

NOTE ON POLYNOMIALS

KEISUKE HOSHINO

Notation 0.1. We employ the following notations.

- Sets are regarded as discrete categories, and categories are regarded as locally discrete 2-categories.
- **Set** is the large category of small sets.
- We write \mathfrak{Cat} for the huge 2-category of large categories.
- For each set S and a functor $X: S \rightarrow \mathbf{Set}$, we write X_s for the image of $s \in S$ under X . Moreover, we write $(X_s)_{s \in S}$ for X .
- For each set S and functors $X, Y: S \rightarrow \mathbf{Set}$, a natural transformation $f: X \Rightarrow Y$ is denoted as a family of functions $(f_s)_{s \in S}$.
- We write 1 for the terminal set, and we write $*$ for the unique element in 1 .

■

1. POLYNOMIALS

Definition 1.1. We define a category **Poly** as the Grothendieck construction of the following 2-functor.

$$\mathbf{Set}^{\mathrm{op}} \xrightarrow{[-, \mathbf{Set}]^{\mathrm{op}}} \mathfrak{Cat}$$

A *polynomial* is an object in **Poly**. We write

$$(-)_{\circ}: \mathbf{Poly} \rightarrow \mathbf{Set}$$

for the fibration corresponding to the 2-functor. For each polynomial A , we write $A = \sum_{a: A_{\circ}} y^{A_a}$.

In particular, we mean by y the polynomial satisfying $(y)_0 = 1$ and $(y)_* = 1$.

■

Definition 1.2. Define a functor $- \triangleleft -: \mathbf{Poly} \times \mathbf{Poly} \rightarrow \mathbf{Poly}$ as follows.

- Let A, B be polynomials.

$$(A \triangleleft B)_{\circ} = \sum_{a: A_{\circ}} [A_a, B_{\circ}]$$

$$(A \triangleleft B)_{a, t} = \sum_{u: A_a} B_{t(u)}$$

for any $a: A_{\circ}$ and $t: A_a \rightarrow B_{\circ}$.

- Let $F: A \rightarrow X, G: B \rightarrow Y$ be morphisms in **Poly**.

$$(F \triangleleft G)_{\circ}: \sum_{a: A_{\circ}} [A_a, B_{\circ}] \rightarrow \sum_{x: X_{\circ}} [X_x, Y_{\circ}] : (a, t) \mapsto (F_{\circ}(a), F_a \circ t \circ G_{\circ})$$

$$(F \triangleleft G)_{a, t}: \sum_{v: X_{F_{\circ}(a)}} Y_{G_{\circ}(t(F_a(v)))} \rightarrow \sum_{u: A_a} B_{t(u)} : (v, r) \mapsto (F_a(v), G_{t(F_a(v))}(r))$$

■

Proposition 1.3. For each polynomial A , there are natural isomorphisms $y \triangleleft A \cong A$ and $A \triangleleft y \cong A$ in **Poly**.

◆

Proposition 1.4. Let \mathbf{V} be a category and $\mathbf{W} = (\mathbf{W}, \otimes', I')$ be a monoidal category. Consider a functor $\otimes: \mathbf{V} \times \mathbf{V} \rightarrow \mathbf{V}$ and an object $I \in \mathbf{V}$. Suppose that we are given a functor $F: \mathbf{V} \rightarrow \mathbf{W}$ such that there are a family of isomorphisms $F(A \otimes B) \cong F(A) \otimes F(B)$ natural in $A, B \in \mathbf{V}$ and an isomorphism $F(I) \cong I$. If F induces a fully faithful functor on the core groupoids, then (\mathbf{V}, \otimes, I) becomes a monoidal category and F is a pseudo monoidal functor.

◆

Definition 1.5. Define $\mathbf{Set} \hookrightarrow \mathbf{Poly}$ as a functor induced from the 2-natural transformation $\Delta(\mathbf{1}) \Rightarrow [-, \mathbf{Set}]^{\text{op}}$ whose component at $S \in \mathbf{Set}^{\text{op}}$ is the name for the terminal object in $[S, \mathbf{Set}]^{\text{op}}$. In other words, the fibration $\mathbf{Poly} \xrightarrow{(-)_\circ} \mathbf{Set}$ has the fibred terminal. This functor is automatically fully faithful, and we regard sets as polynomials through this functor. \blacksquare

Lemma 1.6. $\mathbf{Poly} \times \mathbf{Poly} \xrightarrow{\triangleleft} \mathbf{Poly}$ restricts to a functor $\mathbf{Poly} \times \mathbf{Set} \xrightarrow{\triangleleft} \mathbf{Set}$. \blacklozenge

Proof. \square

Definition 1.7. Define a functor $\mathbf{rep}: \mathbf{Poly} \rightarrow [\mathbf{Set}, \mathbf{Set}]$ as the currying of the functor $\mathbf{Poly} \times \mathbf{Set} \xrightarrow{\triangleleft} \mathbf{Set}$ obtained in [Lemma 1.6](#). \blacksquare

Proposition 1.8. \mathbf{rep} induces a fully faithful functor on the core groupoids. \blacklozenge

Proof. Suppose we are given $P, Q \in \mathbf{Poly}$, an isomorphism $\alpha: \mathbf{rep}(P) \cong \mathbf{rep}(Q)$ in $[\mathbf{Set}, \mathbf{Set}]$, and $S \in \mathbf{Set}$. We have the following commutative diagram:

$$\begin{array}{ccccc} \sum_{p \in P_\circ} [P_p, S] & \cong & P \triangleleft S & \xrightarrow{\alpha_S} & Q \triangleleft S & \cong & \sum_{q \in Q_\circ} [Q_q, S] \\ \downarrow & & \downarrow P \triangleleft_S & & \downarrow Q \triangleleft_S & & \downarrow \\ P_\circ & \cong & P \triangleleft 1 & \xrightarrow{\alpha_1} & Q \triangleleft 1 & \cong & Q_\circ \end{array}$$

where the left and the right vertical arrows are projections. Therefore, we have $[P_p, S] \cong [Q_{\alpha_1(p)}, S]$ for each $p \in P_\circ$ and $S \in \mathbf{Set}$. By applying the yoneda lemma, we obtain $Q_{\alpha_1(p)} \cong P_p$ for each $p \in P_\circ$, which induces an isomorphism $\bar{\alpha}: P \cong Q$ in \mathbf{Poly} . One can readily check that $\bar{\alpha}$ is a unique isomorphism satisfying $\mathbf{rep}(\bar{\alpha}) = \alpha$. \square

Lemma 1.9. There is a family of isomorphisms $\mathbf{rep}(P \triangleleft Q) \cong \mathbf{rep}(P) \circ \mathbf{rep}(Q)$ natural in $P, Q \in \mathbf{Poly}$. Moreover, we have $\mathbf{rep}(y) \cong \text{id}_{\mathbf{Set}}$ in $[\mathbf{Set}, \mathbf{Set}]$. \blacklozenge

Proposition 1.10. $(\mathbf{Poly}, \triangleleft, y)$ is a monoidal category such that $\mathbf{rep}: \mathbf{Poly} \rightarrow ([\mathbf{Set}, \mathbf{Set}], \circ, \text{id}_{\mathbf{Set}})$ is a pseudo monoidal functor. \blacklozenge

Proof. Follows from [Lemma 1.9](#) and [Propositions 1.4](#) and [1.8](#). \square

REFERENCES

Email address: hoshinok@kurims.kyoto-u.ac.jp

RESEARCH INSTITUTE OF MATHEMATICAL SCIENCE, KYOTO UNIVERSITY