# Convolutional Neural Networks for Sentence Classification

**EMNLP 2014** 

Yoon Kim New York University



### Paper

Convolutional Neural Network for Sentence Classification

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Idea: **문장 분류(Sentence classification)**문제에 1) word vector와 아주 간단한 2) CNN을 이용하여 전통적/복잡한 모델 수준의 성능을 보인 모델

https://arxiv.org/pdf/1408.5882.pdf

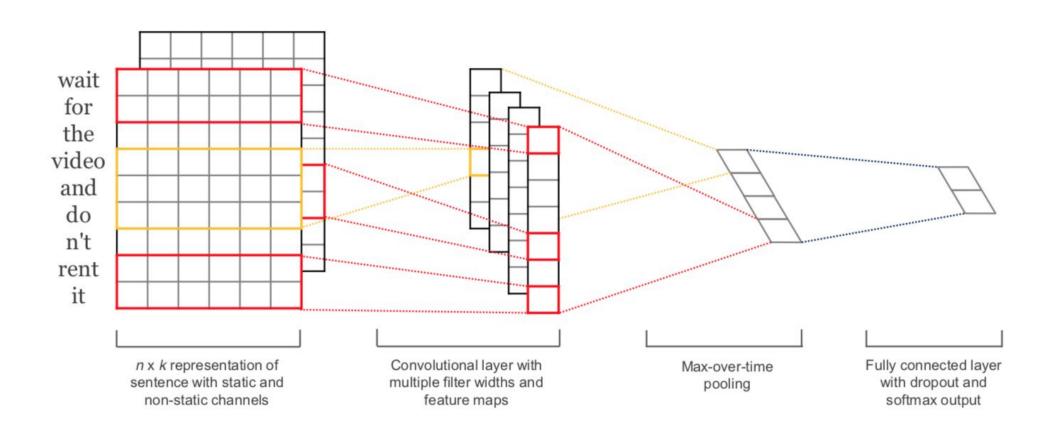


Figure 1: Model architecture with two channels for an example sentence.

## Abstract

Word vector와 CNN을 활용한 문장 분류

이미 트레이닝된 word vector를 활용 Word2vec를 활용하여 단어를 vector화 함

Simple한 CNN 구조 사용 3가지 filter를 가진 단순한 Convolutional Layer

높은 정확도 7개의 벤치마크 중 4곳에서 가장 높은 정확도

# 문장 분류(Sentence Classification)

감정 분류(Sentiment Analysis)

예시)

이번 아이폰의 카메라 성능은 정말 좋은 것 같아 – 긍정이 레스토랑의 음식은 정말 실망스러웠어 – 부정

주제 분류

예시)

유승민의 자신감, 19대 대선 예비후보 등록 – 정치 손흥민 없는 슈틸리케호, 중국전 공격 조합은? – 스포츠

## 어떻게 단어를 계산할까?

Word Representations (Embedding)

사전을 만들어서 ID를 부여하자 간단하고 적용하기 쉬움 단어들과의 관계를 나타내지 못함 (예, 개=ID143, 고양이=ID537) 모든 단어가 다르기 때문에, 학습시키기 위해서는 굉장히 많은 데이터들이 필요

각 단어마다 Vector 값을 부여하자 단어들의 특징을 표현할 수 있도록 수치로 된 값 부여 (예, 개=[2,6,3,1,4])

- 단어간의 관계 표현 가능
- 단어를 discrete한 symbol이 아닌 vector로 표현가능
- sparse vector (1-hot) -> 저차원의 dense vector로 매핑

## 어떻게 단어에 Vector값을 줄까?

Word2Vec

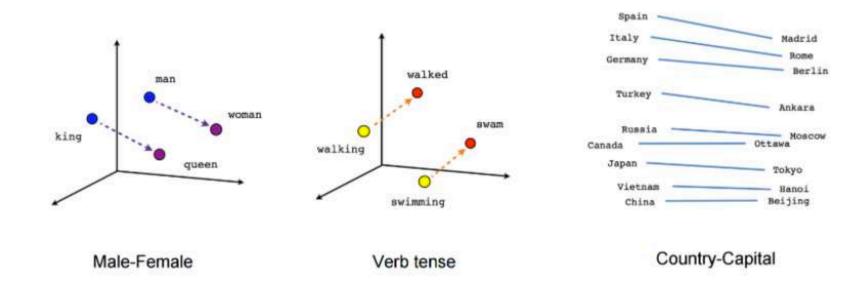
문장에서 나오는 단어들의 위치로 학습시키자!

the quick brown fox jumped over the lazy dog ([the, brown], quick), ([quick, fox], brown), ([brown, jumped], fox)

