^*$  as the complement of  $E_m$  with respect to this metric. On  $\Delta$ ,  $E_m^*$  is the direct sum of  $v_m^*E^i$  not appearing in the definition of  $E_m$ .

**Remark 2**  $E_m$  could have different ranks on different component of  $\tilde{D}_m$ .

For each  $m \leq p \leq \dim D_m$ , there exists a residue map crossing divisor in  $\tilde{D}_m$ .

$$\operatorname{Res}_m: \Omega^p_X(\log D) \to v_{m*}(\Omega^{p-m}_{\tilde{D}_m})$$

This map is defined as follow: Let  $D_{m1}$  be one of components of  $D_m$ , and suppose  $D_{m1}$  is the intersection of  $D_{i1}, \dots, D_{im}$ . Then, the map  $\operatorname{Res}_m$  sends  $dz_i/z_i$  to 1 if i appears in  $i_1, \dots, i_m$ , and  $\operatorname{Res}_m$  sends all other 1-form to 0. This map is well-defined independent of the chosen coordinate. \*/

 $\operatorname{Res}_m$  commutes with exterior derivative d, making it a homomorphism of complexes

$$\operatorname{Res}_m: \Omega_X^{\cdot}(\log D) \to v_{m*}\Omega_{\tilde{D}_m}^{\cdot}(\log \tilde{C}_m)[-m]$$

Consider the following variation of the residue map  $Res_m$ 

$$\operatorname{Res}_{m}(E): \Omega_{X}^{p}(\log D) \otimes E \xrightarrow{\operatorname{Res}_{m} \otimes \operatorname{id}} v_{m*}(\Omega_{\tilde{D}_{m}}^{p-m}(\log \tilde{C}_{m})) \otimes E$$

$$= v_{m*}(\Omega_{\tilde{D}_{m}}^{p-m}(\log \tilde{C}_{m}) \otimes v_{m}^{*}E)$$

$$\xrightarrow{\operatorname{id} \otimes p_{m}} v_{m*}(\Omega_{\tilde{D}_{m}}^{p-m}(\log \tilde{C}_{m}) \otimes E_{m})$$

where  $p_m: v_m^* E \to \tilde{E}_m$  is the projection onto the  $E_m$  component.

**Lemma 4** [2]  $Res_m(E) \circ \nabla = \nabla_m \circ Res_m(E)$ , i.e.  $Res_m(E)$  is homomorphism of complexs

$$DR_X(D, E) \to v_{m*} DR_{\tilde{D}_m}(\tilde{C}_m, E_m)[-m]$$

# 1.2.2 Weight Filtration on the De Rham Complex

The residue map

$$\operatorname{Res}_m(E) : \operatorname{DR}_X(D, E) \to v_{m*} \operatorname{DR}(\tilde{C}_m, E_m, \nabla_m)[-m]$$

can be used to define a weight filtration W on  $DR_X(D, E)$  [2]

$$W_m(\mathrm{DR}_X(D,E)) = \ker \mathrm{Res}_{m+1}(E)$$
 if  $m \ge 0$ 

$$W_m(\mathrm{DR}_X(D,E)) = 0 \qquad \text{if } m < 0$$

Local descriptions of  $W_m(DR_X(D, E))$  have been given in [2]. We will review them here:

Let  $\Delta = \Delta_1 \times \cdots \times \Delta_n$  be a polydisk of X with coordinate  $z_1, \dots, z_n$ . Suppose D is defined as

$$z_1 \times \cdots \times z_s = 0$$

As in part 1 of Theorem 1.2.1, we assume V is the direct sum of rank 1 unitary local systems on  $\Delta$ , and write

$$V = V^1 \oplus \cdots \oplus V^r$$

**Definition 1.2.1** We say  $\frac{dz_j}{z_j}$  acts on  $V^i$  by identity if  $\lambda_{i,j} = 1$ , i.e. the monodromy of  $V^i$  by a small circle around  $D_j$  is the identity.

Let  $E^i$  be be canonical extension of  $V^i$  on  $\Delta$ ;

Let  $\mu_i$  be a generator of  $E^i$ , then

$$\frac{dz_{j_1}}{z_{j_1}} \wedge \cdots \wedge \frac{dz_{j_k}}{z_{j_k}} \wedge dz_{j_{k+1}} \cdots \wedge dz_{j_p} \otimes \mu_i$$

is in  $W_m(DR_X(D, E))$  if and only if there are at most m log forms acting on  $V^i$  by identity.

# Proposition 1.2.1 [2]

- 1.  $W(DR(D, E, \nabla))$  is an increasing filtration.
- 2.  $Res_m(E)$  induces an isomorphism

$$Gr_m^W(DR(D, \nabla, E)) \to v_{m*}(W_0(DR_{\tilde{D}_m}(\tilde{C}_m, \tilde{E}_m))[-m])$$

**Proof** The statements are local. We can assume X is a polydisk and V is a unitary local system of rank 1.

- 1. From the local description of  $W_m(DR_X(D, E))$ , it is clear that  $W_i$  is an increasing filtration.
- 2. Let s be a section  $W_m(\mathrm{DR}_X(D,E))$ . Use the local description above, s is of the form

$$\omega \otimes \mu$$

where

$$\omega = \frac{dz_{j_1}}{z_{j_1}} \wedge \dots \wedge \frac{dz_{j_k}}{z_{j_k}} \wedge dz_{j_{k+1}} \dots \wedge dz_{j_p}$$

and  $\omega$  has at most m log 1-forms acting on V by identity.  $\mu$  is a generating section of E.

First, we show  $\operatorname{Res}_m(E)(s) \in W_0(\Omega^{p-m}_{\tilde{D}_m}(\log \tilde{C}_m) \otimes E_m$ .

$$\operatorname{Res}_m(E)(s) = \operatorname{Res}_m(\omega) \otimes \mu_m$$

By the construction of  $\omega$ ,  $\operatorname{Res}_m(\omega)$  does not have log form  $\frac{dz_j}{z_j}$  acting on V by identity. This shows that

$$\operatorname{Res}_m(E)(s) \in W_0(\Omega^{p-m}_{\tilde{D}_m}(\log \tilde{C}_m) \otimes E_m)$$

If  $\omega_0 \otimes \mu_m \in W_0(\Omega_{\tilde{D}_m}^{p-m}(\log \tilde{C}_m) \otimes E_m)$ , to get a preimage in  $W_m(\Omega_X^p(\log D) \otimes E)$ , simply take

$$\omega_m \wedge \omega_0 \otimes \mu$$

where  $\omega_m$  is any m-form. And  $\omega_m \wedge \omega_0 \otimes \mu \in W_m(\Omega_X^p(\log D) \otimes E)$  by the construction of  $\omega_0$ . This shows that

$$\operatorname{Res}_m(E): W_m(\operatorname{DR}(D, E, \nabla)) \to W_0(\operatorname{DR}(\tilde{C}_m, \tilde{E}_m, \tilde{\nabla}_m))$$

is surjective.

If  $\operatorname{Res}_m(E)(s) = 0$ , that means in  $\omega$ , there are at most m-1 log forms acting on V by identity. This is precisely the local description of  $W_{m-1}(\Omega_X^p(\log D) \otimes E)$ .

# 1.2.3 Mixed Hodge Theory on the De Rham Complex

The framework for studying the mixed Hodge structure on  $DR_X(D, E)$  has been worked out by Deligne in [3] and [4]. The analysis of the mixed Hodge structure on  $DR_X(D, E)$  was given by Timmerscheidt in [2]. We will give an overview about the results from both authors. The vanishing theorem in the following section is a consequence of the mixed Hodge structure on  $DR_X(D, E)$ .

Let A denote  $\mathbb{Z}, \mathbb{Q}$  or  $\mathbb{R}$  and  $A \otimes \mathbb{Q}$  the field  $\mathbb{Q}$  or  $\mathbb{R}$ .

