

- ◆ **Greedy algorithms**
  - **General method**
  - ✓ **Examples**
  - ✓ **Control abstraction**
  - **Fractional Knapsack Problem**

# General method

- A greedy algorithm refers to any algorithm that follows the problem solving heuristic of making the locally optimal choice at each stage.
- It is a mathematical process which looks for simple, easy-to-implement solutions in stages.
- The decision is made to provide the most obvious benefit.
- In many problems, a greedy strategy does not usually produce an optimal solution
- But may still yield locally optimal solutions that approximate a globally optimal solution quickly.

## Subset paradigm

- Given  $n$  inputs choose a subset that satisfies some constraints.
- A subset that satisfies constraints is called a feasible solution.
- A feasible solution that maximises or minimises a given (objective) function is said to be optimal.
- Often it is easy to find a feasible solution but difficult to find the optimal solution.
- The greedy method suggests that one can devise an algorithm that works in stage. At each stage a decision is made whether a particular is in the optimal solution.
- This is called subset paradigm.

# Examples --- Change problem

- A child buys candy valued at less than £1 and gives a £1 bill to the cashier.
- The cashier wishes to return change using the fewest number of coins.
- The cashier constructs the change in stages. At each stage increase the total amount of change as much as possible.
- The added coin should not cause the total amount of change given so far to exceed the final desired amount (feasibility).
- Suppose that 67 pence is due to the child. The first coin selected are 50 pence.
- The second coin cannot be a 50p or 20p as not feasible.
- The second is 10 pence, then a 5 pence, and finally two pence are added to the change.

# Examples --- Knapsack problem

- Your train breaks down in a desert and you decide to walk to nearest town.
- You have a rucksack but which objects should you take with you ?
- Feasible: Any set of objects is a feasible solution provided that they are not too heavy, fit in the rucksack and will help you survive (these are constraints).
- An optimal solution is the one that maximises or minimises something
  - One that minimises the weight carried
  - One that fills the rucksack completely (maximise)
  - One that ensures the most water is taken etc

## Other examples:

- You want to work out the best way to route a phone message through a mobile phone network.
- A number of users want to run programmes on a computer. How do you schedule them so they are executed as quickly as possible.
- A factory use a production line to make several products. How should you schedule the production runs to make the most profit.
- You run a haulage company and want to workout how to deliver all your products to a set of outlets with the least cost and time.
- You run an airline and want to work out how best to turn the plane around on landing and get it flying again.

# Control abstraction for Greedy Algorithm

```
Algorithm Greedy (A:set; n:integer){  
  MakeEmpty(solution);  
  for(i= 2;i <=n;i+ +){  
    x=Select(A);  
    if Feasible(solution, x) then  
      solution=Union(solution;{x})  
    }  
    return solution  
  }
```

- The function *Greedy* describes the essential way that a greedy algorithm will look, once a particular problem is chosen functions **Select**, **Feasible**, and **Union** are properly implemented.

- The function *Select* selects an input from *A* whose value is assign to *x*.
- *Feasible* is a Boolean-valued function that determines if *x* can be included into the solution vector.
- The function *Union* combines *x* with the solution, and update the objective function.

```

Algorithm Greedy (A:set; n:integer){
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        }
    }
    return solution
}

