S. 289

To improve national security at the National Institutes of Health, to address national security issues in the licensure of biological products, to address national security considerations in research at the Department of Health and Human Services, and for other purposes.

IN THE SENATE OF THE UNITED STATES

February 7, 2023

Mr. Rubio introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

- To improve national security at the National Institutes of Health, to address national security issues in the licensure of biological products, to address national security considerations in research at the Department of Health and Human Services, and for other purposes.
 - 1 Be it enacted by the Senate and House of Representa-
 - 2 tives of the United States of America in Congress assembled,
 - 3 SECTION 1. SHORT TITLE.
 - 4 This Act may be cited as the "Genomics Data Secu-
- 5 rity Act".

| 1 | SEC. 2. MODERNIZING THE NATIONAL INSTITUTES OF |
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| 2 | HEALTH'S APPROACH TO NATIONAL SECU- |
| 3 | RITY. |
| 4 | Section 402(m)(2) of the Public Health Service Act |
| 5 | (42 U.S.C. 282(m)(2)) is amended— |
| 6 | (1) in subparagraph (E), by striking "; and" |
| 7 | and inserting a semicolon; |
| 8 | (2) by redesignating subparagraph (F) as sub- |
| 9 | paragraph (G); and |
| 10 | (3) by inserting after subparagraph (E) the fol- |
| 11 | lowing: |
| 12 | "(F) address national security issues, in- |
| 13 | cluding ways in which the National Institutes of |
| 14 | Health can engage with other Federal agencies |
| 15 | to modernize the national security strategy of |
| 16 | the National Institutes of Health; and". |
| 17 | SEC. 3. UTILIZATION OF GENOMIC SEQUENCING SERVICES |
| 18 | BY THE NATIONAL INSTITUTES OF HEALTH. |
| 19 | Notwithstanding any other provision of law, no |
| 20 | amounts made available to the National Institutes of |
| 21 | Health may be used with respect to activities carried out |
| 22 | by any company or its subcontractors or subsidiaries— |
| 23 | (1) over which control is exercised or exer- |
| 24 | cisable by the Government of the People's Republic |
| 25 | of China a national of the People's Republic of |

| 1 | China, or an entity organized under the laws of the |
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| 2 | People's Republic of China; or |
| 3 | (2) in which the Government of the People's |
| 4 | Republic of China has a substantial interest. |
| 5 | SEC. 4. NATIONAL SECURITY CONSIDERATIONS THROUGH |
| 6 | LICENSURE. |
| 7 | Section 353 of the Public Health Service Act (42 |
| 8 | U.S.C. 263a) is amended— |
| 9 | (1) by redesignating subsection (q) as sub- |
| 10 | section (r); and |
| 11 | (2) by inserting after subsection (p) the fol- |
| 12 | lowing: |
| 13 | "(q) Ties to the People's Republic of China.— |
| 14 | "(1) In general.—Each certificate issued by |
| 15 | the Secretary under this section shall state wheth- |
| 16 | er— |
| 17 | "(A) the laboratory; |
| 18 | "(B) the company that owns or manages |
| 19 | the laboratory; or |
| 20 | "(C) any subcontractors or subsidiaries of |
| 21 | such a laboratory or company, |
| 22 | is an entity described in paragraph (2). |
| 23 | "(2) Entity described.—An entity described |
| 24 | in this paragraph is an entity— |

| 1 | "(A)(i) that is engaged in the biological, |
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| 2 | microbiological, serological, chemical, immuno- |
| 3 | hematological, hematological, biophysical, |
| 4 | cytological, pathological, or other examination |
| 5 | of materials derived from the human body for |
| 6 | the purpose of providing information for the di- |
| 7 | agnosis, prevention, or treatment of any disease |
| 8 | or impairment of, or the assessment of the |
| 9 | health of, people of the United States; or |
| 10 | "(ii) that handles or has access to any |
| 11 | data related to people of the United States that |
| 12 | is derived from any activity described in clause |
| 13 | (i); and |
| 14 | "(B)(i) over which control is exercised or |
| 15 | exercisable by the Government of the People's |
| 16 | Republic of China, a national of the People's |
| 17 | Republic of China, or an entity organized under |
| 18 | the laws of the People's Republic of China; or |
| 19 | "(ii) in which the Government of the Peo- |
| 20 | ple's Republic of China has a substantial inter- |
| 21 | est.". |
| 22 | SEC. 5. NIH GRANTEE TIES TO FOREIGN GOVERNMENTS. |
| 23 | Title IV of the Public Health Service Act is amended |
| 24 | by incerting often section 402C (42 II CC 282e 2) the |

