



**USERGUIDE**

OF

**ELATOOLS**

**(ANISOTROPIC ELASTICITY TOOLS)**

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FROM ELASTICTOOLS PROJECT  
v1.6.2

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# Features and Change Log of ELATools

We introduce a computational method and a user-friendly code (ELATools) developed for the analysis of anisotropic elastic properties. ELATools enables facile analysis of the second-order elastic stiffness tensor of two-dimensional (2D) and three-dimensional (3D) crystal systems. It computes and displays the main mechanical properties such as bulk modulus, Young's modulus, shear modulus, p-wave modulus, universal anisotropy index, Chung-Buessem anisotropy index, log-Euclidean anisotropy parameter, Cauchy pressure, Poisson's ratio, and Pugh's ratio, using three averaging schemes of Voigt, Reuss, and Hill. ELATools has a database that contains more than 13,000 elastic stiffness constants for 3D materials available to the user. The program supports output files of the major computational codes IRelat, ElaStic, and AELAS. Three helpful plotting and visualization tools are integrated to conveniently interface with `GNUPLOT`, `XMGRACE`, and `view3dscene`, offering immediate post-processing of the results. ELATools provides reliable means to investigate the mechanical stability based on the calculation of six (three) eigenvalues of the elastic tensor in 3D (2D) materials. It can effectively identify anomalous mechanical properties, such as negative linear compressibility, negative Poisson's ratio, and highly-anisotropic elastic modulus in 2D and 3D materials, which are central properties to design and develop high-performance nanoscale electromechanical devices.

## 1.1 Features of ELATools and its comparison with ELATE and ELAM codes

- Compute and display the main mechanical properties such as bulk modulus, Young's modulus, shear modulus, p-wave modulus, universal anisotropy index [5], Chung-

Buessem anisotropy index [5], log-Euclidean anisotropy parameter [6], Cauchy pressure, Poisson's ratio, Pugh's ratio, according to the three averaging schemes of Voigt, Reuss, and Hill [7] - Many of these features are included in ELAM and ELATE codes.

- Investigation of mechanical stability using calculation of six (three) eigenvalues of the elastic tensor in 3D (2D) materials - This option exists only in ELATE for 3D materials.
- Visualization of the 3D surfaces and 2D projections on any desired plane for shear modulus, Poisson's ratio, linear compressibility, bulk modulus, and Young's modulus in 3D materials - ELATE only depicts these features on XY, XZ, and ZY planes. The bulk modulus calculation does not exist in either ELAM or ELATE.
- Visualization of the 2D polar covers for Poisson's ratio, shear modulus, and Young's modulus in 2D materials - This option does not exist in the ELATE or ELAM.
- An offline database of more than 13000 elastic tensors taken from Materials API - This option is available only online in ELATE.
- Supports various output formats for custom drawing or displaying with different software: ".dat" files for standard plotting, ".wrl" files for visualization of the 3D surfaces by view3dscene software [8], ".agr" files for visualization of the 2D projections that are opened by XMGRACE [9], and ".gpi" script files for visualization of the 3D surfaces, 2D heat maps, and 2D projections that can be run by GNUPLOT [10] - ELAM generates only VRML (for 3D visualization) and PS formats (for 2D cut visualization). ELATE provides images online in PNG format only.

## 1.2 Change Log

- v1.1.0 [14/07/2018] : Add a new function to calculate the bulk modulus properties for 3D system.
- v1.1.1 [20/12/2018] : Add a new function to check stability conditions for 3D system.
- v1.1.2.0 [05/04/2019] : Add dat2gnu\_lapw to plot by gnuplot.
- v1.1.3.0 [12/05/2020] : Add a new function to calculate elastic properties, and check stability conditions for 2D system.
- v1.1.4.0 [10/06/2020] : The addition of a database includes more than 13,000 elastic tensors and a function for reading it.
- v1.1.5.0 [01/07/2020] : Add a new function to calculate the phase and group velocities of the acoustic waves.

- v.1.5.1 [20/07/2020] : Add a new function to calculate the shear modulus for 2D system.
- v.1.5.2 [10/08/2020] : Add new options of dat2gnu to plot 2D heat maps.
- v.1.6.0 [20/04/2021] : Add new elastic properties for 3D materials. Lamé's first and second parameters, Kleinman parameter, Hardness information.
- v.1.6.1 [04/05/2021] : Add heat map colors (bbry, grv, bbvy, bgyr, bryw) in dat2gnu.x [ Properties ] [hmap colors]
- v1.6.2[05/05/2021]: Module *wrl – setcolor.f90* added to dat2wrl.x. From now on you can customize the color scheme of case.wrl files.

## Theoretical Background

### 2.1 Hooke's law and elastic tensor of crystals

The shape of a solid material changes when it is subjected to a stress. Provided that the stress is below a specific value, the elastic limit, the strain is recoverable (Fig. 1). This means that the material returns to its original shape when the stress is removed. In this elastic regime, according to Hooke's law, it can be stated that for sufficiently low stresses, the amount of strain is proportional to the magnitude of the applied stress:

$$\varepsilon = S\sigma, \quad (1)$$

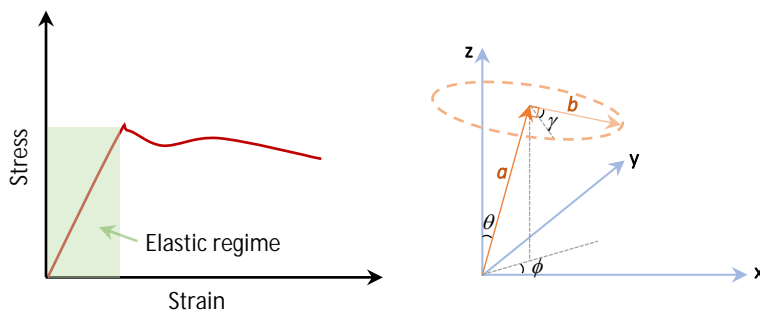


Figure 1: Schematic stress–strain curves and definitions of angles used to describe the directions in the calculations related to ElATools. The elastic regime corresponds to the portion of the diagram where the strain is proportional to the stress.

where  $S$  is a constant.  $S$  is called the *elastic compliance constant* (ECC), or the *compliance*. Another form of this equation can be written as follows,

$$\varepsilon = C\sigma, \quad E \equiv C = 1/s, \quad (2)$$

where  $C$  is the *elastic stiffness constant* (ESC), or *stiffness*, and  $E$  is Young's modulus. The general form of Hooke's law can be rewritten in the form of tensor,

$$\varepsilon_{ij} = S_{ijkl}\sigma_{kl}, \quad (3)$$

where  $S_{ijkl}$  are the ECCs of the crystal. Also, as an alternative to Eq.(2),

$$\sigma_{ij} = c_{ijkl}\varepsilon_{kl}, \quad (4)$$

where  $C_{ijkl}$  are the ESCs. Eq.(3) and Eq.(4) stand for nine equations, each with nine terms on the right-hand side. The  $S_{ijkl}$  or  $C_{ijkl}$  are 4<sup>th</sup>-rank tensors, and  $\varepsilon_{ij}$  or  $\sigma_{ij}$  are second<sup>th</sup>-rank tensors. Hence, the  $C_{ijkl}$  ( $S_{ijkl}$ ) consists of 81 *stiffness (compliances)* constants of the crystal. Due to the inherent symmetries (translational and rotational symmetries) of  $\varepsilon_{ij}$ ,  $\sigma_{ij}$ , and  $S_{ijkl}$  or  $C_{ijkl}$ , the number of independent coordinates of the 4<sup>th</sup>-rank tensor reduces to 21 for the least symmetric case. On the other hand, the further reduction resulting from the symmetry of the crystal can be applied to this number: 21 for triclinic, 15 for monoclinic, 9 for orthorhombic, 7 for trigonal, 5 for tetragonal, 5 for hexagonal and 3 for cubic.

