

# QM

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# 1 Basics

## 1.1 Pauli Matrix

$$\mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sigma^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$\hat{\sigma} = \sigma^x \hat{\mathbf{X}} + \sigma^y \hat{\mathbf{Y}} + \sigma^z \hat{\mathbf{Z}}$ , all Pauli matrices are both Hermitian and unitary.

$\hat{\sigma} \cdot \hat{\mathbf{n}}$  is also Hermitian and unitary,  $\hat{\mathbf{n}}$  is a unit vector.

$$(\sigma^j)^2 = \mathbb{1} \quad [\sigma^j, \sigma^k] = 2i\varepsilon_{jkl}\sigma^l \quad \{\sigma^j, \sigma^k\} = 2\delta_{jk}\mathbb{1}$$

$$\hat{\sigma} \cdot \hat{\mathbf{n}} = \sigma^x n_x + \sigma^y n_y + \sigma^z n_z$$

$$\begin{aligned} (\hat{\sigma} \cdot \hat{\mathbf{n}})^2 &= (\sigma^l)^2 n_l^2 + \sum_{j \neq k} \{\sigma^j, \sigma^k\} n_j n_k \\ &= \sum_l n_l^2 \mathbb{1} + 2 \sum_{j \neq k} \delta_{jk} n_j n_k \mathbb{1} \\ &= \mathbb{1} \\ e^{i\theta \hat{\sigma} \cdot \hat{\mathbf{n}}} &= \sum_{k=0}^{\infty} \frac{(i\theta \hat{\sigma} \cdot \hat{\mathbf{n}})^k}{k!} \\ &= \sum_{k=0}^{\infty} \frac{(i\theta \hat{\sigma} \cdot \hat{\mathbf{n}})^{2k}}{(2k)!} + \sum_{l=0}^{\infty} \frac{(i\theta \hat{\sigma} \cdot \hat{\mathbf{n}})^{2l+1}}{(2l+1)!} \\ &= \sum_{k=0}^{\infty} (-1)^k \frac{\theta^{2k}}{(2k)!} \mathbb{1} + i \sum_{l=0}^{\infty} (-1)^l \frac{\theta^{2l+1}}{(2l+1)!} \hat{\sigma} \cdot \hat{\mathbf{n}} \\ &= \cos(\theta) \mathbb{1} + i \sin(\theta) \hat{\sigma} \cdot \hat{\mathbf{n}} \end{aligned}$$

## 1.2 Momentum Operator

### 1.2.1 Momentum Operator Is the Generator of Translation

Set a translation operator  $\hat{\mathbf{T}}_{\mathbf{a}} |\mathbf{x}\rangle = |\mathbf{x} + \mathbf{a}\rangle$ . It is unitary, since  $\langle \mathbf{x} | \hat{\mathbf{T}}_{\mathbf{a}}^\dagger \hat{\mathbf{T}}_{\mathbf{a}} | \mathbf{x} \rangle = \langle \mathbf{x} + \mathbf{a} | \mathbf{x} + \mathbf{a} \rangle = 1 \Rightarrow \hat{\mathbf{T}}_{\mathbf{a}}^\dagger \hat{\mathbf{T}}_{\mathbf{a}} = \mathbb{1}$ .

The position operator  $\mathbf{x}|\mathbf{x}\rangle = \mathbf{x}|\mathbf{x}\rangle$ . And  $\mathbf{x}\hat{\mathbf{T}}_{\mathbf{a}}|\mathbf{x}\rangle = (\mathbf{x} + \mathbf{a})|\mathbf{x} + \mathbf{a}\rangle$ . Any unitary vector can be represented as  $\hat{\mathbf{T}}_{\mathbf{a}} = e^{-i\hat{\mathbf{O}}\cdot\mathbf{a}/\hbar}$ , where  $\hat{\mathbf{O}} = \hat{\mathbf{O}}^\dagger$ . Consider a infinitesimal translation  $d\mathbf{x}$

$$\begin{aligned}\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}}|\mathbf{x}\rangle &= (\mathbf{x} + d\mathbf{x})|\mathbf{x} + d\mathbf{x}\rangle \\ \hat{\mathbf{T}}_{d\mathbf{x}}\hat{\mathbf{X}}|\mathbf{x}\rangle &= \mathbf{x}\hat{\mathbf{T}}_{d\mathbf{x}}|\mathbf{x}\rangle = \mathbf{x}|\mathbf{x} + d\mathbf{x}\rangle\end{aligned}$$

This leads to

$$\begin{aligned}\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}} - \hat{\mathbf{T}}_{d\mathbf{x}}\hat{\mathbf{X}} &= d\mathbf{x} \\ \hat{\mathbf{X}}e^{-i\hat{\mathbf{O}}\cdot d\mathbf{x}/\hbar} - e^{-i\hat{\mathbf{O}}\cdot d\mathbf{x}/\hbar}\hat{\mathbf{X}} &= d\mathbf{x} \\ \hat{\mathbf{X}}(1 - \frac{i}{\hbar}\hat{\mathbf{O}}\cdot d\mathbf{x}) - (1 - \frac{i}{\hbar}\hat{\mathbf{O}}\cdot d\mathbf{x})\hat{\mathbf{X}} &= d\mathbf{x} \\ \frac{i}{\hbar}(\hat{\mathbf{O}}\cdot d\mathbf{x})\hat{\mathbf{X}} - \frac{i}{\hbar}\hat{\mathbf{X}}(\hat{\mathbf{O}}\cdot d\mathbf{x}) &= \frac{i}{\hbar}[\hat{\mathbf{O}}\cdot d\mathbf{x}, \hat{\mathbf{X}}] = d\mathbf{x}\end{aligned}$$

For each direction,

$$\frac{i}{\hbar}[\hat{\mathbf{O}}\cdot d\mathbf{x}, \hat{\mathbf{X}}_j] = d\mathbf{x}_j \Rightarrow \frac{i}{\hbar}[\hat{\mathbf{O}}\cdot d\mathbf{x}, \hat{\mathbf{X}}_j] = dx_j$$

Consider an infinitesimal translation along one direction  $d\mathbf{x}_j$ :

$$\begin{aligned}\hat{\mathbf{T}}_{d\mathbf{x}_j}|\mathbf{x}\rangle &= e^{-i\hat{\mathbf{O}}\cdot d\mathbf{x}_j/\hbar}|\mathbf{x}\rangle = e^{-i\hat{O}_j dx_j/\hbar}|\mathbf{x}\rangle \\ &= |\mathbf{x} + d\mathbf{x}_j\rangle\end{aligned}$$

The commutation relation becomes,

$$\begin{aligned}\hat{\mathbf{X}}\hat{\mathbf{T}}_{d\mathbf{x}_j} - \hat{\mathbf{T}}_{d\mathbf{x}_j}\hat{\mathbf{X}} &= d\mathbf{x}_j = \frac{i}{\hbar}\hat{O}_j dx_j \hat{\mathbf{X}}_j - \frac{i}{\hbar}\hat{\mathbf{X}}_j \hat{O}_j dx_j \\ \Rightarrow dx_j &= \frac{i}{\hbar}[\hat{O}_j dx_j, \hat{\mathbf{X}}_j] = \frac{i}{\hbar}[\hat{\mathbf{O}}\cdot d\mathbf{x}, \hat{\mathbf{X}}_j]\end{aligned}$$

Thus,  $[\hat{\mathbf{X}}_k, \hat{O}_j] = i\hbar\delta_{jk}$ . Aligning with classical mechanics (AKA, idk),  $\hat{\mathbf{O}}$  is the generator of translation, which should be the momentum operator  $\hat{\mathbf{P}}$ . The commutation relation between the position operator and the momentum operator:

$$[\hat{\mathbf{X}}_k, \hat{P}_j] = i\hbar\delta_{jk}$$

Consider

$$\begin{aligned}
\langle \mathbf{x}_j + d\mathbf{x}_j | \psi \rangle &= \psi(\mathbf{x}_j + d\mathbf{x}_j) = \psi(\mathbf{x}_j) + d\mathbf{x}_j \frac{\partial \psi(\mathbf{x}_j)}{\partial \mathbf{x}_j} \\
&= \langle \mathbf{x}_j | T_{d\mathbf{x}_j}^\dagger | \psi \rangle = \langle \mathbf{x}_j | e^{i\hat{P}_j d\mathbf{x}_j/\hbar} | \psi \rangle \\
&= \langle \mathbf{x}_j | (1 + \frac{i}{\hbar} \hat{P}_j d\mathbf{x}_j) | \psi \rangle = \psi(\mathbf{x}_j) + \frac{i}{\hbar} d\mathbf{x}_j \langle \mathbf{x}_j | \hat{P}_j | \psi \rangle \quad (1)
\end{aligned}$$

