# $\mathbf{Q}\mathbf{M}$

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May 24, 2024

## 1 Basics

## 1.1 Pauli Matrix

$$\mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sigma^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

 $\hat{\sigma} = \sigma^x \hat{\mathbf{X}} + \sigma^y \hat{y} + \sigma^z \hat{z}$ , all Pauli matrices are both Hermitian and unitary.  $\hat{\sigma} \cdot \hat{\mathbf{n}}$  is also Hermitian and unitary,  $\hat{\mathbf{n}}$  is a unit vector.

$$(\sigma^{j})^{2} = \mathbb{I} \quad [\sigma^{j}, \sigma^{k}] = 2i\varepsilon_{jkl}\sigma^{l} \quad \{\sigma^{j}, \sigma^{k}\} = 2\delta_{jk}\mathbb{I}$$

$$\hat{\sigma} \cdot \hat{\mathbf{n}} = \sigma^{x}n_{x} + \sigma^{y}n_{y} + \sigma^{z}n_{z}$$

$$(\hat{\sigma} \cdot \hat{\mathbf{n}})^{2} = (\sigma^{l})^{2}n_{l}^{2} + \sum_{j \neq k} \{\sigma^{j}, \sigma^{k}\}n_{j}n_{k}$$

$$= \sum_{l} n_{l}^{2}\mathbb{I} + 2\sum_{j \neq k} \delta_{jk}n_{j}n_{k}\mathbb{I}$$

$$= \mathbb{I}$$

$$e^{i\theta\hat{\sigma} \cdot \hat{\mathbf{n}}} = \sum_{k=0} \frac{(i\theta\hat{\sigma} \cdot \hat{\mathbf{n}})^{k}}{k!}$$

$$= \sum_{k=0} \frac{(i\theta\hat{\sigma} \cdot \hat{\mathbf{n}})^{2k}}{(2k)!} + \sum_{l=0} \frac{(i\theta\hat{\sigma} \cdot \hat{\mathbf{n}})^{2l+1}}{(2l+1)!}$$

$$= \sum_{k=0} (-1)^{k} \frac{\theta^{2k}}{(2k)!} \mathbb{I} + i \sum_{l=0} (-1)^{l} \frac{\theta^{2l+1}}{(2l+1)!} \hat{\sigma} \cdot \hat{\mathbf{n}}$$

$$= \cos(\theta)\mathbb{I} + i \sin(\theta)\hat{\sigma} \cdot \hat{\mathbf{n}}$$

## 1.2 Momentum Operator

#### 1.2.1 Momentum Operator Is the Generator of Translation

Set a translation operator  $\hat{\mathbf{T}}_{\mathbf{a}} | \mathbf{x} \rangle = | \mathbf{x} + \mathbf{a} \rangle$ . It is unitary, since  $\langle \mathbf{x} | \hat{\mathbf{T}}_{\mathbf{a}}^{\dagger} \hat{\mathbf{T}}_{\mathbf{a}} | \mathbf{x} \rangle = \langle \mathbf{x} + \mathbf{a} | \mathbf{x} + \mathbf{a} \rangle = 1 \Rightarrow \hat{\mathbf{T}}_{\mathbf{a}}^{\dagger} \hat{\mathbf{T}}_{\mathbf{a}} = 1$ .

The position operator  $\mathbf{x} | \mathbf{x} \rangle = \mathbf{x} | \mathbf{x} \rangle$ . And  $\mathbf{x} \hat{\mathbf{T}}_{\mathbf{a}} | \mathbf{x} \rangle = (\mathbf{x} + \mathbf{a}) | \mathbf{x} + \mathbf{a} \rangle$ . Any unitary vector can be represented as  $\hat{\mathbf{T}}_{\mathbf{a}} = e^{-i\hat{\mathbf{O}} \cdot \mathbf{a}/\hbar}$ , where  $\hat{\mathbf{O}} = \hat{\mathbf{O}}^{\dagger}$ . Consider a infinitesimal translation  $d\mathbf{x}$ 

$$\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}} |\mathbf{x}\rangle = (\mathbf{x} + d\mathbf{x}) |\mathbf{x} + d\mathbf{x}\rangle$$
$$\hat{\mathbf{T}}_{d\mathbf{x}}\hat{\mathbf{X}} |\mathbf{x}\rangle = \mathbf{x}\hat{\mathbf{T}}_{d\mathbf{x}} |\mathbf{x}\rangle = \mathbf{x} |\mathbf{x} + d\mathbf{x}\rangle$$

This leads to

$$\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}} - \hat{\mathbf{T}}_{d\mathbf{x}}\hat{\mathbf{X}} = d\mathbf{x}$$

$$\hat{\mathbf{X}}e^{-i\hat{\mathbf{O}}\cdot d\mathbf{x}/\hbar} - e^{-i\hat{\mathbf{O}}\cdot d\mathbf{x}/\hbar}\hat{\mathbf{X}} = d\mathbf{x}$$

$$\hat{\mathbf{X}}(1 - \frac{i}{\hbar}\hat{\mathbf{O}}\cdot d\mathbf{x}) - (1 - \frac{i}{\hbar}\hat{\mathbf{O}}\cdot d\mathbf{x})\hat{\mathbf{X}} = d\mathbf{x}$$

$$\frac{i}{\hbar}(\hat{\mathbf{O}}\cdot d\mathbf{x})\hat{\mathbf{X}} - \frac{i}{\hbar}\hat{\mathbf{X}}(\hat{\mathbf{O}}\cdot d\mathbf{x}) = \frac{i}{\hbar}[\hat{\mathbf{O}}\cdot d\mathbf{x}, \hat{\mathbf{X}}] = d\mathbf{x}$$

For each direction,

$$\frac{i}{\hbar}[\hat{\mathbf{O}} \cdot d\mathbf{x}, \hat{\mathbf{X}}_j] = d\mathbf{x}_j \Rightarrow \frac{i}{\hbar}[\hat{\mathbf{O}} \cdot d\mathbf{x}, \hat{\mathbf{X}}_j] = dx_j$$

Consider an infinitesimal translation along one direction  $d\mathbf{x}_i$ :

$$\hat{\mathbf{T}}_{d\mathbf{x}_j} | \mathbf{x} \rangle = e^{-i\hat{\mathbf{O}} \cdot d\mathbf{x}_j/\hbar} | \mathbf{x} \rangle = e^{-i\hat{O}_j dx_j/\hbar} | \mathbf{x} \rangle$$
$$= | \mathbf{x} + d\mathbf{x}_j \rangle$$

The commutation relation becomes,

$$\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}_{j}} - \hat{\mathbf{T}}_{d\mathbf{x}_{j}}\hat{\mathbf{X}} = d\mathbf{x}_{j} = \frac{i}{\hbar}\hat{O}_{j}dx_{j}\hat{\mathbf{X}}_{j} - \frac{i}{\hbar}\hat{\mathbf{X}}_{j}\hat{O}_{j}dx_{j}$$

$$\Rightarrow dx_{j} = \frac{i}{\hbar}[\hat{O}_{j}dx_{j}, \hat{\mathbf{X}}_{j}] = \frac{i}{\hbar}[\hat{\mathbf{O}} \cdot d\mathbf{x}, \hat{\mathbf{X}}_{j}]$$

Thus,  $[\hat{\mathbf{X}}_k, \hat{O}_j] = i\hbar \delta_{jk}$ . Aligning with classical mechanics (AKA, idk),  $\hat{\mathbf{O}}$  is the generator of translation, which should be the momentum operator  $\hat{\mathbf{P}}$ . The commutation relation between the position operator and the momentum operator:

$$[\hat{\mathbf{X}}_k, \hat{P}_j] = i\hbar \delta_{jk}$$

Consider

$$\langle \mathbf{x}_{j} + d\mathbf{x}_{j} | \psi \rangle = \psi(\mathbf{x}_{j} + d\mathbf{x}_{j}) = \psi(\mathbf{x}_{j}) + d\mathbf{x}_{j} \frac{\partial \psi(\mathbf{x}_{j})}{\partial \mathbf{x}_{j}}$$

$$= \langle \mathbf{x}_{j} | T_{d\mathbf{x}_{j}}^{\dagger} | \psi \rangle = \langle \mathbf{x}_{j} | e^{i\hat{P}_{j}dx_{j}/\hbar} | \psi \rangle$$

$$= \langle \mathbf{x}_{j} | (1 + \frac{i}{\hbar}\hat{P}_{j}dx_{j}) | \psi \rangle = \psi(\mathbf{x}_{j}) + \frac{i}{\hbar}dx_{j} \langle \mathbf{x}_{j} | \hat{P}_{j} | \psi \rangle \qquad (1)$$