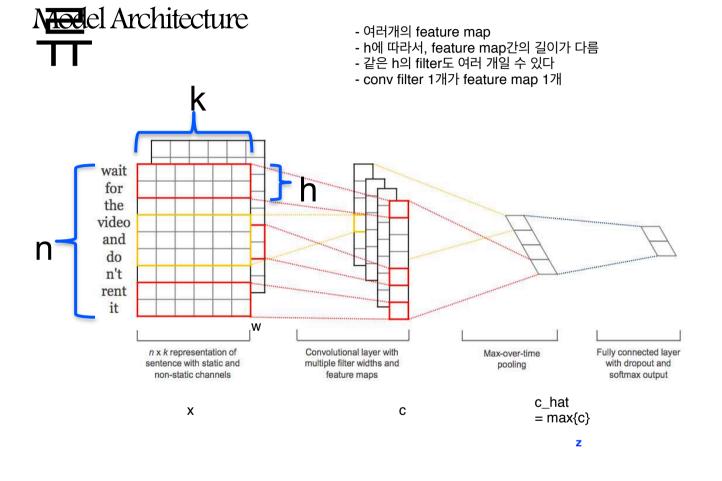
# 어떻게 단어에 Vector값을 줄까?

Word2Vec

그랬더니 특정 방향들이 의미를 담고 있었어!



# CNN과 Word Vector를 이용한 문장 분



$$\mathbf{x}_{1:n} = \mathbf{x}_1 \oplus \mathbf{x}_2 \oplus \ldots \oplus \mathbf{x}_n, \tag{1}$$

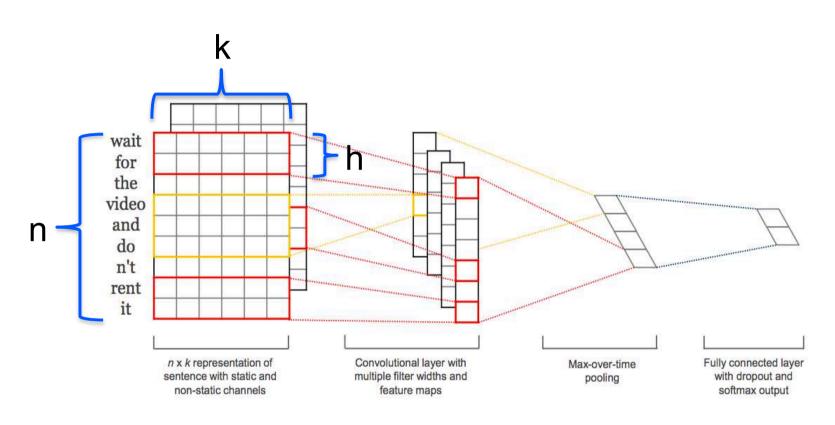
$$c_i = f(\mathbf{w} \cdot \mathbf{x}_{i:i+h-1} + b). \tag{2}$$

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}],$$
 (3)  
y = w · z + b (4)  
대신에

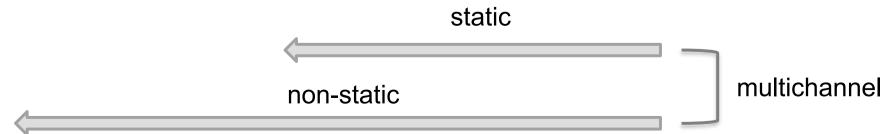
 $y = w \cdot (z \circ r) + b,$  (5) r: a 'masking' vector of Bernoulli random variables

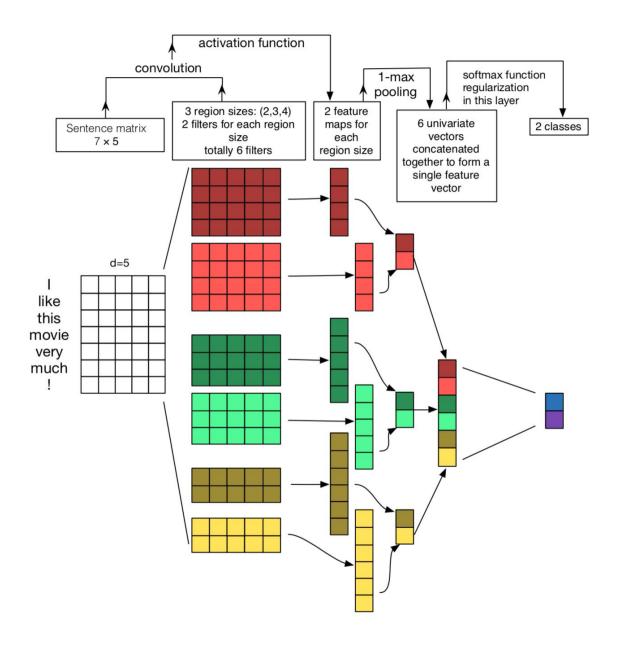
n : 문장에 나오는 단어의 갯수 **K** : Word Vector의 차원 이즈 **h** : 필터 윈도우 사

