

# 1 Compactness

**Exercise 1.1.** Let  $\{V_\alpha\}$  be an open cover of a topological space  $\mathcal{X}$ . Show that  $W \subseteq \mathcal{X}$  is open iff  $W \cap V_\alpha$  is open for any  $V_\alpha \in \{V_\alpha\}$ .

*Proof.*  $(\Rightarrow)$  is trivial.

$(\Leftarrow)$  For any point  $x \in W$ , we have  $x \in V_x$  since  $\{V_\alpha\}$  is open cover. Consider  $\bigcup_{x \in W} (W \cap V_x)$ , it is a union of open sets, and it is a subset of  $W$ , and it contains all points of  $W$ , so  $W$  is open.  $\square$

**Theorem 1.1.** Show that a space  $\mathcal{X}$  is compact iff for any collection of closed sets  $\{Q_\alpha\}$  in  $\mathcal{X}$  such that

$$\bigcap_{\alpha} Q_\alpha = \emptyset$$

Then there is a finite subset of  $\{Q_\alpha\}$  such that

$$Q_0 \cap Q_1 \cap \cdots \cap Q_n = \emptyset$$

*Proof.*

- $(\Rightarrow)$  For any collection of closed set  $\{Q_\alpha\}$  in  $\mathcal{X}$  such that  $\bigcap_{\alpha} Q_\alpha = \emptyset$ , we can see  $\{\mathcal{X} \setminus Q_\alpha\}$  is a collection of open set and  $\bigcup_{\alpha} (\mathcal{X} \setminus Q_\alpha) = \mathcal{X} \setminus (\bigcap_{\alpha} Q_\alpha) = \mathcal{X} \setminus \emptyset = \mathcal{X}$ , therefore the collection of complements  $\{\mathcal{X} \setminus Q_\alpha\}$  is an open cover of  $\mathcal{X}$ . So there is a finite subset of  $\{\mathcal{X} \setminus Q_\alpha\}$  that also open covers  $\mathcal{X}$ . Then it is also a finite subset of  $\{Q_\alpha\}$  since  $\mathcal{X} = \bigcup_i (\mathcal{X} \setminus Q_i) = \mathcal{X} \setminus (\bigcap_i Q_i)$  and  $Q_i \subseteq \mathcal{X}$  implies  $\bigcap_i Q_i = \emptyset$ .
- $(\Leftarrow)$  For any open cover  $\{V_\alpha\}$  of  $\mathcal{X}$ , consider the collection of complements  $\{\mathcal{X} \setminus V_\alpha\}$ , we have  $\bigcap_{\alpha} (\mathcal{X} \setminus V_\alpha) = \mathcal{X} \setminus (\bigcup_{\alpha} V_\alpha) = \emptyset$ . So there is a finite subset  $\{\mathcal{X} \setminus V_i\}$  such that  $\emptyset = \bigcap_i (\mathcal{X} \setminus V_i) = \mathcal{X} \setminus (\bigcup_i V_i)$ , therefore  $\bigcup_i V_i = \mathcal{X}$ , the space  $\mathcal{X}$  is compact.  $\square$

**Theorem 1.2.** Let  $Q_0 \supseteq Q_1 \supseteq \dots$  be a nested sequence of closed nonempty sets in a compact space  $\mathcal{K}$ . Show that there is a point  $q \in \mathcal{K}$  such that  $\forall i, q \in Q_i$ .

*Proof.* If there is no such point, we know  $\bigcap_i Q_i = \emptyset$ , which means there is a finite subsequence such that  $\bigcap_j Q_j = \emptyset$ . Since the sequence  $Q_i$  is a nested sequence of nonempty sets, so  $\bigcap_j Q_j$  must equal to some "smallest"  $Q_j$ , but that means this  $Q_j$  is empty set, which contradicts to the assumption.  $\square$

**Theorem 1.3.** *Let  $f : \mathcal{X} \rightarrow \mathcal{Y}$  be a continuous mapping between topological spaces and  $\mathcal{K}$  is a compact subset in  $\mathcal{X}$ . Show that  $\mathcal{Q} = f(\mathcal{K})$  is also compact in  $\mathcal{Y}$ . That is, continuous mapping preserve compactness.*

*Proof.* For any open cover  $\{V_\alpha\}$  of  $f(\mathcal{K})$ , then the inverse images of  $\{V_\alpha\}$  cover are also open since  $f$  is continuous, and also an open cover of  $\mathcal{K}$  since they cover  $f(\mathcal{K})$ . So there is a finite subset of open cover such that covers  $\mathcal{K}$ , then map those cover by  $f$ , we get a finite subset of open cover of  $\{V_\alpha\}$   $\square$

**Theorem 1.4.** *Any closed set in a compact space is also compact.*

*Proof.* This proof comes from textbook.