Thus, 
$$\langle \mathbf{x}_{j} | \hat{P}_{j} | \psi \rangle \hat{\mathbf{n}}_{j} = -i\hbar \frac{\partial \psi(\mathbf{x}_{j})}{\partial \mathbf{x}_{j}} \Rightarrow \left( \langle \mathbf{x}_{j} | \hat{P}_{j} \right) \hat{\mathbf{n}}_{j} = -i\hbar \frac{\partial}{\partial \mathbf{x}_{j}} \langle \mathbf{x}_{j} |, \text{ and}$$

$$\left( \langle \mathbf{x}_{j} | \hat{P}_{j} \right) = -i\hbar \frac{\partial}{\partial x_{j}} \langle \mathbf{x}_{j} |$$

In conclusion,

$$\langle \mathbf{x} | \, \hat{\mathbf{P}} = -i\hbar \frac{\partial}{\partial \mathbf{x}} \, \langle \mathbf{x} |$$

$$\hat{\mathbf{P}} | \mathbf{x} \rangle = | \mathbf{x} \rangle \, i\hbar \left( \frac{\partial}{\partial \mathbf{x}} \right)^{\dagger}$$

What is  $\left(\frac{\partial}{\partial \mathbf{x}}\right)^{\dagger}$ ? Since  $\hat{\mathbf{P}}$  is an observable, it must be Hermitian.

$$\begin{split} \hat{\mathbf{P}} &= \int d^3 \mathbf{x} \, |\mathbf{x}\rangle \, \langle \mathbf{x}| \, \hat{\mathbf{P}} = -i\hbar \int d^3 \mathbf{x} \, |\mathbf{x}\rangle \, \frac{\partial}{\partial \mathbf{x}} \, \langle \mathbf{x}| \\ &= \left( -i\hbar \int d^3 \mathbf{x} \, |\mathbf{x}\rangle \, \frac{\partial}{\partial \mathbf{x}} \, \langle \mathbf{x}| \right)^\dagger = i\hbar \int d^3 \mathbf{x} \, |\mathbf{x}\rangle \, \left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger \langle \mathbf{x}| \\ &\Rightarrow \left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger = -\frac{\partial}{\partial \mathbf{x}} \end{split}$$

So,

$$\hat{\mathbf{P}} \left| \mathbf{x} \right\rangle = - \left| \mathbf{x} \right\rangle i\hbar \frac{\partial}{\partial \mathbf{x}}$$

With this, one can work out the derivative of  $|\mathbf{x}\rangle$ . From (1), one has (not completely rigorous, but one can show it rigorously)

$$\langle \mathbf{x} + d\mathbf{x} | \psi \rangle = \langle \mathbf{x} | \psi \rangle + \frac{i}{\hbar} d\mathbf{x} \langle \mathbf{x} | \hat{\mathbf{P}} | \psi \rangle$$
$$= \langle \mathbf{x} | \psi \rangle + d\mathbf{x} \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \psi \rangle$$

It is true for arbitrary  $\mathbf{x}$ . Thus,

$$\langle \mathbf{x} + d\mathbf{x} | = \langle \mathbf{x} | + d\mathbf{x} \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} |$$

$$\frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | = \lim_{d\mathbf{x} \to 0} \frac{\langle \mathbf{x} + d\mathbf{x} | - \langle \mathbf{x} |}{d\mathbf{x}}$$

$$\left(\frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} |\right)^{\dagger} = \lim_{d\mathbf{x} \to 0} \frac{|\mathbf{x} + d\mathbf{x} \rangle - |\mathbf{x} \rangle}{d\mathbf{x}}$$

$$-\frac{\partial}{\partial \mathbf{x}} |\mathbf{x} \rangle = \lim_{d\mathbf{x} \to 0} \frac{|\mathbf{x} + d\mathbf{x} \rangle - |\mathbf{x} \rangle}{d\mathbf{x}}$$

$$\frac{\partial}{\partial \mathbf{x}} |\mathbf{x} \rangle = \lim_{d\mathbf{x} \to 0} \frac{|\mathbf{x} - \mathbf{x} - \mathbf{x}|}{d\mathbf{x}}$$

The second last step is little confusing—the order is not inversed after taking ajoint. Just keep in mind the derivative is always acting on the position eigenstate (not completely clear).

# 1.2.2 Unitary Transformation between Coordinate Space and Momentum Space

Consider the 3-dimensional case. The eigenspectrum of the momentum operator is  $\hat{\mathbf{P}} | \mathbf{p} \rangle = \mathbf{p} | \mathbf{p} \rangle$ , the eigenspectrum of the position operator is  $\hat{\mathbf{X}} | \mathbf{x} \rangle = \mathbf{x} | \mathbf{x} \rangle$ .

$$\langle \mathbf{x} | \hat{\mathbf{P}} | \mathbf{p} \rangle = \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle$$

$$\left( -i\hbar \frac{\partial}{\partial \mathbf{x}} \right) \langle \mathbf{x} | \mathbf{p} \rangle = \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle$$

$$\langle \mathbf{x} | \mathbf{p} \rangle = Ae^{i\mathbf{p} \cdot \mathbf{x}/\hbar}$$

Normalization:

$$\langle \mathbf{x}' | \mathbf{x} \rangle = \delta^{(3)}(\mathbf{x} - \mathbf{x}')$$

$$= \int d^3 \mathbf{p} |A|^2 e^{i\mathbf{p} \cdot (\mathbf{x}' - \mathbf{x})/\hbar}$$

$$= (2\pi\hbar)^3 |A|^2 \delta^{(3)}(\mathbf{x} - \mathbf{x}')$$

where we have used the Fourier transform of Dirac delta function.

Thus, the momentum eigenstate in the spatial representation is

$$\langle \mathbf{x} | \mathbf{p} \rangle = \frac{1}{(2\pi\hbar)^{n/2}} e^{i\mathbf{p} \cdot \mathbf{x}/\hbar}$$

The spatial eigenstate in the momentum representation is

$$\langle \mathbf{p} | \mathbf{x} \rangle = \frac{1}{(2\pi\hbar)^{n/2}} e^{-i\mathbf{p} \cdot \mathbf{x}/\hbar}$$

where n is the dimension of space.

### 1.2.3 Position Operator in Momentum Space

What is the position operator in momentum representation?

$$\langle \mathbf{p} | \hat{\mathbf{X}} | \psi \rangle = \mathbf{x} \langle \mathbf{p} | \psi \rangle$$

$$= \int d^3 \mathbf{x} \langle \mathbf{p} | \hat{\mathbf{X}} | \mathbf{x} \rangle \langle \mathbf{x} | \psi \rangle = \int d^3 \mathbf{x} \langle \mathbf{p} | \mathbf{x} \rangle \mathbf{x} \langle \mathbf{x} | \psi \rangle$$

$$= \frac{1}{(2\pi\hbar)^{3/2}} \int d^3 \mathbf{x} \, e^{-i\mathbf{p} \cdot \mathbf{x}/\hbar} \mathbf{x} \psi(\mathbf{x})$$

$$= -\frac{\hbar}{i} \frac{\partial}{\partial \mathbf{p}} \frac{1}{(2\pi\hbar)^{3/2}} \int d^3 \mathbf{x} \, e^{-i\mathbf{p} \cdot \mathbf{x}/\hbar} \psi(\mathbf{x})$$

$$= i\hbar \frac{\partial}{\partial \mathbf{p}} \langle \mathbf{p} | \psi \rangle$$

Thus,  $\langle \mathbf{p} | \hat{\mathbf{X}} = i\hbar \frac{\partial}{\partial \mathbf{p}} \langle \mathbf{p} |$  is a possible representation. According to Stone–von Neumann theorem,  $\langle \mathbf{p} | \hat{\mathbf{X}} = i\hbar \frac{\partial}{\partial \mathbf{p}} \langle \mathbf{p} |$ .