```



**Kruskal MST**

**Fractional Knapsack**

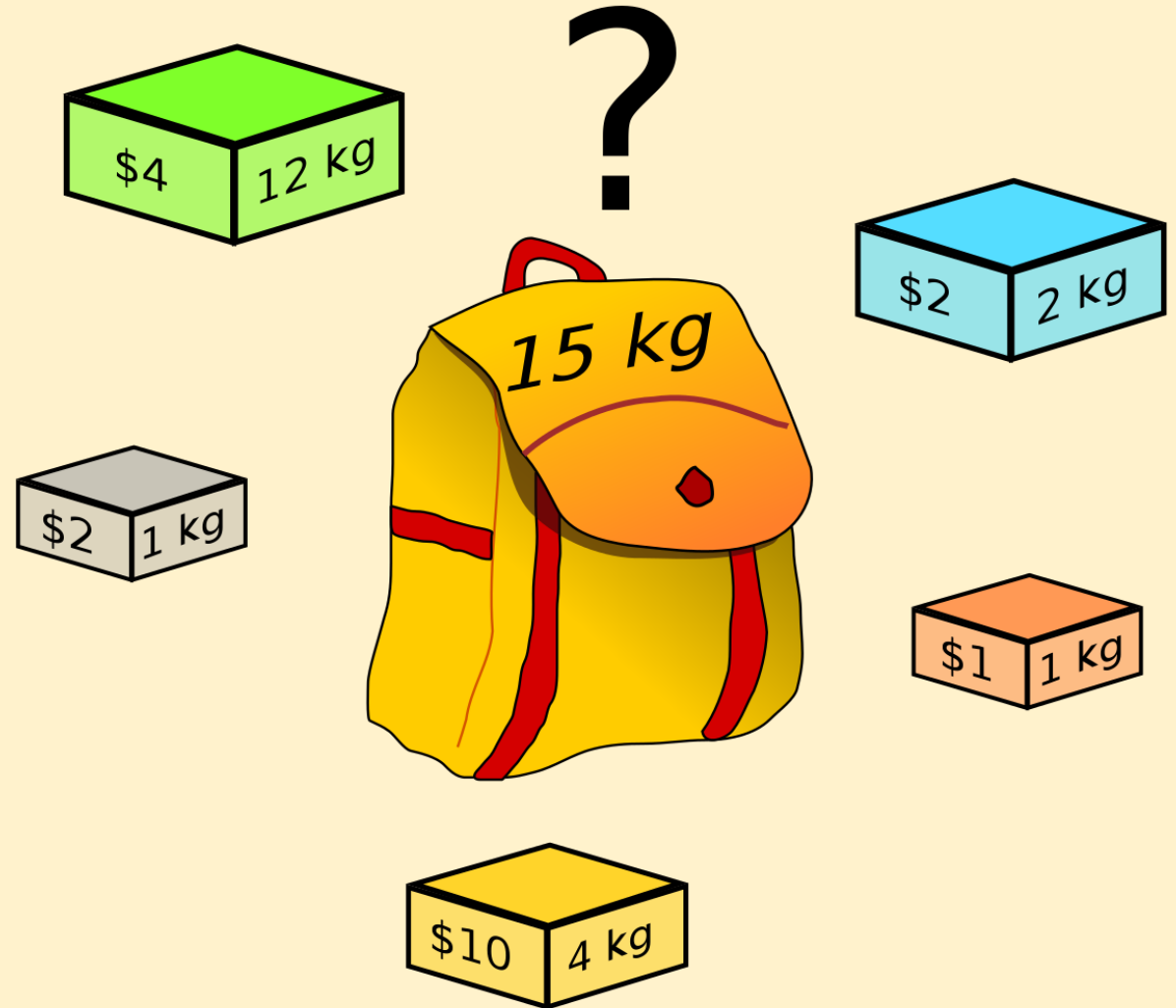
# **Greedy Algorithms**

**Prim MST**

**Dijkstra shortest path**

# Fractional Knapsack Problem

- Given  $n$  objects and a knapsack (or rucksack) with a capacity (weight)  $M$
- Each object  $i$  has weight  $w_i$ , and profit  $p_i$
- For each object  $i$ , suppose a fraction  $x_i$ ,  $0 < x_i \leq 1$  (i.e. 1 is the maximum amount) can be placed in the knapsack, then the profit earned is  $p_i x_i$



- Objective is to maximize profit subject to capacity constraint.  
i.e. Maximize

$$\sum_{i=1}^n p_i x_i \quad (1)$$

- Subject to

$$\sum_{i=1}^n w_i x_i \leq M \quad (2)$$

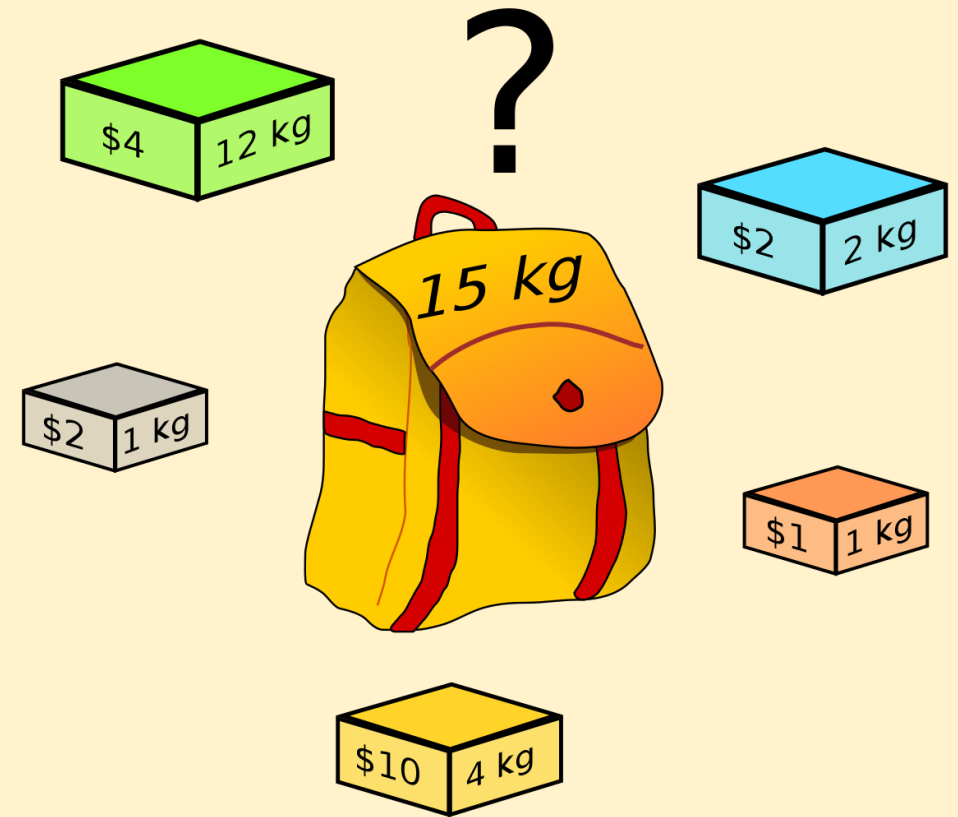
$$0 \leq x_i \leq 1 \quad (3)$$

$$p_i > 0$$

$$w_i > 0$$

- A feasible solution is any subset  $\{x_1 \cdots \cdots x_n\}$  satisfying (2) and (3).
- An optimal solution is a feasible solution that maximize (1)

- Knapsack problems appear in real-world decision-making processes in a wide variety of fields, such as
- finding the least wasteful way to cut raw materials
  - selection of investments and portfolios,
  - resources allocation, etc.



Example Let  $n = 3$ ;  $M = 20$

$(p_1, p_2, p_3) = (25, 24, 15)$

$(w_1, w_2, w_3) = (18, 15, 10)$

Feasible Solutions

|  | $(x_1, x_2, x_3)$ | $\sum_{i=1}^n w_i x_i$ | $\sum_{i=1}^n p_i x_i$ |
|--|-------------------|------------------------|------------------------|
|  |                   |                        |                        |
|  |                   |                        |                        |
|  |                   |                        |                        |

## Strategy 1 : maximise objective function

$$n = 3; M = 20$$

$$(p_1, p_2, p_3) = (25, 24, 15)$$

$$(w_1, w_2, w_3) = (18, 15, 10)$$

$$\sum_{i=1}^n p_i x_i = 25 \times 1 + 24 \times \frac{2}{15} + 0 = 28.5$$

$$\sum_{i=1}^n w_i x_i = 18 \times 1 + 15 \times \frac{2}{15} + 0 = 20$$

- Capacity was quickly exhausted which constrained the profit attained

- Put the object with the greatest profit in the knapsack.

- Then use a fraction of the last object to fill the knapsack to capacity.