Thus,  $\langle \mathbf{x}_j | \hat{P}_j | \psi \rangle \hat{\mathbf{n}}_j = -i\hbar \frac{\partial \psi(\mathbf{x}_j)}{\partial \mathbf{x}_j} \Rightarrow \left( \langle \mathbf{x}_j | \hat{P}_j \right) \hat{\mathbf{n}}_j = -i\hbar \frac{\partial}{\partial \mathbf{x}_j} \langle \mathbf{x}_j |$ , and

$$\left( \langle \mathbf{x}_j | \hat{P}_j \right) = -i\hbar \frac{\partial}{\partial x_j} \langle \mathbf{x}_j |$$

In conclusion,

$$\begin{aligned}
\langle \mathbf{x} | \hat{\mathbf{P}} &= -i\hbar \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \\
\hat{\mathbf{P}} | \mathbf{x} \rangle &= | \mathbf{x} \rangle i\hbar \left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger
\end{aligned}$$

What is  $\left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger$ ? Since  $\hat{\mathbf{P}}$  is an observable, it must be Hermitian.

$$\begin{aligned}
\hat{\mathbf{P}} &= \int d^3\mathbf{x} | \mathbf{x} \rangle \langle \mathbf{x} | \hat{\mathbf{P}} = -i\hbar \int d^3\mathbf{x} | \mathbf{x} \rangle \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \\
&= \left( -i\hbar \int d^3\mathbf{x} | \mathbf{x} \rangle \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \right)^\dagger = i\hbar \int d^3\mathbf{x} | \mathbf{x} \rangle \left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger \langle \mathbf{x} | \\
\Rightarrow \left( \frac{\partial}{\partial \mathbf{x}} \right)^\dagger &= -\frac{\partial}{\partial \mathbf{x}}
\end{aligned}$$

So,

$$\hat{\mathbf{P}} | \mathbf{x} \rangle = -| \mathbf{x} \rangle i\hbar \frac{\partial}{\partial \mathbf{x}}$$

With this, one can work out the derivative of  $| \mathbf{x} \rangle$ . From (1), one has (not completely rigorous, but one can show it rigorously)

$$\begin{aligned}
\langle \mathbf{x} + d\mathbf{x} | \psi \rangle &= \langle \mathbf{x} | \psi \rangle + \frac{i}{\hbar} d\mathbf{x} \langle \mathbf{x} | \hat{\mathbf{P}} | \psi \rangle \\
&= \langle \mathbf{x} | \psi \rangle + d\mathbf{x} \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \psi \rangle
\end{aligned}$$

It is true for arbitrary  $\mathbf{x}$ . Thus,

$$\begin{aligned}
\langle \mathbf{x} + d\mathbf{x} | &= \langle \mathbf{x} | + d\mathbf{x} \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \\
\frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | &= \lim_{d\mathbf{x} \rightarrow 0} \frac{\langle \mathbf{x} + d\mathbf{x} | - \langle \mathbf{x} |}{d\mathbf{x}} \\
\left( \frac{\partial}{\partial \mathbf{x}} \langle \mathbf{x} | \right)^\dagger &= \lim_{d\mathbf{x} \rightarrow 0} \frac{|\mathbf{x} + d\mathbf{x}\rangle - |\mathbf{x}\rangle}{d\mathbf{x}} \\
-\frac{\partial}{\partial \mathbf{x}} |\mathbf{x}\rangle &= \lim_{d\mathbf{x} \rightarrow 0} \frac{|\mathbf{x} + d\mathbf{x}\rangle - |\mathbf{x}\rangle}{d\mathbf{x}} \\
\frac{\partial}{\partial \mathbf{x}} |\mathbf{x}\rangle &= \lim_{d\mathbf{x} \rightarrow 0} \frac{|\mathbf{x}\rangle - |\mathbf{x} + d\mathbf{x}\rangle}{d\mathbf{x}}
\end{aligned}$$

The second last step is little confusing—the order is not inversed after taking a joint. Just keep in mind the derivative is always acting on the position eigenstate (not completely clear).

### 1.2.2 Unitary Transformation between Coordinate Space and Momentum Space

Consider the 3-dimensional case. The eigenspectrum of the momentum operator is  $\hat{\mathbf{P}} |\mathbf{p}\rangle = \mathbf{p} |\mathbf{p}\rangle$ , the eigenspectrum of the position operator is  $\hat{\mathbf{X}} |\mathbf{x}\rangle = \mathbf{x} |\mathbf{x}\rangle$ .

$$\begin{aligned}
\langle \mathbf{x} | \hat{\mathbf{P}} |\mathbf{p}\rangle &= \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle \\
\left( -i\hbar \frac{\partial}{\partial \mathbf{x}} \right) \langle \mathbf{x} | \mathbf{p} \rangle &= \mathbf{p} \langle \mathbf{x} | \mathbf{p} \rangle \\
\langle \mathbf{x} | \mathbf{p} \rangle &= A e^{i\mathbf{p} \cdot \mathbf{x} / \hbar}
\end{aligned}$$

Normalization:

$$\begin{aligned}
\langle \mathbf{x}' | \mathbf{x} \rangle &= \delta^{(3)}(\mathbf{x} - \mathbf{x}') \\
&= \int d^3 \mathbf{p} |A|^2 e^{i\mathbf{p} \cdot (\mathbf{x}' - \mathbf{x}) / \hbar} \\
&= (2\pi\hbar)^3 |A|^2 \delta^{(3)}(\mathbf{x} - \mathbf{x}')
\end{aligned}$$

where we have used the Fourier transform of Dirac delta function.

Thus, the momentum eigenstate in the spatial representation is

$$\langle \mathbf{x} | \mathbf{p} \rangle = \frac{1}{(2\pi\hbar)^{n/2}} e^{i\mathbf{p} \cdot \mathbf{x} / \hbar}$$

The spatial eigenstate in the momentum representation is

$$\langle \mathbf{p} | \mathbf{x} \rangle = \frac{1}{(2\pi\hbar)^{n/2}} e^{-i\mathbf{p} \cdot \mathbf{x} / \hbar}$$

where  $n$  is the dimension of space.

### 1.2.3 Position Operator in Momentum Space

What is the position operator in momentum representation?

$$\begin{aligned} \langle \mathbf{p} | \hat{\mathbf{X}} | \psi \rangle &= \mathbf{x} \langle \mathbf{p} | \psi \rangle \\ &= \int d^3\mathbf{x} \langle \mathbf{p} | \hat{\mathbf{X}} | \mathbf{x} \rangle \langle \mathbf{x} | \psi \rangle = \int d^3\mathbf{x} \langle \mathbf{p} | \mathbf{x} \rangle \mathbf{x} \langle \mathbf{x} | \psi \rangle \\ &= \frac{1}{(2\pi\hbar)^{3/2}} \int d^3\mathbf{x} e^{-i\mathbf{p} \cdot \mathbf{x} / \hbar} \mathbf{x} \psi(\mathbf{x}) \\ &= -\frac{\hbar}{i} \frac{\partial}{\partial \mathbf{p}} \frac{1}{(2\pi\hbar)^{3/2}} \int d^3\mathbf{x} e^{-i\mathbf{p} \cdot \mathbf{x} / \hbar} \psi(\mathbf{x}) \\ &= i\hbar \frac{\partial}{\partial \mathbf{p}} \langle \mathbf{p} | \psi \rangle \end{aligned}$$

Thus,  $\langle \mathbf{p} | \hat{\mathbf{X}} = i\hbar \frac{\partial}{\partial \mathbf{p}}$  is a possible representation. According to Stone-von Neumann theorem,  $\langle \mathbf{p} | \hat{\mathbf{X}} = i\hbar \frac{\partial}{\partial \mathbf{p}}$ .