## Static, Non-static, Multichannel



#### **Back Propagation**

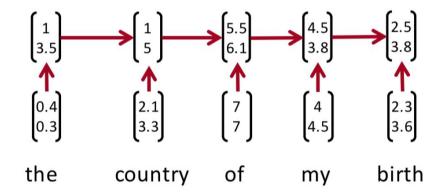




https://arxiv.org/pdf/1510.03820.pdf

#### From RNNs to CNNs

- Recurrent neural nets cannot capture phrases without prefix context
- Often capture too much of last words in final vector



Softmax is often only at the last step

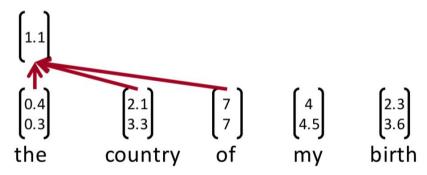
#### From RNNs to CNNs

- Main CNN idea:
- What if we compute vectors for every possible phrase?
- Example: "the country of my birth" computes vectors for:
  - the country, country of, of my, my birth, the country of, country of my, of my birth, the country of my, country of my birth
- Regardless of whether phrase is grammatical
- Not very linguistically or cognitively plausible
- Ther group them afterwards (more soon)

http://web.stanford.edu/class/cs224n/archive/WWW\_1617/index.html lecture13

#### **Single Layer CNN**

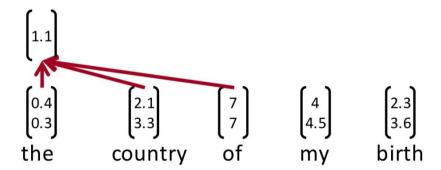
- A simple variant using one convolutional layer and pooling
- Based on Collobert and Weston (2011) and Kim (2014)
  "Convolutional Neural Networks for Sentence Classification"
- Word vectors:  $\mathbf{x}_i \in \mathbb{R}^k$
- Sentence:  $\mathbf{x}_{1:n} = \mathbf{x}_1 \oplus \mathbf{x}_2 \oplus \ldots \oplus \mathbf{x}_n$  (vectors concatenated)
- Concatenation of words in range:  $\mathbf{x}_{i:i+j}$
- Convolutional filter:  $\mathbf{w} \in \mathbb{R}^{hk}$  (goes over window of h words)
- Could be 2 (as before) higher, e.g. 3:



### **Single layer CNN**

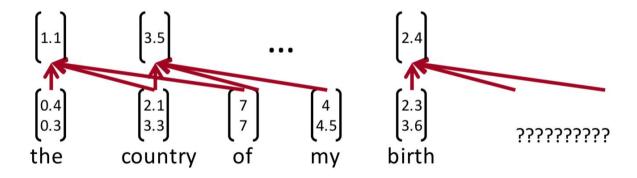
- Convolutional filter:  $\mathbf{w} \in \mathbb{R}^{hk}$  (goes over window of h words)
- Note, filter is vector!
- Window size h could be 2 (as before) or higher, e.g. 3:
- To compute feature for CNN layer:

$$c_i = f(\mathbf{w}^T \mathbf{x}_{i:i+h-1} + b)$$



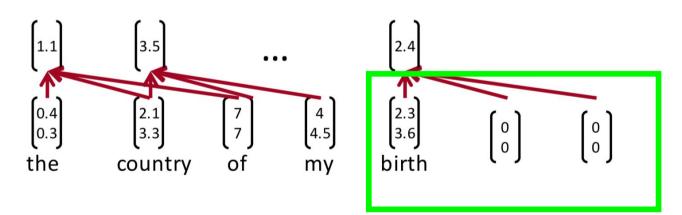
### **Single layer CNN**

- Filter w is applied to all possible windows (concatenated vectors)
- Sentence:  $\mathbf{x}_{1:n} = \mathbf{x}_1 \oplus \mathbf{x}_2 \oplus \ldots \oplus \mathbf{x}_n$
- All possible windows of length h:  $\{\mathbf{x}_{1:h}, \mathbf{x}_{2:h+1}, \dots, \mathbf{x}_{n-h+1:n}\}$
- Result is a feature map:  $\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$



### **Single layer CNN**

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문장의 길이가 다르므로 zero-padding

### Single layer CNN: Pooling layer

- New building block: Pooling
- In particular: max-over-time pooling layer
- Idea: capture most important activation (maximum over time)
- From feature map  $\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$
- Pooled single number:  $\hat{c} = \max\{\mathbf{c}\}$
- But we want more features!