- Strategy does not yield an optimal solution.

## Strategy 2 : maximise capacity

$$n = 3; M = 20$$

$$(p_1, p_2, p_3) = (25, 24, 15)$$

$$(w_1, w_2, w_3) = (18, 15, 10)$$

$$\sum_{i=1}^n p_i x_i = 0 + 24 \times \frac{2}{3} + 15 \times 1 = 31$$

$$\sum_{i=1}^n w_i x_i = 0 + 15 \times \frac{2}{3} + 10 \times 1 = 20$$

- Rate of increase of profit was not high enough

- Choose objects according to least weight.
- The idea is that we will get more objects into the knapsack and potentially more profit.
- Solution is still not optimal.

### Strategy 3 : balancing profit and capacity

$$n = 3; M = 20$$

$$(p_1, p_2, p_3) = (25, 24, 15)$$

$$(w_1, w_2, w_3) = (18, 15, 10)$$

$$\sum_{i=1}^n p_i x_i = 0 + 24 \times 1 + 15 \times \frac{1}{2} = 31.5$$

$$\sum_{i=1}^n w_i x_i = 0 + 15 \times 1 + 10 \times \frac{1}{2} = 20$$

- Achieves a balance between rate at which profit increases with the rate at which the capacity is used.

- Find the object to include by maximum profit per unit of capacity. i.e compute  $\frac{P_i}{w_i}$ .

- Then choose objects starting with the largest and working to smallest ratio.

- Solution is optimal!



- *Input: the objects are in increasing order so that  $\frac{P[i]}{w[i]} > \frac{P[i+1]}{w[i+1]}$*
- *Output: optimal solution vector  $x$*
- *causes over flow*
- *greedy choice*
- *Choose a fraction*

*Algorithm Knapsack( $P, W, x$ :arrayvals;  $M; n$ :int)*

*for( $i = 1; i \leq n; i++$ )  $x[i] = 0$ ;  
 $capacity = M$ ;*

*for( $i = 1; i \leq n; i++$ ) {  
     *if  $W[i] > capacity$  then exit()*  
     *else*  
          $x[i] = 1$ ;  
          $capacity = capacity - W[i]$ ;  
     }  
     *if  $i \leq n$  then  $x[i] = capacity / W[i]$ ;*  
 }*

## ◆ **Greedy algorithms**

- **Single source shortest path problem**
- **Dijkstra's shortest path algorithm**

# Control abstraction for Greedy Algorithm

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```

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**Kruskal MST**

**Fractional Knapsack**

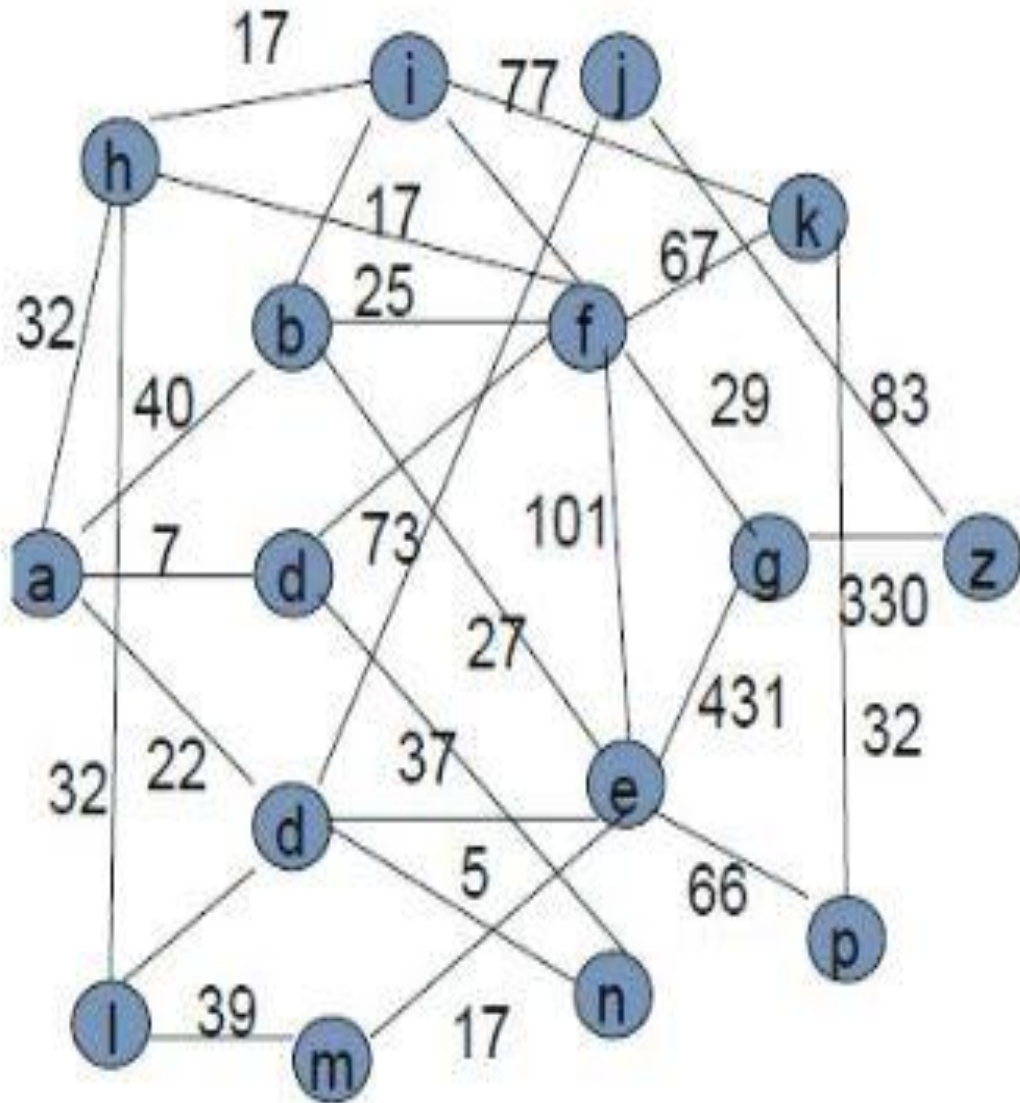
# **Greedy Algorithms**

**Prim**

**MST**

**Dijkstra shortest path**

# Single source shortest path problem



- In graph theory, the problem of finding the shortest path between two nodes on a graph is called shortest path problem.
- The graph type is weighted graph, the number attached to each edge is a weight.
- Single source shortest path solves the shortest path from a given vertex
- Given a weighted graph, find the shortest path from  $h$  to  $z$  ?