## 2.2. Transformation law and representation surfaces of elastic properties

A 4<sup>th</sup>-rank tensor is defined (like tensors of lower rank) by its transformation law [11]. We know that the 81 tensor components  $A_{ijkl}$  representing a physical quantity are said to form a 4<sup>th</sup>-rank tensor if they transform on change of axes to  $A'_{ijkl}$ , where

$$A'_{ijkl} = a_{im}a_{jn}a_{ko}a_{lp}A_{mnop}. \quad (5)$$

It can be shown that 4<sup>th</sup>-rank tensor  $S_{ijkl}$  or  $C_{ijkl}$  follows this rule [11]:

$$\left. \begin{aligned} \varepsilon'_{ij} &= a_{ik}a_{jl}\varepsilon_{kl}, \\ \varepsilon_{kl} &= S_{klmn}\sigma_{mn}, \\ \sigma_{mn} &= a_{om}a_{pn}\sigma'_{op}, \end{aligned} \right\} \varepsilon'_{ij} = a_{ik}a_{jl}S_{klmn}a_{om}a_{pn}\sigma'_{op}. \quad (6)$$

By comparing Eq.(3) and the recent equation, we have:

$$S'_{ijkl} = a_{im}a_{jn}a_{ko}a_{lp}S_{mnop}, \quad (7)$$

which is the necessary transformation law. To express the anisotropic form of Hooke's law in matrix notation, we use the Voigt notation scheme. In the  $S'_{ijkl}$  and  $S_{mnop}$ , the first two suffixes are abbreviated into a single one running from 1 to 6, and the last two are abbreviated in the same way, according to the following Voigt scheme:

$$\begin{aligned} \text{Tensor notation : } & 11 \ 22 \ 33 \ 23,32 \ 31,13 \ 12,21 \\ \text{Matrix notation : } & 1 \ 2 \ 3 \ 4 \ 5 \ 6 \end{aligned} \quad (8)$$

Therefore, the components of the stress ( $\sigma$ ) and the strain ( $\epsilon$ ) tensors are written in a single suffix running from 1 to 6,

$$\begin{aligned}\sigma_{ij} &= \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{12} & \sigma_{22} & \sigma_{23} \\ \sigma_{13} & \sigma_{23} & \sigma_{33} \end{pmatrix} \xrightarrow{\text{Voigt scheme}} \begin{pmatrix} \sigma_1 & \sigma_6 & \sigma_5 \\ \sigma_6 & \sigma_2 & \sigma_4 \\ \sigma_5 & \sigma_4 & \sigma_3 \end{pmatrix}, \\ \epsilon_{ij} &= \begin{pmatrix} \epsilon_{11} & \epsilon_{12} & \epsilon_{13} \\ \epsilon_{12} & \epsilon_{22} & \epsilon_{23} \\ \epsilon_{13} & \epsilon_{23} & \epsilon_{33} \end{pmatrix} \xrightarrow{\text{Voigt scheme}} \begin{pmatrix} \epsilon_1 & \frac{1}{2}\epsilon_6 & \frac{1}{2}\epsilon_5 \\ \frac{1}{2}\epsilon_6 & \epsilon_2 & \frac{1}{2}\epsilon_4 \\ \frac{1}{2}\epsilon_5 & \frac{1}{2}\epsilon_4 & \epsilon_3 \end{pmatrix}.\end{aligned}\tag{9}$$

According to this scheme, we have for the  $S_{mnop}$  [11],

$$\begin{aligned}S_{mnop} &= S_{ij}, \text{ when } i \text{ and } j \text{ are } 1; 2 \text{ or } 3, \\ 2S_{mnop} &= S_{ij}, \text{ when either } i \text{ or } j \text{ are } 4; 5 \text{ or } 6, \\ 4S_{mnop} &= S_{ij} \text{ when both } i \text{ and } j \text{ are } 4; 5; \text{ or } 6.\end{aligned}$$

Therefore, Eq.(3) takes the shorter form:

$$\epsilon_i = S_{ij}\sigma_j \quad (i, j = 1, 2, \dots, 6).\tag{10}$$

The Voigt scheme replaces the cumbersome  $2^{th}$  and  $4^{th}$ -rank tensors in a 3-dimensional vector space of vectors and matrices in a 6-dimensional vector space. The reason for introducing the factors of 0.5 in Eq.(9) and the factors of 2 and 4 into the definitions of the  $S_{ij}$  is to enable writing Eq.(10) in a compact form.

Using  $S_{ij}$  in Eq.(10) and Eq.(5), we can get a general and straightforward compliance transformation relation for any crystal from the old systems ( $T'$ ) to measurement systems ( $T$ ):

$$T'_{ijkl} = r_{i\alpha} r_{j\beta} r_{k\gamma} r_{l\delta} T_{\alpha\beta\gamma\delta},\tag{11}$$

where the  $r$  represents the components of the rotation matrix (or direction cosines). In general, the tension produces not only longitudinal, and lateral strains, but shear strains as well. Therefore, spherical coordinates are suitable for such stresses and the responses that materials give to stresses. We choose  $\mathbf{r} \equiv \mathbf{a}$  to be the first unit vector in the new basis set [3, 4],

$$\mathbf{a} = \begin{pmatrix} \sin(\theta) \cos(\varphi) \\ \sin(\theta) \sin(\varphi) \\ \cos(\theta) \end{pmatrix}; \quad 0 \leq \theta \leq \pi, \quad 0 \leq \varphi \leq \pi,\tag{12}$$

This unit vector ( $\mathbf{a}$ ) is required to determine Young's modulus ( $E$ ), linear compressibility ( $\beta$ ), and bulk modulus ( $B$ ). But some elastic properties such as the shear modulus ( $G$ ) and Poisson's ratio ( $\nu$ ) requires another perpendicular direction. Therefore, we define unit vector  $\mathbf{b}$ , which is perpendicular to  $\mathbf{a}$  (see Fig. 1), as follows [3, 4]:

$$\mathbf{b} \equiv \begin{pmatrix} \cos(\theta) \cos(\varphi) \cos(\gamma) - \sin(\theta) \sin(\gamma) \\ \cos(\theta) \sin(\varphi) \cos(\gamma) - \cos(\theta) \sin(\gamma) \\ -\sin(\theta) \cos(\gamma) \end{pmatrix}, \quad 0 \leq \gamma \leq 2\pi.\tag{13}$$