Suppose  $\mathcal{X}$  a compact space and  $\mathcal{Q}$  a closed set in it. Consider any open cover  $\{V_\alpha\}$  of  $\mathcal{Q}$  and the complement  $\mathcal{C} = \mathcal{X} \setminus \mathcal{Q}$ . Obviously,  $\mathcal{C}$  is open, and  $\{\mathcal{C}\} \cup \{V_\alpha\}$  is an open cover of  $\mathcal{X}$ , therefore there is a finite open cover on  $\mathcal{X}$ , that open cover may or may not contains  $\mathcal{W}$ , but we can always add  $\mathcal{W}$  to it, and it is still a finite subcover. Since the finite subcover  $\{\mathcal{W}, V_{\alpha_0}, \dots, V_{\alpha_n}\}$  covers  $\mathcal{X}$ , then it also covers  $\mathcal{Q}$ , and we can see that  $\mathcal{W}$  contributes nothing for  $\mathcal{Q}$ , so it is safe to remove it, and  $\{V_{\alpha_i}\}$  is still a finite open cover on  $\mathcal{Q}$ .

Furthermore, the proposition can be *iff*, since the whole space is a closed set. If any closed set in that space is compact, then the whole space is also compact.  $\square$

**Definition 1.1.** *Let  $\{V_\alpha\}$  and  $\{W_\beta\}$  be two covers of a topological space  $\mathcal{X}$ . We say  $\{V_\alpha\}$  is inscribed in  $\{W_\beta\}$  if for any  $\alpha$ , there is  $\beta$  such that  $V_\alpha \subseteq W_\beta$*

**Theorem 1.5.** *A space  $\mathcal{X}$  is compact iff for any cover  $\{V_\alpha\}$  of  $\mathcal{X}$ , there is a finite cover such that inscribed in  $\{V_\alpha\}$ .*

*Proof.*  $(\Rightarrow)$  For any cover on  $\mathcal{X}$ , there is a finite subcover on  $\mathcal{X}$ , and the finite subcover is an inscribed in itself.

$(\Leftarrow)$  For any finite subcover  $\{W_\beta\}$  on  $\mathcal{X}$  that is inscribed in  $\{V_\alpha\}$ , since for any  $W_\beta$  there is a  $V_\alpha$  such that  $W_\beta \subseteq V_\alpha$ , we may collect these  $V_\alpha$  and they form a cover on  $\mathcal{X}$ .  $\square$

**Theorem 1.6.** *Suppose  $\mathcal{X}$  and  $\mathcal{Y}$  are compact topological spaces, show that the product  $\mathcal{X} \times \mathcal{Y}$  is also compact.*

*Proof.*  $\square$

**Theorem 1.7.** *Suppose that a product space  $\mathcal{X} \times \mathcal{Y}$  is nonempty and compact. Show that  $\mathcal{X}$  and  $\mathcal{Y}$  are compact.*

*Proof.* For any open cover  $\{V_\alpha\}_{\alpha \in \mathcal{I}}$  on  $\mathcal{X}$ , consider the open cover  $\{V_\alpha \times \mathcal{Y}\}_{\alpha \in \mathcal{I}}$  on  $\mathcal{X} \times \mathcal{Y}$ , there is a finite subcover  $\{V_\alpha \times \mathcal{Y}\}_{\alpha \in \mathcal{J}}$ . For any  $x \in \mathcal{X}$ , take  $y \in \mathcal{Y}$  (it is possible cause  $\mathcal{X} \times \mathcal{Y}$  is nonempty), we have  $(x, y) \in V_\alpha \times \mathcal{Y}$  for some  $\alpha$ , so  $\{V_\alpha\}_{\alpha \in \mathcal{J}}$  is a open cover on  $\mathcal{X}$ .  $\square$

**Definition 1.2.** A topological space  $\mathcal{X}$  is called sequentially compact if any point sequence in  $\mathcal{X}$  has a converging subsequence.