Assume V has a A-lattice throughout this section, i.e. there exists a unitary local system  $V_A$  defined over A such that

$$V = V_A \otimes_A \mathbb{C}$$

Let  $D^+(A)$  (resp.  $D^+(\mathbb{C})$ ) denote the derived category of A-modules (resp.  $\mathbb{C}$ -vector spaces)

The main result of this section is

**Theorem 1.2.2** [2](Proposition 6.4)

$$(\mathbb{R}j_*V_A, (\mathbb{R}j_*V_{A\otimes\mathbb{Q}}, \tau), (DR_X(D, E), F, W))$$

is an A-cohomological mixed Hodge complex.

For readers' sake, we included all relvant definitions involved in the above theorem here. They can be found in [4] or [5](Section 5)

**Definition 1.2.2** (Hodge Structure (HS)) A Hodge structure of weight n is defined by the data:

- 1. A finitely generated abelian group  $H_{\mathbb{Z}}$ ;
- 2. A decomposition by complex subspaces:

$$H_{\mathbb{C}}:=H_{\mathbb{Z}}\otimes_{\mathbb{Z}}\mathbb{C}=\bigoplus_{p+q=n}H^{p,q}$$

satisfying

$$H^{p,q} = \overline{H^{q,p}}$$

**Definition 1.2.3** (Hodge Complex (HC)) A Hodge A-complex K of weight n consists of

- 1. A complex  $K_A$  of A-modules, such that  $H^k(K_A)$  is an A-module of finite type for all k;
- 2. A filtered complex  $(K_{\mathbb{C}}, F)$  of  $\mathbb{C}$ -vector spaces;
- 3. Anisomorphism

$$\alpha: K_A \otimes \mathbb{C} \to K_{\mathbb{C}}$$

in  $D^+(\mathbb{C})$ ;

The following axioms must be satisfied

- 1. The spectral sequence defined by  $(K_{\mathbb{C}}, F)$  degenerates at  $E_1$ ;
- 2. for all k, the filtration F on  $H^k(K_{\mathbb{C}}) \cong H^k(K_A) \otimes \mathbb{C}$  defines a A-Hodge structure of weight n + k on  $H^k(K_A)$

**Definition 1.2.4** Let X be a topological space. An A-Cohomological Hodge Complex (CHC) K of weight n on X, consists of:

1. A complex of sheaves  $K_A$  of A-modules on X;

- 2. A filtered complex of sheaves  $(K_{\mathbb{C}}, F)$  of  $\mathbb{C}$ -vector spaces on X;
- 3. an isomorphism

$$\alpha: K_A \otimes \mathbb{C} \to K_{\mathbb{C}}$$

in 
$$D^+(X,\mathbb{C})$$

Moreover, the triple  $(R\Gamma(K_A), R\Gamma(K_{\mathbb{C}}, F), R\Gamma(\alpha))$  is a Hodge Complex of weight n

**Definition 1.2.5** (Mixed Hodge Complex) An A-Mixed Hodge Complex (MHC) K consists of:

- 1. A complex  $K_A$  of A-modules such that  $H^k(K_A)$  is an A-module of finite type for all k;
- 2. A filtered complex  $(K_{A\otimes\mathbb{Q}}, W)$  of  $A\otimes\mathbb{Q}$  vector spaces with an increasing filtration W;
- 3. An isomorphism  $K_A \otimes \mathbb{Q} \cong K_{A \otimes \mathbb{Q}}$  in  $D^+(A \otimes \mathbb{Q})$ ;
- 4. A bi-filtered complex  $(K_{\mathbb{C}}, W, F)$  of  $\mathbb{C}$ -vector spaces with an increasing (resp. descreasing) filtration W (resp. F) and an isomorphism:

$$\alpha: (K_{A\otimes \mathbb{O}}, W) \otimes \mathbb{C} \cong (K_{\mathbb{C}}, W)$$

in 
$$D^+F(\mathbb{C})$$
.

Moreover, the following axiom needs to be satisfied: For all n, the system consisting of

- the complex  $Gr_n^W(K_{A\otimes\mathbb{Q}})$  of  $A\otimes\mathbb{Q}$  vector spaces,
- the complex  $Gr_n^W(K_{\mathbb{C}}, F)$  of  $\mathbb{C}$ -vector spaces with induced F filtration,
- the isomorphism

$$Gr_n^W(\alpha): Gr_n^W(K_{A\otimes\mathbb{Q}})\otimes\mathbb{C}\to Gr_n^W(K_{\mathbb{C}})$$

is an  $A \otimes \mathbb{Q}$ -Hodge Complex of weight n.

**Definition 1.2.6** (Cohomological Mixed Hodge Complex (CMHC)) An A-Cohomological Mixed Hodge Complex K (CMHC) on a topological space X consists of:

- 1. A complex of sheaves  $K_A$  of sheaves of A-modules on X such that  $H^k(X, K_A)$  are A-modules of finite type;
- 2. A filtered complex  $(K_{A\otimes\mathbb{Q}}, W)$  of sheaves of  $A\otimes\mathbb{Q}$ -vector spaces on X with an increasing filtration W and an isomorphism

$$K_A \otimes \mathbb{Q} \cong K_{A \otimes \mathbb{Q}}$$

in 
$$D^+(X, A \otimes \mathbb{Q})$$
;

3. A bi-filtered complex of sheaves  $(K_{\mathbb{C}}, W, F)$  of  $\mathbb{C}$ -vector spaces on X with an increasing (resp. descreasing) filtration W (resp. F) and an isomorphism:

$$\alpha: (K_{A\otimes \mathbb{O}}, W) \otimes \mathbb{C} \to (K_{\mathbb{C}}, W)$$

in 
$$D^+F(X,\mathbb{C})$$
.

Moreover, the following axiom needs to be satisfied: For all n, the system consisting of:

- the complex  $Gr_n^W(K_{A\otimes \mathbb{Q}})$  of sheaves of  $A\otimes \mathbb{Q}$ -vector spaces on X,
- the complex  $Gr_n^W(K_{\mathbb{C}}, F)$  of sheaves of  $\mathbb{C}$ -vector spaces with induced F filtration,
- the isomorphism

$$Gr_n^W(\alpha): Gr_n^W(K_{A\otimes \mathbb{Q}})\otimes \mathbb{C} \to Gr_n^W(K_{\mathbb{C}})$$

is an  $A \otimes \mathbb{Q}$ -Cohomological Hodge Complex of weight n.

The following example of Cohomological Mixed Hodge Complex can be found in [4] and [5]

**Example 2** Let X be a smooth projective variety over  $\mathbb{C}$ ,  $D \subset X$  a simple normal crossing divisor. Let U:=X-D and let

$$j: U \to X$$

be the inclusion map.

Let  $\mathbb{Q}_U$  be the constant sheaf with  $\mathbb{Q}$ -coefficient on U.  $\mathbb{R}j_*\mathbb{Q}_U\otimes\mathbb{C}=\mathbb{R}\mathbb{C}_{U_*}$  is quasiisomorphic to the logarithmic de Rham complex

$$O_X \xrightarrow{d} \Omega_X(\log D) \xrightarrow{d} \cdots \xrightarrow{d} \Omega_X^n(\log D)$$

For any complex K of sheaves on X, let  $\tau$  be the canonical increasing filtration

$$\tau_m K^q = \begin{cases} K^q & \text{if } q < m \\ \ker d^q \subset K^q & \text{if } q = m \\ 0 & \text{if } q > m \end{cases}$$

See [5](Corollary 6.4) for the following result:

The system consisting of

- 1.  $(\mathbb{R}j_*\mathbb{Q}_U,\tau)$ ;
- 2.  $(\Omega_X (\log D), W, F)$  with usual weight and Hodge filtration W and F;
- 3. The quasi-isomorphism

$$(\mathbb{R}j_*\mathbb{Q}_U, \tau) \otimes \mathbb{C} \cong (\Omega_X(\log D), W)$$

is a Cohomological Mixed Hodge Complex on X.