Therefore, by defining these two vectors, Eq.(11) is as follows:

$$T'_{\alpha\beta\gamma\delta} = a_{\alpha i} a_{\beta j} b_{\gamma k} b_{\delta l} T_{ijkl}, \quad (14)$$

Using this equation, we can calculate the representation surfaces for elastic properties. For instance, from Eq.(10), we know that Young's modulus can be obtained by using purely normal stress (see Fig. ??(c)),

$$\begin{aligned} E(\mathbf{a}) &\equiv \frac{1}{S'_{1111}} = \sum_{ijkl}^6 \frac{1}{a_{1i} a_{1j} a_{1k} a_{1l} S_{ijkl}} \\ &= \frac{1}{S'_{11}} = \frac{1}{a_i a_j a_k a_l S_{ijkl}} \quad (\text{by Einstein's summation rule}), \end{aligned} \quad (15)$$

The volume compressibility of a crystal is the proportional decrease in volume of a crystal when subjected to unit hydrostatic pressure, but the linear compressibility is the relative decrease in length of a line when the crystal is subjected to unit hydrostatic pressure (HP). Hence, it is obtained by applying isotropic stress ( $P_{HP}$ ) in a tensor form so that  $\varepsilon_{ij} = -(P_{HP}) S_{ijkk}$  [3, 11], and by considering that the extension in  $a$  direction is  $\varepsilon_{ij} a_i a_j$ , and for this reason, we have:

$$\beta(\mathbf{a}) = a_i a_j a_k a_l S_{ijkk} = a_i a_j S_{ijkk}; \quad a_k \cdot a_k = 1 \quad (16)$$

On the other hand, the relationship between  $\beta$  and  $B$  can be expressed as follows [11],

$$B(\mathbf{a}) = \frac{1}{\beta(\mathbf{a})} = \frac{1}{a_i a_j S_{ijkk}} \quad (17)$$

As mentioned, the  $G$  and  $\nu$  are not as straightforward to represent and depend on two directions ( $\mathbf{a}$  and  $\mathbf{b}$ ). The shear ratio (Poisson's ratio) is obtained by applying a pure shear (Fig. ??(d)) (a purely normal) stress in the vector form of Eq.(3), and results in:

$$G(\mathbf{a}, \mathbf{b}) = \frac{1}{4S_{1212}} = \frac{1}{4S_{66}} = \frac{1}{4} \frac{1}{a_i b_j a_k b_l S_{ijkl}}, \quad (18)$$

$$\nu(\mathbf{a}, \mathbf{b}) = -\frac{S'_{1212}}{S'_{1111}} = -\frac{S'_{12}}{S'_{11}} = -\frac{a_i a_j b_k b_l S_{ijkl}}{a_i a_j a_k a_l S_{ijkl}}. \quad (19)$$

To better understand these equations, we obtain Young's modulus of a cubic crystal. As for cubic crystal, because of lattice symmetry, there are three independent variables  $C_{11}$ ,  $C_{12}$ ,  $C_{44}$  in the  $C_{ij}$ , and  $S_{11}$ ,  $S_{12}$ , and  $S_{33}$  in the  $S_{ij}$ . Using Eq.(15) and Eq.(12), we have:

$$\begin{aligned} S'_{1111} &= a_{11} a_{11} a_{11} a_{11} S_{1111} + a_{12} a_{12} a_{12} a_{12} S_{2222} + a_{13} a_{13} a_{13} a_{13} S_{3333} + \\ &\quad a_{11} a_{11} a_{12} a_{12} S_{1122} + a_{11} a_{11} a_{13} a_{13} S_{1133} + a_{12} a_{12} a_{13} a_{13} S_{2233} + \\ &\quad a_{11} a_{11} a_{12} a_{12} S_{2211} + a_{11} a_{11} a_{13} a_{13} S_{3311} + a_{12} a_{12} a_{13} a_{13} S_{3322} + \\ &\quad \frac{1}{4} [a_{12} a_{13} a_{12} a_{13} S_{2323}] + \frac{1}{4} [a_{13} a_{11} a_{13} a_{11} S_{3131}] + \frac{1}{4} [a_{11} a_{12} a_{11} a_{12} S_{1212}] \\ &= S_{11}(a_{11}^4 + a_{12}^4 + a_{13}^4) + (\frac{1}{4} S_{44} + 2S_{12})[a_{12}^2 a_{13}^2 + a_{11}^2 a_{13}^2 + a_{11}^2 a_{12}^2], \end{aligned} \quad (20)$$

with further simplification,

$$\frac{1}{E} = S'_{1111} = S_{11} - 2(S_{11} - S_{12} - \frac{1}{2}S_{44})(a_{11}^2 a_{12}^2 + a_{11}^2 a_{13}^2 + a_{12}^2 a_{13}^2) \quad (21)$$

To calculate the orientation-dependent Poisson's ratio, shear modulus and Young's modulus in 2D material, Eq.(12) and Eq.(13) are used again and changed as follows:

$$\mathbf{a} = \begin{pmatrix} \cos(\varphi) \\ \sin(\varphi) \\ 0 \end{pmatrix}; \mathbf{b} = \begin{pmatrix} -\sin(\varphi) \\ \cos(\varphi) \\ 0 \end{pmatrix}; \quad 0 \leq \varphi \leq 2\pi. \quad (22)$$

For 2D system, according to Hooke's law (Eq.(3) and Eq.(4)), the relationship between  $\sigma$  and the corresponding strain tensor  $\varepsilon$  can be described using the stiffness tensor  $C_{ij}$ , for orthogonal symmetry under plane stress conditions as [12],

$$\begin{pmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{12} \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} & 0 \\ C_{12} & C_{22} & 0 \\ 0 & 0 & C_{66} \end{pmatrix} \begin{pmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ 2\varepsilon_{12} \end{pmatrix}, \quad (23)$$

where Voigt notation has been used for  $C_{ij}$ . Here  $C_{11}$  ( $S_{11}$ ),  $C_{22}$  ( $S_{22}$ ),  $C_{12}=C_{21}$  ( $S_{12}=S_{21}$ ), and  $C_{66}$  ( $S_{66}$ ) represent  $C_{1111}$  ( $S_{1111}$ ),  $C_{2222}$  ( $S_{2222}$ ),  $C_{1122}$  ( $S_{1122}$ ), and  $C_{1212}$  ( $S_{1212}$ ), respectively. So, using the previous equations, the in-plane Young's modulus, shear modulus, and Poisson's ratio can be defined as:

$$E(\varphi) = \frac{1}{S_{11} \cos^4(\varphi) + S_{22} \sin^4(\varphi) + (2S_{12} + S_{66}) \cos^2(\varphi) \sin^2(\varphi)} \quad (24)$$

$$\nu(\varphi) = -\frac{[S_{22} - S_{33} + S_{22}] \cos^2(\varphi) \sin^2(\varphi) + S_{12} \cos^4(\varphi) + S_{12} \sin^4(\varphi)}{[2S_{12} + S_{66}] \cos^2(\varphi) \sin^2(\varphi) + S_{11} \cos^4(\varphi) + S_{22} \sin^4(\varphi)} \quad (25)$$

$$\frac{1}{G(\varphi)} = [S_{11} + S_{22} - S_{12}] \cos^2(\varphi) \sin^2(\varphi) + \frac{1}{4} S_{66} [\cos^4(\varphi) + \sin^4(\varphi) - 2 \sin^2(\varphi) \cos^2(\varphi)] \quad (26)$$