**Theorem 1.8.** A metric space  $\mathcal{M}$  is compact implies it is sequentially compact.

*Proof.* Recall that if a sequence  $x_n$  converge to some point  $p$ , then for any  $\epsilon$ ,  $B(p, \epsilon)$  contains infinite points in  $x_n$ .

If any subsequence in  $x_n$  is not converging, then for any point  $p \in \mathcal{M}$ , there is  $\epsilon$  such that  $B(p, \epsilon)$  contains finite points in  $x_n$ . Consider the collection of  $B(p, \epsilon)$  for every  $p \in \mathcal{M}$  is a cover on  $\mathcal{M}$ , then we have a finite subcover on  $\mathcal{M}$ , but then the subcover contains finite points in  $\mathcal{M}$  while  $x_n$  is infinite.  $\square$

**Theorem 1.9.** Show that the product of two sequentially compact spaces is sequentially compact.

*Proof.* TODO  $\square$

**Definition 1.3.** A sequence  $x_n$  of points in a metric space is called Cauchy if for any  $\epsilon > 0$  there is  $n$  such that  $|x_i - x_j| < \epsilon$  for all  $i, j > n$ .

**Theorem 1.10.** Any converging sequence in a metric space is Cauchy.

*Proof.* For any converging sequence, the distance between points becomes smaller and smaller, so for any  $\epsilon$ , there is  $n$  such that  $|x_i - x_j| < \epsilon$  for all  $i, j > n$ .  $\square$

**Definition 1.4.** A metric space  $\mathcal{M}$  is called complete if any Cauchy sequence in  $\mathcal{M}$  converge to a point in  $\mathcal{M}$ .

**Theorem 1.11.** Show that any compact metric space  $\mathcal{M}$  is complete.

*Proof.* For any Cauchy sequence  $x_n$ , suppose it is not converge, that is, for any  $p \in \mathcal{M}$ , there is  $\epsilon$  such that  $B(p, \epsilon)$  contains finite points of  $x_n$ . Consider the cover  $\{B(p, \epsilon)\}$  for all  $p \in \mathcal{M}$  and corresponding  $\epsilon$  on  $\mathcal{M}$ , we know there is a finite subcover on  $\mathcal{M}$  since  $\mathcal{M}$  is compact. Then these finite subcover contains all points in  $x_n$  cause it covers  $\mathcal{M}$ , and it contains finite points in  $x_n$  cause each  $B(p, \epsilon)$  contains finite points in  $x_n$ , therefore the sequence  $x_n$  is finite, which is unacceptable.  $\square$

**Definition 1.5.** Let  $\mathcal{M}$  be a metric space. A subset  $A \subseteq \mathcal{M}$  is called  $\epsilon$ -net of  $\mathcal{M}$  if for any  $p \in \mathcal{M}$ , there is  $a \in A$  such that  $|p - a|_{\mathcal{M}} < \epsilon$  (or equivalently,  $p \in B(a, \epsilon)$ ).

**Theorem 1.12.** Let  $\mathcal{M}$  be a sequentially compact metric space, then for any  $\epsilon > 0$ , there is a finite  $\epsilon$ -net of  $\mathcal{M}$ .

*Proof.* This proof comes from textbook.

We may try to construct an  $\epsilon$ -net of  $\mathcal{M}$ . We pick a point in  $\mathcal{M}$  randomly, say  $x_0 \in \mathcal{M}$ , then we pick another point  $x_1 \in \mathcal{M}$  such that  $x_1 \notin B(x_0, \epsilon)$ , and then we pick  $x_2 \in \mathcal{M}$  such that  $x_2 \notin B(x_0, \epsilon)$  and  $x_2 \notin B(x_1, \epsilon)$ , for any  $i$ , we pick  $x_i \in \mathcal{M}$  such that  $x_i \notin B(x_j, \epsilon)$  for any  $j < i$ . If at some point, we can't pick any  $x_i$  that satisfies the requirement, then  $\{x_0, x_1, \dots, x_{i-1}\}$  is an  $\epsilon$ -net of  $\mathcal{M}$ . If this procedure cannot stop, then we get a sequence  $x_i$  where their distance are always greater than  $\epsilon$ . Since  $\mathcal{M}$  is sequentially compact, so there is a converging subsequence, however, the distance of points in the subsequence can not be below  $\epsilon$ , so it can't be converging.  $\square$