# 2. VANISHING THEOREMS

## 2.1 Vanishing Theorem on the De Rham Complex

we have seen in the previous section that if V has a real lattice, then

$$(\mathbb{R}j_*V_A, (\mathbb{R}j_*V_{A\otimes\mathbb{O}}, \tau), (\mathrm{DR}_X(D, E), F, W))$$

is an A-cohomological mixed Hodge complex. As a result of the general theory developed in [4], we have

**Theorem 2.1.1** Assume there is a real-valued unitary locall system  $V_{\mathbb{R}}$  defined on U such that

$$V = V_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$$

Let V and  $DR_X(D, E)$  be as above. The spectral sequence associated to the Hodge filtration on  $DR_X(D, E)$ .

$$E_1^{p,q} = H^q(X, \Omega_X^p(\log D) \otimes E) => \mathbb{H}^{p+q}(X, DR_X(D, E))$$

degenerates at  $E_1$ 

If V does not have an A-lattice with  $A \subset \mathbb{R}$ , then we cannot expect  $\mathrm{DR}_X(D,E)$  to carry a mixed Hodge structure. However, the degeneration of Hodge spectral sequence still holds true. One can proofs of this in [2]. We will give a simpler proof here: Let  $\bar{V}$  denote the conjugate of V, i.e. the monodromy representation of  $\bar{V}$  is the complex conjugate of the monodromy representation of V

**Lemma 5** There exists a real unitary local system  $W_{\mathbb{R}}$  of rank 2r such that

$$V \oplus \bar{V} \cong W_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$$

**Proof** We will construct  $W_{\mathbb{R}}$  locally, and show it is canonically determined by V. Over a polydisk, we can assume V is diagonal, and we write

$$V = \bigoplus_{j=1}^{r} V^{j}$$

where  $V^i$  is a unitary local system of rank 1 with monodromy

$$\lambda_j = \cos \theta_j + i \sin \theta_j$$

We will construct  $W^j_{\mathbb{R}}$  for each j. The monodromy of  $\bar{V}^j$  is  $\bar{\lambda}_j$  and the monodromy of  $V^j \oplus \bar{V}^j$  is

$$\begin{bmatrix} \cos \theta_j + i \sin \theta_j & 0 \\ 0 & \cos \theta_j - i \sin \theta_j \end{bmatrix}$$

Since

$$\begin{bmatrix} \cos \theta_j + i \sin \theta_j & 0 \\ 0 & \cos \theta_j - i \sin \theta_j \end{bmatrix} \text{ and } \begin{bmatrix} \cos \theta_j & \sin \theta_j \\ -\sin \theta_j & \cos \theta_j \end{bmatrix}$$

have the same characteristic polynomial over  $\mathbb{C}$ , they must be conjugate over  $\mathbb{C}$ . Therefore, we can take  $W^j$  to be

$$\begin{bmatrix} \cos \theta_j & \sin \theta_j \\ -\sin \theta_j & \cos \theta_j \end{bmatrix}$$

Then,

$$W_{\mathbb{R}} = \bigoplus_{j=1}^{r} W^{j}$$

Now, let V be any unitary local system on X-D, and let  $\mathrm{DR}_X(D,E)$  be its de Rham complex

Corollary 1 The Hodge spectral sequence

$$E_1^{p,q} := H^q(X, \Omega_X^p(\log D) \otimes E) => \mathbb{H}^{p+q}(X, DR(D, E)) = H^{p+q}(X, \mathbb{R}j_*V)$$

degenerates at  $E_1$ .

**Proof** Direct sum and taking cohomology commutes

**Theorem 2.1.2** [6](Corollary 3.5) Suppose U is an affine variety of complex dimension n. Then, for any constructible sheaf  $\mathcal{L}$  on U

$$H^k(U, \mathcal{L}) = 0$$

for k > n

Corollary 2 Let V and  $DR_X(D, E)$  be as above. Suppose U is affine, then

$$H^q(X, \Omega_X^p(\log D) \otimes E) = 0$$

for  $p + q > \dim X$ 

**Lemma 6** Suppose B is a smooth divisor transversal to D. Then, there is short exact sequence

$$0 \to \Omega_X^p(\log D + B) \otimes O_X(-B) \xrightarrow{i} \Omega_X^p(\log D) \xrightarrow{r} \Omega_B^p(\log D \cap B) \to 0$$

where i is the inclusion map, and r is the restriction map.

**Proof** For simplicity, we prove the case for p = 1. We may also assume X is affine. Let  $X = \operatorname{Spec} A$ , and let  $f_1, \dots, f_s$  be the regular sequence corresponding to D, and let b be the defining equation of B.

The basis of  $\Omega_X^1(\log D + B) \otimes O_X(-B)$  as an A-module is

$$\frac{df_1}{f_1} \otimes b, \cdots, \frac{df_s}{f_s} \otimes b, \frac{db}{b} \otimes b$$

The basis of  $\Omega_X(\log D)$  as an A-module is

$$\frac{df_1}{f_1}, \cdots, \frac{df_s}{f_s}$$

The basis of  $\Omega_B(\log D \cap B)$  as an  $\frac{A}{b}$ -module is

$$\frac{df_1}{f_1}, \cdots, \frac{df_s}{f_s}$$

where by abuse of notation  $f_i$  are regarded as their image in  $\frac{A}{h}$ .

Then, it is clear how to define i and r show that the above sequence is exact

**Lemma 7** Suppose B is a smooth divisor transversal to D. Then,  $E_B := E \otimes O_B$  is the canonical extension of  $V_B := V|_{B-B \cap D}$ .

**Proof** The statement is local, therefore we may assume X is a polydisk

$$\Delta_1 \times \cdots \times \Delta_n$$

such that the analytic coordinate of  $\Delta_i$ , for  $i = 1, \dots, s$ , are defining equation of  $D_i$ , and the analytic coordinate of  $\Delta_n$  is the defining equation of B.

First, we study  $V_B$  by computing its monodromy representation:

Let  $T: \pi_1(X - D, x) \to \operatorname{GL}(r, \mathbb{C})$  be the monodromy representation of V. For each generator  $\gamma_i$  of  $\pi_1(X - D, x)$ , let  $\Gamma_i = T(\gamma_i)$ . As  $\Gamma_i$  are commuting and unitary, we can use one matrix to diagolize all of them. Therefore, we can assume all  $\Gamma_i$  are diagonal matrices. Moreover, as V is undefined only on D, so for each i,  $\Gamma_i^{jj} = 1$ , for  $j = s + 1, \dots, n$ .

Now,  $B = \Delta_1 \times \cdots \times \Delta_{n-1}$ , and the monodromy reprentation of  $V|_{B-B\cap D}$  is given by

$$\pi_1(B-B\cap D) \xrightarrow{i} \pi_1(X-D) \xrightarrow{T} \mathrm{GL}(r,\mathbb{C})$$

where i is the natural inclusion map. It is clear that one can choose the basis of  $\pi_1(B-B\cap D)$  and  $\pi_1(X-D)$  such that i can be realized as the identity map. Therefore, the monodromy representations of  $V_{B-B\cap D}$  are also  $\Gamma_i$ , for  $i=1,\dots,s$ . To show  $E|_B$  is the canonical extension of  $V_{B-B\cap D}$ , we compute the connection matrix of  $E|_B$  and relate it to the monodromy representations of  $V|_{B-B\cap D}$ .

One can assume E is trivial over X. Choose a local frame of V on X, and use it as a trivialization of E. With respect to this trivialization, the connection  $\nabla$  can be realized as

$$d + N_1 \frac{dz_1}{z_1} + \dots + N_s \frac{dz_s}{z_s}$$

where  $N_1, \dots, N_s$  are commuting matrices with eigenvalues in the stripe

$$\{z \in \mathbb{C} | 0 \le \operatorname{Re} z < 1\}$$

such that  $e^{-2\pi i N_i} = \Gamma_i$ .