### 2.3 Basic proprieties and averaging schemes

From elastic constants, other basic elastic properties, including elastic moduli, can be obtained. The elastic response of an isotropic system is generally described by the  $B$  and the  $G$ , which may be obtained by averaging the single-crystal elastic constants. The averaging methods most often used are the Voigt [13], Reuss [14] and Hill [7] bounds. In Voigt's and, Reuss's approximations, the equation takes the following form:

$$\begin{aligned} B_V &= \frac{1}{9} ([C_{11} + C_{22} + C_{33}] + 2[C_{12} + C_{23} + C_{31}]), \\ G_V &= \frac{1}{15} ([C_{11} + C_{22} + C_{33}] - [C_{12} + C_{23} + C_{31}] + 4[C_{44} + C_{55} + C_{66}]), \\ B_R &= \frac{1}{9} ([S_{11} + S_{22} + S_{33}] + 2[S_{12} + S_{23} + S_{31}]), \\ G_R &= \frac{1}{15} ([S_{11} + S_{22} + S_{33}] - 4[S_{12} + S_{23} + S_{31}] + 3[S_{44} + S_{55} + S_{66}]). \end{aligned} \quad (27)$$

Also, the arithmetic mean of the Voigt and Reuss bounds, termed the Voigt-Reuss-Hill (VRH) average is also found as a better approximation to the actual elastic behavior of a polycrystal material,

$$B_{VRH} = \frac{1}{2}(B_V + B_R), \quad (28)$$

$$G_{VRH} = \frac{1}{2}(G_V + G_R)$$

The Young's modulus ( $E$ ), and Poisson's ratio ( $\nu$ ) for an isotropic material are given by:

$$E = \frac{9BG}{3B + G}, \quad \nu = \frac{3B - 2G}{2(3B + G)}. \quad (29)$$

The elastic anisotropy is a crucial measurement of the anisotropy of chemical bonding and can be calculated by elastic constants. For all crystal systems, the bulk response is in general, anisotropic, and one must account for such contributions to quantify the extent of anisotropy accurately. For this purpose, Ranganathan *et al.* [5] introduce a new universal anisotropy index ( $A^U$ ),

$$A^U = 5 \frac{G_V}{G_R} + \frac{B_V}{B_R} - 6. \quad (30)$$

It is noteworthy that in weakly anisotropic materials, i.e. isotropic material, all such averages lead to similar results for elastic moduli. The mechanical behavior such as *ductile* or *brittle* can be represented by the ratio of the  $G$  to the  $B$ , i.e., the Pugh ratio  $G/B$ , by simply considering  $B$  as the resistance to fracture and  $G$  as the resistance to plastic deformation. The critical value of the Pugh ratio to separate *ductile* and *brittle* materials is around 0.57. If  $G/B < 0.57$ , the material is more *ductile*; otherwise, it behaves in a *brittle* manner [1, 15]. Hence, a higher Pugh ratio indicates more brittleness property. Cauchy pressure ( $P_c$ ) is another characteristic to describe the brittleness and ductility of the metals and compounds and is defined [16] by:

$$P_c = C_{12} - C_{44} \quad (31)$$

For covalent materials with brittle atomic bonds, the  $P_c$  is negative, because in this case, material resistance to shear strain, i.e.,  $C_{44}$ , is much more than that for volume change, i.e.,  $C_{12}$ . However, the  $P_c$  must be positive for the metallic-like bonding, where the electrons are almost delocalized. For an isotropic crystal,  $A^U$  is zero. The departure of  $A^U$  from zero defines the extent of the elastic anisotropy.

In this work, the relation among the  $E$ ,  $G$ ,  $\nu$ , and elastic stiffness constants for a 2D system are derived as,

$$\begin{aligned} E_x &= \frac{C_{11}C_{22} - C_{12}C_{21}}{C_{22}}, \\ E_y &= \frac{C_{11}C_{22} - C_{12}C_{21}}{C_{11}}, \\ \nu_{xy} &= \frac{C_{21}}{C_{22}}, \\ \nu_{yx} &= \frac{C_{12}}{C_{11}}, \\ G_{xy} &= C_{66}, \end{aligned} \quad (32)$$

where  $E_l = \sigma_l / \varepsilon_l$  is Young's modulus along the axis of  $l$ .  $\nu_{lk} = -d\varepsilon_k / d\varepsilon_l$  is the Poisson's ratio with tensile strain applied in the  $l$  direction and the response strain in the  $k$  direction.  $G_{xy}$  is the shear modulus in the  $xy$ -plane.

## Workflow of ELATools

For this section, please refer to our paper.

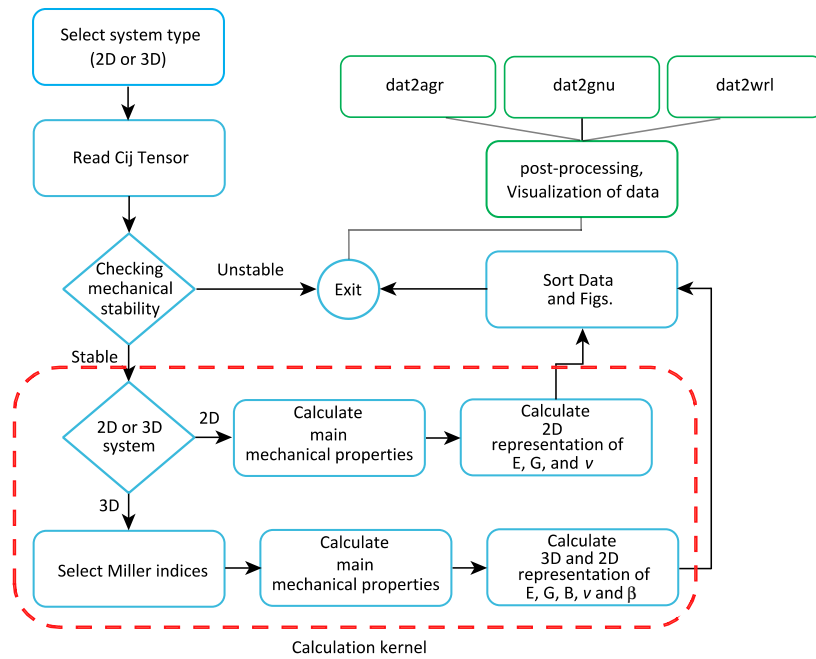


Figure 2: The flowchart of ELATools. The red dashed line represents the Calculation Kernel (CK) block.