24 by inserting after section 403C (42 U.S.C. 283a–2) the 25 following:

| 1 | "SEC. 403C-1. ANNUAL REPORTING REGARDING GRANTEE |
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| 2 | TIES TO FOREIGN GOVERNMENTS. |
| 3 | "(a) In General.—On an annual basis, the Director |
| 4 | of NIH shall submit to the Committee on Health, Edu- |
| 5 | cation, Labor, and Pensions, the Committee on Foreign |
| 6 | Relations, and the Select Committee on Intelligence of the |
| 7 | Senate, and to the Committee on Energy and Commerce, |
| 8 | the Committee on Foreign Affairs, and the Permanent Se- |
| 9 | lect Committee on Intelligence of the House of Represent- |
| 10 | atives, a report on any ties to foreign governments that |
| 11 | researchers funded by grants from the National Institutes |
| 12 | of Health have and that are not properly disclosed, vetted, |
| 13 | and approved by the National Institutes of Health, includ- |
| 14 | ing the status of any ongoing National Institutes of |
| 15 | Health compliance reviews related to such ties and all ad- |
| 16 | ministrative actions taken to address such concerns. |
| 17 | "(b) REQUIREMENT.—The Committees receiving the |
| 18 | reports under subsection (a) shall keep confidential, and |
| 19 | shall not release, any provision of such a report that is |
| 20 | related to an ongoing National Institutes of Health com- |
| 21 | pliance review.". |
| 22 | SEC. 6. NATIONAL SECURITY CONSIDERATIONS IN RE- |
| 23 | SEARCH. |
| 24 | (a) Establishment of Working Group.—Not |
| 25 | later than 120 days after the date of enactment of this |
| 26 | Act, the Secretary of Health and Human Services (re- |

| 1 | ferred to in this section as the "Secretary") shall establish |
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| 2 | a working group (in this Act referred to as the "Working |
| 3 | Group") in the Department of Health and Human Serv- |
| 4 | ices to make recommended updates to the National Insti- |
| 5 | tute of Health's Genomic Data Sharing Policy and to that |
| 6 | end, develop and disseminate best practices on data shar- |
| 7 | ing for use by entities engaged in biomedical research and |
| 8 | international collaboration to enable both academic, pub- |
| 9 | lic, and private institutions to— |
| 10 | (1) protect intellectual property; |
| 11 | (2) weigh the national security risks of poten- |
| 12 | tial partnerships where sensitive health information |
| 13 | (for purposes of this Act, as defined by the Health |
| 14 | IT Policy Committee), of the people of the United |
| 15 | States is exchanged; and |
| 16 | (3) protect the sensitive health information of |
| 17 | the people of the United States. |
| 18 | (b) Membership.— |
| 19 | (1) Composition.—The Secretary shall, after |
| 20 | consultation with the Director of the National |
| 21 | Science Foundation and the Attorney General, ap- |
| 22 | point to the Working Group— |
| 23 | (A) individuals with knowledge and exper- |
| 24 | tise in data privacy or security, data-sharing |
| 25 | national security, or the uses of genomic tech- |

| 1 | nology and information in clinical or non-clin- |
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| 2 | ical research; |
| 3 | (B) representatives of national associations |
| 4 | representing biomedical research institutions |
| 5 | and academic societies; |
| 6 | (C) representatives of at least 2 major |
| 7 | genomics research organizations from the pri- |
| 8 | vate sector; and |
| 9 | (D) representatives of any other entities |
| 10 | the Secretary determines appropriate and nec- |
| 11 | essary to develop the best practices described in |
| 12 | subsection (a). |
| 13 | (2) Representation.—In addition to the |
| 14 | members described in paragraph (1), the Working |
| 15 | Group shall include not less than one representative |
| 16 | of each of the following: |
| 17 | (A) The National Institutes of Health. |
| 18 | (B) The Bureau of Industry and Security |
| 19 | of the Department of Commerce. |
| 20 | (C) The National Academies of Science, |
| 21 | Engineering, and Medicine. |
| 22 | (D) The Department of State. |
| 23 | (E) The Department of Justice. |
| 24 | (F) The Federal Health IT Coordinating |
| 25 | Council. |