### 1.2.4 Function of Position and Momentum

First, consider 1D case,  $F(\hat{P}) = \sum_n \frac{1}{n!} \frac{\partial^n F(\hat{P})}{\partial \hat{P}^n} \bigg|_0 \hat{P}^n$ . Claim that in 3D case,

$$F(\hat{\mathbf{P}}) = \sum_{n,j} \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_j^n} \bigg|_0 \frac{\hat{P}_j^n}{n!}$$

where  $j$  is the summation over  $x, y, z$ .

$$\begin{aligned} [\hat{X}_i, F(\hat{\mathbf{P}})] &= \sum_{n,i} \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_i^n} \bigg|_0 \frac{1}{n!} [\hat{X}_i, \hat{P}_i^n] \\ &= \sum_n \frac{\partial^n F(\hat{\mathbf{P}})}{\partial \hat{P}_i^n} \bigg|_0 \frac{1}{n!} [\hat{X}_i, \hat{P}_i^n] \end{aligned}$$

The commutator

$$[\hat{X}_i, \hat{P}_i^n] = \hat{X}_i \hat{P}_i^n - \hat{P}_i^n \hat{X}_i$$

Evaluate with an arbitrary state,

$$\begin{aligned} [\hat{X}_i, \hat{P}_i^n] |\psi\rangle &= \int dp_i |p_i\rangle \langle p_i| \hat{X}_i \hat{P}_i^n |\psi\rangle - \int dx_i |x_i\rangle \langle x_i| \hat{P}_i^n \hat{X}_i |\psi\rangle \\ &= \int dp_i |p_i\rangle i\hbar \frac{\partial}{\partial p_i} (p_i^n \langle p_i|\psi\rangle) - \int dx_i |x_i\rangle (-i\hbar)^n \frac{\partial^n}{\partial x_i^n} (x_i \langle x_i|\psi\rangle) \\ &= i\hbar n \int dp_i p_i^{n-1} |p_i\rangle \langle p_i|\psi\rangle + i\hbar \int dp_i p_i^n \frac{\partial}{\partial p_i} \langle p_i|\psi\rangle \\ &\quad - (-i\hbar)^n \int dx_i x_i |x_i\rangle \frac{\partial^n}{\partial x_i^n} \langle x_i|\psi\rangle \\ &= i\hbar \frac{\partial}{\partial p_i} p_i^n + (i\hbar)^n \frac{\partial^n}{\partial \hat{X}_i^n} \hat{X}_i \\ &= ni\hbar p_i^{n-1} \end{aligned}$$

One has

$$\begin{aligned} [\hat{X}_i, F(\mathbf{p})] &= \sum_{n=0} \frac{\partial^n F(\mathbf{p})}{\partial p_i^n} \bigg|_0 \frac{1}{n!} ni\hbar p_i^{n-1} \\ &= \sum_{n=1} \frac{\partial^n F(\mathbf{p})}{\partial p_i^n} \bigg|_0 \frac{1}{n!} ni\hbar p_i^{n-1} \\ &= i\hbar \frac{\partial}{\partial p_i} \sum_{n=1} \frac{\partial^{n-1} F(\mathbf{p})}{\partial p_i^{n-1}} \bigg|_0 \frac{1}{(n-1)!} p_i^{n-1} \\ &= i\hbar \frac{\partial}{\partial p_i} \sum_{n=0} \frac{\partial^n F(\mathbf{p})}{\partial p_i^n} \bigg|_0 \frac{1}{n!} p_i^n \\ &= i\hbar \frac{\partial F(\mathbf{p})}{\partial p_i} \end{aligned}$$

## 2 Simple Harmonic Oscillator

$$\begin{aligned} H &= \frac{P^2}{2m} + \frac{m\omega^2 X^2}{2} \\ m\omega^2 X^2 &\rightarrow X^2, \frac{P^2}{2m} \rightarrow \frac{P^2}{2} \\ \Rightarrow H &= \frac{P^2}{2} + \frac{X^2}{2} \end{aligned}$$

Construct ladder operators,

$$\begin{aligned} a &= (X + iP)/\sqrt{2} \\ a^\dagger &= (X - iP)/\sqrt{2} \end{aligned}$$

One has,

$$\begin{aligned} X &= (a + a^\dagger)/\sqrt{2} \\ P &= (a - a^\dagger)/i\sqrt{2} \end{aligned}$$

The commutator of  $a$  and  $a^\dagger$ :

$$\begin{aligned} [a, a^\dagger] &= \frac{1}{2}[X + iP, X - iP] \\ &= \frac{1}{2}(-i[X, P] + i[P, X]) \\ &= 1 \end{aligned}$$

Given that  $[\hat{\mathbf{X}}, \hat{P}] = i$  is equivalent to

$$\hat{P} = -i\frac{\partial}{\partial \hat{\mathbf{X}}} \text{ and } \hat{\mathbf{X}} = i\frac{\partial}{\partial \hat{P}}$$

One has

$$\hat{a} = \frac{\partial}{\partial \hat{a}^\dagger} \text{ and } \hat{a}^\dagger = -\frac{\partial}{\partial \hat{a}}$$

Substituting into the Hamiltonian:

$$H = a^\dagger a + \frac{1}{2}$$



Take the eigenstates to be  $a^\dagger a |n\rangle = n |n\rangle$ , one has

$$\begin{aligned}
a^\dagger a a |n\rangle &= (a a^\dagger - 1) a |n\rangle = (n - 1) a |n\rangle \\
\Rightarrow a |n\rangle &\propto |n - 1\rangle, a^\dagger |n\rangle \propto |n + 1\rangle \\
a |n\rangle &= c |n - 1\rangle \\
\langle n | a^\dagger a |n\rangle &= |c|^2 \langle n - 1 | n - 1\rangle \\
n &= |c|^2 \\
|c| &= \sqrt{n} e^{i\theta}
\end{aligned}$$

where  $e^{i\theta}$  is the phase factor.  $a |n\rangle = \sqrt{n} |n - 1\rangle, a^\dagger = \sqrt{n + 1} |n + 1\rangle$

### 3 Composite System

Tensor product

$$\begin{pmatrix} a_0 & b_0 \\ c_0 & d_0 \end{pmatrix} \otimes \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} = \begin{pmatrix} a_0 a_1 & a_0 b_1 & b_0 a_1 & b_0 b_1 \\ a_0 c_1 & a_0 d_1 & b_0 c_1 & b_0 d_1 \\ c_0 a_1 & c_0 b_1 & d_0 a_1 & d_0 b_1 \\ c_0 c_1 & c_0 d_1 & d_0 c_1 & d_0 d_1 \end{pmatrix}$$

### 4 Density Matrix

Claim  $\langle \hat{O} \rangle = \text{Tr} [|\psi\rangle \langle \psi| \hat{O}]$ .  $\text{Tr} \hat{\Omega} = \sum_i \langle \phi_i | \hat{\Omega} | \phi_i \rangle$ .  $|\phi_i\rangle$  is arbitrary basis set.

Proof for  $\text{Tr } \hat{\Omega} = \sum_i \langle \phi_i | \hat{\Omega} | \phi_i \rangle$ :

$\text{Tr } \hat{\Omega} = \text{Tr } U^\dagger \hat{\Omega} U$  (where  $U$  is the unitary matrix of eigenvectors of  $\Omega$ )

$$= \sum_i \lambda_i$$

$$= \sum_i \langle i | \hat{\Omega} | i \rangle$$

Insert arbitrary basis  $\{|\phi_n\rangle\}$

$$= \sum_{m,n,i} \langle i | \phi_m \rangle \langle \phi_m | \hat{\Omega} | \phi_n \rangle \langle \phi_n | i \rangle$$

$$= \sum_{m,n} \langle \phi_n | \phi_m \rangle \langle \phi_m | \hat{\Omega} | \phi_n \rangle$$

$$= \sum_i \langle \phi_i | \hat{\Omega} | \phi_i \rangle$$

Q.E.D

Proof for  $\langle \hat{O} \rangle = \text{Tr} [|\psi\rangle \langle \psi| \hat{O}]$ :

Choose  $|\psi\rangle$  to be one of the eigenvectors, and  $\{|\phi_i\rangle\}$  to be the eigenbasis.

$$\text{Tr} [|\psi\rangle \langle \psi| \hat{O}] = \sum_i \langle \phi_i | \psi \rangle \langle \psi | \hat{O} | \phi_i \rangle = \langle \hat{O} \rangle$$

Q.E.D

## 4.1 Density Matrix $\rho$

$$\hat{\rho} = |\psi\rangle \langle \psi|$$

$$\Rightarrow \langle \hat{O} \rangle = \text{Tr} [\hat{\rho} \cdot \hat{O}] \text{ (pure state)}$$

For mixed state,  $\hat{\rho} \equiv \sum_i P_i |\psi_i\rangle \langle \psi_i|$ .