# 4

SECTION

## Getting started

### 4.1 How to obtain ElATools

ElATools is an open source code with MIT license and can be downloaded by sending an email to [yalameha93@gmail.com](mailto:yalameha93@gmail.com) [Shahram Yalameha]

### 4.2 How to install ElATools

ElATools is written in Fortran90 and is installed with Intel Fortran (ifort) or gnu fortran (gfortran) compiler. But before the installation of ElATools, the necessary libraries and packages should be installed first: GNUPLOT, LAPACK, and LaTeX libraries. LAPACK libraries are for numerical calculations and are used to calculate elastic compliance constant and so on. LaTeX and GNUPLOT are used to plot temporary figures and the post-processing stages. One of the packages IRelast, Elast, AELAS, and ElaStic can calculate the elastic stiffness constant ( $C_{ij}$ ). ElATools supports the output of these packages.

ElATools is distributed in a compressed tar file `elatools_1.**.tar.gz`, which uncompresses into several directories: `soc`, `doc`, `db`, and `bin`. The `soc` directory contains the `f90` files and `Makefile`. For the compilation, you need to modify `Makefile` for your system. The `doc` directory contains a copy of this paper, short userguide, and the examples directory. The `db` directory contains the elastic constant database file. The path of these files must be specified before installation. For this purpose, you can use `run_path.sh` script to path these files. This script is located in the `soc` directory and runs as follows:

```
chmod +x run_path.sh ; ./run_path.sh
```

Then you need the complete path (example: `/home/.../soc/db/`) of the elastic constant

After installation, which is done with make command, the executable files (ElATools, dat2gnu, dat2agr, and dat2wrl) are saved in bin directory. Finally, the code will run by executing ElATools. You can put this path to the system PATH with

```
export PATH=/home/.../elatoools_1.**/bin:$PATH
```

to the [.bashrc](#) file in your home directory.

You must prepare one of the following files for  $C_{ij}$  tensor:

elast.output: output file of Elast

ElaStic\_2nd.out: output file of ElaStic

[Cij.dat](#) (3D system) or [Cij-2D.dat](#) (2D system) file for any other outputs.

After the preparation of these files, you can just run EIATools.

After running ElATools, you must follow the steps below:

1) Welcome



2) Select 2D or 3D crystal system :



14

```

> Select using output code:
=====
AELAS ( VASP ) => 1
Using Cij Tensor in Cij-2D.dat (other codes) => 2
=====

```

If you choose the 3D system, we will have

```

> Select using output code:
=====
Irelast----- ( wein2k ) ==> 1
Elast----- ( wein2k ) ==> 2
AELAS----- ( VASP ) ==> 3
ElaStic----- ( QE,Wien2k,Exciting ) ==> 4
Using Cij Tensor in Cij.dat--- ( Other codes ) ==> 5
Using EC Databank----- ( MP ) ==> 6
=====

```

4) Calculate phase and group velocities.

```

> Want to calculate phase and group velocities? (Y/n)

```

This option has been entered in the code since version 1.5.0. If you select this option we will have:

```

> Want to calculate phase and group velocities? (Y/n)
y
Density of Compound (kg/m^3):
note: If you don't know, enter 0

```

You need to know or calculate the density of compound. If you know the density of the compound, enter its value, otherwise enter the number 0. If you enter the value 0, the program calculates the density of the composition by taking the crystal lattice parameters from you.

#### 4.4.1 Calculation Kernel (CK)

To explain this section, we analyze the GaAs Cubic compound. Cij tensor of this compound ( in Cij.dat file) is equal to:

```

118.800000  53.800000  53.800000  0.000000  0.000000  0.000000
 53.800000 118.800000  53.800000  0.000000  0.000000  0.000000
 53.800000  53.800000 118.800000  0.000000  0.000000  0.000000
 0.000000  0.000000  0.000000  59.400000  0.000000  0.000000
 0.000000  0.000000  0.000000  0.000000  59.400000  0.000000
 0.000000  0.000000  0.000000  0.000000  0.000000  59.400000

```

Also, the density of this compound is  $5307 \text{ kg/m}^3$ .

Before entering step CK, ElATools examines the mechanical stability condition. As can be seen, it is marked in green color. It then calculates and displays the  $S_{ij}$ , calculating the main properties.



```

=====
> Elastic Stability Conditions: Stable
=====
#####
Cij:
118.800000  53.800000  53.800000  0.000000  0.000000  0.000000
53.800000  118.800000  53.800000  0.000000  0.000000  0.000000
53.800000  53.800000  118.800000  0.000000  0.000000  0.000000
0.000000  0.000000  0.000000  59.400000  0.000000  0.000000
0.000000  0.000000  0.000000  0.000000  59.400000  0.000000
0.000000  0.000000  0.000000  0.000000  0.000000  59.400000

Sij:
0.0117287 -0.0036559 -0.0036559 0.0000000 0.0000000 0.0000000
-0.0036559 0.0117287 -0.0036559 0.0000000 0.0000000 0.0000000
-0.0036559 -0.0036559 0.0117287 0.0000000 0.0000000 0.0000000
0.0000000 0.0000000 0.0000000 0.0168350 0.0000000 0.0000000
0.0000000 0.0000000 0.0000000 0.0000000 0.0168350 0.0000000
0.0000000 0.0000000 0.0000000 0.0000000 0.0000000 0.0168350

#####

=====
Elastic properties | Voigt Reuss Average
=====
= Bulk modulus (GPa) | 75.467 75.467 75.467 =
= Shear modulus (GPa) | 48.640 44.626 46.633 =
= Young modulus (GPa) | 120.114 111.833 115.974 =
= P-wave modulus(GPa) | 140.3200 134.9672 137.6436 =
= Poisson ratio | 0.2347 0.2530 0.2439 = <--( Brittle regime )
= Pugh ratio | 1.5515 1.6911 1.6183 = <--( Brittle regime )

=====
> Universal anisotropy index (AU) : 0.4498
> Log-Euclidean anisotropy parameter (AL): 0.4436
> Chung-Buessem Anisotropy Index (Ac) : 0.0430
> Cauchy pressure(GPa) (Pc) : 59.4000 <--( Metallic-like bonding )

=====

> Density of Compound = 5307.000000000000
> Enter phi-meah and theta-meah between 50 and 250 (Recommended: 150):

```

After this process, you need to specify the meshes of  $\phi$  and  $\theta$  angles. We recommend **150** for both angles.

```

> Select the (hkl) Miller indices for 2D cut:

```

After determining the meshing, selecting *hkl*-plane ((100)-plane was selected as an example) to calculate the 2D projection is the next step. This is the last step in entering the input commands to go to CK.