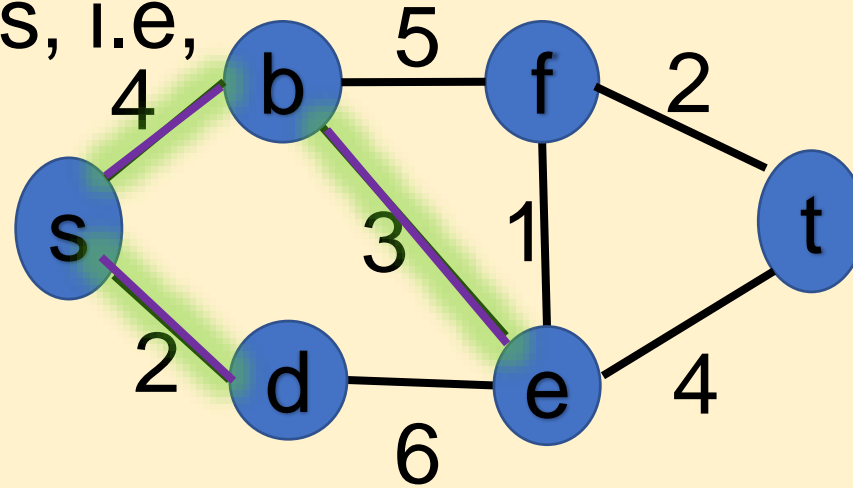


- Graphs naturally represent networks, e.g. Road/Rail/Air networks, oil pipelines, electricity grids.
- Modern day applications ( AA route planner, Sat Nav , Mobile Maps)
- Natural questions are
  - Find a route or path from city A to city B
  - Different paths with lowest cost (e. g least fuel, least distance, least travel time)
- Other applications include plant and facility layout, robotics, transportation, and VLSI design.



- Definition: if  $P = e_1 e_2 e_3 \dots e_k$  are edges connecting source ( $s$ ) to a destination ( $t$ ), the length/weight of a path is the sum of the weights of its edges, i.e.,

$$w(P) = \sum_{i=1}^k e_i$$

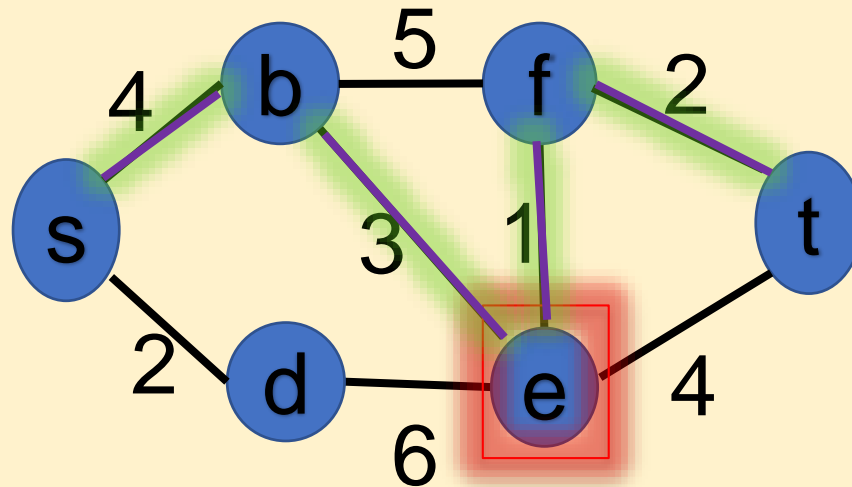


- Greedy approach: Generate the paths starting from some vertex according to increasing order of path length
- Feasible: Every sub-path to a particular vertex is a feasible solution
  - Optimal: sum of the lengths of all paths so far generated should be minimal



# Dijkstra's Shortest Path Algorithm

- This is the most important algorithms for solving the single-source shortest path problem with non-negative edge weight.

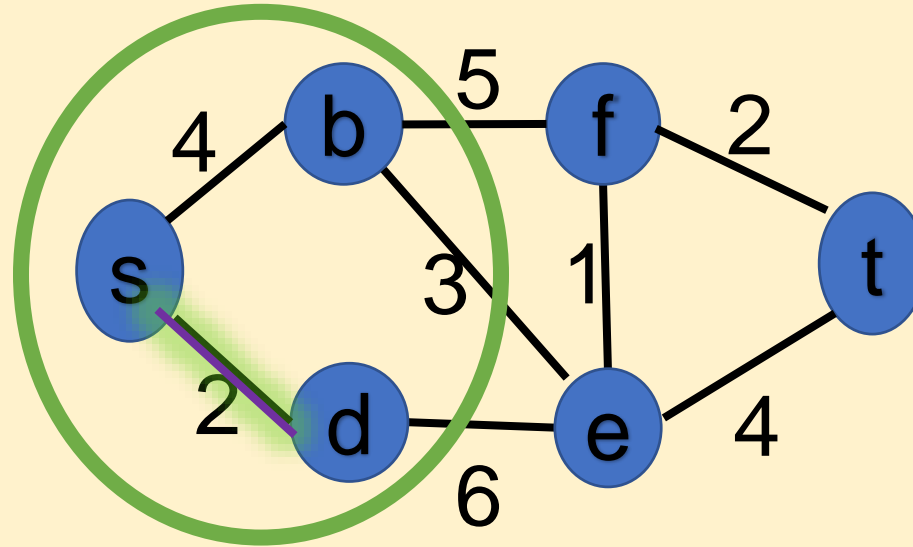


- Dijkstra's algorithm is based on the property that if a shortest path from  $s$  to  $t$  goes through vertex  $e$ 
  - then the sub-path from  $s$  to  $e$  is a **shortest path** from  $s$  to  $e$ .
  - and the sub-path from  $e$  to  $t$  is a **shortest path** from  $e$  to  $t$