#### 1.2.4 Function of Position and Momentum

First, consider 1D case,  $F(\hat{P}) = \sum_{n} \frac{1}{n!} \left. \frac{\partial^{n} F(\hat{P})}{\partial \hat{P}^{n}} \right|_{0} \hat{P}^{n}$ . Claim that in 3D case,

$$F(\hat{\mathbf{P}}) = \sum_{n,j} \left. \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_j^n} \right|_0 \frac{\hat{P}_j^n}{n!}$$

where j is the summation over x, y, z.

$$[\hat{X}_i, F(\hat{\mathbf{P}})] = \sum_{n,i} \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_i^n} \bigg|_{0} \frac{1}{n!} [\hat{X}_i, \hat{P}_i^n]$$
$$= \sum_{n} \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_i^n} \bigg|_{0} \frac{1}{n!} [\hat{X}_i, \hat{P}_i^n]$$

The commutator

$$[\hat{X}_i, \hat{P}_i^n] = \hat{X}_i \hat{P}_i^n - \hat{P}_i^n \hat{X}_i$$

Evaluate with an arbitrary state,

$$\begin{split} [\hat{X}_{i}, \hat{P}_{i}^{n}] |\psi\rangle &= \int dp_{i} |p_{i}\rangle \langle p_{i}| \hat{X}_{i} \hat{P}_{i}^{n} |\psi\rangle - \int dx_{i} |x_{i}\rangle \langle x_{i}| \hat{P}_{i}^{n} \hat{X}_{i} |\psi\rangle \\ &= \int dp_{i} |p_{i}\rangle i\hbar \frac{\partial}{\partial p_{i}} (p_{i}^{n} \langle p_{i} |\psi\rangle) - \int dx_{i} |x_{i}\rangle (-i\hbar)^{n} \frac{\partial^{n}}{\partial x_{i}^{n}} (x_{i} \langle x_{i} |\psi\rangle) \\ &= i\hbar n \int dp_{i} p_{i}^{n-1} |p_{i}\rangle \langle p_{i} |\psi\rangle + i\hbar \int dp_{i} p_{i}^{n} \frac{\partial}{\partial p_{i}} \langle p_{i} |\psi\rangle \\ &- (-i\hbar)^{n} \int dx_{i} x_{i} |x_{i}\rangle \frac{\partial^{n}}{\partial x_{i}^{n}} \langle x_{i} |\psi\rangle \\ &= i\hbar \frac{\partial}{\partial p_{i}} p_{i}^{n} + (i\hbar)^{n} \frac{\partial^{n}}{\partial \hat{X}_{i}^{n}} \hat{X}_{i} \\ &= ni\hbar p_{i}^{n-1} \end{split}$$

One has

$$\begin{aligned} [\hat{X}_{i}, F(\mathbf{p})] &= \sum_{n=0} \frac{\partial^{n} F(\mathbf{p})}{\partial p_{i}^{n}} \bigg|_{0} \frac{1}{n!} ni\hbar p_{i}^{n-1} \\ &= \sum_{n=1} \frac{\partial^{n} F(\mathbf{p})}{\partial p_{i}^{n}} \bigg|_{0} \frac{1}{n!} ni\hbar p_{i}^{n-1} \\ &= i\hbar \frac{\partial}{\partial p_{i}} \sum_{n=1} \frac{\partial^{n-1} F(\mathbf{p})}{\partial p_{i}^{n-1}} \bigg|_{0} \frac{1}{(n-1)!} p_{i}^{n-1} \\ &= i\hbar \frac{\partial}{\partial p_{i}} \sum_{n=0} \frac{\partial^{n} F(\mathbf{p})}{\partial p_{i}^{n}} \bigg|_{0} \frac{1}{n!} p_{i}^{n} \\ &= i\hbar \frac{\partial F(\mathbf{p})}{\partial p_{i}} \end{aligned}$$

# 2 Simple Harmonic Oscillator

$$\begin{split} H &= \frac{P^2}{2m} + \frac{m\omega^2 X^2}{2} \\ m\omega^2 X^2 &\to X^2, \frac{P^2}{2m} \to \frac{P^2}{2} \\ &\Rightarrow H = \frac{P^2}{2} + \frac{X^2}{2} \end{split}$$

Construct ladder operators,

$$a = (X + iP)/\sqrt{2}$$
$$a^{\dagger} = (X - iP)/\sqrt{2}$$

One has,

$$X = (a + a^{\dagger})/\sqrt{2}$$
$$P = (a - a^{\dagger})/i\sqrt{2}$$

The commutator of a and  $a^{\dagger}$ :

$$[a, a^{\dagger}] = \frac{1}{2}[X + iP, X - iP]$$
$$= \frac{1}{2}(-i[X, P] + i[P, X])$$
$$= 1$$

Given that  $[\hat{\mathbf{X}}, \hat{P}] = i$  is equivalent to

$$\hat{P} = -i \frac{\partial}{\partial \hat{\mathbf{X}}}$$
 and  $\hat{\mathbf{X}} = i \frac{\partial}{\partial \hat{P}}$ 

One has

$$\hat{a} = \frac{\partial}{\partial \hat{a}^{\dagger}}$$
 and  $\hat{a}^{\dagger} = -\frac{\partial}{\partial \hat{a}}$ 

Substituting into the Hamiltonian:

$$H = a^{\dagger}a + \frac{1}{2}$$

Take the eigenstates to be  $a^{\dagger}a |n\rangle = n |n\rangle$ , one has

$$a^{\dagger}aa |n\rangle = (aa^{\dagger} - 1)a |n\rangle = (n - 1)a |n\rangle$$

$$\Rightarrow a |n\rangle \propto |n - 1\rangle, a^{\dagger} |n\rangle \propto |n + 1\rangle$$

$$a |n\rangle = c |n - 1\rangle$$

$$\langle n| a^{\dagger}a |n\rangle = |c|^{2} \langle n - 1|n - 1\rangle$$

$$n = |c|^{2}$$

$$|c| = \sqrt{n}e^{i\theta}$$

where  $e^{i\theta}$  is the phase factor.  $a\left|n\right>=\sqrt{n}\left|n-1\right>$  ,  $a^{\dagger}=\sqrt{n+1}\left|n+1\right>$ 

# 3 Composite System

Tensor product

$$\begin{pmatrix} a_0 & b_0 \\ c_0 & d_0 \end{pmatrix} \otimes \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} = \begin{pmatrix} a_0a_1 & a_0b_1 & b_0a_1 & b_0b_1 \\ a_0c_1 & a_0d_1 & b_0c_1 & b_0d_1 \\ c_0a_1 & c_0b_1 & d_0a_1 & d_0b_1 \\ c_0c_1 & c_0d_1 & d_0c_1 & d_0d_1 \end{pmatrix}$$

# 4 Density Matrix

Claim  $\langle \hat{O} \rangle = \text{Tr} \Big[ |\psi\rangle \langle \psi| \, \hat{O} \Big]$ . Tr  $\hat{\Omega} = \sum_i \langle \phi_i| \, \hat{\Omega} \, |\phi_i\rangle$ .  $|\phi_i\rangle$  is arbitrary basis set.

Proof for 
$$\operatorname{Tr} \hat{\Omega} = \sum_{i} \langle \phi_{i} | \hat{\Omega} | \phi_{i} \rangle$$
:

$$\operatorname{Tr} \hat{\Omega} = \operatorname{Tr} U^{\dagger} \hat{\Omega} U$$
 (where U is the unitary matrix of eigenvectors of  $\Omega$ )

$$= \sum_{i} \lambda_{i}$$

$$= \sum_{i} \langle i | \hat{\Omega} | i \rangle$$

Insert arbitrary basis  $\{|\phi_n\rangle\}$ 

$$= \sum_{m,n,i} \langle i | \phi_m \rangle \langle \phi_m | \hat{\Omega} | \phi_n \rangle \langle \phi_n | i \rangle$$

$$= \sum_{m,n} \langle \phi_n | \phi_m \rangle \langle \phi_m | \hat{\Omega} | \phi_n \rangle$$

$$= \sum_{i} \langle \phi_i | \hat{\Omega} | \phi_i \rangle$$

Proof for  $\langle \hat{O} \rangle = \text{Tr} \Big[ |\psi \rangle \, \langle \psi | \, \hat{O} \Big]$ :

Choose  $|\psi\rangle$  to be one of the eigenvectors, and  $\{|\phi_i\rangle\}$  to be the eigenbasis.

$$\operatorname{Tr}\left[\left|\psi\right\rangle\left\langle\psi\right|\hat{O}\right] = \sum_{i} \left\langle\phi_{i}|\psi\right\rangle\left\langle\psi\right|\hat{O}\left|\phi_{i}\right\rangle = \left\langle\hat{O}\right\rangle$$
 Q.E.D