Now, restrict E to B, we see that the connection  $\nabla|_B$  can still be realized as

$$d + N_1 \frac{dz_1}{z_1} + \dots + N_s \frac{dz_s}{z_s}$$

As monodromy representations of  $V_{B-B\cap D}$  are  $\Gamma_i$ , it follows that  $E|_B$  is the canonical extension of  $V_{B-B\cap D}$ .

**Theorem 2.1.3** Suppose L is very ample on X. Then

$$H^q(X, E \otimes \Omega_X^p(\log D) \otimes L) = 0$$

for  $p + q > \dim X$ 

**Proof** Let B be a smooth divisor transversal to D such that  $L \cong O_X(B)$ . By Lemma 6 we have the following exact sequence

$$0 \to \Omega_X^p(\log D + B) \xrightarrow{i} \Omega_X^p(\log D) \otimes O_X(B) \xrightarrow{r} \Omega_B^p(\log D \cap B) \otimes O_X(B) \to 0$$

Tensor it by E and take the cohomology sequence, we get:

$$\cdots H^{q}(X, \Omega_{X}^{p}(\log D + B) \otimes E) \to H^{q}(X, \Omega_{X}^{p}(\log D) \otimes O_{X}(B) \otimes E)$$
$$\to H^{q}(X, \Omega_{B}^{p}(\log B \cap D) \otimes O_{X}(B) \otimes E) \cdots$$

Therefore, to prove the theorem, it is enough to show

Claim 1:  $H^q(X, \Omega_X^p(\log D + B) \otimes E) = 0$ 

Claim 2:  $H^q(X, \Omega_B^p(\log B \cap D) \otimes O_X(B) \otimes E) = 0$  for  $p + q > \dim X$ .

**Proof of claim 1:** Consider the maps

$$X - (B + D) \xrightarrow{f} X - B \xrightarrow{h} X$$

Let  $V^o$  be the restriction of V on X-(B+D). The complex  $DR(D+B,E,\nabla)$  is quasi-isomorphic to  $\mathbb{R}(h \circ f)_*V^o$ . Therefore,

$$H^k(X - (B+D), V^o) = \mathbb{H}^k(X, DR(D+B, E, \nabla))$$

The claim then follows from Corollary 2.

### **End of Proof**

Claim 2 follows from induction on the dimension of the variety.

Now to finish the proof, it remains to show the base case of Claim 2. One may assume now that X is a smooth projective curve over  $\mathbb{C}$ ,

We need to show that

$$H^1(X, \Omega_X(\log D) \otimes E \otimes L) = 0$$

But for the curve case,  $\Omega_X(\log D) \otimes O_X(B) = \Omega_X(\log D + B)$ . So the result follows again from Theorem 2

Now suppose L is any ample line bundle. Let m be an integer such that  $L^{\otimes m}$  is very ample. Take a smooth divisor B transversal to D such that  $L^{\otimes m} \cong O_X(B)$ . Let  $\varphi$  be the local equation of B on some affine open set, and let  $\pi: X' \to X$  be the normalization of X in  $\mathbb{C}(X)(\varphi^{\frac{1}{m}})$ .

**Proposition 2.1.1** Let  $\pi: X' \to X$ , B and L be as above

- 1. X' is smooth.
- 2.  $\pi^*B = m\tilde{B}$ , where  $\tilde{B} = (\pi^*B)_{red}$ .
- 3.  $D' := \pi^*D$  is a normal crossing divisor on X'.
- 4.  $\tilde{B}$  is transversal to  $\pi^*D$ .
- 5.  $\pi^* \Omega_X^p(\log D) = \Omega_{X'}^p(\log D')$ .
- 6.  $\pi^*E$  is the canonical extension of  $\pi^{-1}V$ .

**Proof** 1. We will construct X' by constructing its affine cover and specefiying the gluing morphisms. Let  $U_i = \operatorname{Spec} A_i$  be an affine cover of X, and let  $f_i$  be the defining equation of D in  $A_i$ .

For each  $A_i$ ,  $\frac{A_i[Y]}{(Y^m-f_i)}$  is integrally closed in  $\mathbb{C}(X)(f_i^{1/m})$ . Therefore,

$$U_i' := \operatorname{Spec} \frac{A_i[Y]}{(Y^m - f_i)}$$

is the normalization of  $U_i$  in  $\mathbb{C}(X)(f_i^{1/m})$ 

The same morphisms used to glue  $U_i$  into X can be used to glue  $U'_i$  into X'. Therefore, to show X' is smooth, it is enough to show  $\frac{A_i[Y]}{(Y^m - f_i)}$  is a regular ring.

- 2. The local defining equation of  $\tilde{B}$  is Y, and  $\pi^*(f_i) = Y^m$
- 3. To see this, we describe  $\pi^*D$  in  $\pi^*U$  for any polydisk  $U = \Delta_1 \times \cdots \times \Delta_n$ . If  $B \cap U \neq \emptyset$ , then construct  $\Delta_i$  such that defining equation of  $D_i$ , for  $i = 1, \dots, s$ , are coordinates of  $D_i$ , for  $i = 1, \dots, s$ ; and the defining equation of B is the coordinate of  $D_n$ . Then,

$$\pi^*U = \Delta_1 \times \Delta_1 \cdots \Delta_{n-1} \times \Sigma^m$$

where  $\Sigma^m$  is the *m*-sheeted cover over a complex disk branched over the origin. In this case,  $\pi^*D$  is still defined by  $z_1 \times z_2 \times \cdots z_s$ .

If  $B \cap U = \emptyset$ , then  $\pi^*U$  is etale over U. Therefore,  $\pi^*D$  is etale over D. So  $\pi^*D$  is again a simple normal crossing divisor.

- 4. This is clear from the case 1 of part 3.
- 5. Straighforward computation. 6. We compute the monodromy representation of  $\pi^{-1}V$  first:

let  $T: \pi_1(U-D,x) \to \mathrm{GL}(r,\mathbb{C})$  be the representation corresponding to the local system V.

Case 1: Suppose  $x \notin B$ , then  $\pi^{-1}(U)$  is etale over U. Let U' be an component of  $\pi^{-1}(U)$ , and let  $x' \in U'$  be a preimage of x. Then,

$$T': \pi_1(U'-D',x') \xrightarrow{\pi_*} \pi_1(U-D,x) \xrightarrow{T} \operatorname{GL}(r,\mathbb{C})$$

is the representation corresponding to  $\pi^{-1}V$ .

Case 2: Suppose  $x \in B$ , then use the description from part 3, we know that

$$\pi^{-1}U = \Delta_1 \times \Delta_2 \times \cdots \times \Sigma^m$$

In both cases,  $\pi^{-1}U - D'$  is homotopic to  $S_1 \times S_2 \times \cdots \times S_s$  So we can define generators of  $\pi_1(U' - D', x')$  and  $\pi_1(U - D, x)$  such that  $\pi_*$  is the identity map.

To show  $\pi^*E$  is the canonical extension of  $\pi^{-1}V$ , we only need to compute the connection matrix of  $\pi^*E$  and relate it to the monodromies of  $\pi^{-1}V$ :

Let  $\gamma_i$  be a small circle around  $D_i$ , and let  $\Gamma_i$  be the monodromy  $T(\gamma_i)$ . As

$$\pi_*: \pi_1(U'-D', x') \to \pi_1(U-D, x)$$

is the identity map,  $\Gamma_i$  are also the monodromy representations of  $\pi^{-1}V$ . Next, we compute the connection matrix of E. Let U be small enough so that E is trivial over it. Choose a local frame of V, and use it as a trivialization of E. With respect to this trivialization, the connection  $\nabla$  can be realized as

$$d + N_1 \frac{dz_1}{z_1} + \dots + N_s \frac{dz_s}{z_s}$$

where  $N_1, \dots, N_s$  are commuting matrices with eigenvalue in the stripe

$$\{z \in \mathbb{C} | 0 \le \operatorname{Re} z < 1\}$$

such that  $e^{-2\pi i N_i} = \Gamma_i$ .