If  $\rho$  has only one nonzero eigenvalue, it is a pure state.  $\text{Eigen}(\rho) = \{\lambda, 0, 0, \dots\}$ .

$$\begin{aligned}
\langle \hat{O} \rangle &= \sum_i P_i \langle \psi_i | \hat{O} | \psi_i \rangle \\
&= \sum_i P_i \text{Tr} \left[ |\psi_i\rangle \langle \psi_i| \hat{O} \right] \\
&= \text{Tr} \left[ \hat{O} \sum_i P_i |\psi_i\rangle \langle \psi_i| \right] \\
&= \text{Tr} \left[ \hat{O} \cdot \hat{\rho} \right] \text{ (Recall that } \text{Tr}[AB] = \text{Tr}[BA] \text{)}
\end{aligned}$$

$\text{Tr}[\rho] = 1$  for all states,  $\text{Tr}[\rho^2] = 1$  for pure states,  $\text{Tr}[\rho^2] < 1$  for mixed states.

## 4.2 Von Neumann Entanglement

$S_A = -\text{Tr}_{\bar{A}}[\rho_{\bar{A}} \log \rho_{\bar{A}}] = -\sum_i \lambda_{i\bar{A}} \log \lambda_{i\bar{A}}$ ,  $\lambda_{i\bar{A}}$  is the eigenvalue of  $\rho_{\bar{A}}$ .  $\bar{A}$  denotes the complement of Hilbert space A. For two Hilbert spaces, A, B,  $\bar{A}$  represents Hilbert space B.

The maximum value of  $S_A$ :  $\text{Max}(S_A) = \log(|\mathcal{H}_A|)$ , where  $|\mathcal{H}_A|$  is the dimension of  $\mathcal{H}_A$ .

Proof for n-dimensional Hilbert space:

## 5 Quantum Dynamics

Starting with TDSE, and assuming  $H$  is time-independent,

$$\begin{aligned}
i\hbar \frac{\partial |\psi(t)\rangle}{\partial t} &= H |\psi(t)\rangle \\
\Rightarrow |\psi(t)\rangle &= e^{-i\frac{H}{\hbar}t} |\psi(t=0)\rangle
\end{aligned}$$

where  $e^{-i\frac{H}{\hbar}t} |\psi(t=0)\rangle$  is called **Schrödinger Evolved State**,  $e^{-i\frac{H}{\hbar}t}$  is the **Time Evolution Operator**. To make life easier, ignore  $\hbar$ ,  $|\psi(t)\rangle =$

$e^{-iHt} |\psi(t=0)\rangle$ . Thinking of the evolution from the point of Schrödinger evolved state is called **Schrödinger's Picture**

Given arbitrary observable  $\hat{O}$ ,

$$\begin{aligned}\langle \hat{O} \rangle(t) &= \langle \psi(t) | \hat{O} | \psi(t) \rangle \\ &= \langle \psi(t=0) | e^{iHt} \hat{O} e^{-iHt} | \psi(t=0) \rangle\end{aligned}$$

which gives another way to think about the evolution, from the point of operator. This is **Heisenberg's Picture**. **Heisenberg Evolved Operator** is defined as:

$$\hat{O}(t) \equiv e^{iHt} \hat{O} e^{-iHt}$$

An important equation can be derived:

$$\begin{aligned}\frac{d\hat{O}(t)}{dt} &= (iH) e^{iHt} \hat{O} e^{-iHt} + e^{iHt} \hat{O} e^{-iHt} (-iH) \\ &= i[H, \hat{O}(t)]\end{aligned}$$

which is the **Heisenberg's equation of motion** (EOM).

Naturally, we have

$$\frac{d\hat{H}(t)}{dt} = i[H, H(t)] = 0$$

Note that commutation relation does not change with time, which can be easily verified.

Power of EOM:

For SHO,

$$\begin{aligned}\frac{d\hat{\mathbf{X}}(t)}{dt} &= \hat{P}(t), \quad \frac{d\hat{P}(t)}{dt} = -\hat{\mathbf{X}}(t) \\ \frac{d\hat{a}(t)}{dt} &= -\hat{a}(t) \\ \Rightarrow a(t) &= e^{-it}a(0) = [\hat{\mathbf{X}}(t) + i\hat{P}(t)]/\sqrt{2} \\ \Rightarrow a^\dagger(t) &= e^{it}a^\dagger(0) = [\hat{\mathbf{X}}(t) - i\hat{P}(t)]/\sqrt{2} \\ \Rightarrow \hat{\mathbf{X}}(t) &= \hat{\mathbf{X}}(0)\cos(t) + \hat{P}(0)\sin(t) \\ \Rightarrow \hat{P}(t) &= \hat{P}(0)\cos(t) - \hat{\mathbf{X}}(0)\sin(t)\end{aligned}$$

## 6 Generator

### Translation generator

$\hat{P}$  is the generator of translation

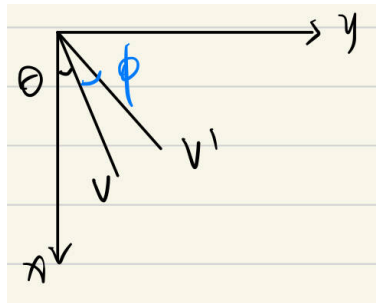
$$e^{-i\hat{P}a}|\mathbf{x}\rangle = |x+a\rangle$$

$$e^{i\hat{P}a}\hat{\mathbf{X}}e^{-i\hat{P}a} = \hat{\mathbf{X}} + a$$

### Rotation generator

First, deriving rotation matrix.

For rotation by z-axis.



One has

$$V = V'$$

$$V_x = V \cos \theta$$

$$V_y = V \sin \theta$$

$$V'_x = V' \cos (\theta + \phi) = V'(\cos \theta \cos \phi - \sin \theta \sin \phi) = V_x \cos \phi - V_y \sin \phi$$

$$V'_y = V' \sin (\theta + \phi) = V'(\sin \theta \cos \phi + \cos \theta \sin \phi) = V_x \sin \phi + V_y \cos \phi$$

$$\Rightarrow R_z(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\text{Similarly, } R_x(\phi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi \\ 0 & \sin \phi & \cos \phi \end{pmatrix} R_y(\phi) = \begin{pmatrix} \cos \phi & 0 & \sin \phi \\ 0 & 1 & 0 \\ -\sin \phi & 0 & \cos \phi \end{pmatrix}$$

$$\hat{D}(R) = e^{-i\theta \vec{n} \cdot \hat{L}}, \quad \hat{L} = \hat{r} \times \hat{P}$$

## 7 Angular Momentum

### 7.1 Definition

$$J_{\pm} = J_x \pm iJ_y$$
$$J^2 = J_x^2 + J_y^2 + J_z^2$$

### 7.2 Commutation relation

$$[J_i, J_j] = i\varepsilon_{ijk}J_k$$

$$[J_+, J_-] = [J_x + iJ_y, J_x - iJ_y] = -2i[J_x, J_y] = 2J_z$$

$$[J_z, J_+] = [J_z, J_x + iJ_y] = iJ_y + J_x = J_+$$

$$[J_z, J_-] = [J_z, J_x - iJ_y] = iJ_y - J_x = -J_-$$

$$\begin{aligned} [J^2, J_j] &= \left[ \sum_i J_i^2, J_j \right] = [J_i^2, J_j] + [J_k^2, J_j] \\ &= i\varepsilon_{ijk}(J_i J_k + J_k J_i) + i\varepsilon_{kji}(J_i J_k + J_k J_i) \\ &= 0 \end{aligned}$$

$\varepsilon_{ijk}$  equals  $-\varepsilon_{kji}$ .