Q.E.D

# 4.1 Density Matrix $\rho$

$$\begin{split} \hat{\rho} &= |\psi\rangle \, \langle \psi| \\ \Rightarrow \langle \hat{O} \rangle &= \mathrm{Tr} \Big[ \hat{\rho} \cdot \hat{O} \Big] \text{ (pure state)} \\ \text{For mixed state, } \hat{\rho} &\equiv \sum_{i} P_{i} \, |\psi_{i}\rangle \, \langle \psi_{i}|. \end{split}$$

If  $\rho$  has only one nonzero eigenvalue, it is a pure state.  $Eigen(\rho) = \{\lambda, 0, 0...\}$ .

$$\begin{split} \langle \hat{O} \rangle &= \sum_{i} P_{i} \left\langle \psi_{i} \right| \hat{O} \left| \psi_{i} \right\rangle \\ &= \sum_{i} P_{i} \operatorname{Tr} \left[ \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right| \hat{O} \right] \\ &= \operatorname{Tr} \left[ \hat{O} \sum_{i} P_{i} \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right| \right] \\ &= \operatorname{Tr} \left[ \hat{O} \cdot \hat{\rho} \right] (\operatorname{Recall that } \operatorname{Tr}[AB] = \operatorname{Tr}[BA]) \end{split}$$

 $\text{Tr}[\rho] = 1$  for all states,  $\text{Tr}[\rho^2] = 1$  for pure states,  $\text{Tr}[\rho^2] < 1$  for mixed states.

## 4.2 Von Neumann Entanglement

 $S_A = -\operatorname{Tr}_{\bar{A}}[\rho_{\bar{A}}\log\rho_{\bar{A}}] = -\sum_i \lambda_{i\bar{A}}\log\lambda_{i\bar{A}}, \ \lambda_{i\bar{A}}$  is the eigenvalue of  $\rho_{\bar{A}}$ .  $\bar{A}$  denotes the complement of Hilbert space A. For two Hilbert spaces, A, B,  $\bar{A}$  represents Hilbert space B.

The maximum value of  $S_A$ :  $Max(S_A) = log(|\mathcal{H}_A|)$ , where  $|\mathcal{H}_A|$  is the dimension of  $\mathcal{H}_A$ .

Proof for n-dimensional Hilbert space:

# 5 Quantum Dynamics

Starting with TDSE, and assuming H is time-independent,

$$i\hbar \frac{\partial |\psi(t)\rangle}{\partial t} = H |\psi(t)\rangle$$
  
$$\Rightarrow |\psi(t)\rangle = e^{-i\frac{H}{\hbar}t} |\psi(t=0)\rangle$$

where  $e^{-i\frac{H}{\hbar}t} |\psi(t=0)\rangle$  is called **Schrödinger Evolved State**,  $e^{-i\frac{H}{\hbar}t}$  is the **Time Evolution Operator**. To make life easier, ignore  $\hbar$ ,  $|\psi(t)\rangle$  =

 $e^{-iHt}|\psi(t=0)\rangle$ . Thinking of the evolution from the point of Schrödinger evolved state is called **Schrödinger's Picture** 

Given arbitrary observable  $\hat{O}$ ,

$$\begin{split} \langle \hat{O} \rangle(t) &= \langle \psi(t) | \, \hat{O} \, | \psi(t) \rangle \\ &= \langle \psi(t=0) | \, e^{iHt} \hat{O} e^{-iHt} \, | \psi(t=0) \rangle \end{split}$$

which gives another way to think about the evolution, from the point of operator. This is **Heisenberg's Picture**. **Heisenberg Evolved Operator** is defined as:

$$\hat{O}(t) \equiv e^{iHt} \hat{O} e^{-iHt}$$

An important equation can be derived:

$$\begin{split} \frac{d\hat{O}(t)}{dt} = &(iH)e^{iHt}\hat{O}e^{-iHt} + e^{iHt}\hat{O}e^{-iHt}(-iH) \\ = &i[H,\hat{O}(t)] \end{split}$$

which is the **Heisenberg's equation of motion** (EOM).

Naturally, we have

$$\frac{d\hat{H}(t)}{dt} = i[H, H(t)] = 0$$

Note that commutation relation does not change with time, which can be easily verified.

Power of EOM:

For SHO,

$$\frac{d\hat{\mathbf{X}}(t)}{dt} = \hat{P}(t), \frac{d\hat{P}(t)}{dt} = -\hat{\mathbf{X}}(t)$$

$$\frac{d\hat{a}(t)}{dt} = -\hat{a}(t)$$

$$\Rightarrow a(t) = e^{-it}a(0) = [\hat{\mathbf{X}}(t) + i\hat{P}(t)]/\sqrt{2}$$

$$\Rightarrow a^{\dagger}(t) = e^{it}a^{\dagger}(0) = [\hat{\mathbf{X}}(t) - i\hat{P}(t)]/\sqrt{2}$$

$$\Rightarrow \hat{\mathbf{X}}(t) = \hat{\mathbf{X}}(0)\cos(t) + \hat{P}(0)\sin(t)$$

$$\Rightarrow \hat{P}(t) = \hat{P}(0)\cos(t) - \hat{\mathbf{X}}(0)\sin(t)$$

# 6 Generator

## Translation generator

 $\hat{P}$  is the generator of translation

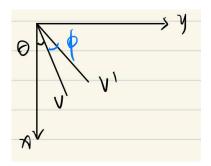
$$e^{-i\hat{P}a} |\mathbf{x}\rangle = |x+a\rangle$$

$$e^{i\hat{P}a}\hat{\mathbf{X}}e^{-i\hat{P}a} = \hat{\mathbf{X}} + a$$

### Rotation generator

First, deriving rotation matrix.

For rotation by z-axis.



One has

$$V = V'$$

$$V_x = V \cos \theta$$

$$V_y = V \sin \theta$$

$$V_x' = V' \cos (\theta + \phi) = V'(\cos \theta \cos \phi - \sin \theta \sin \phi) = V_x \cos \phi - V_y \sin \phi$$

$$V_y' = V' \sin (\theta + \phi) = V'(\sin \theta \cos \phi + \cos \theta \sin \phi) = V_x \sin \phi + V_y \cos \phi$$

$$\Rightarrow R_z(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
Similarly,  $R_x(\phi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi \\ 0 & \sin \phi & \cos \phi \end{pmatrix}$ 

$$R_y(\phi) = \begin{pmatrix} \cos \phi & 0 & \sin \phi \\ 0 & 1 & 0 \\ -\sin \phi & 0 & \cos \phi \end{pmatrix}$$

$$\hat{D}(R) = e^{-i\theta\vec{n}\cdot\hat{L}}, \, \hat{L} = \hat{r} \times \hat{P}$$

# 7 Angular Momentum

## 7.1 Definition

$$J_{\pm} = J_x \pm i j_y$$
  
$$J^2 = J_x^2 + J_y^2 + J_z^2$$

## 7.2 Commutation relation

$$[J_{i}, J_{j}] = i\varepsilon_{ijk}J_{k}$$

$$[J_{+}, J_{-}] = [J_{x} + iJ_{y}, J_{x} - iJ_{y}] = -2i[J_{x}, J_{y}] = 2J_{z}$$

$$[J_{z}, J_{+}] = [J_{z}, J_{x} + iJ_{y}] = iJ_{y} + J_{x} = J_{+}$$

$$[J_{z}, J_{-}] = [J_{z}, J_{x} - iJ_{y}] = iJ_{y} - J_{x} = -J_{-}$$

$$[J^{2}, J_{j}] = [\sum_{i} J_{i}^{2}, J_{j}] = [J_{i}^{2}, J_{j}] + [J_{k}^{2}, J_{j}]$$

$$= i\varepsilon_{ijk}(J_{i}J_{k} + J_{k}J_{i}) + i\varepsilon_{kji}(J_{i}J_{k} + J_{k}J_{i})$$

$$= 0$$

 $\varepsilon_{ijk}$  equals  $-\varepsilon_{kji}$ .