As  $\pi^* z_i = z_i$ , for  $i = 1, \dots, s$ , we see that the  $\pi^* \nabla$  over  $\pi^{-1} U$  can be realized as:

$$d + N_1 \frac{dz_1}{z_1} + \dots + N_s \frac{dz_s}{z_s}$$

This shows that  $\pi^*E$  is the canonical extension of  $\pi^{-1}V$ .

Corollary 3 For any ample line bundle L on X,

$$H^q(X, E \otimes \Omega_X^p(\log D) \otimes L) = 0$$

for  $p + q > \dim X$ 

**Proof** Let m, B and  $\pi: X' \to X$  be as above. By Theorem 2.1.3

$$H^q(X', \pi^*(E \otimes \Omega_X^p(\log D) \otimes L)) = 0$$

for  $p + q > \dim X' = \dim X$ .

 $\pi: X' \to X$  is a finite morphism, so for i > 0,  $R^i \pi_* \mathscr{F} = 0$  for any coherent sheaf  $\mathscr{F}$  on X'. This implies

$$H^{q}(X', \pi^{*}(E \otimes \Omega_{X}^{p}(\log D) \otimes L)) = H^{q}(X, \pi_{*}(\pi^{*}(E \otimes \Omega_{X}^{p}(\log D) \otimes L)))$$
$$= H^{q}(X, \pi_{*}(O_{Y}) \otimes E \otimes \Omega_{X}^{p}(\log D) \otimes L)$$
$$= 0$$

for  $p+q>\dim X$ . The second equality follows from the projection formula. As  $\pi_*(O_Y)\cong\bigoplus_{i=0}^{m-1}O_X(-L^{\otimes i})$ , the result follows.

# 2.2 From the Perspective of Higgs Bundle

A Higgs bundle on the pair (X, D) is a vector bundle H together with an  $O_X$ -linear map  $\theta: H \to H \otimes \Omega_X(\log D)$  such that  $\theta \wedge \theta = 0$ . We use  $DR(H, \theta)$  to denote the following complex

$$H \to H \otimes \Omega_X(\log D) \to H \otimes \Omega_X^2(\log D) \to \cdots \to H \otimes \Omega_X^n(\log D)$$

One can impose various stability conditions on  $(E, \theta)$  using  $\theta$ -invariant subsheaves.

**Definition 2.2.1** (slope) Suppose dim X = n. Fix a projective embedding  $O_X(1)$  on X. The slope of a coherent sheaf F on X is defined as

$$\mu(F) = \frac{c_1(F) \cdot O_X(1)^{n-1}}{rankF}$$

A Higgs bundle  $(H, \theta)$  is said to be  $\mu$ -semistable (resp. stable) if for all  $\theta$ -invariant subbundle F of E,  $\mu(F) \leq \mu(H)$  (resp.  $\mu(F) < \mu(H)$ ).

For the canonical extension E of the unitary local system V, view  $(E, \theta = 0)$  as a Higgs bundle. The main result of this section is

**Theorem 2.2.1** The Higgs bundle  $(E, \theta = 0)$  is semistable. Moreover,  $c_1(E) = 0$ 

Then, the vanishing theorem proved in the previous section is also a consequence of the main result of [?]. We will state the main result of [?] in the way that is compatible with the context of this thesis.

**Theorem 2.2.2** Suppose  $(H, \theta)$  is a  $\mu$ -semistable Higgs bundle on the pair (X, D) with  $c_1(H) = 0$ . Then, for any ample line bundle L on X

$$\mathbb{H}^{i}(X, DR(H, \theta) \otimes L) = 0$$

for i > n

For the Higgs bundle  $(H = E, \theta = 0)$ , all the maps in the "de Rham" complex  $DR(H, \theta)$  are zero maps. Therefore, the "de Rham" complex can be written as

$$DR(H, \theta) = \bigoplus_{k=1}^{n} E \otimes \Omega_X^k(\log D)[-k]$$

Apply Theorem 2.2.2, we have

$$\mathbb{H}^{i}(X, \bigoplus_{k=1}^{n} E \otimes \Omega_{X}^{k}(\log D)[-k]) = \bigoplus_{k=1}^{n} \mathbb{H}^{i-k}(X, \Omega_{X}^{k}(\log D) \otimes L) = 0$$

This is the precisely the main result of the previous section.

Since V is a unitary local system, E has a flat Hermitian metric. Consequently, all subbundles of E has a flat Hermitian metric. Therefore, for all subbundle F of E,  $c_1(F) = 0$  and  $\mu(F) = 0$ . This concludes the proof of Theorem 2.2.1.

### 2.3 Partial Weight Filtration on the De Rham Complex

In the previous section, we proved the vanishing theorem for the complex

$$DR_X(D, E) \otimes O_X(B)$$

where B is a smooth very ample divisor transversal to D. The intermediate step for the proof is the vanishing theorem for the complex

$$DR(D+B,E)$$

In this section, we define a partial weight filtration on the The complex

$$DR_X(D+B,E)$$

It is a more refined weight filtration than the one defined in Section 1.2.2, and it will be used to prove the vanishing theorem for the graded complex

$$Gr^W DR_X(D, E)$$

For simplicity, suppose V is a rank 1 unitary local system. We will define partial weight filtration by giving local description of forms. Then, we will show it is a well-defined global notion after Theorem 2.3.1. Let  $\mu$  be a section of E. Recall that  $W_m \Omega_X^p(\log D) \otimes E$  consists of sections of the form

$$\omega \otimes \mu$$

where  $\omega$  can be written as

$$\frac{dz_{j_1}}{z_{j_1}} \wedge \frac{dz_{j_2}}{z_{j_2}} \wedge \cdots \frac{dz_{j_k}}{z_{j_k}} \wedge dz_{j_{k+1}} \wedge \cdots \wedge dz_{j_p}$$

Moreover,  $\omega$  has at most m log forms acting on V by identity.

Now let  $W_m^{D^1}\mathrm{DR}(D,E)$  be the set of form that can be written as  $\omega\otimes\mu$ , where  $\omega$  can be written as

$$\frac{dz_{j_1}}{z_{j_1}} \wedge \frac{dz_{j_2}}{z_{j_2}} \wedge \cdots \frac{dz_{j_k}}{z_{j_k}} \wedge dz_{j_{k+1}} \wedge \cdots \wedge dz_{j_p}$$

such that the cardinality of the following set

$$\{\log \text{ forms in } \omega \text{ acting on } V \text{ by identity}\} \cap \{\frac{dz_2}{z_2}, \cdots, \frac{dz_s}{z_s}\}$$

is less than or equal to m.

From the local description, it can be seen that  $W_m \subset W_m^{D^1}$ .

To generalize,  $W_m^{D^{i_1}+\cdots D^{i_l}}\mathrm{DR}(D,E)$  is the set of forms that can be written as  $\omega\otimes\mu$ , where  $\omega$  can be written as

$$\frac{dz_{j_1}}{z_{j_1}} \wedge \frac{dz_{j_2}}{z_{j_2}} \wedge \cdots \frac{dz_{j_k}}{z_{j_k}} \wedge dz_{j_{k+1}} \wedge \cdots \wedge dz_{j_p}$$

such that the cardinality of the following set

{log forms in 
$$\omega$$
 acting on  $V$  by identity}  $\cap (\{\frac{dz_1}{z_1}, \dots, \frac{dz_s}{z_s}\} - \{\frac{dz_{i_1}}{z_{i_1}}, \dots, \frac{dz_{i_l}}{z_{i_l}}\})$ 

is less than or equal to m

Write T for D+B. Let  $T_2$  be the union of 2-fold intersections of components of T. Let  $v_1: \tilde{D}_1 \to D$  be the normalization map, i.e.  $\tilde{D}_1$  is the disjoint union of components of D. Let  $F_1$  be  ${v_1}^*T_2$ . Then,  $F_1$  is a normal crossing divisor in  $D_1$ .