### 7.3 Eigenvalue

According to the commutation relations, one can only simultaneously take the eigenvalue of  $J^2$  and one of  $J_i$  or  $J_{\pm}$ , since  $J^2$  commutes with all of

them, but they do not commute with each other. Choose:

$$\begin{aligned} J_z |j, m\rangle &= m |j, m\rangle \\ J^2 |j, m\rangle &= j(j+1) |j, m\rangle \end{aligned}$$

One can derive:

$$\begin{aligned} J_z J_\pm |j, m\rangle &= (J_\pm J_z \pm J_\pm) |j, m\rangle \\ &= (m \pm 1) J_\pm |j, m\rangle \end{aligned}$$

$J_\pm |j, m\rangle$  acts as  $|j, m \pm 1\rangle$ ,  $J_\pm |j, m\rangle = C_\pm(j, m) |j, m \pm 1\rangle$ . Since  $(J_+)^{\dagger} = J_-$ ,

$$\begin{aligned} \langle j, m | J_{\mp} J_{\pm} |j, m\rangle &= |C_{\pm}(j, m)|^2 \\ &= \langle j, m | (J_x^2 + J_y^2 \pm i[J_x, J_y]) |j, m\rangle \\ &= \langle j, m | (J^2 - J_z^2 \mp J_z) |j, m\rangle \\ &= j(j+1) - m(m \pm 1) \\ \Rightarrow C_{\pm}(j, m) &= \sqrt{j(j+1) - m(m \pm 1)} \\ \Rightarrow J_{\pm} |j, m\rangle &= \sqrt{j(j+1) - m(m \pm 1)} |j, m \pm 1\rangle \end{aligned}$$

## 7.4 Angular Momentum Addition

Add angular momentum  $j_1$  to  $j_2$ , it forms complete basis of  $(2j_1+1)(2j_2+1)$  states.

$|j_1, m_1, j_2, m_2\rangle$  also eigenstates of  $J_z$  but usually not eigenstates of  $J^2$ .

Let  $j = j_1 + j_2$  be the total angular momentum, it takes values of  $|j_1 - j_2|, |j_1 - j_2| + 1, \dots, j_1 + j_2$

First, we can uniquely determine two states:

$$\begin{aligned} |j_1 + j_2, j_1 + j_2\rangle &= |j_1, j_1\rangle \otimes |j_2, j_2\rangle \\ |j_1 + j_2, -j_1 - j_2\rangle &= |j_1, -j_1\rangle \otimes |j_2, -j_2\rangle \end{aligned}$$



Then, act  $J_-$  on both sides of the first equation:

$$\begin{aligned} & \sqrt{j(j+1) - m(m-1)} |j = j_1 + j_2, m = j_1 + j_2 - 1\rangle = \\ & \sqrt{j_1(j_1+1) - m_1(m_1-1)} |j_1, j_1 - 1\rangle \otimes |j_2, j_2\rangle \\ & + \sqrt{j_2(j_2+1) - m_2(m_2-1)} |j_1, j_1\rangle \otimes |j_2, j_2 - 1\rangle \end{aligned}$$

Repeat this procedure, one can calculate to  $m = |j_1 - j_2|$

Now, one has calculated all the states of  $j = j_1 + j_2$ . Let's move on to  $j = j_1 + j_2 - 1$ .  $|j = j_1 + j_2 - 1, m = j_1 + j_2 - 1\rangle$  must be orthogonal to  $|j = j_1 + j_2, m = j_1 + j_2 - 1\rangle$ . So, one can directly write down the expression of it

$$|j = j_1 + j_2 - 1, m = j_1 + j_2 - 1\rangle = \frac{\sqrt{j_2(j_2+1) - m_2(m_2-1)}}{\sqrt{j(j+1) - m(m-1)}} |j_1, j_1 - 1\rangle \otimes |j_2, j_2\rangle - \frac{\sqrt{j_1(j_1+1) - m_1(m_1-1)}}{\sqrt{j(j+1) - m(m-1)}} |j_1, j_1\rangle \otimes |j_2, j_2 - 1\rangle$$

Using  $J_-$  procedure, one can write all other states with  $j = j_1 + j_2 - 1$ .

A technique to simplify the calculation is that one can use symmetry to obtain the corresponding states.

Let's say,

$$|j_1 + j_2, j_1 + j_2 - 1\rangle = c_1 |j_1, j_1 - 1\rangle \otimes |j_2, j_2\rangle + c_2 |j_1, j_1\rangle \otimes |j_2, j_2 - 1\rangle.$$

Via symmetry,

$$|j_1 + j_2, -(j_1 + j_2 - 1)\rangle = c_1 |j_1, -(j_1 - 1)\rangle \otimes |j_2, -j_2\rangle + c_2 |j_1, -j_1\rangle \otimes |j_2, -(j_2 - 1)\rangle$$

Mind the sign change, if there is minus sign in the equation.

## 8 Tensor Operator

### 8.1 Vector operator

Satisfy  $[\hat{V}_i, \hat{J}_j] = i\varepsilon_{ijk}\hat{V}_k$ , let  $\hat{V}_0 = \hat{V}_z, \hat{V}_{\pm 1} = \mp(\hat{V}_x \pm i\hat{V}_y)/\sqrt{2}$ .

$$\begin{aligned} [\hat{J}_z, \hat{V}_m] &= m\hat{V}_m \\ [\hat{J}_{\pm}, \hat{V}_m] &= \sqrt{j(j+1) - m(m \pm 1)}\hat{V}_{m \pm 1} \end{aligned}$$

where  $j = 1$  and  $m = 0, \pm 1$ .  $\hat{V}_{m_1} |j_2, m_2\rangle$  for any  $j_2$  and  $m_2$  transform under rotation in the same way as a state  $|j_1 = 1, m_1\rangle \otimes |j_2, m_2\rangle$ . This directly follows from the above commutation relation:

$$\begin{aligned} &\hat{J}_z \hat{V}_{m_1} |j_2, m_2\rangle \\ &= (\hat{V}_{m_1} \hat{J}_z + m_1 \hat{V}_{m_1}) |j_2, m_2\rangle \\ &= (m_1 + m_2) \hat{V}_{m_1} |j_2, m_2\rangle \end{aligned}$$

The result is the same as  $\hat{J}_z |j_1 = 1, m_1\rangle \otimes |j_2, m_2\rangle$ . Similar result can be found with acting  $\hat{J}_{\pm}$  on  $\hat{V}_{m_1} |j_2, m_2\rangle$ .

$\Rightarrow$  Acting with  $\hat{V}_m$  on  $|j_2, m_2\rangle$  is akin to adding angular momentum of unit 1 to the state  $|j_2, m_2\rangle$ .

### 8.2 Tensor Operator

A set of operators  $\hat{O}_{j,m}$  with  $m = -j, -j+1, \dots, j-1, j$  that satisfy,

$$\begin{aligned} [\hat{J}_z, \hat{O}_{j,m}] &= m\hat{O}_{j,m} \\ [\hat{J}_{\pm}, \hat{O}_{j,m}] &= \sqrt{j(j+1) - m(m \pm 1)}\hat{O}_{j, m \pm 1} \end{aligned}$$

Therefore, a vector operator is just a special case of a tensor operator with  $j = 1$ . Similarly,  $\hat{O}_{j_1, m_1} |j_2, m_2\rangle$  transforms under rotation in the same way as  $|j_1, m_1\rangle \otimes |j_2, m_2\rangle$ .

### 8.3 Wigner-Eckart Theorem

## 9 Perturbation Theory

### 9.1 Non-degenerate perturbation theory

If the "target" state is separated from any nearby state  $|\beta\rangle$  by a gap,  $\Delta = |E_\beta - E_\alpha| \gg \lambda \langle \alpha | H_1 | \beta \rangle$ , it can be dealt with non-degenerate perturbation theory.

System Hamiltonian  $H = H_0 + \lambda H_1$ ,  $\lambda$  is a small number. Unperturbed states  $|\alpha\rangle, |\beta\rangle, |\gamma\rangle$ , corresponding perturbed states are  $|a\rangle, |b\rangle, |c\rangle$ .

$$|a\rangle = c_\alpha |\alpha\rangle + \sum_{\alpha \neq \beta} d_\beta |\beta\rangle \quad (\text{eq.8.1.1})$$

$$H_0 |\alpha\rangle = E_\alpha |\alpha\rangle$$

$$H |a\rangle = E_a |a\rangle \quad (\text{eq.8.1.2})$$

And  $\lambda \rightarrow 0$ ,

$$|a\rangle \rightarrow |\alpha\rangle$$

$$E_a \rightarrow E_\alpha$$

Here,  $|\beta\rangle$  represents all the states in addition to  $|\alpha\rangle$ . One can expect  $c_\alpha$  to be much larger than  $d_\beta$ , since  $|a\rangle$  is just the perturbed state of  $|\alpha\rangle$ , which should be mainly composed of  $|\alpha\rangle$ .