# 7.3 Eigenvalue

According to the commutation relations, one can only simultaneously take the eigenvalue of  $J^2$  and one of  $J_i$  or  $J_{\pm}$ , since  $J^2$  commutes with all of

them, but they do not commute with each other. Choose:

$$J_z |j, m\rangle = m |j, m\rangle$$
  
 $J^2 |j, m\rangle = j(j+1) |j, m\rangle$ 

One can derive:

$$J_z J_{\pm} |j, m\rangle = (J_{\pm} J_z \pm J_{\pm}) |j, m\rangle$$
$$= (m \pm 1) J_{\pm} |j, m\rangle$$

 $J_{\pm}|j,m\rangle$  acts as  $|j,m\pm 1\rangle$ ,  $J_{\pm}|j,m\rangle=C_{\pm}(j,m)\,|j,m\pm 1\rangle$ . Since  $(J_{+})^{\dagger}=J_{-}$ ,

$$\langle j, m | J_{\mp} J_{\pm} | j, m \rangle = |C_{\pm}(j, m)|^2$$
  
 $= \langle j, m | (J_x^2 + J_y^2 \pm i [J_x, J_y]) | j, m \rangle$   
 $= \langle j, m | (J^2 - J_z^2 \mp J_z) | j, m \rangle$   
 $= j(j+1) - m(m \pm 1)$ 

$$\Rightarrow C_{\pm}(j,m) = \sqrt{j(j+1) - m(m\pm 1)}$$
$$\Rightarrow J_{\pm}|j,m\rangle = \sqrt{j(j+1) - m(m\pm 1)}|j,m\pm 1\rangle$$

# 7.4 Angular Momentum Addition

Add angular momentum  $j_1$  to  $j_2$ , it forms complete basis of  $(2j_1+1)(2j_2+1)$  states.

 $|j_1, m_1, j_2, m_2\rangle$  also eigenstates of  $J_z$  but usually not eigenstates of  $J^2$ .

Let  $j=j_1+j_2$  be the total angular momentum, it takes values of  $|j_1-j_2|, |j_1-j_2|+1,\dots,j_1+j_2$ 

First, we can uniquely determine two states:

$$|j_1 + j_2, j_1 + j_2\rangle = |j_1, j_1\rangle \otimes |j_2, j_2\rangle$$
  
 $|j_1 + j_2, -j_1 - j_2\rangle = |j_1, -j_1\rangle \otimes |j_2, -j_2\rangle$ 

Then, act  $J_{-}$  on both sides of the first equation:

$$\sqrt{j(j+1) - m(m-1)} | j = j_1 + j_2, m = j_1 + j_2 - 1 \rangle =$$

$$\sqrt{j_1(j_1+1) - m_1(m_1-1)} | j_1, j_1 - 1 \rangle \otimes | j_2, j_2 \rangle$$

$$+ \sqrt{j_2(j_2+1) - m_2(m_2-1)} | j_1, j_1 \rangle \otimes | j_2, j_2 - 1 \rangle$$

Repeat this procedure, one can calculate to  $m = |j_1 - j_2|$ 

Now, one has calculated all the states of  $j=j_1+j_2$ . Let's move on to  $j=j_1+j_2-1$ .  $|j=j_1+j_2-1,m=j_1+j_2-1\rangle$  must be orthogonal to  $|j=j_1+j_2,m=j_1+j_2-1\rangle$ . So, one can directly write down the expression of it

$$|j = j_1 + j_2 - 1, m = j_1 + j_2 - 1\rangle = \frac{\sqrt{j_2(j_2+1) - m_2(m_2-1)}}{\sqrt{j(j+1) - m(m-1)}} |j_1, j_1 - 1\rangle \otimes |j_2, j_2\rangle - \frac{\sqrt{j_1(j_1+1) - m_1(m_1-1)}}{\sqrt{j(j+1) - m(m-1)}} |j_1, j_1\rangle \otimes |j_2, j_2 - 1\rangle$$

Using  $J_{-}$  procedure, one can write all other states with  $j = j_1 + j_2 - 1$ .

A technique to simply the calculation is that one can use symmetry to obtain the corresponding states.

Let's say,

$$|j_1 + j_2, j_1 + j_2 - 1\rangle = c_1 |j_1, j_1 - 1\rangle \otimes |j_2, j_2\rangle + c_2 |j_1, j_1\rangle \otimes |j_2, j_2 - 1\rangle.$$

Via symmetry,

$$|j_1 + j_2, -(j_1 + j_2 - 1)\rangle = c_1 |j_1, -(j_1 - 1)\rangle \otimes |j_2, -j_2\rangle + c_2 |j_1, -j_1\rangle \otimes |j_2, -(j_2 - 1)\rangle$$

Mind the sign change, if there is minus sign in the equation.

## 8 Tensor Operator

## 8.1 Vector operator

Satisfy 
$$[\hat{V}_i, \hat{J}_j] = i\varepsilon_{ijk}\hat{V}_k$$
, let  $\hat{V}_0 = \hat{V}_z, \hat{V}_{\pm 1} = \mp(\hat{V}_x \pm i\hat{V}_y)/\sqrt{2}$ .  
 $[\hat{J}_z, \hat{V}_m] = m\hat{V}_m$   
 $[\hat{J}_\pm, \hat{V}_m] = \sqrt{j(j+1) - m(m\pm 1)}\hat{V}_{m\pm 1}$ 

where j=1 and  $m=0,\pm 1$ .  $\hat{V}_{m_1}|j_2,m_2\rangle$  for any  $j_2$  and  $m_2$  transform under rotation in the same way as a state  $|j_1=1,m_1\rangle\otimes|j_2,m_2\rangle$ . This directly follows from the above commutation relation:

$$\hat{J}_z \hat{V}_{m_1} | j_2, m_2 \rangle$$

$$= (\hat{V}_{m_1} \hat{J}_z + m_1 \hat{V}_{m_1}) | j_2, m_2 \rangle$$

$$= (m_1 + m_2) \hat{V}_{m_1} | j_2, m_2 \rangle$$

The result is the same as  $\hat{J}_z | j_1 = 1, m_1 \rangle \otimes | j_2, m_2 \rangle$ . Similar result can be found with acting  $\hat{J}_{\pm}$  on  $\hat{V}_{m_1} | j_2, m_2 \rangle$ .

 $\Rightarrow$  Acting with  $\hat{V}_m$  on  $|j_2, m_2\rangle$  is akin to adding angular momentum of unit 1 to the state  $|j_2, m_2\rangle$ .

## 8.2 Tensor Operator

A set of operators  $\hat{O}_{j,m}$  with  $m = -j, -j + 1, \dots, j - 1, j$  that satisfy,

$$[\hat{J}_z, \hat{O}_{j,m}] = m\hat{O}_{j,m}$$
  
 $[\hat{J}_{\pm}, \hat{O}_{j,m}] = \sqrt{j(j+1) - m(m+1)}\hat{O}_{j,m\pm 1}$ 

Therefore, a vector operator is just a special case of a tensor operator with j=1. Similarly,  $\hat{O}_{j_1,m_1}|j_2,m_2\rangle$  transforms under rotation in the same way as  $|j_1,m_1\rangle\otimes|j_2,m_2\rangle$ .

## 8.3 Wigner-Eckart Theorem

# 9 Perturbation Theory

## 9.1 Non-degenerate perturbation theory

If the "target" state is separated from any nearby state  $|\beta\rangle$  by a gap,  $\Delta = |E_{\beta} - E_{\alpha}| >> \lambda \langle \alpha | H_1 | \beta \rangle$ , it can be dealt with non-degenerate perturbation theory.

System Hamiltonian  $H = H_0 + \lambda H_1$ ,  $\lambda$  is a small number. Unperturbed states  $|\alpha\rangle$ ,  $|\beta\rangle$ ,  $|\gamma\rangle$ , corresponding perturbed states are  $|a\rangle$ ,  $|b\rangle$ ,  $|c\rangle$ .

$$|a\rangle = c_{\alpha} |\alpha\rangle + \sum_{\alpha \neq \beta} d_{\beta} |\beta\rangle \qquad (eq.8.1.1)$$

$$H_{0} |\alpha\rangle = E_{\alpha} |\alpha\rangle \qquad (eq.8.1.2)$$

$$H |a\rangle = E_{a} |a\rangle \qquad (eq.8.1.2)$$

$$And \lambda \to 0,$$

$$|a\rangle \to |\alpha\rangle \qquad E_{a} \to E_{\alpha}$$

Here,  $|\beta\rangle$  represents all the states in addition to  $|\alpha\rangle$ . One can expect  $c_{\alpha}$  to be much larger than  $d_{\beta}$ , since  $|a\rangle$  is just the perturbated state of  $|\alpha\rangle$ , which should be mainly composed of  $|\alpha\rangle$ .