We have seen in Section 1.2.1 that the restiction of  $j_*V$  on  $D_1 - T_2$  is a unitary local system, denote it by  $V_1$ ; and let  $E_1$  be the subbundle of  $v_1^*E$  which is the canonical extension of  $V_1$ .

#### Proposition 2.3.1 There is an exact sequence

$$0 \to W_0^B DR(D+B,E,\nabla) \xrightarrow{i} DR(D+B,E,\nabla) \xrightarrow{res} v_{1*}DR(F_1,E_1,\nabla_1) \to 0$$

where i is the inclusion map, and res is the residue map.

**Proof** Suppose for simplicity D is smooth, i.e. D has only one component. Also, suppose V is a unitary local system of rank 1. Let  $\mu$  be a local section of E. Let  $z_1$  be the local equation of D. Suppose  $\frac{dz_1}{z_1}$  acts on V by identity, then V extends to a unitary local system on  $D - D \cap B$ . In this case,  $D_1 = D$ , and  $F_1 = D \cap B$ . Let

 $z_n$  be the local equation for B. Then, locally over a polydisk

1. 
$$W_0^B \Omega_X^p(\log D + B) \otimes E$$
 is generated by sections of the form

 $\frac{dz_n}{z_n} \wedge \omega \otimes \mu$ 

where  $\omega \in \Omega_X^{p-1}$ .

2.  $\Omega_X^p(\log D + B)$  is generated by sections of the form

$$\frac{dz_n}{z_n} \wedge \omega \otimes \mu$$

where  $\omega \in \Omega_X^{p-1}(\log D)$ .

3.  $\Omega_{D_1}^{p-1}(\log F_1)\otimes E_1$  is generated by sections of the form

$$\frac{dz_n}{z_n} \wedge \omega \otimes \mu_1$$

where  $\omega \in \Omega_{D_1}^{p-2}(\log F_1)$ .

Use the local description, it is clear that the sequence is exact.

**Theorem 2.3.1** Let  $(E_B, \nabla_B)$  be the restriction of  $(E, \nabla)$  on B, and let  $DR(B \cap D, E_B, \nabla_B)$  be the complex

$$0 \to E_B \to \Omega^1_B(B \cap D) \otimes E_B \cdots$$

then there is an exact sequence of complexes

$$0 \to W_m^B DR_X(D+B,E) \xrightarrow{i} W_m DR_X(D,E) \otimes O_X(B)$$
$$\xrightarrow{r} W_m DR_B(D \cap B, E_B) \otimes O_X(B) \to 0$$

i is the inclusion map, and r is the restriction map.

**Proof** For simplicity, we assume E has rank 1. The statement is local, so we work on a polydisk, and we use the notation from above. Let  $\mu$  be a generating section of E, then

1.  $W_m^B DR_X(D+B,E) \otimes O_X(-B)$  is generated by

$$\omega \otimes \mu \otimes z_n$$

where  $\omega \in \Omega_X^p(\log D + B)$  is a p-form that has at most m log forms coming from

$$\{\frac{dz_1}{z_1},\cdots,\frac{dz_s}{z_s}\}$$

acting on E by identity.

2.  $W_m DR_X(D, E)$  is generated by

$$\omega \otimes \mu$$

where  $\omega \in \Omega_X^p(\log D)$  is a *p*-form that has at most  $m \log$  forms acting on E by identity.

3.  $W_m DR_B(D \cap B, E_B)$  is generated by

$$\omega \otimes \mu$$

where  $\omega \in \Omega_B^p(\log B \cap D)$  is a *p*-form that has at most m log forms acting on  $\mu_B$  by identity.

The map i is the natural inclusion map, i.e.  $\frac{dz_n}{z_n} \otimes z_n \mapsto dz_n$ ; The map r is the restriction on B.

The above theorem also gives a description of

$$W_m^B DR_X(D+B,E)$$

as the kernel of the restriction map

$$r: \mathrm{DR}_X(D+B,E) \otimes O_X(B) \to W_m \mathrm{DR}_B(B\cap D,E_B) \otimes O_X(B)$$

It means that  $W_m DR_X(D+B,E)$  is indeed globally well-defined.

# 2.4 Mixed Hodge Theory on the De Rham Complex with Partial Weight Filtration

Throughout this section, we assume the unitary local system V has a real lattice  $V_{\mathbb{R}}$  such that

$$V = V_{\mathbb{R}} \otimes \mathbb{C}$$

We will study the mixed Hodge structure on the complex

$$W_0^B DR_X(D+B,E)$$

Consider the maps

$$X - (D+B) \xrightarrow{f} X - B \xrightarrow{h} X$$

Write  $V^o$  (resp.  $V_{\mathbb{R}}^o$ ) for the restriction of V (resp.  $V_{\mathbb{R}}$ ) on X-(D+B).

Let  $\tau$  be the canonical filtration on  $\mathbb{R}h_*f_*V_{\mathbb{R}}^o$  (see example 1 of 1.2.3); let W be the increasing filtration on  $W_0^B\mathrm{DR}_X(D+B,E)$  defined as

$$W_m W_0^B \mathrm{DR}_X(D+B,E) = \begin{cases} 0 & \text{if } m < 0 \\ W_0 \mathrm{DR}_X(D+B,E) & \text{if } m = 0 \\ W_0^B \mathrm{DR}_X(D+B,E) & \text{if } m > 0 \end{cases}$$

The main result of this section is

#### Theorem 2.4.1

$$(\mathbb{R}h_*f_*V_{\mathbb{R}}^o,(\mathbb{R}h_*f_*V_{\mathbb{R}}^o,\tau),(W_0^BDR_X(D+B,E),F^\cdot,W_\cdot))$$

is a  $\mathbb{R}$ -cohomological mixed Hodge complex.

**Proposition 2.4.1**  $\mathbb{R}h_*(f_*V^o)$  is quasi-isomorphic to

$$W_0^B DR_X(D+B,E)$$

**Proof** The statement is local, so we can assume X is a polydisk. For the basic case, one can assume V is of rank 1, D has two components  $D^1$  and  $D^2$  such that the monodromy of V around  $D^1$  is trivial, and the monodromy of V around  $D^2$  is nontrivial. General case can be proved similarly.

Let Y = X - B, and let  $h: Y \to X$  be the natural inclusion map. Then,  $\Omega_Y^{\circ}(\log D^2) \otimes h^*E$  is a resolution of  $f_*V^o(\text{see }[2])$ .

Let  $g: Y-D^2 \to Y$  be the inclusion map. By a theorem of Griffiths [7] and Deligne [3], the inclusion map

$$i: \Omega_Y^{\cdot}(\log D^2) \to g_* \mathscr{A}_{Y-D^2}$$

is a quasi-isomorphism (See [8] Proposition 8.18). Therefore,  $f_*V^o$  is quasi-isomorphic to

$$g_* \mathscr{A}_{Y-D^2}$$

As  $g_* \mathscr{A}_{Y-D^2}^{\cdot}$  is a complex of flasque sheaves,  $\mathbb{R} h_* f_* V^o$  is quasi-isomorphic to

$$h_*g_*\mathscr{A}_{Y-D^2}$$

Now,

$$W_0^B \Omega_X^{\cdot}(\log D + B) \otimes E = \Omega_X^{\cdot}(\log D^2 + B) \otimes E$$

But according the theorem of Griffiths and Deligne mentioned above, the complex  $\Omega_X^{\cdot}(\log D^2 + B)$  is quasi-isomorphic to

$$(h \circ g)_* \mathscr{A}_{Y-D^2}$$

So the result for the basic case follows.