At the end of CK step, the minimum and maximum value of properties and their coordinates and anisotropic index ( $A_M$ ) are calculated and displayed. These results are also stored in DATA.dat file.

```

===== > Youngs Modulus
Max(GPa)      Min(GPa)  Anisotropy
141.02         85.26    1.65
-----
Theta  Phi      Theta  Phi
126.0  316.8    180.0  0.0
-----
X      Y      Z      X      Y      Z
0.59 -0.55 -0.59  0.00  0.00 -1.00
=====

===== > Linear Compressibility
Max(TPa-1)     Min(TPa-1)  Anisotropy
4.417          4.417    1.000
-----
Theta  Phi      Theta  Phi
147.6  198.0    102.6  97.2
-----
X      Y      Z      X      Y      Z
-0.51 -0.17 -0.84 -0.12  0.97 -0.22
=====

===== > Shear Modulus
Max(GPa)      Min(GPa)  Anisotropy
59.40         32.50    1.83
-----
Theta  Phi      Theta  Phi
180.0  0.0      135.0  360.0
-----
X      Y      Z      X      Y      Z
0.00  0.00 -1.00  0.71 -0.00 -0.71
=====

===== > Bulk Modulus
Max(GPa/100)   Min(GPa/100)  Anisotropy
2.26           0.00    Inf
-----
Theta  Phi      Theta  Phi
102.6  97.2     0.0    0.0
-----
X      Y      Z      X      Y      Z
-0.12  0.97 -0.22  0.00  0.00  1.00
=====

===== > Phase P-Mode
Max(km/s)      Min(km/s)  Anisotropy
5.40           4.73    1.14
-----
Theta  Phi      Theta  Phi
54.0  223.2    180.0  0.0
-----
X      Y      Z      X      Y      Z
0.55  0.08 -0.83 -0.80  0.00 -0.60
=====

===== > Phase Fast-Mode
Max(km/s)      Min(km/s)  Anisotropy
3.35           3.35    1.00
-----
Theta  Phi      Theta  Phi
124.2  360.0    180.0  0.0
-----
X      Y      Z      X      Y      Z
0.28 -0.95  0.11 -0.80  0.00 -0.60
=====

===== > Phase Slow-Mode
Max(km/s)      Min(km/s)  Anisotropy
3.35           0.00    Inf
-----
Theta  Phi      Theta  Phi
180.0  0.0      180.0  0.0
-----
X      Y      Z      X      Y      Z
-0.80  0.00 -0.60 -0.80  0.00 -0.60
=====

===== > Group P-Mode
Max(km/s)      Min(km/s)  Anisotropy
5.40           4.73    1.14
-----
Theta  Phi      Theta  Phi
54.0  316.8    180.0  0.0
-----
X      Y      Z      X      Y      Z
0.49 -0.27 -0.83 -0.80  0.00 -0.60
=====

===== > Poissons Ratio
Max      Min  Anisotropy
0.443    0.021  21.21
-----
Theta  Phi      Theta  Phi
135.0  360.0    135.0  360.0
-----
X      Y      Z      X      Y      Z
0.71 -0.00 -0.71  0.71 -0.00 -0.71
=====

===== > Pugh Ratio
Max      Min  Anisotropy
0.26     0.17  1.53
-----
Theta  Phi      Theta  Phi
90.0  270.0    126.0  316.8
-----
X      Y      Z      X      Y      Z
-0.00 -1.00  0.00  0.59 -0.55 -0.59
=====

```

#### 4.4.2 Temporary images

After passing CK step, you will be asked to plot temporary images.

```

#####
> Do you want to plot data? (Y/N):

> Shear Modulus:          plot
=====
> Pugh Ratio:            plot
=====
> Linear Compressibiliy:  Plot
=====
> Bulk Modulus:          Plot
=====
> Poissons Ratio:        plot
=====
> Youngs Modulus:        Plot
=====
> 2Dbox v1:              Plot
Warning: empty y range [4.41697:4.41697], adjusting to [4.3728:4.46114]
Warning: empty y range [226.4:226.4], adjusting to [224.136:228.664]
Please see 2Dbox.ps file
> 2Dbox_veloc v1:        Plot
Please see 2Dbox_veloc.ps file
> 2Dbox v2 : Plot
Please see 2DSound.ps,
2DPoissons.ps,
2DBulk.ps,
2DCompressibiliy.ps,
2DShear.ps,
2DPugh.ps,
2DYoung.ps files
=====
                Nothing happens until something moves.
                >> Albert Einstion <<
=====
>          | Have A Beautiful Day |          <
>          |      Goodbye.      |          <
=====

```

By accepting this request, temporary images are plot and stored in a folder called PicFile-hkl (here, hkl is 100). The data files for post processing step are also listed in DatFile-hkl folder, and **END**.

## Post processing

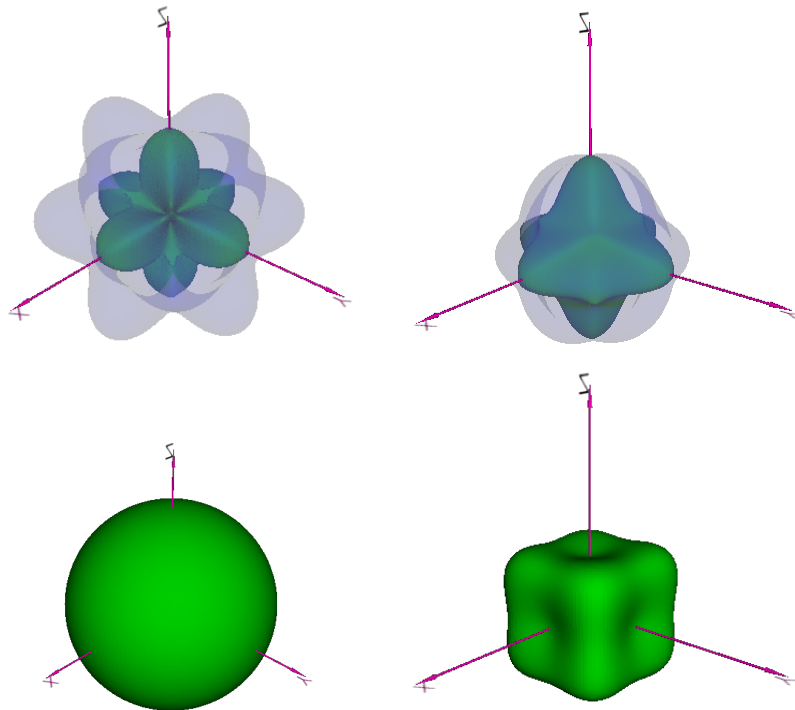
In the post-processing, three powerful program `dat2gnu`, `dat2agr` and `dat2wrl` are designed to visualize these results, which produce files in `gpi`, `agr` and `wrl` formats, respectively.