Act  $\langle \beta | H$  on both sides of (Eq. 8.1.1),

$$\begin{aligned} \langle \beta | (H_0 + \lambda H_1) |a\rangle &= \langle \beta | (H_0 + \lambda H_1) \left( c_\alpha |\alpha\rangle + \sum_{\beta' \neq \alpha} d_{\beta'} |\beta'\rangle \right) \\ d_\beta E_a &= d_\beta E_\beta + \lambda c_\alpha \langle \beta | H_1 | \alpha \rangle + \lambda \sum_{\beta' \neq \alpha} d_{\beta'} \langle \beta | H_1 | \beta' \rangle \end{aligned}$$

$d_\beta$  for  $\beta \neq \alpha$  is  $O(\lambda)$ , the last term on RHS is  $O(\lambda^2)$

$$\begin{aligned} d_\beta &= \frac{\lambda c_\alpha \langle \beta | H_1 | \alpha \rangle}{E_a - E_\beta} + \frac{\lambda \sum_{\beta' \neq \alpha} d_{\beta'} \langle \beta | H_1 | \beta' \rangle}{E_a - E_\beta} \\ &= \frac{\lambda c_\alpha \langle \beta | H_1 | \alpha \rangle}{E_a - E_\beta} + O(\lambda^2) \end{aligned}$$

$|c_\alpha|^2 + \sum_{\beta' \neq \alpha} d_{\beta'}^2 = 1, \Rightarrow |c_\alpha| = 1 - O(\lambda^2)$ . One may choose  $c_\alpha = 1$ .

$$\begin{aligned} \Rightarrow d_\beta &= \frac{\lambda \langle \beta | H_1 | \alpha \rangle}{E_a - E_\beta} + O(\lambda^2) \\ \Rightarrow |a\rangle &= |\alpha\rangle + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta | H_1 | \alpha \rangle}{E_a - E_\beta} + O(\lambda^2) \end{aligned}$$

Combining eq.8.1.2

$$\Rightarrow E_a |a\rangle = (H_0 + \lambda H_1)(c_\alpha |\alpha\rangle) + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta | H_1 | \alpha \rangle}{E_a - E_\beta} + O(\lambda^2)$$

Choose  $c_\alpha = 1$ , and act  $\langle \alpha |$  on both sides

$$\Rightarrow E_a = E_\alpha + \lambda \langle \alpha | H_1 | \alpha \rangle + \lambda^2 \sum_{\beta \neq \alpha} \frac{|\langle \beta | H_1 | \alpha \rangle|^2}{E_a - E_\beta}$$

Since  $E_a = E_\alpha + O(\lambda)$ , replace  $E_a$  by  $E_\alpha$  in the denominator on RHS.

$$\begin{aligned} E_a &= E_\alpha + \lambda \langle \alpha | H_1 | \alpha \rangle + \lambda^2 \sum_{\beta \neq \alpha} \frac{|\langle \beta | H_1 | \alpha \rangle|^2}{E_\alpha - E_\beta} + O(\lambda^3) \\ |a\rangle &= |\alpha\rangle + \lambda \sum_{\beta \neq \alpha} |\beta\rangle \frac{\langle \beta | H_1 | \alpha \rangle}{E_\alpha - E_\beta} + O(\lambda^2) \end{aligned}$$

## 9.2 Example

$$H = \frac{X^2 + P^2}{2} - FX$$

### 9.3 Degenerate Perturbation Theory

If  $\lambda \langle \alpha | H_1 | \beta \rangle$  is of the same order of  $E_\alpha - E_\beta$ , state  $|\alpha\rangle$  and state  $|\beta\rangle$  are close.

Interested degenerate states belong to sub-Hilbert space D, labeled as  $\{|\alpha\rangle, |\beta\rangle, \dots\}$ . The degenerate states far away from interested states are called  $\{|\mu\rangle, |\Delta\rangle, |\lambda\rangle, \dots\}$ . Perturbed states are  $\{|a\rangle, |b\rangle, \dots\}$ .

$$\text{Perturbed states: } |a\rangle = \sum_{\alpha \in D} c_\alpha |\alpha\rangle + \sum_{\mu \notin D} d_\mu |\mu\rangle$$

**Deriving  $d_\mu$**

$$\langle \mu | E_a | a \rangle = \langle \mu | H | a \rangle$$

$$d_\mu E_a = \langle \mu | (H_0 + \lambda H_1) \left( \sum_{\alpha \in D} c_\alpha |\alpha\rangle + \sum_{\mu' \notin D} d_{\mu'} |\mu'\rangle \right)$$

$$d_\mu E_a = d_\mu E_\mu + \lambda \sum_{\alpha \in D} \langle \mu | H_1 | \alpha \rangle c_\alpha + \lambda \sum_{\mu' \notin D} \langle \mu | H_1 | \mu' \rangle d_{\mu'}$$

Keeping  $O(\lambda)$  term,

$$\Rightarrow d_\mu = \frac{\lambda \sum_{\alpha \in D} c_\alpha \langle \mu | H_1 | \alpha \rangle}{E_a - E_\mu}$$

To the leading order,  $E_a$  = mean energy of levels within D  $\equiv \bar{E}_D$ .

$$\Rightarrow d_\mu = \frac{\lambda \sum_{\alpha \in D} c_\alpha \langle \mu | H_1 | \alpha \rangle}{\bar{E}_D - E_\mu}$$

**Deriving  $c_\beta$**

$$\langle \beta | E_a | a \rangle = \langle \beta | H | a \rangle$$

$$c_\beta E_a = \langle \beta | (H_0 + \lambda H_1) \left( \sum_{\alpha \in D} c_\alpha |\alpha\rangle + \sum_{\mu \notin D} d_\mu |\mu\rangle \right)$$

$$c_\beta E_a = c_\beta E_\beta + \lambda \sum_{\alpha \in D} \langle \beta | H_1 | \alpha \rangle c_\alpha + \lambda \sum_{\mu \notin D} \langle \beta | H_1 | \mu \rangle d_\mu$$

$$c_\beta E_a = c_\beta E_\beta + \lambda \sum_{\alpha \in D} \langle \beta | H_1 | \alpha \rangle c_\alpha + \lambda^2 \sum_{\alpha \in D} c_\alpha \sum_{\mu \notin D} \frac{\langle \beta | H_1 | \mu \rangle \langle \mu | H_1 | \alpha \rangle}{\bar{E}_D - E_\mu}$$

### Effective Hamiltonian for subspace **D**

$$\langle \beta | H_{eff} | \alpha \rangle = \langle \beta | H_0 | \alpha \rangle + \lambda \langle \beta | H_1 | \alpha \rangle + \lambda^2 \sum_{\mu \notin D} \frac{\langle \beta | H_1 | \mu \rangle \langle \mu | H_1 | \alpha \rangle}{\bar{E}_D - E_\mu}$$

$H_{eff}$  has eigenstates  $|\psi\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle$ , corresponding Schrodinger equation is

$$H_{eff} |\psi\rangle = E_a |\psi\rangle$$

## 10 Hydrogen Atom

The Hamiltonian under relative coordinate:

$$H_0 = \frac{P^2}{2m} - \frac{e^2}{r}$$

where  $m$  is the reduced mass,  $r$  is the distance between electron and nuclear.

$$\text{Born radius: } a_0 = \frac{\hbar^2}{me^2} \sim 53 \text{ pm}$$

$$\text{Energy: } E_n = -\frac{e^2}{2a_0} \frac{1}{n^2}$$

$$\text{Fine structure constant: } \alpha = \frac{e^2}{\hbar c} \approx \frac{1}{137}$$

$$\frac{e^2}{2a_0} = \frac{me^4}{2\hbar^2} = \frac{m\alpha^2 c^2}{2}$$

$$\Rightarrow E_n = -\frac{1}{2}\alpha^2 mc^2 \frac{1}{n^2}$$

$$P \sim \frac{\hbar}{a_0} = \frac{me^2}{\hbar} = m(\alpha c)$$

### 10.1 Fine Structure

#### 10.1.1 Pauli Equation

Magnetic momentum  $\vec{\mu}$  of an electron:

$$\vec{\mu} = g \frac{e}{2mc} \vec{S}$$

For electrons,  $g = 2$

$$\vec{\mu} = 2 \left( -\frac{e}{2mc} \right) \frac{\hbar}{2} \hat{\sigma} = -\frac{e\hbar}{2mc} \hat{\sigma}$$