# Algorithm outline

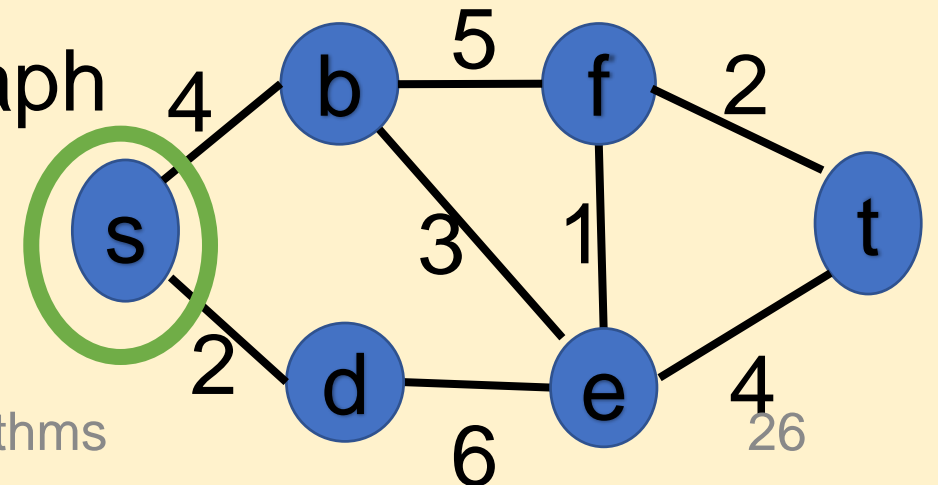
- Maintain a set  $S$  of vertices  $u$  for which a shortest path distance  $Dist(u)$  has been determined from a starting vertex  $s$ .

$$S = \{s, d, b\}$$

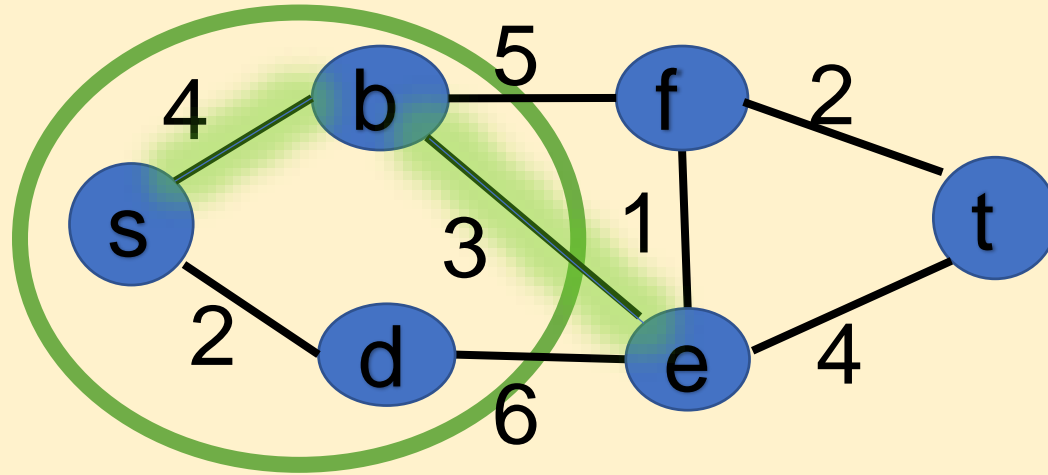
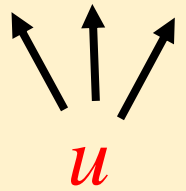


$$V - S = \{e, f, t\}$$

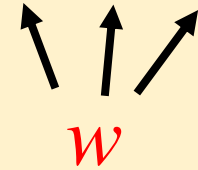
- This is the "explored" part of the graph
- Initially  $S = \{s\}$  and  $Dist(s) = 0$



$$S = \{s, d, b\}$$



$$V - S = \{e, f, t\}$$



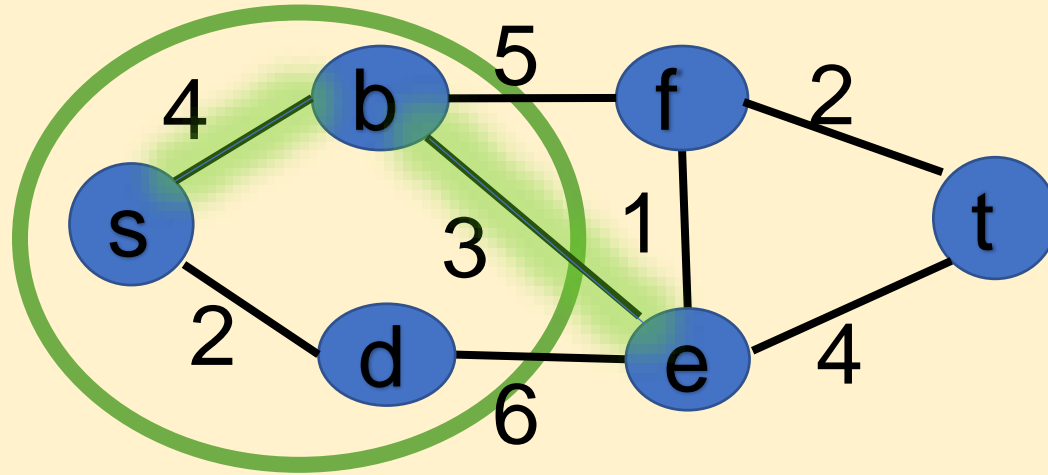
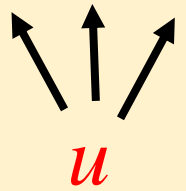
- For each vertex  $w$  in  $\{V-S\}$ , determine the shortest path starting from  $s$  travelling along path through the explored part  $S$  to some vertex  $u$  followed by an edge  $(u, w)$ .