| 1 | (G) The Office of the National Coordinator |
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| 2 | for Health Information Technology. |
| 3 | (H) The Defense Advanced Research |
| 4 | Projects Agency. |
| 5 | (I) The Department of Energy. |
| 6 | (3) Date.—The appointments of the members |
| 7 | of the Working Group shall be made not later than |
| 8 | 90 days after the date of enactment of this Act. |
| 9 | (c) Duties of Working Group.— |
| 10 | (1) Study.—The Working Group shall study— |
| 11 | (A) the transfer of data between private, |
| 12 | public, and academic institutions that partake |
| 13 | in science and technology research and their re- |
| 14 | search partners, with a focus on entities of the |
| 15 | People's Republic of China and other foreign |
| 16 | entities of concern, including a review of what |
| 17 | circumstances would constitute a transfer of |
| 18 | data; |
| 19 | (B) best practices regarding data protec- |
| 20 | tion to help private, public, and academic insti- |
| 21 | tutions that partake in biomedical research de- |
| 22 | cide how to weigh and factor national security |
| 23 | into their partnership decisions and, through |
| 24 | research collaborations, what steps the institu- |

tions can take to safeguard data, particularly
genomic data;

- (C) recommendations regarding areas where Federal agencies can coordinate to increase education to such private and academic research institutions that partake in science and technology research to ensure the institutions can better protect themselves from economic threats with a strengthened understanding of intellectual property rights, research ethics, and the risk of intellectual property theft, as well as education on how to recognize and report such threats; and
- (D) other risks and best practices related to information and data sharing, as identified by the Working Group, including any gaps in current practice that could be addressed by congressional action.

(2) Report.—

(A) IN GENERAL.—Not later than 1 year after the date of enactment of this Act, the Working Group shall submit a report that contains a detailed statement of the findings and conclusions of the Working Group, together with recommendations to update the National

| 1 | Institute of Health's Genomic Data Sharing |
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| 2 | Policy and subsequent nonbinding guidance re- |
| 3 | garding risks and safeguards for data sharing |
| 4 | with foreign entities for research institutions in |
| 5 | the field, to— |
| 6 | (i) the Secretary of Health and |
| 7 | Human Services; |
| 8 | (ii) the President; |
| 9 | (iii) the Committee on Health, Edu- |
| 10 | cation, Labor, and Pensions, the Com- |
| l 1 | mittee on Foreign Relations, and the Se- |
| 12 | lect Committee on Intelligence of the Sen- |
| 13 | ate; and |
| 14 | (iv) the Committee on Energy and |
| 15 | Commerce, the Committee on Foreign Af- |
| 16 | fairs, and the Permanent Select Committee |
| 17 | on Intelligence of the House of Represent- |
| 18 | atives. |
| 19 | (B) GUIDANCE.—The guidance provided |
| 20 | under subparagraph (A) shall include non-bind- |
| 21 | ing guidance for entities that utilize genomic |
| 22 | technologies, such as whole genomic sequencing, |
| 23 | for use in research or other types of sensitive |
| 24 | health information, as defined by the Secretary. |

(3) Requirements.—In carrying out the duties of this subsection, the Working Group shall consider all existing Federal guidance and grant requirements (as of the date of consideration), particularly with regard to foreign influences and research integrity, and ensure that all recommended updates to the Genomic Data Sharing Policy and subsequent best practices put forward by the working group not duplicate or conflict with existing guidance, as of the date of publication.

(d) Powers of Working Group.—

- (1) Hearings.—The Working Group may hold such hearings, sit and act at such times and places, take such testimony, and receive such evidence as the Working Group considers advisable to carry out this Act.
 - (2) Information from federal agencies.—
 - (A) IN GENERAL.—The Working Group may secure directly from a Federal department or agency such information as the Working Group considers necessary to carry out this Act.
 - (B) FURNISHING INFORMATION.—On request of a majority of the members of the Working Group, the head of the department or

- agency shall furnish the information to the
 Working Group.
- 3 (3) Postal services.—The Working Group
 4 may use the United States mails in the same man5 ner and under the same conditions as other depart6 ments and agencies of the Federal Government.
- 7 (e) TERMINATION OF WORKING GROUP.—The Work-8 ing Group shall terminate 90 days after the date on which 9 the Working Group submits the report required under 10 subsection (c)(2).

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