Act  $\langle \beta | H$  on both sides of (Eq. 8.1.1),

$$\langle \beta | (H_0 + \lambda H_1) | a \rangle = \langle \beta | (H_0 + \lambda H_1) \left( c_{\alpha} | \alpha \rangle + \sum_{\beta' \neq \alpha} d_{\beta'} | \beta' \rangle \right)$$

$$d_{\beta} E_a = d_{\beta} E_{\beta} + \lambda c_{\alpha} \langle \beta | H_1 | \alpha \rangle + \lambda \sum_{\beta' \neq \alpha} d_{\beta'} \langle \beta | H_1 | \beta' \rangle$$

 $d_{\beta}$  for  $\beta \neq \alpha$  is  $O(\lambda)$ , the last term on RHS is  $O(\lambda^2)$ 

$$d_{\beta} = \frac{\lambda c_{\alpha} \langle \beta | H_{1} | \alpha \rangle}{E_{a} - E_{\beta}} + \frac{\lambda \sum_{\beta' \neq \alpha} d_{\beta'} \langle \beta | H_{1} | \beta' \rangle}{E_{a} - E_{\beta}}$$
$$= \frac{\lambda c_{\alpha} \langle \beta | H_{1} | \alpha \rangle}{E_{a} - E_{\beta}} + O(\lambda^{2})$$

 $|c_{\alpha}^2| + \sum_{\beta' \neq \alpha} d_{\beta'}^2 = 1$ ,  $\Rightarrow |c_{\alpha}| = 1 - O(\lambda^2)$ . One may choose  $c_{\alpha} = 1$ .

$$\Rightarrow d_{\beta} = \frac{\lambda \langle \beta | H_1 | \alpha \rangle}{E_a - E_{\beta}} + O(\lambda^2)$$

$$\Rightarrow |a\rangle = |\alpha\rangle + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta | H_1 | \alpha \rangle}{E_a - E_{\beta}} + O(\lambda^2)$$

Combining eq.8.1.2

$$\Rightarrow E_a |a\rangle = (H_0 + \lambda H_1)(c_\alpha |\alpha\rangle + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta| H_1 |\alpha\rangle}{E_a - E_\beta} + O(\lambda^2))$$

Choose  $c_{\alpha} = 1$ , and act  $\langle \alpha |$  on both sides

$$\Rightarrow E_a = E_\alpha + \lambda \langle \alpha | H_1 | \alpha \rangle + \lambda^2 \sum_{\beta \neq \alpha} \frac{|\langle \beta | H_1 | \alpha \rangle|^2}{E_a - E_\beta}$$

Since  $E_a = E_{\alpha} + O(\lambda)$ , replace  $E_a$  by  $E_{\alpha}$  in the denominator on RHS.

$$E_{a} = E_{\alpha} + \lambda \langle \alpha | H_{1} | \alpha \rangle + \lambda^{2} \sum_{\beta \neq \alpha} \frac{|\langle \beta | H_{1} | \alpha \rangle|^{2}}{E_{\alpha} - E_{\beta}} + O(\lambda^{3})$$
$$|a\rangle = |\alpha\rangle + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta | H_{1} | \alpha \rangle}{E_{\alpha} - E_{\beta}} + O(\lambda^{2})$$

## 9.2 Example

$$H = \frac{X^2 + P^2}{2} - FX$$

## 9.3 Degenerate Perturbation Theory

If  $\lambda \langle \alpha | H_1 | \beta \rangle$  is of the same of order of  $E_{\alpha} - E_{\beta}$ , state  $|\alpha\rangle$  and state  $|\beta\rangle$  are close.

Interested degenerate states belong to sub-Hilbert space D, labeled as  $\{|\alpha\rangle, |\beta\rangle, \cdots\}$ . The degenerate states far away from interested states are called  $\{|\mu\rangle, |\Delta\rangle, |\lambda\rangle \cdots\}$ . Perturbed states are  $\{|a\rangle, |b\rangle, \cdots\}$ .

Perturbed states: 
$$|a\rangle = \sum_{\alpha \in D} c_{\alpha} |\alpha\rangle + \sum_{\mu \notin D} d_{\mu} |\mu\rangle$$

Deriving  $d_{\mu}$ 

$$\langle \mu | E_a | a \rangle = \langle \mu | H | a \rangle$$

$$d_{\mu}E_a = \langle \mu | (H_0 + \lambda H_1)(\sum_{\alpha \in D} c_{\alpha} | \alpha \rangle + \sum_{\mu' \notin D} d_{\mu'} | \mu' \rangle)$$

$$d_{\mu}E_a = d_{\mu}E_{\mu} + \lambda \sum_{\alpha \in D} \langle \mu | H_1 | \alpha \rangle c_{\alpha} + \lambda \sum_{\mu' \notin D} \langle \mu | H_1 | \mu' \rangle d_{\mu'}$$

Keeping  $O(\lambda)$  term,

$$\Rightarrow d_{\mu} = \frac{\lambda \sum_{\alpha \in D} c_{\alpha} \langle \mu | H_{1} | \alpha \rangle}{E_{a} - E_{\mu}}$$

To the leading order,  $E_a$  =mean energy of levels within D  $\equiv \bar{E}_D$ .

$$\Rightarrow d_{\mu} = \frac{\lambda \sum_{\alpha \in D} c_{\alpha} \langle \mu | H_{1} | \alpha \rangle}{\bar{E}_{D} - E_{\mu}}$$

Deriving  $c_{\beta}$ 

$$\begin{split} &\langle\beta|\,E_a\,|a\rangle = \langle\beta|\,H\,|a\rangle\\ &c_\beta E_a = \langle\beta|\,(H_0 + \lambda H_1)(\sum_{\alpha\in D} c_\alpha\,|\alpha\rangle + \sum_{\mu\notin D} d_\mu\,|\mu\rangle)\\ &c_\beta E_a = c_\beta E_\beta + \lambda \sum_{\alpha\in D} \langle\beta|\,H_1\,|\alpha\rangle\,c_\alpha + \lambda \sum_{\mu\notin D} \langle\beta|\,H_1\,|\mu\rangle\,d_\mu\\ &c_\beta E_a = c_\beta E_\beta + \lambda \sum_{\alpha\in D} \langle\beta|\,H_1\,|\alpha\rangle\,c_\alpha + \lambda^2 \sum_{\alpha\in D} c_\alpha \sum_{\mu\notin D} \frac{\langle\beta|\,H_1\,|\mu\rangle\,\langle\mu|\,H_1\,|\alpha\rangle}{\bar{E}_D - E_\mu} \end{split}$$

## Effective Hamiltonian for subspace D

$$\langle \beta | H_{eff} | \alpha \rangle = \langle \beta | H_0 | \alpha \rangle + \lambda \langle \beta | H_1 | \alpha \rangle + \lambda^2 \sum_{\mu \notin D} \frac{\langle \beta | H_1 | \mu \rangle \langle \mu | H_1 | \alpha \rangle}{\bar{E}_D - E_\mu}$$

 $H_{eff}$  has eigenstates  $|\psi\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle$ , corresponding Schrodinger equation is  $H_{eff} |\psi\rangle = E_a |\psi\rangle$ 

# 10 Hydrogen Atom

The Hamiltonian under relative coordinate:

$$H_0 = \frac{P^2}{2m} - \frac{e^2}{r}$$

where m is the reduced mass, r is the distance between electron and nuclear.