- The destination  $w$  is such that

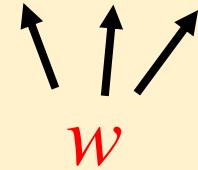
$$Dist(w) = \min_{u \in S, w \in V-S} (Dist(u) + \text{cost}(u, w))$$

- i.e. Choose the node  $w$  for which the quantity  $Dist(u) + \text{cost}(u, w)$  is minimized

$$S = \{s, d, b\}$$



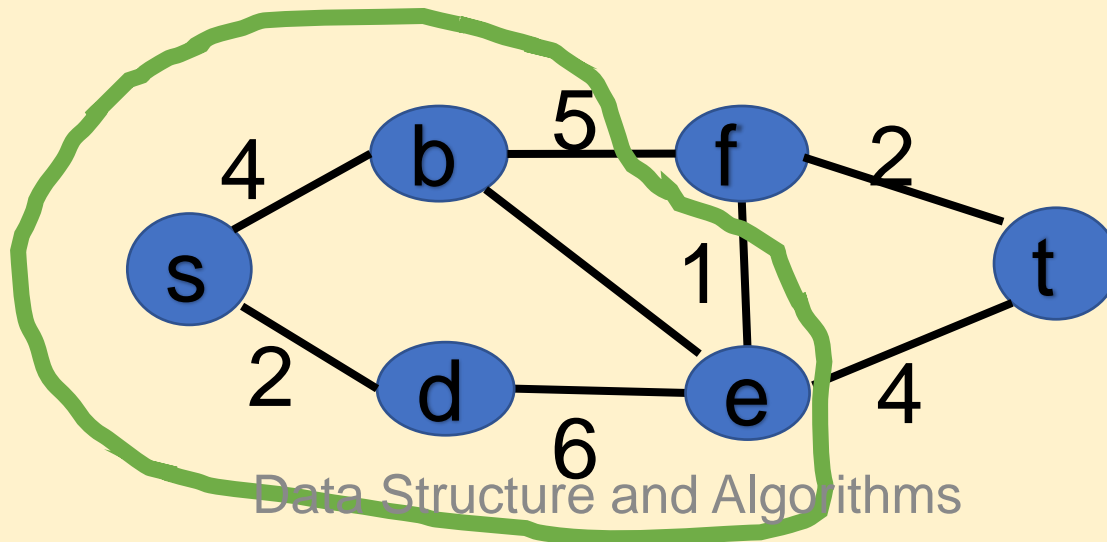
$$V - S = \{e, f, t\}$$



- Add  $w$  to set  $S$  and repeat the above procedure until the destination is reached.

$$S = \{s, d, b, e\}$$

$u$



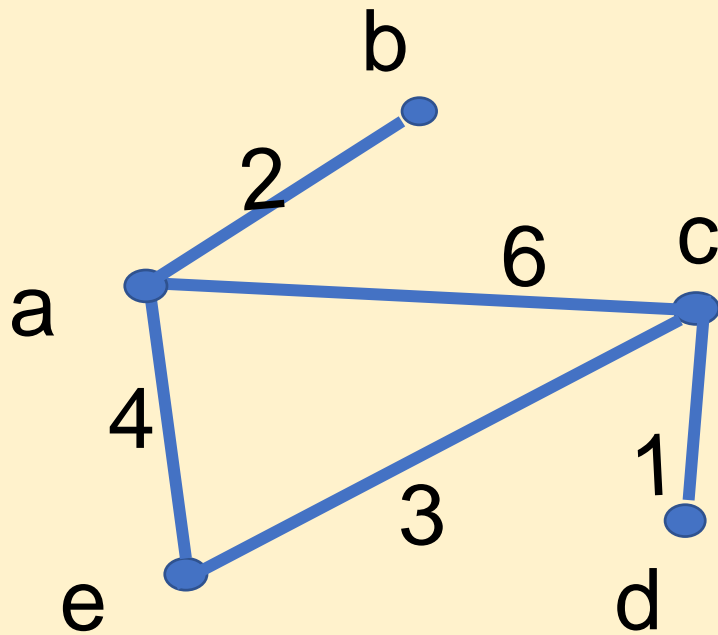
$$V - S = \{f, t\}$$

$w$

- Need also an array a shortest path distance  $Dist(u)$  has been determined from a starting vertex.
- The algorithm is greedy, with the set  $S$  increases one element at a time.
- Need an indicator array to record which node is in  $S$
- The adjacency matrix of weighted graph is used in the algorithm to represent the graph as input for problem solving.

➤ *A Recap:* Adjacency matrix for weighted graph

$$A[i, j] = \begin{cases} c & \text{if edge } \langle i, j \rangle \text{ is in } E(G) \\ \infty & \text{otherwise} \end{cases}$$



$$\begin{bmatrix} 0 & 2 & 6 & \infty & 4 \\ 2 & 0 & \infty & \infty & \infty \\ 6 & \infty & 0 & 1 & 3 \\ \infty & \infty & 1 & 0 & \infty \\ 4 & \infty & 3 & \infty & 0 \end{bmatrix}$$