#### **Solution: Multiple filters**

- Use multiple filter weights w
- Useful to have different window sizes h
- Because of max pooling  $\hat{c} = \max\{\mathbf{c}\}$ , length of **c** irrelevant

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

• So we can have some filters that look at unigrams, bigrams, trigrams, 4-grams, etc.

#### Multi-channel idea

- Initialize with pre-trained word vectors (word2vec or Glove)
- Start with two copies
- Backprop into only one set, keep other "static"
- Both channels are added to c<sub>i</sub> before max-pooling

#### **Classification after one CNN layer**

- First one convolution, followed by one max-pooling
- To obtain final feature vector:  $\mathbf{z} = [\hat{c}_1, \dots, \hat{c}_m]$  (assuming m filters w)
- Simple final softmax layer  $y = softmax\left(W^{(S)}z + b\right)$

## Static vs. Non-static

	Most Similar Words for					
	Static Channel	Non-static Channel				
bad	good	terrible				
	terrible	horrible				
	horrible	lousy				
	lousy	stupid				
good	great	nice				
	bad	decent				
	terrific	solid				
	decent	terrific				
n't	os	not				
	ca	never				
	ireland	nothing				
	wo	neither				
1	2,500	2,500				
	entire	lush				
	jez	beautiful				
	changer	terrific				
,	decasia	but				
	abysmally	dragon				
	demise	a				
	valiant	and				

Non-static으로 학습시키니 word vector가 의미를 더 잘 이해하게 되었

군!

# CNN과 Word Vector를 이용한 문장 분



Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-rand	76.1	45.0	82.7	89.6	91.2	79.8	83.4
CNN-static	81.0	45.5	86.8	93.0	92.8	84.7	89.6
CNN-non-static	81.5	48.0	87.2	93.4	93.6	84.3	89.5
CNN-multichannel	81.1	47.4	88.1	93.2	92.2	85.0	89.4
RAE (Socher et al., 2011)	77.7	43.2	82.4			_	86.4
MV-RNN (Socher et al., 2012)	79.0	44.4	82.9	_	7 <del></del>	<u> </u>	_
RNTN (Socher et al., 2013)	-	45.7	85.4		10 <del></del>	<del></del>	8 <del></del> 8
DCNN (Kalchbrenner et al., 2014)	=	48.5	86.8	=	93.0	=	_
Paragraph-Vec (Le and Mikolov, 2014)	<del></del> -	48.7	87.8	<del></del> :	10 <del></del>	<del></del>	8 <b>—</b> 8
CCAE (Hermann and Blunsom, 2013)	77.8	<del></del>	_	=		-	87.2
Sent-Parser (Dong et al., 2014)	79.5		_	-	10 <del></del>	( <del>2</del>	86.3
NBSVM (Wang and Manning, 2012)	79.4		_	93.2		81.8	86.3
MNB (Wang and Manning, 2012)	79.0	-	_	93.6	r <del></del>	80.0	86.3
G-Dropout (Wang and Manning, 2013)	79.0	=	_	93.4	\$ <del></del>	82.1	86.1
F-Dropout (Wang and Manning, 2013)	79.1	_		93.6	: <u></u>	81.9	86.3
Tree-CRF (Nakagawa et al., 2010)	77.3	=	_	<del></del>	=	81.4	86.1
CRF-PR (Yang and Cardie, 2014)	_	_	_	_	: <u></u>	82.7	():
SVM <sub>S</sub> (Silva et al., 2011)		=	_	-	95.0	1.75	N

#### PPT/References from

https://www.slideshare.net/keunbongkwak/convolutional-neural-networks-for-sentence-classification - https://www.youtube.com/watch?v=mPxi1YgU9Zw

http://web.stanford.edu/class/cs224n/lectures/lecture12.pdf

- https://www.youtube.com/watch?v=\_0bOjspRG6s
- https://www.youtube.com/watch?v=Lg6MZw\_OOLI&list=PL3FW7Lu3i5Jsnh1rnUwq\_TcylNr7EkRe6 &index=14

http://docs.likejazz.com/cnn-text-classification-tf/

https://arxiv.org/pdf/1408.5882.pdf https://arxiv.org/pdf/1510.03820.pdf