With an external magnetic field  $B_{\text{ext}}$ ,

$$H = -\vec{\mu} \cdot \vec{B} = \frac{e\hbar}{2mc} \hat{\sigma} \cdot \vec{B}$$

### 10.1.2 Darwin Term

It is caused by charge distribution. One cannot continue regarding electron as a pure point charge. It only affects  $l = 0$ .

$$\frac{\hbar^2}{8m^2c^2}\nabla^2V$$

### 10.1.3 Relativistic Term

The Hamiltonian is

$$H = \sqrt{\hat{\mathbf{P}}^2c^2 + m^2c^4} \sim H_0 - \frac{\hat{\mathbf{P}}^4}{8m^3c^2}$$

Evaluation of the correction on total energy,

$$\begin{aligned} E_{nlm_l}^{(1)rel} &= -\frac{1}{8m^3c^2} \langle \psi_{nlm_l} | \hat{\mathbf{P}}^4 | \psi_{nlm_l} \rangle \\ &= -\frac{1}{8m^3c^2} \left\langle \hat{\mathbf{P}}^2 \psi_{nlm_l} \left| \hat{\mathbf{P}}^2 \psi_{nlm_l} \right. \right\rangle \\ &= -\frac{1}{2mc^2} \langle (E_n - V(r)) \psi_{nlm_l} | (E_n - V(r)) \psi_{nlm_l} \rangle \end{aligned}$$

in the second step we use the fact that  $\hat{\mathbf{P}}^2$  is Hermitian. In the last step, using the fact that  $(\frac{\hat{\mathbf{P}}^2}{2m} + V(r))\psi_{nlm_l} = E_n\psi_{nlm_l}$ .

It is diagonal, since  $[\hat{\mathbf{P}}^4, \vec{L}^2] = 0$  and  $[\hat{\mathbf{P}}^4, L_z] = 0$ .

Further write as

$$E_{nlm_l}^{(1)rel} = -\frac{1}{8}\alpha^4(mc^2) \left[ \frac{4n}{l + \frac{1}{2}} - 3 \right]$$

**Uncoupled basis:**  $\{|nlm_lm_s\rangle\}$

**Coupled basis:**  $\{|nljm_j\rangle\}$

### 10.1.4 Spin-Orbit Coupling Term

Leading by the interaction between the magnetic field generated by proton and the electron's magnetic dipole. The Hamiltonian is

$$H_{spin-orbit} = \frac{e^2}{2m^2c^2} \frac{1}{r^3} \vec{S} \cdot \vec{L}$$



Notice that  $\frac{\vec{S} \cdot \vec{L}}{r^3}$  commutes with  $\vec{L}^2$ ,  $\vec{J}^2$ ,  $J_z$ . One can use coupled basis to diagonalize the Hamiltonian. Energy correction:

$$\begin{aligned}
E_{nljm_j}^{(1)} &= \frac{e^2}{2m^2c^2} \langle nljm_j | \frac{\vec{S} \cdot \vec{L}}{r^3} | nljm_j \rangle \\
&= \frac{e^2\hbar^2}{4m^2c^2} \left( j(j+1) - l(l+1) - \frac{3}{4} \right) \underbrace{\langle nljm_j | \frac{1}{r^3} | nljm_j \rangle}_{\frac{1}{n^3 a_0^3 l(l+1)(l+\frac{1}{2})}} \\
&= \frac{(E_n^{(0)})^2}{mc^2} \frac{n[j(j+1) - l(l+1) - \frac{3}{4}]}{l(l+\frac{1}{2})(l+1)}
\end{aligned}$$

### 10.1.5 Fine-Structure Corrections

$$E_{nljm_j}^{(1)} = -\alpha^4(mc^2) \frac{1}{2n^4} \left[ \frac{n^7}{j+\frac{1}{2}} - \frac{3}{4} \right]$$

$$\vec{J}^2 = (\vec{L} + \hat{\sigma})^2$$

$$[\vec{L}, \hat{\sigma}] = 0$$

$$[\vec{L}_z, \hat{\sigma}_z] \neq 0$$

An additional quantum number n compare with addition of angular momentum.

$$H = \sum_{n,j,l,m_J} E(n,j) |n,j,l,m_J\rangle \langle n,j,l,m_J|$$

This is called fine structure.

$$E(n,j) = -\frac{\alpha^2 m_e}{n^2} - \frac{\alpha^4 m_e}{2n^3} \left[ \frac{-3}{4n} + \frac{1}{j+\frac{1}{2}} \right]$$

Contributions: (1).  $\frac{P^2}{2m}$ (non-relativistic)  $\rightarrow \sqrt{p^2 + m^2}$ (relativistic), (2).  $\hat{\sigma} \cdot \vec{L}$ (spin-orbit coupling), (3).  $l=0$ (Darwin-term)  $\propto |\Psi(r=0)|^2$

Correction due to dispersion:

$$\begin{aligned}
H &= \sqrt{p^2 + m^2} - m - \frac{e^2}{r} \approx \frac{p^2}{2m} - \frac{e^2}{r} - \frac{p^4}{8m^3} + \dots \text{(expansion in } \frac{1}{m}) \\
\delta E(nlm_l m_s) &= \frac{-1}{8m^3} \langle nlm_l m_s | P^4 | nlm_l m_s \rangle \\
&\propto \frac{-1}{m} \langle (\frac{P^2}{2m})^2 \rangle \propto \dots
\end{aligned}$$

$$\begin{aligned}
\delta E_{Spin-orbit} &\propto \langle (\frac{\hat{\sigma} \cdot \vec{L}}{r^3}) \rangle e^2 \\
&\propto \langle (\frac{1}{r^3}) \alpha \rangle \\
\langle (\frac{-e^2}{r}) \rangle &\propto \alpha^2 m \\
\Rightarrow \langle (\frac{1}{r}) \rangle &\propto \alpha m \\
\langle \frac{P^2}{2m} - \frac{-e^2}{r} \rangle &\propto \frac{\alpha^2 m}{n^2}
\end{aligned}$$

$[\vec{J}^2, \hat{\sigma} \cdot \vec{L}] = 0 = [\hat{\sigma}^2, \hat{\sigma} \cdot \vec{L}]$ , which makes  $\hat{\sigma} \cdot \vec{L}$  a good quantum number.

## 10.2 Zeeman effect

The Zeeman effect is the effect of splitting of a spectral line into several components in the presence of a static magnetic field. The Hamiltonian of Zeeman effect is composed of two parts (the magnetic momentum associated with the orbital motion and the magnetic momentum associated with the spin motion):

$$H_{\text{Zeeman}} = -(\vec{\mu}_l + \vec{\mu}_s) \cdot \vec{B} = \frac{e}{2mc}(\vec{L} + 2\vec{S}) \cdot \vec{B}$$

Take the direction of magnetic field as the  $z$ -axis.

$$H_{\text{Zeeman}} = \frac{e}{2mc}(L_z + 2S_z)B$$

The full Hamiltonian of Hydrogen atom becomes:

$$H = H_0 + H_{\text{fs}} + H_{\text{Zeeman}}$$

where  $H_{\text{fs}}$  is the fine structure term, composed of relativistic term, the Darwin term and the spin-orbit coupling term.

From fine structure, one knows there is something like an internal magnetic field, responsible for spin-orbit coupling.

First, consider the case that the external field is much weaker than that, weak Zeeman effect case, which means  $H_{\text{fs}}$  weighs larger than  $H_{\text{Zeeman}}$ .  $H_{\text{Zeeman}}$  is treated as perturbation.  $H_0 + H_{\text{fs}}$  should be thought as known Hamiltonian.

On the contrary, if  $B \gg B_{\text{int}}$ .  $H_0 + H_{\text{Zeeman}}$  now is the known Hamiltonian, and  $H_{\text{fs}}$  becomes the perturbation.

### 10.2.1 Weak Field Zeeman

The approximate eigenstates of  $H_0 + H_{\text{Zeeman}}$  are  $|nljm_j\rangle$ , with eigenvalue  $E(n, j)$ . Degeneracy occurs among the states with the same  $n$  and  $j$  but different  $l$  and  $m_j$ .