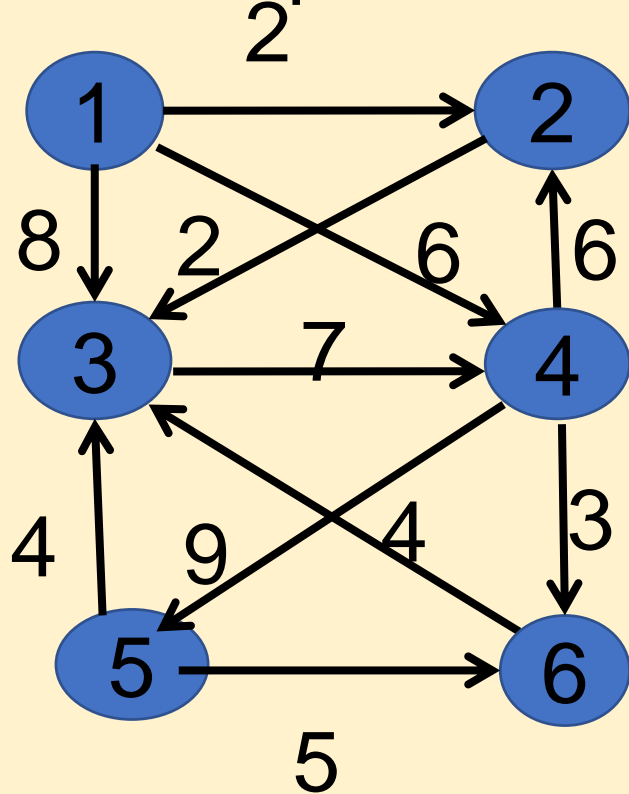
```

Algorithm ShortestPaths( $v$ :int; Cost:Matrix; Dist: Array[n];  $n$ :int) {
    for( $i = 1$ ;  $i \leq n$ ;  $i++$ )
         $S[i] = 0$ ;  $Dist[i] = Cost[v, i]$ ;
         $S[v] = 1$ ;  $Dist[v] = 0$ ;
        for( $j = 2$ ;  $j \leq n-1$ ;  $j++$ ) {
            choose  $u$  such that  $Dist[u] = \min(Dist[w])$ 
            and  $S[w] = 0$ ;
             $S[u] = 1$ ;
            for all  $w$  with  $S[w] = 0$  {
                 $Dist[w] = \min(Dist[w], Dist[u] + Cost[u, w])$  }
        }
    }

```

- $Dist$  updates the shortest path lengths to each vertex from  $v$ .
- initially no vertices are in set  $S$  and cost of shortest path is for the weight of edge  $(v, i)$
- start with vertex  $v$  put it in  $S$
- determine  $(n-1)$  paths from  $v$
- add it to  $S$
- update path lengths for vertices not in  $S$

Example



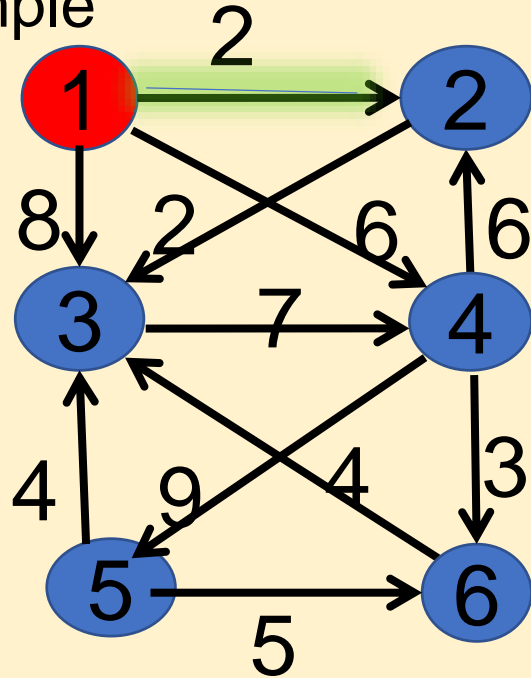
$$Cost = \begin{bmatrix} 0 & 2 & 8 & 6 & \infty & \infty \\ \infty & 0 & 2 & \infty & \infty & \infty \\ \infty & \infty & 0 & 7 & \infty & \infty \\ \infty & 6 & \infty & 0 & 9 & 3 \\ \infty & \infty & 4 & \infty & 0 & 5 \\ \infty & \infty & 4 & \infty & \infty & 0 \end{bmatrix}$$

➤ Paths from vertex 1 to vertex 6

- (1,2)(2,3)(3,4)(4,6)  $2 + 2 + 7 + 3 = 14$
- (1,3)(3,4)(4,6)  $8 + 7 + 3 = 18$
- (1,4)(4,6)  $6 + 3 = 9$



Example



Cost =

|   |   |   |   |          |          |
|---|---|---|---|----------|----------|
| 0 | 2 | 8 | 6 | $\infty$ | $\infty$ |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |
|   |   |   |   |          |          |

Initialise  $v = 1$ ,  $S[1] = 1$ ,  $Dist[1] = 0$

$S[2] = 0$ ,  $Dist[2] = 2$

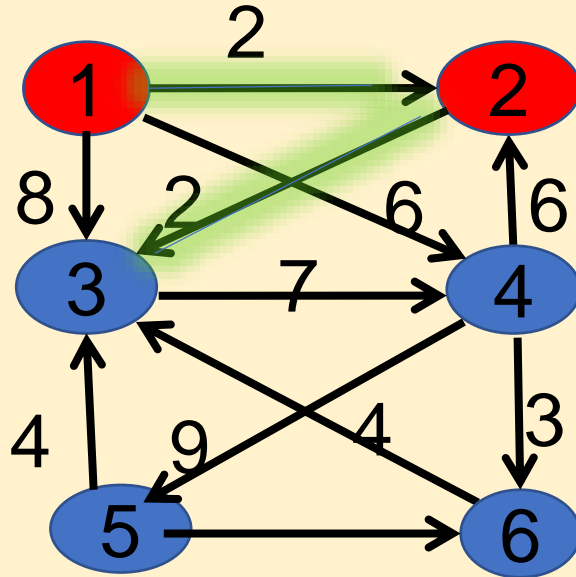
$S[3] = 0$ ;  $Dist[3] = 8$

$S[4] = 0$ ;  $Dist[4] = 6$

$S[5] = 0$ ;  $Dist[5] = \infty$

$S[6] = 0$ ;  $Dist[6] = \infty$

## Example



$Dist = [0, 2, 8, 6, \infty, \infty]$

$Cost =$

|          |   |   |          |          |          |
|----------|---|---|----------|----------|----------|
| 0        | 2 | 8 | 6        | $\infty$ | $\infty$ |
| $\infty$ | 0 | 2 | $\infty$ | $\infty$ | $\infty$ |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |
|          |   |   |          |          |          |