Now, let V be of rank r. For each i=1,2, let  $\Gamma_i$  be the monodromy of V around  $D^i$ . As V is unitary, we can simultaneously diagonalize all  $\Gamma_1$  and  $\Gamma_2$ . Therefore, we can assume V is the direct sum of two rank 1 unitary local systems. As  $\mathbb{R}h_*$  and  $f_*$  commutes with direct sum. The result follows.

Now, let V be of rank 1 and let  $D^1, \dots, D^s$  be components of D. Now let  $D_1$  be the subdivisor of D over which V has identity monodromy; and let  $D_2$  be the subdivisor of D over which V has nontrivial monodromy. Then, the result follows after the same steps in the basic case.

Proposition 2.4.2 The inclusion map

$$i: (W_0^B DR_X(D+B,E), \tau) \to (W_0^B DR_X(D+B,E), W)$$

is a quasi-isomorphism of filtered complexes.

**Proof** This is again a local statement, so we can assume X is a polydisk and V is of rank 1. We need to show that the induced maps of i

$$H^k(i): H^k(\mathrm{Gr}_m^\tau W_0^B \mathrm{DR}_X(D+B,E)) \to H^k(\mathrm{Gr}_m^W W_0^B \mathrm{DR}_X(D+B,E))$$

are isomorphisms.

$$H^{i}(\operatorname{Gr}_{m}^{\tau}W_{0}^{B}\operatorname{DR}_{X}(D+B,E)) = \begin{cases} H^{m}(W_{0}^{B}\operatorname{DR}_{X}(D+B,E)) & \text{if } i=m\\ 0 & \text{otherwise} \end{cases}$$

Claim 1 If m > 1, then  $H^m(W_0^B DR_X(D+B, E)) = 0$ .

**Proof of Claim 1** We have a short exact sequence of complexes

$$0 \to W_0 DR_X(D+B,E) \to W_0^B DR_X(D+B,E) \xrightarrow{res} W_0 DR_B(B \cap D, E_B)[-1] \to 0$$

where the  $DR(D \cap B, E_B, \nabla_B)$  is the complex

$$\cdots \to \Omega_B^m(\log B \cap D) \otimes E_B \xrightarrow{\nabla_B} \Omega_B^{m+1}(\log B \cap D) \otimes E_B \to \cdots$$

and the map res is the residue map.

Taking cohomology, we get

$$\cdots \to H^k(W_0 DR_X(D+B,E)) \to H^k(W_0^B DR_X(D+B,E))$$
$$\to H^{k-1}(W_0 DR_B(B \cap D, E_B)) \to \cdots$$

Timmerscheidt proved in the Appendix D of [9] that  $W_0 DR_X(D+B, E)$  is a resolution of  $(h \circ f)_*V$ . Therefore,  $W_0^B DR_X(D+B, E)$  is exact. Likewise,

$$W_0\mathrm{DR}_B(B\cap D,E_B)$$

is also exact.

So the conclusion follows.

#### Proof of claim 1 finished

The above proof also shows that

$$H^{k}(\operatorname{Gr}_{1}^{W}W_{0}^{B}\operatorname{DR}_{X}(D+B,E)) = \begin{cases} H^{1}(\operatorname{Gr}_{1}^{W}W_{0}^{B}\operatorname{DR}_{X}(D+B,E)) & \text{if } k=1\\ 0 & \text{if } k>1 \end{cases}$$

$$H^{k}(\operatorname{Gr}_{0}^{W}W_{0}^{B}\operatorname{DR}_{X}(D+B,E)) = \begin{cases} H^{0}(W_{0}\operatorname{DR}(D+B,E)) & \text{if } k=0\\ 0 & \text{if } k>0 \end{cases}$$

Therefore, to prove

$$i: (W_0^B \mathrm{DR}_X(D+B,E), \tau) \to (W_0^B \mathrm{DR}_X(D+B,E), W)$$

is a quasi-isomorphism of filtered complexes, it remains to prove that both

$$H^0(i): H^0(\mathrm{Gr}_0^{\tau}W_0^B\mathrm{DR}_X(D+B,E)) \to H^0(\mathrm{Gr}_0^WW_0^B\mathrm{DR}_X(D+B,E))$$

and

$$H^{1}(i): H^{1}(\mathrm{Gr}_{1}^{\tau}W_{0}^{B}\mathrm{DR}_{X}(D+B,E)) \to H^{1}(\mathrm{Gr}_{1}^{W}W_{0}^{B}\mathrm{DR}_{X}(D+B,E))$$

are isomorphisms.

Now,

$$H^0(\operatorname{Gr}_0^{\tau}W_0^B\operatorname{DR}_X(D+B,E)) = \ker(E \xrightarrow{\nabla} W_0^B(\Omega_X^1(\log D+B) \otimes E)$$

and

$$H^0(\operatorname{Gr}_0^W W_0^B \operatorname{DR}_X(D+B,E)) = \ker(E \xrightarrow{\nabla} W_0(\Omega_X^1(\log D+B) \otimes E)$$

It is clear then the map  $H^0(i)$  is an isomorphism.

To simplify notations, write K for  $W_0^B DR_X(D+B,E)$ , from the proof of Claim 1, we have a commutative diagram

$$H^{1}(\operatorname{Gr}_{1}^{\tau}K^{\cdot}) \xrightarrow{H^{1}(i)} H^{1}(\operatorname{Gr}_{1}^{W}K^{\cdot}) \xrightarrow{res} H^{1}(W_{0}\operatorname{DR}_{B}(B \cap D, E_{B})[-1])$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$H^{1}(K^{\cdot}) \xrightarrow{res} H^{1}(W_{0}\operatorname{DR}_{B}(B \cap D, E_{B})[-1])$$

and the residue map on the second row is an isomorphism. As the residue map on the first row is an isomorphism (even on the complex level), we see that the map  $H^1(i)$  is an isomorphism.

For reader's sake, we restate the main theorem of this Section:

#### Theorem 2.4.2

$$(\mathbb{R}h_*f_*V_{\mathbb{R}}^o, (\mathbb{R}h_*f_*V_{\mathbb{R}}^o, \tau), (W_0^B DR_X(D+B, E), F, W_1))$$

is a cohomological mixed  $\mathbb{R}$ -Hodge complex

**Proof** The quasi-isomorphism

$$(\mathbb{R}h_*f_*V^o_{\mathbb{R}},\tau)\otimes\mathbb{C}\to (W^B_0\mathrm{DR}_X(D+B,E),W_.)$$

was proved in the previous proposition.

It remains to show

$$(\operatorname{Gr}_m^{\tau} \mathbb{R} h_* f_* V_{\mathbb{R}}^o, (\operatorname{Gr}_m^W W_0^B \operatorname{DR}_X(D+B, E), F))$$

is a cohomological  $\mathbb{R}$ -complex of weight m, i.e. the Hodge spectral sequence of  $(\operatorname{Gr}_m^W W_0^B \operatorname{DR}_X(D+B,E), F)$  degenerates at  $E_1$ , and the induced filtration on

$$\mathbb{H}^k(X, \operatorname{Gr}_m^W W_0^B \operatorname{DR}_X(D+B, E)) = \mathbb{H}^k(X, \operatorname{Gr}_m^\tau \mathbb{R} h_* f_* V_{\mathbb{R}}^o) \otimes \mathbb{C}$$

defines a pure  $\mathbb{R}$ -Hodge structure of weight k+m on

$$\mathbb{H}^k(X, \operatorname{Gr}_m^{\tau} \mathbb{R} h_* f_* V_{\mathbb{R}}^o)$$

i.e. the induced filtration F on  $\mathbb{H}^k(X, \operatorname{Gr}_m^W W_0^B \operatorname{DR}_X(D+B, E))$  is m+k opposed to its conjugate.

For m > 1, all  $Gr_m^W W_0^B DR_X(D + B, E)$  are 0, so we only need to show the case for m = 0, 1.