So, one may need to consider matrix element  $\langle nljm_j | H_{\text{Zeeman}} | nl'jm'_j \rangle$ .  $\vec{L}^2$  commutes with  $L_z$  and obviously commutes with  $S_z$

$$\begin{aligned} \Rightarrow [\vec{L}^2, H_{\text{Zeeman}}] &= 0 \\ \langle nljm_j | \vec{L}^2 | nl'jm'_j \rangle &= l(l+1)\delta_{l,l'} \Rightarrow l = l' \end{aligned}$$

Similarly,  $J_z = L_z + S_z$  commutes with  $H_{\text{Zeeman}}$

$$\Rightarrow m_j = m'_j$$

Only diagonal terms left.

$$\begin{aligned} E_{nljm_j}^{(1)} &= \frac{e}{2mc} B \langle nljm_j | (L_z + 2S_z) | nljm_j \rangle \\ &= \frac{e}{2mc} B \langle nljm_j | (J_z + S_z) | nljm_j \rangle \\ &= \frac{e}{2mc} B (\hbar m_j + \langle nljm_j | S_z | nljm_j \rangle) \end{aligned}$$

Recap the concept of vector operator.

Obviously,  $[J_i, S_j] = i\hbar\varepsilon_{ijk}S_k$ , indicating that  $\vec{S}$  is a vector operator under  $\vec{J}$ . An important property of vector operator is:

$$\frac{1}{\alpha}[\vec{J}^2, [\vec{J}^2, \vec{S}]] = (\vec{S} \cdot \vec{J})\vec{J} - \frac{1}{2}(\vec{J}^2\vec{S} + \vec{S}\vec{J}^2)$$

where  $\alpha$  is some constant (I think it is  $-4\hbar^2$ ). With this, one can derive projection lemma. First consider LHS.

$$\begin{aligned}\langle jm_j | LHS | jm_j \rangle &= \langle jm_j | [\vec{J}^2, [\vec{J}^2, \vec{S}]] | jm_j \rangle \\ &= \langle jm_j | \vec{J}^2[\vec{J}^2, \vec{S}] | jm_j \rangle - \langle jm_j | [\vec{J}^2, \vec{S}]\vec{J}^2 | jm_j \rangle \\ &= \langle jm_j | [\vec{J}^2, \vec{S}] | jm_j \rangle (j(j+1)\hbar^2 - j(j+1)\hbar^2) = 0\end{aligned}$$

Here, the constant is ignored, which is irrelevant.

$$\begin{aligned}\langle jm_j | RHS | jm_j \rangle &= 0 = \langle jm_j | (\vec{S} \cdot \vec{J})\vec{J} | jm_j \rangle - \frac{1}{2}\langle jm_j | (\vec{J}^2\vec{S} + \vec{S}\vec{J}^2) | jm_j \rangle \\ &= \langle jm_j | (\vec{S} \cdot \vec{J})\vec{J} | jm_j \rangle - \hbar^2 j(j+1) \langle jm_j | \vec{S} | jm_j \rangle\end{aligned}$$

where  $\hbar^2 j(j+1) = \langle J^2 \rangle_j$ , and  $\langle jm_j | \vec{S} | jm_j \rangle$  is just  $\langle \vec{S} \rangle_j$

$$\Rightarrow \langle \vec{S} \rangle_j = \frac{\langle (\vec{S} \cdot \vec{J})\vec{J} \rangle_j}{\langle J^2 \rangle_j}$$

This relation is valid for any vector operator over  $\vec{J}$ . And,

$$\vec{S} \cdot \vec{J} = \frac{1}{2}(J^2 + S^2 - L^2)$$

One can deduce,

$$\begin{aligned}\langle nljm_j | S_z | nljm_j \rangle &= \frac{\hbar m_j}{\hbar^2 j(j+1)} \langle nljm_j | \vec{S} \cdot \vec{J} | nljm_j \rangle \\ &= \frac{\hbar m_j}{\hbar^2 j(j+1)} \frac{\hbar^2}{2} [j(j+1) + \frac{3}{4} - l(l+1)] \\ &= \frac{\hbar m_j [j(j+1) + \frac{3}{4} - l(l+1)]}{2j(j+1)}\end{aligned}$$

Put it back to the energy,

$$\begin{aligned}
E_{nljm_j}^{(1)} &= \frac{e}{2mc} B (\hbar m_j + \langle nljm_j | S_z | nljm_j \rangle) \\
&= \frac{e\hbar m_j}{2mc} B \underbrace{\left[ 1 + \frac{j(j+1) + \frac{3}{4} - l(l+1)}{2j(j+1)} \right]}_{g_J(e) \text{ Lande g factor}} \\
&= \frac{e\hbar}{2mc} g_J(e) B m_j
\end{aligned}$$

### 10.2.2 Strong Field Zeeman

The Hamiltonian becomes:

$$H = H_0 + \underbrace{\frac{e}{2mc} (\hat{L}_z + 2\hat{S}_z) B}_{H^{(0)}} + \underbrace{H_f s}_{H^{(1)}}$$

Since  $H_0$  is invariant under rotation, it commutes with any angular momentum.  $[H_0, \hat{L}_z + 2\hat{S}_z] = 0$ , they can be diagonalized simultaneously.

Take the eigenstates:

$$|nlm_l m_s\rangle$$

With eigenvalues:

$$E_n^{(0)} + \frac{e\hbar}{2mc} B (m_l + m_s)$$

$$\begin{aligned}
n = 1 \quad l = 0 \quad \vec{J} = \vec{\sigma} + \vec{L} = 0 \\
\left| n = 1, j = \frac{1}{2}, l = 0, m_J = \pm \frac{1}{2} \right\rangle \\
\Delta E = 2\mu_B B m_J
\end{aligned}$$

$$\begin{aligned}
n = 2 \quad l = 0, 1, \dots, n-1 \\
\left| n = 2, l = 0, j = \frac{1}{2}, m_J = \pm \frac{1}{2} \right\rangle \\
\left| n = 2, l = 1, j = \frac{1}{2}, m_J = \pm \frac{1}{2} \right\rangle \\
\left| n = 2, l = 1, j = \frac{3}{2}, m_J = \pm \frac{3}{2}, \pm \frac{1}{2} \right\rangle
\end{aligned}$$

$$\begin{aligned}
\langle l, j, m_J | 2\hat{\sigma}_z + \hat{L}_z | l', j', m'_J \rangle \\
= m_J \dots wtf
\end{aligned}$$

**Selection rules:**

$$m_J = m'_J$$

$|l - l'|$  must be even, i.e. 0, 2, 4...

$|j - j'|$  must be 0 or 1

### 10.3 Stark Effect

$H_1 = e\mathcal{E}\hat{z}$  for z direction electric field.

Selection rules  $|l - l'| = 1 \pmod{2}$ , i.e. 1, 3, 5...,  $m_j = m'_j$

## 11 Variational Principle

Consider an arbitrary state  $|\Psi\rangle$  in the Hilbert space

$$\langle\Psi|H|\Psi\rangle \geq E_0$$

when equality is taken  $|\Psi\rangle = |E_0\rangle$

$$|\Psi\rangle = \sum C_n |E_n\rangle$$

$$\begin{aligned} & \frac{\langle\Psi|H|\Psi\rangle}{\langle\Psi|\Psi\rangle} - E_0 \\ &= \frac{\sum |C_n|^2 E_n}{\sum |C_n|^2} - E_0 \\ &= \frac{\sum |C_n|^2 (E_n - E_0)}{\sum |C_n|^2} \geq 0 \end{aligned}$$

$$\begin{aligned} \langle\Psi_v|H|\Psi_v\rangle &= xE_0 \\ &= |C_0|^2 E_0 + \sum_{n=1} |C_n|^2 E_1 \\ &= |C_0|^2 E_0 + (1 - |C_0|^2) E_1 \\ &= -|C_0|^2 (E_1 - E_0) + E_1 \\ \Rightarrow |C_0|^2 &= \frac{E_1 - xE_0}{E_1 - E_0} \geq 0 \end{aligned}$$

## 12 Landau Level

Two-dimensional particle in magnetic field (z-direction) question.

$$H = \frac{(\hat{\mathbf{p}} - e\mathbf{a})^2}{2m}, \quad \vec{D} \times \mathbf{a} = \vec{B}$$

Energy difference:  $\omega_c = \frac{B}{m}$ , degeneracy:  $N = \frac{BL_x L_y}{\phi_0}$ ,  $\phi_0 = \frac{h}{e}$

Good basis  $\{|n, m\rangle\}$  ( $m$ , angular momentum;  $n$ , Landau level).

Choose  $\mathbf{a} = B(-y, 0) = -B_y \hat{\mathbf{X}}$

$$\Rightarrow H = \frac{1}{2m} [(P_x + B_y)^2 + P_y^2]$$