Born radius: 
$$a_0 = \frac{\hbar^2}{me^2} \sim 53 \text{ pm}$$
  
Energy:  $E_n = -\frac{e^2}{2a_0} \frac{1}{n^2}$   
Fine structure constant:  $\alpha = \frac{e^2}{\hbar c} \approx \frac{1}{137}$   
 $\frac{e^2}{2a_0} = \frac{me^4}{2\hbar^2} = \frac{m\alpha^2c^2}{2}$   
 $\Rightarrow E_n = -\frac{1}{2}\alpha^2mc^2\frac{1}{n^2}$   
 $P \sim \frac{\hbar}{a_0} = \frac{me^2}{\hbar} = m(\alpha c)$ 

### 10.1 Fine Structure

## 10.1.1 Pauli Equation

Magnetic momentum  $\vec{\mu}$  of an electron:

$$\vec{\mu} = g \frac{e}{2mc} \vec{S}$$

For electrons, g = 2

$$\vec{\mu} = 2\left(-\frac{e}{2mc}\right)\frac{\hbar}{2}\hat{\sigma} = -\frac{e\hbar}{2mc}\hat{\sigma}$$

With an external magnetic field  $B_{\text{ext}}$ ,

$$H = -\vec{\mu} \cdot \vec{B} = \frac{e\hbar}{2mc} \hat{\sigma} \cdot \vec{B}$$

#### 10.1.2 Darwin Term

It is caused by charge distribution. One cannot continue regarding electron as an pure point charge. It only affects l=0.

$$\frac{\hbar^2}{8m^2c^2}\nabla^2V$$

#### 10.1.3 Relativistic Term

The Hamiltonian is

$$H = \sqrt{\hat{\mathbf{P}}^2 c^2 + m^2 c^4} \sim H_0 - \frac{\hat{\mathbf{P}}^4}{8m^3 c^2}$$

Evaluation of the correction on total energy,

$$E_{nlm_l}^{(1) rel} = -\frac{1}{8m^3c^2} \langle \psi_{nlm_l} | \hat{\mathbf{P}}^4 | \psi_{nlm_l} \rangle$$

$$= -\frac{1}{8m^3c^2} \langle \hat{\mathbf{P}}^2 \psi_{nlm_l} | \hat{\mathbf{P}}^2 \psi_{nlm_l} \rangle$$

$$= -\frac{1}{2mc^2} \langle (E_n - V(r)) \psi_{nlm_l} | (E_n - V(r)) \psi_{nlm_l} \rangle$$

in the second step we use the fact that  $\hat{\mathbf{P}}^2$  is Hermitian. In the last step, using the fact that  $(\frac{\hat{\mathbf{P}}^2}{2m} + V(r))\psi_{nlm_l} = E_n\psi_{nlm_l}$ .

It is diagonal, since  $[\hat{\mathbf{P}}^4, \vec{L}^2] = 0$  and  $[\hat{\mathbf{P}}^4, L_z] = 0$ .

Further write as

$$E_{nlm_l}^{(1)\,rel} = -\frac{1}{8}\alpha^4(mc^2)\left[\frac{4n}{l+\frac{1}{2}} - 3\right]$$

Uncoupled basis:  $\{|nlm_lm_s\rangle\}$ 

Coupled basis:  $\{|nljm_j\rangle\}$ 

## 10.1.4 Spin-Orbit Coupling Term

Leading by the interaction between the magnetic field generated by proton and the electron's magnetic dipole. The Hamiltonian is

$$H_{spin-orbit} = \frac{e^2}{2m^2c^2} \frac{1}{r^3} \vec{S} \cdot \vec{L}$$

Notice that  $\frac{\vec{S} \cdot \vec{L}}{r^3}$  commutes with  $\vec{L}^2$ ,  $\vec{J}^2$ ,  $J_z$ . One can use coupled basis to diagonalize the Hamiltonian. Energy correction:

$$\begin{split} E_{nljm_{j}}^{(1)} &= \frac{e^{2}}{2m^{2}c^{2}} \left\langle nljm_{j} \middle| \frac{\vec{S} \cdot \vec{L}}{r^{3}} \middle| nljm_{j} \right\rangle \\ &= \frac{e^{2}\hbar^{2}}{4m^{2}c^{2}} \left( j(j+1) - l(l+1) - \frac{3}{4} \right) \underbrace{\left\langle nljm_{j} \middle| \frac{1}{r^{3}} \middle| nljm_{j} \right\rangle}_{\frac{1}{n^{3}a_{0}^{3}l(l+1)(l+\frac{1}{2})}} \\ &= \frac{(E_{n}^{(0)})^{2}}{mc^{2}} \frac{n[j(j+1) - l(l+1) - \frac{3}{4}]}{l(l+\frac{1}{2})(l+1)} \end{split}$$

#### 10.1.5 Fine-Structure Corrections

$$E_{nljm_j}^{(1)} = -\alpha^4 (mc^2) \frac{1}{2n^4} \left[ \frac{n^7}{j + \frac{1}{2}} - \frac{3}{4} \right]$$
 
$$\vec{J}^2 = (\vec{L} + \hat{\sigma})^2$$
 
$$[\vec{L}, \hat{\sigma}] = 0$$
 
$$[\vec{L}_z, \hat{\sigma}_z] \neq 0$$

An additional quantum number n compare with addition of angular momentum.

$$H = \sum_{n,j,l,m_J} E(n,j) |n,j,l,m_J\rangle \langle n,j,l,m_J|$$

This is called fine structure.

$$E(n,j) = -\frac{\alpha^2 m_e}{n^2} - \frac{\alpha^4 m_e}{2n^3} \left[ \frac{-3}{4n} + \frac{1}{j + \frac{1}{2}} \right]$$

Contributions: (1).  $\frac{P^2}{2m}$  (non-relativistic)  $\to \sqrt{p^2 + m^2}$  (relativistic), (2).  $\hat{\sigma} \cdot \vec{L}$  (spin-orbit coupling), (3). l = 0 (Darwin-term) $\propto |\Psi(r=0)|^2$ 

Correction due to dispersion:

$$H = \sqrt{p^2 + m^2} - m - \frac{e^2}{r} \approx \frac{p^2}{2m} - \frac{e^2}{r} - \frac{p^4}{8m^3} + \dots \text{ (expansion in } \frac{1}{m}\text{)}$$

$$\delta E(nlm_l m_s) = \frac{-1}{8m^3} \langle nlm_l m_s | P^4 | nlm_l m_s \rangle$$

$$\propto \frac{-1}{m} \langle (\frac{P^2}{2m})^2 \rangle \propto \dots$$

$$\delta E_{Spin-orbit} \propto \langle (\frac{\hat{\sigma} \cdot \vec{L}}{r^3}) \rangle e^2$$

$$\propto \langle (\frac{1}{r^3}) \alpha \rangle$$

$$\langle (\frac{-e^2}{r}) \rangle \propto \alpha^2 m$$

$$\Rightarrow \langle (\frac{1}{r}) \rangle \propto \alpha m$$

$$\langle \frac{P^2}{2m} - \frac{-e^2}{r} \rangle \propto \frac{\alpha^2 m}{n^2}$$

 $[\vec{J}^2, \hat{\sigma} \cdot \vec{L}] = 0 = [\hat{\sigma}^2, \hat{\sigma} \cdot \vec{L}]$ , which makes  $\hat{\sigma} \cdot \vec{L}$  a good quantum number.

## 10.2 Zeeman effect

The Zeeman effect is the effect of splitting of a spectral line into several components in the presence of a static magnetic field. The Hamiltonian of Zeeman effect is composed of two parts (the magnetic momentum associated with the orbital motion and the magnetic momentum associated with the spin motion):

$$H_{\text{Zeeman}} = -(\vec{\mu}_l + \vec{\mu}_s) \cdot \vec{B} = \frac{e}{2mc}(\vec{L} + 2\vec{S}) \cdot \vec{B}$$

Take the direction of magnetic field as the z-axis.

$$H_{\text{Zeeman}} = \frac{e}{2mc}(L_z + 2S_z)B$$

The full Hamiltonian of Hydrogen atom becomes:

$$H = H_0 + H_{\rm fs} + H_{\rm Zeeman}$$

where  $H_{\rm fs}$  is the fine structure term, composed of relativistic term, the Darwin term and the spin-orbit coupling term.

From fine structure, one knows there is something like an internal magnetic field, responsible for spin-orbit coupling.

First, consider the case that the external field is much weaker than that, weak Zeeman effect case, which means  $H_{\rm fs}$  weighs larger than  $H_{\rm Zeeman}$ .  $H_{\rm Zeeman}$  is treated as perturbation.  $H_0 + H_{\rm fs}$  should be thought as known Hamiltonian.

On the contrary, if  $B \gg B_{\rm int}$ .  $H_0 + H_{\rm Zeeman}$  now is the known Hamiltonian, and  $H_{\rm fs}$  becomes the perturbation.

#### 10.2.1 Weak Field Zeeman

The approximate eigenstates of  $H_0 + H_{\text{Zeeman}}$  are  $|nljm_j\rangle$ , with eigenvalue E(n,j). Degeneracy occurs among the states with the same n and j but different l and  $m_j$ .