For m = 0,

$$(Gr_m^W W_0^B DR_X(D+B, E), F) = (W_0 DR_X(D+B, E), F)$$

Timmerscheidt showed that it is a cohomological  $\mathbb{R}$ -complex of weight 0 in [9](Appendix D).

For m = 1, we have seen that

$$\operatorname{Gr}_1^W W_0^B \operatorname{DR}_X(D+B,E) \cong W_0 \operatorname{DR}(B \cap D, E_B, \nabla_B)[-1]$$

Let F be the induced Hodge filtration on  $\operatorname{Gr}_1^W W_0^B \operatorname{DR}_X(D+B,E)$ , and let  $F_B$  be the usual Hodge filtration on  $W_0\operatorname{DR}(B\cap D,E_B,\nabla_B)$ . let  $\bar{F}$  and  $\bar{F}_B$  be their conjugates. To show F and  $\bar{F}$  are k+1 opposed on  $\mathbb{H}^k(X,\operatorname{Gr}_1^W W_0^B\operatorname{DR}_X(D+B,E))$ , we show that

$$\operatorname{Gr}_{q}^{\bar{F}}\operatorname{Gr}_{p}^{F}\mathbb{H}^{k}(X,\operatorname{Gr}_{1}^{W}W_{0}^{B}\operatorname{DR}_{X}(D+B,E))=0 \text{ if } p+q\neq k+1$$

As  $\operatorname{Gr}_1^W W_0^B \operatorname{DR}_X(D+B,E) \cong W_0 \operatorname{DR}_B(B \cap D, E_B)[-1]$ ,

$$Gr_{p}^{F} \mathbb{H}^{k}(X, Gr_{1}^{W}W_{0}^{B}DR_{X}(D+B, E)) = Gr_{p-1}^{F_{B}} \mathbb{H}^{k-1}(B, W_{0}DR_{B}(B \cap D, E_{B}))$$

$$Gr_{q}^{\bar{F}} \mathbb{H}^{k}(X, Gr_{1}^{W}W_{0}^{B}DR_{X}(D+B, E)) = Gr_{q-1}^{\bar{F}} \mathbb{H}^{k-1}(B, W_{0}DR_{B}(B \cap D, E_{B}))$$

Therefore,  $\operatorname{Gr}_q^{\bar{F}}\operatorname{Gr}_p^F\mathbb{H}^k(X,\operatorname{Gr}_1^WW_0^B\operatorname{DR}_X(D+B,E))=0$  if  $p-1+q-1\neq k-1$ . The  $E_1$ -degeneration of  $(\operatorname{Gr}_1^WW_0^B\operatorname{DR}_X(D+B,E),F)$  follows from the  $E_1$ -degneration of  $(W_0\operatorname{DR}(B\cap D,E_B,\nabla_B),F_B)$ .

## 2.5 Graded Vanishing Theorem on the De Rham Complex

Now, let V be any unitary local system over  $\mathbb{C}$ . We have seen in Section 2.1 that even if V does not have a real lattice, the spectral sequence of  $(DR_X(D, E), F)$  still have  $E_1$ -degeneration. Similarly, we have

**Lemma 8** Let B be a smooth divisor transversal to D, then The spectral sequence of  $(W_0^B DR(D+B,E), F)$ :

$$E_1^{p,q} = H^q(X, W_0^B(\Omega_X^p(\log D + B))) => \mathbb{H}^{p+q}(X, W_0^B(DR_X(D + B, E)))$$

degenerates at  $E_1$ 

**Theorem 2.5.1** Let B be a smooth very ample divisor transveral to D, then for  $m = 0, \dots, n-1$ 

$$H^q(X, Gr_m^W DR^p(D, E, \nabla) \otimes O_X(B)) = 0$$

for p + q > n.

**Proof** We show first that

$$H^q(X, W_0\mathrm{DR}^p(D, E, \nabla) \otimes O_X(B)) = 0$$

for p + q > n.

By Theorem 2.3.1, we have the exact sequence

$$0 \to W_0^B \mathrm{DR}_X(D+B,E) \to W_0 \mathrm{DR}_X(D,E) \otimes O_X(B) \to W_0 \mathrm{DR}_B(B \cap D, E_B) \otimes O_X(B) \to 0$$

Take cohomology sequence, we get

$$\cdots \to H^q(X, W_0^B(\Omega_X^p(\log D + B) \otimes E)) \to H^q(X, W_0(\Omega_X^p(\log D) \otimes E) \otimes O_X(B)) \to$$
$$\to H^q(B, W_0(\Omega_B^p(\log B \cap D) \otimes E) \otimes O_X(B)) \to \cdots$$

Therefore, it is enough to show

Claim 1: 
$$H^q(X, W_0^B(\Omega_X^p(\log D + B) \otimes E)) = 0$$
 for  $p + q > n$ .

Then, to finish the proof, we can induct on the dimension of X. Therefore, we can assume X has dimension 1.

**Proof of Claim 1:** Consider the maps

$$X - (B+D) \xrightarrow{f} X - B \xrightarrow{h} X$$

Write  $V^o$  for the restriction of V on X-B. We have seen in Lemma 8 that the spectral sequence

$$E_1^{p,q} = H^q(X, W_0^B(\Omega_X^p(\log D + B) \otimes E)) => \mathbb{H}^{p+q}(X, W_0^B DR_X(D + B, E))$$
$$= H^{p+q}(X, \mathbb{R}h_* f_* V^o)$$
$$= H^{p+q}(X - B, f_* V^o)$$

As X - B is affine, it follows from Theorem 2.1.2 that

$$H^q(X, W_0^B(\Omega_X^p(\log D + B) \otimes E)) = 0$$

for p + q > n.

#### Proof of claim 1 finished

It remains to show that if X is a smooth projective curve, then

$$H^1(X, W_0(\Omega_X(\log D) \otimes E) \otimes O_X(B)) = 0$$

But for the curve case,

$$W_0(\Omega_X(\log D) \otimes E) \otimes O_X(B) = W_0^B(\Omega_X(\log D + B) \otimes E)$$

Therefore the result follows again from Theorem 2.1.2

To finish the rest of the proof, we use the identification from proposition 1.2.1

$$(W_m/W_{m-1})\mathrm{DR}_X(D,E) \cong W_0\mathrm{DR}_{\tilde{D}_m}(\tilde{C}_m,E_m)[-m]$$

and then apply the above argument to  $\tilde{D}_m$ .

Corollary 4 For any  $m \in \mathbb{Z}$ ,

$$H^q(X, W_m(\Omega_X^p(\log D) \otimes E) \otimes O_X(B)) = 0$$

for  $p + q > \dim X$ 

**Proof** Use the exact sequence

$$0 \to W_{m-1}\mathrm{DR}_X(D,E) \to W_m\mathrm{DR}_X(D,E) \to W_0\mathrm{DR}_{\tilde{D}_m}(\tilde{C}_m,E_m)[-m] \to 0$$

Corollary 5 Let L be an ample line bundle on X, then

$$H^{q}(X, Gr^{W}(\Omega_{X}^{p}(\log D) \otimes E) \otimes L) = 0$$

for p + q > n.

**Proof** Like in Theorem 2.5.1, it is enough to show

$$H^q(X, W_0\mathrm{DR}^p(D, E, \nabla) \otimes L) = 0$$

for p + q > n.

Let m be a large enough integer such that  $L^{\otimes m}$  is very ample. Let B be a smooth hyperplane divisor transversal to D so that

$$L \cong O_X(B)$$

Use the same idea from Corollary 3, we construct a cyclic cover of degree m branched over B

$$\pi: X' \to X$$

To finish the proof, it remains to show

$$\pi^* W_0 DR_X(D, E) = W_0 DR(\tilde{D}, \tilde{E}, \tilde{\nabla})$$

But this is clear from the local description of  $W_0$ .



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