### 5.1 `dat2wrl`

`dat2wrl` is prepared for 3D graphical representations of elastic properties, capable of producing files in "wrl" format. This format can be visualized and explored with a VRML capable browser, such as View3dscene [8]. Input commands and output files for this program:

Input command to run	Properties	Ouput file
dat2wrl poi	Poisson's ratio	Poisson.wrl
dat2wrl shear	Shear modulus	Shear.wrl
dat2wrl young	Young's modulus	Young.wrl
dat2wrl bulk	Bulk modulus	Bulk.gpi
dat2wrl comp	Linear compressibility	Compress.wrl
dat2wrl pp	Phase velocity: P-mode	Phase-P.wrl
dat2wrl ps	Phase velocity: Slow-mode	Phase-Slow.wrl
dat2wrl pf	Phase velocity: Fast-mode	Phase-Fast.wrl
dat2wrl gp	Group velocity: P-mode	Group-P.wrl
dat2wrl gs	Group velocity: Slow-mode	Group-Slow.wrl
dat2wrl gf	Group velocity: Fast-mode	Group-Fast.wrl
dat2wrl pfp	Power Flow angle (PFA): P-mode	Power-Flow-P.wrl
dat2wrl pfs	Power Flow angle: Slow-mode	Power-Flow-Slow.wrl
dat2wrl pff	Group velocity: Fast-mode	Power-Flow-Fast.wrl

Some output files are displayed by View3dscene software:



## 5.2 dat2gnu

dat2gnu is responsible for generating files for 2D projections and 2D heat maps representations of elastic properties, and generate files in “gpi” format, which can be run by

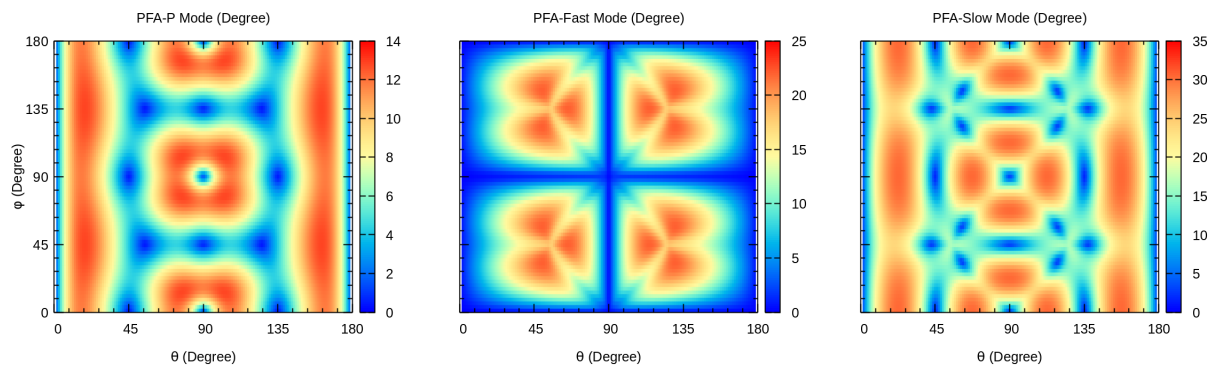
GNUPLOT program. Input commands and output files this program for 3D materials:

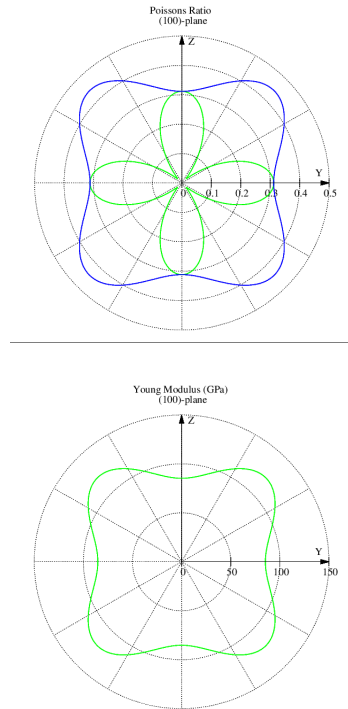
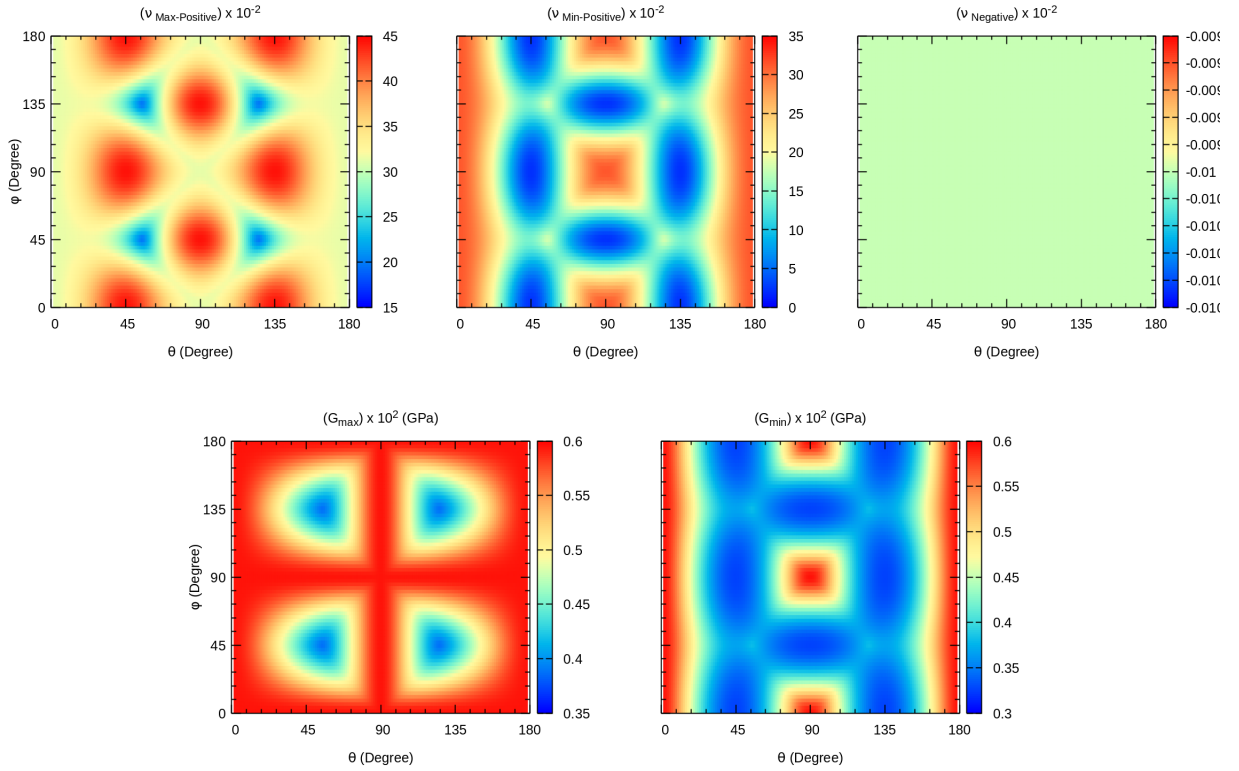
Input command to run	Properties	Ouput file
dat2gnu poi	Poisson's ratio	poissons.gpi
dat2gnu shear	Shear modulus	shear.gpi
dat2gnu young	Young's modulus	young.gpi
dat2gnu bulk	Bulk modulus	bulk.gpi
dat2gnu comp	Linear compressibility	compressibiliy.gpi
dat2gnu pp	Phase velocity: P-mode	phase-p.gpi
dat2gnu ps	Phase velocity: Slow-mode	phase-slow.gpi
dat2gnu pf	Phase velocity: Fast-mode	phase-fast.gpi
dat2gnu gp	Group velocity: P-mode	group-p.gpi
dat2gnu gs	Group velocity: Slow-mode	group-p.gpi
dat2gnu gf	Group velocity: Fast-mode	grouphase-p.gpi
dat2gnu pall	Phase and PFA: all-mode	phase.gpi
dat2gnu gall	Group and PFA all-mode	group.gpi
dat2gnu hmpoi	2D heat mape of Poisson's ratio	poissons_smap.gpi
dat2gnu hmcomp	2D heat mape of Linear compressibility	compressibiliy_smap.gpi
dat2gnu hmshear	2D heat mape of Shear modulus	shear_smap.gpi
dat2gnu hmbulk	2D heat mape of Bulk modulus	bulk_smap.gpi
dat2gnu hmyoung	2D heat mape of Young's modulus	young_smap.gpi
dat2gnu hmpall	2D heat mape of Phase velocity: all-mode	phase_smap.gpi
dat2gnu hmgall	2D heat mape of Group velocity: all-mode	group_smap.gpi
dat2gnu hmpfall	2D heat mape of PFA: all-mode	powerflow_smap.gpi