So, one may need to consider matrix element  $\langle nljm_j|H_{\rm Zeeman}|nl'jm'_j\rangle$  $\vec{L}^2$  commutes with  $L_z$  and obviously commutes with  $S_z$ 

$$\Rightarrow [\vec{L}^2, H_{\text{Zeeman}}] = 0$$

$$\langle nljm_j | \vec{L}^2 | nl'jm'_j \rangle = l(l+1)\delta_{l,l'} \Rightarrow l = l'$$

Similarly,  $J_z = L_z + S_z$  commutes with  $H_{\text{Zeeman}}$ 

$$\Rightarrow m_j = m'_j$$

Only diagonal terms left.

$$\begin{split} E_{nljm_j}^{(1)} &= \frac{e}{2mc} B \left\langle nljm_j | \left( L_z + 2S_z \right) | nljm_j \right\rangle \\ &= \frac{e}{2mc} B \left\langle nljm_j | \left( J_z + S_z \right) | nljm_j \right\rangle \\ &= \frac{e}{2mc} B (\hbar m_j + \left\langle nljm_j | S_z | nljm_j \right\rangle) \end{split}$$

Recap the concept of vector operator.

Obviously,  $[J_i, S_j] = i\hbar \varepsilon_{ijk} S_k$ , indicating that  $\vec{S}$  is a vector operator under  $\vec{J}$ . An important property of vector operator is:

$$\frac{1}{\alpha}[\vec{J}^2, [\vec{J}^2, \vec{S}]] = (\vec{S} \cdot \vec{J})\vec{J} - \frac{1}{2}(\vec{J}^2\vec{S} + \vec{S}\vec{J}^2)$$

where  $\alpha$  is some constant (I think it is  $-4\hbar^2$ ). With this, one can derive projection lemma. First consider LHS.

$$\begin{split} \langle jm_j|\,LHS\,|jm_j\rangle &= \langle jm_j|\,[\vec{J}^2,[\vec{J}^2,\vec{S}]]\,|jm_j\rangle \\ &= \langle jm_j|\,\vec{J}^2[\vec{J}^2,\vec{S}]\,|jm_j\rangle - \langle jm_j|\,[\vec{J}^2,\vec{S}]\vec{J}^2\,|jm_j\rangle \\ &= \langle jm_j|\,[\vec{J}^2,\vec{S}]\,|jm_j\rangle\,(j(j+1)\hbar^2 - j(j+1)\hbar^2) = 0 \end{split}$$

Here, the constant is ignored, which is irrelevant.

$$\langle jm_j | RHS | jm_j \rangle = 0 = \langle jm_j | (\vec{S} \cdot \vec{J}) \vec{J} | jm_j \rangle - \frac{1}{2} \langle jm_j | (\vec{J}^2 \vec{S} + \vec{S} \vec{J}^2) | jm_j \rangle$$
$$= \langle jm_j | (\vec{S} \cdot \vec{J}) \vec{J} | jm_j \rangle - \hbar^2 j(j+1) \langle jm_j | \vec{S} | jm_j \rangle$$

where 
$$\hbar^2 j(j+1) = \langle J^2 \rangle_j$$
, and  $\langle j m_j | \vec{S} | j m_j \rangle$  is just  $\langle \vec{S} \rangle_j$ 

$$\Rightarrow \langle \vec{S} \rangle_j = \frac{\langle (\vec{S} \cdot \vec{J}) \vec{J} \rangle_j}{\langle J^2 \rangle_j}$$

This relation is valid for any vector operator over  $\vec{J}$ . And,

$$\vec{S} \cdot \vec{J} = \frac{1}{2}(J^2 + S^2 - L^2)$$

One can deduce,

$$\langle nljm_{j} | S_{z} | nljm_{j} \rangle = \frac{\hbar m_{j}}{\hbar^{2} j(j+1)} \langle nljm_{j} | \vec{S} \cdot \vec{J} | nljm_{j} \rangle$$

$$= \frac{\hbar m_{j}}{\hbar^{2} j(j+1)} \frac{\hbar^{2}}{2} [j(j+1) + \frac{3}{4} - l(l+1)]$$

$$= \frac{\hbar m_{j} [j(j+1) + \frac{3}{4} - l(l+1)]}{2j(j+1)}$$

Put it back to the energy,

$$\begin{split} E_{nljm_j}^{(1)} &= \frac{e}{2mc} B(\hbar m_j + \langle nljm_j | S_z \, | nljm_j \rangle) \\ &= \frac{e\hbar m_j}{2mc} B \underbrace{\left[ 1 + \frac{j(j+1) + \frac{3}{4} - l(l+1)}{2j(j+1)} \right]}_{g_J(e) \, \text{Lande g factor}} \\ &= \frac{e\hbar}{2mc} g_J(e) B m_j \end{split}$$

## 10.2.2 Strong Field Zeeman

The Hamiltonian becomes:

$$H = \underbrace{H_0 + \frac{e}{2mc}(\hat{L}_z + 2\hat{S}_z)B}_{H^{(0)}} + \underbrace{H_f s}_{H^{(1)}}$$

Since  $H_0$  is invariant under rotation, it commutes with any angular momentum.  $[H_0, \hat{L}_z + 2\hat{S}_z] = 0$ , they can be diagonalized simultaneously.

Take the eigenstates:

$$|nlm_lm_s\rangle$$

With eigenvalues:

$$E_n^{(0)} + \frac{e\hbar}{2mc}B(m_l + m_s)$$

$$n = 1 \quad l = 0 \quad \vec{J} = \hat{\sigma} + \vec{L} = 0$$

$$\left| n = 1, j = \frac{1}{2}, l = 0, m_J = \pm \frac{1}{2} \right\rangle$$

$$\Delta E = 2\mu_B B m_J$$

$$n = 2 l = 0, 1, \dots, n - 1$$

$$\left| n = 2, l = 0, j = \frac{1}{2}, m_J = \pm \frac{1}{2} \right\rangle$$

$$\left| n = 2, l = 1, j = \frac{1}{2}, m_J = \pm \frac{1}{2} \right\rangle$$

$$\left| n = 2, l = 1, j = \frac{3}{2}, m_J = \pm \frac{3}{2}, \pm \frac{1}{2} \right\rangle$$

$$\left\langle l, j, m_J | 2\hat{\sigma}_z + \hat{L}_z | l', j', m'_J \right\rangle$$

## Selection rules:

$$m_J = m_J'$$
 
$$|l-l'| \text{ must be even, i.e. } 0, \, 2, \, 4...$$
 
$$|j-j'| \text{ must be } 0 \text{ or } 1$$

## 10.3 Stark Effect

 $H_1=earepsilon\hat{z}$  for z direction electric field. Selection rules  $|l-l'|=1\mod 2$ , i.e. 1, 3, 5...,  $m_j=m_j'$ 

 $= m_J...wtf$ 

# 11 Variational Principle

Consider an arbitrary state  $|\Psi\rangle$  in the Hilbert space

$$|\Psi\rangle H |\Psi\rangle \ge E_0$$

when equality is taken  $|\Psi\rangle = |E_0\rangle$ 

$$|\Psi\rangle = \sum C_n |E_n\rangle$$

$$\frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} - E_0$$

$$= \frac{\sum |C_n|^2 E_n}{\sum |C_n|^2} - E_0$$

$$= \frac{\sum |C_n|^2 (E_n - E_0)}{\sum |C_n|^2} \ge 0$$

$$\langle \Psi_v | H | \Psi_v \rangle = xE_0$$

$$= |C_0|^2 E_0 + \sum_{n=1} |C_n|^2 E_1$$

$$= |C_0|^2 E_0 + (1 - |C_0|^2) E_1$$

$$= -|C_0|^2 (E_1 - E_0) + E_1$$

$$\Rightarrow |C_0|^2 = \frac{E_1 - xE_0}{E_1 - E_0} \ge 0$$

# 12 Landau Level

Two-dimensional particle in magnetic field (z-direction) question.

$$H = \frac{(\hat{\mathbf{P}} - e\mathbf{a})^2}{2m}, \, \vec{D} \times \mathbf{a} = \vec{B}$$

Energy difference:  $\omega_c = \frac{B}{m}$ , degeneracy:  $N = \frac{BL_xL_y}{\phi_0}$ ,  $\phi_0 = \frac{h}{e}$ 

Good basis  $\{|n,m\rangle\}(m,$  angular momentum; n, Landau level).

Choose 
$$\mathbf{a} = B(-y, 0) = -B_y \hat{\mathbf{X}}$$

$$\Rightarrow H = \frac{1}{2m}[(P_x + B_y)^2 + P_y^2]$$