$j=2; u=2; S[1] = 1, Dist[1] = 0$

$S[2] = 1, Dist[2] = 2$

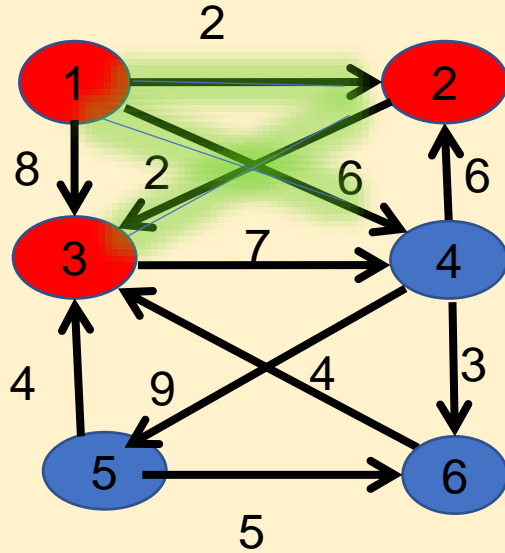
$S[3] = 0, Dist[3] = \min(8, 2 + 2) = 4$

$S[4] = 0, Dist[4] = \min(6, 2 + \infty) = 6$

$S[5] = 0, Dist[5] = \min(\infty, 2 + \infty) = \infty$

$S[6] = 0, Dist[6] = \min(\infty, 2 + \infty) = \infty$

## Example



$Dist = [0, 2, 4, 6, \infty, \infty]$

$Cost =$

|          |          |   |          |          |          |
|----------|----------|---|----------|----------|----------|
| 0        | 2        | 8 | 6        | $\infty$ | $\infty$ |
| $\infty$ | 0        | 2 | $\infty$ | $\infty$ | $\infty$ |
| $\infty$ | $\infty$ | 0 | 7        | $\infty$ | $\infty$ |
|          |          |   |          |          |          |
|          |          |   |          |          |          |
|          |          |   |          |          |          |

$j = 3; u = 3; S[1] = 1, Dist[1] = 0$

$S[2] = 1, Dist[2] = 2$

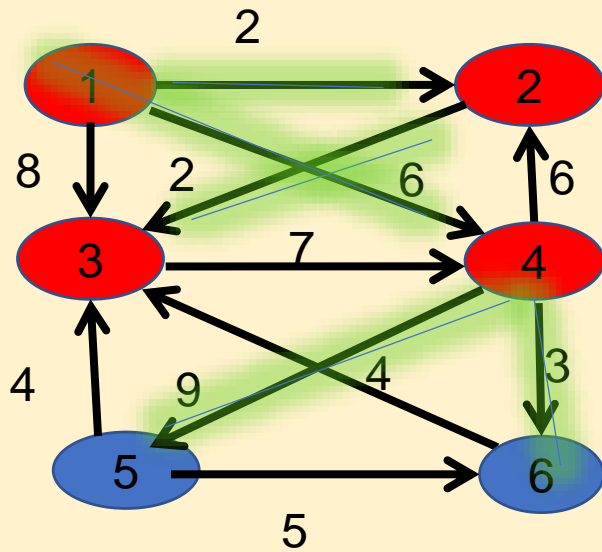
$S[3] = 1, Dist[3] = 4$

$S[4] = 0, Dist[4] = \min(6, 4 + 7) = 6;$

$S[5] = 0, Dist[5] = \min(\infty, 4 + \infty) = \infty$

$S[6] = 0, Dist[6] = \min(\infty, 4 + \infty) = \infty$

## Example



$Dist = [0, 2, 4, 6, \infty, \infty]$

$Cost =$

|          |          |          |          |          |          |
|----------|----------|----------|----------|----------|----------|
| 0        | 2        | 8        | 6        | $\infty$ | $\infty$ |
| $\infty$ | 0        | 2        | $\infty$ | $\infty$ | $\infty$ |
| $\infty$ | $\infty$ | 0        | 7        | $\infty$ | $\infty$ |
| $\infty$ | 6        | $\infty$ | 0        | 9        | 3        |
|          |          |          |          |          |          |

$$j = 4, u = 4, S[1] = 1, Dist[1] = 0$$

$$S[2] = 1, Dist[2] = 2$$

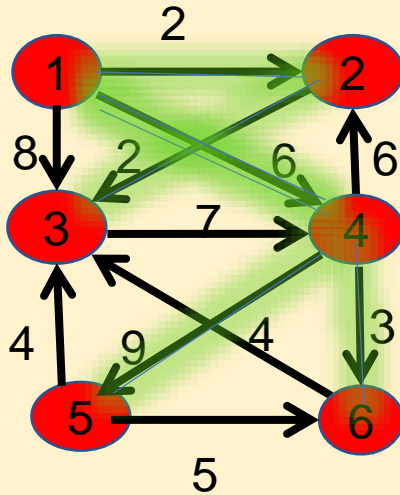
$$S[3] = 1, Dist[3] = 4$$

$$S[4] = 1, Dist[4] = 6$$

$$S[5] = 0, Dist[5] = \min(\infty, 6 + 9) = 15$$

$$S[6] = 0, Dist[6] = \min(\infty, 6 + 3) = 9$$

# Example



$Dist = [0, 2, 4, 6, 15, 9]$

$$Cost = \begin{bmatrix} 0 & 2 & 8 & 6 & \infty & \infty \\ \infty & 0 & 2 & \infty & \infty & \infty \\ \infty & \infty & 0 & 7 & \infty & \infty \\ \infty & 6 & \infty & 0 & 9 & 3 \\ \infty & \infty & 4 & \infty & 0 & 5 \\ \infty & \infty & 4 & \infty & \infty & 0 \end{bmatrix}$$

$$j = 5, u = 6, S[1] = 1, Dist[1] = 0$$

$$S[2] = 1, Dist[2] = 2$$

$$S[3] = 1, Dist[3] = 4$$

$$S[4] = 1, Dist[4] = 6$$

$$S[5] = 1, Dist[5] = 15$$

$$S[6] = 1, Dist[6] = 9$$