Input commands and output files this program for 2D materials:

Input command to run	Properties	Ouput file
dat2gnu 2dpoi	Poisson's ratio	2Dpoissons.gpi
dat2gnu 2dyoung	Young's modulus	2Dyoung.gpi
dat2gnu 2dshear	Shear modulus	2Dshear.gpi
dat2gnu 2d	Generate all propreites	2Dshear/2Dyoung/2Dpoissons.gpi

Some output files are displayed by GNUPLOT software:



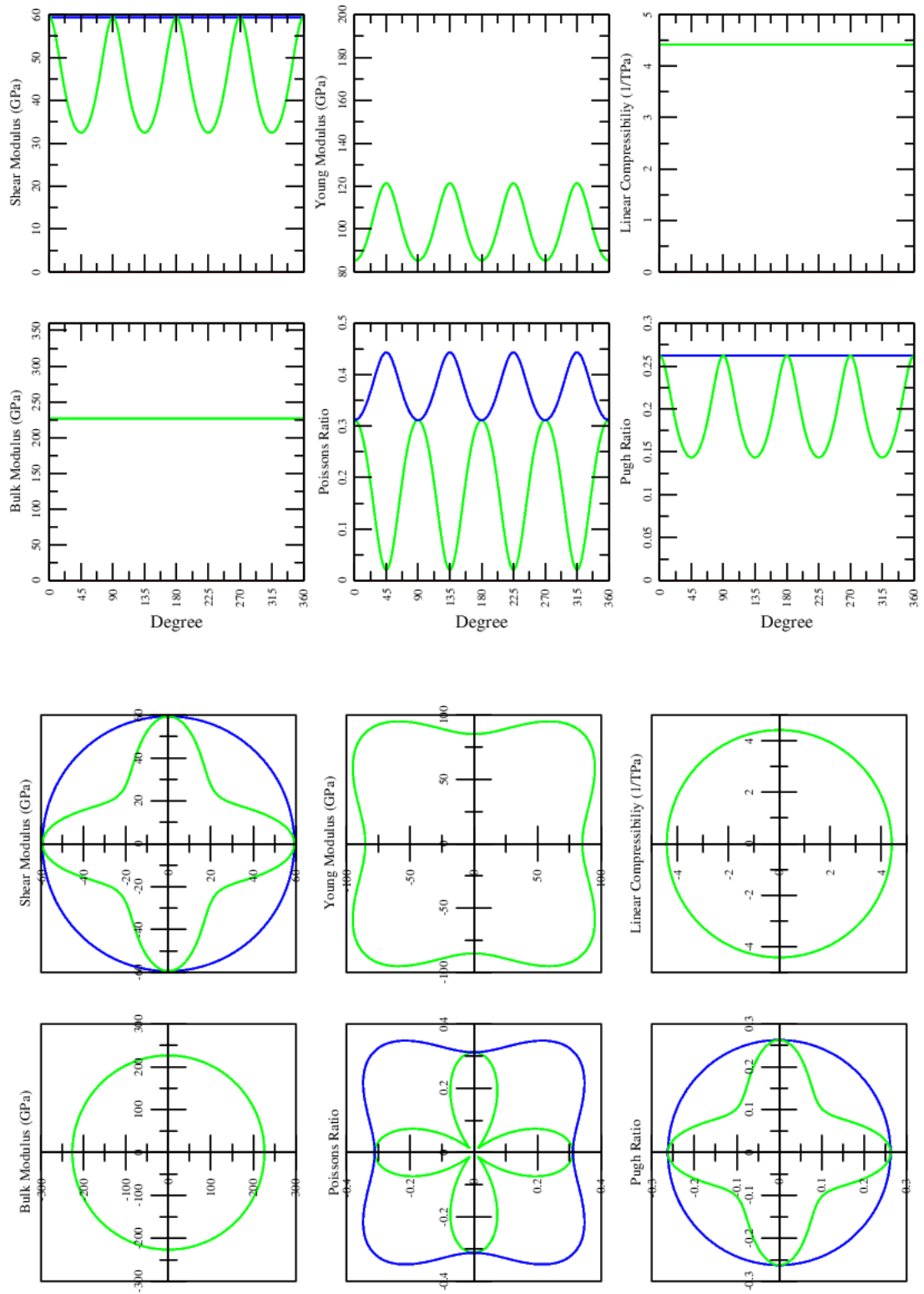


### 5.3 dat2agr

dat2agr is responsible for generating files for 2D projections representations of elastic properties, and generate files in "agr" format, which can be run by XMGRACE program. Input commands and output files this program for 3D materials:

Input command to run	Properties	Output file
dat2agr box	All elastic properties in Cartesian coordinates	2Dbox.agr
dat2agr polar	All elastic properties in polar coordinates	2Dpolar.agr

Two output files are displayed by XMGRACE software:





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